

1 Saccade countermanding reflects automatic inhibition as well as top-down cognitive control

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8

9 Abstract

10 Countermanding behavior has long been seen as a cornerstone of executive control – the
11 human ability to selectively inhibit undesirable responses and change plans. In recent years,
12 however, scattered evidence has emerged that stopping behavior is entangled with simpler
13 automatic stimulus-response mechanisms. Here we give flesh to this idea by merging the latest
14 conceptualization of saccadic countermanding with a versatile neural network model of visuo-
15 oculomotor behavior that integrates bottom-up and top-down drives. This model accounts for
16 all fundamental qualitative and quantitative features of saccadic countermanding, including
17 neuronal activity. Importantly, it does so by using the same architecture and parameters as
18 basic visually guided behavior and automatic stimulus-driven interference. Using simulations
19 and new data, we compare the temporal dynamics of saccade countermanding with that of
20 saccadic inhibition (SI), a hallmark effect thought to reflect automatic competition within
21 saccade planning areas. We demonstrate how SI accounts for a large proportion of the saccade
22 countermanding process when using visual signals. We conclude that top-down inhibition acts
23 later, piggy-backing on the quicker automatic inhibition. This conceptualization fully accounts
24 for the known effects of signal features and response modalities traditionally used across the
25 countermanding literature. Moreover, it casts different light on the concept of top-down
26 inhibition, its timing and neural underpinning, as well as the interpretation of stop-signal
27 reaction time, the main behavioral measure in the countermanding literature.

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29

30 1. Introduction

31

32 There is a long tradition in psychology and neuroscience of drawing a conceptual distinction
33 between ‘top-down’ volitional processes and ‘bottom-up’ automatic responses. However, this
34 does not mean there is a clear distinction in the brain. Nor is it likely that any behavior
35 produced by any elaborate animal is entirely bottom-up or top-down in nature. Rather, we
36 envisage an enmeshed relationship whereby increasingly selective or “voluntary” systems have
37 grown out of, and remain entwined with, phylogenetically older automatic mechanisms (see
38 Harrison, Freeman, & Sumner, 2014; McBride, Boy, Husain, & Sumner, 2012; Sumner & Husain,
39 2008; Verbruggen, Best, Bowditch, Stevens, & McLaren, 2014; Verbruggen & Logan, 2008;
40 Wessel & Aron, 2017).

1 Here we address a long-standing topic in top-down control: the ability to *withhold* action.
2 Just as music is about the spaces as well as the notes, behavior is about the actions we don't
3 make as well as the actions we do make (Noorani & Carpenter, 2017). Clearly, humans are able
4 to control their motor systems and refrain from always acting reflexively, habitually or
5 impulsively. We have the flexibility to halt and change action plans in rapidly changing
6 situations, such as sport, social interactions, or driving a car. The precise mechanisms that
7 might enable us to do this have been a major focus of psychology and cognitive neuroscience.
8 Over the years, work on human (Verbruggen, Best, et al., 2014; Verbruggen, McLaren, &
9 Chambers, 2014; Wessel & Aron, 2017), primates (Logan, Yamaguchi, Schall, & Palmeri, 2015;
10 Schall, Palmeri, & Logan, 2017) and rodent (Schmidt & Berke, 2017) has shown that, rather
11 than reflecting solely a higher-level ability, stopping behavior also relies on a range of
12 complementary lower-level adjustments. In convergence with this, here we propose that the
13 ability to withhold action partly relies on fast drives triggered by any change in the
14 environment. These automatic signals interfere with ongoing action plans, temporarily
15 delaying their execution, buying time for slower and more selective drives to cancel or change
16 the plan.

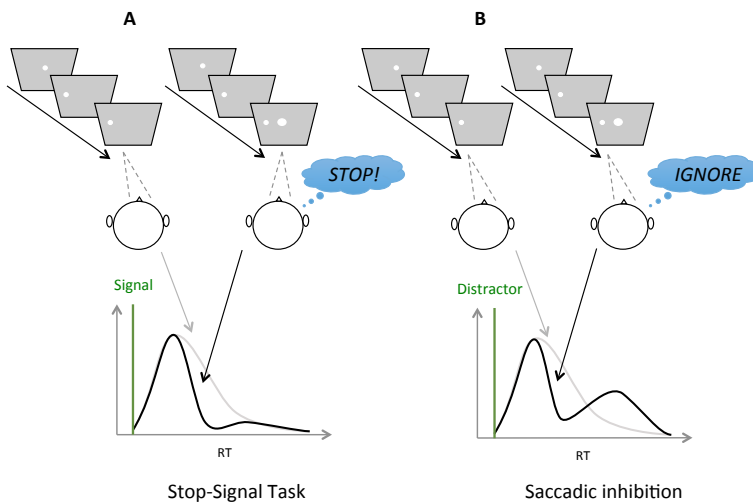
17 Animal brains are full of inhibitory connections (see Noorani & Carpenter, 2017 for a
18 review), many of which can be considered very basic and automatic properties of neural maps
19 or local networks. What contribution could these low-level mechanisms play in behaviors
20 traditionally ascribed to top-down control? Could they even form the main basis for well-
21 known hallmarks of 'control' behavior in some conditions? Even though they may be rather
22 indiscriminate and simple, the potential advantage of low-level stimulus-driven inhibitory
23 circuits would be their speed - a quick interruption allowing slower more complex processes
24 time to update action plans (e.g. Schmidt & Berke, 2017). If we can understand how automatic,
25 rapid and indiscriminate mechanisms work within tasks associated with top-down control, it
26 should help us unify literatures on control and distraction (e.g. Wessel & Aron, 2017) and also
27 better integrate the functional consequences of basic sensorimotor processes with concepts of
28 higher cognitive functions.

29 Important tools to develop and test our understanding of these mechanisms are
30 computational models. In the recent years, their number and complexity have increased, with
31 models becoming more biologically grounded, attempting to capture not only behavioral data,
32 but also neuronal recordings (Bompas, Hedge, & Sumner, 2017; Bompas & Sumner, 2011;
33 Boucher, Palmeri, Logan, & Schall, 2007; Cutsuridis, Smyrnis, Evdokmids, & Perantonis, 2007;
34 Kopecz, 1995; Lo, Boucher, Pare, Schall, & Wang, 2009; Logan et al., 2015; Meeter, Van der
35 Stigchel, & Theeuwes, 2010; Purcell et al., 2010; Shadlen, Britten, Newsome, & Movshon,
36 1996; Trappenberg, Dorris, Munoz, & Klein, 2001; Wiecki & Frank, 2013). However, the focus
37 on different tasks, animal models and anatomical subsystems has led to partly segregated
38 subfields in the literature, and sometimes to the parallel development of distinct models
39 attempting to capture different instantiations of similar cognitive functions. As a result, most
40 current psychological models have been designed and constrained to capture mainly one task,
41 and the generalizability to new tasks is not often tested. Although this limitation is inevitable
42 in the early days of biologically inspired computational models of action decision, a desirable
43 perspective for the field would be to move away from modeling tasks and start modeling the
44 biological system trying to perform it. To achieve this, a first step is to draw modeling attempts
45 together and develop more general models, ultimately able to predict human or animal
46 behavior in new experimental conditions.

47

1 **Stopping**

2 A prevalent paradigm of top-down inhibition used widely within the psychological, psychiatric
3 and neurophysiological literatures is ‘countermanding’, epitomized by the stop signal task
4 (Logan & Cowan, 1984; Noorani & Carpenter, 2017). Participants make simple responses to the
5 presentation of a target and, on a minority of trials, are required to cancel (‘countermand’) their
6 response following the onset of a stop-signal (**Figure 1A**). Hence, this task is designed to
7 assess the volitional ability to rapidly inhibit responses that are already being planned.



9 **Figure 1.** Typical design (above) and results (below) in the saccadic Stop-Signal Task (SST, panel
10 A) and Saccadic Inhibition (SI, panel B) paradigms. Both paradigms involve a stimulus jump
11 from center to periphery, sometimes followed by the onset of a central signal (right subpanels
12 above, black lines below), sometimes not (left subpanels, grey lines). The signal onset time is
13 indicated by vertical green lines and the delay between the target jump and the signal is
14 referred to as the stimulus onset asynchrony (SOA). The two tasks differ in the instruction
15 associated with the signal onset, withhold the saccade in the SST, ignore the signal and
16 perform the saccade in the SI. **A.** Instructions to stop remove slower responses from the RT
17 distribution, but fast responses escape (‘failed stops’). **B.** The same visual events associated
18 with an ignore instruction typically produce a dip in the latency distribution, where saccades
19 are delayed and subsequently recover, so that the total number of saccades are about the
20 same between signal present and no-signal distributions. We propose that on trials where
21 participants are told to stop their saccade in response to the signal onset (A), the initial
22 reduction in saccade probability has the same automatic source and therefore will happen at
23 the same time as the dip in the ignore condition (B), but the recovery from the dip will be
24 diminished or absent due to later top-down inhibition.

26 The process of such top-down inhibition has long been conceptualized as a race between
27 competing “go” and “stop” mechanisms within the independent horse-race model (Logan &
28 Cowan, 1984). If the countermand activity can overtake the go activity, then the response is
29 not executed; whereas if the go activity reaches its threshold before the stop-response activity
30 overtakes it, then the response is executed (known as a failed stop). Failed stops tend to have
31 short latencies with respect to the stop signal, consistent with the idea that top-down
32 inhibition did not have sufficient time to act.

1 Since then, countermanding tasks have used a variety of response modalities and stimulus
2 designs, but the basic principles of design and of behavioral outcomes are shared. The saccade
3 (eye movement) countermanding task (Hanes & Schall, 1995) became the dominant modality
4 for primate experiments, and has allowed the bridging of psychology and neurophysiology.
5 The conceptual race between go and stop processes was then mapped onto more complex
6 models capturing the neural architecture of the saccadic control network (Boucher, Palmeri, et
7 al., 2007; Schall et al., 2017), implementing an antagonistic relationship between fixation and
8 movement processes (Hanes, Patterson, & Schall, 1998; Munoz & Wurtz, 1993a, 1993b).

9 The latest instantiation of this converging conceptualization is the Blocked Input 2.0
10 model (Logan et al., 2015). In this model (**Figure 2B**), the onset of the “stop signal” is proposed
11 to trigger two events: a quick return of excitatory input to fixation node, followed by a blocking
12 of the excitatory input to the movement node. Although this model provides a similar fit to
13 behavioral data as the simpler independent race model (or equally complex alternative
14 models, see Logan et al., 2015), it better reflects the pattern of activity recorded in fixation and
15 movement neurons within the frontal eye field of monkeys performing the stop-signal task.
16 Being closer to the neuronal implementation of saccade planning opens the door to an
17 increased ability to generalize to new tasks in ways that can be tested by both behavior and
18 neurophysiology.

19 Before Blocked Input 2.0, the stop or fixation activity was typically thought to be a
20 unitary, purely top-down drive. In contrast, in Blocked Input 2.0, the very short latency of the
21 first event (less than 50 ms) suggests it could be essentially bottom up in nature, while the
22 later event (62-90 ms) is explicitly described as the top-down inhibitory control element. This
23 suggests a potential evolution in the conceptual understanding of withholding action from a
24 purely “top-down” inhibition account to a combination of “bottom-up” and “top-down”
25 factors. This broad idea has been proposed before. For instance, Cabel et al. (2000) and then
26 Morein-Zamir and Kingstone (2006) discussed the possibility that saccade countermanding
27 using a central visual stop-signal captures a combination of automatic bottom-up as well as
28 top-down volitional inhibition of responses, possibly due to stimulus-invoked activity of
29 fixation cells of the superior colliculus. There are several empirical findings strongly suggesting
30 that countermanding is not a pure measure of top down control, but rather induces an
31 interaction between top-down and low level mechanisms. Alterations to the stimuli used, in
32 particular in the saccadic version, can strongly affect the main outcome measure - the stop
33 signal reaction time (SSRT), assumed to be the latency required to inhibit an already initiated
34 go-response. For example a central visual signal provides a shorter SSRT than an auditory
35 signal or a peripheral visual signal (Armstrong & Munoz, 2003; Asrress & Carpenter, 2001;
36 Boucher, Stuphorn, Logan, Schall, & Palmeri, 2007; Cabel, Armstrong, Reingold, & Munoz,
37 2000; Hanes & Carpenter, 1999; Hanes et al., 1998; Hanes & Schall, 1995; Ito, Stuphorn,
38 Brown, & Schall, 2003; Morein-Zamir & Kingstone, 2006; Paré & Hanes, 2003; Stuphorn,
39 Taylor, & Schall, 2000). In addition, introducing a 200 ms gap between fixation offset and
40 target onset can reduce both reaction time and SSRT (Stevenson, Elsley, & Corneil, 2009).

41 This conceptual evolution is also occurring in related fields. For instance, Schmidt and
42 Berke (2017) echo the idea of fast and slow inhibition processes in their ‘Pause-then-Cancel’
43 theory of basal ganglia mechanisms in rodents. Wessel and Aron (2017) propose that rapid
44 stopping in humans entails the same fronto-basal-ganglia network that disrupts motor plans
45 following unexpected events, potentially unifying literatures on countermanding with post-
46 error slowing and attentional distraction in humans. In the domain of motor priming, Sumner
47 and Hussain (2008) argued that automatic priming was one of the building blocks for conscious
48 voluntary planning and control, while others merged the concepts of reflex and volition in the

1 concept of conditional automaticity (see Kunde, Kiesel, & Hoffmann, 2003 for a discussion). In
2 the oculomotor domain, Harrison et al. (2014) proposed that voluntary saccade control shares
3 mechanisms with, and probably emerged in evolution from the quick phases of stimulus-
4 driven nystagmus. Conceptually, it is clear that several fields are moving away from the idea of
5 an “executive controller”, and working toward characterizing the “army of idiots” that allow
6 successful action control (Monsell & Driver, 2000; Verbruggen, McLaren, et al., 2014).

7 8 ***Pausing and carrying on***

9 In order to draw together and build on these discussions, here we explore the extent to which
10 countermanding can be accounted for by a low level, automatic disruption mechanism. In
11 oculomotor behavior, new stimuli produce a hallmark phenomenon known as saccadic
12 inhibition (SI, Buonocore & McIntosh, 2008, 2012; Buonocore, Purokayastha, & McIntosh,
13 2017; Edelman & Xu, 2009; McIntosh & Buonocore, 2014; Reingold & Stampe, 2002, 2004). SI
14 occurs under most scenarios in which a flash or new stimulus occurs while the system is
15 planning a saccade, for example when reading text, viewing a scene or in simple saccade
16 experiments. When these irrelevant stimuli occur during saccade planning, a population of
17 would-be saccades is temporarily withheld, creating a dip in the latency distribution time-
18 locked to the onset of this distractor (**Figure 1B**). This inhibition is thought to be a purely
19 automatic process where the distractor elicits competing activation in saccade planning areas
20 (such as the Superior Colliculus) that limits the rise-to-threshold activity for the planned
21 saccades to the target (Bompas & Sumner, 2011; Edelman & Xu, 2009; Reingold & Stampe,
22 2002).

23 These temporarily withheld saccades can be accounted for by a relatively simple
24 biologically-inspired model (**Figure 2C**) based upon exogenous and endogenous neural signals
25 and lateral inhibition in the intermediate layers of the SC (Bompas & Sumner, 2011, 2015;
26 Trappenberg et al., 2001). The latency distributions produced both by the model and by
27 human participants show a characteristic pattern with three phases following the distractor
28 stimulus (**Figures 1B and 3C**): for the first 70-100 ms saccades entirely escape influence and
29 are executed as usual (the distribution of saccades with or without distractors exactly overlap);
30 then there is a dip - a reduction in the number of saccades produced compared with baseline
31 conditions (with no distractor); lastly there is a recovery phase where the disrupted saccades
32 are produced later in the distribution.

33 Critically, this model was not originally developed to capture saccadic inhibition, but it
34 readily did so when tested against the relevant experimental conditions (Bompas & Sumner,
35 2011). It was designed to account for other typical aspects of oculomotor control, including
36 express saccades, anti-saccades, variation of target probability and the gap effect
37 (Trappenberg et al., 2001). Although its versatility comes at the cost of mathematical elegance
38 (compared to simpler models designed to fit RT distributions, Brown & Heathcote, 2005;
39 Carpenter & Williams, 1995; Ratcliff & McKoon, 2008), a conservative and principled approach
40 to parameter constraining can be applied to test new empirical predictions. Although originally
41 based on superior colliculus, the model architecture is also more general because similar
42 behavioral phenomena and model principles extend to manual responses (Bompas et al.,
43 2017).

1 ***Merging paradigms and models***

2 Volitional countermanding and automatic saccadic inhibition have so far been discussed in
3 separate literatures and have different computational models associated with them. However,
4 the latency distributions typical of both phenomena show a similar pattern: failed stops
5 executed shortly after the signal escape inhibition and then at some delay following the signal
6 there is a rapid reduction of response probability. Although this reduction has been
7 traditionally attributed to the influence of top-down inhibition, our hypothesis is that it reflects
8 the same automatic dip caused by the low-level indiscriminate saccadic inhibition mechanism.
9 More selective control could then evolve later to inhibit the recovery phase, piggy-backing on
10 the process begun by the automatic mechanism.

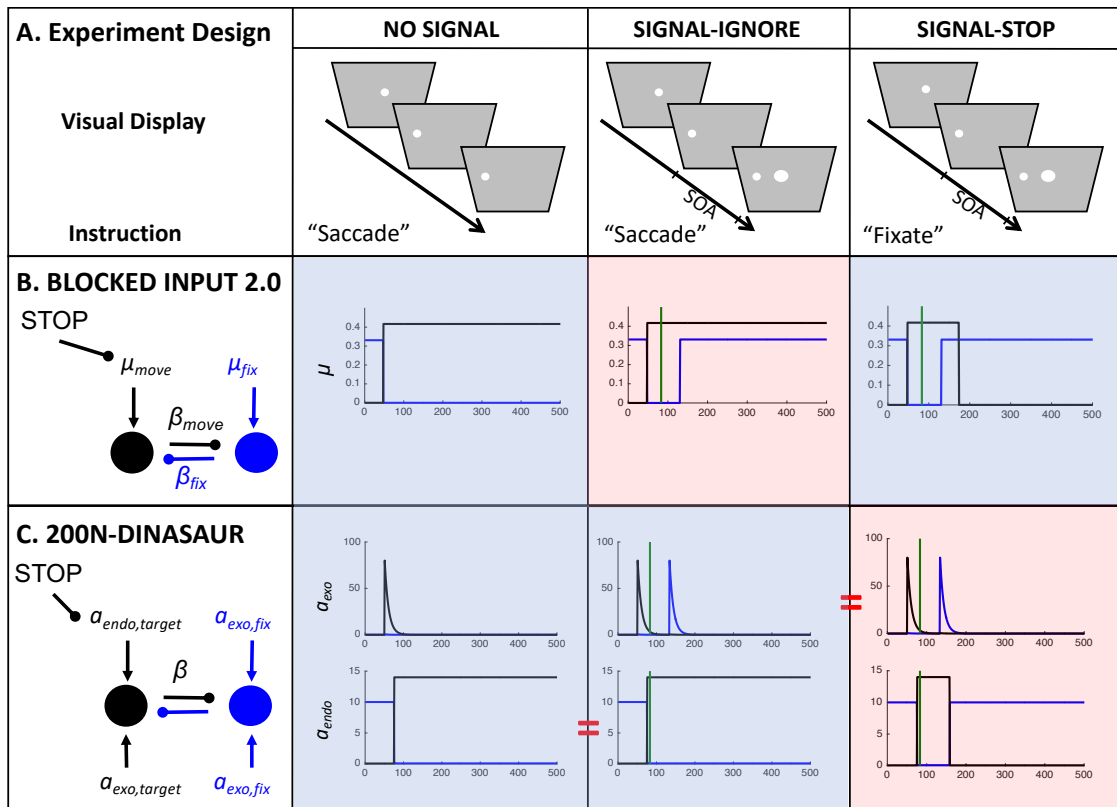
11 This kind of hypothesis has been proposed before, but never formally tested (Akerfelt,
12 Colonius, & Diederich, 2006). It shares conceptual similarity with the Pause-then-Cancel theory
13 (Schmidt & Berke, 2017) derived from studying basal ganglia in rats (although the specific
14 concepts and implementations are different as explained further in Discussion). We also
15 consider it belongs in a growing family of proposals, briefly mentioned above, that attempt to
16 integrate processes that were traditionally categorized as either volitional or reflexive. For
17 example, although Wessel and Aron's theory of unexpected events extends to the cognitive
18 level, while ours is a mechanistic model of oculomotor planning, both carry the implication
19 that countermanding is built on top of – and during evolution has grown out of – an
20 indiscriminate response to novel visual stimuli (see discussion in Harrison et al., 2014 for
21 similar ideas on the evolution of voluntary saccade control from automatic nystagmus).

22 The computational models of countermanding and saccadic inhibition, while currently
23 separate, are both biologically grounded and inspired by neuronal recordings. In fact, they
24 share many properties. It therefore appears desirable to use both paradigms to constrain a
25 merged model, able to capture both tasks. To achieve this (**Section 2**), we first employ the
26 latest models applied respectively to the stop task (Blocked Input 2.0) and saccadic inhibition
27 (200N-DINASAUR, 200-Nodes Dual Input Neural Accumulator with Sustained and AUtomatic
28 Rise) and test the direct generalizability of each model to the conditions to which it had not
29 been previously applied. We observe that DINASAUR can readily generalize across contexts
30 when its endogenous response to the stop signal is a switch from target to fixation, as
31 proposed by the Blocked Input model.

32 Based on previous empirical data and our modeling, we make two key predictions, which
33 we then test empirically. First, the early interference effects should be (almost) the same
34 whether the instruction is to stop or ignore the signal. More specifically, the time at which the
35 two distributions (in the presence and absence of signal) depart should be aligned across tasks.
36 To confirm this, we designed two experiments, both combining saccade countermanding and
37 saccadic inhibition paradigms using the same stimuli and participants but varying the
38 instruction (**Sections 3 and 4**).

39 The second prediction is that stopping behavior should be predicted by the model from
40 the parameters obtained from basic oculomotor behavior. We do not fit the model to the
41 stopping behavior itself. Rather, we extract the parameters from the conditions with simple
42 saccades and saccadic inhibition (or inherit them from previous work), and we test whether
43 the behavioral responses in the stopping condition naturally follows (**Section 5**). It is worth
44 emphasizing this point, because the model does have multiple parameters. Crucially we do not
45 allow them to vary when transferring across tasks. This is a stern test, as it is rare that a model
46 can capture a new task without allowing multiple parameters to vary in a fresh fitting.

1 2. Model exposition and predictions



2

3 **Figure 2.** Inputs to Blocked Input 2.0 and 200N-Dinosaur for each task condition, based on
4 published versions (blue shaded areas; Logan et al., 2015; Bompas & Sumner, 2011) or
5 parsimonious generalizations to new conditions (red shaded areas, using SOA = 83 ms as in the
6 new experiments introduced below). **A.** Schematic task conditions (see Figure 1 for description).
7 **B.** Blocked Input 2.0 was originally designed for the stop task encompassing the NO-SIGNAL
8 and SIGNAL-STOP conditions (blue shade). In the most parsimonious generalization to the
9 IGNORE instructions (red shade), the late "blocking" of move input does not occur (black line),
10 just as in NO-SIGNAL conditions, while the stimulus onset reactivates fixation input (blue line)
11 just as in the SIGNAL-STOP condition. **C.** 200N-DINASAUR was shown to capture saccadic
12 inhibition (NO-SIGNAL = pro-saccade, SIGNAL-IGNORE = distractor condition, blue shade). Out
13 of the 200 nodes, here only the fixation and target nodes are shown. The model categorizes
14 inputs as exogenous (stimulus-elicited and transient, upper plots) or endogenous (instruction-
15 related and sustained, lower plots). A straightforward generalization to the STOP instruction
16 (red shade) is to assume the exogenous inputs are unchanged, while the endogenous input
17 switches from the target back to fixation, like in Blocked Input 2.0. Note that in Blocked Input
18 2.0, this switch is not simultaneous: fixation drive reappears before move drive is blocked to
19 allow for the extra rapidity of a stimulus-driven response. In DINASAUR, the exogenous input
20 already accounts for the rapid stimulus-elicited activity, so parsimoniously the endogenous
21 switch can be simultaneous: the onset of endogenous fixation drive is given the same delay as
22 the offset of endogenous saccade drive.

23

1 **2.1. Blocked Input 2.0**

2 This model was developed to capture the stop signal task and is described in Logan et al.
3 (2015). Among multiple alternatives, it was the model best able to capture both the behavior
4 of monkeys and the neural activity recorded in their FEF. It is a leaky accumulator with 2
5 nodes, representing the fixation and movement options, which are mutually inhibitory (**Figure**
6 **2B**). The go-signal is associated with a switch of input from the fix to the move node, occurring
7 shortly after target onset (D_{move} and D_{fix} both less than 50 ms, here grouped as a single
8 parameter D as they turned out to be numerically almost identical). The stop signal triggers
9 two additional events: the fixation node quickly receives excitatory input again (following
10 about the same delay D), then the input to the move node is switched off ('blocked') by a stop
11 module (some $D_{Control}$ delay after the signal; see Figure 2B right-hand blue panel). Node activity
12 a directly maps onto firing rate, and evolves over time according to the following equation:

$$13 \quad \tau \frac{da_i}{dt} = -k_i \cdot a_i(t) + \mu_i - \beta_j \cdot a_j(t) + N(0, \sigma)$$

14 with i being either fixation or move node and j being the other node, k representing leakage, μ
15 the intensity of inputs projecting from other areas, β the weight of inhibition from the other
16 node and σ the amplitude of normally distributed noise added independently to each time
17 step.

18 The most straightforward generalization of the model to IGNORE instructions (which have
19 not featured in monkey stop task experiments), is inspired by the logic developed from
20 DINASAUR, whereby exogenous inputs should be the same irrespective of the instruction, and
21 only endogenous signals would be allowed to change. Here we associated the quick return of
22 fixation signals as exogenous and the slower inhibition of target input as endogenous in this
23 model. Thus, the presence of the signal requires fixation input to return identically as in the
24 STOP condition, while the absence of the instruction to stop requires that move input is not
25 blocked (Figure 2B red shaded panel).

26

27 **2.2. 200N-DINASAUR**

28 This model was initially developed by Trappenberg et al. (2001) to extract and simplify key
29 features of the SC based on both known neurophysiology and established principles of leaky
30 interactive accumulators (Usher & McClelland, 2001). Subsequently, Bompas and Sumner
31 (2011, 2015; Bompas et al. 2017) showed that it predicted the characteristic dips of saccadic
32 inhibition (the model is conceptually similar to the explanation given for saccadic inhibition by
33 Reingold & Stampe, 2002), and in return these dips directly specify the delay time for
34 exogenous input.

35 200N-DINASAUR shares many features with Blocked Input as both are noisy leaky
36 competing accumulators. DINASAUR has 200 nodes representing the horizontal dimension of
37 the visual field, and the average spiking rate A_i of neuron i is a logistic function of its internal
38 state u_i :

$$39 \quad A_i(t) = 1/(1 + e^{-\beta u_i(t)})$$

40 while u_i varies across time t depending on normally distributed noise as well as the input
41 received, either external to the map (endogenous or exogenous) or internal via lateral
42 connections:

$$1 \quad \tau \frac{du_i}{dt} = -ku_i(t) + \frac{1}{n} \sum_j \omega_{ij} A_j(t) + I_i^{exo}(t) + I_i^{endo}(t) + N(0, \eta)$$

2 A key aspect of DINASAUR is that it explicitly dissociates exogenous inputs (transients tied to
 3 visual events) from endogenous signals (later, sustained and linked to the instructions). Of
 4 course, this is still a gross simplification of the many sensory pathways (exogenous) and other
 5 pathways (endogenous) that feed oculomotor planning. Endogenous inputs vary as step
 6 functions (similar to inputs in the Blocked Input models), while exogenous inputs are transient,
 7 reaching their maximal amplitude (a_{exo}) at $t = t_{onset} + \delta_{vis}$, and then decreasing exponentially as
 8 a function of time, according to the following equation:

$$9 \quad \tau_{on} \frac{dI_i^{exo}}{dt} = -I_i^{exo}(t) + a_{exo}$$

10 Exogenous inputs are tied to visual stimuli (e.g. targets, distractors or stop signals) and our key
 11 assumption is that these happen irrespective of the instructions. By default, we also assume
 12 that their properties (delay and strength) are not influenced by instruction, but see Discussion
 13 for expansion of this simplification). Exogenous inputs allow the model to produce express
 14 saccades (early mode at 70-110 ms on Figure 3B,D). All inputs have Gaussian spatial profiles
 15 (with SD σ): are maximal at the targeted nodes but also affect nearby nodes. Lateral
 16 connections show a Gaussian spatial profile that changes from positive (excitation) at short
 17 distance to negative (inhibition) at longer distance, described by the following equation:

$$18 \quad \omega_{ij} = (Act + Inh) * \exp\left(-\frac{D_{ij}^2}{2\sigma^2}\right) - Inh$$

19 In 200-DINASAUR, the NO-SIGNAL condition is characterized by a single exogenous
 20 (visual) transient from target onset (occurring δ_{vis} after target onset), shortly followed by a
 21 switch of endogenous support from fixation to target (δ_{endo} after target onset). The SIGNAL-
 22 IGNORE condition differs from the NO-SIGNAL condition solely by the presence of a second
 23 visual transient, triggered by the signal appearing (the instruction being the same, no
 24 alteration of the endogenous inputs is assumed).

25 To generalize the model to SIGNAL-STOP conditions, we assume that only the endogenous
 26 input should differ from the SIGNAL-IGNORE condition, since the visual display is identical and
 27 only the instructions differ. Following the logic of Blocked Input 2.0, the endogenous input to
 28 the target is switched off (blocked) δ_{endo} after the stop-signal, while the endogenous input to
 29 the fixation is switched on again. Unlike Blocked Input, however, the timings of these two
 30 events do not need to be free. Rather, there is a single δ_{vis} parameter inherited from the
 31 SIGNAL-IGNORE condition, and a single δ_{endo} parameter for both target and fixation nodes
 32 (with the delay between δ_{vis} and δ_{endo} inherited from previous work). Importantly, there is no
 33 need for this fixation drive to come back early, since the early stimulus-driven effect of any
 34 stimulus is already captured by the exogenous signal.

35

36 **2.3. Generalization to new paradigms from Blocked Input 2.0 and 200N-DINASAUR**

37 To test the generalization from both models to new tasks, we inherit as many parameter
 38 values as possible from previous publications, and make changes only where dictated by
 39 stimulus arrangement or the logic outlined above. For Blocked Input 2.0, parameter values are
 40 given in **Table 1** and come from Monkey C in Logan et al. (2015) as its results were always

1 shown first in their article. Using parameters from Monkey A did not alter our conclusion in
 2 any respect. For DINASAUR, parameter values are given in **Table 2**, and come from Bompas
 3 and Sumner (2011). As expected, both models capture well the paradigm to which they have
 4 been applied previously (blue shaded panels on **Figure 3**).

5 **Table 1.** Model parameters for Blocked Input 2.0, as used in **Figures 2 and 3**. Grey boxes
 6 indicate parameters that were inherited from Monkey C in Logan et al. (2015), and correspond
 7 to the STOP instruction. The only alteration is that, in the IGNORE condition, μ_{move} remains up
 8 whether a signal appears or not (white box) but no new parameter is introduced.

Name	Description	STOP	IGNORE
τ	Decay time constant (ms)	1	
β_{move}	Inhibition from move node	0.004	
β_{fix}	Inhibition from fix node	0.01	
k	Leakage	0.008	
σ	Noise amplitude	1	
δ_{out}	Output time (ms)	10	
μ_{move}	Amplitude of inputs to move node	0.417	
μ_{fix}	Amplitude of inputs to fix node	0.331	
D	Delay of excitatory inputs to move and fix nodes (ms)	47	
ϑ	Decision threshold	28	
$D_{control}$	Delay for blocking inputs in response to signal (ms)	90	
$\mu_{move-post}$	Amplitude of inputs to move node after $D_{control}$	0	μ_{move}

9

10 **Table 2.** Model parameters for 200N-DINASAUR, as used in **Figures 2 and 3**. Grey boxes
 11 indicate those parameters unchanged from Bompas & Sumner (2011). The IGNORE condition is
 12 identical to previous work, except the distractor is now central instead of opposite to the
 13 target. The STOP condition differs from the IGNORE condition only in the endogenous response
 14 to the signal onset (white boxes) but no new parameter is introduced.

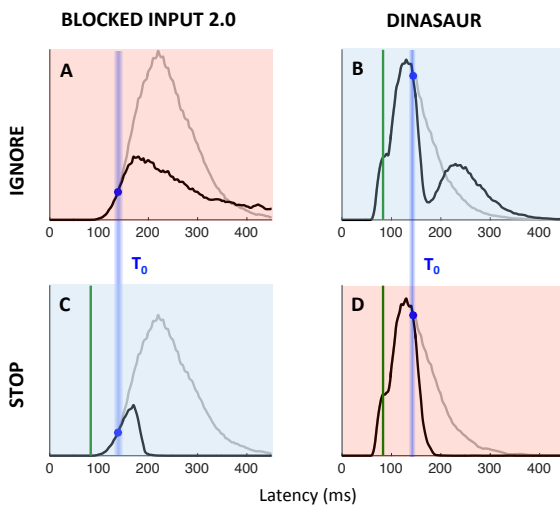
Name	Description	IGNORE	STOP
ECC_{dist}	Distractor eccentricity in SC (mm)	0	
ECC_{targ}	Target eccentricity in SC (mm)	1.76	
β	Steepness of spiking function	0.07	
τ	Decay time constant (ms)	10	
τ_{on}	Transience of exo inputs	10	
Act	Short-range activation	40	
Inh	Long-range inhibition	55	
σ	SD of spatial profile for lateral connections and inputs in SC (mm)	0.7	
k	Leakage	1	
η	Noise amplitude	50	
Th	Decision threshold	0.85	
δ_{out}	Output time (ms)	20	

δ_{vis}	Visual delay (ms)	50	
δ_{endo}	Endogenous delay (ms)	75	
a_{exo}	Amplitude of exo inputs	80	
$a_{endo,target}$	Amplitude of endo inputs to the target	14	
$a_{endo,fix}$	Amplitude of endo inputs at fixation	10	
$a_{endo,target-post}$	Amplitude of endo inputs to the target after SOA + δ_{endo} in signal trials	$a_{endo,target}$	0
$a_{endo,fix-post}$	Amplitude of endo inputs at fixation after SOA + δ_{endo} in signal trials	0	$a_{endo,fix}$

1

2 When using the published parameters, the SIGNAL-IGNORE scenario in Blocked Input 2.0
3 was not able to produce the characteristic phenomenon of saccadic inhibition: dips in the
4 distribution (**Figure 3A**). Instead, the model predicts only a partial recovery from the
5 interference, leading to many saccades being inhibited (51% for Monkey C, 78% for Monkey
6 A), despite the instruction to ignore. In contrast, integrating the main idea from Blocked Input
7 into the endogenous node within DINASAUR provides good generalization between IGNORE
8 and STOP conditions (Figure 3B and D).

9



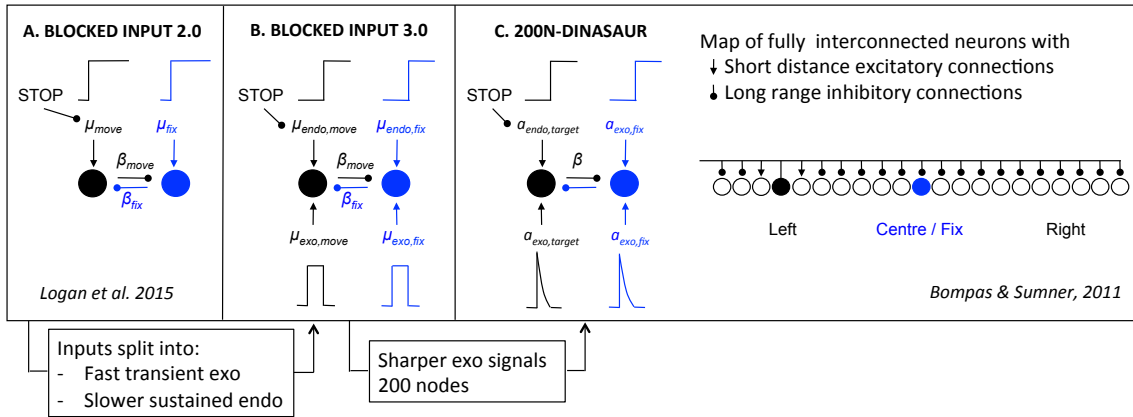
10

11 **Figure 3.** Simulated RT distributions from 10,000 trials using Blocked Input 2.0 (A,C) and 200N-
12 DINASAUR (B,D) for signal onset (green line) at SOA 83 ms. Blue shaded areas indicate those
13 instantiations of each models as published. Red shaded areas indicate predictions for new
14 conditions based on the assumptions described in **Figure 2**. The DINASAUR model (with blocked
15 input for stopping) captures well the typical pattern of results obtained in both paradigms.
16 Blocked Input 2.0 (with automatic fixation activity for ignore conditions) is not able to produce
17 the sharp dips expected from the saccadic inhibition literature (but see Blocked Input 3.0 and
18 Figures 4-5). Both models predict a perfect alignment across instructions of the time when the
19 signal RT distribution (black) departs from the NO-SIGNAL RT distribution (grey), indicated by
20 the blue dots (T_0) and highlighted by blue vertical bars. Note that the difference in mean and
21 variance of the RT distributions between the models simply reflects the parameters inherited
22 from previous publications; they have never been fitted to the same behavioral distributions.
23 Relatedly, the position of T_0 (blue dots) relative to the baseline distribution merely depends on
24 where that distribution lies relative to signal onset (the SOA). The important aspect here is
25 generalization ability of each model across instructions.

1 **2.4. Blocked Input 3.0**

2 We also test a simple upgrade of the Blocked Input 2.0 model. Taking inspiration from
 3 DINASAUR’s ability to capture the saccadic inhibition paradigm, we introduce a similar split
 4 between fast exogenous and slower selective signals into Blocked Input, which now allows the
 5 amplitude of these 2 streams of signal to vary independently as a function of the instruction.
 6 **Figure 4** illustrates the relationship across all three models discussed in the present article.

7



8

9 **Figure 4.** Overview of models and their relationships. **A.** Blocked Input 2.0 as in Logan et al.
 10 2015. **B.** Blocked Input 3.0 integrates aspects of DINASAUR into Blocked Input 2.0 in an attempt
 11 to capture the SIGNAL-IGNORE condition. Its inputs are split into two conceptually different
 12 streams: a fast and transient drive tied to visual onsets (exogenous) and a slower sustained
 13 drive tied to instructions (endogenous). **C.** 200N-DINASAUR is a map of fully interconnected
 14 neurons representing part of the left, central and right visual fields, invented to capture
 15 simplified SC dynamics. The temporal dynamics of its exogenous signals (quick growth and
 16 exponential decay) is a key factor for creating sharp dips and quick recovery.

17

18 **Table 3** presents the parameters specific to Blocked Input 3.0. We first attempt to inherit
 19 all parameter values from Blocked Input 2.0, without adding any new free parameter. In order
 20 to leave the NO-SIGNAL distribution unchanged between Blocked Input 2.0 and 3.0, we set the
 21 duration of exogenous signals as the difference between $D_{control}$ and D . Therefore, the inputs to
 22 the target node following target onset are the same under both models (a step function
 23 starting after delay D , **Figure 5A-B**). As can be seen on the simulated RT distributions (**Figure**
 24 **5C**), this variant improves on Blocked Input 2.0 in that most saccades now recover from
 25 distractor interference in the IGNORE condition, which is crucial to observe dips, the hallmark
 26 of saccadic inhibition. The reason for this improved recovery is that the bottom-up signal
 27 associated with the return of fixation is temporary (discontinued blue line on **Figure 5A**),
 28 rather than sustained (compare with Figure 2B).

29 However, the simulated dip remains much shallower than in behavioral data. In Blocked
 30 Input 3.1, we therefore decoupled the amplitude of exogenous and endogenous signals, to
 31 allow the exogenous transient signals to be larger (continuous blue line on **Figure 5A**). For
 32 instance, multiplying the exogenous signals by 3 creates much larger dips, now comparable in
 33 amplitude to typical data observed in saccadic inhibition. The STOP condition would now also
 34 contain this initial strong fixation signal, dropping back to the sustained level in Blocked input
 35 2.0 after a short delay (**Figure 5B**). This slightly reduces the number of failed stops (**Figure 5F**).

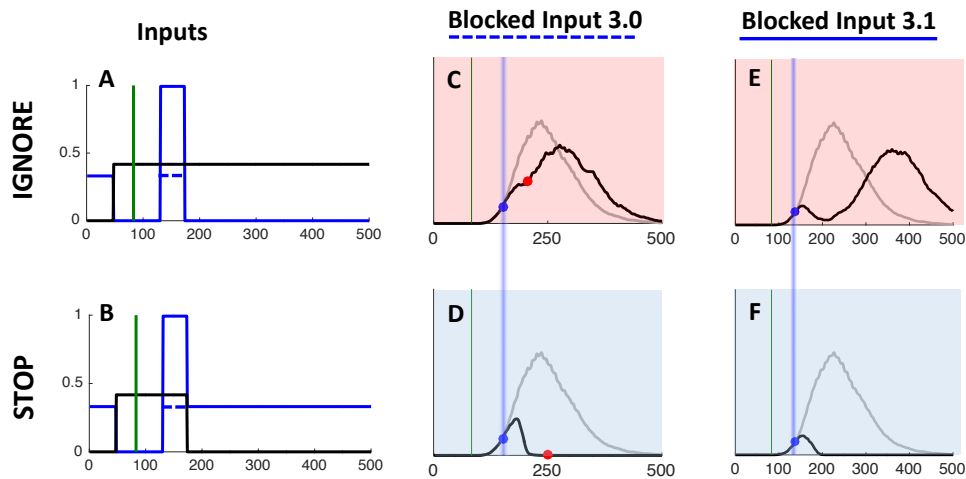
1 This upgrade is reminiscent of the Boosted Fixation model, also proposed (and rejected) in
 2 Logan et al. (2015). However, contrary to Boosted Fixation, the extra fixation drive here is only
 3 temporary.

4

5 **Table 3.** Description and values of new parameters introduced in Blocked Input 3.0 and 3.1.
 6 Blocked Input 3.0 assumes all parameter values are equal to published values from Blocked
 7 Input 2.0 (grey boxes), while Blocked Input 3.1 adds one free parameter: the amplitude of the
 8 exogenous input triggered by signal onset (white box).

Name	Description	3.0	3.1
$\mu_{exo,move}$	Amplitude of exo inputs to move node	μ_{move} (from 2.0)	
$\mu_{exo,fix}$	Amplitude of exo inputs to fix node	μ_{fix} (from 2.0)	$\mu_{fix} * 3$
$\mu_{endo,move}$	Amplitude of endo inputs to move node	μ_{move} (from 2.0)	
$\mu_{endo,fix}$	Amplitude of endo inputs to fix node	μ_{fix} (from 2.0)	
D	Delay of exogenous inputs (ms)	D (from 2.0)	
$D_{Control}$	Delay of endogenous inputs (excitatory and inhibitory, ms)	$D_{Control}$ (from 2.0)	

9



10

11 **Figure 5.** Inputs and simulations from Blocked Input 3.0 and 3.1. **A-B.** In the most
 12 straightforward generalization from Blocked Input 2.0, we assume in Blocked Input 3.0 that the
 13 transient visual signals associated with signal onset are the same size as the original fixation
 14 inputs in Blocked Input 2.0 (discontinuous blue line). Blocked Input 3.1 assumes that the
 15 transient activity from the signal is larger (in this case 3 times higher) than the baseline fixation
 16 amplitude (continuous blue line). **C.** Simulated RT for Blocked Input 3.0 shows some dip, but this
 17 remains very shallow. **D.** The STOP condition for Blocked Input 3.0 is the same as for Blocked
 18 Input 2.0. **E-F.** Simulated RTs for Blocked Input 3.1 now show a clear dip and recovery as
 19 expected in the SIGNAL-IGNORE condition (E), while still capturing the SIGNAL-STOP condition
 20 (F).

21 Blocked Input 3.1 confirms that splitting signals into distinct transient exogenous and
 22 sustained endogenous drives is an important property for allowing the model to capture new
 23 tasks. Not only does this splitting allow us to decouple the amplitude of both drives, but it also
 24 creates a straightforward relationship between, on the one hand, visual events and exogenous

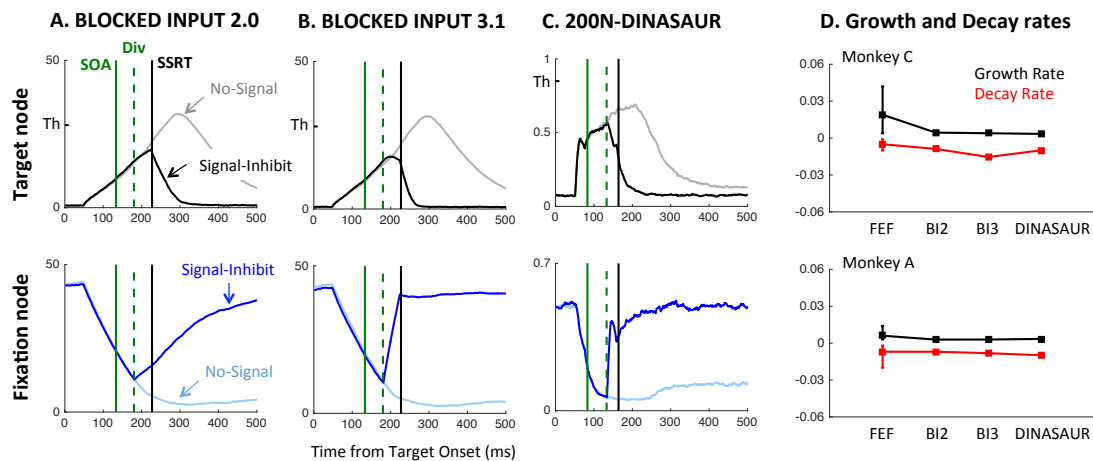
1 signals, and on the other hand, the instructions and endogenous signals. However, despite this
 2 improvement, the dips created by Blocked Input 3.1 tend to recover too slowly, producing too
 3 long a tail compared with observed data and to simulations using the DINASAUR model. This
 4 highlights the importance of the temporal profile of exogenous signals. In DINASAUR, the step
 5 increase followed by exponential decay allows the interference to be maximal for a very short
 6 time window, and then for the system to recover quickly. Importing this property into Blocked
 7 Input 3.1 would doubtless improve the model's performance. But rather than creating an
 8 amalgam model from this direction, in the remaining of the article, we use DINASAUR as the
 9 base model and inherit the spirit of Blocked Input for the behavior of endogenous signals
 10 during countermanding. This merger already captures the iconic behavior of the two
 11 paradigms as shown in Figure 3.

12

13 **2.5. Comparison to recordings in FEF neurons**

14 One of the strengths of Blocked Input 2.0 was its ability to capture not only monkey behavior
 15 but also that of fixation and movement neurons recorded within the frontal eye field of these
 16 monkeys, as previously published in Hanes et al. (1998) and Boucher et al. (2007). As explained
 17 above, DINASAUR appears better able to generalize across behavior in different tasks than
 18 Blocked Input 2.0, 3.0 and 3.1. The next critical question is how well DINASAUR approximates
 19 activity in fixation and movement neurons. **Figure 6** shows that firing rates from DINASAUR
 20 and Blocked Input models are quite comparable (**panels A-C**), and that DINASAUR accounts
 21 equally well for the growth and decay rates from FEF neurons during successful inhibition
 22 (**panel D**) highlighted in Logan et al. (2015). Figure 6 was designed to match Figures 13 and 14
 23 in Logan et al. (2015) and the reader should refer to this work for a full justification.

24



25

26 **Figure 6. A-C.** Mean firing rates from 1000 simulated trials using each model under the STOP
 27 condition, at the target and fixation nodes. The solid green line indicates the signal onset, here
 28 chosen at SOA 133, matching the experiments presented in section 4. The dashed green line
 29 shows the divergence time, i.e. the time at which this signal starts having an effect on the
 30 neuronal map, while the black vertical line indicates the SSRT, estimated from the simulated RT
 31 from each model. Activity was averaged across trials leading to successful inhibition (black and
 32 dark blue lines, Signal-Inhibit trials) and compared with “latency matched” No-Signal trials
 33 (grey and light blue lines; i.e. No-Signal trials in which latency is greater than $SOA+T_0$). On the
 34 y-axis for the target node, Th indicates the saccade initiation threshold (although this is not

1 directly relevant for average firing rates, see text). **D.** Mean growth and decay rates from FEF
2 neurons and simulations from each model (BI2 and BI3 refer to Blocked Input 2.0 and 3.1
3 respectively), using the same format as Figure 14 in Logan et al. (2015).

4
5 Panels A-C on Figure 6 contrast the mean firing rates between successful inhibition in
6 SIGNAL trials and comparable NO-SIGNAL trials (i.e. NO-SIGNAL trials leading to a saccade
7 being executed after the dip onset). In all models, target activity starts rising after a delay
8 following target onset, while fixation activity decreases following fixation offset, irrespective of
9 whether a signal is present or absent. On NO-SIGNAL trials, the fixation activity carries on
10 decreasing (light blue lines), while the move activity carries on rising until it reaches a peak and
11 then returns to baseline (grey lines). In neuronal recordings, this return to baseline is
12 presumably related to triggering a saccade, and to mimic this effect in all our simulations, we
13 interrupted the visual input to the peripheral target node each time a saccade was triggered in
14 the model. This has of course no effect on the simulated RT distribution.

15 On SIGNAL trials, following the signal (green solid lines), activity rises again at fixation
16 (dark blue lines), resulting in a decrease in move activity (mediated by lateral inhibition),
17 further emphasized by the suppression of inputs to the move/target node. Panels A-C also
18 show the divergence time (green dashed lines); the time at which this signal starts having an
19 effect on the target node (the separation of grey and black lines). In all models, this time is
20 equal to $SOA + \delta_{vis}$. All trials where the threshold is reached before this divergence time escape
21 all influence from the signal and will therefore result in a failure to withhold the saccade
22 (Signal-Respond trials). All trials where the threshold has not been reached by this time will be
23 influenced by the signal to some extent. On some trials, the interference will be sufficient for
24 the saccade to be correctly withheld (Signal-Inhibit category). On others, this interference may
25 not be strong enough and the saccade is produced with a delay. This delay can be very short
26 (as little as 1ms if the firing rate was very close to the threshold when the signal starts
27 interfering), or much longer (up to 200 ms, see Bompas & Sumner, 2015). This variety means
28 that recovery of saccades is already happening throughout the behavioral dips, rather than
29 being restricted to the observed 'recovery phase'. Although δ_{vis} is kept constant and thus the
30 interference starts at the same time on every trial, the dips in the generated behavioral
31 distribution are more spread, matching those observed in empirical data.

32 The key difference between the models is that interference from the signal (the return of
33 fixation activity and consequent lateral inhibition) increases in sharpness when going from
34 Blocked Input 2.0 to Blocked Input 3.1 and to DINASAUR, illustrating the key property that
35 makes DINASAUR able to produce sharp dips. Note that the downturn of target activity is
36 already dramatic at the divergence time in DINASAUR, caused by the exogenous signal alone.
37 In Blocked Input, the initial divergence is more subtle, and relies on the blocking of
38 endogenous input for activity to take a severe downturn. Nevertheless, Panel B confirms the
39 intuition from Logan et al. (2015) that a temporary boost of fixation following the signal
40 (Blocked Input 3.1) would indeed capture neural dynamics.

41 The figure also shows the SSRT estimated from the simulated behavior for comparison
42 (black vertical lines), using the integration method (Verbruggen, Chambers, & Logan, 2013).
43 We can see that the SSRT is always later than the divergence time and we will come back to
44 the relationship between the two measures in section 5. Lastly, note that, when averaged over
45 a large number of trials, mean node activity in DINASAUR never reaches the initiation
46 threshold, contrary to Blocked Input models. However, whether and when the *mean* activity

1 reaches threshold is not directly relevant: in either class of model, the RT on each trial is
2 determined by when the noisy activity reaches the threshold, and – due to the noise – this
3 happens most of the time before the average trace reaches the threshold. Therefore, this
4 apparent difference across models merely reflects the temporal profiles of accumulation
5 (affected by the balance of self-excitation and leakage).

6 While firing rates from Blocked Input 2.0 bear most resemblance to those motor neurons
7 recorded in Monkey A, firing rates from DINASAUR resemble closely those visuo-movement
8 neurons recorded in Monkey C (Figure 5 in Logan et al., 2015). Although there are potentially
9 important differences between the two neuronal populations (Ray, Pouget, & Schall, 2009), on
10 which we will come back in Discussion, activity within both neuron types modulate at about
11 the same time and show similar growth and decay rates, as stated in Logan et al. (2015). Figure
12 6D shows that DINASAUR provides growth and decay rates very similar to those in Blocked
13 Input 2.0, accounting well for neuronal recordings in both monkeys. To construct panel D, we
14 digitized the FEF data from Figure 14 in Logan et al. (2015), and ran simulations from each
15 model following the same procedure as they used (see their Appendix C). Briefly, we simulated
16 the models using the same SOAs and trial numbers as those from the FEF recordings (SOA
17 ranging from 68 to 184 ms, and trial numbers varying from 61 to 130). For each SOA and
18 monkey, the firing rate was averaged across the trials and divided by the initiation threshold.
19 Minimum (M) and peak (P) mean firing rates were extracted, as well as the difference between
20 these ($D = P - M$). The growth and decay rates were calculated on two sections of the curve,
21 where the growth and decay are almost linear (i.e. the portion increasing from 25 to 75% of D
22 ($M + D * 0.25$ to $M + D * 0.75$) for the growth rate, and the portion decreasing from 75 to 25%
23 of D for the decay rate). It is clear that estimates from each model were within the range of
24 estimates from neurons, similarly so across models.

25

26 **2.6. Empirical prediction: universality of dip onsets**

27 Irrespective of how well each model performs overall, a crucial observation in all our model
28 simulations is that the time point when latency distributions diverge is exactly the same under
29 both instructions (blue dots and lines on **Figure 3 and 5**). This is a basic prediction as soon as
30 the initial neuronal response to the stop signal is conceptualized as automatic (and as long as
31 non-decision time is not modulated by the different contexts, see below). In our previous work
32 on saccadic inhibition, we have referred to this divergence point as dip onset or T_0 and, using
33 DINASAUR, we have shown that $T_0 - SOA$ directly reflects non-decision time (Bompas et al.,
34 2017; Bompas & Sumner, 2011, 2015). Below we explain why the relationship between T_0 and
35 non-decision time should hold overall irrespective of the model, and why we expect T_0 to
36 remain mostly unchanged across instructions.

37

38 *Dip onset reflects non-decision time.*

39 The conceptual approach that dip-onset is a direct reflection of the sum of the sensory delay
40 and the motor output delay (non-decision time) was validated by varying the luminance
41 contrast and color of distractors (Bompas & Sumner, 2011), using dips as a behavioral
42 electrode for precisely determining sensory delay. This relationship is not expected to be
43 model-specific, since it depends simply on the logic of what non-decision time is – the portion
44 of the RT that is not influenced by decision / selection processes (i.e. not influenced by a
45 distractor signal). Neither should T_0 *theoretically* depend on the shape of what follows – a

1 sharp or gradual divergence or a true ‘dip’ (which implies divergence and then recovery).
2 However, it should be noted that T_0 is only directly observable in simulations or data if the
3 distractor signal SOA allows the dip to fall within the main body of the RT distribution and if
4 there are enough trials to allow little or no smoothing (smoothing is known to anticipate dip
5 onsets). Its estimate could therefore slightly vary across models depending on the shape of the
6 distributions. In Figure 3, simulations from Blocked Input 2.0 and DINASAUR were smoothed
7 using the same procedure as real data and produce T_0 respectively at 138 ms and 143 ms at
8 SOA 83, irrespective of the instruction; that is respectively 55 and 60 ms following the
9 distractor, while their respective non-decision times are 60 and 70 ms. Note that the
10 differences in non-decision time across models are not relevant here as these result from
11 fitting model parameters over completely different datasets and have never been contrasted
12 before. What matters for now is that T_0 offers a good estimate of non-decision times for any
13 model (but will often anticipate it by 5 to 10 ms depending on the RT distribution and
14 smoothing).

15

16 *Should T_0 remain unchanged across contexts?*

17 One could argue that non-decision time may well differ under stop and ignore instructions,
18 because of the associated attentional or strategic pro-active adjustments participants would
19 likely make. Indeed, previous work using selective slowing paradigms (Bissett & Logan, 2014)
20 has shown that, under the stop instruction, participants slow down to avoid making too many
21 errors, in a similar fashion as when adjusting their behavior under accuracy versus speed
22 instructions. It is therefore conceivable that T_0 would be longer under the stop condition
23 compared with the ignore condition, therefore contributing to the overall slowing. On the
24 other hand, the stop condition requiring more attention to be paid to the stop signal, it is also
25 conceivable that this would lead to improved sensory processing of the signal (Elchlepp, Lavric,
26 Chambers, & Verbruggen, 2016) and therefore possibly to a shortening of T_0 compared with a
27 condition where the signal should be ignored.

28 Previous research in the field of saccadic inhibition has consistently and clearly shown
29 that T_0 , and therefore non-decision time, is mostly insensitive to pro-active slowing. For
30 instance, Reingold and Stampe (2002) showed that dip timing was on average 4 ms *later*
31 during pro-saccade blocks than during anti-saccade blocks, despite RTs being 100 ms faster.
32 This being said, this difference was significant, which could suggest small but genuine
33 modulations of non-decision time by instructions or ‘task-set’. In any case, these remain
34 negligible compared with the modulations in decision time.

35 Although the SSRT has long been conceived as the delay required to inhibit action, it is
36 now clear that a large proportion of this time is devoted to non-decision time, while the
37 inhibitory component is rapid and late (Boucher, Palmeri, et al., 2007). SSRT is sensitive to the
38 salience of the stop signal and insensitive to fixation offsets (Morein-Zamir & Kingstone, 2006),
39 just like T_0 in a saccadic inhibition paradigm (Bompas & Sumner, 2011; Reingold & Stampe,
40 2002). These findings suggest that SSRT likely behaves like T_0 , and therefore we expect the
41 early part of the interference from stop-signals and distractors should be very similar in
42 saccadic inhibition and countermanding. This leads to the strong prediction that T_0 should
43 remain the same across contexts (within a few ms), providing the same stimuli are used and
44 only the instructions differ. In Sections 3 and 4, we test this empirical prediction, which
45 constitutes the 1st step for our approach of unifying paradigms and models by regarding the
46 first ‘inhibitory’ signal as fully automatic and therefore fully independent of instructions (note

1 that this is actually an overly stringent definition of automatic; we will return in Discussion to
2 the concept of conditional automaticity, whereby cascades of neuronal activation considered
3 automatic are nevertheless modulated by context).

4 5 **2.7. Modeling prediction: “one latency fits all” top-down delay**

6 A second key consequence from Section 2 is that top-down inhibition does not need a
7 different delay from top-down drive. Rather, once endogenous and exogenous signals are
8 explicitly separated, like in DINASAUR and Blocked Input 3, endogenous delays can all be
9 captured by one variable, which constrains the latency of four events: 1) endogenous support
10 for the target following target onset, 2) the removal of endogenous support for fixation
11 following target onset, 3) the removal of endogenous support for the target following the
12 signal under the stop instruction and 4) endogenous support returning to fixation following the
13 stop instruction. This makes strong predictions when directly contrasting behaviors across
14 conditions and paradigms, as this single parameter will now directly influence the NO-SIGNAL,
15 SIGNAL-IGNORE and SIGNAL-STOP distributions across all SOAs.

16 Furthermore, this single endogenous delay is not even a free parameter in DINASAUR, but
17 is defined as exogenous delay + a fixed delay of 25 ms. The assumption that δ_{endo} directly
18 depends on δ_{vis} reflects the idea that both exogenous and endogenous delays in sensorimotor
19 decision tasks are linked to sensory signals, but endogenous signals are filtered by task
20 relevance (Bompas & Sumner, 2011). This additional filtering process implies a longer route
21 through the brain before entering motor competition, but the time at which these selective
22 signals can be made available remains dependent on how fast the raw signals can reach these
23 higher-level areas, i.e. the exogenous delay. Therefore, stronger signals will travel quicker
24 within the brain, both straight to the decision area (δ_{vis}), and via the filtering process for task
25 relevance (δ_{endo}). This 25 ms difference would in principle vary depending on the exact task
26 and participants without changing the spirit of DINASAUR. The original model, inspired from
27 the activity in SC neurons of monkeys, actually used a 50 ms difference (with δ_{vis} of 70,
28 Trappenberg et al., 2001). Here the 25 ms is simply inherited from our previous modeling of
29 saccades in humans (Bompas & Sumner, 2011).

30 In Section 5, we test whether DINASAUR can, under these strict assumptions and with the
31 stopping behavior inspired from Blocked Input, capture all aspects of our data. We show that
32 this is the case, as long as we allow a minor refinement to the model to account for trials
33 where human participants occasionally fail to maintain the stop instruction and ignore a stop-
34 signal.

35 36 **3. Empirical data – Methods**

37 **3.1. Rationale for Experiments 1 and 2**

38 The behavior of humans and monkeys during the stop task or saccadic inhibition has been
39 described many times, forging strong expectations for what empirical distributions will look
40 like in each paradigm separately (Figure 1) and justifying the modeling endeavor from both
41 fields (Figures 2-3). However, these paradigms have never been tested on the same
42 participants and using the same stimuli. In the manual domain, ignore conditions have been
43 used in stop paradigms, a paradigm known as “selective stopping” (see Bissett & Logan, 2014
44 for a review). This paradigm would typically introduce two types of signals, one requiring a

1 stop and the other indicating the action should carry on (Verbruggen & Logan, 2009), or would
2 involve two actions, one that should be inhibited (left hand movement) while the other should
3 carry on (right hand movement). However, the nature of the analysis performed was quite
4 different to our present ambition. As described above, our main aim for introducing new
5 empirical data was two-fold. First, we aimed to test the prediction that the initial disruption to
6 RT distributions is the same irrespective of instruction, suggesting that it is driven by
7 automatic, rather than top-down inhibition. More specifically, this can be assessed by directly
8 comparing dip onsets across instructions, as all models under this generalization hypothesis
9 predicted perfect temporal alignment of dip onsets across conditions. Secondly, we aimed to
10 test whether the later effects of the signal under each instruction can be captured within one
11 single model with one set of parameters. This would suggest that distributions of failed stop
12 can be fully predicted from the ignore condition by simply blocking the ability for saccades to
13 recover, ultimately linking both phenomena to automatic interference from exogenous signals.

14 In order to answer these questions, we needed to directly compare aspects of the RT
15 distributions between contexts. Here there is a complication, which led us to conduct two
16 separate experiments. Identical baseline (NO-SIGNAL) trials produce slower responses when
17 participants know they might have to occasionally stop (as in a countermanding experiment)
18 compared with when they are always allowed to ignore stimuli that come after the target (as
19 in a saccadic inhibition experiment). This context-dependency is known as 'proactive slowing'
20 (slowing of responses as a preparatory precaution given the possibility of having to stop,
21 Verbruggen, Best, et al., 2014; Verbruggen & Logan, 2009). For this reason, we must compare
22 SIGNAL-IGNORE and SIGNAL-STOP trials to their own NO-SIGNAL trials from the same block,
23 rather than using the same baseline (as simulated in Figure 3). But further, too much
24 distribution shift between conditions would hamper direct comparison. When RTs are very
25 quick, only short SOAs produce detectable dips (as later ones only affect the very tail of the
26 distribution, where hardly any saccades occur). But very short SOAs are not optimal to study
27 stopping behavior, as only very few fails would then be observed. To be able to compare
28 behavior using an identical set of SOAs, we needed to ensure that the baseline distributions in
29 the two contexts would overlap to considerable degree, even though some difference was
30 inevitable.

31 To minimize proactive slowing in our design, in **Experiment 1** we introduced a small
32 number of trials requiring stopping in all blocks. To do this we included two types of signal,
33 which we call 'common' and 'rare'. In the IGNORE context, participants were asked to ignore
34 the common signal but stop to the rare signal. This was reversed for the STOP context. Rare
35 and common signals had identical properties except the rare was black (not illustrated) and
36 the common white (Figure 1 and 2A). Only responses to no-signal and common-signal trials
37 were included in further analyses; the rare signal was present only to minimize differences in
38 proactive slowing between the blocks. Differences remained, but this manipulation ensured
39 the two baseline distributions were similar enough to measure T_0 in all SOAs in both contexts.
40 Our design is akin to "stimulus selective stopping" designs, which have been commonly used in
41 the context of the manual stop task (see Bissett & Logan, 2014 for a review) and typically
42 analyzed within the framework of the independent race model. Note that here we blocked the
43 instruction to the common signal, rather than interleaving all three trial types (no-signal,
44 signal-ignore and signal-stop) within the same blocks. This allows us to keep the exact same
45 visual stimulus as signal and provides the strongest test for our prediction. Indeed, if T_0 is fully
46 constrained by automatic processes, it should depend only on the visual properties of the
47 signal, and not the instructions, and this should remain true even when across blocks.

1 However, Experiment 1 also created a small recovery phase in the latency distribution for
2 the STOP context. This may reflect particularly high levels of confusion in our participants, due
3 to the interleaved presence of rare and common signals, and therefore to an increased failure
4 to trigger the inhibition on STOP trials. Therefore, we validated our findings in **Experiment 2** on
5 a new sample, without the rare signal trials. This created the expected large shift between the
6 two baseline distributions, making the long SOAs inefficient in the IGNORE context, and the
7 short SOAs suboptimal in the STOP context. However, the same conclusions could be drawn
8 from both experiments.

10 **3.2. Participants**

11 These experiments took a psychophysical approach in which few participants provided
12 thousands of trials (between 5000 and 8000 each) to generate reaction time distributions, akin
13 to neurophysiology studies that use non-human primates as subjects. The reason for this
14 approach is that dips are a very robust phenomenon, found in every single participant tested
15 throughout the saccadic inhibition literature on humans and primates, while the critical aspect
16 is the accurate estimate of T_0 , which benefits from collecting a large number of trials per
17 condition. Nine participants (5 female) with normal or corrected to normal vision took part (4
18 in Exp 1 and 5 in Exp 2). One participant in Exp 2 was excluded because his accuracy on the
19 stop task was around 2%. All participants were naïve to the purpose of the experiments.

21 **3.3. Materials**

22 A Tobii TX300 eye tracker with a 300 Hz sampling rate was used to collect saccade data.
23 Participants were seated approximately 60cm from the screen where exact position of the eye
24 in 3D space was calculated through algorithms supplied by the Tobii software for each time-
25 point sampled. Eye position was calibrated using a 9-point calibration array at the start of
26 every session and after every 600 trials (one block). A 23 inch (51 by 29cm) LCD screen with a
27 60Hz refresh rate was used to present stimuli. The lights in the room were switched off but the
28 room was not in total darkness.

30 **3.4. Stimuli and procedure**

31 The two main trial types are illustrated in Figure 1 and 2A. Briefly, all trials began with a central
32 fixation point, a white circle 0.4° visual angle in diameter (200 cd/m^2), presented on a grey
33 background for 700ms (58 cd/m^2). This was immediately followed by a target with the same
34 properties as the fixation point but either 12° visual angle to the left or right of the center of
35 the screen on the vertical midpoint. For no-signal trials (60% of trials), the target appeared for
36 1000ms and no other stimuli were presented. Both **Experiments 1 and 2** contained common-
37 signal trials in which the target was followed by a larger white stimulus (1° diameter, 120
38 cd/m^2) appearing in the center of the screen after varying stimulus onset asynchronies (SOA:
39 50, 83, 133 ms, due to the 60Hz refresh rate) until the end of the trial (i.e. until the main target
40 disappeared). **Experiment 1** additionally contained rare signal trials (5% of trials), in which the
41 distractor was black (1° diameter, 9 cd/m^2).

42 Participants were instructed to fixate on the central fixation point and then saccade as
43 quickly as possible to the target that appeared randomly on the left or right of fixation (in
44 equal frequencies). At the beginning of each block participants were given instructions to try

1 to withhold their eye movement whenever the relevant signal (white or black disc) appeared
2 in the center of the screen. They were told to ignore the other signal and to make a saccade to
3 target as normal. All participants were instructed to ‘respond as fast as possible whilst
4 minimizing errors’. At the end of each block participants were given feedback on mean
5 reaction time, percentage of failed stops and percentage successful ignores for the relevant
6 stimuli.

7 Each participant completed over 5000 trials per experiment, divided into 24 blocks and 2
8 contexts (12 blocks per context) spread over 4 sessions of 4 to 6 blocks each (approximately 1
9 hour per session). Each session contained a run of 2 or 3 blocks of one context followed by a
10 run of 2 or 3 blocks of the alternate context, presented in a counterbalanced order both within
11 and across participants. The stimuli presented were identical across all blocks, however the
12 required responses varied depending on the context.

13

14 **3.5. Data Analysis**

15 Raw gaze position data were first smoothed using a moving average with a window size of
16 16.67ms and equal weighting across the window. Next saccades were detected using a velocity
17 criterion of 35°/s, an acceleration of 6000°/s, and an amplitude of at least 6° (halfway to the
18 target). Trials were excluded if there was loss of tracking (greater than 100ms) or blinks in the
19 period 100ms before target onset to 100ms after saccade offset, or small saccades (under 6°)
20 from 100ms before target onset. Each trial was visually inspected to ensure correct saccade
21 detection by the algorithm and corrected where needed. Saccade latencies were calculated as
22 the difference between target onset and saccade onset and then classified by trial type and
23 context. All following analyzes are collapsed across left and right targets.

24 Next, saccade latency distributions were obtained for each participant for no-signal trials
25 and common-signal trials for each SOA collapsed across all sessions, separated by context.
26 Latency distributions were obtained with a bin size of 3.33ms (the refresh rate of the eye
27 tracker was 300Hz). Given the difference in trial numbers between signal and no-signal trial-
28 types, all distributions were scaled according to the number of trials still present within that
29 condition after the exclusions listed above. Distributions of correct responses were then lightly
30 smoothed using a Gaussian kernel with 7ms window size and 3ms standard deviation and
31 interpolated to obtain 1ms precision, in line with Bompas et al. (2017) using similar trial
32 numbers. Distributions using pooled data across observers and/or SOA used less smoothing
33 (window = 5, SD = 1), in line with Bompas & Sumner (2011) using larger datasets. Note that for
34 noisy distributions smoothing is necessary to robustly extract dip onset, but also anticipates
35 dip onset. When more trials are available, smoothing becomes less necessary and less
36 desirable for this reason.

37 In order to determine the onset and peak amplitude of the dip in saccade latency
38 distributions a distraction ratio was calculated for each time-bin of the latency distributions
39 where at least 1 trial was present in the no-signal condition (e.g Bompas & Sumner, 2011;
40 Reingold & Stampe, 2002). This distraction ratio is the proportional change in the number of
41 saccades made in the signal-present distribution relative to the number in the no-signal
42 distribution. This is calculated for each time bin as:

$$43 \quad \text{Distraction ratio} = \frac{N(\text{no signal distribution}) - N(\text{signal distribution})}{N(\text{no signal distribution})}$$

1 The peak dip amplitude was calculated as the first time point of the maximum of the
 2 distraction ratio where the difference in the two distributions was greater than 2 saccades and
 3 the ratio was greater than 20%. Onsets of dips were defined as the point at which the
 4 distraction ratio fell below 2% working backwards in time from the dip peak.

5

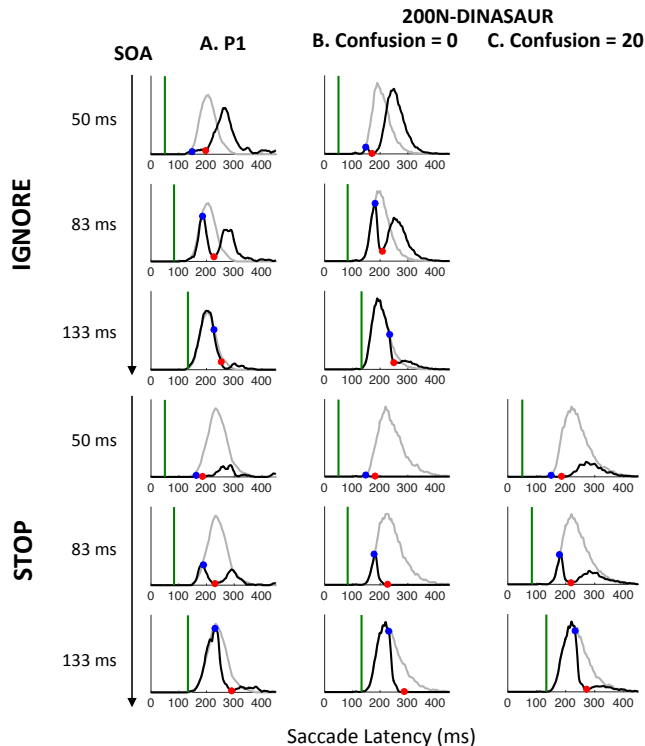
6 4. Empirical data - Results

7 4.1. Latency distributions

8 **Figure 7** shows the saccade latency distributions for a typical participant (P1 in Experiment 1)
 9 in each context (see Appendix for all individual distributions and **Table 4** for main summary
 10 statistics for both experiments), along with the simulations using 200N-DINASAUR (see section
 11 5 for modeling details). As expected, the IGNORE context is characterized by dips in the
 12 distribution following signal onsets, comparable to those in previous studies of saccade
 13 inhibition (Bompas & Sumner, 2011; Buonocore & McIntosh, 2008, 2012; Buonocore &
 14 McIntosh, 2013; Edelman & Xu, 2009; Reingold & Stampe, 2002, 2004). The distributions of
 15 failed inhibitions in the STOP context also show dips, but these are followed by little or no
 16 recovery, indicating mostly successful stops in the latter part of each distribution. Although
 17 one can start to appreciate the temporal alignment of T_0 across contexts, this is more clearly
 18 illustrated by pooling across SOAs (section 4.2).

19 Table 4 also shows the SSRT estimates, obtained using the integration method
 20 (Verbruggen et al., 2013). These were comparable to previous reports for saccade
 21 countermanding in human (on average 134 ms, Hanes & Carpenter, 1999), i.e. about 32 ms
 22 after dip onset and 30 ms longer than in rhesus monkeys (Hanes et al., 1998; Hanes & Schall,
 23 1995; Paré & Hanes, 2003).

24



1 **Figure 7.** Latency distributions for Participant 1 in Experiment 1 across SOAs (rows) in the
 2 IGNORE and STOP contexts (A), along with simulations from DINASAUR without (B) and with
 3 (C) “confusion” (otherwise using parameter sets I1 and S1 on Table 5). Green bar indicates the
 4 signal onset. Grey lines indicate distributions in which no signal was presented. Black lines
 5 indicate distributions of trials in which a signal occurred. Blue dots indicate the dip onset (i.e.
 6 where the distributions diverge, not necessarily where one takes a down-turn); red dots show
 7 dip maximum.

8 **Table 4.** Summary of empirical data along with simulated measures from the DINASAUR model.
 9 All measures are expressed in ms. T_{op} is the dip onset estimated from pooled distribution across
 10 all SOAs locked on distractor onset (see Figure 8). Group estimates are either mean across
 11 individuals (for mean RT and SD) or estimates from distribution data pooled across observers.

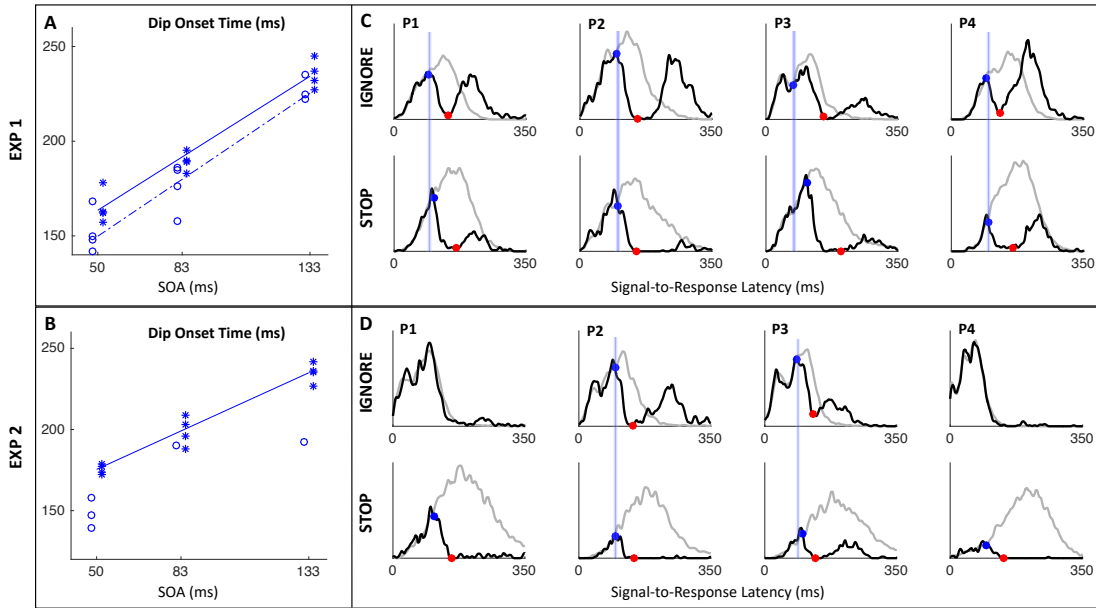
Exp. 1	IGNORE			STOP			
	Mean RT No-Signal	SD of RT No-Signal	T_{op} across all SOAs	Mean RT No-Signal	SD of RT No-Signal	T_{op} across all SOAs	Mean SSRT across SOAs
P1	207	29	91	241	36	105	139
P2	210	42	98	239	58	100	128
P3	189	32	73	233	57	110	136
P4	231	27	91	261	34	98	155
Group	209	33	96	243	46	103	138
DINASAUR	208	32	98	243	50	98	130
Exp. 2	IGNORE			STOP			
	Mean RT No-Signal	SD of RT No-Signal	T_{op} across all SOAs	Mean RT No-Signal	SD of RT No-Signal	T_{op} across all SOAs	Mean SSRT Across SOAs
P1	170	34	No dip	288	71	109	140
P2	195	40	96	280	60	98	119
P3	178	29	86	290	68	101	141
P4	132	20	No dip	289	60	96	116
Group	169	31	95	287	65	99	131
DINASAUR	174	26	98	289	63	98	134

12

13 4.2. Temporal alignment of dip onsets across contexts

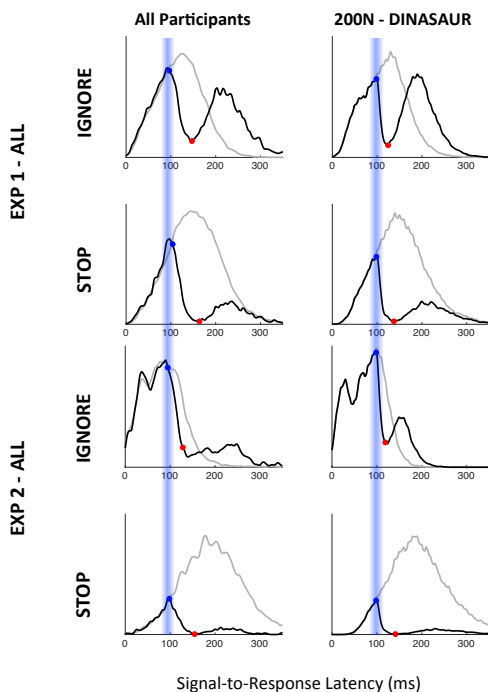
14 **Figure 8** shows the expected strong linear relationship between dip onset, and the timing of
 15 the distractor or stop signal, as well as the temporal alignment of dip onsets across the two
 16 instructions. This locking of T_0 on distractor onset justifies pooling across SOAs based on time-
 17 since-distractor in order to improve the estimates of T_0 by using all the available data, a
 18 standard practice in many studies on saccadic inhibition (see section 3.5 and Reingold &
 19 Stampe, 2002). Panels C-D show these Signal-to-Response latency distributions for each
 20 participant and illustrate the temporal proximity of dip onsets across instructions. As expected,
 21 strategic adjustments across conditions were large in Experiment 2 (where the two contexts
 22 were kept fully separated) and meant the visual signal often arrived too late to have much
 23 effect in the ignore condition, especially for the fastest participants (P1 and P4). Nevertheless,
 24 when dips were observed in both contexts, these also appeared temporally aligned, confirming
 25 the results from Experiment 1. The main result is summarized on **Figure 9**, after pooling across
 26 participants.

27 Dip onsets in the present study are around 98 ms on average, slightly later than reported
 28 previously, but it is known that stimulus properties affect dip onset (see e.g. Figure 6 in
 29 Bompas and Sumner, 2011), and the precise timing of its detection is affected by trial numbers
 30 and smoothing (Bompas et al., 2017). Dip maxima (red symbols) also occur at similar times in
 31 each context, though the exact timing of dip maximum is affected by the properties of the
 32 recovery, and thus less directly interpretable than dip onset (Bompas et al., 2017).



1

2 **Figure 8. A-B.** Dip onset times (T_0) for each participant in the IGNORE (open circles)
 3 (stars) contexts of both experiments, along with regression lines across SOAs on each group
 4 (whenever sufficient data was available). As predicted, dip onsets are locked on signal onset
 5 and are temporally aligned between the IGNORE and STOP contexts, consistently across
 6 experiments. **C-D.** Overlap of dip timing between the IGNORE and STOP contexts in both
 7 experiments, highlighted by blue vertical bars. Distributions show saccade latency locked on
 8 signal onset, allowing pooling of trials across the three SOAs to best visualize the timing of dip
 9 onset (blue dots) and maximum (red).



10 **Figure 9.** Distributions of RT locked on signal onset, pooled across all SOAs and observers, along
 11 with simulations using 200N-DINASAUR model (parameters from Table 5). Same conventions
 12 as Figure 8.

1 Although dip onsets were overall aligned across tasks in both experiments, there
2 appeared to be small but systematic numerical differences, suggesting T_0 may be delayed in
3 the STOP condition. This is investigated below.

4 *Group statistics.* In Experiment 1, we found a main effect of SOA on T_0 in a 2 (task) x 3
5 (SOA) ANOVA, $F(2,6) = 84$, $p < 0.001$. The main effect of task was not significant ($F(1,3) = 3.6$, p
6 $= 0.15$) and did not interact with SOA ($F(2,6) = 0.37$, $p = 0.71$) in line with our main hypothesis.
7 Bayesian statistics suggested a similar pattern, with a Bayes Factor for the main effect of Task
8 of 0.63 (using equal prior probabilities). The same statistical test could not be conducted on
9 Experiment 2 alone because there were too many conditions without dipoles. Pooling the data
10 across Experiment 1 and 2 showed similar results (evidence for a role of Task: BF = 0.5). BFs for
11 Task were below 1 (therefore favoring the null) but above 0.33 (therefore not providing clear
12 evidence in favor of the null either). Based on these, we cannot exclude that dip onset may be
13 slightly delayed in the STOP context compared with the IGNORE, but this delay is small (5 ms
14 on average when pooling across participants, SOAs and both experiments).

15 *Bootstrapping within the pooled RT distributions.* Considering that our number of
16 participants is small but our number of trials per participant is very high, a complementary
17 statistical approach to the group-statistics above is to use bootstrapping to estimate the
18 stability in estimated dip onset times observed across the two tasks. This was first performed
19 on the signal-to-respond latency (same as Figure 9), i.e. on RT locked on distractor onset,
20 pooled across all participants and SOA. For each experiment, task (IGNORE and STOP) and
21 condition (no-signal and signal), we generated 1000 surrogate distributions from the observed
22 distributions, by randomly sampling the same number of trials from each original distribution.
23 We then applied the same dip onset extraction procedure as for observed data and calculated
24 the median and 95% (uncorrected percentile) confidence intervals for the 1000 T_{op} . The
25 median T_{op} were smaller in the IGNORE condition compared with the STOP condition but the
26 confidence intervals largely overlapped across the two conditions (median [lower, upper]
27 were: 96 [86, 103] and 103 [100, 106] for the IGNORE and STOP conditions of Exp 1; 96 [69,
28 104] and 99 [86, 103] for Exp 2). The same conclusion was reached when repeating this
29 analysis on each individual separately. The small difference between IGNORE and STOP
30 conditions was therefore deemed too inconsistent and will be ignored in our modelling, but
31 we return to plausible explanations for it (if real) in Discussion.

32 Note that since the baseline distributions differed depending on context, but the timing of
33 the dips (relative to the signal) is similar across contexts, the dip is therefore earlier *relative*
34 to the main mode of the distribution in the STOP context, and thus the height of the pre-dip
35 distribution was normally smaller in the STOP context. This is just a consequence of the
36 baseline distributions. The critical question here was whether the leading edges of the dips are
37 coincident.

38 Figure 8 and Figures S1 and S2 in Appendix show that, for all participants in Experiment 1
39 and P3 in Experiment 2, there is a partial recovery from the dip even in the STOP context. This
40 failure to inhibit the saccade well after the time when they are usually able to do so has been
41 reported before (Akerfelt et al., 2006). We suggest it may indicate occasional failure to trigger
42 the inhibition command (Skippen et al., 2018), possibly fuelled by confusion about which
43 instruction applied (see section 5.3).

44
45

1 5. Modeling Results

2 This section details the steps taken to adjust three of the parameters in the DINASAUR model
 3 and to introduce a new one, in order to match the empirical data from Experiment 1 and 2
 4 above. These adjustments are summarized in **Table 5** below and concern:

- 5 - The visual delay (directly inferred from dip onset in the IGNORE context, section 5.1)
- 6 - The strength of endogenous signals during fixation and in response to the target
 7 (constrained solely from the NO-SIGNAL trials in each context, reflecting strategic
 8 preparatory settings, section 5.2)
- 9 - A new parameter quantifying a participant’s occasional failure to apply the STOP
 10 instruction, possibly because of lapses or confusion, which we will refer to as C
 11 (section 5.3)

12 **Table 5.** Parameters adjusted in 200N-DINASAUR to capture data from Experiments 1 and 2
 13 (see Table 2 for full list of parameters). Grey boxes indicate parameter values from Bompas &
 14 Sumner (2011) or those directly set by stimulus location or from another parameter. White
 15 boxes indicate free parameters used to capture the IGNORE and STOP contexts of Experiments
 16 1 and 2. Among these, δ_{vis} is directly constrained by behavioral dip onset in SIGNAL-IGNORE
 17 trials ($\delta_{vis} = T_0 - \delta_{out}$), $a_{endo-fix}$ and $a_{endo-targ}$ are adjusted only from the NO SIGNAL condition in
 18 each context and assumed to generalize to the respective SIGNAL conditions, while C is the only
 19 new parameter freely adjusted when generalizing to the STOP context (see text in section 5.3
 20 for details).

Name	Description	Bompas & Sumner (2011)	Experiment 1		Experiment 2	
			IGNORE	STOP	IGNORE	STOP
ECC_{Dist}	Distractor eccentricity in SC (mm)	-1.76	0			
ECC_{Targ}	Target eccentricity in SC (mm)	1.76	2.25			
δ_{vis}	Visual delay (ms)	50	83			
δ_{endo}	Endogenous delay (ms)	75	$\delta_{vis} + 25 = 108$			
$a_{endo-fix}$	Amplitude of endogenous inputs at fixation	10	18	22	12	54
$a_{endo-targ}$	Amplitude of endogenous inputs to target	14	16	14	19.5	13
C	Confusion (see section 5.3)			0.20		0.05

21

22 5.1. Non-decision time

23 In previous work, we have explained why and illustrated how sensory conduction times for
 24 visual signals can be directly estimated from dip onset time (Bompas et al., 2017; Bompas &
 25 Sumner, 2011). Providing δ_{vis} and δ_{out} are constant across trials and a large number of trials are
 26 available, $T_0 = SOA + \delta_{vis} + \delta_{out}$. This is because the earliest effect a visual stimulus can have on
 27 a saccade RT distribution represents the case where a distractor signal arrives ($SOA + \delta_{vis}$ after
 28 target onset) at the selection system just before the decision threshold is reached by the
 29 target activity (δ_{out} before the response would have occurred).

30 In the data presented in Section 4, we observed that T_0 hardly changes across contexts and
 31 experiments, despite the large differences in mean RT observed across blocks. Although
 32 within-participant bootstrapping analyses suggested mean estimates for dip onsets were

1 systematically earlier under the IGNORE instruction, the differences were only small (5 ms on
2 average on pooled data across subjects and experiments). Furthermore, group statistics failed
3 to reveal a main effect of Task on T_0 . We therefore choose to ignore this small difference in the
4 present modeling (taking it into account would have altered the following section only very
5 marginally; see Discussion for expansion on simplifications in the model). Based on pooled
6 data across SOAs and observers (see section 4.2), we aimed to produce a T_{Op} of 98 ms in our
7 simulations across both contexts and experiments. Using 20 ms for output time (consistent
8 with previous work) and a similar smoothing as in observed data (which anticipates dips by 5
9 ms) directly led us to adjust δ_{vis} to 83 ms. For simplicity, we assume that δ_{vis} is equal for targets
10 and distractors (this is a simplification as they have different eccentricity and sizes).

11 By keeping δ_{vis} constant across instructions and experiments, we imply that δ_{vis} in
12 DINASAUR does not contribute to pro-active slowing. This is consistent with the fact that
13 DINASAUR nodes are mimicking the behavior of visuo-movement neurons (or “build-up”
14 neurons in SC), rather than movement neurons. Indeed, recordings in visuo-movement FEF
15 and SC neurons of monkeys performing a visual search task under a speed or accuracy
16 condition (Reppert, Servant, Heitz, & Schall, 2018) (see also Heitz & Schall, 2012)
17 unambiguously show that non-decision time of the visual response is unaffected by strategic
18 adjustments. Under the accuracy condition, neurons from both populations showed a
19 decrease in baseline firing rate (before target onset) as well as a lengthening of selective drives
20 able to distinguish targets from distractors, but no change in visual gain or sensory conduction
21 delay. In contrast, movement neurons in FEF and SC do delay the onset of their response on
22 trials following stop-signals compared with trials following go-trials, consistent with behavioral
23 slowing between these two conditions (Pouget et al., 2011), and with the idea that movement
24 neurons integrate the output from visuo-movement neurons.

25

26 **5.2. Baseline parameters from NO-SIGNAL trials**

27 The next step was to adjust as few parameters as possible to account for strategic adjustments
28 across tasks (which are inevitably present, but not of direct interest here). When IGNORE and
29 STOP instructions are delivered in different blocks, such as in Experiment 2, participants adjust
30 their behavior overall, leading to slower RT in the STOP block irrespective of signal presence
31 (see section 3.1). This proactive slowing is present to a smaller degree in Experiment 1 when
32 stop trials were always present but differed in frequency between blocks. To allow a fair test
33 of the model’s ability to generalize from distraction to countermanding, it is essential to fit the
34 different latency distributions of the baseline conditions. Critically, we adjusted the model
35 parameters solely based on NO-SIGNAL trials.

36 It is common to assume that pro-active slowing would be best captured by changes in
37 initiation threshold (Bogacz, Wagenmakers, Forstmann, & Nieuwenhuis, 2010; Forstmann et
38 al., 2010; Ratcliff & McKoon, 2008). This is indeed what simple models such as the
39 independent race model would suggest (Heitz & Schall, 2012; Verbruggen & Logan, 2009).
40 However, this assumption is not confirmed by electrophysiological recordings from monkeys
41 (Heitz & Schall, 2012; Pouget et al., 2011; Reppert et al., 2018). Specifically, in SC neurons,
42 firing rates some 10-20 ms prior to saccade initiation (i.e. the threshold) were the same under
43 a speed and accuracy conditions (Reppert et al., 2018). Similarly, no change in threshold was
44 observed after stop-signal trials, another way in which pro-active slowing has been
45 investigated (Pouget et al., 2011). In FEF neurons, firing rates were actually *higher* in the speed
46 condition compared with the accuracy condition, in direct contradiction to the decrease in

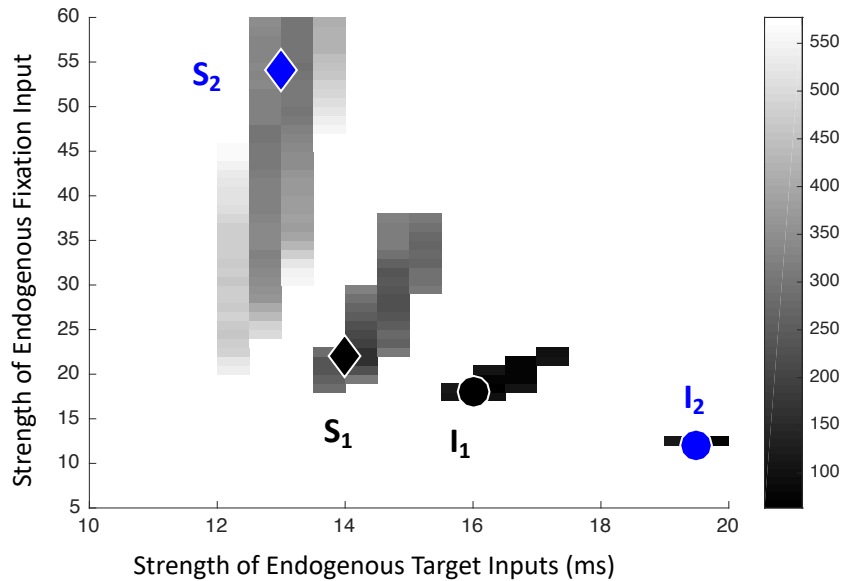
1 threshold suggested by the fit from the independent race model on concurrent behavioral
2 data from these monkeys (Heitz & Schall, 2012). In contrast, both SC and FEF visuo-motor
3 neurons consistently showed modulation in baseline firing rate (before target onset), as well
4 as delayed target selection time (Reppert et al., 2018).

5 In the DINASAUR model, baseline firing is directly related to the strength of endogenous
6 fixation drive during the fixation period (a_{endo_fix}), while delayed target selection would be
7 caused by reducing the strength of the endogenous drive to the target (a_{endo_targ}). Indeed,
8 stronger fixation drive in stop task would, via lateral inhibition, reduce baseline firing rate in all
9 peripheral nodes, making it more difficult to produce fast but possibly erroneous saccades to
10 the target. Similarly, RT to the target largely relies on endogenous drives, since exogenous
11 drives are most of the time insufficient to reach the threshold. Therefore, decreasing a_{endo_targ}
12 directly slows down most responses.

13 Last, in SC visuo-motor neurons, changes from fast to accurate instructions were not
14 accompanied by a modulation in visual gain (Reppert et al., 2018, i.e. the intensity of the visual
15 response to stimulus onset that would be identical for targets and distractors). In our model,
16 this suggests that the strength of visual signals (a_{exo}) is unaffected by instructions (as was the
17 delay of exogenous signals, see section 5.1). As for all the other parameters in the model, in
18 the absence of specific hypothesis for why they may differ 1) across instructions or 2)
19 compared with previous work, we refrained from altering these, providing the strictest test of
20 our model.

21 We therefore varied a_{endo_fix} and a_{endo_targ} systematically to search for the most suitable pairs
22 for each of our four baseline conditions. Four observed distributions (one for each context and
23 each experiment) were obtained from pooling across the 4 observers after correcting for their
24 individual differences in mean RT. These were compared with 1000 trials simulated using each
25 parameter combination, scaled to match the trial number from each experimental condition.
26 **Figure 10** shows the result of our parameter estimation, based on minimizing the X^2 distance
27 between observed and simulated NO-SIGNAL RT distributions in each context and experiment.
28 To increase the sensitivity to the exact shape of the whole RT distribution, we used a fixed bin
29 size (3.33 ms, the same as for the distributions throughout the article with the same
30 smoothing) rather than a small number of quantiles. This choice led us to use the mean over
31 two complementary estimates, X^2_{data} and X^2_{model} . Within each bin, $X^2_{data} = (N_{data} - N_{model})^2 / N_{data}$,
32 with N denoting the number of saccades for which RT fell within this bin, while $X^2_{model} = (N_{data} -$
33 $N_{model})^2 / N_{model}$. This mean estimate therefore penalizes simulations producing saccades in bins
34 where none are observed, as well as simulations failing to produce saccades in bins where
35 some are observed. The overall X^2 was the sum of the X^2 over all the bins where N_{data} (or N_{model})
36 was at least 1. Although this approach was the most intuitive to us, we note that using either
37 X^2_{data} , X^2_{model} or X^2_{model} on 10 quantiles actually made very little difference to the fit and no
38 difference to our conclusion.

39 The parameter adjustments required turn out exactly as predicted by the neuronal
40 recordings (Reppert et al., 2018) for both experiments. In Experiment 1, the small pro-active
41 strategic adjustment observers made between the IGNORE and STOP contexts is well captured
42 by a small increase in endogenous fixation strength and a small decrease in endogenous target
43 strength (black circle and diamond on Figure 10). In Experiment 2, the same pattern is
44 observed but the larger pro-active slowing required larger adjustments (blue circle and
45 diamond).



1

2 **Figure 10.** Endogenous parameter changes to account for proactive slowing. The diamond and
 3 circle symbols show the best parameter pairs (IGNORE-EXP1 is marked as I1, black circle; STOP-
 4 EXP1: S1, black diamond; IGNORE-EXP2: I2, blue circle; STOP-EXP2: S2, blue diamond). We used
 5 only the NO-SIGNAL trials and adjusted only the strength of endogenous signals to fixation and
 6 target in 200N-DINASAUR, to account for pro-active slowing. The 4 symbols indicate those
 7 parameter pairs minimizing the X^2 distance between simulated and observed RT distributions.
 8 The grey-scale areas around each symbol illustrate how the goodness of fit varies around the
 9 best values. These are thresholded to only show X^2 values less than twice the minimum X^2 in
 10 each condition. The selected parameters were used in Figures 7, 9 and 11 and are listed in
 11 Table 5.

12

13 **5.3. Generalization to SIGNAL-IGNORE and SIGNAL-STOP trials**

14 Crucially, once the adjustments to the NO-SIGNAL trials were made, we could test the ability of
 15 the model to generalize to the SIGNAL conditions for each SOA (note that our parameters
 16 were never allowed to differ between SOAs). The model was able to produce the expected
 17 dips from the IGNORE condition across all SOAs, producing an exquisite match to observed
 18 data without further adjustments (Figures 7 and 9B).

19 The critical step was then to test how well behavior on SIGNAL-STOP trials could be
 20 predicted from our model under the following assumptions: i) the automatic exogenous
 21 activation should be identical to the IGNORE context (in both amplitude and delay); ii) all
 22 endogenous events occur with identical delay following their respective visual triggers; iii) this
 23 single endogenous delay variable is not free, but fully constrained by the automatic signal
 24 delay ($\delta_{endo} = \delta_{vis} + 25$ ms). We assess the model against both the shape of the RT distributions
 25 (Figures 7, S1 and S2) and also typical measures related to the stop-signal task (**Figure 11**).

26 At first, we did not introduce any new parameter between the IGNORE and STOP contexts
 27 (Figure 9B). Like in Figure 3, this first attempt was able to produce the overall pattern of the
 28 stop condition producing very similar effects as the state of the art model for saccadic
 29 countermanding, Blocked Input 2.0. However, similar to Blocked Input models (Figure 3C) but
 30 in contrast to observed data, there were no “late” errors: the small recovery from the dip
 31 observed in all the participants in Experiment 1 and one in Experiment 2 was absent (see

1 Figures 1 and 2 in Appendix). As a result, the inhibition function (the proportion of failed stops
2 as a function of SOA, dashed lines on **Figure 11A**) was systematically underestimated. Second,
3 again similar to Blocked Input 2.0, DINASAUR predicted stop-signal reaction time (SSRT) to
4 remain constant across SOAs (dashed lines on **Figure 11B**), in contrast to observed data
5 showing a consistent decrease as a function of SOA in both experiments (dotted thin lines on
6 Figure 11B).

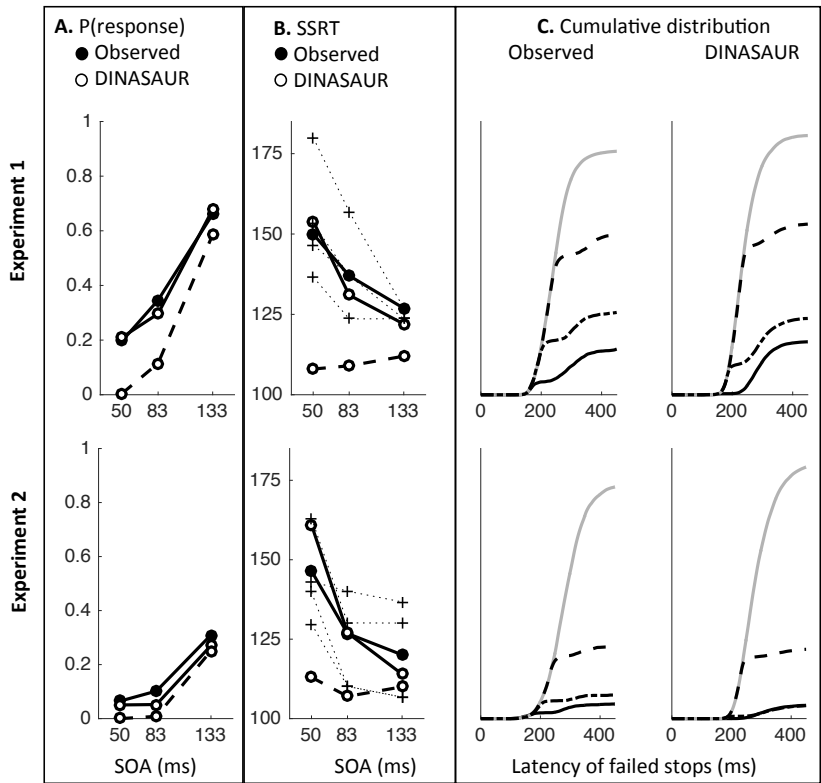
7 This dependency of SSRT over the stop-signal delay has been reported in the manual
8 (Band, van der Molen, & Logan, 2003; de Jong, Coles, Logan, & Gratton, 1990; Logan & Burkell,
9 1986; Logan & Cowan, 1984; Matzke, Love, & Heathcote, 2017) and saccadic (Hanes & Schall,
10 1995) domains before. Within the framework of the independent race model, it can be
11 explained by assuming the *true* SSRT varies across trials, and that varying the SOA leads to
12 differently sampling this underlying distribution (Logan & Cowan, 1984). Since at short SOAs,
13 most responses are successfully inhibited, the estimated SSRT is close to the true mean of
14 SSRT. However, at long SOAs, only the shortest SSRT lead to successful inhibition, therefore
15 leading to a systematic underestimation of the mean SSRT. However, a more fruitful
16 interpretation seems to be in terms of failure to trigger the stop instruction, which would
17 occur on some proportion of trials (Band et al., 2003).

18 In the framework of the DINASAUR model, the same idea (variability of stop drive across
19 trials) can be implemented in a simple way by adding a “confusion” (or inattention) parameter,
20 i.e. a random proportion of trials where the STOP instruction is forgotten and which are
21 therefore treated as IGNORE trials. This refinement is conceptually similar to that proposed in
22 Hanes & Carpenter (1999), but is now explicitly linked to the ignore condition, which the
23 system defaults to when the instruction to stop occasionally fails to be implemented. It is also
24 well in line with similar suggestions made in the more cognitive domain and using manual
25 responses (Band et al., 2003; Matzke et al., 2017; Skippen et al., 2018). In DINASAUR, top-
26 down drives are either on or off while, realistically, their strength and delay may well vary
27 across trials. One could envisage that, on some trials, the blocking occurs but is incomplete or
28 occurs too late, leading to the saccade being triggered anyway. These cases would be difficult
29 to distinguish from a complete failure to apply the instruction to stop, and are therefore also
30 captured by our confusion parameter.

31 This adjustment allowed late recovery from stop-signals, and also improved the match to
32 the inhibition function (continuous lines on Figure 11A), allowing more errors to be made by
33 the model, bringing it more in line with human participants. This confusion parameter was set
34 to 20% and 5% in Experiments 1 and 2, respectively, for the purpose of Figure 7, 9 and 11, but
35 no further attempt was made to formally fit this proportion, as we expect it’s exact value to be
36 highly dependent on participants, exact instructions and proportions of stop trials. Although
37 20% may seem high, we note that it is well in line with estimates from very recent work,
38 suggesting an average value of 17%, though on a very different task (Skippen et al., 2018).
39 Crucially, although this confusion parameter is constant across SOA (like all other parameters),
40 the proportion of saccades eligible for recovery decreases as SOA increases, and this now
41 makes our model successfully capture the dependency of SSRT on SOAs (continuous lines on
42 Figure 11B).

43 We also plotted the cumulative distributions of RT (**Figure 11C**). Contrary to the custom in
44 the stop-signal task literature, we did not normalize these on the number of saccades
45 executed, which, in our eyes, would have masked the main feature of interest here: the
46 exquisite overlap in the signal and no-signal distributions until the departure point (T_0) and the
47 dependency of this point on the SOA, both hallmarks of dips in the saccadic inhibition

1 literature. Our model captured the observed behavior well, irrespective of how the data was
 2 plotted.



3
 4 **Figure 11.** Traditional stop signal task measures from observed and simulated data. **A-B.**
 5 Proportion of failed stops (A) and stop signal reaction time (SSRT, B) across SOAs, from the
 6 pooled data across observers (black dots) and in DINASAUR simulations (empty circles) with
 7 and without confusion (continuous and dashed lines). The SSRT was calculated using the
 8 integration method (Verbruggen et al., 2013) and is also shown for each observer separately (+
 9 and dotted lines). **C.** Cumulative distribution for no-signal (light grey) and signal trials (black
 10 continuous, semi-dashed and dashed for SOA 50, 83 and 133 respectively).

11

12 6. Discussion

13 **How do brains halt action plans? Intertwined influences of automatic and top-down** 14 **processes**

15 The thesis exposed in the present article is that the functional outcome of top-down control
 16 occurs initially via automatic indiscriminate mechanisms, which are followed by goal directed
 17 processes in the traditional view. When halting an action plan following new information in the
 18 world, the first process is a rapid automatic interference from the new sensory signal itself –
 19 which occurs regardless of the goal to halt. This indiscriminate interference has dynamics
 20 arising from the transient nature of rapid visual signals (such as the magnocellular pathway)
 21 and lateral inhibition in motor decision areas. It results in slowing down the process that leads
 22 to action, temporarily interrupting it. The endogenous command to alter the on-going action
 23 plan can then piggy-back on the already-unfolding automatic interruption. This account offers
 24 a simple interpretation for a wealth of data showing how “low-level” factors affect our ability
 25 to stop. It also allows quantitative predictions for many other factors, which have been shown

1 to automatically interfere with speeded responses but may not have been studied in the
2 context of countermanding (see “Empirical predictions and future directions” below).

3 This is not to say that rapid interference is entirely goalless in the broader sense: our
4 brains may allow this interference to happen because it is helpful on average. In other words,
5 natural selection seems to have preserved some apparently very basic – and probably
6 phylogenetically old – processes that allow new and often irrelevant sensory information to
7 rapidly travel to motor decision areas and influence action choices within 100 ms. We envisage
8 this as one of the initial building blocks for how flexible behavior becomes possible as brains
9 develop additional pathways that are more selective but slower. Further, while in simple visual
10 scenes (such as in these experiments) all new stimuli may provide indiscriminate interference,
11 in complex everyday scenes the degree of rapid interruption is likely to be modulated by
12 relevance to on-going tasks (‘attention’ or ‘task-set’). It is known that attention modulates
13 sensory signals from the earliest stages of processing (as early as the lateral geniculate nucleus
14 for visual signals, O’Connor, Fukui, Pinsk, & Kastner, 2002). Similarly, sub-conscious motor
15 priming is highly conditional on task-set (current task goals; i.e. whether the priming stimuli
16 have a current motor mapping or not), suggesting automatic flows of activity through the brain
17 show *conditional* automaticity (see Kunde et al., 2003 for an in-depth discussion on this topic)
18 – and hence are not entirely goal-free. This dependency of automatic drives on task-set is also
19 illustrated in pro-active control (Verbruggen, Best, et al., 2014; Verbruggen, Stevens, &
20 Chambers, 2014). Therefore, although the present article develops the idea that top-down
21 processes piggy back on automatic ones, we see it as complementary to the literature showing
22 that automatic processes often piggy-back on top-down processes, pointing towards a close
23 intertwining of automatic and volitional drives (Boy, Husain, & Sumner, 2010; Sumner &
24 Husain, 2008).

25 Our conclusions are convergent with previous literature showing how task goals, such as
26 stopping, can be influenced by invisible or task-irrelevant primes (see Verbruggen, Best, et al.,
27 2014 for a review). Our viewpoint is also compatible with other recent theories of
28 countermanding. Here we investigated the effect of visual stimuli on oculomotor control in
29 humans, but our conceptualization is in line with other literatures describing animal behavior,
30 such as freezing, as proposed in the Pause and Cancel model in rodents (Schmidt & Berke,
31 2017). Our conclusions are reminiscent of those from Bisset & Logan (2014) on selective
32 stopping paradigms, where participants are asked to stop to some signals but ignore others
33 within the same session. In this context, it has been suggested that participants use a Stop
34 then Discriminate strategy, in which they stop indiscriminately whenever a signal occurs and
35 restart only if the signal is an ignore signal. However, we portray the initial stage as slowing
36 down rather than stopping, and as an automatic process rather than a strategy.

37 38 ***Converging modeling approaches***

39 Once we clearly conceptualized the first process in halting as transient automatic interference,
40 we found natural alignment between recent models of countermanding and low-level
41 mechanisms. The early process in countermanding models such as Blocked-Input 2.0 is already
42 suggestive of automatic signals given its very short delay. The DINASAUR model was previously
43 used to account for hallmark low-level oculomotor effects such as the gap effect and saccadic
44 inhibition (Bompas & Sumner, 2011, 2015; Trappenberg et al., 2001), as well as visuo-manual
45 interference (Bompas et al., 2017). We inherited the logic of blocking input for the
46 endogenous signal from the most comprehensive model of countermanding (Logan et al.,

1 2015), but we inherited nearly all actual parameters from saccadic inhibition (either previous
2 work or the baseline and ignore conditions here). Countermanding behavior then drops out of
3 the model. The model's activity dynamics are also consistent with monkey neurophysiological
4 data (Boucher, Palmeri, et al., 2007; Hanes et al., 1998) – an important test-bed for previous
5 models of countermanding (e.g. Logan et al., 2015).

6 To allow a match to every aspect of the data, we made one minor addition: a confusion
7 parameter to capture the occasional late errors. However, even without this post-hoc addition,
8 the model was able to generate good predictions in a behavior it had never been constrained
9 for. Besides, this parameter is new to DINASAUR, but its plausibility has been already well
10 supported in the context of the stop-task (Band et al., 2003; Matzke et al., 2017; Skippen et al.,
11 2018). It is worth emphasizing how rare it is for psychological models to capture new behavior
12 for which they were not designed without being fit directly with plenty of free parameters.
13 This might have been even more challenging when crossing a conceptual boundary – such as
14 from bottom-up interference to top-down control. However, our thesis is that this should not
15 be considered a conceptual boundary. Situations requiring top-down control do not differ
16 qualitatively from those that stimulate automatic interference and most of the same brain
17 mechanisms are engaged in both situations. Moreover, although elegant parsimonious
18 mathematical models designed to capture specific tasks may often struggle to generalize to
19 other tasks (unless completely re-fit or parameters are added that change the model
20 characteristics), generalization is more natural in more complex models conceived to mimic a
21 biological system. Of course, more parameters means more flexibility, should one allow all
22 these parameters to vary freely. Note though that our approach is the opposite: we keep most
23 parameters fixed and only allow very few parameters to vary in a highly constrained,
24 hypothesis-driven manner. The ability of such models to generalize to new behaviors is a great
25 strength, which, in our eyes, outweighs the loss in parsimony and mathematical elegance.

26 Although our account bears conceptual resemblance to other recently proposed models
27 of stopping, there remain important implementation differences. Specifically, the Pause then
28 Cancel model (Schmidt & Berke, 2017) relies on an unspecific increase in the action initiation
29 threshold following the stop signal event. Similarly, in Aron & Wessel (2017), a temporary
30 slowing can be triggered in response to any unexpected events. Both accounts suggest this
31 indiscriminate response could be mediated by the Basal Ganglia (BG), which has inhibitory
32 connections with the SC. In contrast, DINASAUR mimics topologic relations between the visual
33 field and the direction of saccades, as is commonly seen in SC buildup neurons during visually-
34 driven saccades. This difference in implementation could arise from a focus on different animal
35 species and therefore on different types of action (ballistic head movements in rodents and
36 saccades in monkeys). However, both BG and SC are involved in both actions in both species
37 and it is therefore likely that both should contribute to stopping behaviors, the former as a
38 general freezing mechanism and the later as a more spatially specific mechanism able to
39 resolve competition across multiple stimuli in the visual field. Although simplified and limited,
40 the spatial extent of the DINASAUR model allows us to test future predictions related to the
41 spatial specificity of stopping behavior (see “Empirical predictions and future directions”
42 below). Future research investigating this spatial specificity could cast light on the relative
43 contribution of the Basal Ganglia (possibly less spatially specific) and the Superior Colliculus
44 into saccade countermanding.

45 Another possibly important implementation difference relates to the distinction between
46 visuomovement and movement neurons. DINASAUR units are simplified visuomovement SC
47 neurons. As a result, they will show an automatic transient visual response, followed by a
48 buildup of activity when the task requires it. In contrast, units in models such as Blocked Input

1 2.0 are thought to reflect FEF movement neurons. This means that they will not show the
2 automatic visual response, but only the task-related accumulation. It has been argued that
3 only movement (and not visuomovement) neurons reflect the accumulation of evidence that
4 leads to saccadic decision (Ray et al., 2009). The fact that movement neurons (but not
5 visuomovement neurons) showed activity profiles that matched those expected of GO units in
6 a race model contributed to this assumption. Reciprocally, the presence of neurons with
7 activity resembling the hypothetical GO units also contributed to legitimize the race model.

8 Counter to this prevailing view, it is precisely the visuomovement nature of DINASAUR
9 units (their automatic response to visual stimuli as well as their strategic drives) that allows
10 DINASAUR to flexibly capture tasks it was not originally designed for – the saccadic inhibition
11 and countermanding tasks – as well as several hallmarks of visuo-oculomotor behavior.
12 Similarly, our upgrade of Blocked Input 2.0 to Blocked Input 3.1 consisted precisely in turning
13 units from movement neurons into visuomovement neurons. The fact that neurons exist that
14 behave in a similar way to units in our model is a necessary condition for this model to be
15 “biologically plausible” but surely does not prove the model is right, nor that these neurons
16 are precisely the ones “taking the decision”. Although it is essential to simplify complex
17 behaviors and concepts into workable models, we keep in mind that this simplification makes
18 all computational models intrinsically wrong. Ultimately, the proposed framework offers the
19 opportunity to generate precise quantitative predictions, which can then be tested empirically
20 (see “Empirical predictions and future directions” below). The endeavor here is not to
21 “validate” one particular model or show it outperforms other models in specific tasks, but
22 rather to employ a precise framework to bridge gaps across paradigms and literatures.

24 ***Model simplifications***

25 Our approach to minimize the number of free parameters in the model led to four main
26 simplifying assumptions (beyond the fact that all models are simpler than neuronal processes).
27 First, most parameters were inherited from previous work, including the spatial profile of
28 excitation and inhibition, the spatial extent of excitation from visual onsets and the temporal
29 profile of exogenous signals. These parameters were based on monkey neurophysiology
30 (Trappenberg et al., 2001), and appear sufficient for simulating currently existing human
31 datasets (present and past, see Bompas & Sumner 2011).

32 Second, we assumed visual onsets triggered the same automatic response (delay and
33 amplitude), irrespective of their eccentricity. Visual eccentricity is known to decrease
34 sensitivity and acuity, which could, in the model, mean weaker and slower signals. On the
35 other hand, oculomotor behavior is, by definition, designed to orient towards peripheral
36 stimuli, which may therefore be prioritized in oculomotor planning. To fully compare
37 conduction delays (T_0) across eccentricity is beyond the current data, but a proxy can be
38 obtained from the very quickest saccades that are not guesses (i.e. the shortest-latency in
39 which there are more correct than error saccades). In our data, this latency was 106 ms, and
40 occurred in the condition expected to have lowest engagement with fixation: the IGNORE
41 condition of Experiment 2. This suggests that T_0 for these peripheral stimuli would have been
42 approximately 100ms, allowing for a minimum amount of decision time and a slight pooling
43 delay needed to detect above chance performance. This proxy estimate is similar to our
44 estimate for T_0 at fixation (98ms), and suggests our simplifying assumption of equal latency
45 was sound.

1 Third, we assumed that instructions (task sets) do not affect the delay and strength of
2 automatic signals. This is known to be simplistic, as discussed in the second paragraph of
3 Discussion above. Thus, the small (~5ms) numerical difference in the estimates of T_o under
4 IGNORE and STOP instructions could plausibly be a genuine indication of slight top-down
5 modulation (rather than an outcome of noise in dip onset estimation, which close examination
6 of Figure 8 reveals is also plausible). One possible interpretation is that proactive slowing in
7 the STOP condition affects non-decision time as well as enhancing fixation activity.

8 Fourth, we assumed that all endogenous delays were equal, including fixation release,
9 saccade planning and blocking. This assumption followed from our theoretical view that the
10 pattern of countermanding behavior could be predicted from lower-level oculomotor
11 behaviors without separately fitting a special inhibitory or blocking mechanism. It is of course
12 possible that these delays may differ slightly, in a way that relates interestingly to task-set or
13 individual differences.

14 15 ***How fast are top-down commands?***

16 The traditional purpose of countermanding research is to understand and measure how
17 rapidly a top-down signal can overturn an action plan, quantified by the SSRT. One of the
18 implications of the close relationship between bottom-up and top-down processes that we
19 envisage is that the effective speed of top down signals depends on bottom-up factors. This
20 conclusion is actually consistent with a wealth of research showing that SSRT depends on the
21 exact experimental condition, and we provide here a general framework for explaining this. In
22 this framework, all top-down drives, including stopping, are about translating sensory
23 information into task-related action outcomes. Therefore, the speed of top-down drives will
24 heavily depend on non-decision time, i.e. sensory conduction time and motor output time,
25 which will depend on the nature of sensory information and action modalities under
26 investigation.

27 This being said, within the context of one task, one can usefully discuss the speed of top-
28 down drives associated with a given sensory signal, action domain and instruction set. One
29 potential implication of conceptualizing the first phase of halting as automatic is that the truly
30 endogenous signal does not have to be so rapid. This point echoes that of the Pause-then-
31 Cancel theory of basal ganglia mechanisms (Schmidt & Berke, 2017), where it is argued that a
32 fast pause mechanism is followed by a cancel process that extends well beyond the traditional
33 SSRT, and therefore we may have been looking in the wrong temporal window for neural
34 evidence of such mechanisms.

35 However, in our present results the latency remains relatively short for the top-down
36 signals. SSRT is normally estimated as between 100 and 150 ms in humans for saccades
37 (Campbell, Chambers, Allen, Hedge, & Sumner, 2017; Hanes & Carpenter, 1999). In our model
38 there are two relevant input delays: visual and endogenous delay. For comparison with SSRT,
39 we need to add motor output time, in this case 20 ms, because SSRT is a measure of the time
40 needed between a stop signal and when a response would otherwise have *occurred*, not just
41 the time before the inhibition signal reaches motor maps. The two delays in the model are
42 therefore 83+20ms (i.e. dip onset time plus the effect of smoothing) for the transient
43 automatic signal to start interfering with saccade build-up activity, and 108+20ms for
44 endogenous support to switch back to fixation. One could therefore conclude that the new
45 conceptualization overall supports previous estimates for the window of inhibitory signals.

1 Importantly though, neither of these two delays in DINASAUR can be interpreted as
2 reflecting the timing of inhibition *per se*. Indeed, the first is the delay of automatic excitatory
3 signals. When these automatic signals project to fixation neurons, they have an inhibitory
4 effect on the plan to move the eyes to the target, but only indirectly, via lateral inhibition. The
5 second only indexes the *start* of the endogenous switch, while the inhibition disrupting the link
6 between the visual stimulus and the intention to saccade needs to be sustained throughout a
7 long period to prevent saccades from recovering from the dip. Besides, the timing of this later
8 drive is not specific to stopping, but is shared with all top-down drives in the model.

9 How stopping is conceptualized also impacts the conceptual ordering of go and stop
10 command speed. As previously envisaged within the influential independent race model of
11 countermanding, the go signal always comes first and stop commands always have to *catch up*
12 to take effect. This would have misled many into thinking that stop commands are on average
13 faster than go commands. In contrast, in Blocked Input 2.0, the stopping delay ($D_{control}$) is larger
14 (62 and 90 ms for Monkey A and C) than the delay for producing go saccades (D_{move} , 44 and
15 47). In our model the two delays facilitating stops (83 and 108 ms) are identical to those
16 producing go saccades to the target. How then is it possible for stimuli occurring *after* the
17 target to trigger a majority of stops if the relevant delay parameters are equal to or longer
18 than those driving go saccades?

19 The answer is that in an interactive model a go saccade only occurs after an accumulation
20 process, which takes some amount of time after the signals start getting integrated into this
21 process. However as soon as a new signal, or a change in signal (e.g. one being turned off),
22 reaches that process it can immediately change the accumulation, potentially stopping activity
23 that was about to reach threshold doing so. In other words, go response latency depends on
24 both the input delays and the accumulation time (plus output time), while inhibition speed
25 depends mainly on the input delays (plus output time for behavioral evidence of inhibition).
26 This distinction was of course known to previous researchers using interactive models.
27 However, it does not appear to be widely discussed that stop processes can be successful and
28 appear to ‘overtake’ go processes without there having to be neural mechanisms that are
29 themselves more speedy for inhibition than for initiation of responses.

30 Although Boucher et al. (2007) stress that the stop signal is ‘late and potent’, while we
31 have referred to rapid transient inhibition, this difference of language merely occurs because
32 of different starting positions. This signal is rapid when compared with human saccade latency
33 distributions, or to the later influences of top-down signals. But it is late in the sense that it
34 accounts for most of measured SSRT. It is potent in both models, in the sense that as soon as
35 the signals reach the neural maps, lateral inhibition creates a strong impediment to saccade
36 planning and has an almost immediately measurable effect in the reduction of saccade
37 likelihood.

38 39 ***The importance of sensory pathway dynamics in motor decision.***

40 Our findings confirm the suspicions of Cabel et al. (2000) and Morein-Zamir & Kingstone (2006)
41 that the stimulus properties (such as salience) often influence task performance by engaging
42 both automatic and top-down processes. This warns us not to assume that well-known
43 behavioral effects in tasks associated with higher level processes always measure mechanisms
44 at that level. The model framework we use provides a natural explanation for the influence of
45 stimulus properties, which dictates both the timing and amplitude of the automatic dips
46 (Bompas & Sumner, 2011; Reingold & Stampe, 2002). Likewise there are known differences

1 between SSRT arising from visual and auditory stop signals (Armstrong & Munoz, 2003;
2 Boucher, Stuphorn, et al., 2007; Cabel et al., 2000; Morein-Zamir & Kingstone, 2006), which
3 might traditionally be ascribed to the time needed to detect the stop signal before issuing the
4 countermand, but in the model would also be captured by different dip size and delay.
5 Auditory signals also produce dips, which happen sooner than following visual stimuli,
6 although these have only been studied on microsaccades (Rolfs, Kliegl, & Engbert, 2008).

7 Even changes to response modality – saccadic vs manual – which might not intuitively be
8 associated with different stimulus-driven effects, in fact do affect the balance of drive from
9 different sensory pathways (Bompas & Sumner, 2008), and thus the delay and amplitude of
10 stimulus-driven activity (see Bompas et al., 2017 for discussion and demonstration of the
11 presence of dips in the manual modality). This could be part of the reason why SSRT differs
12 between modalities (Boucher, Stuphorn, et al., 2007) and possibly also why saccadic and
13 manual SSRT are differentially susceptible to influences such as alcohol (Campbell et al., 2017).

14 Some task designs (e.g. manual responses with low-salience stop signals) may entail a
15 sufficiently small automatic effect that explicitly including it in models would not alter
16 conclusions in any important way. Indeed, the standard horse-race model of countermanding
17 has been applied successfully to very many studies. However, we should not assume this will
18 be the case for all manual designs, and we advocate paying close attention to the nature of
19 stimuli and the non-linear activity they produce. For instance, it is possible for masked no-go
20 or stop stimuli to slow down responses and slightly increase the rate of missed responses (van
21 Gaal, Lamme, Fahrenfort, & Ridderinkhof, 2011), suggesting those invisible stimuli can partially
22 prime activity, even if this would not manifest obviously in latency distributions under ignore
23 instruction (for example if there was no strong lateral inhibition at the stage this priming
24 reaches). Therefore top-down inhibition may partially piggyback on automatic processes even
25 when it is difficult for us to detect this behaviorally.

26

27 ***Non-independence of go and stop processes***

28 The fact that RT for failed stops tends to be shorter than mean RT for correct saccades is
29 typically interpreted as evidence that the go and stop processes are independent. This
30 concept, known as contextual independence, assumes that the finishing time of the go process
31 is unaffected by the presence of the stop signal (see Bissett & Logan, 2014 for a recent
32 explanation). However, Blocked Input and DINASAUR do not adhere to this concept, and yet
33 the simulated failed stops have much shorter RT than most correct saccades. This
34 demonstrates that this behavioral pattern is not a strong test for contextual independence; it
35 is a necessary but not a sufficient condition.

36 Previous work using saccades with visual (Gulberti, Arndt, & Colonius, 2014; Ozyurt,
37 Colonius, & Arndt, 2003) and tactile (Akerfelt et al., 2006) stop signals show violations of the
38 independent race predictions, suggesting interaction between go and stop processes
39 (Colonius & Diederich, 2018). In contrast, it has been claimed that the idea of independence of
40 the go and stop activity had been validated in neuronal recordings in FEF (Hanes et al., 1998)
41 and SC (Paré & Hanes, 2003), because there was no difference in saccade-related activity in
42 failed stops and correct trials when $RT < SSRT + SOA$, and no peak velocity or eccentricity
43 difference in the saccades made (these would be behavioral consequences of any difference in
44 SC activity). However, we now show that this way of selecting trials is very similar to $RT < \delta_{vis} +$
45 SOA , when no influence from the signal is yet measurable. Figure S3 in Appendix offers a clear
46 demonstration of this. In all models the stop and go signals remain independent while the stop

1 signal is in sensory transmission before it reaches the integration process. The proportion of
2 failed stops that occur during this time are expected to show contextual independence.

3

4 **What does SSRT reflect?**

5 Simulations using published parameters for Blocked Input 2.0 produced T_0 around 60 ms and
6 this value maps well onto the sum of excitatory input delay (47) and output time (10), just like
7 in DINASAUR. Using the standard integration calculation for SSRT (but see Skippen et al.,
8 2018), the same simulations produce SSRT estimates of 73 ms for Monkey A and 93 ms for
9 Monkey C (similar to observed SSRT, 71 and 94 ms), irrespective of SOA. These values map
10 approximately onto the sum of $D_{Control} + \delta_{out}$ for Monkey A (62 + 10), less clearly so for Monkey
11 C (90 + 10). However, the proximity may be coincidental, since SSRT is also clearly influenced
12 by other parameters in the model (D_{move} and D_{fix}), though not in straightforward ways.

13 Our SSRT estimates systematically decrease with increasing SOA, as previously noted in
14 the countermanding literature. This trend suggests that SSRT does not directly reflect the
15 timing of some unique underlying parameters of the sensorimotor system, as these would not
16 be expected to vary with SOA. Linking saccade countermanding to saccadic inhibition and
17 modeling both tasks with DINASAUR offers a quantitative explanation for this. The SSRT
18 measure ignores the RT of failed inhibition, and therefore treats late errors equivalently to
19 early errors. In other words, SSRT reflects the latency of inhibition *as well as* the success of
20 inhibition. Given that dips are never so sharp that the distribution falls to zero straight after
21 dip onset, there are always failed stops beyond dip onset. The number of these is influenced
22 by nearly all parameters in the two models we considered. Therefore, SSRT is always higher
23 than T_0 , and is a compound measure rather than the reflection of inhibitory delay alone.

24 Many researchers use SSRT to measure individual differences in stopping ability. The
25 model supplies a conceptually useful distinction that is merged in SSRT: whether better “ability
26 to stop” translates into quicker/stronger application of top-down control (a longer-lasting dip
27 as top down control takes over from the automatic inhibition) or more consistent blocking
28 behavior across trials (fewer late errors/lapses). This is well in line with very recent work,
29 suggesting correcting SSRT estimates for trigger failure improves correlation with impulsivity
30 trait (Skippen et al., 2018).

31

32 **Empirical predictions and future directions**

33 Many “low-level” factors, such as signal contrast, chromaticity or position in the visual field,
34 have been shown to modulate the automatic delaying of saccades. The present framework
35 therefore predicts that these factors should also impact our ability to stop. Using previous
36 quantitative estimates for how these factors precisely influence the delay and strength of
37 exogenous signals, quantitative predictions for accuracy and related measures such as SSRT
38 can be easily derived from DINASAUR. For instance, we have previously described how
39 increasing the signal’s contrast equates, in DINASAUR, with increasing the strength and
40 decreasing the delay of exogenous signals (Bompas & Sumner, 2009, 2011). We have also
41 described how the interference from signals specifically designed to be visible only to some
42 chromatic channels (“S-cone stimuli”) compared to that from luminance signals matched in
43 salience. Using DINASAUR, we suggested that corresponding exogenous signals are delayed by
44 25 ms, consistent with known electrophysiology (White & Munoz, 2011), but possess equal
45 strength (Bompas & Sumner, 2008, 2011). Previous research has also shown that stimuli

1 presented in the temporal hemifield, i.e. left (right) visual hemifield when viewed with the left
2 (right) eye only, interfere more with saccade latency compared with nasal stimuli (Walker,
3 Mannan, Maurer, Pambakian, & Kennard, 2000). Some of these factors have never been
4 considered in the context of countermanding, but a clear prediction from our proposal is that
5 it should also be harder to stop in response to nasal or S-cone stimuli. Conversely, the present
6 data show that dip onset, which we use to constrain the delay of exogenous inputs, can also be
7 estimated from the stop signal task. This means that existing stop task datasets could be
8 reanalyzed using the present framework in order to investigate automatic inhibition.

9 The current DINASAUR model is only 1D and its spatial aspects are still largely under-
10 constrained (we have not allowed them to vary; they were inspired by recordings in monkeys
11 but were never systematically tested against human behavior). Nevertheless, the fact that it
12 possesses such spatial layout contrasts with most decision models (which possess typically 2
13 nodes), and offers the possibility to investigate the effect of spatial attributes of signals and
14 targets, such as size and location. For instance, DINASAUR correctly accounts for the fact that
15 interference can be triggered by visual stimuli appearing at any location in the visual field but
16 it also predicts that the interference should be modulated by where the stop signal specifically
17 appears, in relation to the fixation and the saccade target. Previous research has shown that,
18 in the stop task, signals appearing at the same location as the target were less potent than
19 contralateral signals (Ozyurt et al., 2003). This is consistent with our previous work showing
20 such stimuli fail to induce any saccadic inhibition (Bompas & Sumner, 2011), possibly due to
21 the existence of a refractory period preventing two bursts of visual activity to occur close in
22 time at the same location. It is therefore possible that these signals do not produce any
23 automatic interference and act purely via top-down signals, providing an interesting design for
24 isolating top-down factors.

25 Another prediction from our framework is that factors mainly influencing top-down drives
26 or the ability to apply these consistently (such as task switching, dual tasking, workload etc)
27 should affect primarily the ability to stop saccades from recovering after the dips, but not so
28 much dip onsets. More generally, the influences of clinical conditions, medications or other
29 individual differences (age, personality traits etc) may well manifest as a combination of
30 automatic and top-down drives differences. Therefore, disentangling the early (automatic dip)
31 and late (blocking) stages in saccade countermanding, as the DINASAUR framework offers,
32 should help revealing more specifically those higher-level factors researchers are often
33 primarily interested in.

34 So far, we have assumed that the delay of endogenous drives, including blocking, is fully
35 determined by the delay of exogenous drives, being simply 25 ms longer. This choice was
36 driven by parsimony and justified by the fact that all our signals are visual and had similar
37 properties. Endogenous signals are simply viewed as further-processed versions of exogenous
38 signals. However, it would be interesting to validate this assumption empirically, by measuring
39 to what extent the exogenous delay (indexed by dip onset time) correlates with the
40 endogenous delay (constrained by the shape of the go distribution), across participants or
41 across conditions. Within the context of individual differences, this would also allow us to test
42 whether the blocking has indeed the same delay as the endogenous signals driving the saccade
43 to the target. Similarly, it could be tested whether endogenous timing is indeed the largest
44 source of variability across people, as is commonly assumed in the countermanding literature.

45

46

1 **7. Conclusions**

2 To conclude, the theoretical, simulation and experimental work presented here suggests that
3 automatic stimulus-driven interference accounts for much of the characteristic behavior in
4 countermanding tasks, in contrast to the idea that these tasks primarily index higher level
5 cognitive control. This highlights the importance of stimulus-driven effects in paradigms
6 generally associated with higher cognition. More generally, we hope to help shift the
7 traditional separation of automatic and voluntary processes towards a more integrated
8 understanding of how automatic and voluntary control work together, alongside parallel
9 endeavors to untangle the mysteriously intelligent control homunculus into the emergent
10 activity of an army of idiots.

11

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18

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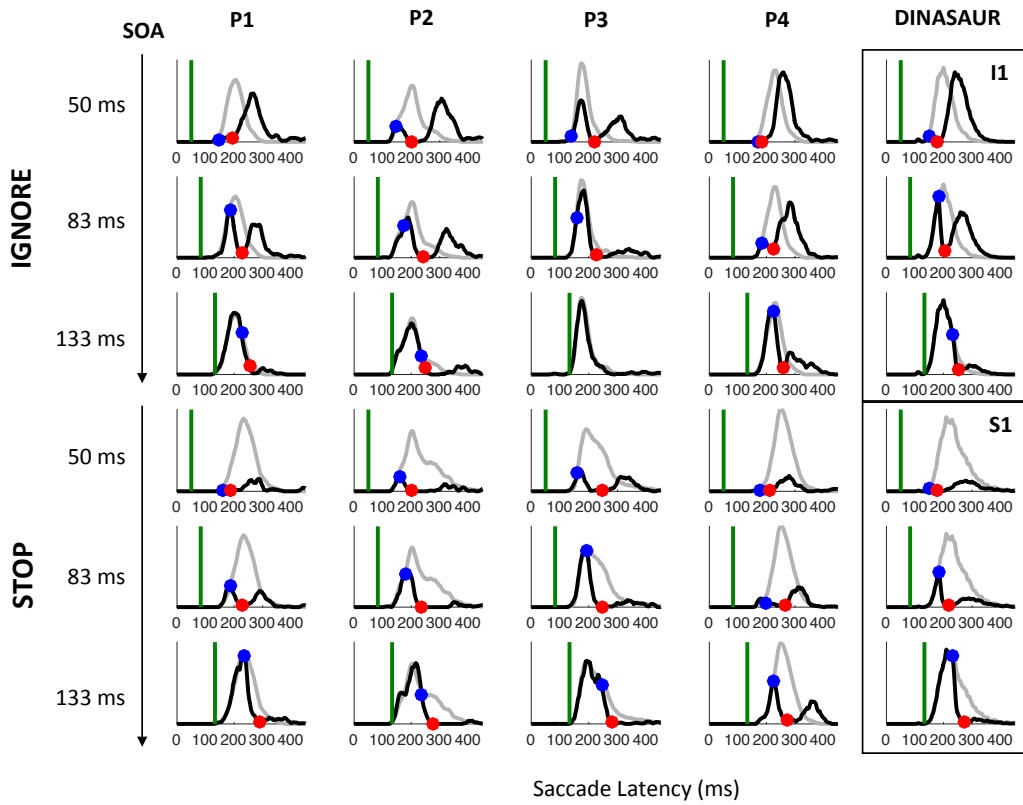
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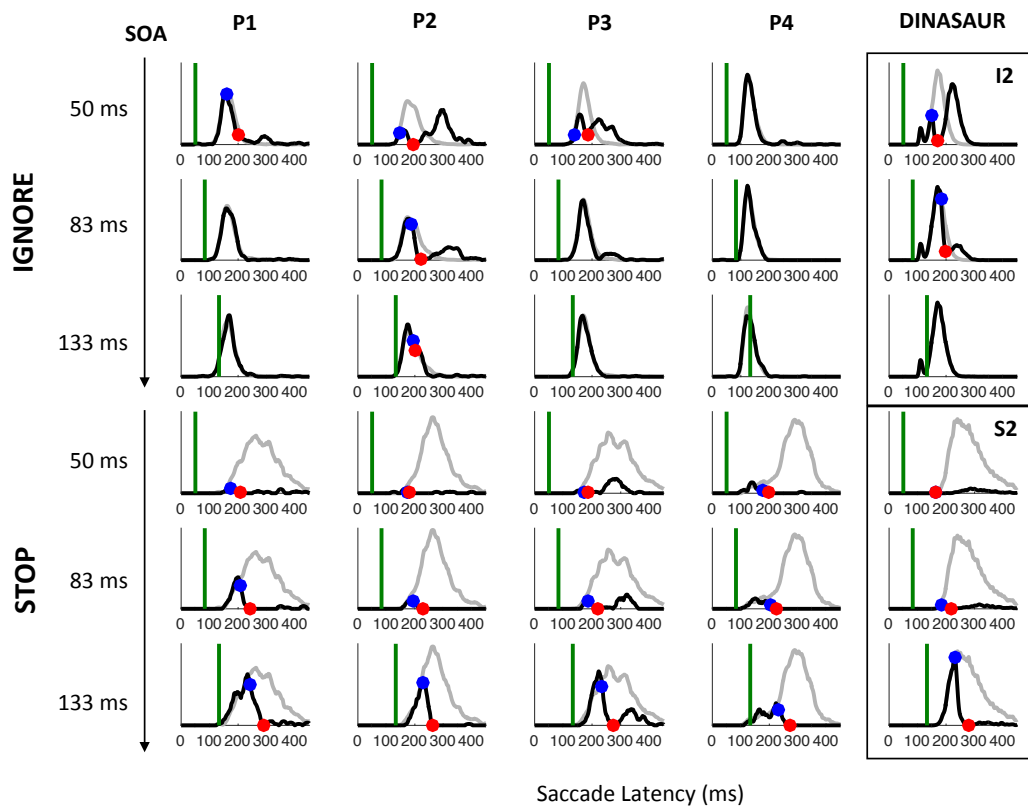
2 **Appendix**

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4

5 **Figure S1.** Latency distributions for each participant (columns) and SOA (rows) in the IGNORE
6 and STOP contexts of Experiment 1, along with simulations from DINASAUR (using parameter
7 sets I1 and S1 on Table 5). Green lines indicate the signal onset. Grey lines indicate distributions
8 in which no signal was presented. Black lines indicate distributions of trials in which a signal
9 occurred. Blue dots indicate the dip onset (i.e. where the distributions diverge, not necessarily
10 where one takes a down-turn); red dots show dip maximum.



1

2 **Figure S2.** Latency distributions for Experiment 2. Same conventions as Figure S1 above. As
 3 expected, strategic adjustments across conditions were particularly large in Experiment 2
 4 (where the two contexts were kept fully separated) and meant the visual signal often arrived
 5 too late to have much effect, especially for the fastest participants (P1 and P4). Nevertheless,
 6 when dips were observed in both contexts, Experiment 2 confirmed the results from Experiment
 7 1.

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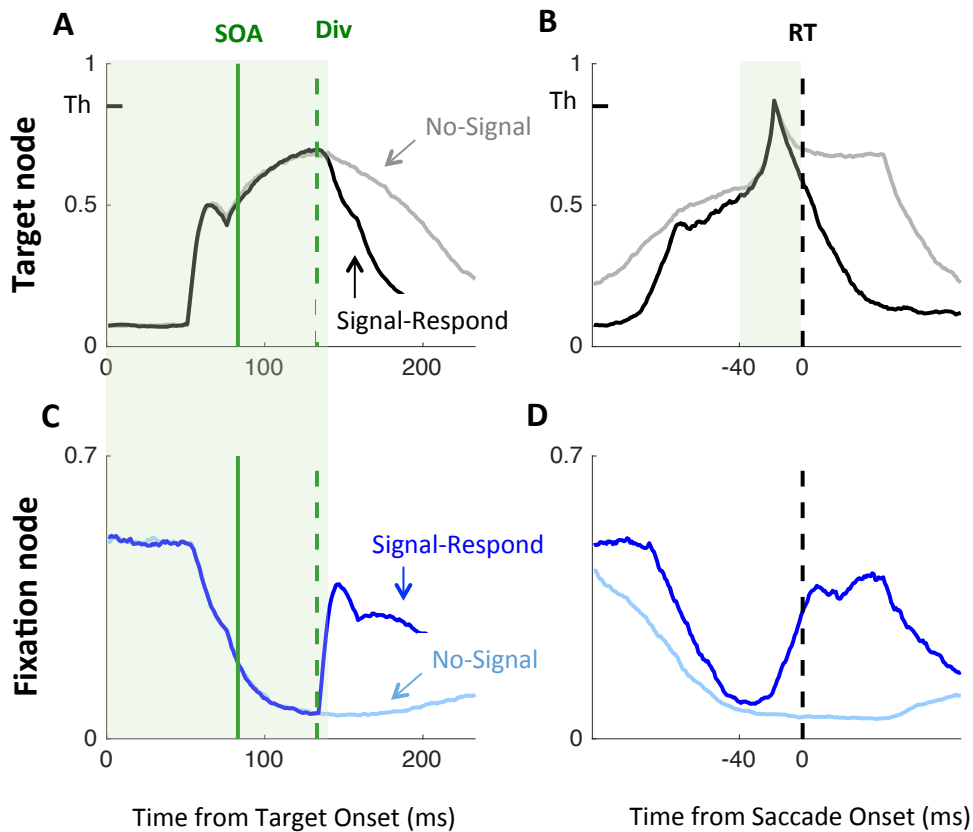
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Figure S3. DINASAUR accounts for patterns in neural activity previously taken to imply independence of Go and Stop processes. **A&C.** Mean simulated activity during unsuccessful stop trials (signal-Respond) and latency matched No-Signal trials at SOA 83 ms, using the same convention as Figure 6 and matching Fig. 4 A&C in Boucher et al. (2007). **B.** Same data as in A but locked on saccade onset, following Fig. 3F in Paré & Hanes (2003). **D.** Same data as in C but locked on saccade onset (not shown in Paré & Hanes (2003), shown here for completion). Green shades indicate those time windows chosen in these two previous articles to illustrate the equality of neural activity between Signal-Respond and fast No-Signal trials. Clear differences are apparent outside these time windows.