

Selection of *Candida albicans* Trisomy during Oropharyngeal Infection Results in a Commensal-Like Phenotype

Forche, Anja^a, Solis, Norma V.^b, Swidergall, Marc^b, Thomas, Robert^c, Guyer, Alison^c, Beach, Annette^c, Cromie, Gareth A.^d, Le, Giang, T.^e, Lowell, Emily^a, Pavelka, Norman^e, Berman, Judith^f, Dudley, Aimeé, M.^d, Selmecki, Anna^c, Filler, Scott G.^{b,g}

^aDepartment of Biology, Bowdoin College, Brunswick, Maine, USA

^bDivision of Infectious Diseases, Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center, Torrance, California, USA

^cDepartment of Medical Microbiology and Immunology, Creighton University School of Medicine, Omaha, Nebraska, USA

^d Pacific Northwest Research Institute, Seattle, Washington, USA

^eSingapore Immunology Network (SIgN), Agency of Science, Technology and Research (A*STAR), Singapore, Singapore

^fSchool of Molecular Cell Biology and Biotechnology, Tel Aviv University, Israel

^gDepartment of Medicine, David Geffen School of Medicine at UCLA, Los Angeles, California, USA

Corresponding authors:

Anja Forche PhD

Bowdoin College
Department of Biology
6500 College Station
Brunswick, ME 04011 USA
Phone: (207)-798-4153
Email: aforche@bowdoin.edu

Scott G. Filler MD
Division of Infectious Diseases, Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center
1124 West Carson Street
Torrance, CA 90509, USA
Phone: (310) 222-6426
(sfiller@ucla.edu)

Running head: Adaptive trisomy in *C. albicans*

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Abstract

When the fungus *Candida albicans* proliferates in the oropharyngeal cavity during experimental oropharyngeal candidiasis (OPC), it undergoes large-scale genome changes at a much higher frequency than when it grows *in vitro*. Previously, we identified a specific whole chromosome amplification, trisomy of Chr 6 (Chr6x3), that was highly overrepresented among strains recovered from the tongues of mice with OPC. To determine the functional significance of this trisomy, we assessed the virulence of two Chr6 trisomic strains and a Chr5 trisomic strain in the mouse model of OPC. We also analyzed the expression of virulence-associated traits *in vitro*. All three trisomic strains exhibited characteristics of a commensal during OPC in mice. They achieved the same oral fungal burden as the diploid progenitor strain but caused significantly less weight loss and elicited a significantly lower inflammatory host response. *In vitro*, all three trisomic strains had reduced capacity to adhere to and invade oral epithelial cells and increased susceptibility to neutrophil killing. Whole genome sequencing of pre- and post-infection isolates found that the trisomies were usually maintained. Most post-infection isolates also contained *de novo* point mutations, but these were not conserved. While *in vitro* growth assays did not reveal phenotypes specific to *de novo* point mutations, they did reveal novel phenotypes specific to each lineage. These data reveal that during OPC, clones that are trisomic for Chr5 or Chr6 are selected and they facilitate a commensal-like phenotype.

1 Introduction

2 Microbe-host interactions are highly complex. Following initial inoculation, multiple outcomes
3 including colonization, commensalism, latency and disease are possible (Casadevall and Pirofski 2000;
4 Casadevall 2017; Casadevall and Pirofski 2018). The immune status of the host is a key factor that
5 determines the outcome of fungus-host interactions, especially for opportunistic fungi (Netea et al. 2015;
6 Khanna et al. 2016; Verma et al. 2017b). More recently, it has been appreciated that the genotype of
7 the fungus also determines the outcome of this interaction. For example, in *C. albicans*, intra-species
8 diversity among clinical strains results in differential modulation of fungus-host interactions (Schonherr et
9 al. 2017). Similarly, intra-species diversity of *Cryptococcus neoformans* is associated with different
10 clinical outcomes in patients with cryptococcal meningitis (Beale et al. 2015).

11 *C. albicans* is generally a clonal organism without conventional meiosis, and therefore the
12 mechanisms to generate genotypic and phenotypic diversity are limited. Nonetheless, genomic analysis
13 of a panel of clinical *C. albicans* strains revealed that many contained large-scale genome changes such
14 as whole and segmental chromosome (Chr) aneuploidy and loss of heterozygosity (LOH), with frequent
15 aneuploidy of Chrs 5 and 7. Interestingly, one strain with a loss-of-function mutation in *EGF1* exhibited
16 decreased systemic virulence and increased gastrointestinal (GI) colonization in mouse models
17 (Hirakawa et al. 2015). LOH events were commonly associated with acquisition of antifungal resistance,
18 while aneuploidies appeared transiently in a study of oral strain series. In addition, several virulence-
19 associated traits such as adherence and filamentation differed among the 43 strains tested (Ford et al.
20 2015).

21 Large-scale genome changes, including whole Chr and segmental LOH, also arise *in vitro* as a
22 result of exposure to environmental stress such as nutrient limitation, oxidative stress, temperature and
23 antifungal drug exposure (Rustchenko et al. 1994; Janbon et al. 1998; Gresham et al. 2008; Forche et
24 al. 2011; Yona et al. 2012; Hill et al. 2013; Gerstein et al. 2015). Importantly, the frequency, type and
25 extent of genomic changes is influenced by the nature and severity of the stressor (Forche et al. 2011).

26 Exposure to the host clearly represents the most complex stress that *C. albicans* encounters, and
27 this interaction cannot be fully replicated *in vitro*. Previously, we determined that genotypic and

28 phenotypic diversity appears as early as 1 day post-infection during both hematogenously disseminated
29 and oropharyngeal candidiasis (OPC) in mouse models of infection (Forche et al. 2005; Forche et al.
30 2009; Forche et al. 2018). Genomic changes, in particular LOH, are 3 orders of magnitude more frequent
31 *in vivo* compared to *in vitro* (Forche et al. 2009a; Ene et al. 2018; Forche et al. 2018). This strongly
32 suggests that genome plasticity of the fungus may have a larger role in the fungus-host interaction than
33 was previously appreciated. Our recent study of rapid *C. albicans* genome diversification during OPC
34 identified a specific whole Chr amplification, trisomy of Chr 6 (Chr6x3), that was highly overrepresented
35 among strains recovered from the tongues of mice after one round of infection. Chr6x3 was detected in
36 65% of mice and the allele combination ABB was 2-fold more common than the allele combination AAB
37 (Forche et al. 2018).

38 Here, we tested the hypothesis that Chr6 trisomy is beneficial in the oral host environment and
39 that strains with this genotype exhibit enhanced fitness compared to the progenitor during oropharyngeal
40 infection. Strikingly, trisomy of Chr6x3 in two strains and trisomy of Chr5 (Chr5x3) in one strain all
41 exhibited characteristics of commensals during oropharyngeal infection--they achieved the same oral
42 fungal burden as the diploid progenitor strain yet caused significantly less weight loss, and they elicited
43 a significantly lower inflammatory host response. *In vitro*, all three trisomic strains had reduced capacity
44 to adhere to and invade oral epithelial cells. Whole genome sequencing showed that trisomies were
45 mostly maintained, while point mutations that arose *de novo* in some lineages were unique to each
46 lineage. Although *in vitro* growth assays did not reveal phenotypes specific to *de novo* point mutations,
47 they did reveal novel phenotypes specific to each lineage under conditions that are relevant to fungus-
48 host interactions and virulence potential. Taken together, our results reveal that Chr5x3 or Chr6x3 clones
49 have a commensal-like phenotype that was apparently selected during OPC infection.

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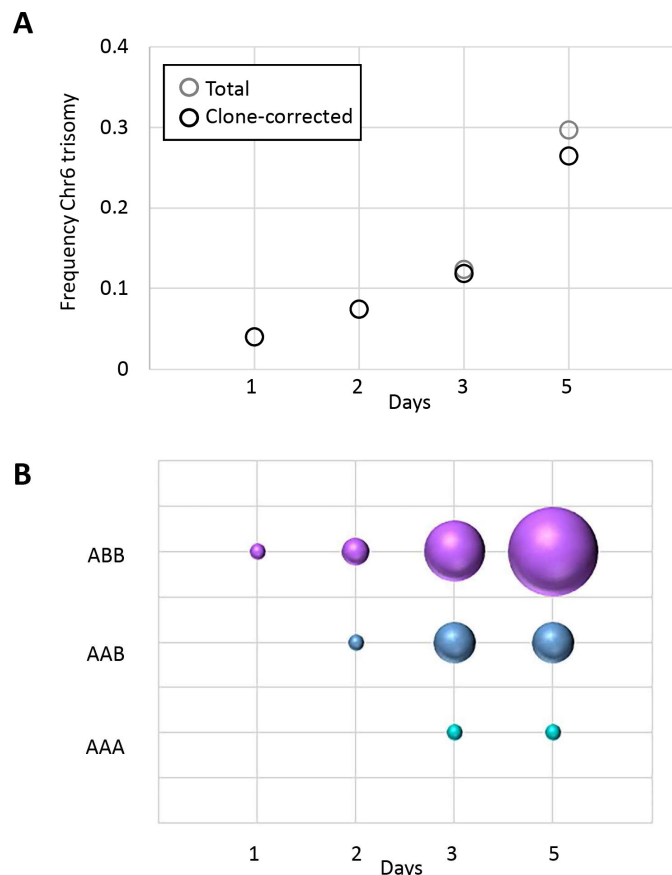
51

52 Results

53 Trisomic strains show a commensal phenotype in an oropharyngeal infection model

54 During oropharyngeal infection in mice, a specific trisomy, Chr6x3, was significantly enriched
55 among strains and recovered from the majority of immunocompromised mice (Forche et al. 2018). The
56 frequency of Chr6x3 increased over the course of infection (Fig. 1A) with the allele combination ABB
57 occurring 2-fold more frequently than the AAB combination (Fig. 1B), suggesting that clones with trisomy
58 of Chr6 have a general fitness advantage during OPC and that an extra copy of allele B may be more
59 beneficial than an extra copy of allele A in this host niche. To test this hypothesis, we selected several
60 strains that, based on whole genome
61 karyotypes (produced using double digest
62 restriction-site associated DNA sequencing
63 (ddRADseq)), had acquired single trisomies
64 as the only change compared to the diploid
65 progenitor, strain YJB9318. Strains AF1275
66 and AF1485 both had acquired Chr6x3, the
67 former with allele combination ABB
68 (Chr6ABB) and the latter with allele
69 combination AAB (Chr6AAB). Each strain
70 was originally recovered from the
71 oropharynx of the same mouse.
72 Importantly, these strains had not been
73 subjected to any selection regimes (e.g.,
74 *GAL1* counterselection-induced). A third
75 strain, AF1773, that had acquired Chr5x3
76 (Chr5AAB) and a small LOH on Chr1 (due
77 to selection for *GAL1* LOH), served as

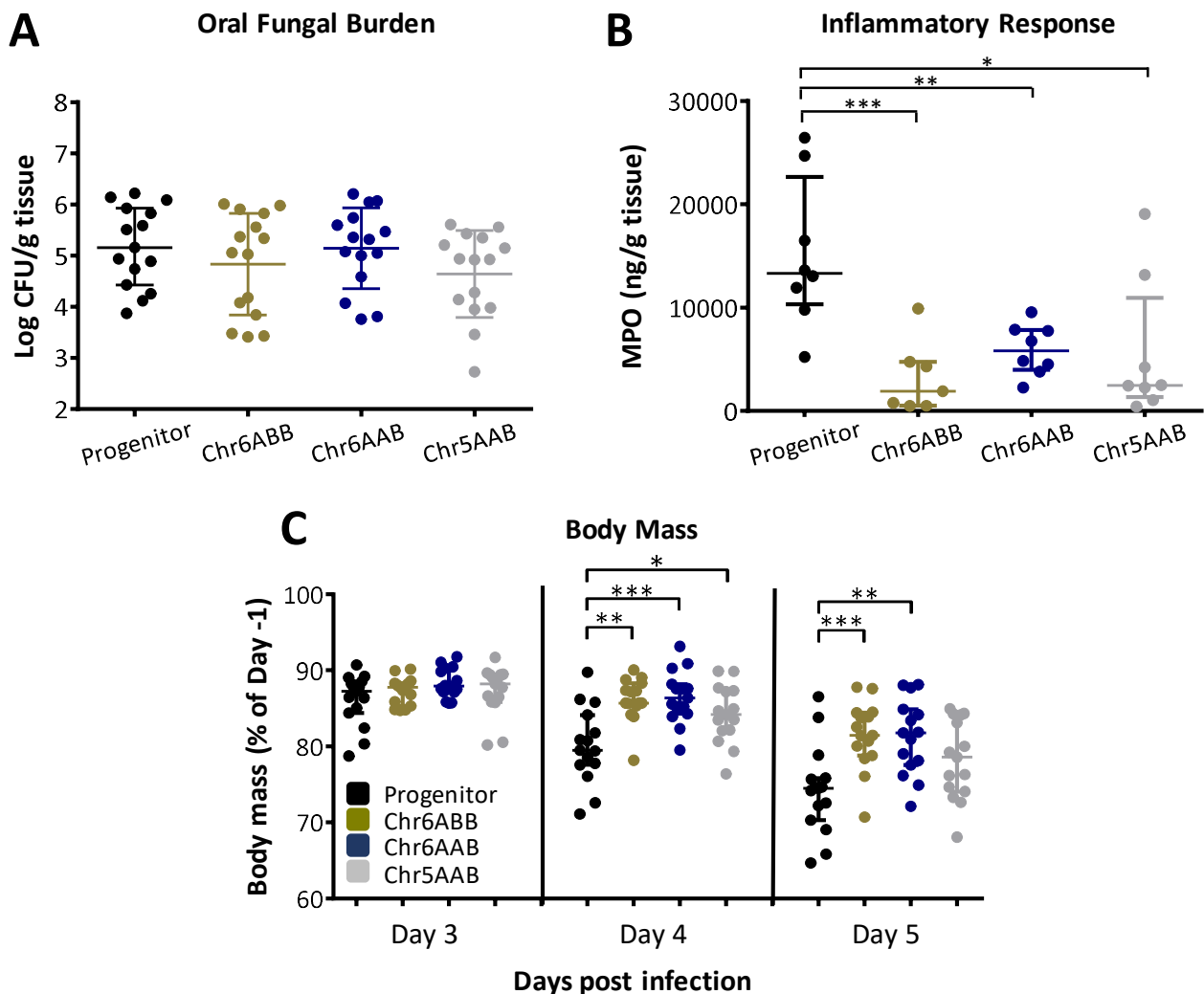
Fig.1. Chr6 trisomy ABB is overrepresented in isolates recovered from mice with OPC. (A) The frequency of Chr6 trisomy increases over the course of infection. (B) Among Chr6 trisomic strains, genotype ABB is the most frequent allele combination. For each genotype, symbol size is proportional to the frequency of isolation. Results are from the analysis of *C. albicans* colonies from 3-5 mice per time point as described in (Forche et al, 2018).



78 control for a trisomy that did not involve Chr6. Of note, Chr5x3 was the second most common aneuploidy
79 acquired in strains from the OPC model (Forche et al. 2018).

80 We assessed the virulence of the three trisomic strains (Chr6ABB, Chr6AAB, Chr5AAB) relative
81 to their diploid progenitor during OPC in mice that had been immunosuppressed with cortisone acetate.
82 Each strain was tested in 8 mice in two independent experiments for a total of 16 mice per strain. After 5
83 days of infection, the oral fungal burden of mice infected with all trisomic strains was similar to that of
84 mice infected with the progenitor in terms of both Log CFU per gram of tissue (Fig. 2A) and histopathology

Fig. 2. Trisomic strains exhibit commensal-like phenotype in immunosuppressed mice. (A) Oral fungal burden of mice after 5 d of infection with the indicated *C. albicans* strains. (B) Myeloperoxidase (MPO) levels in the oral tissues of mice after 3 d of infection with the indicated strains. (C) Body mass of mice after 3, 4 and 5 d of infection with the indicated strains. Results in (A) and (C) are the median \pm interquartile range of combined data from 2 independent experiments, each using 8 mice per strain. Results in (B) are the median \pm interquartile range of data from a single experiment with 8 mice per strain. *, $p < 0.05$, **, $p < 0.01$, ***, $p < 0.001$ by the Wilcoxon rank sum test.



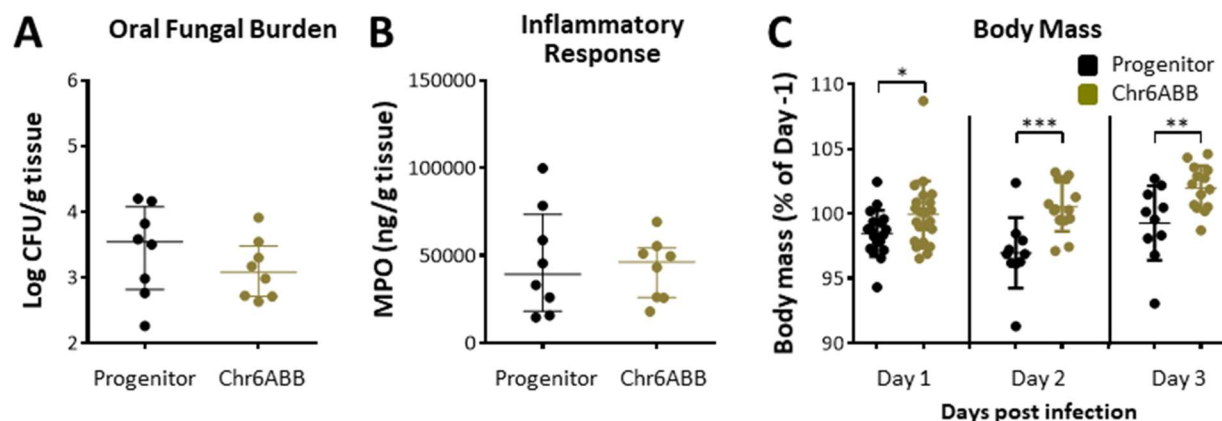
85 (data not shown). The inflammatory response induced by the different strains was assessed using the
86 myeloperoxidase (MPO) content as a marker for the accumulation of phagocytes (neutrophils and
87 macrophages) in the oral tissues (Glasgow et al. 2007; DE et al. 2009). Strikingly, immunocompromised
88 mice infected with the trisomic strains had significantly lower tissue MPO levels relative to mice infected
89 with the progenitor strain (Fig. 2B). Furthermore, mice infected with the Chr6 trisomic strains lost
90 significantly less weight than mice infected with the progenitor on days 4 and 5 post-infection, while mice
91 infected with the Chr5 trisomic strain showed significantly less weight loss on day 4, but not day 5 post-
92 infection (Fig. 2C). Thus, the trisomic strains were able to proliferate to wild-type levels in the oropharynx,
93 yet induced less inflammation and caused less disease, suggesting they promoted a commensal-like
94 association with the immunosuppressed host.

95

96 **Chr6ABB behaves similar to the progenitor in an immunocompetent OPC model**

97 Diverse clinical strains of *C. albicans* exhibit one of two phenotypes in the immunocompetent
98 mouse model of OPC (Schonherr et al. 2017). The commensal-like group induces a relatively weak
99 inflammatory response and persists in the oropharynx of immunocompetent mice for a prolonged time
100 period. Others, such as blood isolate SC5314, induce a strong inflammatory response and are cleared

Fig. 3. The Chr6ABB strain has a commensal-like phenotype in immunocompetent mice. (A) Oral fungal burden of immunocompetent mice after 1 d of infection with the progenitor and Chr6ABB strains. (B) MPO levels in the oral tissues of the mice after 1 d of infection with the indicated strains. (C) Body mass of the mice after 1, 2 and 3 d of infection with the indicated strains. Results in (A) and (B) are the median \pm interquartile range of data from a single experiment, each using 8 mice per strain. Results in (C) are the median \pm interquartile ranges of data from a single experiment with 18-22 mice per strain on d 1 and 10-14 mice per strain on d 2 and 3. *, $p < 0.05$, **, $p < 0.01$, ***, $p < 0.001$ by the Wilcoxon rank sum test.



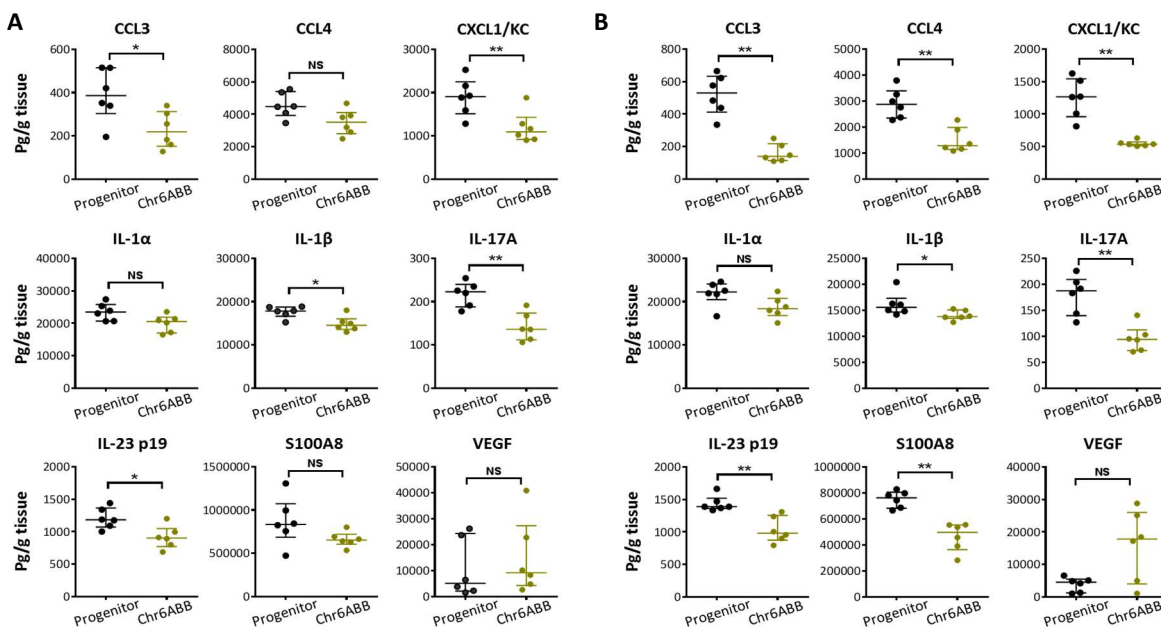
101 from the oropharynx within 2-3 days. Of note, all strains used in our study were derived from strain
102 SC5314. To ask if Chr6x3 induces a commensal-like phenotype in immunocompetent mice, we compared
103 the Chr6ABB strain with the progenitor strain. The oral fungal burden and MPO content of mice infected
104 with the Chr6ABB strain was similar to that of mice infected with the progenitor (Fig. 3A and B) after 1
105 day post-inoculation. By days 3 post-inoculation, the mice had cleared both strains of *C. albicans* from
106 the oropharynx. Notably, the immunocompetent mice infected with the Chr6ABB strain lost significantly
107 less weight than the mice infected with the progenitor (Fig. 3C), again indicating less severe disease
108 associated with Chr6x3.

109

110 Infection with the Chr6ABB strain induced a weaker chemokine and IL-17A response

111 To further analyze the inflammatory response induced by the Chr6ABB strain, we determined the
112 profile of chemokines, pro-inflammatory cytokines, and alarmins in the oral tissues of mice infected with
113 this strain relative to the progenitor. In the immunocompetent mice, the Chr6ABB strain induced

Fig. 4. Oral infection with the Chr6ABB strain induced less production of inflammatory mediators in the oral tissues of immunocompetent mice (A) after 1 d of infection and immunosuppressed mice (B) after 5 d. Results are the median \pm interquartile ranges of data from a single experiment with 6 mice per strain. *, $p < 0.05$, **, $p < 0.01$, ***, $p < 0.001$ NS, not significant, by the Wilcoxon rank sum test, corrected for multiple comparisons.



114 significantly less CCL3, CXCL1 (KC), IL-1 β , IL-17A, and the p19 subunit of IL-23 in the oral tissues

115 relative to the progenitor strain after 1 day of infection (Fig. 4A). The Chr6ABB strain also induced a
116 weaker inflammatory response in corticosteroid-immunosuppressed mice after 5 days of infection (Fig.
117 4B). Collectively, these results indicate that the Chr6ABB strain induced an attenuated inflammatory
118 response in both immunocompetent and immunosuppressed mice despite proliferating in the oropharynx
119 to the same extent as the progenitor.

120

121 **The trisomic strains had reduced adherence to and invasion of oral epithelial cells, and were more** 122 **susceptible to neutrophil killing**

123 We tested the capacity of the trisomic strains to adhere to, invade, and damage oral epithelial

124 cells, as well as their

125 susceptibility to killing

126 by human

127 neutrophils, *in vitro*.

128 The number of

129 epithelial cell-

130 associated

131 organisms, a

132 measure of

133 adherence, was

134 significantly reduced

135 for the trisomic

136 strains relative to the

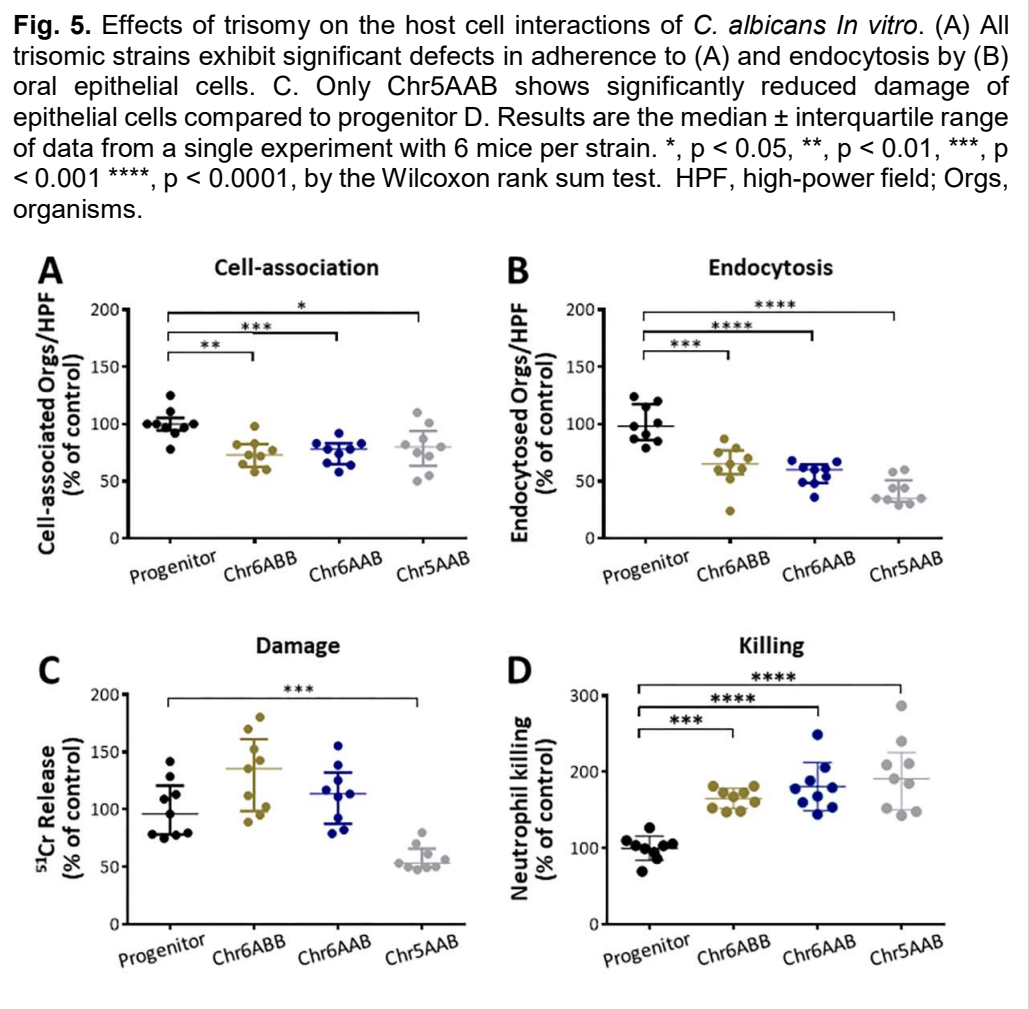
137 progenitor strain (Fig.

138 5A). The trisomic

139 strains also were

140 endocytosed poorly as compared to the progenitor strain (Fig. 5B). The two Chr6x3 strains (Chr6ABB

141 and Chr6AAB) induced a similar extent of epithelial cell damage relative to the progenitor, while the



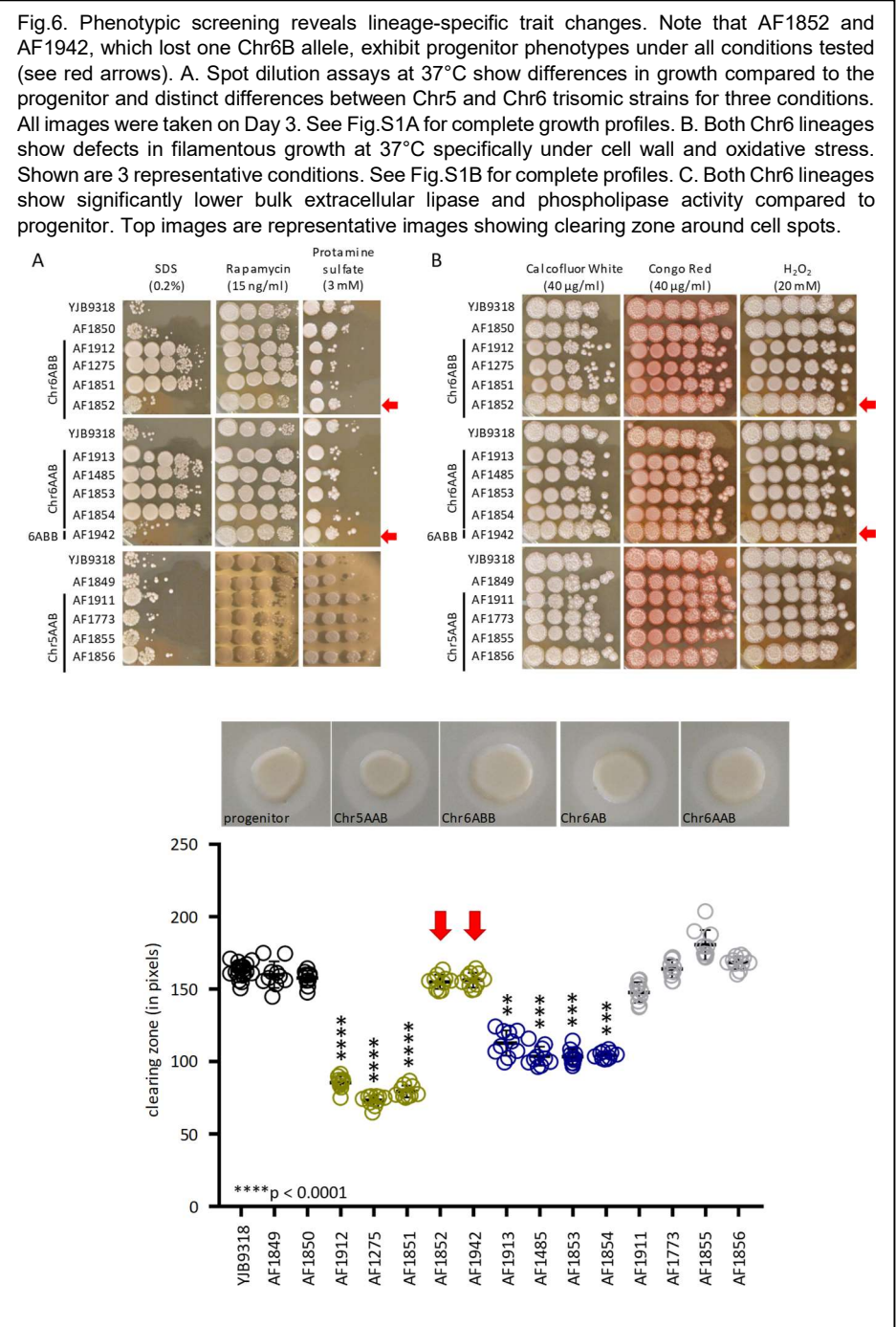
140 endocytosed poorly as compared to the progenitor strain (Fig. 5B). The two Chr6x3 strains (Chr6ABB

141 and Chr6AAB) induced a similar extent of epithelial cell damage relative to the progenitor, while the

142 Chr5x3 strain caused significantly less damage (Fig. 5C). Surprisingly, all three trisomic strains were
 143 more susceptible than the progenitor strain to neutrophil killing (Fig. 5D). Thus, strains with trisomy of
 144 Chr5 or Chr6 have reduced epithelial cell adherence and invasion as well as increased susceptibility to
 145 neutrophil killing *in vitro*.

146 **Phenotypes common and unique to each trisomic lineage**

147 We performed growth
 148 assays by spot dilution for the
 149 original trisomic strains
 150 (Forche et al. 2018) as well
 151 as for several single colony
 152 isolates recovered after oral
 153 infection. In addition to testing
 154 17 different growth conditions
 155 at both 30°C and 37°C, we
 156 determined
 157 lipase/phospholipase
 158 hydrolytic activity on egg yolk
 159 agar (Fig.6, Fig.S1). Under
 160 the majority of conditions, the
 161 trisomic strains grew similarly
 162 to the progenitor strain.
 163 However, the Chr6x3 strains
 164 were less susceptible than
 165 the progenitor to SDS and
 166 rapamycin, and more
 167 susceptible to protamine



168 sulfate (Fig. 6A, Fig.S1). By contrast, the Chr5AAB strain was more susceptible to rapamycin, but less

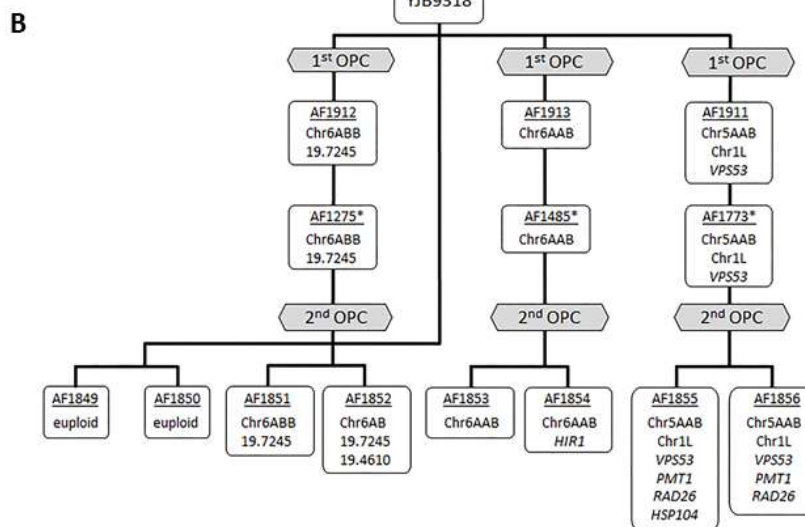
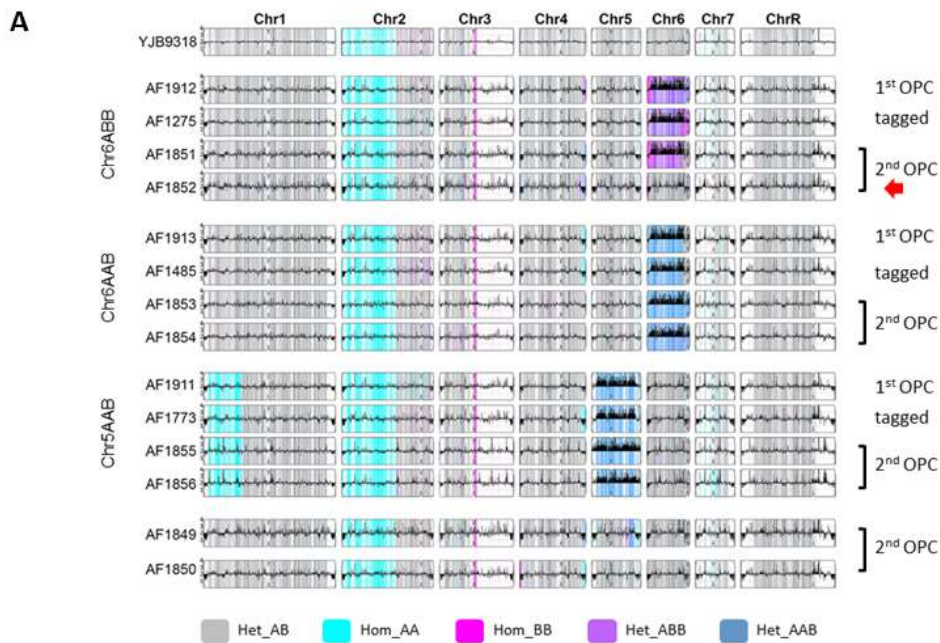
169 susceptible to protamine sulfate. Interestingly, all three strains showed less filamentous growth than the
170 progenitor (spots were smooth or only slightly wrinkled vs wrinkled progenitor colonies) under a variety
171 of conditions (Fig. 6B, Fig.S1). These observations were strain-specific, with Chr5AAB showing the
172 fewest defects and Chr6ABB the most defects in filamentous growth (Fig.6B, Fig.S1). The Chr6x3 strains
173 also had reduced extracellular lipase/phospholipase hydrolytic activity relative to the progenitor strain
174 (Fig. 6C). Importantly, strains AF1852 and AF1942, both of which lost one Chr6B allele during oral
175 infection, exhibited progenitor phenotypes under all conditions tested (Fig.6, Fig.S1). This result strongly
176 supports the idea that the extra copy of Chr6B was necessary and sufficient to induce the observed
177 phenotypes in the Chr6x3 strain.

178

179 **Trisomies in Chr6 were mostly maintained during OPC**

180 Because strains with aneuploid chromosomes are often unstable, we measured strain ploidy
181 levels both before and after OPC infection, using a qPCR approach that sampled 4 markers along each
182 of the eight chromosomes from inoculum streaks, from single colonies of the inoculum, and from single
183 colonies recovered from different mice after OPC. At the population level (streak), all 4 strains maintained
184 their original karyotype over the three days of inoculum preparation (Fig. S2). However, when single
185 colonies were analyzed, 1 of 5 colonies of the Chr6ABB strain had lost Chr6 trisomy, indicating that
186 Chr6x3 is not very stable, and raising the possibility that the initial inoculum of this strain was a mixed
187 population. Next, we analyzed multiple colonies from all four strains to ask if any large-scale genomic
188 changes had occurred during infection. No novel aneuploidies were found in any of the analyzed strains
189 (Fig.7A, Fig.S2). In total, 27% (6/22) of individuals from the Chr6ABB strain background had lost the
190 trisomy during the course of the OPC infection experiment. Similarly, 31% (5/16) of the strains from the
191 Chr6AAB strain background were no longer trisomic. By contrast, all post-infection strains of the
192 progenitor and of the Chr5AAB strains had maintained their original karyotypes (see also below). Thus,
193 Chr6x3 appears to be more unstable than Chr5AAB and thus likely requires positive selection for its
194 maintenance in the OPC model.

Fig. 7. Whole genome sequence analysis of the trisomic strains. (A) The genomes of most strains were stably maintained during transformation and second oral infection. YMAPs showing the local copy number estimates for strains from which whole genome sequence data were obtained. Note that strain AF1852 had lost one copy of Chr6B. Het, heterozygous; hom, homozygous; 1st OPC, original oral infection (Forche et al. 2018); 2nd OPC, strains isolated from mice in the current experiments. (B) *De novo* point mutations arose during the 2nd OPC but were not shared among trisomic lineages. Diagram of the strain lineages showing the genomic changes and the SNPs in the indicated genes (see Table S2 for detailed information on SNPs); * Tagged with unique barcode at the NEUT5L locus.



196

197 **Trisomic strains acquired relatively few *de novo* point mutations during OPC, and these were not**
198 **conserved**

199 SNPs, INDELS, LOH and CNVs were identified in the post-OPC isolates using Illumina whole genome
200 sequencing (see Fig 7A). Maintenance and loss of aneuploid chromosomes, detected by qPCR, were
201 confirmed for all strains (Fig. 7B). Importantly, the karyotypes of these strains were stable during
202 transformation and during 5 days of OPC in all strains except for strain AF1852, which was a post-
203 infection Chr6ABB strain (Fig. 7B). No *de novo* large-scale LOH events were observed. We identified a
204 total of 8 *de novo* SNPs/Indels, all of which were heterozygous; we verified each SNP by Sanger
205 sequencing of the parent and evolved strains (Fig. 7A, Table S2). Seven of these SNPs were located in
206 coding regions and one was intergenic (Table S2). Thus, *de novo* mutations were identified in all three
207 lineages, with none of them were recurrent (shared among strains). These results strongly suggest that
208 the commensal-like phenotype was indeed due to whole Chr aneuploidies.

209

210 **Discussion**

211 When *C. albicans* infects a mammalian host, it is exposed to a variety of different stressors that
212 vary with both the anatomic niche and the duration of infection. Many stressors, such as reactive oxygen
213 species produced by immune cells, antimicrobial peptides, and sequestration of micronutrients are
214 generated by the host. During infection of non-sterile mucosal surfaces, the fungus must also withstand
215 stressors generated by the competing bacterial microbiota. The magnitude of stress experienced by *C.*
216 *albicans* at a specific infection site is likely dependent on whether the organism is growing as a
217 commensal or a pathogen. For example, when *C. albicans* grows in the oropharynx as a commensal, it
218 induces only a weak host response and is thus unlikely to experience significant oxidant stress induced
219 by activated phagocytes. By contrast, when *C. albicans* overgrows and causes OPC, the massive influx
220 of neutrophils subjects the organism to substantial oxidant stress (Swidergall and Filler 2017; Verma et
221 al. 2017a). The multiplicity of stressors encountered by *C. albicans* necessitates that it adapts to specific
222 host micro niches.

223 Aneuploidy and LOH of *C. albicans* generated *in vivo* is thought to enhance the survival and
224 proliferation of the fungus within the host (Forche et al. 2005; Forche et al. 2009; Ene et al. 2018; Forche
225 et al. 2018; Tso et al. 2018), a hypothesis that has been tested only in a mouse model of GI colonization
226 (Ene et al. 2018; Tso et al. 2018). In particular, experimental evolution in the mouse GI tract selects for
227 *C. albicans* variants harboring whole-chromosome as well as segmental aneuploidy and LOH events
228 (Ene et al. 2018; Tso et al. 2018) and results in commensal, attenuated strains that protect their host
229 against subsequent infection with a virulent *C. albicans* strain (Tso et al. 2018). The overrepresentation
230 of strains with Chr6x3 in our earlier study (Forche et al. 2018), despite the instability of the trisomic state,
231 suggests that this trisomy provides fungal cells with a competitive advantage during OPC. The results
232 presented here demonstrate that trisomies of Chr6 and Chr5 enable *C. albicans* to infect the oropharynx
233 at high levels, yet causes less disease. Specifically, when immunosuppressed mice were orally
234 inoculated with the trisomic strains, their oral fungal burden was similar to that of mice infected with the
235 diploid progenitor strain. At the same time, the trisomic strains induced a lower inflammatory response in
236 terms of reduced phagocyte accumulation and decreased cytokine levels, which resulted in less weight
237 loss than in mice infected with the progenitor strain. These results suggest that trisomy in either Chr6 or
238 Chr5 causes *C. albicans* to begin to assume a commensal-like phenotype.

239 To further explore the mechanism of the commensal-like phenotype of the trisomic strains, we
240 analyzed the host response to OPC caused by the Chr6 ABB strain relative to the progenitor strain. In
241 both immunocompetent and immunosuppressed mice, the Chr6 ABB strain induced lower production of
242 multiple chemokines and pro-inflammatory cytokines. The decreased levels of CCL3 and CXCL1, which
243 are neutrophil chemoattractants, provides an explanation for the reduced levels of the phagocyte marker
244 MPO in the oral tissues of mice infected with the trisomic strains. Reduced levels of IL-17A and IL-23,
245 which play key roles in the host defense against OPC (Conti et al. 2009), also suggest that the trisomic
246 strain induced less disease during OPC and are consistent with the commensal-like phenotype of the
247 trisomic strains.

248 A potential explanation for the reduced pathogenicity of the Chr6x3 and Chr5x3 strains is provided
249 by our *in vitro* studies: trisomic isolates had reduced capacity to adhere to and invade oral epithelial cells.

250 *C. albicans* expresses a multitude of adhesins that mediate the binding of the fungus to epithelial cells
251 (reviewed in (Höfs et al. 2016)). One of these adhesins is Als3, which also functions as an invasin (Phan
252 et al. 2007; Zhu et al. 2012). One possible explanation for the reduced adherence and invasion of the
253 trisomic strains is that they have reduced surface expression of Als3. However, by flow cytometric
254 analysis of hyphae stained with an anti-Als3 antibody, we found that Als3 surface expression of all three
255 trisomic strains was similar to that of the progenitor strain (Solis and Filler, unpublished data). These
256 results suggest that the adherence and invasion defects of the trisomic strains are due to reduced
257 expression of one or more adhesin(s) and invasin(s) other than Als3.

258 When Schonherr *et al.*, (Schonherr et al. 2017) investigated the epithelial cell interactions of
259 different strains of *C. albicans*, they found that the ones with the commensal phenotype caused less
260 damage to epithelial cells *in vitro* than did the pathogenic strains. We found that only the Chr5 AAB strain
261 had reduced capacity to damage the epithelial cells; both Chr6 trisomic strains induced wild-type levels
262 of epithelial cell damage. Thus, reduction of *in vitro* epithelial cell damage did not predict the commensal-
263 like phenotype of the Chr6 trisomic strains.

264 Surprisingly, all three trisomic strains had increased susceptibility to neutrophil killing, yet the oral
265 fungal burden of mice infected with these strains was similar to that of mice infected with the progenitor
266 strain. We speculate that the trisomic strains were able to persist in the oropharynx in high numbers because
267 of the defect in phagocyte recruitment.

268 Whole genome sequence analysis did not identify any common point mutations among the
269 trisomic lineages. This result supports the concept that the trisomic state of specific chromosomes, rather
270 than specific point mutations, resulted in the commensal-like phenotype. In a recent study, trisomy of
271 Chr7 (Chr7x3) was found to be common in *C. albicans* strains after *in vivo* passage in a mouse model of
272 GI colonization (Ene et al. 2018). Chr7x3 arose in three different strain backgrounds and conferred a
273 fitness (growth) advantage over the respective diploid progenitors in 1:1 *in vivo* competition experiments.
274 Thus, the development of trisomy in specific chromosomes appears to influence the capacity of *C.*
275 *albicans* to persist in distinct anatomic niches.

276 Chr6 harbors many genes important for filamentous growth, adhesion and hydrolytic enzyme
277 production (Skrzypek et al. 2017). Importantly, strains that lost the extra copy of the Chr6B allele from
278 the Chr6ABB strains reverted to the parental phenotypes. These data support the idea that the
279 commensal phenotype is a multi-gene trait that is fostered by an extra copy of Chr6B. Allelic imbalance
280 in the trisomic strains may also affect cell wall architecture and/or composition, which would then alter
281 immune recognition by the host. Accordingly, the amount of exposed β -glucan on the surface of fungal
282 cells was strongly predictive of competitive fitness in the mouse GI tract (Ene et al. 2018). Furthermore,
283 fungal cell wall architecture, rather than cell wall composition, determines the ability of fungi to colonize
284 the GI tract (Sem et al. 2016). Finally, a recent study on functional divergence of filamentous growth
285 regulation in *C. albicans* found that Flo8 overexpression was sufficient to restore filamentation in a
286 *mfg1/mfg1* mutant (Polvi et al. 2019). Importantly, Flo8 is located on Chr6 and *in vitro* evolution of three
287 *mfg1/mfg1* lineages *in vitro* resulted in trisomy of Chr6 (Polvi et al. 2019).

288 Taken together, our data indicate that specific whole chromosome aneuploidies alter several
289 related virulence-associated traits that affect how the host recognizes and responds to *C. albicans* during
290 oropharyngeal infection, thereby inducing a commensal-like phenotype. Because both the *in vivo*
291 (commensal) and *in vitro* phenotypes are likely the result of allelic imbalance of specific genes on the
292 trisomic chromosomes, rather than due to whole chromosome trisomy, it will be imperative to identify
293 those genes that, when present in an extra copy, enhance the capacity of *C. albicans* to interact with the
294 host and survive in diverse anatomic sites.

295

296 **MATERIALS AND METHODS**

297 **Strains used in this study**

298 Strains are listed in Table S2 and were stored at -80°C in 50% glycerol.

299

300 **Ethics statement.** All animal work was approved by the Institutional Animal Care and Use Committee
301 (IACUC) of the Los Angeles Biomedical Research Institute. The collection of blood from human

302 volunteers for neutrophil isolation was also approved by the Institutional Review Board of the Los Angeles
303 Biomedical Research Institute.

304 **Mouse model of OPC.** The pathogenicity of the *C. albicans* strains during OPC was determined in both
305 immunocompromised and immunocompetent male Balb/c mice following our standard protocol (Solis
306 and Filler 2012). When immunocompromised mice were used, cortisone acetate (2.25 mg/kg) was
307 administered subcutaneously on days -1, 1, and 3 (Solis and Filler 2012). For inoculation, the animals
308 were sedated with ketamine and xylazine, and a swab saturated with 10^6 *C. albicans* cells was placed
309 sublingually for 75 min. Immunocompetent mice were inoculated similarly, except that the swab was
310 saturated with 2×10^7 organisms (Conti et al. 2016; Solis et al. 2017). The immunocompromised and
311 immunocompetent mice were sacrificed at 3 and 5 d and 1 and 3 d post-infection, respectively. After
312 sacrifice, the tongue and attached tissues were harvested and divided longitudinally. One hemisection
313 was weighed, homogenized, and quantitatively cultured. The other was embedded in paraffin, after which
314 thin sections were prepared and then stained with periodic acid-Schiff stain (PAS).

315 **Human cell line.** The human oral epithelial cell line OKF6/TERT-2 was kindly provided by J. Rheinwald
316 (Harvard University, Cambridge, MA) (Dickson et al. 2000) and was cultured as previously described
317 (Phan et al. 2007).

318 **Host cell damage assay.** The extent of oral epithelial cell damage caused by different *C. albicans* strains
319 was determined using our previously described ^{51}Cr release assay (Solis et al. 2017). Briefly,
320 OKF6/TERT-2 cells were grown to 95% confluence in 96-well tissue culture plates with detachable wells
321 (Corning) and loaded with $5 \mu\text{Ci/ml}$ $\text{Na}_2^{51}\text{CrO}_4$ (PerkinElmer) overnight. After rinsing the cells to remove
322 the unincorporated ^{51}Cr by rinsing, they were infected with 6×10^5 *C. albicans* cells. After 7 h, the amount
323 of ^{51}Cr released into the medium and retained by the cells was determined by gamma counting. Each
324 experiment was performed three times in triplicate.

325 **Measurement of *C. albicans* epithelial cell adherence and endocytosis.** *C. albicans* adherence to
326 and endocytosis of by oral epithelial cells was quantified by a differential fluorescence assay as described
327 previously (Park et al. 2005). Briefly, OKF6/TERT-2 cells were grown to confluency on fibronectin-coated
328 circular glass coverslips in 24-well tissue culture plates. They were infected with 2×10^5 yeast-phase *C.*

329 *albicans* cells per well and incubated for 2.5 h, after which they were washed, fixed, stained, and mounted
330 inverted on microscope slides. The coverslips were viewed with an epifluorescence microscope, and the
331 number of adherent and endocytosed organisms per high-power field (HPF) was determined, counting
332 at least 100 organisms per coverslip. Each experiment was performed at least three times in triplicate.
333 For MPO analysis during OPC, the tongue homogenates from immunocompromised mice a 3 d post-
334 infection were clarified by centrifugation, and stored at -80°C . The MPO concentration was determined
335 using a commercial ELISA kit (Hycult Biotech).

336 **Measurement of cytokines in immunocompromised and immunocompetent mice.**

337 ***C. albicans* Als3 surface expression.** Flow cytometry was used to analyze the surface expression of
338 Als3 on *C. albicans* strains, using our previously described method (Phan et al. 2007; Fu et al. 2013).
339 Briefly, after fixing *C. albicans* cells in 3% paraformaldehyde and blocking with 1% goat serum, the cells
340 were incubated with a rabbit polyclonal antiserum raised against the recombinant N-terminal region of
341 Als3. Next, the cells were rinsed and incubated with a goat anti-rabbit secondary antibody conjugated to
342 Alexa Fluor 488 (Invitrogen). Cell sorting was performed with a FACSCaliber flow cytometer (Becton,
343 Dickinson). Fluorescence data for 10,000 cells of each strain were collected.

344 **Neutrophil killing.** The susceptibility of the various *C. albicans* strains to neutrophil killing was
345 determined as described previously (Solis et al. 2017). Briefly, neutrophils were strained from the blood of
346 healthy volunteers and mixed with an equal number of *C. albicans* cells in RPMI 1640 medium plus 10%
347 fetal bovine serum. After a 3 h incubation at 37°C in 5% CO_2 , the neutrophils were lysed by sonication,
348 and the number of viable *C. albicans* cells was determined by quantitative culture. Each experiment was
349 performed three times in triplicate (different donors).

350 **Statistics.** Data were compared by Mann-Whitney or unpaired Student's t test using GraphPad Prism (v.
351 6) software. P values of < 0.05 were considered to be statistical significant.

352 **Quantitative PCR (qPCR) to assess chromosome copy number.** To check that the trisomies were
353 maintained during inoculum preparation and throughout the course of infection we used qPCR for 4
354 markers along each of the 8 chromosomes to determine ploidy. The PCR primers are listed in Table S3
355 qPCR was performed on gDNA extracted from single colonies and from streaks of the inoculum and for

356 strains recovered from the tongues of infected mice (Table S1). Streaks or single colonies were
357 transferred from original plates directly into either 750 μ l (streaks) or 150 μ l (single colonies) of 50%
358 glycerol, and 100 μ l were used for gDNA extraction without additional culturing. Resulting gDNA amounts
359 were sufficient for qPCR.

360 **Whole genome sequencing and Sanger sequencing.** Genomic DNA was isolated with phenol
361 chloroform, as described previously (Selmecki et al. 2015). Libraries were prepared using the NexteraXT
362 DNA Sample Preparation Kit following the manufacturer's instructions (Illumina). Libraries were purified
363 with AMPure XP beads (Agencourt) and library concentration was quantified using a Bioanalyzer High
364 Sensitivity DNA Chip (Agilent Technologies) and a Qubit High Sensitivity dsDNA fluorometric
365 quantification kit (Life Technologies). DNA Libraries were sequenced using paired end 2 x 250 flow cells
366 on an Illumina MiSeq (Creighton University). Copy number and allele status was visualized using YMAP
367 (Abbey et al. 2014). Fastq files were aligned using an in-house sequence analysis pipeline (Li and Durbin
368 2009; Li et al. 2009; Li and Durbin 2010) and custom Python scripts. The progenitor strain and any of the
369 evolved (Bolger et al. 2014) strains were analyzed using Mutect (Cibulskis et al. 2013) resulting in
370 individual output files containing *de novo* SNPs that were acquired by the evolved strains. SNP regions
371 were validated by eye in IGV. For non-synonymous *de novo* SNPs, primer pairs were generated with
372 Primer 3 (Untergasser et al. 2012) (Table S3) to yield PCR products of about 400 bp. SNPs were
373 confirmed by Sanger sequencing of amplified products as described (Selmecki et al. 2006).

374 **Spot dilution assays.** Strains were streaked onto YPD plates and incubated for 3 days at 30°C. Single
375 colonies were transferred to 3 ml YPD broth and grown overnight at 30°C in a roller incubator. Cells were
376 spun down, washed twice with PBS buffer and resuspended in 1ml PBS. The number of cells/ml was
377 counted using a hemacytometer and all strains were adjusted to 1×10^9 CFU/ml. For each strain, five
378 microliters of a five-fold dilution series were spotted onto YPD, YPD light (0.2% glucose), YPD
379 supplemented with 1 M NaCl, 150 μ M farnesol, 40 or 80 μ g/ml Congo Red, 40 or 80 μ g/ml Calcofluor
380 White, 0.1 or 0.2% SDS, 10, 20, 40, or 100 mM H_2O_2 , 15 ng/ml Rapamycin, Casitone media
381 supplemented with 4 μ g/ml fluconazole, or 0.1 μ g/ml caspofungin, 3 mM Protamine sulfate, RPMI
382 supplemented with 0.2% glucose and Spider medium (Azadmanesh et al. 2017). Four sets were prepared

383 for each medium, and sets were incubated at room temperature 30°C, 37°C, and 42°C, respectively,
384 monitored for growth and colony appearance and photographed on days 2, 3 and 6.

385 **Extracellular lipase/phospholipase activity.** To assay lipase and phospholipase hydrolytic activity, five
386 microliters of the 1×10^9 CFU/ml stock was spotted onto egg yolk agar plates (EYA; 10% egg yolk
387 emulsion, 1% peptone, 1.5% agar, 3% glucose, 5.73% NaCl, 0.055% CaCl_2) (Noumi et al. 2010). Spots
388 were allowed to dry and plates were incubated at 37°C for up to 5 days, photographed on day 5 and
389 images were analyzed with ImageJ. For each strain, twenty measurements of the extent of the clearing
390 around each spot were taken. To determine whether the differences in clearing were significantly different
391 from the parental strain and between strains unpaired Student's t test were done using GraphPad Prism
392 (v. 6) software. A p-value of < 0.05 was considered significant.

393 **Data availability**

394 WGS data have been deposited at the SRA database under accession number SRP126179 (Temporary
395 submission ID SUB3297543).

396

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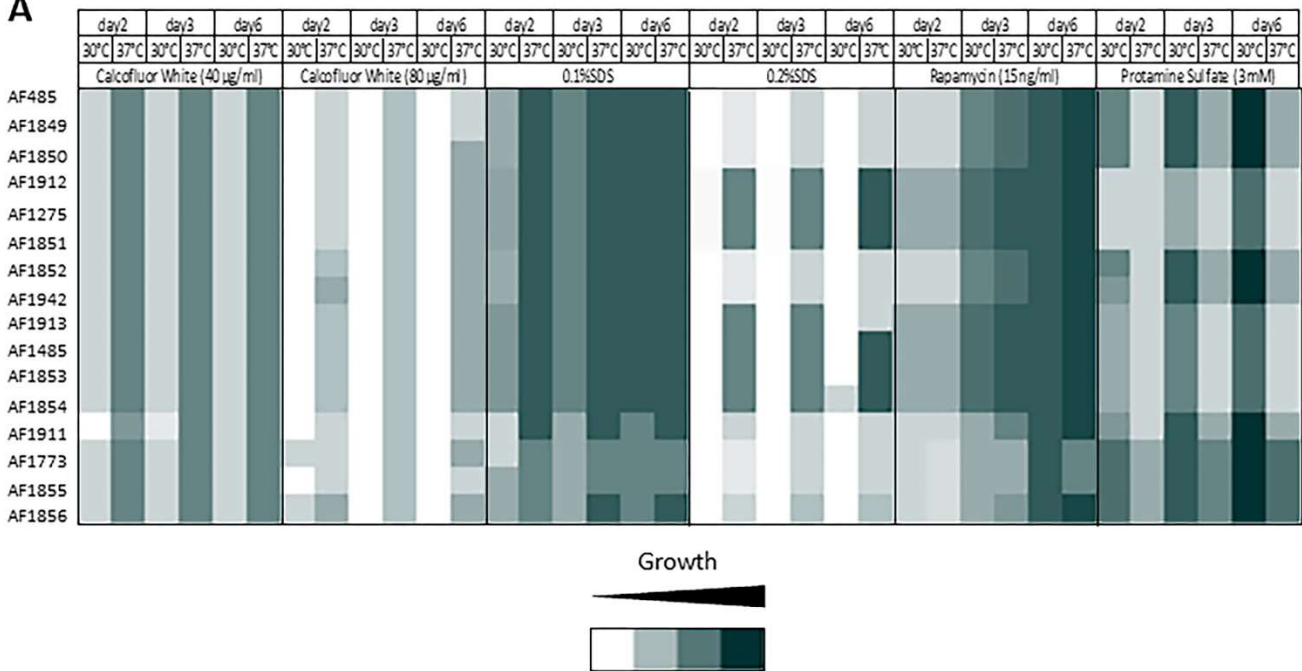
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532 Fig. S1. Summary of phenotypic evaluation of strain lineages. Shown are the conditions for which
 533 differences in growth (A) and spot morphology (B) were seen either between the progenitor and the
 534 trisomic strain(s) or between the different trisomic strains. Data is arranged by the day the plates were
 535 scored, the incubation temperature and growth medium. Strains and their derivatives are ordered as
 536 follows: progenitor, Chr6ABB, Chr6AAB, Chr5AAB. See Table S1 for strain information.

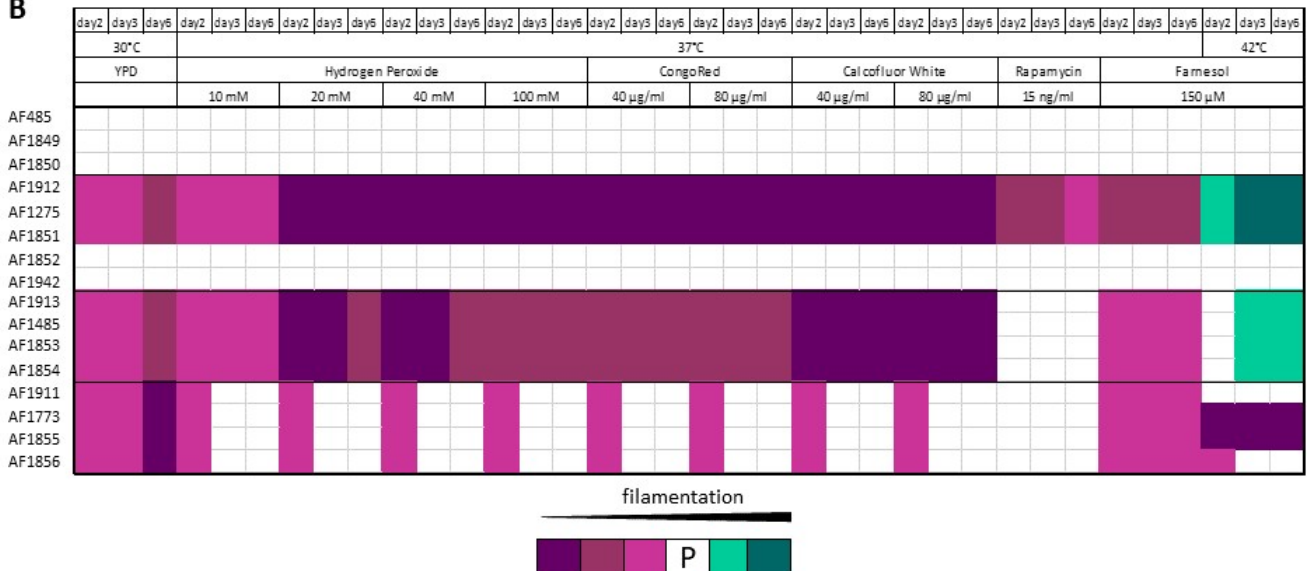
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A



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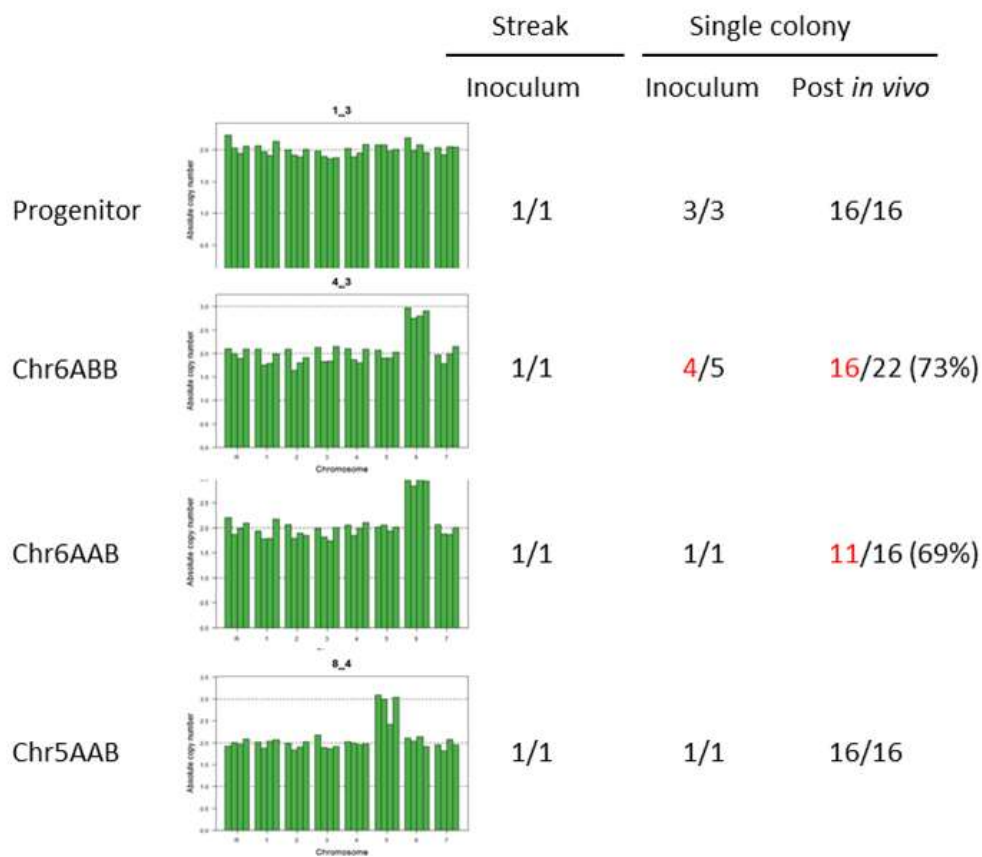
B



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540

541 Fig.S2. Variable retention of trisomies suggest population heterogeneity *in vivo*. Ploidy genotypes were
 542 stably maintained for the parent and the Chr5AAB lineage but not for either Chr6 lineage. The ploidy
 543 genotypes of the indicate strains was determined by qPCR for 4 markers along each of the 8
 544 chromosomes.



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