1	A NEW EXPERIMENTAL MODEL OF MUSCLE PAIN IN HUMANS BASED ON SHORT-
2	WAVE DIATHERMY
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# 23 ABSTRACT

24 Experimental models of pain in humans are crucial for understanding pain mechanisms. The 25 most often used muscle pain models involve the injection of algesic substances, such as 26 hypertonic saline solution or nerve growth factor, or exercise-induced delayed onset muscle 27 soreness (DOMS). However, these models are either invasive or take substantial time to develop, 28 and the elicited level of pain/soreness is difficult to control. To overcome these shortcomings, we 29 propose to elicit muscle pain by a localized application of short-wave diathermy (SWD). In this 30 crossover study, SWD was administered to eighteen healthy volunteers to the wrist extensor 31 muscle group, with a constant stimulation intensity and up to 4 minutes. We measured pressure 32 pain threshold (PPT) and pinprick sensitivity (PPS) and performed a psychophysical evaluation 33 of muscle soreness at baseline and at 0, 30 and 60 minutes. SWD evoked localized muscle 34 pain/soreness in the wrist extensor muscle group and a decrease of PPT in the treated arm compared with the control arm that lasted for at least 60 minutes, reflecting ongoing hyperalgesia 35 36 after SWD application. PPS was not significantly altered 30 to 60 min following SWD, 37 suggesting a minimal contribution from skin tissue to sustained hyperalgesia.

# 38 Trial registration

39 The study was preregistered in ClinicalTrials.gov (identifier: NCT03573219).

#### 40 **Perspective**

This is the first study to show that SWD constitutes a viable alternative as an experimental acutemuscle pain model in humans.

Key words (5 maximum): Short-wave diathermy, Experimental pain model, Hyperalgesia,
Musculoskeletal pain

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# 47 **INTRODUCTION**

48 Pain-related pathologies are associated with many concurrent physiological and psychophysical 49 processes in patients, resulting in a large number of confounding factors in the evaluation of specific mechanisms behind pain <sup>33</sup>. To address this issue, researchers have developed surrogate 50 51 experimental pain models that are tested on healthy volunteers, facilitating the assessment of pain effects on the sensory-motor system in a more focused way <sup>4,12</sup>. Furthermore, testing on 52 53 healthy volunteers implies that pain patients do not have to undergo additional and possibly 54 painful testing, reducing their overall burden. In general, experimental pain models should have 55 the following desirable features: the model should be established in a reasonably short time, the 56 stimuli that elicit pain should be reasonably controlled and the effects of the experiments should 57 be fully reversible, short lasting and homogeneous across volunteers. However, to the best of our 58 knowledge, there are no experimental pain models to date that fulfill all these conditions.

59 Experimental pain models use different stimulus modalities to elicit pain, including mechanical <sup>29,37,41</sup>, chemical <sup>3,42</sup>, thermal <sup>23,24</sup> or electrical stimuli <sup>8,20,27</sup>, among others. For acute muscle pain, 60 one of the most widely used models involves the administration of intramuscular injection of 61 algesic substances into the muscle itself or its surrounding areas. To this end, the most 62 commonly used substances are hypertonic saline solutions and nerve growth factor (NGF) 63 <sup>1,5,20,25,43</sup>. However, both models require invasive procedures, present an uneven distribution of 64 65 pain intensity and the time frames for the development of pain present distinct shortcomings in 66 each case. For the hypertonic saline, the duration of pain is confined to a few minutes, and the 67 short duration of pain prevents the assessment of relevant neuromodulation and pharmacological 68 modulation of different interventions. On the other hand, NGF produces a long-lasting 69 sensitization and pain is evoked only during a movement, but it requires 24 to 48 h to show 70 measurable effects. Another commonly used model is delayed-onset muscle soreness (DOMS), 71 which is a non-invasive alternative based on unaccustomed eccentric exercise routine. However, 72 it also has a slow development (24 to 48 h), the resulting level of pain/soreness is hard to control and depends on the subject's training status <sup>32</sup>. Therefore, although these models are widely used, 73 74 their limitations uphold the development of new alternatives.

In this regard, an unexplored possibility is to elicit muscle pain by a localized application of
 short-wave diathermy (SWD). High frequency oscillations of non-ionizing electromagnetic fields

in the radiofrequency (RF) range can heat deep tissues in a well-localized region <sup>11,39</sup>. In particular, the RF electromagnetic fields can be produced in the range of 3 kHz to 300 GHz, but frequencies around 27 MHz (short-wave) are preferred to heat deep tissues <sup>10,16</sup>. Deep tissue heating by means of RF is a safe and extensively used technique in palliative treatment of pain and as a healing agent in soft tissues <sup>16,54</sup>. However, SWD has not been previously explored with intensities suitable for inducing acute muscle pain.

The aim of the present study was to investigate whether SWD can elicit localized muscle pain/soreness on the wrist extensor muscle group. To this end, we performed a quantitative evaluation of the model effects through the assessment of pressure pain thresholds (PPT) and pinprick sensitivity (PPS) over extensor carpi radialis brevis (ECRB) muscles in both the dominant and the non-dominant arm (acting as control). These assessments were conducted before SWD conditioning stimulation and at 0, 30, and 60 minutes after SWD, in order to test for long lasting effects.

#### 91 **METHODS**

# 92 *Participants*

93 Nineteen healthy volunteers (7 females, age:  $29 \pm 5$  years, weight:  $69.3 \pm 13.8$  kg, height: 171.1 94  $\pm$  10.4 cm, mean  $\pm$  standard deviation) were recruited for the study. One volunteer was excluded 95 after reporting a previous surgery in the non-dominant arm. Volunteers had no history of pain or 96 neuromuscular disorders affecting the upper limb region. All volunteers received written and 97 verbal description of the procedures and gave written inform consent. The study was approved 98 by the Central Bioethics Committee for Biomedical Practice and Research, dependent from the 99 Ministry of Health of Entre Rios (identifier: IS001890). Study preregistration, including original 100 hypothesis, description of primary and secondary outcomes, and initial sample size 101 consideration, was done at ClinicalTrials.gov (identifier: NCT03573219) and the Declaration of 102 Helsinki was respected.

# 103 *Short-wave diathermy*

104 SWD was administered using a CEC M-8 short-wave thermotherapy unit (CEC Electrónica 105 S.R.L., Argentina) that delivers RF at a frequency of 27.12 MHz. The device has two rectangular 106 capacitive applicators (18 x 12 cm), that were positioned below and above the dominant forearm. 107 Coplanar application was performed using the continuous wave mode. SWD application has two 108 main parameters that can be controlled: application time and stimulation intensity. It is clear that 109 at least one of these parameters must be fixed to reduce the degrees of freedom of the model. 110 During pilot experiments, we tested both possible configurations: fixed application time with 111 variable stimulation intensity, and fixed stimulation intensity with variable application time. The 112 most consistent results in terms of pain/soreness elicited after stimulation were obtained using a 113 fixed stimulation intensity at a constant value (12 out of 20 on the thermotherapy unit scale) and 114 applying SWD for as long as subjects could tolerate the stimulation (i.e. until subjects reached 115 tolerance threshold for thermal pain), at which moment stimulation was immediately interrupted. 116 In this way, we found that the selected intensity was sufficiently low as to develop sustained 117 pain/soreness within a reasonable time frame, and high enough to accumulate heat and prevent 118 dissipation by the blood flow system.

119 *Response profiles during SWD application* 

120 A computerized, custom-made Visual Analog Scale (VAS) was used to continuously track the 121 response profile to thermal stimuli across subjects during the application of SWD. The scale 122 range was from 0 to 100, where 0 represents no perception, 30 represents the pain threshold 123 (defined here as the time to reach a painful sensation at the predefined stimulation intensity) and 124 100 represents the tolerance threshold (defined here as the time at which the pain sensation 125 becomes intolerable). The scale was anchored according to response profiles observed during 126 pilot experiments, in which the early parts of the response profiles were reported as clearly non-127 painful thermal sensations. Additionally, McGill questionnaires and pain drawing areas were 128 used to describe the sensation when tolerance threshold was reached.

# 129 Pressure pain threshold assessment

Pressure pain thresholds (PPT) were assessed using a digital algometer (Somedic SenseLab AB, Sweden), directly over the extensor carpi radialis brevis (ECRB), using a 1 cm<sup>2</sup> round tip. Pressure was gradually increased from 0 kPA at a rate of approximately 30 kPa/s. PPT was defined as the pressure at which the mechanical sensation becomes painful. The assessment was repeated three time for each arm, alternating sides between measurements. The median value of the three assessments was used for further analysis <sup>5,28</sup>. Changes in PPT are indicative of the development of mechanical hyperalgesia in the muscle.

# 137 Pinprick assessment

Pinprick stimuli were applied perpendicularly on the skin over the ECRB muscle using a pinprick stimulator, consisting on a needle with a 0.25 mm<sup>2</sup> tip calibrated to a weight of 50 g. The stimulus was repeated three times for each arm, randomizing the order of assessment for each trial. Volunteers scored pinprick sensation on a Numerical Rating Scale (NRS), where 0 represents no perception, 30 represents the pain threshold, and 100 represents the tolerance threshold. Pinprick stimuli were assessed to differentiate deep-tissue from cutaneous hyperalgesia.

# 145 Self-reported muscle pain/soreness

A modified self-report Likert scale was used to follow the temporal progression of muscle pain/soreness at 0, 30, and 60 minutes after SWD, with 0 defining a complete absence of soreness and 6 indicating severe soreness (Table 1). This scale was selected based on previous studies reporting the effects of experimental muscle pain models <sup>1,5</sup>. Additionally, self-

assessment was repeated 24 h after the experiment, in order to check for potential longer lasting

151 effects of SWD.

#### 152 *Experimental protocol*

153 Volunteers participated in a single experimental session. They were instructed to sit down 154 comfortably with the arms extended and the palm in prone position. Baseline measures (PPT, 155 PPS, and self-reported muscle pain/soreness) were performed at the beginning of the experiment. 156 SWD was then applied to the wrist extensor muscle group of the dominant arm, due to its 157 incidence and prevalence of dominance in clinical muscle pain <sup>49</sup> (Fig. 1). Initially, low intensity SWD (4 out of 20 on the thermotherapy unit scale) was applied in order to localize the region to 158 159 be treated without warming unwanted areas (such as wrist flexor muscles), according to verbal 160 reports from the subjects. Afterwards, stimulation was stopped and restarted using the prefixed stimulation intensity (12 out of 20). Subjects were instructed to report the ongoing thermal 161 162 sensation using the VAS scale during stimulation, until tolerance threshold for thermal pain was 163 reached, stopping immediately after. PPT, PPS, and self-reported muscle pain/soreness were 164 quantified immediately after SWD application, as well as 30 and 60 min later. The assessment 165 was performed only three time points in order to avoid substantial habituation to mechanical 166 stimulation.

# 167 Data analysis and statistics

Statistical analysis was performed using R v. 3.5.1<sup>46</sup>. A two-way repeated measures ANOVA 168 169 with within-subject factors time (0, 30, 60 minutes after SWD) and arm (treated and control) was 170 used to evaluate differences in PPT and PPS due to the application of SWD, calculated as 171 percentage of change from baseline. Mauchly's test was carried out to verify the assumption of 172 sphericity, and the Greenhouse-Geisser correction was applied for PPS data. A non-parametric 173 Friedman test was employed to quantify the self-reported muscle pain/soreness after SWD with 174 within-subject factor time (baseline and 0, 30, 60 minutes after SWD). Tukey's post hoc tests (in 175 its parametric and non-parametric versions) were carried out when appropriate. Values are 176 reported as mean  $\pm$  standard deviation or median [interquartile range] depending on whether the 177 underlying data was normally distributed or not. P values smaller than 0.05 were regarded as 178 statistically significant.

# 180 **RESULTS**

181 Reported pain intensity and quality during SWD

182 The induced muscle pain increased with different profiles for each subject, reaching the tolerance 183 threshold in 1.59 [1.47] minutes (Fig. 2A). The resulting spatial extension of pain matched the

184 treated forearm region (Fig. 2B). At the peak of the induced thermal muscle pain (i.e. at tolerance

level), 22% of the participants described the pain as hot, 61% as burning, 11% as scalding, and

- 186 the remaining 6% as searing.
- 187 Self-reported muscle pain/soreness
- 188 Subjects reported no muscle pain/soreness at baseline. A main effect of time was found for the

189 self-reported muscle pain/soreness scores ( $\chi^2_3 = 19.441$ , P < 0.001). Post hoc tests showed that

190 scores were significantly higher after SWD compared to baseline for all time points (P values

191 ranging from < 0.001 to 0.043), but they were not significantly different among them (*P* values

ranging from 0.065 to 0.945). None of the subjects reported muscle pain/soreness 24 h after the

193 experiment (Fig. 3).

## 194 Pressure pain thresholds

195 A main effect of *arm* was found for the PPT change scores ( $F_{1,17} = 8.897, \eta_p^2 = 0.34, P = 0.008$ ). 196 The treated arm showed a significant decrease of PPT values compared to the control arm at all 197 time points (P = 0.008; Fig. 4), presenting an average difference of 13% between arms. No 198 significant differences were neither found for *time* ( $F_{2,34} = 1.593, \eta_p^2 = 0.086, P = 0.218$ ) nor for 199 the interaction ( $F_{2,34} = 0.042, \eta_p^2 = 0.002, P = 0.958$ ).

200 Pinprick sensitivity

Data from one subject was excluded from the pinprick sensitivity analysis as an outlier (the reported value was over three times larger than the standard deviation). No significant differences were found for *arm* ( $F_{1,17} = 1.069$ ,  $\eta_p^2 = 0.059$ , P = 0.315) or *time* ( $F_{1.14,19.45} = 0.400$ ,  $\eta_p^2 = 0.031$ , P = 0.487). However, a significant interaction was found ( $F_{2,32} = 3.802$ ,  $\eta_p^2 = 0.192$ , P = 0.033). The post-hoc analysis revealed that the treated arm showed a significant increase of the PPS compared with the control arm only immediately after SWD (P = 0.019).

# 208 **DISCUSSION**

209 In this study, we applied SWD to the wrist extensor muscle group of healthy volunteers in order 210 to induce acute muscle pain. Stimulation was fixed to a constant intensity, and SWD was applied 211 until the tolerance threshold was reached. We then assessed changes in PPT and PPS self-212 reported muscle pain/soreness up to 60 minutes after SWD application. SWD evoked localized 213 muscle pain/soreness and a decrease of PPT in the treated arm compared with the control arm 214 that lasted for at least 60 minutes, reflecting ongoing hyperalgesia during the period of 215 experimentation. PPS was not significantly altered between 30 to 60 min following SWD, 216 suggesting a minimal contribution from skin tissue to sustained hyperalgesia. To our knowledge, 217 this is the first study to show that SWD constitutes a viable alternative as an experimental acute 218 muscle pain model in humans.

# 219 *Response profile during SWD application*

220 Volunteers reported a rapid increase in thermal pain during SWD application, reaching the heat 221 tolerance threshold in a couple of minutes in most cases. This behavior is likely associated with 222 responses from polymodal afferent fibers that act as heat-sensitive receptors. The transduction of 223 the thermal stimulus is performed by a subset of channel receptors within the muscle afferents 224 that sense and signal within specific temperature ranges. These receptors, including the 225 temperature-activated transient receptors (TRPV), not only detect temperature in innocuous range but also in the nociceptive range <sup>30</sup>. Once the thermal stimulus is transduced, group IV and, 226 227 in less proportion, group III afferent fibers are associated with the transmission of the thermal stimulus from the muscle to the central nervous system <sup>13,34</sup>. Although the temperature inside the 228 muscle was not measured during the SWD, it is known that temperatures over 43 °C are very 229 uncomfortable for humans<sup>9</sup>. Therefore, even though it is hypothesized that the temperature 230 231 inside the muscle was above 43 °C before the stimulation was stopped, application timespans of 232 a few minutes (max. 4 min for this experiment) are not enough to induce permanent thermal damage on the muscle tissue  $^{18,53}$ . 233

# 234 Mechanisms associated with hyperalgesia induced by SWD

Subjects reported muscle soreness and a decrease in PPT values immediately after SWD application compared to the control arm, that lasted for at least 60 min after the intervention, reflecting hyperalgesia in the treated region. It should be noted that five subjects showed a slight increase in PPT after SWD application. In all cases, however, the increase in PPT in the control arm was larger, probably reflecting habituation to mechanical stimulation, so the net difference between arms still showed an overall effect of SWD. Furthermore, four subjects did not report muscle soreness at any time point after SWD, although cross-referencing the data showed that all subjects but one showed a decrease in PPT.

- 243 The observed effect is most likely due to an inflammatory response of the neuromuscular system 244 triggered by a fast temperature increase in the muscle, coupled with an incapability of the 245 musculoskeletal tissue to dissipate heat at the same speed, resulting in the release of algesic 246 substances and/or local tissue damage. However, further studies assessing inflammatory markers 247 are required in order to confirm an ongoing inflammatory process and to gain deeper 248 understanding of the model effects in the muscle, since most inflammatory pain models are related to skin<sup>19,31,36,48</sup>. In this regard, it is worth mentioning that although hyperalgesia has been 249 observed when inducing thermal pain in skin tissue <sup>31</sup>, PPS after SWD only hinted at short-250 251 lasting changes after application in the present study, suggesting that the contribution of the skin 252 to ongoing hyperalgesia after a few minutes is minimal.
- 253 Several mechanisms may be involved in the initiation and prolongation of the inflammation in 254 response to SWD. Among these mechanisms, neurogenic inflammation could account for the 255 rapid development of hyperalgesia. This inflammation process is triggered by the axon reflex, which causes a release of pro-inflammatory neuropeptides from the afferent fibers <sup>7,22</sup>. Different 256 257 substances are involved in the process, including substance P and calcitonin gene-related peptide that are released both peripherally as well as in the dorsal spinal cord <sup>35</sup>. These substances 258 259 mediate neurogenic inflammation symptoms by interacting with muscular and connective tissue 260 cells<sup>6</sup>. Another pathway that may be associated with the observed hyperalgesia is the release of pro-inflammatory substances by the musculoskeletal tissue itself <sup>51</sup>. For instance, interleukin-6 261 (IL-6) might be particularly relevant, since it has been previously demonstrated that it is highly 262 upregulated following a significant increase of heat in the muscle 51,52. This pro-inflammatory 263 cytokine is synthesized in the initial stage of inflammation, and has also been suggested to play a 264 role in the process of pathological pain <sup>45,55</sup>. 265

As mentioned before, mechanical hyperalgesia observed after SWD is presumably associated with the described peripheral responses at the beginning of the process. Nevertheless, central 268 mechanisms cannot be excluded as a contributing modulatory factor. There is strong evidence 269 that acute peripheral inflammation involves specific central mechanisms, for example, through hyperexcitability of dorsal horn nociceptive neurons <sup>2,38,48</sup> and through changes in the release of 270 inflammatory mediators or vasodilation by the sympathetic and parasympathetic system <sup>50</sup>. In 271 272 rats, subcutaneous inflammation causes an increase of spinal glia activity, constituting a direct evidence of a central change induced by an acute stimulation <sup>44</sup>. In human experimental 273 274 inflammation of the skin, it has been suggested that central excitability remains increased once triggered even after a single stimulation, and it does not require an ongoing nociceptive input <sup>19</sup>. 275 276 However, the contribution of central mechanisms to hyperalgesia remains unclear, and further 277 studies are needed to elucidate the processes involved in muscle inflammation after SWD.

# 278 SWD as a muscle pain model

279 The experimental pain model presented in this study has several key features. First, it is based on 280 an exogenous stimulation technique that does not require invasive procedures, unlike 281 intramuscular injection of algesic substances that are invasive and demand additional precautions 282 when used, including correct asepsis, the use of sterile and disposable substances, and experience in the injection technique to avoid damage to nerves or other structures <sup>5,15,40</sup>. In addition, the 283 284 hyperalgesia observed after the stimulation presented similar profiles compared to those reported using NGF or DOMS, but without requiring a long development time <sup>5,17</sup>. Furthermore, in 285 286 contrast with muscle exercise induced pain, as DOMS, it is unlikely that SWD causes substantial 287 damage, since this would results in release of algesic substances, including cytokinin and NGF, 288 and would cause hyperalgesia and movement evoked pain for at least 24-48 hours after the intervention <sup>5,26,47</sup>. This does not correspond with the findings presented here, where there was an 289 290 absence of discomfort or movement evoked pain when assessed after 24 h. In terms of the 291 scientific and ecological validity of the model, it is worth stressing that even though the stimulus 292 used to induce pain is thermal, the duration and nature of the effects observed suggest that the physiological mechanisms responsible for maintaining pain/soreness are of inflammatory origin. 293 294 Finally, it should be noted that our goal is not to replace or criticize existing muscle 295 pain/soreness models, but to develop an alternative or complementary model that is non-invasive 296 and can be easily applied using inexpensive equipment commonly used for physical therapy, in 297 which the stimulation parameters can be readily controlled. Furthermore, the model has a rapid

298 development time and a reasonable duration, and was successfully established in almost all 299 volunteers tested, which suggests good reliability.

## 300 *Limitations and future work*

301 Given the fact that there is no previous investigation about SWD as a pain model, the parameters 302 of the stimulation were set after pilot experiments, and hence further work is required to fully 303 describe the effects of changes in the parameters (e.g. SWD intensity, application time, type of 304 applicator) in the model outcome. As described in the pre-registered protocol, we aimed at a 20% 305 change in PPT compared to baseline, and the observed effect was slightly smaller. Thus, we 306 hypothesize that a larger and more consistent effect can be achieved using different parameters, 307 for example using a higher stimulation intensity or by rekindling. In addition, it might be argued 308 whether PPT or PPS stimulate both skin and muscle; although it has been shown that the 309 pinprick threshold is increased whereas PPT values are not affected when the skin in 310 anesthetized <sup>14</sup>. Furthermore, muscle tissue subjected to a sustained temperature of 42 °C over 30 311 minutes showed not effect in the force exerted in rats, although it drastically affected the contractile properties of the muscle, reducing tetanic and peak twitch tension<sup>21</sup>. Therefore, 312 313 studies assessing muscle control and function during movement or a force task may have to take 314 the changes in contractile properties into consideration in their conclusions. Finally, further 315 experiments are required in order to confirm the physiological mechanisms behind the model, in 316 order to better associate it with mechanisms found in physiopathological conditions.

# 318 CONCLUSION

This new model based on SWD represents a promising tool for investigating muscle pain/soreness in humans. The main advantages of the model are its non-invasiveness, the ability to control stimulation parameters, and the convenience of the time frame in which pain and hyperalgesia are developed.

# 324 CONFLICT OF INTEREST

325 None declared

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# 465 **FIGURE LEGENDS**

Fig. 1. A) Short wave diathermy (SWD) was applied to the forearm until tolerance threshold was
reached, while volunteers reported the response profile of thermal stimuli using an Analog
Visual Scale (VAS). B) Experimental procedure. Pressure pain threshold (PPT) and pinprick
(PPS) were first measured at baseline. Afterwards, SWD was administered to the dominant
forearm. A self-reported muscle pain/soreness assessment of the model effects was carried out,
then PPT and PPS assessment was repeated after 0, 30, and 60 min.

472

473 Fig. 2. A) Time course of the reported thermal induced muscle pain during SWD (0 represents
474 the beginning of the stimulation), it can be noted that tolerance thermal threshold was reached
475 with different speed across subjects. B) pain chart drawings of the painful area at the tolerance
476 threshold in the treated arm.

477

478 Fig. 3. Self-reported muscle soreness scores of the treated arm at baseline, 0, 30, 60 min, and 24479 hs.

480

Fig. 4. Individual (light line) and average (heavy line) PPT from treated and control arm at 0, 30, 60 minutes after intervention. Values are presented as percentage of change from baseline -i.e. before SWD. \*\* Treated arm showed a significant decrease in PPT compared with control arm for all time points (P = 0.008).

485

**Fig. 5.** Individual (light line) and average (heavy line) PPS scores from treated and control arm at 0, 30, 60 minutes after application of SWD. Values are presented as percentage of change from baseline -i.e. before SWD. \* Treated arm showed a significant increase of the PPS compared with the control arm only immediately after SWD (P = 0.019).

# **TABLES**

493	Table 1. Modified Likert scale of muscle pain/soreness.

Score	Description
0	a complete absence of soreness
1	a light soreness in the muscle felt only when touched/a vague ache
2	a moderate soreness felt only when touched/a slight persistent ache
3	a light muscle soreness when lifting objects or carrying objects
4	a light muscle soreness, stiffness or weakness when moving the wrist without
	gripping an object
5	a moderate muscle soreness, stiffness or weakness when moving the wrist
6	a severe muscle soreness, stiffness or weakness that limits my ability to move









