1   Translating antibiotic prescribing into antimicrobial resistance in the environment: a hazard
2   characterisation case study
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Abstract
The use and misuse of antibiotics in humans, animals and agriculture since penicillin's mass
production in 1942 has contributed to the current global crisis of drug-resistant infections.
Often overlooked are the unintended consequences of such widespread antibiotic use, such
as the chronic environmental release of unmetabolised, bioactive antibiotics and antibiotic-
resistance genes found in human and animals waste, particularly during and immediately
after antibiotic treatment. Here, we report on the potential impact on antibiotic resistance
gene selection in rivers from the antibiotic prescribing practice of the National Health Service
(NHS) of England. Macrolide and fluoroquinolone prescription data from 2015/16 were used
to model the concentrations of antibiotics in a highly populous, sewage-impacted English
catchment. Predicted antibiotic concentrations in the river were compared against predicted
no-effect concentrations (PNEC) to assess the hazard of antibiotic resistance selection. The
study revealed that PNECs for macrolides and fluoroquinolones were exceeded in 63% and
73% of the length of the modelled River Thames catchment, respectively. Potential
reductions in macrolide and fluoroquinolone prescriptions (e.g., 4% and 20%) were not
shown to translate into meaningful reductions in the hazard of AMR selection in the river.
Reductions in macrolide and fluoroquinolone prescribing of 77% and 85%, respectively,
were necessary to alleviate the AMR selection hazard from 90+% of the modelled river
catchment. Improved antibiotic stewardship, alone, is unlikely to alleviate the identified
challenge. Action is needed to substantially reduce antibiotic prescribing, stimulate and
implement innovation in sewage-treatment, reduce non-antibiotic drivers of antibiotic
resistance (e.g., biocides, metals), and consider regulating antibiotic-resistance DNA as a
pollutant.
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The drive to reduce antibiotic use and misuse in human medicine had gained considerable
traction since 2013 when Dame Sally Davis, the Chief Medical Officer (CMO) for England
and Chief Medical Advisor to the UK government published her Annual Report [1]. In Volume
2 of this report, she highlighted the "challenges and opportunities facing us in the prevention,
diagnosis and management of infectious diseases", which included the move to reduce
inappropriate prescribing of antimicrobials. To this end, the National Health Service of
England (NHS) proposed targeted reductions in antibiotic prescriptions as part of the Quality
Premium Programme (QPP) [2].
47
48 The QPP in 2015/16 aimed to reduce antibiotic over-use and inappropriate prescribing
49 through a reduction in:
50
1) the number of antibiotics prescribed in primary care by ≥1% from each Clinical
Commissioning Group (CCG's) 2013/14 value;
2) the proportion of broad-spectrum antibiotics prescribed in primary care. Specifically, the
QPP aims to reduce prescriptions of co-amoxiclav, cephalosporins and fluoroquinolones by
10% (from each CCG's 2013/14 value) as a percentage of the total number of antibiotics
prescribed in primary care, or to be below the 2013/14 median proportion for English CCGs
(11.3%), whichever represents the smallest reduction.
58
The NHS of England successfully reduced their antibiotic prescriptions in 2015/16 by 7.3%
(37.03 million items to 34.34 million) as compared to 2014/15. The NHS also saw a
reduction of 16% in broad-spectrum antibiotics (3.94 million items to 3.3 million). The goal for
2016/17 was, in part, to reduce total antibiotic prescribing in primary care by 4% (based on
2013/14) and broad-spectrum antibiotics by 20% (based on 2014/15). The majority of the
reductions seen in 2016/17 were for amoxicillin, co-amoxiclav, and some cephalosporins,
not macrolides or fluoroquinolones. The only exceptions being erythromycin, which saw a
reduction in consumption in the primary care setting, of 0.078 DDDs and azithromycin which
saw an increase of 0.023 DDDs per 1000 inhabitants per day in England [3].
68
Any reduction in antibiotic prescribing would result in a proportional reduction in antibiotics
released into wastewater. This is because a significant proportion of antibiotics are
conserved as they pass through the body before being excreted as a mixture of the parent
compound and metabolites in the urine and faeces [4]. The gut bacteria from hundreds of
thousands of NHS patients would have been enriched in bacteria harbouring antibiotic
resistance, a phenomenon that is unavoidable upon consumption of antibiotics [5]. It is this
chronic release of antibiotic and antibiotic-resistant bacteria from sewage effluent into
freshwater that is thought to increase the prevalence of resistance genes in the environment
substantially. This phenomenon is most evident downstream a sewage treatment plant
(STP) discharge point as compared to upstream [6–11]. Recent evidence shows that even
trace levels of sewage effluent can elevate the prevalence of markers of antibiotic
resistance, i.e., class 1 integrons [12].
81
This study focused on two questions that explore the link between antibiotic use and
environmental impact:
1) To what extent might current macrolide and fluoroquinolone prescribing drive
antibiotic resistance selection in sewage-impacted rivers in southern England?
2) How much of a reduction in macrolide and fluoroquinolone prescribing might be
required to alleviate the hazard of antibiotic resistance selection in rivers?
88
89 The study focused on macrolides and fluoroquinolones because: 1) they are two of the more
90 persistent classes of antibiotics *in vivo* (Table 1) and the environment, and as such, are
91 found in nearly all antibiotic surveillance studies [13–18]; 2) they have significant clinical

92 relevance [19]; and, 3) macrolides are on the EU Watch List of Decision 2015/495/EU
93 [20,21].
94
The River Thames catchment (i.e., Thames Basin) in southern England was selected for this
study as it is the most highly populous catchment in the United Kingdom (nearly 4 million
people). It also might be seen as a realistic worst-case scenario, as on average, this part of
England is in the 25th quartile of predicted values of annual dilution factors, i.e., between
2.24 and 6.26 [22]. Many river stretches within the catchment offer little opportunity for
dilution, maximising the impact of antibiotics found in the discharged sewage. Furthermore,
the catchment has previously been the subject of several studies investigating predicted
environmental concentrations of pharmaceuticals [17,23–25].
103
104 **Materials and Methods**
105
Prescription data
Macrolide prescribing data for each month of 2015/16 were acquired from the NHS Business
Service Authority (NHSBSA), i.e., azithromycin, clarithromycin and erythromycin (Figure 1a).
Fluoroquinolone prescribing data for each month of 2015/16 were also acquired from the
NHSBSA, i.e., ciprofloxacin, levofloxacin, moxifloxacin, norfloxacin and ofloxacin (Figure 1b).
Prescription data were acquired from four Clinical Commissioning Groups (CCGs):
Oxfordshire, Gloucestershire, Swindon and Wiltshire, all of which are situated in the upper
River Thames catchment (Figure 2). It was not possible to reliably assign CCG prescriptions
to STPs in the lower Thames catchment owing to the small geographic size of the CCGs and
high population density. As such, all other CCGs within the catchment were assigned the
prescription rate of NHS Oxfordshire CCG.
117
118 NHS prescription data for each CCG per month in 2014/15 were converted to units of kg
119 (Table 2) and then moles (Table 3) of macrolide and fluoroquinolone (Table 2) and
120 subsequently normalised to the population within each CCG (Table 4). In the case of
121 erythromycin, the three forms of erythromycin (erythromycin, erythromycin ethyl succinate
122 and erythromycin stearate) were converted individually and summed.
123
Antibiotic Excretion Rate
The excretion of antibiotics in the faeces and urine depends on its metabolism *in vivo*, which
is a function of health, age, gender, ethnicity, as well as dosage and mode of administration,
e.g., capsule, injection. Given the large uncertainty associated with estimating excretion
rates across diverse populations, an average rate acquired from the literature was used:
macrolide excretion rate of 32.2% and 64.2% for fluoroquinolones (Table 1).
130
Antibiotic Loss in STPs
The antibiotic loss in STPs was modelled using STPWIN model within the Estimation
Program Interface (EPI) Suite™ 4.0, using the Biowin/EPA draft method for determining half-
life data as previously described [24]. Estimates of loss in STPs was also acquired from the
literature [26–31], with some relevant data acquired from STPs within the River Thames
catchment found in Table 5. These data support the use of 50% loss during STP passage,
which is a compromise between measured loss and the high variability reported within the
literature.
139
Modelled Environmental Concentrations: Low Flows 2000 – Water Quality eXtension
Low Flows 2000 Water Quality eXtension (LF2000-WQX) model [25,32] is an extension to
the LF2000 [33] . LF2000 is a decision support tool designed to estimate river flow at gauged
and ungauged sites and to assist regional water resources and catchment management.
The LF2000-WQX software is a geographical information-based system that combines
hydrological models with a range of water-quality models, including a catchment-scale
water-quality model. This model generates spatially explicit statistical distributions of down-

the-drain chemicals for both conservative and degradable compounds. It uses a Monte Carlo
model approach to combine statistical estimates of chemical loads at specific emission
points (e.g., STP) with estimated river flow distributions for the whole river network of
interconnected model reaches (a reach is the river stretch between model features, e.g.,
major tributaries, STPs). The hydrometric area of the River Thames catchment and the
STPs included in LF2000-WQX (black points) can be seen in Figure 2. Thus, working from
the upstream reaches at the head of the river network to the outlet of the river basin, the
model accounts for the accumulation of point antibiotic loads and the water in which these
loads are diluted. Degradable chemicals might be removed from STPs and the river water.
The latter is represented by a non-specific dissipation process assuming first-order kinetics.,
Antibiotics were assumed to persist in the river for at least one day, thereby providing a
realistic worst-case scenario.
159
In summary, LF2000-WQX was parameterised with the highest monthly fluoroquinolone and
macrolide prescription rate for each of the four CCGs (see bolded rates in Table 4).
Macrolide and fluoroquinolone loss was accounted for upon excretion (32.2% and 64.2%,
respectively) and before discharge from STPs into the receiving river (50%). As such, the
mass of macrolide and fluoroquinolone consumed was reduced by 83.9% and 67.9%,
respectively, upon discharge into the adjacent river. The antibiotic load was subsequently
diluted within the river, parameterised with the mean annual river flows within LF2000-WQX.
167
Environmental Thresholds for Antibiotics
Management targets for antibiotics in freshwater would ideally be set at concentrations that
are below the lowest concentration that allows antibiotics to select for antibiotic resistance
genes. Such effect concentrations have been determined experimentally in a limited number
of lab-based studies, operationally termed minimum selection concentrations (MSC). MSCs
typically range between 0.1 to 10 µg of antibiotic/L [34–46].
174
Modelled predicted no-effect concentrations (PNECs) were acquired from Bengtsson-Palme
and Larsson (2016) owing to the absence of MSCs for most of the study antibiotics. The
PNECs derived in Bengtsson-Palme and Larsson (2016) were selected to inform antibiotic
discharge limits from pharmaceutical manufacturing by the AMR Industry Alliance [47]. The
PNECs represent the threshold concentration of an antibiotic, above which, there is a
heightened hazard of antibiotic resistance selection. PNECs were derived from the
European Committee on Antimicrobial Susceptibility Testing (EUCAST) database of
minimum inhibitory concentrations to form species sensitivity distributions. The authors
selected the concentration of each antibiotic representing the 1% potentially affected fraction
(PAF). A safety factor of 10 was added to this 1% PAF to account for the observation that
experimentally-derived resistance selection thresholds tend to be approximately an order of
magnitude lower than the MIC, while also offering an added level of protection to the
estimate. The 111 antibiotic thresholds reported in Bengtsson-Palme and Larsson 2016
ranged from 0.008 µg/L to 64 µg/L.
189
PNECs for fluoroquinolones ranged from 0.064 µg/L to 0.5 µg/L and for macrolides 0.25
µg/L to 1 µg/L (Table 6). When modelled concentrations of macrolides and fluoroquinolones
exceeded the PNEC for the most 'potent' antibiotic within each class, e.g., ciprofloxacin for
fluoroquinolones and azithromycin/clarithromycin for macrolides, the stretch of river was
denoted as being 'at risk' for resistance selection. When the modelled concentrations
exceeded the PNEC for the least 'potent' antibiotic within each class, e.g.,
norfloxacin/ofloxacin for fluoroquinolones and erythromycin for macrolides, the stretch of
river was denoted as being at a 'critical risk' of resistance selection.
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Results

This study aimed to understand the degree of resistance selection that might be occurring in the River Thames catchment under current macrolide and fluoroquinolone prescribing practice by the NHS as well as after foreseeable and aspirational reductions in prescribing. The objective was to estimate the level of reduction needed in NHS antibiotic prescribing that might be necessary to substantially reduce the macrolide and fluoroquinolone-resistance selection burden created in the river environment.

Predicted environmental concentration (PEC) for macrolides and fluoroquinolones prescribed in 2015/16 revealed a maximum concentration of 4.3 µg/L and 2.0 µg/L, respectively. Hazard characterisation revealed 279 out of 457 reaches in the catchment are 'at risk' or 'critical' for macrolide resistance selection (Figure 3a)—equating to 1155 of 1398 km of the modelled River Thames Catchment (63.2% of the total catchment length modelled). Similarly, 311 reaches out of 457 were 'at risk' or 'critical' for fluoroquinolone resistance selection (Figure 3b)—equating to 1026 of 1398 km (73.4% of the modelled river length in the catchment).

Reflecting the 2015/16 QPP goals, a 4% reduction in macrolide prescribing saw a 3.8% reduction in the length of the modelled Thames Catchment 'at risk' for macrolide resistance selection (273 reaches and 830 km, equal to 59.4% of the catchment), and a 0.1% reduction in the length of the modelled Thames Catchment 'at risk' for fluoroquinolone resistance selection (310 reaches and 1025.1 km, equal to 73.3% of the catchment).

Reflecting the 2015/16 goals, a 20% reduction of the 2015/16 prescription rate of macrolides resulted in an 8.9% reduction in the length of the modelled Thames catchment 'at risk' for macrolide resistance selection (254 reaches and 759 km, equal to 54.3% of the catchment). A 20% reduction in fluoroquinolones resulted in a 5.4% reduction in the length of the modelled Thames catchment 'at risk' for fluoroquinolone resistance selection (296 reaches and 949 km, equal to 68.0% of the catchment).

A sensitivity analysis was conducted to identify the NHS prescribing rate that protects ≥90% of the length of the modelled Thames catchment from macrolide and fluoroquinolone resistance selection. A target of 90% was a pragmatic decision, as many rivers in England are highly impacted by, or solely composed of, sewage effluent—particularly in headwaters. Hence, a target of 100% would be unrealistic and necessitate even more dramatic reductions in antibiotic use or zero effluent discharge.

The sensitivity analysis revealed that macrolide prescriptions must decline by 77% to protect 90.4% of the length of the Thames catchment from macrolide resistance selection (Table 6; Figure 4a). Even at this much-reduced prescription rate, there were still 13 reaches with a total length of 8.5 km (0.6%) remaining 'critical' for macrolide resistance selection (Figure 4a). Fluoroquinolone prescriptions would need to be reduced by 85% to alleviate the selection risk in 90% of the catchment (Table 6; Figure 4b).

Discussion

The goal of this study was to evaluate how antibiotic prescribing, alone, contributes to antibiotic resistance selection in the aquatic environment. Although such a perspective is fundamentally naïve given the knowledge of antibiotic use in animals and other pollutants that can co-select for antibiotic resistance, it provides a conservative estimate of our current state of the environment and a starting point for discussing mitigation [48–50].

A non-trivial proportion of the resistance genes found downstream of STPs originate from human gut bacteria, many of which have acquired resistance *in vivo*, perhaps during

255 antibiotic treatment of human infections. Antibiotic-resistance genes disseminated from
256 anthropogenic sources into the environment are pollutants [51,52] maintained and spread
257 through: 1) survival of enteric bacteria in the environment and wildlife [53–55]; 2) horizontal
258 gene transfer of resistance genes from enterics into indigenous environmental bacteria [56];
259 and 3) selection and co-selection taking place *in situ* [57,58].
260
Evidence of these processes occurring in the River Thames catchment has been reported in
the literature. Extended-spectrum β-lactamase-producing *Escherichia coli* (bla$_{CTX-M-14}$)
belonging to the clinically important O25b:H4-ST131 lineage was isolated from water from
the River Thames in west London [59]. Amos *et al.* 2015, analysed sediment samples from
13 sites across the River Thames basin for class 1 integron prevalence and cefotaxime-
(third-generation cephalosporin)-resistant *E. coli* [6]. The authors reported antibiotic
resistance was strongly correlated to proximity and size of upstream STPs. Lehmann *et al.*
2016, showed a rapid increase in class 1 integrons in natural river biofilms exposed to trace
levels of additional STP effluent [12]. Hence, the presence of elevated levels of antibiotic
resitance in sewage-impacted rivers is a function of DNA pollution nested over a background
of resistance selection driven by antibiotic and chemical pollution.
272
273 ***Strengths and Limitations***
274 To our knowledge, this study is the first attempt to model the impact of current and
275 aspirational antibiotic prescribing in England on antibiotic resistance selection in freshwater
276 rivers. The study's approach reflects the state of our knowledge at this time, and as such, is
277 limited in many ways.
278
Heterogeneity of population. The results do not reflect the granular differences in prescribing
that are seen between small CCGs as there would be no way to attribute an antibiotic user
to a particular STP confidently. It is expected that if such an effort were possible, it would
highlight elevated antibiotics in STPs that have: older demographics (e.g., care homes [60]),
hospitals [61,62], and areas of inhabitants with elevated international travel [63,64]. Should
these STPs be located at or near headwaters, it would likely result in elevated hazards for
antibiotic resistance selection resulting from lower effluent dilution. Conversely, when these
high-antibiotic users are located in stretches where there is sufficient dilution, the selection
hazard would be alleviated, somewhat.
288
Varied antibiotic stewardship within antibiotic class. In this model, we applied any reductions
in antibiotic prescribing, i.e., 4 or 20%, uniformly across all antibiotics within the class.
However, use of antibiotics within a class are unlikely to be uniformly reduced. As a result,
some reductions in prescribing could delay or hasten the time to achieve 'low risk'. For
example, ciprofloxacin is the most 'potent' fluoroquinolone in its class, with a PNEC of 64
ng/L; ofloxacin and norfloxacin are less 'potent', with a PNEC of 500 ng/L. In other words,
ciprofloxacin is 7.8125 times more potent than ofloxacin and norfloxacin. Hence, the benefit
gained from reducing 1 unit of ciprofloxacin necessitates a reduction in 7.8125 units of
ofloxacin or norfloxacin. As ciprofloxacin represents the majority of fluoroquinolone
prescribed (96.9%; Table 2), any reduction in ciprofloxacin is likely to have the maximum
impact on fluoroquinolone resistance selection. Similarly, macrolides azithromycin and
clarithromycin have PNECs of 250 ng/L, four times more potent than erythromycin (1000
ng/L). Prescription of macrolides varies considerably between the four study CCGs (Tables 2
and 4), with clarithromycin (Gloucestershire and Wiltshire CCG) or erythromycin
(Oxfordshire and Swindon) being the most frequently prescribed macrolide. Hence, the
CCGs that are overprescribing clarithromycin will find reduced macrolide prescriptions
potentially more impactful owing to the higher potency of clarithromycin relative to
erythromycin.
307
Reliability of PNEC. The PNECs employed in this study have recently emerged as modelled
estimates for assessing the hazard of resistance selection [34]. To date, modelled PNECs

are consistent with the growing literature base [34–46], and as such, have been adopted by
the AMR Industry Alliance for establishing regulatory thresholds in antibiotic manufacturing
waste effluent [47]. There is a risk that the modelled PNECs are too protective, and would
encourage potentially costly and unnecessary mitigation. Conversely, modelled estimates of
single antibiotics might also underestimate selection risk, as antibiotic (pollutant) mixtures
can act synergistically, as discussed below. The academic community will need to
continually challenge the suitability of these PNECs and ensure they are fit for purpose.
317
Mixture effects. Recent experimental evidence suggests antibiotics can act synergistically
and antagonistically when in mixtures [65,66]. Tekin *et al.* 2018, reported that synergistic and
antagonistic interactions increased in frequency with the number of drugs in the bacteria's
environment [66]. Hence, a non-trivial number of the modelled PNECs used in this study
could be over- as well as under-estimating the threshold for resistance selection when
applied to sewage-impacted rivers.
324
Brochado *et al.* 2018, demonstrated that many synergies were found within the same class
of antibiotic, in particular, where the same cellular process was targeted. The authors
postulated that by targeting the same functional process at different steps, drug
combinations of the same class could bypass the apparent redundancy of having multiple
antibiotics within the same class and exhibit a synergistic effect [65]. The authors also
demonstrated that many of the drug antagonisms involve antibiotic resistance mechanisms
that modulate intracellular drug concentrations and not direct interactions of the primary drug
targets. Hence, some antibiotic combinations were less inhibitory than expected (i.e., non-
additive) because the cell's response to one drug helped to buffer the effects from the
second drug. For example, a decrease in the intracellular concentration of one drug by an
efflux pump, can potentially also decrease the intracellular concentration of the interacting
drug [65]. Notably, the authors found that the drug-drug interactions were often conserved
within species (70%), but with 13–32% of the interactions strain-specific, and poor
conservation across species (5% of drug-drug interactions). It is premature to apply any
specific drug-drug interactions to this model, but future efforts should attempt to account for
mixture effects as part of a holistic hazard assessment.
341
342 *Mixture Effects with Non-Antibiotics.* Another limitation of this study is that it does not take
343 into account the presence of many potentially relevant chemicals that are known to be
344 present in sewage and sewage-impacted rivers, such as:
345
1) antibiotics from agriculture and animal use [67];
2) biocides, which have been shown to co-select for antibiotic resistance genes [68–70];
3) metals, which have been shown to co-select for antibiotic resistance genes [71–74];
4) other classes of pharmaceuticals that might have synergistic or antagonistic impacts on
resistance selection [65,75,76], and
5) herbicides and pesticides that have been shown to co-select for resistance genes [77,78].
352
It is impractical to experimentally test all the chemicals found in sewage in isolation and
mixtures for the threshold concentration that allows for resistance selection, as there are
simply too many. However, our environment can be assayed for such insight, by measuring
the diversity and quantity of antibiotic resistance genes in the river environment and their
relationship to STPs, farms, hospitals, aquaculture, urban runoff, etc. Where 'pristine'
environments still exist, they might serve as benchmarks for qualifying and quantifying
'natural' resistance gene prevalence and biogeography. However, this might prove difficult
as wildlife have been implicated in the dissemination of human clinical resistance genes,
making 'pristine' locations potentially unattainable [54]. Quantifying 'natural' resistance gene
abundance and prevalence remains critical for hazard assessment and establishing
mitigation targets.
364

LF2000-WQX Limitations. LF2000-WQX generates PECs from STPs characterised by dry
weather flows of >5000 m^3/d. A majority of the small STPs not included in the model are
located in the upper-most reaches of the catchment where flows are typically very low.
Headwaters impacted by small STPs are likely to have a disproportionate impact on seeding
the catchment with pharmaceuticals as well as antibiotic-resistant bacteria, the former of
which would further add to the total load of macrolides and fluoroquinolones in the
catchment. The omission of these STPs is unlikely to increase the antibiotic load in the
catchment substantially, however, it might impact the DNA 'seeding' and maintenance of
antibiotic resistance.
374
Aspirational Reductions in Prescribing. In this study, we projected a decline in antibiotic
prescribing without considering the likely change in other important factors that would occur
concurrently with a gradual reduction in prescribing. An increasing and ageing UK population
will consume more pharmaceuticals per capita increasing antibiotic load. Dryer summers,
resulting from climate change, might impact the the frequency PNECs are exceeded owing
to lower dilution [79,80]. More intense storms predicted in a changing climate would lead to
more frequent and longer combined sewage overflows (CSOs), which deposit raw sewage
into the adjacent river, thereby elevating the release of resistant bacteria, genes and
chemical pollutants into the environment. CSOs, septic tanks, and farm runoff represent
potentially significant sources of antibiotics and resistance genes which would benefit from
being included in future models. However, such data is not currently available, representing
a large knowledge gap for risk assessment.
387
Validation of PECs. Previous research using LF2000-WQX to generate PECs, e.g., steroid
estrogens [25,81], glucocorticoids [82], cytotoxic chemotherapy drugs [83] and antivirals [24],
provides reassurance that the river network representation within LF2000-WQX is accurate
(i.e., network, flows, dilution and STPs). The modelled antibiotic concentrations from
LF2000-WQX, denoted by the PNEC thresholds in Table 6, are within the same range as
measured environmental concentrations detailed in Table 7, lending credibility to the model
outputs.
395
Antibiotic Stewardship. Reduction of antibiotics in the environment can be achieved through
a range of mitigating actions, the first of which can be through improved antibiotic
stewardship. The results of this study indicate that current goals for antibiotic stewardship do
not go far enough [84,85]. In Europe, the Netherlands has among the most restricted
antibiotic stewardship, with nearly 50% fewer antibiotics prescribed in the community/primary
care sector (10.06 DDD/1000 inhabitants/d) than in the UK (19.09), in 2017
(https://ecdc.europa.eu/en/antimicrobial-consumption/database/country-overview). Macrolide
prescribing in the Netherlands (1.38 DDD/1000/d) was only 47% that of the UK (2.90
DDD/1000/d). The extent to which the Netherlands still overprescribes and misprescribes
macrolides would be highly instructive in defining safe limits for further reductions in
macrolide prescribing. Notably, the UK has a lower fluoroquinolone prescribing rate in the
community (0.44 DDD/1000/d) as compared to the Netherlands (0.75). Hence, there are
important lessons to be mutually shared across Europe and more widely on how to optimise
antibiotic prescribing—a point not lost on the Advisory Committee on Antimicrobial
Prescribing, Resistance and Healthcare Associated Infection [86] and Public Health England
[87].
412
The UK has reported between 8.8% and 23.1% of all systemic antibiotic prescriptions in
English primary care as inappropriate, with some high prescribing practices in England
capable of reducing antibiotic prescriptions by as much as 52.9% [87]. In a linked study
where the authors and an expert panel explored the 'appropriateness' of antibiotic
prescription, the authors found that substantially higher proportions of patients received
antibiotics than was deemed 'appropriate', with the respiratory ailments showing the largest
contrast between actual and ideal: acute cough (41% vs 10%, respectively), bronchitis

(82%:13%); sore throat (59%:13%); rhinosinusitis (88%:11%); and acute otitis media in 2- to
18-year-olds (92%:17%) [88]. Such a reduction in prescribing will be beneficial to reducing
resistance selection in humans, but, as shown in this paper, might not go far enough (Table
8).
424
Mitigation through Innovation and Investment in STPs. A step-change in the way we
currently handle our wastewater in needed to tackle the challenge of antibiotic and DNA
pollution. One option is to set emission limits on the concentration of antibiotics, in much the
same way the pharmaceutical industry has voluntarily adopted for its manufacturing supply
chain [47]. The setting of strict antibiotic emissions limits will have the desired effect of
greatly reducing the environmental impact of antibiotics, with, arguably, several
serendipitous implications for other chemical hazards. For example, any technological
solution for the treatment of wastewater that is effective in removing a wide range of
antibiotics will also likely reduce the load of estrogens and estrogen-mimicking chemicals
which has been a growing environmental concern for several decades [89–91]. As such, it is
entirely likely that the substantial cost associated with tackling estrogens and estrogen-
mimicking chemicals [92] can be shared with the challenge of reducing AMR in the
environment.
438
Moreover, there is a rapidly growing list of chemicals that are found within sewage effluent
that have been shown to select or co-select for ARGs, i.e., antiepileptics [75],
biocides/disinfectants [93], metals [94]. Hence, engineering solutions to antibiotic removal
from wastewater can spread the cost across a very wide range of pollutants, thereby
alleviating a substantial range of ecotoxicological hazards, in addition to resistance selection.
Spreading the mitigation costs across a range of challenges might also be more politically
and socially tractable, owing to the significant cost associated with improving our treatment
of wastewater.
447
Although not immediately within the scope of this paper, it is relevant to highlight that any
engineering solutions employed for the removal of antibiotics from sewage effluent might be
ineffective in reducing the DNA pollution that ARGs represent. Co-development of
engineering solutions to tackle the chemical and biological drivers of ARGs in the
environment is required to reduce the environmental pressure caused by our wastewater. In
addition, the 'waste' by-products of STP, sludge, which contains antibiotic resistance,
antibiotics and non-antibiotic chemical drivers of resistance are currently amended to land,
representing additional risks to humans and the environment [48], but are out of the study's
scope.
457
Conclusion. This study explores the reduction in macrolide and fluoroquinolone prescribing
needed to alleviate the modelled hazard from antibiotic resistance selection in sewage-
impacted rivers. It is unclear if the projected reductions in antibiotic pollution could be
achieved solely through reduced prescribing by the NHS. Environmental targets could be
more readily achieved by a holistic, integrated AMR action plan, which constrains and
optimises antibiotic prescribing, while also addressing the chronic release of antimicrobials,
biocides, metals and resistance genes from STP effluent.
465

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801 **Table 1. Human excretion of fluoroquinolones and macrolides as a percentage of the**
802 **parent compound.**
803

Class of Antibiotic	Antibiotics	Excretion (% of parent compound)
Fluoroquinolones	Ciprofloxacin	53.8 [95], 40 [96], 50 [97], 45-60 [98]
	Levofloxacin	71 (60-80) [95], 70 [96]
	Moxifloxacin	60 [99]
	Norfloxacin	61.5 [95], 30 [96]
Macrolides	Ofloxacin	75.8 (60-80) [95], 100 [97]
	Azithromycin	50 [97], 50 [98], 8 [96]
	Clarithromycin	33.7 (14.4-60) [95], 20 [96], 0.18 [97]
	Erythromycin	35 (3.5-98) [95], 8 [96], 12-15 [98]

817 **Table 2. Mass of macrolides and fluoroquinolones prescribed in the study CCGs in**
818 **2015/16.**
819

		Oxfordshire (kg)	Gloucestershire (kg)	Swindon (kg)	Wiltshire (kg)
Macrolides	Azithromycin	21.8	18.9	4.5	12.6
	Clarithromycin	179.5	322.7	66.7	198.0
	Erythromycin	97.3	80.7	50.6	82.3
	Erythromycin ethyl succinate	120.8	79.0	32.3	34.4
	Erythromycin stearate	12.4	5.4	4.3	5.0
	Total of macrolides (kg)	431.8	506.7	158.4	332.3
Fluoroquinolones	Ciprofloxacin	93.8	94.6	29.5	72.2
	Levofloxacin	0.3	4.6	0	0.3
	Moxifloxacin	0.5	0.1	0.1	0.2
	Norfloxacin	0	0	0.1	0
	Ofloxacin	2.2	4.1	0.7	1.0
	Total of fluoroquinolones (kg)	96.8	103.4	30.4	73.7

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822 **Table 3. Molecular weights of macrolides and fluoroquinolones.**
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Macrolides	Molecular weight (g/mol)	Quinolones	Molecular weight (g/mol)
Azithromycin	748.98	Ciprofloxacin	331.34
Clarithromycin	747.95	Levofloxacin	361.36
Erythromycin	733.90	Moxifloxacin	401.43
Erythromycin ethyl succinate	862.10	Norfloxacin	319.33
Erythromycin stearate	1018.40	Ofloxacin	361.36

838 **Table 4. Moles of macrolides (M) and fluoroquinolones (F) prescribed capita^{-1} day^{-1}**
839 **month^{-1} within each CCG**

CCGs Population Antibiotics	Oxfordshire[1] 666100		Swindon[2] 231277		Gloucestershire[3] 624000		Wiltshire[4] 500000	
	M	F	M	F	M	F	M	F
				×10^{-7} mol				
January	**30.4**	**13.3**	24.8	**12.5**	27.9	12.7	23.4	11.5
February	28.7	12.8	28.4	11.2	36.7	**16.4**	**30.2**	**13.9**
March	28.1	11.7	**30.5**	11.8	**36.8**	15.5	28.0	11.8
April	23.6	11.9	26.0	11.1	30.4	12.5	25.8	11.8
May	21.3	11.1	22.8	9.50	26.9	13.6	23.1	10.9
June	22.5	12.0	25.6	12.9	28.8	13.1	24.2	11.8
July	20.5	11.5	24.8	11.3	26.7	13.6	22.5	12.3
August	10.2	11.6	18.2	9.40	22.0	12.0	19.9	12.4
September	20.9	11.2	22.3	9.30	25.2	13.7	22.3	12.4
October	22.0	12.3	22.1	10.9	27.8	13.6	22.3	12.8
November	22.2	12.1	22.1	9.80	29.1	12.5	21.6	11.4
December	23.4	12.6	25.4	10.0	31.8	14.4	25.6	12.9

Population statistics are provided by the respective Annual Report for the CCG. Bolded
values in each column represent the month with the maximum prescription rate in 2015.
These were selected for use in the model to ensure a realistic worst-case scenario.
[1] NHS Oxfordshire CCG Annual Report
[2] NHS Swindon CCG Annual Report
[3] NHS Gloucestershire CCG Annual Report
[4] NHS Wiltshire CCG Annual Report

Table 5. Measured antibiotics concentration in STPs and rivers within the Thames
Catchment (ng/L).

	Macrolide			Fluoroquinolone		
	CLAR	ERY	AZO	CIP	NOR	OFL
Eff – Oxford*	98 (338 – 1504)		156 (69 – 264)			
Eff – Didcot*	104 (160 – 305)		110 (74 – 216)			
Eff – Cholsey*	181 (128 – 321)		91 (48 – 135)			
Eff – Benson*	152 (87 – 254)		76 (35 – 133)			
Eff – Benson**	50	244	34	14	25	195
Eff – Oxford**	91	236	30	52	21	23
Thames var.***	292, 30	448, 58	51, 21	46, 20	45, 9	17, 11

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856 Eff sewage effluent, CLAR clarithromycin, ERY erythromycin, AZO azithromycin, CIP
857 ciprofloxacin, NOR norfloxacin, OFL ofloxacin
858 * Mean (highest – lowest) measured [100]
859 **24-h mean concentration [17]
860 ***Max and mean concentration for 21 locations at 7 time points [17]
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862

863 **Table 6. Modelled PNECs for macrolides and fluoroquinolones** [34] .

Antibiotic class	Antibiotic	PNEC (µg/L)	PNEC (10^{-10} mol/L)
Fluoroquinolones	Ciprofloxacin	0.064	1.9
	Levofloxacin	0.25	6.9
	Moxifloxacin	0.125	3.1
	Norfloxacin	0.5	15.7
	Ofloxacin	0.5	13.8
Macrolides	Azithromycin	0.25	3.3
	Clarithromycin	0.25	3.3
	Erythromycin	1	9.8

867 **Table 7. Sensitivity analysis for level of reduction in prescriptions needed to protect**
868 **90+% of the length of the modelled River Thames catchment from resistance gene**
869 **selection.**

	% Reduction in Prescriptions	Number of reaches 'at risk' or 'critical'	Length 'at risk' or 'critical' (km)	% Length 'at risk' or 'critical'
Macrolides	80	69	110	7.9
	77	**82**	**134**	**9.6**
	76	86	157	11.2
	75	93	169	12.1
Fluoroquinolones	**85**	**82**	**135**	**9.6**
	84	88	157	11.2
	83	96	175	12.5
	80	118	215	15.4

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874 **Table 8. Summary of modelled impact of antibiotic prescribing on antibiotic**
875 **resistance gene selection.**
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Scenarios	Macrolide	Fluoroquinolone
	% of modelled catchment length >PNEC	
Antibiotic prescribing from 2015/16	63	73
4% Reduction	59	73
20% Reduction	54	68
	% reduction in prescribing required to achieve target	
≥90% of catchment <PNEC	77	85

881 **Figure 1. Antibiotics: A) macrolides and B) fluoroquinolones included in the study**

883
884 **Figure 2. Hydrometric area of Thames Region with the four CCGs in the upper Thames**
885 **Catchment denoted in yellow.**
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888 **Figure 3. Resistance selection risk characterisation for A) macrolides and B)**
889 **fluoroquinolones, using 2015/16 prescription statistics.**
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894 **Figure 4. Hazard characterisation for (A) macrolide and (B) fluoroquinolone resistance**
895 **selection after a reduction of 77% and 85% in prescriptions, respectively, on 2015/16**
896 **rates.** Red circles in (A) indicate nine locations where the concentrations remained 'at
897 critical' levels.