Article

Class IIa HDACs regulate learning and memory through dynamic experience-dependent repression of transcription.

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Abstract

The formation of new memories requires transcription. However, the mechanisms that limit signaling of relevant gene programs in space and time for precision of coding and the roles of these programs in specific aspects of information processing remain poorly understood. We found that, during learning, the cellular patterns of expression of early response genes (ERGs) are regulated by class IIa HDACs 4 and 5, transcriptional repressors that transiently enter neuronal nuclei from cytoplasm after sensory input. Mice lacking these repressors in the forebrain have abnormally broad experience-dependent expression of ERGs, altered synaptic architecture and function, elevated anxiety, and severely impaired memory. By acutely manipulating the nuclear activity of class IIa HDACs in behaving animals using a chemical-genetic technique, we further demonstrate that rapid induction of ERG programs is critical for memory acquisition but these programs may become dispensable when a stable memory is formed. These results provide new insights into the molecular basis of memory storage.

INTRODUCTION

Sensory exprience activates specific transcriptional programs in the brain that affect neuronal wiring, synaptic function and memory formation (Kandel et al., 2014; West and Greenberg, 2011). The first waves of dynamic experience-dependent changes in neuronal transcriptomes arise from transient expression of early response genes (ERGs) which are only induced in subsets of cells in a given environment (Hrvatin et al., 2018; Reijmers et al., 2007). Most ERGs encode transcription factors (TFs) that exert their physiological effects through downstream target genes (West and Greenberg, 2011). Labeling for native ERG products and expression of exogenous molecules under the control of ERG promoters is now broadly used in neuroscience to identify and manipulate neuronal ensembles recruited for particular cognitive tasks (Cai et al., 2016; Guenthner et al., 2013; Ye et al., 2016). For example, memory traces can be artificially retrieved via optogenetic stimulation of ERG-positive cells that were permanently tagged in cortical and hippocampal circuits during learning (Cowansage et al., 2014; Liu et al., 2012; Tonegawa et al., 2018).

While cellular patterns of ERG induction are thought to reflect sparse coding of information in the brain (Reijmers et al., 2007; Strange et al., 2014; Tonegawa et al., 2018), it remains unclear how these patterns are controlled in time and space. Gene expression is orchestrated by TFs and transcriptional repressors that either silence discrete loci or globally alter the state of nuclear chromatin (Chahrour et al., 2008). Several TFs that mediate activity-dependent synthesis of neuronal mRNAs have been characterized (Casadio et al., 1999; Kandel, 2012; West and Greenberg, 2011), but the extent and molecular mechanisms of negative regulation of this process are poorly understood. It is also unclear how ERGs contribute to different aspects of information processing, including memory acquisition, consolidation, storage and retrieval, since the current knowledge about ERG function in mammals largely stems from experimental paradigms that suffer from poor temporal control (Bridi and Abel, 2013; Park et al., 2008; Ramamoorthi et al., 2011).

Class IIa histone deacetylases (HDACs) are a small family of chromatin-binding proteins that shuttle between the nucleus and cytoplasm in a calcium- and phosphorylation-dependent manner (Chawla et al., 2003; Haberland et al., 2009; Parra, 2015; Schlumm et al., 2013). The vertebrate class IIa HDACs interact with class I HDACs, SMRT/NCoR co-repressor complexes and various TFs via their N- and C-terminal domains, and exhibit intrinsically low enzymatic activity on ε-N-acetyl lysine substrates due to evolutionary inactivation of the catalytic site (Lahm et al., 2007). Prior genetic studies have suggested that the class IIa HDAC isoform, HDAC4, is necessary for normal cognition in drosophila, mice, and humans (Fitzsimons et al., 2013; Kim et al., 2012; Sando et al., 2012; Williams et al., 2010), yet the functional role of HDAC4 in the central nervous system has remained enigmatic. On the one hand, HDAC4 is capable of binding to TFs essential for synaptic plasticity and memory, CREB, MEF2 and

SRF, and its constitutively nuclear gain-of-function mutants repress numerous neuronal genes *in vitro* (Chen et al., 2014c; Sando et al., 2012; Schlumm et al., 2013). Puzzlingly, the majority of central neurons retain HDAC4 in cytoplasm (Chen and Cepko, 2009; Sando et al., 2012; Schlumm et al., 2013) and *Hdac4*-deficient mice have been reported to have no detectable abnormalities in mRNA levels in the brain (Kim et al., 2012; Mielcarek et al., 2013). Despite recent findings that HDAC4 irreversibly enters neuronal nuclei under the pathological conditions, such as Ataxia telangiectasia and Parkinson's disease (Lang et al., 2018; Li et al., 2012), the physiological significance of the nuclear import of this protein in normal circuits is unknown.

Herein, we elucidated the function of class IIa HDACs by combining mouse genetics with imaging, genomic, electrophysiological and behavioral readouts. We found that HDAC4 and its close homolog, HDAC5, redundantly act in the same pathway that is essential for spatiotemporal control of ERG programs during memory encoding. We then developed and applied a new chemical-genetic technique that leverages these findings and permits acute transcriptional repression in brains of behaving animals with a small molecule that rapidly stabilizes HDAC4 in neuronal nuclei. Our results demonstrate that induction of ERGs is necessary for learning, but these genes may not be required after a memory is formed. This work provides insight into the molecular basis of memory storage.

RESULTS

HDAC4 transiently translocates into neuronal nuclei during learning.

To explore the possibility that class IIa HDACs regulate network plasticity during learning we examined the subcellular localization of HDAC4 in wildtype mice after subjecting them to contextual fear conditioning (CFC), a neurobehavioral paradigm that prompts the acquisition of stable associative fear memory (Liu et al., 2012; Reijmers et al., 2007). This was accomplished by immunolabeling of brain sections with an antibody whose specificity was validated in *Hdac4* knockouts (Figures S4B and S4C). Unlike control home-caged mice, trained animals (1.5 hours post-CFC) had a pronounced nuclear accumulation of HDAC4 in 26% to 58% of cells in the somatosensory cortex, areas CA1/CA3 of the hippocampus, and the dentate gyrus, brain regions known to be critical for memory encoding (Basu and Siegelbaum, 2015; Kandel et al., 2014; Tonegawa et al., 2018). This effect was reversed by 6 hours after CFC, indicating that experience-dependent nuclear import of HDAC4 is transient (Figures 1A, 1B and S1).

We then performed double-labeling with antibodies against HDAC4 and Fos to assess the localization of HDAC4 in sparsely distributed Fos-positive neurons that are thought to represent cellular substrates

of memory engrams (Reijmers et al., 2007; Tonegawa et al., 2018). Remarkably, ~80% of neurons with detectable Fos immunoreactivity in the hippocampi of fear conditioned mice (1.5 hours post-CFC) retained HDAC4 in cytoplasm, indirectly suggesting that HDAC4 restricts the expression of Fos and possibly other ERGs in circuits essential for memory storage (Figures 1C and 1D).

HDACs 4 and 5 repress overlapping pools of genes.

We and others have previously reported that prolonged overexpression of a constitutively nuclear phosphorylation-deficient form of HDAC4 (HDAC4-3SA, Figure S2A) downregulates multiple neuronal genes *in vitro* (Chen et al., 2014c; Sando et al., 2012; Schlumm et al., 2013), but the capacity of HDAC4 for repressing activity-dependent transcription has not been established. We therefore infected cultured cortical neurons with lentiviruses encoding wildtype HDAC4 or HDAC4-3SA and measured the levels of RNAs that were isolated prior to or after brief depolarization with KCl, which stimulates ERGs. Genome-wide mRNA profiling (RNA-seq) and quantitative real-time PCR (qPCR) analyses of individual transcripts consistently demonstrated that HDAC4-3SA interfered with induction of *Fos* and other classical ERGs *Egr2*, *Egr3*, *Egr4*, *Nr4a1*, *Nr4a3*, *Arc*, and *Npas4* (Hrvatin et al., 2018; West and Greenberg, 2011) (Figures 1E, 1F and S2). While ERGs were not the only effectors of HDAC4 in these artificial settings, it is unlikely that direct silencing of other constitutively expressed genes in the normal brain is physiologically relevant given the narrow window of repressor accumulation in the nucleus (Figures 1A and 1B).

In addition to HDAC4, neurons in the adult brain abundantly express another class IIa HDAC isoform, HDAC5 (Sando et al., 2012). This isoform has been recently shown to influence reward seeking by interacting with *Npas4* in the Nucleus Accumbens (Taniguchi et al., 2017), but its functional role in other circuits is also unclear. Though we were unable to monitor the dynamic redistribution of native HDAC5 due to insufficient quality of available antibodies, deep sequencing revealed that virtually all ERGs were repressed in cortical cultures by constitutively nuclear HDAC5-3SA mutant as well (Figure 1E, right panel). Furthermore, the total pools of genes affected by each of the two class IIa HDAC isoforms largely overlapped, raising the possibility that these isoforms are functionally redundant (Figure S2).

To gain a mechanistic insight into how HDACs 4 and 5 silence ERGs, we monitored the expression of EGFP under the control of the *Arc*-based promoter, E-SARE. This short synthetic promoter contains DNA-binding sites for activity-dependent TFs CREB, MEF2, and SRF, and exhibits tight dependence on neuronal excitation *in vitro* and *in vivo* (Kawashima et al., 2013). Again, KCl-induced expression of E-SARE:EGFP was potently blocked in dissociated cultures by the nuclear 3SA mutants, whereas

wildtype cytoplasmic constructs had no effect (Figures 1G, 1H and S3). These results imply that class IIa HDACs abolish the ERG transcription through inhibition of TFs on gene promoters.

Mice lacking HDACs 4/5 in excitatory neurons clasp hindlimbs but have normal brain anatomy.

To determine if HDACs 4 and 5 act in the same pathway in intact circuits, we generated double knockout (DKO) mice that lacked both repressors in glutamatergic neurons throughout the forebrain. These animals carried the previously described *loxP*-flanked ("floxed") *Hdac4* alleles, constitutive *Hdac5* knockout alleles, and a well-characterized Cre knock-in that drives *loxP* recombination at mid gestation in progenitors of the *Emx1* lineage that give rise to excitatory neurons and astrocytes (Chang et al., 2004; Gorski et al., 2003; Potthoff et al., 2007; Sando et al., 2017). *(Hdac4$^{flox/flox}$/Hdac5$^{-/-}$/Emx1$^{IRES-Cre}$)* (Figures S4A to S4C). We reasoned that recombination of *Hdac4* in glia should not be problematic since this gene is predominantly expressed in neurons (Darcy et al., 2010; Sando et al., 2012). The homozygote DKOs were born at the expected Mendelian ratio and had regular lifespans. However, they could be easily distinguished from wildtype littermates based on fully penetrant hindlimb clasping in the tail suspension test. In contrast, the clasping was undetectable in mice deficient for individual isoforms (*Hdac4$^{flox/flox}$/Emx1$^{IRES-Cre}$* and *Hdac5$^{-/-}$*), indicating that HDACs 4 and 5 can compensate for each other *in vivo* (Figures 2A and 2B).

To address the concern that leg clasping of DKO mutants is due to major developmental defects or neurodegeneration, we carried out immunofluorescent imaging of brain sections that were labeled with antibodies for ubiquitous and excitatory neuron subtype-specific markers, NeuN, Cux1, Ctip2, Prox1, and Calbindin. The brains of adult DKOs had undistorted anatomies with appropriate lamination of cortical columns and the hippocampus, and no apparent increase in apoptosis (Figures 2C to 2E and S4D). We also examined the cellular architecture of the adult hippocampus by using single cell sequencing (scRNA-seq) on the 10X Genomic platform. Unbiased analysis of 11180 and 12341 cells from dissociated hippocampi of three pairs of control and DKO mice, respectively, showed no changes in the abundance and clustering of neurons, astrocytes, oligodendrocytes, microglia, OPCs, endothelial cells and mural cells, further suggesting that cellular differentiation and survival were unaffected (Figures 2F and 2G).

DKO mice exhibit a broader induction of ERGs after novel experience.

Next, we investigated the consequences of HDAC4 and HDAC5 loss on gene expression levels by deep sequencing of libraries prepared from pooled hippocampal mRNAs. We chose this approach instead of singe cell sequencing since our scRNA-seq datasets had limited coverage at the expense of large cell numbers. Quantitative analysis of conventional RNA-seq reads with a statistical cutoff at *p* < 0.05 identified 1451 differentially expressed genes, albeit only ~14% of transcripts were up- or downregulated

in class IIa HDAC-deficient animals by more than 2-fold (133 and 75, respectively). As expected, DKOs had a strong depletion of mRNAs of *Hdac4* and *Hdac5* (Figure 2H and Table S2). Although the entire pool of affected genes was diverse, the STRING pathway analysis highlighted several prominent clusters of molecules required for synaptic function, network plasticity and memory formation, including various receptors, ion channels and scaffolds, proteins that mediate membrane trafficking and, curiously, multiple adenylate cyclases and phosphodiesterases involved in cAMP signaling (Figures 2I and S5).

Since the majority of ERGs encode TFs (West and Greenberg, 2011), these ERGs are repressed by HDACs 4 and 5 *in vitro* (Figure 1), and each event of transient nuclear import of repressors likely has negligible effect on global expression levels of genes with long-lasting mRNAs, the observed molecular changes in brains of DKO mice may reflect a history of aberrant experience-dependent transcription. To test how loss of HDACs 4 and 5 affects the induction of ERGs, we subjected DKOs to CFC and performed immunolabeling for Fos and Egr1. We imaged area CA3 of the hippocampus where background expression of these genes in home-caged animals is particularly low (Ramamoorthi et al., 2011). Consistent with observed CFC-induced redistribution of HDAC4 to neuronal nuclei in wildtype animals and analysis of repressor activity in neuronal cultures, DKOs had a ~2-fold increase in numbers of Fos- and Egr1-positive cells (Figures 2J and 2K). Likewise, qPCR measurements of transcripts isolated from the hippocampi of fear conditioned mice showed that DKOs had increased mRNA levels of *Fos*, *Egr1*, *Nr4a1*, *Arc*, and *Npas4* shortly after CFC, and this increase persisted for several hours (Figure 2H). These results demonstrate that class IIa HDACs are necessary for spatiotemporal control of ERG programs during memory encoding.

DKO mice have elevated anxiety and impaired learning/memory.

We systematically analyzed the behavior of DKO mutants at p60 to evaluate their motor skills, sensory processing and ability to perform various cognitive tasks. Mice deficient for individual repressor isoforms (*Hdac4^flox/flox^/Emx1^IRES-Cre^* and *Hdac5^-/-^*) were examined in parallel to further define the extent, or lack thereof, of functional redundancy. Despite clasping legs when lifted by tails, DKOs were hardly distinguishable from littermate controls in the standard laboratory environment. However, quantitative measurements of performance in several setups demonstrated that these animals were anxious and they had severely diminished capacity for acquiring new memories. The anxiety-like phenotype was apparent in dark/light transfer tests and analysis of activity in square open fields where DKOs preferred to remain in the dark and avoided the centers of open areas (Figures 3A to 3C). These abnormalities were not due to aberrant vision or locomotion, as demonstrated by measurements of head tracks in the optomotor test, total travel distances, and overall horizontal activity (Figures 3D and S6). When their spatial memory was monitored in the circular Barnes maze (Bach et al., 1995), DKOs were able to identify the escape

path during training sessions but showed no target discrimination in the subsequent probe test of memory recall (Figures 3E to 3G). In addition, DKOs had virtually no fear extinction, as assessed for a week after CFC on a daily basis by quantifying freezing in the same context (Figure 3D). The latter also indicates impaired learning rather than inability to erase an existing fear memory (Sevenster et al., 2018). Notably, none of these phenotypes could be detected in single HDAC4 and HDAC5 KOs (Figures 3A to 3C, 3G and 3H). It is also noteworthy that the outcomes of spatial and fear memory tests were not merely associated with anxiety and/or aberrant locomotion of DKO mice, as evidenced by quantifications of escape latencies and travel in the Barnes maze and freezing in the irrelevant context (Figures 3E, 3F and 3H; compare freezing in Context A and Context B).

Because activity of HDAC5 in the Nucleus Accumbens is thought to affect conditional place preference (Taniguchi et al., 2017) we also studied this positive valence behavior in our mouse lines. We found that *HDAC5*[-/-] mutants had no discrimination between regular and cocaine-paired floors, though this defect was only pronounced in males. By contrast, place preference of *Hdac4*[flox/flox]/*Emx1*[IRES-Cre] males and females and *Hdac5*[-/-] females was similar to that of control animals, but it was completely abolished in DKOs of both genders (Figure 3I and data not shown).

HDAC4/5-deficient excitatory neurons have increased dendritic arborization and spine/PSD sizes.

To begin to investigate how cognitive deficits of DKO mice correlate with changes in neural circuit structure and function, we analyzed the morphologies of glutamatergic neurons in the hippocampus. We sparsely labeled CA1 pyramidal cells *in vivo* via stereotactic injection of the Cre recombinase-inducible viral tracer, AAV DJ DIO-mGFP (Figure 4A), collected confocal image stacks from fixed brain sections, and reconstructed single neurons for 3D view. In agreement with our analysis of the anatomy of the hippocampus (Figure 2E), genetically-tagged HDAC4/5-deficient neurons were appropriately positioned in CA1sp and had fully polarized dendrites (Figure 4B). Yet, quantitative tracing of dendritic trees revealed significantly higher numbers of branch orders, nodes, ends, and overall complexity of arborization (Figure 4C). We then imaged dendrites in CA1sr at higher magnification to inspect their spines. While the linear densities of all and mature mushroom-type spines were the same in DKOs and *Emx1*[IRES-Cre] controls, DKO neurons had significantly larger spine sizes (Figures 4D and 4E).

Considering that spines undergo transient enlargement during long-term synaptic potentiation (LTP) which also coincides with expansion of postsynaptic densities (PSDs) comprised of neurotransmitter receptors, scaffolding molecules and signaling enzymes (Araki et al., 2015; Harvey and Svoboda, 2007; (Bourne and Harris, 2012; Bromer et al., 2018), we analyzed the ultrastructural organization of glutamatergic synapses in the CA1sr by serial electron microscopy (EM). To this end, tomographic images were acquired from 300 nm sections with 0.5 degree tilt increments at 22,500x magnification,

and terminals with opposed spines that contain characteristic PSDs were reconstructed for 3D view from serial stacks. Synapses of HDAC4/5-defienct neurons were appropriately compartmentalized and had unaltered total pools of neurotransmitter vesicles as well as vesicles tethered to presynaptic active zones, but their PSDs were markedly bigger (Figures 4F and 4G). Thus, class IIa HDACs restrict dendritic fields of hippocampal pyramidal neurons and affect their spine architectures *in vivo*.

HDAC4/5-deficient excitatory circuits have increased synaptic strength.

As the next step, we relied on electrophysiological whole-cell recordings from acute hippocampal slices to evaluate intrinsic excitability of CA1 neurons and synaptic transmission in the circuit. Recordings in current clamp mode showed that HDAC4/5-deficient cells had no changes in resting membrane potentials and action potential firing in response to depolarization (Figures 5A and 5B). However, measurements of miniature and evoked excitatory postsynaptic currents (EPSCs) demonstrated that loss of the two nuclear repressors leads to augmentation of glutamatergic synaptic strength. Neurons in slices from DKO mice had ~2-fold higher frequencies of spontaneous miniature AMPA-type EPSCs, ~30% larger amplitudes of evoked AMPA currents, and proportionally elevated AMPA/NMDA ratios (Figures 5C to 5F). Together with viral tracing, these functional abnormalities indicate both increased numbers of excitatory inputs (due to larger receptive fields) and persistent postsynaptic potentiation since augmented AMPA/NMDA ratio is a signature of LTP (Huganir and Nicoll, 2013; Makino and Malinow, 2009). Moreover, we did not detect significant shifts in profiles of synchronous EPSC facilitation/depression during high-frequency stimulus trains, which implies that presynaptic release probability and short-term plasticity of glutamatergic synapses formed by the CA3 afferents were normal (Figures 5G and 5H).

Rapid chemical-genetic control of nuclear repression in behaving mice with destabilized HDAC4.

Our results thus far provide several important insights into how class IIa HDAC signaling regulates neural circuits at cellular, subcellular and molecular levels, but the contribution of these repressors and their downstream target genes to memory coding is still unclear. Indeed, given the nature of genetic manipulation used in DKO mice, their cognitive defects may be attributed to atypically broad induction of ERGs during learning, pre-existing abnormalities in neuronal wiring, synapse structures and synaptic transmission that reflect repetitive mis-regulation of experience-dependent transcriptional programs and preclude the appropriate processing of sensory information, or both. Likewise, the current understanding of physiological roles of ERGs in the mammalian brain is almost exclusively based on outcomes of prolonged loss- or gain-of-function of these genes in animal models since even drug-inducible Cre drivers and promoters offer relatively poor temporal control (Bridi and Abel, 2013; Park et al., 2008; Ramamoorthi et al., 2011; West and Greenberg, 2011). While the necessity of gene expression for

learning and memory is also demonstrated by pioneering experiments with pharmacological inhibitors of transcription and translation (Kandel et al., 2014), these inhibitors lack cellular specificity and globally affect all genes. We therefore devised a strategy for acute transcriptional repression in brains of live and behaving mice that leverages direct stabilization of nuclear HDAC4 with a small molecule.

We fused the constitutively nuclear gain-of-function HDAC4-3SA mutant with the ecDHFR-based N-terminal destabilizing domain (DD), which mediates instant proteasomal degradation of newly synthesized polypeptides (Iwamoto et al., 2010). The decay of this DD-nHDAC4 fusion protein can be blocked by the biologically "inert" antibiotic, Trimethoprim (TMP), that rapidly (within minutes) diffuses through tissues, penetrates the blood-brain barrier, and binds to the DD tag with high affinity (Iwamoto et al., 2010; Sando et al., 2013) (Figure 6A). The construct also contained the C-terminal FLAG epitope for detection with antibodies (Figure S7A). To introduce DD-nHDAC4 into genetically-defined neurons, we generated the "floxed" *Rosa26* allele that permits expression under the control of the CAG promoter in a Cre recombinase-dependent manner (*R26$^{floxStop-DD-nHDAC4}$*, (Figure 6A). This allele was then crossed with a well-characterized forebrain-specific driver, *Camk2α^{Cre}* (Pieraut et al., 2014), to induce DD-nHDAC4 in fully differentiated glutamatergic neurons.

Immunoblotting and immunofluorescent imaging analyses of brains of adult *R26$^{floxStop-DD-nHDAC4}$*/*Camk2α^{Cre}* mutants and their control Cre-negative littermates confirmed that DD-nHDAC4-F was only expressed in the forebrain in the presence of Cre, was effectively regulated by TMP, and was localized in neuronal nuclei. The protein was nearly undetectable without drug treatments, but its stability was restored within 2-3 hours after single intraperitoneal injections of TMP and was maintained for several hours (Figures 6B to 6E). Hence, we were able to achieve temporal control of nuclear class IIa HDAC activity *in vivo* that is at least an order of magnitude faster than temporal control of conventional and inducible promoter-based systems whose kinetics are dictated by rates of mRNA synthesis and degradation. Consistent with the previously described pattern of *Camk2α^{Cre}*-driven recombination, we observed robust expression of DD-nHDAC4 in the hippocampus, the cerebral cortex, and the amygdala (Figure S7B).

To determine how unstable and TMP-bound DD-nHDAC4 forms affect experience-dependent transcription of class IIa HDAC target genes, we subjected *R26$^{floxStop-DD-nHDAC4}$*/*Camk2α^{Cre}* mice to CFC and monitored the expression of *Fos* and *Egr1* in the hippocampus by immunofluorescent imaging 1.5 hours later. Analysis of vehicle-treated animals showed that the system has negligible drug-independent background, whereas administration of TMP suppressed the ERG induction in trained mice (Figures 6F to 6H and Figure S7C). Hence, this chemical-genetic technique is suitable for transient cell-type-specific

silencing of genes that become induced in response to sensory input and, unlike other genes, exhibit narrow peaks of transcriptional activity and encode mRNAs and proteins with short lifespans.

Acute transcriptional repression blocks memory acquisition but not retrieval.

Having validated the new approach, we asked how acute repression of ERG programs in glutamatergic neurons impacts associative fear memory using two different protocols in which animals were given TMP either 3 hours before CFC or 3 hours before contextual memory retrieval. In each case, freezing was measured 24 hours after training (Figure 6I). Both sets of experiments included vehicle- and TMP-treated $R26^{floxStop-DD-nHDAC4}$/$Camk2\alpha^{Cre}$ and Cre-negative $R26^{floxStop-DD-nHDAC4}$ mice to account for potential undesired effects of the antibiotic itself and background activity of TMP-free DD-nHDAC4. Strikingly, transient stabilization of DD-nHDAC4 prior to learning strongly impaired memory, as evidenced by ~3-fold reduction of freezing times of TMP-injected $R26^{floxStop-DD-nHDAC4}$/$Camk2\alpha^{Cre}$ mice (Figure 6J). This deficit was not due to prolonged baseline leak of the system since vehicle alone had no measurable effect. Moreover, TMP treatments did not affect freezing responses in the absence of $Camk2\alpha^{Cre}$, excluding the possibility of off-target interactions of the drug (Figure 6J). Finally, stabilized DD-nHDAC4 had no detectable effect on freezing of pre-conditioned animals that received TMP following CFC, suggesting their memory retrieval was intact (Figure 6K). Hence, ERG programs are required for memory acquisition, but these programs may become dispensable when a stable memory is formed.

DISCUSSION

In summary, this study elucidates the function of two homologous nuclear repressors, reveals a mechanism that limits experience-dependent expression of genes essential for plasticity of neural circuits, and provides insight into how these genes contribute to memory encoding.

Over the past few years, HDACs have attracted considerable interest as potential therapeutic targets, prompting the design and clinical implementation of small molecule inhibitors (Abel and Zukin, 2008; Bantscheff et al., 2011; Parra, 2015). However, the precise roles of class IIa HDACs in the nervous system have remained elusive, despite the evidence that their loss or mis-localization may lead to neurological abnormalities in model organisms and humans (Chen and Cepko, 2009; Fitzsimons et al., 2013; Kim et al., 2012; Lang et al., 2018; Li et al., 2012; Sando et al., 2012; Taniguchi et al., 2017; Williams et al., 2010). Unlike constitutively nuclear class I HDACs, vertebrate class IIa HDACs are unable to deacetylate histones due to evolutionarily acquired Tyrosine to Histidine substitution in the active site of the C-terminal enzymatic domain (Haberland et al., 2009; Lahm et al., 2007). The hypothesis that neuronal HDAC4 functions as a transcriptional repressor has been supported by previous

findings in several laboratories, including ours, demonstrating that this protein interacts with TFs CREB, MEF2 and SRF and silences multiple genes when artificially forced to neuronal nuclei *in vitro* (Chen et al., 2014c; Lang et al., 2018; Li et al., 2012; Sando et al., 2012). Yet, this hypothesis could not be reconciled with cytoplasmic localization of HDAC4 across the normal brain and perplexing lack of changes in transcription in brains of *Hdac4* knockout mice (Chen and Cepko, 2009; Darcy et al., 2010; Kim et al., 2012; Mielcarek et al., 2013). HDAC5 has been recently shown to regulate drug seeking by repressing *Npas4* in the mouse striatum independently of MEF2, though this conclusion was largely based on viral overexpression of nuclear constructs (Taniguchi et al., 2017). To date, the physiological relevance of HDAC5 and mechanism of its action in other circuits were also poorly understood.

In the first part of our work, we showed that HDAC4 is briefly imported to neuronal nuclei during associative learning and that HDAC4 and HDAC5 control the same group of genes, including ERGs. Furthermore, we found that both repressors inhibit a synthetic activity-dependent promoter with binding sites for CREB, MEF2 and SRF, and their simultaneous ablation in excitatory forebrain neurons leads to anxiety and deficits in memory that could not be detected in single KOs. Lastly, we showed that DKO mutants exhibit a broader expression of ERGs after novel experience as well as altered molecular profiles, morphologies and synaptic strength of hippocampal neurons. Taken together, these results suggest that HDACs 4 and 5 redundantly act in the same pathway that restricts the induction of activity-dependent transcriptional programs, thereby limiting the numbers of neurons that undergo structural and/or functional modifications in response to sensory input. In conjunction with reports that documented aberrant nuclear retention of HDAC4 in cellular and animal models of Parkinson's disease and Ataxia telangiectasia (Lang et al., 2018; Li et al., 2012), our results also imply that class IIa HDACs may exert their effects via different mechanisms under the physiological and pathological conditions depending on kinetics of nuclear import/export. In other words, HDACs 4 and 5 are intrinsically capable of repressing a broad spectrum of targets and they evidently do so upon irreversible nuclear entry, but their physiological effects in the brain appear to be strongly biased towards transiently expressed genes with narrow peaks of transcriptional activity and rapidly degrading mRNAs.

A second important aspect of our findings is that they emphasize the critical roles of both acute induction of ERGs and spatiotemporal precision of this process for memory formation. Among class IIa HDAC effectors identified in our unbiased genome-wide RNA-seq screens, ERGs *Arc*, *Nr4a1* and *Npas4* are known to be necessary for synaptic plasticity and memory (Alberi et al., 2011; Bloodgood et al., 2013; Chen et al., 2014b; Jeanneteau et al., 2018; McNulty et al., 2012; Ramamoorthi et al., 2011; Shepherd et al., 2006; Spiegel et al., 2014; Sun and Lin, 2016). Moreover, the essential roles of CREB, MEF2 and SRF in memory storage have been proven beyond any doubt (Barbosa et al., 2008; Casadio

et al., 1999; Cole et al., 2012; Kandel et al., 2014; Martin et al., 1997). Although the molecular events underlying behavioral phenotypes of repressor-deficient DKO mice are likely complex, it is reasonable to postulate that, at a circuit level, the cognitive deficits of these mice reflect the lack of cellular specificity of information coding that involves long-term changes in wiring and/or synaptic properties of sparsely distributed neural ensembles. On the other hand, our experiments with mice carrying a destabilized TMP-inducible nuclear HDAC4 imply that memory acquisition requires activation of ERGs within a narrow window after sensory input. From a technical standpoint, these experiments validate a chemical-genetic approach that can be exploited for a broad range of studies in the future. For example, it is feasible to apply targeted expression of destabilized proteins for acute cell type-specific genome editing, induction of TFs, or regulation of neuronal wiring and synaptic strength in live and behaving animals.

While genetic tools leveraging *Fos* and other ERG promoters are becoming increasingly popular for tracing and optogenetic manipulation of neurons with a history of correlated activity elicited by sensory cues (Cai et al., 2016; Cowansage et al., 2014; Guenthner et al., 2013; Liu et al., 2012; Okuyama et al., 2016; Tonegawa et al., 2018; Ye et al., 2016), recent imaging studies have shown that, in the parietal cortex, activity patterns may also shift over time (Driscoll et al., 2017). Dynamic spatiotemporal control of ERG programs by class IIa HDACs described herein may contribute to this network flexibility for processing of new information and therefore should be taken into account for better understanding of fundamental principles of neural coding. It would be of interest to eventually extend the present work with simultaneous *in vivo* imaging of fluorescently tagged class IIa HDACs and calcium indicators, GCaMPs, though this task will require development of new mouse alleles since class IIa HDACs sequences exceed the packaging capacity of AAVs.

Finally, ERGs and class IIa HDACs are expressed throughout the brain and in various neuron types, including GABAergic interneurons (Chen and Cepko, 2009; Darcy et al., 2010; Hrvatin et al., 2018; Sando et al., 2012; Spiegel et al., 2014). Thus, we predict that our study identifies a common molecular mechanism for activity- and transcription-dependent network plasticity, and that the implication of this work goes beyond excitatory forebrain circuits and memory storage.

SUPPLEMENTAL INFORMATION

Enclosed supplemental information includes seven figures and two separate Excel files with RNA-seq data.

AUTHOR CONTRIBUTIONS

A.M. and Y.Z. conceived the study. Y.Z. generated mouse lines and personally carried out or supervised all molecular profiling, imaging, and behavioral experiments. M. H. performed electrophysiological recordings from acute brain slices. M. U. assisted Y. Z. with confocal microscopy, quantitative real-time PCR, and inhouse tests of associative memory. Other behaviors experiments were performed at the TSRI core facility. E. B. prepared samples for EM and collected tomograms. M.E. supervised EM studies. L.B. and D.B. traced genetically-tagged neurons in Neurolucida and analyzed 3D EM reconstructions in IMOD. A.M. wrote the manuscript.

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MAIN FIGURES with legends.

Figure 1. Class IIa HDACs translocate to neuronal nuclei during associative learning and repress ERGs.

(A to **D)** Subcellular distribution of native HDAC4 in the hippocampus of wildtype p60 mice before (Control) and after contextual fear conditioning (CFC). Brain sections were labeled with DAPI and/or indicated antibodies and imaged under the confocal microscope. **(A)** Typical examples of HDAC4 immunofluorescence in pyramidal cell layer of area CA3 (CA3sp). Note that experience-dependent accumulation of HDAC4 in neuronal nuclei is transient. See also Figure S1. **(B)** Averaged percentages of cells with nuclear HDAC4 in CA1sp and CA3sp of control and trained animals (1.5 and 6 hours post-CFC). Total cell numbers were quantified with DAPI. $n = 4$ mice/group. **(C)** Sections from fear-conditioned mice (1.5 hours post-CFC) were co-stained for HDAC4 and Fos. Images of CA3sp are shown. Note that cells expressing Fos (marked by arrows) retain HDAC4 in cytoplasm. **(D)** Averaged fractions of Fos-positive cells with cytoplasmic (C) and nuclear (N) HDAC4 in indicated hippocampal subfields (1.5 hours post-CFC). $n = 3$ mice. **(E** to **H)** Effects of recombinant class IIa HDACs on activity-dependent transcription in primary cortical cultures. Neurons were infected at 2 days *in vitro* (DIV2) with lentiviruses (LVs) that express wildtype HDAC4/5 cDNAs or constitutively nuclear mutants with Alanine substitutions of three Serine residues whose phosphorylation promotes nuclear export (3SA).

(E) Transcripts were isolated at DIV7 after 30 minute depolarization with KCl (50 mM), and their levels were measured by deep sequencing. Volcano plots of differentially expressed genes from 2 independent RNA-seq experiments are shown. Fold changes (FC) are represented as log2 of 3SA/WT ratio for each HDAC isoform. Negative and positive values reflect repression and upregulation by 3SA mutants, respectively. Red circles are ERGs. Full datasets can be found in Figure S2 and Table S1. **(F)** Depolarization-induced expression of ERGs in cultures infected with WT or 3SA HDAC4 LVs was assessed by quantitative real-time PCR (qPCR). Averaged FC values from 5 independent experiments are plotted as KCl/Control (non-induced) ratio. **(G** and **H)** Indicated class IIa HDAC LVs were co-infected with the reporter of activity-dependent transcription, E-SARE:EGFP. **(G)** Schematic diagram of E-SARE:EGFP (top) and confocal images of EGFP fluorescence in control and KCl-depolarized neurons at DIV7 (bottom). See also Figure S3. **(H)** Summary graphs of reporter induction. $n = 3$ cultures/condition. All quantifications are represented as Mean ± S.E.M. (error bars). * denotes $p < 0.05$ (defined by Student's t-test). Actual p values are indicated in each graph.

Figure 2. Characterization of HDAC4/5-deficient mice.
(A) Photographs of p60 control, conditional *Hdac4* knockout (KO, *Hdac4^{flox/flox}/Emx1^{IRES-Cre}*), constitutive *Hdac5* KO (*Hdac5^{-/-}*) and *Hdac4/5* double knockout (DKO, *Hdac4^{flox/flox}/Hdac5^{-/-}/Emx1^{IRES-Cre}*) mice subjected to tail suspension test. **(B)** Averaged leg clasping indexes. $n = 20$ mice/genotype **(C)** Gross brain anatomies of p60 control and DKO mice. Coronal brain sections were stained with DAPI and the antibody to a pan-neuronal marker, NeuN. See also Figure S4. **(D and E)** Sections were labeled with antibodies against layer/subtype-specific markers of excitatory neurons, Cux1, Ctip2, and Prox1. Images of the primary somatosensory cortex (panel D) and the hippocampus (panel E) are shown. Higher-magnification frames are displayed in inserts. **(F to I)** RNA-seq analysis of gene expression in the hippocampus at p60. **(F)** Heatmaps of mRNA levels in different clusters and cell classes, as defined by single-cell sequencing. **(G)** Automated clustering of cell types in control and DKO mice ($n = 3$ mice/genotype). **(H and I)** Volcano plot (panel H) and STRING network of differentially expressed transcripts (panel I), as defined by standard RNA-seq ($n = 3$ mice/genotype). In panel I, the following clusters are marked: 1) Neurotransmitter receptors and calcium channels; 2) Cytoskeleton and scaffolds; 3) Protein turnover; 4) Membrane trafficking; 5) Calcium signaling; 6) G proteins and G protein-coupled receptors; 7) MAP kinases; 8) Adenylate cyclases; 9) Dopamine and hormone receptors; 10) Phosphodiesterases. See also Figure S5 and Table S2. **(J to L)** Expression of ERGs in the hippocampus at p60. **(J)** Images of CA3sp of fear-conditioned control and DKO mice (1.5 hours post-CFC). Sections were labeled with DAPI and antibodies against Fos and Egr1. Note a broader induction of ERGs in DKOs. **(K)** Quantifications of Fos/Egr1-positive cells in CA3sp. $n = 3$ mice/genotype. **(L)** Transcripts were isolated from hippocampi at indicated time-points after CFC, and expression levels of various ERGs were measured by qPCR. Values from 4-5 mice per genotype/timepoint (Mean ± S.E.M.) are normalized to 30 min post-CFC to highlight the prolonged window of ERG expression in DKOs. * denotes $p < 0.05$ (Student's t-test). The color coding is the same as in panel K.

Figure 3. Behavior of class IIa HDAC-deficient mice.
Animals of indicated genotypes were examined at p60. See also Figure S6 and methods. **(A)** Analysis of anxiety-like behavior in the dark-light transfer test. Schematics of experimental setup and averaged transitions to light are shown. Control: $n = 30$ mice; 4KO: $n = 13$; 5KO: $n = 10$; DKO: $n = 30$. **(B to D)** Locomotor activity in the open field setup. **(B)** Typical tracks during 30 minute sessions. **(C)** Averaged times spent in the center zone. **(D)** Total travel distances. Control: $n = 18$; 4KO: $n = 15$; 5KO: $n = 7$; DKO: $n = 8$. **(E to G)** Assessment of spatial memory in the Barnes maze. **(E)** Schematics of the maze and averaged latencies to escape through the tunnel (depicted as a blue circle) in each of four sequential daily training sessions. Control: $n = 10$; 4KO: $n = 13$; 5KO: $n = 11$; DKO: $n = 12$. **(F)** Total travel distances in the maze during training. **(G)** Memory retrieval in the probe test with escape tunnel removed. Graph shows averaged percentages of time spent in the correct (target) and other quadrants of the maze. Control: $n = 30$; 4KO: $n = 12$; 5KO: $n = 11$; DKO: $n = 29$. **(H)** Acquisition and extinction of associative fear memory. Mice were allowed to habituate (H) and then subjected to CFC in Context A. Freezing was measured on a daily basis in the same or irrelevant context (Context B). Control: $n = 15$; 4KO: $n = 14$; 5KO: $n = 11$; DKO: n = 11. **(I)** Conditional place preference (CPP) test. Graph shows averaged times spent on cocaine-paired floor. Control: $n = 27$; 4KO: $n = 13$; 5KO: $n = 6$§; DKO: $n = 29$. All quantifications are represented as Mean ± S.E.M. (error bars). * denotes p < 0.05 (defined by Student's t-test and ANOVA). Actual p values are indicated in each graph (vertical for t-test and horizontal for ANOVA). § No gender-related differences in behavior were observed with exception of HDAC5-deficient mice in the CPP test, where only females exhibited preference for cocaine-paired floor.

Figure 4. Morphologies of dendrites and synapses of HDAC4/5-deficient excitatory neurons.
(A to **E)** Pyramidal cells in the CA1 of DKO and control $Emx1^{IRES-Cre}$ mice were visualized by Cre-inducible expression of membrane-bound GFP from an adeno-associated virus (AAV DJ DIO-mGFP). Animals were injected with AAVs at p45 and analyzed by confocal imaging of brain sections at p60. **(A)** Schematic diagram of AAV recombination. **(B)** 3D-reconstructions of dendritic trees of individual glutamatergic neurons. **(C)** Quantifications of branch orders (NBO), trees, nodes, ends, tree length (TL, μm x 100), and complexity indexes (Com, a.u. x 10000). Averaged values are from 3 mice/15 neurons per genotype. **(D)** Pseudo-colored images of postsynaptic spines on proximal dendrites in CA1sr. **(E)** Linear densities, surface areas and volumes of all and mushroom (M)-type spines. Control: n = 3 mice/17 neurons; DKO: n = 3/21. **(F and G)** EM tomography analysis of architectures of glutamatergic synapses in CA1sr. **(F)** Top panels: 3D reconstructions of spines, postsynaptic densities (PSDs), nerve terminals, and synaptic vesicles (SVs). Bottom panels: Isolated 3D reconstructions of PSDs. **(G)** Average volumes and surface areas of PSDs, total SV pools, and numbers of vesicles tethered to active zones (RRP). Control: n = 3 mice/14 synapses; DKO: n = 3/14. All quantifications are represented as Mean ± S.E.M. (error bars). * denotes $p < 0.05$ (defined by Student's t-test). Actual p values are indicated in each graph.

Figure 5. Physiological properties of HDAC4/5-deficient excitatory neurons.
Intrinsic excitability and synaptic strength of CA1 pyramidal cells were assessed by electrophysiological whole-cell recordings from acute brain slices from p20 mice. **(A)** Sample traces of depolarization-induced action potentials monitored in current-clamp mode. **(B)** Averaged numbers of action potentials, plotted as a function of stimulus intensity. Control: n = 5 mice/17 neurons; DKO: n = 3/27. **(C)** Sample traces of spontaneous miniature excitatory postsynaptic currents (sEPSCs) recorded in voltage-clamp mode. Holding potentials were -70 mV. **(D)** Averaged sEPSC amplitudes and frequencies. Control: n = 5 mice/18 neurons; DKO: n = 5/24. **(E)** Traces of evoked AMPA (inward) and NMDA (outward) excitatory postsynaptic currents (eEPSCs) monitored at -70 and +40 mV holding potentials, respectively, in the presence of the GABA receptor blocker, Picrotoxin (50 μM). Synaptic responses were triggered by electrical stimulation of CA3 afferents in the Schaffer collateral path. **(F)** Averaged AMPA eEPSC amplitudes (left) and AMPA/NMDA ratios (right). Control: n = 3 mice/11 neurons; DKO: n = 3/11. **(G)** Sample traces of AMPA eEPSC elicited by repetitive stimulation at 10 Hz. **(H)** Averaged eEPSC amplitudes during 10 Hz trains, normalized to amplitudes of first responses. Control: n = 5 mice/22 neurons; DKO: n = 5/22. All quantifications are represented as Mean ± S.E.M. (error bars). * denotes $p < 0.05$ (defined by Student's t-test). Actual p values are indicated in each graph.

Figure 6. Acute chemical-genetic control of nuclear repression with destabilized HDAC4.
(A) Left: Schematic diagram of stabilization of DD-nHDAC4 protein with TMP. Right: A *Rosa26* allele for Cre recombinase-dependent expression of DD-nHDAC4 under the control of the CAG promoter (*R26*^*floxStop-DD-nHDAC4*^) See also Figure S7A. **(B to K)** DD-nHDAC4 was introduced into excitatory forebrain neurons by crossing *R26*^*floxStop-DD-nHDAC4*^ mice with *Camk2α*^*Cre*^. TMP-lactate (300 μg/gm body weight) or control vehicle (PBS) solutions were administered via intra-peritoneal injections. **(B)** Brains were isolated from p60 *R26*^*floxStop-DD-nHDAC4*^/*Camk2α*^*Cre*^ mice and their Cre-negative *R26*^*floxStop-DD-nHDAC4*^ littermates 3 hours after drug delivery. Total cortical and cerebellar (as a control) protein extracts were probed by immunoblotting with antibodies against FLAG or HDAC4. Note that DD-nHDAC4 has a higher molecular weight than the native protein. **(C and D)** Analysis of the time course of DD-nHDAC4 stabilization in the cortex. Representative immunoblot of samples isolated at different time points after TMP injection (panel C) and quantifications of DD-nHDAC4 levels (panel D, r.u.) are shown. *n* = 3-4 mice/time point. **(E)** Representative confocal images of DD-nHDAC4 immunofluorescence in CA3sp of vehicle and TMP-treated *R26*^*floxStop-DD-nHDAC4*^/*Camk2α*^*Cre*^ mice (3 hours post-injection). Note that stabilized DD-nHDAC4 is retained in DAPI-positive neuronal nuclei. See also Figure S7B for analysis of induction in different brain regions. **(F to H)** Acutely stabilized DD-nHDAC4 represses ERGs. *R26*^*floxStop-DD-nHDAC4*^/*Camk2α*^*Cre*^ mice were given single doses of vehicle or TMP. Injected animals were either maintained in home cages (HC) or subjected to associative learning via CFC (3 hours post-injection). 1.5 hours later, brains were isolated, sectioned, and imaged after labeling with antibodies against FLAG, Egr1 or Fos. Typical images of the CA3 (panel F) and averaged densities of Erg1/Fos-positive cells in this area (panels G and H) are shown. See also Figure S7C. **(I to K)** Effects of TMP-inducible nuclear repression on associative memory. p60 *R26*^*floxStop-DD-nHDAC4*^/*Camk2α*^*Cre*^ and Cre-negative *R26*^*floxStop-DD-nHDAC4*^ mice (as controls) were treated with vehicle or TMP prior to CFC or prior to memory retrieval in the same context, as depicted in panel I. **(J)** Averaged freezing times of animals that were given drugs 3 hours before learning. Control + vehicle: *n* = 12 mice; Control + TMP: *n* = 9; DD-nHDAC4 + vehicle: *n* = 12; DD-nHDAC4 + TMP: *n* = 13. **(K)** Averaged freezing times of animals that were given drugs 3 hours before memory recall. Control + vehicle: *n* = 11 mice; Control + TMP: *n* = 11; DD-nHDAC4 + vehicle: *n* = 19; DD-nHDAC4 + TMP: *n* = 9. All quantifications are represented as Mean ± S.E.M. (error bars). * denotes *p* < 0.05 (defined by Student's t-test and ANOVA). Actual *p* values are indicated in each graph (vertical for t-test and horizontal for ANOVA).

EXPERIMENTAL PROCEDURES

Mice were crossed to produce conditional lines, housed, and analyzed according to protocols approved by the Institutional Animal Care and Use Committee. Strains were maintained in mixed C56BL/6 and 129/Sv backgrounds. Studies were performed with animals of both genders. For each experiment, animal ages are indicated in the main text and/or figure legends. *Ai9, Emx1^{IRES-Cre}, Camk2α^{Cre}, Hdac4^{flox/flox}* and *Hdac5^{-/-}* alleles were described previously (Chang et al., 2004; Gorski et al., 2003; Madisen et al., 2010; Pieraut et al., 2014; Potthoff et al., 2007; Sando et al., 2017). To generate the *R26^{floxStop-DD-nHDAC4}* allele, the DD-nHDAC4 coding sequence (Figure S7A) was cloned into a targeting vector containing recombination arms, a ubiquitous CAG promoter, a *loxP*-flanked NEO cassette for positive selection, and a Diphtheria toxin cassette for negative selection. DD-nHDAC4 was placed downstream CAG and NEO, which served as a Cre recombinase-removable termination signal (Figure 6A). The targeting vector was electroporated into ES cells and the positive clones were identified by PCR and Southern Blotting. These clones were then used for blastocyst injection to produce chimeric mice. Chimeras were mated to wildtype mice and their offspring with confirmed germline transmission were used for subsequent crosses with Cre drivers.

Antibodies and expression vectors. Commercially available antibodies against the following proteins were used for immunofluorescent imaging and immunoblotting. HDAC4 (Santa Cruz, Cat# sc-11418), HDAC4 (Sigma, Cat# H9411), HDAC5 (Sigma, Cat# H4538), βTubulin III (Sigma, T2200), Fos (Santa Cruz, Cat# sc-52G), Egr1 (Cell signaling, Cat# 4153), NeuN (Millipore, Cat# MAB377), Cux1 (Santa Cruz, sc13024), Ctip2 (Abcam, Cat# AB18465), Prox1 (Millipore, Cat# AB5475), Calbindin (Swant, Cat#CB38), Cleaved Caspase3 (Cell Signaling, Cat# 9661). Lentivirus (LV) and Adeno Associated Virus (AAV) shuttle vectors encoding HDAC4-WT, HDAC4-3SA, E-SARE:EGFP and DIO-mGFP were described previously (Kawashima et al., 2013; Pieraut et al., 2014; Sando et al., 2012; Sando et al., 2017). To generate LV vectors for expression of WT and 3SA of HDAC5, human coding sequences were amplified by PCR and subcloned downstream of the Synapsin promoter. HDAC5-3SA mutant contained Alanine substitutions of Serine residues S259, S279 and S498.

Neuronal cultures. Cortices of P1 pups were dissociated by trypsin digestion and seeded onto 24-well plates coated with poly-D-Lysine (Sigma). Cultures were maintained for 4 days in Neurobasal (Invitrogen) supplemented with FBS, B-27 (Invitrogen), glucose, transferrin and Ara-C (Sigma) followed by incubation in the serum-free medium.

Virus production and infection. Recombinant LVs were produced by co-transfection of human embryonic kidney 293T cells with corresponding shuttle vectors and pVSVg and pCMVΔ8.9 plasmids that encode the elements essential for assembly and function of viral particles. Transfections were performed using the FuGENE reagent (Promega). Secreted viruses were harvested 48 hours later and cleared by brief centrifugation. Cultured neurons were infected at DIV2-5 with 100 µl of viral supernatants per 1 ml of medium. This protocol was optimized to achieve >95% infection efficiency. AAVs were produced in house with shuttle vectors containing EF1α promoter, inverted terminal repeats, the WPRE element, and the hGH polyadenylation signal. To achieve cell type-specific, Cre-inducible expression of fluorescent reporters, coding sequences were flanked by two pairs of loxP sites (DIO) and inserted downstream of a 1.26 kb EF1α promoter in a 3′-5′ orientation. These vectors were packed into AAV serotype DJ using published protocols (McClure et al., 2011; Pieraut et al., 2014). Mice were stereotactically injected with 0.5µl of viral stocks via glass micropipettes (10 µm tip diameter) and returned to home cages until experiments.

Immunohistochemistry. Mice were anesthetized with isoflurane and perfused with 4% PFA. The brains were incubated overnight in 0.5% PFA, and sliced on vibratome in ice-cold PBS. The 90 µm thick, free-floating coronal sections (Bregma -1.4 to -2.5) were briefly boiled in 0.1 M citrate for antigen retrieval. Sections were then washed 3 times in PBS, blocked for 1 hour in a buffer containing 4% BSA, 3% donkey serum, 0.1% Triton, and incubated overnight with primary antibodies diluted in blocking solution, followed by brief washes in PBS and 3 hour

incubation with corresponding fluorescently labeled secondary antibodies. Samples were washed again and mounted on glass slides.

Acquisition and analysis of confocal images. Specific brain regions were annotated using Allen Brain Atlas as the reference (http://mouse.brain-map.org/static/atlas). Images were collected under the Nikon C2 confocal microscope with 10x, 20x and 40x or 60x objectives. Thresholds and laser intensities were established for individual channels and equally applied to entire datasets. Conventional image analysis was conducted with Nikon Elements, FIJI, and Adobe Photoshop software packages. Digital manipulations were equally applied to all pixels. 3D images of dendritic trees of single virally-labeled neurons were collected from 200 μm coronal sections at 0.2 μm Z intervals. Neurons were subsequently reconstructed from serial stacks and analyzed in Neurolucida, as we have previously described (Pieraut et al., 2014).

Electron microscopy. Mice were transcardially perfused with Ringer's solution followed by perfusion with 150 mM cacodylate, 2.5% glutaraldehyde, 2% paraformaldehyde and 2 mM $CaCl_2$. The brains were fixed overnight in the same buffer at 4 °C and cut into 100 μm coronal sections on vibratome. The slices were fixed overnight at 4 °C and then washed for 1 hour in 150 mM cacodylate/2 mM $CaCl_2$ on ice. 300 nm thick sections were cut from the SBEM-stained specimens and collected on 50 nm Luxel slot grids (Luxel Corp., Friday Harbor, WA). The grids were coated with 10 nm colloidal gold (Ted Pella, Redding, CA) and imaged at 300 keV on a Titan TEM (FEI, Hillsboro, OR). Double-tilt tilt-series were collected with 0.5 degree tilt increments at 22,500X magnification on a 4k x 4k Gatan Ultrascan camera. Tomograms were generated with an iterative scheme in the TxBR package (Chen et al., 2014a). Segmentation of synaptic structures and vesicle pools were performed in IMOD (Chen et al., 2014a; Kremer et al., 1996).

qPCR and deep sequencing. mRNAs were extracted with the RNAeasy Kit (Qiagen). qPCR was performed with the following primers:

Arc
Forward: 5'-GGTGAGCTGAAGCCACAAAT-3'
Reverse: 5'-TTCACTGGTATGAATCACTGCTG-3'
Fos
Forward: 5'- GGGACAGCCTTTCCTACTACC-3'
Reverse: 5'- AGATCTGCGCAAAAGTCCTG-3'
Egr1
Forward: 5'- CCTATGAGCACCTGACCACA-3'
Reverse: 5'- AGCGGCCAGTATAGGTGATG-3'
Nr4a1
Forward: 5'- TTGAGTTCGGCAAGCCTACC-3'
Reverse: 5'- GTGTACCCGTCCATGAAGGTG-3'
Npas4
Forward: 5'- TTCACAGCTGAGGGGAAGTT-3'
Reverse: 5'- TGTTGAATCGACAACGGAAA-3'
Gapdh
Forward: 5'- TCAACGGGAAGCCCATCA-3'
Reverse: 5'- CTCGTGGTTCACACCCATCA-3'

Conventional RNA-seq was performed at the TSRI Next Generation Sequencing Core on the Illumina HiSeq platform. The libraries were generated, barcoded and sequenced according to manufacturer's recommendations. Sequencing data was analyzed using a two-part in-house pipeline. First, gene expression levels across all samples were quantified with Salmon, a unique quasi-mapping approach for mapping sequences directly to a transcriptome (Patro et al., 2017). Salmon also includes procedures to remove sample-specific technical biases that can arise during RNA-seq, including sequence-specific, position-specific, and fragment-GC content biases. Next,

differential expression analysis was performed in R package DESeq2 (Love et al., 2014). DESeq2 first adjusts read counts based on a normalization factor that accounts for sample size. This is followed by dispersion estimates based on a negative binomial model which accounts for genes with very few counts. Finally, a Wald test was performed to calculate differential expression between the sample groups. Genes with an adjusted p value of < 0.05 and absolute fold change > 2 were identified as significantly differentially expressed.

For single-cell RNA-seq, the brains were placed in oxygenated artificial cerebrospinal fluid (ACSF) containing 125 mM NaCl, 2.5 mM KCl, 2 mM NaH_2PO_4, 25 mM $NaHCO_3$, 1.3 mM $MgCl_2$, 2 mM $CaCl_2$, 10 mM Glucose, pH 7.4 (95% O2 and 5% CO2). 300 µm sections were cut on a vibratome (Leica VT1200S) and transferred into ice-cold Hibernate A/B27 medium (50 ml Hibernate A medium with 1 ml B27 and 0.125 ml Glutamax) containing 5 µg/ml actinomycin D (Sigma). Hippocampi were microdissected and incubated for 20 min on ice in Hibernate A/B27 medium containing 1 µM TTX (Tocris), 100 µM AP-V (Tocris) and 5 µg actinomycin D (Sigma). Enzymatic digestion was performed in Hibernate A-Ca medium with 2 mg/ml papain, 1 µM TTX, 100 µM AP-V, 25 µg actinomycin D at 30ºC for 40 min. The tissues were then dissociated by gentle trituration through Pasteur pipettes with fire-polished tips. Single-cell suspensions were loaded on a 4-layer OptiPrep gradient and centrifuged at 800 g for 15 min at 4ºC. Fractions 2-3 were collected to enrich neurons. Cell debris were further removed by Debris Removal Solution (Miltenyi Biotec) following manufacturer's instruction. Chromium scRNA-Seq reads were analyzed using Cell Ranger, a suite consisting of multiple pipelines for end to end analysis of single cell data (https://support.10xgenomics.com). In brief, it uses a custom built wrapper around Illumina's bcl2fastq (https://support.illumina.com) to demultiplex raw basecalls. This is followed by removal of duplicates using UMI counting. These preprocessed samples are aligned using STAR (Dobin et al., 2013), which performs splicing-aware alignment of reads to the genome. Aligned reads are then bucketed into exonic and intronic regions using a reference GTF file. Finally, read counts per gene are calculated, and these values are used for downstream estimation of differentially expressed genes.

Electrophysiology.

Mice were anesthetized with isoflurane. Brains were removed and placed into ice-cold oxygenated buffer (95%O_2/5%CO_2) containing 110 mM Sucrose, 87 mM NaCl, 2.5 mM KCl, 0.5 mM $CaCl_2$, 7 mM $MgCl_2$, 25 mM $NaHCO_3$, 1.25 mM NaH_2PO_4, and 20 mM Glucose. Transverse, 350 µm thick slices were cut with a vibratome and then allowed to recover for ~1 hour in oxygenated ACSF at 24°C prior to recording. Membrane potentials and synaptic currents were monitored in whole-cell mode using a Multiclamp 700B amplifier (Molecular Devices, Inc.). Recordings were performed at room temperature. For voltage-clamp experiments, the pipette solution contained 122.5 mM $C_6H_{12}O_7$, 122.5 mM CsOH, 10 mM CsCl, 1.5 mM $MgCl_2$, 5 mM NaCl, 1 mM EGTA, 5 mM HEPES, 3 mM MgATP, 0.3 mM NaGTP, 5 mM QX-314, and 10 mM Na_2phosphocreatine (pH 7.4). For current-clamp experiments, the pipette solution contained 120 mM K-Gluconate, 20 mM KCl, 4 mM Na_2ATP, 0.3 mM Na_2GTP, 4mM Na_2phosphocreatine, 0.1 mM EGTA, and 10 mM HEPES (pH 7.4). Synaptic release was triggered by 1 ms current injections through the local extracellular stimulating electrode (FHC, Inc. CBAEC75). The frequency, duration, and magnitude of extracellular stimuli were controlled by Model 2100 Isolated Pulse Stimulator (A-M Systems, Inc.). Traces were analyzed offline with pClamp10 (Molecular Devices, Inc.) and Origin8 (Origin Lab) software packages.

TMP injections. TMP-lactate (Sigma, Cat #T0667) was reconstituted in PBS prior to each experiment (30 mg/ml) and administered to mice by intraperitoneal injections through a 29g needle at a dose of 300 µg/gm body weight.

Behavior.

Hindlimb clasping. Mice were grasped by tails and lifted for 10 seconds. The scores were assigned using the following criteria, essentially as described(Guyenet et al., 2010): 0 = hindlimbs are consistently splayed outward, away from the abdomen; 1 = one hindlimb is retracted toward the abdomen for more than 50% of the time suspended; 2 = both hindlimbs are partially retracted toward the abdomen for more than 50% of the time

suspended; 3 = hindlimbs are entirely retracted and touching the abdomen for more than 50% of the time suspended.

Locomotor activity was measured for 2 hours in polycarbonate cages (42 x 22 x 20 cm) placed into frames (25.5 x 47 cm) mounted with two levels of photocell beams at 2 and 7 cm above the bottom of the cage (San Diego Instruments, San Diego, CA). These two sets of beams allow for the recording of both horizontal (locomotion) and vertical (rearing) behavior.

Vision was assessed in a stationary elevated platform surrounded by a drum with black and white striped walls. Mice were placed on the platform to habituate for 1 minute and then the drum was rotated at 20 rpm in one direction for 1 minute, stopped for 30 seconds, and then rotated in the other direction for 1 minute. The total number of head tracks (15 degree movements at speed of drum) was recorded. In our hands, mice that have intact vision track 5-25 times, whereas blind mice do not track at all.

Anxiety-like behavior was assessed using a light/dark transfer test, which capitalizes on the conflict between exploration of a novel environment and the avoidance of a brightly lit open field (Crawley, 1999). Mice were placed in the rectangular box divided by a partition into two environments. One compartment (14.5 x 27 x 26.5 cm) was dark (8-16 lux) and the other compartment (28.5 x 27 x 26.5 cm) was highly illuminated (400-600 lux) by a 60 W light source located above it. The compartments were connected by an opening (7.5 x 7.5 cm) located at floor level in the center of the partition. The time spent in the light compartment and the number of transitions into the light compartment during 5 minute sessions were measured as predictors of anxiety.

Spatial memory was examined in the Barnes maze (Bach et al., 1995; Barnes, 1979), a setup that consists of an opaque Plexiglass disc 75 cm in diameter elevated 58 cm above the floor by a tripod. Twenty holes, 5 cm in diameter, are located 5 cm from the perimeter, and a black Plexiglass escape box (19 x 8 x 7 cm) is placed under one of the holes. Distinct spatial cues are located around the maze and are kept constant throughout the study. Acquisition: On the first day of testing, a training session was performed, which consisted of placing the animals in the escape box for 1 minute. At the beginning of each session, mice were then placed in the middle of the maze in a 10 cm high cylindrical black start chamber. After 10 seconds the start chamber was removed, a buzzer (80 dB) and a light (400 lux) were turned on, and mice were set free to explore the maze. The session ended when mice entered the escape tunnel or after 3 minutes elapsed. When mice entered the escape tunnel, the buzzer was turned off and animals were allowed to remain in the dark for 1 minute. If a mouse did not enter the tunnel by itself, it was gently put in the escape box for one minute. The tunnel was always located underneath the same hole (stable within the spatial environment), which was randomly determined for each mouse. Mice were tested once a day for 4 days for the acquisition portion of the study. Probe test: For the 5th test (probe test), the escape tunnel was removed and mice were allowed to freely explore the maze for 3 minutes. The time spent in each quadrant was determined and the percent time spent in the target quadrant (the one originally containing the escape box) was compared with the average percent time in the other three quadrants. This is a direct test of spatial memory as there is no potential for local cues to be used in the mouse's behavioral decision.

Associative memory was examined by using contextual fear conditioning (Cowansage et al., 2014; Liu et al., 2012; Ramirez et al., 2013; Reijmers et al., 2007). Mice were allowed to explore the fear conditioning boxes (Context A, Med Associates SD) for 3 minutes and were then subjected to 4 bursts of foot shocks (0.55 mA, 1 minute inter-shock intervals). Memory tests consisted of one 3 minute exposure to the training box or irrelevant box (Context B). Freezing was determined in 0.75 second bouts and expressed as percent time in the context.

Conditional place preference (Cunningham et al., 2006) test involves pairing a distinct environmental context (floor type) with a motivationally significant event (cocaine injection). Rectangular Plexiglas black matte boxes (42 x 22 x 30 cm) divided by central partitions into two chambers of equal size (22 x 22 x 30 cm) were used. Distinctive tactile stimuli were provided in the two compartments of the apparatus. One chamber had no additional flooring and the other had lightly textured milky-colored flooring. During pre-conditioning and testing sessions, an aperture (4 x 4 cm) in the central partition allowed the animals to enter both sides of the apparatus. All testing occurred during the dark cycle under red light and was analyzed from video files using Noldus Ethovision software. The experiment consisted of three phases: pre-conditioning, conditioning and testing in the following sequence. Day 1: Pre-

conditioning phase with access to both compartments, Day 2-7: Conditioning phase - drug or saline administration followed by immediate confinement in one compartment of the place conditioning apparatus, and Day 8: Test with access to both compartments in the drug-free state. For the pre-conditioning phase, each animal was placed in one compartment of the apparatus and allowed to explore freely the entire apparatus for 30 min. The time spent in each of the two compartments was measured. Mice showing unbiased exploration of the 2 sides of the apparatus (between 45 and 55% time spent on each side) were randomly assigned a chamber in which to receive cocaine. Mice showing biased exploration of either side were given cocaine in the least preferred compartment. Bias was observed in half of the mice tested and this was spread equally across each genotype and sex and therefore did not confound the results. On the following 6 days, 30 min conditioning sessions were given in which animals were injected i.p. with either saline or 10 mg/kg cocaine and immediately confined to one side of the apparatus (alternating these treatments and sides each day). In this way, each mouse experienced 3 pairings of cocaine with one of the apparatus sides. On the day after the final conditioning trial, each mouse was allowed to explore the entire apparatus in a non-drugged state for 30 min and the time spent in each of the two compartments of the conditioned place preference apparatus was recorded. The percent time spent in cocaine side was compared with the pre-conditioning session to examine the development of preference.

QUANTIFICATIONS AND STATISTICAL ANALYSIS. Means and standard errors were calculated in Origin8. *p* values were determined with Student's *t*-test (for two groups) and ANOVA (for multiple groups). Quantifications of gene expression levels, dendrite morphologies, spine densities and shapes, synapse ultra-structures and all behavioral experiments were performed in a "blind" manner by investigators who were unaware of genotypes. Details, including sample sizes, can be found in figure legends.