

1 Title:

2 A 50-year series of mark-recapture data of large-sized brown trout (*Salmo trutta*) from Lake

3 Mjøsa, Norway

4

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18

19 **Abstract**

20 Individual-based mark-recapture data from animal population provide a wealth of
21 opportunities for studies, such as individual variation in vital rates (*e.g.* survival and
22 reproduction) the links between vital rates and population dynamics. However, maintaining
23 the collection of individual-based data over long time periods comes with large logistic
24 efforts and costs, and studies spanning over decades are therefore rare. Salmonid fishes are
25 of high ecological, cultural, and economical value, but many native wild populations remain
26 in decline. Conservation concerns are particularly great for migratory salmonids as local
27 adaptations and long life spans make them very vulnerable to environmental changes and
28 habitat modifications, *e.g.*, due to hydroelectric power production. This paper describes a
29 unique long-term mark-recapture data set from a land-locked population of large-sized,
30 piscivorous brown trout (*Salmo trutta*) in Norway: the Hunder trout, named after the main
31 water fall (Hunderfossen) in its spawning river. During the period 1966 to 2017, nearly
32 15,000 Hunder trout have been captured and individually marked during their spawning
33 migration from Lake Mjøsa to the river Gubrandsdalslågen. Fish were first captured and
34 marked while passing a fish ladder within the hydroelectric dam at the Hunderfossen
35 waterfall, and more than 4,000 were later recaptured there alive and/or reported as dead
36 elsewhere. In combination with related life-history and environmental data, these data can
37 be used to gain insights into a variety of questions regarding management and conservation
38 of migratory salmonid populations. In this data paper, we describe (1) a database containing
39 observations on captures and related life-history data obtained from scales (the SUSTAIN
40 trout database), and (2) a publicly available dataset extracted from this database for analysis
41 of survival (the SUSTAIN trout survival dataset).

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44

45 **Introduction**

46

47 Important processes in ecology and evolution of vertebrates happen over multiple years and
48 decades. Many areas of ecological and evolutionary research – including most of studies
49 with the goals of improving species management and conservation – rely on the availability
50 of data spanning long time periods. Long-term ecological data on animal populations may be
51 collected either at the population level (*e.g.* count or occupancy surveys) or by following
52 individuals with uniquely identifiable marks throughout their lives. Individual-based mark-
53 recapture data resulting from the latter provide a wealth of opportunities for studies that
54 are impossible with only population-level data, as they not only allow linking population
55 dynamics to vital rates (*e.g.* survival, reproduction) but also enable to study individual
56 differences in those vital rates (Clutton-Brock and Sheldon 2010). When mark-recapture
57 studies are run over long time periods and include large numbers of individuals, as well as
58 multiple cohorts and generations, study opportunities and investigable research questions
59 multiply (Clutton-Brock and Sheldon 2010). However, maintaining the collection of
60 individual-based data over long time periods comes with large logistic efforts and costs, and
61 studies spanning many years are thus rare.

62

63 Recent decline of freshwater species abundance is more severe than species declines on
64 land or in the ocean, according to the latest Living Planet report (WWF 2018): populations of
65 freshwater species have declined by more than 80% on average during 50 years , while
66 populations of land-dwelling- and oceanic species have fallen by less than 40%. Salmonid
67 fishes, which are top predators and keystone species in many large freshwater ecosystems,
68 are of high ecological, cultural, and economical value (Lobón-Cerviá and Sanz 2018). In spite
69 of extensive study, however, many native wild populations remain in decline (Muhlfeld et al.
70 2018). Conservation concerns are particularly great for migratory salmonids as local
71 adaptations and long life spans make them very vulnerable to environmental changes and
72 habitat modifications, *e.g.*, due to hydroelectric power production (Piccolo et al. 2012; Van
73 Leeuwen et al. 2018). Overexploitation from fishing, but also stocking with hatchery-reared
74 fish are important anthropogenic pressures threatening the (genetic) integrity of
75 populations (Laikre et al. ; Post 2013). More recently, climate change has emerged as an
76 additional threat to salmonid populations globally (Kovach et al. 2016). Unfortunately, lack

77 of knowledge and data on populations of migratory salmonids severely hamper the
78 effectiveness of management and conservation efforts.

79

80 This paper describes a unique long-term mark-recapture data set from a land-locked
81 population of large-sized, piscivorous brown trout (*Salmo trutta*) in Norway: the Hunder
82 trout, named after the main water fall (Hunderfossen) in its spawning river (Figure 1). During
83 the period 1966 to 2016, nearly 15,000 Hunder trout have been captured and individually
84 marked during their spawning migration from Lake Mjøsa to the river Gubrandsdalslågen
85 (Figure 2). Fish were first captured and marked while passing a fish ladder within the
86 hydroelectric dam at the Hunderfossen waterfall, and some were later recaptured there
87 alive and/or reported as dead elsewhere. The resulting mark-recapture-recovery data offer
88 opportunities for studies on, for example, adult survival (a key vital rate generally
89 understudied in salmonid research, (Drenner et al. 2012), fishing and natural mortality,
90 spawning biology, and the usage and effectivity of the fish ladder (Haugen et al. 2008; Nater
91 et al. (in prep.)). In combination with related life history and environmental data (also
92 described in this paper), these data can be used to gain insights into a variety of questions
93 regarding management and conservation of migratory salmonid populations. These include
94 – but are not limited to – consequences of recreational fishing, hydroelectric power
95 production, stocking programmes, and environmental disturbance (including habitat
96 alteration and climate change).

97

98 The Hunder trout population constituted a case study of the research project SUSTAIN
99 (harvested ecosystems in changing environments, see "Project details" below). The main
100 goals within this case study were to develop models of growth and survival, to quantify
101 effects of intrinsic and extrinsic factors on these demographic rates, and to project
102 population dynamics under varying environmental conditions and harvesting strategies. To
103 this end, data from several sources were compiled and processed in a project database
104 (referred to as the **SUSTAIN trout database** below). The complete SUSTAIN trout database
105 contains altogether 30,139 capture records from 14,703 individuals (1966-2016), as well as
106 individual growth and spawning information based on scales for more than 8000 of these
107 individuals (1966 – 2005, Aass et al. 2017).

108

109 A subset of this database was extracted for analysis of survival and cause-specific mortality
110 (Nater et al. (in prep.)). This subset, referred to as the **SUSTAIN trout survival dataset** below,
111 is focussed on fish captured in the trap during one or more spawning runs; the specific
112 criteria are described in more detail in the following. Within this paper, we describe this
113 static dataset, as well as the more comprehensive SUSTAIN trout database from which it is
114 extracted, following the recommendation of (Penev et al. 2017)). The SUSTAIN trout survival
115 dataset is published as a data package in Dryad [reference when available]. The SUSTAIN
116 trout database is currently not publicly available but holds many opportunities for future
117 research projects and collaborations.

118

119

120 **Study system**

121

122 **Lake Mjøsa and River Gudbrandsdalslågen**

123 Lake Mjøsa is a deep fjord lake (max. depth 453 m) situated in Southeast Norway (Figure 2).
124 The surface area is 365 km², mean depth is 153 m, and the residence time is 5.6 years. It has
125 a large catchment of 16,5 km² with approximately 200,000 inhabitants living close to the
126 lake. The southern parts of the catchment are dominated by pine forests, while the northern
127 parts lie within mountainous regions, and several glaciers in this region feed the main
128 tributary rivers with heavy silt load resulting from snow-melt during June-August. The water
129 flow from the main river, Gudbrandsdalslågen, as well as several smaller inlets, causes
130 reductions in transparency, temperature and algal growth in Lake Mjøsa during early
131 summer, especially in the northern parts of the lake (Holtan 1979).

132

133 In the past, eutrophication due to excessive nutrient loads from agriculture, industry and
134 households resulted in poor water quality and harmful algal blooms in Mjøsa in the 1970s-
135 1980s. This triggered the Lake Mjøsa Campaign, a large restoration effort which resulted in a
136 period of re-oligotrophication and the current good ecological status of the lake (Løvik et al.
137 2017). The campaign also marked the start of extensive monitoring of water quality and
138 plankton communities in Lake Mjøsa, the outcome of which are more than 40 years of
139 physico-chemical and biological time-series data (Løvik and Moe 2016). In addition to the
140 information on changes in water quality, these data have also revealed a trend of increasing

141 water temperature (Hobæk et al. 2012), as well as shorter ice coverage periods and more
142 frequent floods in recent years.

143

144 **The Hunder trout population**

145 A significant proportion of trout biomass caught in lake Mjøsa belongs to the Hunder trout
146 population (Kraabøl et al. 2009). The Hunder trout is known for its large body size, and its life
147 history and spawning biology have been thoroughly studied (Aass et al. 1989; Kraabøl 2006).
148 Although the population is land-locked, it is very similar to Atlantic salmon and sea trout in
149 terms of life cycle and appearance. After hatching, the young Hunder trout typically spend
150 their first three to five years as parr in the river before smolting and migrating downstream
151 to Lake Mjøsa (corresponding to the seaward migration of anadromous salmon and trout). In
152 the lake they typically prey on fish for two to four years before maturing and migrating
153 back to the river to spawn. At the time of their first spawning run, individuals are on average
154 7 years old, weigh 3.5 kg and measure 63 cm. Subsequently, the fish perform spawning runs
155 approximately every two years. The Hunder trout have a maximum life span of 15-20 years
156 and a potential maximum size of 15-20 kg and >100 cm. However, less than 10 % reach ages
157 above 10 years, and less than 1 % of the spawners reach weight above 10 kg. Historically,
158 most of the population is believed to have spawned upstream the waterfall Hunderfossen,
159 while some either chose or were forced to spawn downstream. The considerable strength
160 required for the migration and particularly for ascending the large waterfall have been
161 proposed as selection drivers for the large body sizes of this population, relative to that of
162 trout populations spawning in other rivers draining into Lake Mjøsa (Haugen et al. 2008).

163

164 **The hydropower dam and mitigation measures**

165 A hydropower dam was established at Hunderfossen between 1961 and 1964, and
166 essentially became a barrier for the migrating Hunder trout. To restore the connectivity of
167 the river, a fish ladder was established in 1966 (Aass 1990). The functionality of this fish
168 ladder and its success in allowing trout to pass the dam varies among seasons and depends
169 heavily on water temperature and -discharge, and on individual body size (Haugen et al.
170 2008; Jensen and Aass 1995).

171 The fish ladder only functions as an upriver passage, and the dam thus reduced survival
172 during the downriver migration for both smolt and post-spawners. The main factors

173 responsible are likely increased predation in the dammed area and mortality associated with
174 turbine passage and migration delays (Fjeldstad et al. 2018). The damming also led to a
175 decrease in the availability of productive fish habitats in the river both upstream and
176 downstream of the dam (Aass et al. 1989). Potential consequences of the hydropower
177 station have been evaluated by various studies, including recent telemetry studies (Kraabøl
178 2015; Kraabøl et al. 2013).

179

180 To abate the reduced natural production of trout in the regulated river, a compensatory
181 stocking programme was initiated in the mid-1960s (Aass et al. 1990). The programme
182 entails the release of between 10,000 and 30,000 smolts into the river and lake each year
183 and is still being continued today. Each year, eggs and sperm are collected from a fraction of
184 the wild-born spawners that ascended the fish ladder. These are incubated in a hatchery
185 next to the Hunderfossen dam, and the hatchling trout are reared until smolting (usually for
186 2 years) before being stocked into the population (with their adipose fins clipped to allow
187 recognition later). The fraction of stocked trout in the annual spawning stock (as recorded in
188 the Hunderfossen fish ladder) has varied over time, but exceeded 50% in some more recent
189 years (Kraabøl et al. 2009).

190

191 The establishment of the fish ladder within the Hunderfossen dam also brought new
192 opportunities for monitoring the spawning population. Concurrent with the opening of the
193 fish ladder in 1966, a mark-recapture program was initiated and was run continuously until it
194 was terminated in 2016. This monitoring program has resulted in several unique long-term
195 data series including the 50-year time-series of mark-recapture data presented in this paper.

196

197 **Data collection**

198 In the following, we describe the generation of mark-recapture data from several activities:
199 captures and marking of adult fish in the fish ladder, recaptures in the fish ladder, recaptures
200 by fishers, release of smolt from the stocking programme (years 1966 – 2017, Figures 4 & 5).
201 We also give an overview of additional data on body growth and spawning schedules
202 obtained from the scales of marked individuals. All data were originally collected, recorded,
203 and maintained by several individuals and institutions, as listed in the Acknowledgements
204 section.

205

206 Marking: All ascending trout were trapped in the fish ladder at the Hunderfossen
207 hydropower dam on their spawning run. Trapped fish were sexed based on secondary sex
208 characteristics and their origin (wild or stocked) determined based on whether the adipose
209 fin was intact (removed = stocked). After registration and sampling, the fish were
210 individually tagged with Carlin tags, consisting of a disc with information and a stainless steel
211 thread to attach to the fish (Figure 6). After tagging, the fish were released into the fish
212 ladder above the trap, allowing them to pass the dam and continue their migration to the
213 upriver spawning areas.

214

215 Recaptures:

216 Marked fish could be recaptured either in the fish ladder during later spawning runs, or by
217 fishers in the river or the lake. Alternatively, fish could also be found dead in other locations.
218 Fish that were recaptured in the ladder were measured and sampled in the same way as
219 during initial marking (except that they did not receive a new mark, but were instead
220 registered with their previously assigned identity number). When fish were caught by fishers
221 and reported, the amount of data collected varied. As a minimum, fishers would report the
222 tag number of the caught fish, the date of capture, and whether the fish was killed or
223 released. Additional information could include capture location, fishing gear, sex, origin,
224 length, and weight. Reporting the capture/harvest of a marked trout was voluntary, and no
225 monetary reward was given in exchange. As a likely consequence, fisher's rate of reporting
226 caught trout has declined over time from an initial 80% in 1967 to only 10% in 2016 (Nater et
227 al. 2019).

228

229 Smolt releases: All hatchery-reared trout stocked into the population were marked as such
230 by removal of the adipose fin prior to release into the river or lake. In some years during the
231 1980s and 1990s, a subset of the released smolt additionally received individual Carlin tags
232 at release (amounting to close to 50,000 tagged over time). The purpose of this smolt
233 tagging was to evaluate and optimize the stocking strategy, and some (but not all) of the
234 individual-level data on releases and recaptures of stocked smolt have been integrated into
235 the mark-recapture data set.

236

237 Analysis of scales: During the whole period 1965-2016, 4-6 scales were sampled from all fish
238 captured in the ladder. For a subset of approximately 8,000 individuals who climbed the fish
239 ladder between 1966 and 2005, the sampled scales have been analysed using
240 schlerochronological methods (Figure 7). These analyses have provided information on
241 development, somatic growth, migration, and spawning (Aass et al. 2017; Jensen and Aass
242 1995). Specifically, measurements of the year rings on the scales have been used to back-
243 calculate yearly growth, and to identify and analyse important life-history information such
244 as hatching year, age and size at smolting, growth in lake, age and size at sexual maturation,
245 and the number of spawning events (Aass et al. 2017; Haugen et al. 2008; Nater et al. 2018).
246

247 **The SUSTAIN trout database**

248

249 The **SUSTAIN trout database** is a compilation of all available data on individuals, captures
250 and scale-based information (growth and life history schedules) available for the Hunder
251 trout. The main purpose of this database was to organise data for analyses in the SUSTAIN
252 project, and the database has not been made publicly available. We nevertheless provide a
253 description of this database and its structure both to explain the context for the published
254 **SUSTAIN trout survival dataset**, and to give a perspective on its potential future uses in
255 combination with related data.

256

257 **Methods: Data compilation and processing**

258

259 **Data sources**

260 The database was compiled from four main data sources (Table 1).

261 (1) Individual mark list: table containing individual-level information on marking, sex, origin,
262 length, weight, release site etc. Obtained from the County Governors of Hedmark and
263 Oppland (2016).

264 (2) Mark series list: table containing ranges of mark numbers with common information on
265 release date, release site, origin (wild or stocked) and stage (smolt or spawner). Obtained
266 from the County Governors of Hedmark and Oppland (2016).

267 (3) Recaptures dataset: table containing all information related to recapture events
268 including date/year, location, equipment, length, weight, and other information.

269 Obtained from “Merkesentralen” at NINA (Norwegian Institute for Nature Research),
270 directly and via the County Governor of Oppland (2016).

271 (4) Growth dataset: table containing all information derived from scales (estimated growth,
272 smolting and spawning). Owned and provided by Per Aass and Atle Rustadbakken,
273 mainly digitized by the County Governor of Hedmark; described in Aass et al. 2017.

274

275 **Data structure**

276 The database is organised as 5 main tables (Figure 8) containing information on individual
277 fish (**t_Individual**), captures (**t_Capture**), growth in the river (**t_GrowthRiver**), growth in the
278 lake (**t_GrowthLake**), and spawning events in the river (**t_Spawning**). A description of the
279 main fields is given in Table 1, while more explanation to the table structure is given below.

280

281 **t_Individual** is a table summarising all individual-level information that does not change over
282 time. Within this table, each individual is defined by its mark number (**MarkNo**, see Figure
283 6), and this is associated with sex, origin (wild or stocked), and various information from the
284 initial marking event including date (only year in some cases), length, weight, whether the
285 individual was marked as a spawner or as smolt (**ReleaseStage**), etc. The total number of
286 captures per individual (**CaptureNoMax**) is derived from the table **t_Capture**.

287

288 The table **t_Capture** contains one or more captures for each individual, and each entry is
289 defined by a unique combination of **MarkNo** and **CaptureNo**. The marking event represents
290 the first capture of an individual and is given the value **CaptureNo** = 1, regardless of whether
291 the fish were marked as spawners or smolts. **t_Capture** contains all information related to
292 the specific captures, such as capture date, location, type, and equipment, as well as the
293 individual’s measured length and weight, and status before and after capture (dead or alive).
294 This table also contains life-history information derived from scales such as age and length at
295 smolting and maturation, the number of spawning migrations (stored in **t_GrowthRiver**,
296 **t_GrowthLake** and **t_Spawning**). Such information does, in principle, belong to the
297 individual level as it should not change throughout life. However, this information is derived
298 from scales, and the estimates from scales taken at different captures of the same individual
299 may. Therefore, this information has been stored at the capture level.

300

301 The complete information on growth derived from the scale analysis (Figure 7) is stored in
302 two tables representing the river phase of life (i.e. from birth to smolting; **t_GrowthRiver**)
303 and the lake phase of life (post-smolting and including later spawning runs; **t_GrowthLake**).
304 The reason for estimating lake growth separately is that scales are sometimes lost and
305 replaced by new scales, e.g. after the fish has migrated to the lake. The replacement scales
306 cannot always represent the complete river phase but can still be used to estimate the
307 growth in the lake phase. **t_GrowthRiver** contains estimated individual records of age and
308 length in each year from the year of smolting (clearly visible on the scale, Figure 7) back to
309 the first year (**AgeRiver** = 1). Likewise, **t_GrowthLake** contains individual records of age and
310 length in each year from the sampling (= capture) year back to the first year in the lake
311 (**AgeLake**= 1). The estimated lake age and river age at capture is stored in **t_Capture** as
312 **AgeLakeMax** and **AgeRiverMax**, respectively. The estimated **AgeAtSmolting** corresponds to
313 **AgeRiverMax** when this is available. (For fish marked as smolt rather than as spawners, the
314 **AgeAtSmolting** is known). In cases where information from the river phase is intact, the
315 **AgeAtCapture** is the sum of **AgeRiverMax** and **AgeLakeMax**.

316

317 All information on individual spawning schedules derived from scale analysis (Figure 7) are
318 stored in **t_Spawning**. This table contains the estimated year, age (or **AgeLake**) and length
319 for all spawning events of each individual. The **AgeAtMaturation** and **LengthAtMaturation**
320 field in **t_Capture** correspond to the estimated age and length at the first spawning event
321 here.

322

323 **Metadata information**

324 All data fields in the **SUSTAIN trout database** are described briefly in Table 1. In the next
325 section, we describe the subset of fields that are present in the published **SUSTAIN trout**
326 **survival dataset** in more detail.

327

328 **Summary of contents**

329 The complete **SUSTAIN trout database** contains and links mark-recapture data and
330 individual-level data on growth trajectories and spawning schedules of the Hunder trout
331 over 50 years.

332 The mark-recapture data contains altogether 43,249 capture records from all years 1966-
333 2017 (Table 3). 27,773 of these are individual marking events from the years 1966-2015,
334 representing either the first capture of mature trout in the ladder (14,624 individuals) or the
335 release of tagged smolt (13,149 individuals). The remaining capture records are recaptures
336 by different means (as defined by **CaptureType**): 2,190 recaptures in the trap (378 of which
337 resulted in death); 12,905 harvest reports (8,976 in the lake, 3796 in the river and 133 in
338 other or unknown locations); 105 reports of fish found dead; and 20 reports of catch-and-
339 release fishing. The individual-level data on growth trajectories contains 29,940 size-at-age
340 records for 5,115 individuals. The individual-level data on spawning schedules contains 7,189
341 spawning records of 5,007 individuals. All these individuals been captured in the
342 Hunderfossen fish ladder during the period 1966-2005 (Aass et al., 2017) and are also
343 contained in the mark-recapture data.

344

345 **Technical description**

346 **Object name:** SUSTAIN trout database

347 **Character encoding:** UTF-16

348 **Format name:** Microsoft Access Database

349 **Format version:** Microsoft® Access® 2016 MSO (16.0.9226.2114) 32-bit as part of Microsoft
350 Office 365 ProPlus

351 **Distribution:** The database is not published. Information on access to the data can be
352 obtained from the corresponding author.

353

354 **Coverage**

355 **Temporal coverage:** Capture records from all years 1966-2017.

356 **Spatial coverage:** The spatial extent of river and lake used by Hunder trout spans the
357 latitudes 60.40° - 61.22°N and 10.43° - 11.29°E (Fig. 1). The altitude ranges from 175 m
358 (upper end of river spawning areas) to 123 m (lake surface).

359 **Taxonomic coverage:** The data are from a single population of large-sized brown trout
360 (*Salmo trutta*) (Figure 1) inhabiting Lake Mjøsa and spawning in River Gudbrandsdalslågen.

361 **Taxonomic ranks:** Kingdom: Animalia; Phylum: Chordata; Class: Osteichthyes; Order:
362 Salmoniformes; Family: Salmonidae; Species: *Salmo trutta* (L., 1758).

363 **Common name:** brown trout, Hunder trout

364

365

366 **The SUSTAIN trout survival dataset**

367

368 **Methods: Extraction of dataset for publication of survival analysis**

369 The majority of the mark-recapture data from the **SUSTAIN trout database** has been
370 extracted and organised into a single flat table for analysing survival of mature adult trout
371 (Nater et al. in prep). This dataset conditions on the adult trout having passed the
372 Hunderfossen fish ladder on a spawning migration at least once and was extracted from the
373 database using the following criteria:

- 374 - Removal of 13,146 records with CaptureType = “smolt release” (See Table 3).
375 Subsequent recaptures in the fish ladder of fish marked as smolts (CaptureType = trap
376 alive”, 222 records) were kept in the dataset, and the fields CaptureNo and
377 CaptureNoMax were adjusted accordingly (minus 1).
- 378 - Exclusion of all records with Exclude = “yes” (due to different quality issues described in
379 Comment fields)

380

381 **Data structure and metadata information**

382 The SUSTAIN trout survival dataset is a flat table containing the columns described below (cf.
383 Table 1).

384

385 **MarkNo:** The alphanumeric code of an individual’s tag (Figure 6). All information on
386 individual fish is linked to the MarkNo.

387 **CaptureNo:** The running number of the captures of an individual ordered by capture date,
388 including the marking event as CaptureNo = 1.

389 **CaptureNoMax:** The total number of captures of an individual.

390 **MarkDate:** The exact date of the marking event, if this information is available.

391 **MarkYear:** The year of the marking event (a mandatory field).

392 **CaptureDate:** The exact date of the capture, if this information is available. For records with
393 missing CaptureDate, the database contains a dummy date (1. January) with the correct
394 year. The dummy data was necessary for the ordering of captures and assignment of
395 CaptureNo also for repeated captures of an individual within one year.

396 **CaptureYear:** The year of the capture.

397 **CaptureArea:** Area of the capture. All captures in the trap at the Hunderfossen dam
398 (including marking) are denoted as “river trap”. The reported locations of harvests (captures
399 by fishers) are aggregated into five areas (see Fig. 1): "lake" (between Minnesund and
400 Lillehammer), "river below" (between Lillehammer and Hunderfossen), "river above"
401 (between Hunderfossen and Harpefossen), “river” (north of Lillehammer, but unclear if
402 above or below Hunderfossen), and "far away" (elsewhere).

403 **CaptureType:** Classification of the type of capture events based on a combination of the
404 fields CaptureArea, StatusBeforeCapture and StatusAfterCapture (Table 2, Figures 4 and 5).
405 The distinguished capture types are defined as follows: “trap alive” = captured in the fish
406 ladder during a spawning run and released alive, “trap dead” = captured in the fish ladder
407 during a spawning run but died prior to/shortly after release (or found dead in the
408 immediate vicinity of the fish ladder), “harvest” = captured by a fisher and killed, “catch
409 release” = captured by a fisher and released alive (only started becoming more common
410 towards the end of the study period), “found dead” = found dead in any location other than
411 the fish ladder, and “smolt release” = release of hatchery fish marked as smolt. The capture
412 type “smolt release” is not technically a capture, but it was most convenient to store the
413 information related to these events as a capture.

414 **CaptureEquipment:** The gear involved in the capture summarised into five standardised
415 categories (based on more detailed reported information of equipment). For harvest
416 captures, the fishing gear has been categorised as either "net" or "rod"; for other capture
417 types, the possible values are "trap", "dead in trap", or "found dead".

418 **LengthAtCapture:** The measured length of the fish at the capture (unit mm; Figure 1). The
419 distribution of body sizes measured in the data over time are presented in Figure 9.

420 **WeightAtCapture:** The measured weight of the fish at the capture (unit g).

421 **Sex:** Individuals are categorised as female, male or NA. The representation of female vs.
422 male individuals in the dataset is visualized in Figure 10.

423 **Origin:** Individuals are categorised as wild, stocked or NA. Stocked individuals have been
424 reared in the hatchery near Hunderfossen, and are recognizable by their clipped adipose fin.
425 The representation of wild vs. stocked individuals in the dataset is visualized in Figure 3.

426 **Scale_Growth:** Information on whether data on somatic growth (inferred from scale
427 analysis) for this individual is available in the **SUSTAIN trout database** (“yes”/”no”).

428 **Scale_Spawning:** Information on whether data on spawning schedules (inferred from scale
429 analysis) for this individual is available in the **SUSTAIN trout database** (“yes”/”no”).

430 **SurvivalAnalysis:** Information on whether this capture event was included in the survival
431 analysis of Nater et al. (2019).

432

433 **Summary of contents**

434 The contains 19,489 capture records of 14,890 individuals from all years 1966-2016.

435 Captures of all types except “smolt release” are present: “trap alive” (16,726 records),
436 14,890 marking events (until 2015), 4,599 recaptures in the trap, and 2,306 harvests (Table
437 3).

438

439 The **SUSTAIN trout survival dataset** contains altogether 19,505 capture records from all
440 years 1966-2017 (Table 3). Of these, 14,890 are individual marking events of mature trout in
441 the ladder. The remaining 4,615 capture records are recaptures by different means (as
442 defined by **CaptureType**): 1,863 recaptures in the trap (372 of which resulted in death);
443 2,322 harvest reports (1,944 in the lake, 358 in the river, 20 in other or unknown locations);
444 76 reports of fish found dead; and 9 reports of catch-and-release fishing.

445

446 Further adaptation of this dataset to the survival analysis (Nater et al. (in prep.)) resulted in
447 the exclusion of capture histories for 2015 individuals. These are flagged in the column
448 “SurvivalAnalysis” in the published dataset. The 12,875 individual capture histories included
449 in that analysis contain 1,588 trap recaptures and 2,252 harvest events (Table 3).

450

451 **Technical description**

452 **Object name:** SUSTAIN trout survival dataset

453 **Character encoding:** UTF-16

454 **Format name:** comma-separated values (csv)

455 **Distribution:** *[link to Dryad when available]*

456 **Publication date:** 2019-xx-xx *[will be inserted when available]*

457 **Language:** English

458 **Licenses of use:** Data have been made available under the Creative Commons CCZero

459 **Waiver:** <http://creativecommons.org/publicdomain/zero/1.0/>. Thus, the

460 data can be freely used for non-commercial purposes provided the source is acknowledged
461 and this data paper is cited.

462 See Creative Commons for more details of the conditions of usage.

463

464 **Coverage**

465 The temporal, spatial and taxonomic coverage of the SUSTAIN trout survival dataset is
466 identical to that of the full SUSTAIN trout database, except that it excludes all smolt release
467 events, and all recaptures of individuals released as smolt which never entered the fish
468 ladder.

469

470 **Data published through Dryad:** [\[link when available\]](#)

471

472

473

474

475 **Project details**

476 **Project title:** Sustainable management of renewable resources in a changing environment:
477 an integrates approach across ecosystems (SUSTAIN). <https://www.sustain.uio.no/>

478 **Subject:** Work Package 1: Demographic structure in harvested ecosystems.

479 <https://www.sustain.uio.no/research/wp/work-package-1/>

480 **Case study:** Mjøsa lake (brown trout). [https://www.sustain.uio.no/research/case-](https://www.sustain.uio.no/research/case-studies/case_study_6/index.html)
481 [studies/case_study_6/index.html](https://www.sustain.uio.no/research/case-studies/case_study_6/index.html)

482 **Funding:** Research Council of Norway, contract no. 244647/E10

483

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502

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582

Table 1. Description of the main fields of the SUSTAIN trout database (see Figure 8). The column "Published" indicates which fields are published in the SUSTAIN trout survival dataset.

Table name	Field name	Data type	Values (examples)	Comment	Main data source	Published
t_Individual	MarkNo	Text		Unique code of individual's tag. Key field.	Individual mark list	yes
t_Individual	MarkDate	Date/Time		Date of marking (if available)	Individual mark list	yes
t_Individual	MarkYear	Number (integer)		Year of marking (mandatory)	Individual mark list	yes
t_Individual	LengthAtMarking	Number (double)		Measured length at marking (mm)	Individual mark list	no
t_Individual	WeightAtMarking	Number (double)		Measured weight at marking (g)	Individual mark list	no
t_Individual	MarkType	Text	Carlin, Floy	Type of mark	Individual mark list	no
t_Individual	Sex	Text	female, male	Individual's sex	Individual mark list	yes
t_Individual	Origin	Text	wild, stocked	Individual's origin	Individual mark list; Mark series list	yes
t_Individual	ReleaseStage	Text	smolt, spawner	Life-history stage at the time of mark and release	Individual mark list; Mark series list	no
t_Individual	ReleaseSite	Text		Location of release after marking	Individual mark list; Mark series list	no
t_Individual	ReleaseSeason	Text	fall, spring	Only for smolt releases: season of release	Individual mark list; Mark series list	no
t_Individual	CaptureNoMax	Number (integer)		The total number of captures per individual (from t_Capture)	Recaptures dataset	yes
t_Capture	MarkNo	Text		(Described above)	Recaptures dataset	no
t_Capture	CaptureNo	Number (integer)		Capture number (running number)	Recaptures dataset	yes
t_Capture	CaptureDate	Date/Time		Date of capture (if available)	Recaptures dataset	yes
t_Capture	CaptureYear	Number (integer)		Year of capture (mandatory)	Recaptures dataset	yes
t_Capture	LengthAtCapture	Number (double)		Measured length at capture (mm)	Recaptures dataset	yes
t_Capture	WeightAtCapture	Number (double)		Measured weight at capture (g)	Recaptures dataset	yes

t_Capture	AgeAtCapture	Number (integer)		Estimated age at capture (from scales)	Growth dataset	no
t_Capture	AgeMaxRiver	Number (integer)		Estimated maximum age in the river phase (before smolting, from scales)	Growth dataset	no
t_Capture	AgeMaxLake	Number (integer)		Estimated number of years since smolting, at the time of capture (from scales)	Growth dataset	no
t_Capture	AgeAtSmolting	Number (integer)		Estimated age at smolting (= AgeMaxRiver, from scales), alternatively from marking lists (for smolt releases)	Growth dataset; Individual mark list	no
t_Capture	LengthAtSmolting	Number (double)		Estimated length at smolting (median, from scales)	Growth dataset	no
t_Capture	AgeAtMaturation	Number (integer)		Estimated age at the first spawning event (from scales)	Growth dataset	no
t_Capture	LengthAtMaturation	Number (double)		Estimated length at the first spawning event (from scales)	Growth dataset	no
t_Capture	NoOfMigrations	Number (integer)		Estimated number of spawning events (from scales)	Growth dataset	no
t_Capture	HatchYear	Number (integer)		Estimated year of hatching (age = 0, from scales)	Growth dataset	no
t_Capture	CaptureLocation	Text		Detailed information on capture location (if available)	Recaptures dataset	no
t_Capture	CaptureArea	Text	river trap, river above, river below,	Standardised values derived from more detailed CaptureLocation	Recaptures dataset	yes

			river, lake, far away			
t_Capture	CaptureEquipment	Text	net, rod, trap, dead in trap, found dead	Standardised values derived from more detailed information	Recaptures dataset	yes
t_Capture	StatusBeforeCapture	Text	alive, dead	Standardised values derived from more detailed information	Recaptures dataset	no
t_Capture	StatusAfterCapture	Text	alive, dead	Standardised values derived from more detailed information	Recaptures dataset	no
t_Capture	CaptureType	Text	See Table 3	See Table 3	Recaptures dataset	yes
t_GrowthLake	AgeLake	Number (integer)		Estimated number of years since smolting	Growth dataset	no
t_GrowthRiver	AgeRiver	Number (integer)		Estimated number of years since smolting	Growth dataset	no
t_GrowthRiver	LengthRiver	Number (double)		Estimated length at the given AgeRiver	Growth dataset	no
t_GrowthLake	LengthLake	Number (double)		Estimated length at the given AgeLake	Growth dataset	no
t_Spawning	SpawningNo	Number (integer)		Spawning event number	Growth dataset	no
t_Spawning	SpawningYear	Number (integer)		Estimated year of the spawning event (derived from CaptureYear)	Growth dataset	no
t_Spawning	LengthAtSpawning	Number (double)		Estimated length at the given SpawningNo (mm)	Growth dataset	no
t_Spawning	AgeLakeAtSpawning	Number (integer)		Estimated AgeLake at the given SpawningNo	Growth dataset	no
t_Spawning	AgeAtSpawning	Number (integer)		Estimated total age at the given SpawningNo	Growth dataset	no
t_Spawning	SpawningNoMax	Number (integer)		Estimated total number of spawning events	Growth dataset	no

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584

585 **Table 2.** Definition of the field CaptureType.

586

Capture Area	StatusBefore Capture	StatusAfter Capture	Other criteria	Capture Type
trap	alive	alive	ReleaseStage = smolt AND CaptureNo = 1	smolt release
trap	alive	alive	ReleaseStage ≠ smolt OR CaptureNo ≠ 1	trap alive
trap	alive	dead		trap dead
river or lake	alive	alive		catch release
river or lake	alive	dead		harvest
river or lake	dead	dead		found dead

587

588

Table 3. Overview of contents in the SUSTAIN trout database and the SUSTAIN trout survival dataset. Note: for individuals marked as smolt, the marking event is identical to CaptureType = “smolt release”.

SUSTAIN data object	Stage at marking	No. of marked individuals (CaptureNo = 1)	No. of recaptured individuals (CaptureNo > 1)	No. of recaptures per type (CaptureNo > 1)					Total no. of recaptures (all types)	Total no. of captures (including marking event)
				trap alive	trap dead	harvest	catch release	found dead		
trout database	spawner	14 624	4 046	1 820	370	2 243	9	76	4 518	19 142
	smolt	13 149	10 861	238	8	10 662	21	29	10 958	24 107
trout survival dataset	spawner	14 891	4 141	1 836	372	2 322	9	76	4 615	19 506
trout survival dataset: selection for analysis	spawner	12 876	3 604	1 459	128	2 252	-	-	3 839	16 715



Figure 1. Example of Hunder trout with a body length of approximately 80 cm. Photo: Atle Rustadbakken.



Figure 2. Map of Lake Mjøsa and the river Gudbrandsdalslågen, where the Hunder brown trout occurs. The fish ladder and the hatchery are located at the Hunderfossen dam. Sources: The Norwegian Mapping Authority (<https://www.kartverket.no>) and ESRI (<https://www.esri.com>). After Aass et al. (2017).

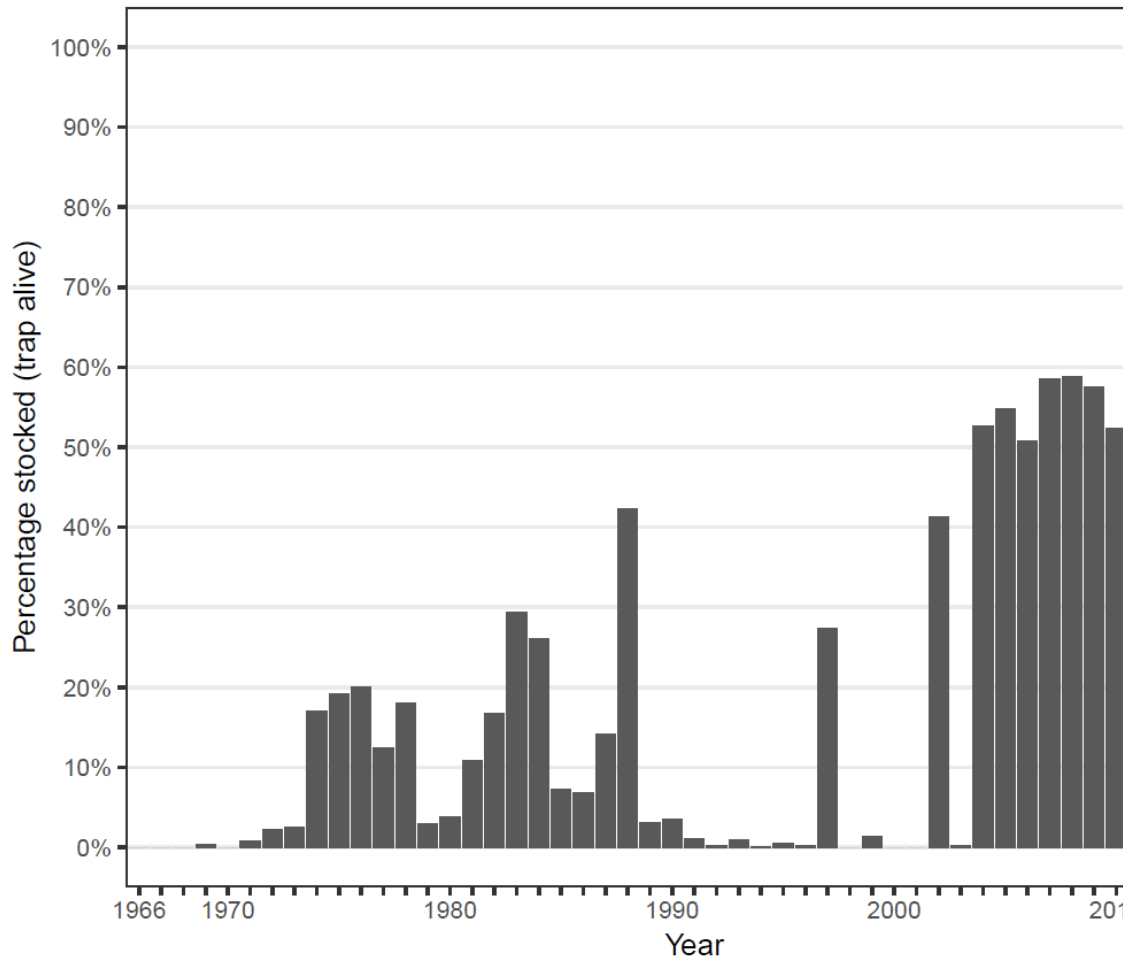


Figure 3. Percentage of stocked (as opposed to wild-born) individuals captured alive in the fish ladder trap over time (capture type = “trap alive”). The count is based on captures contained in the SUSTAIN trout survival dataset only.

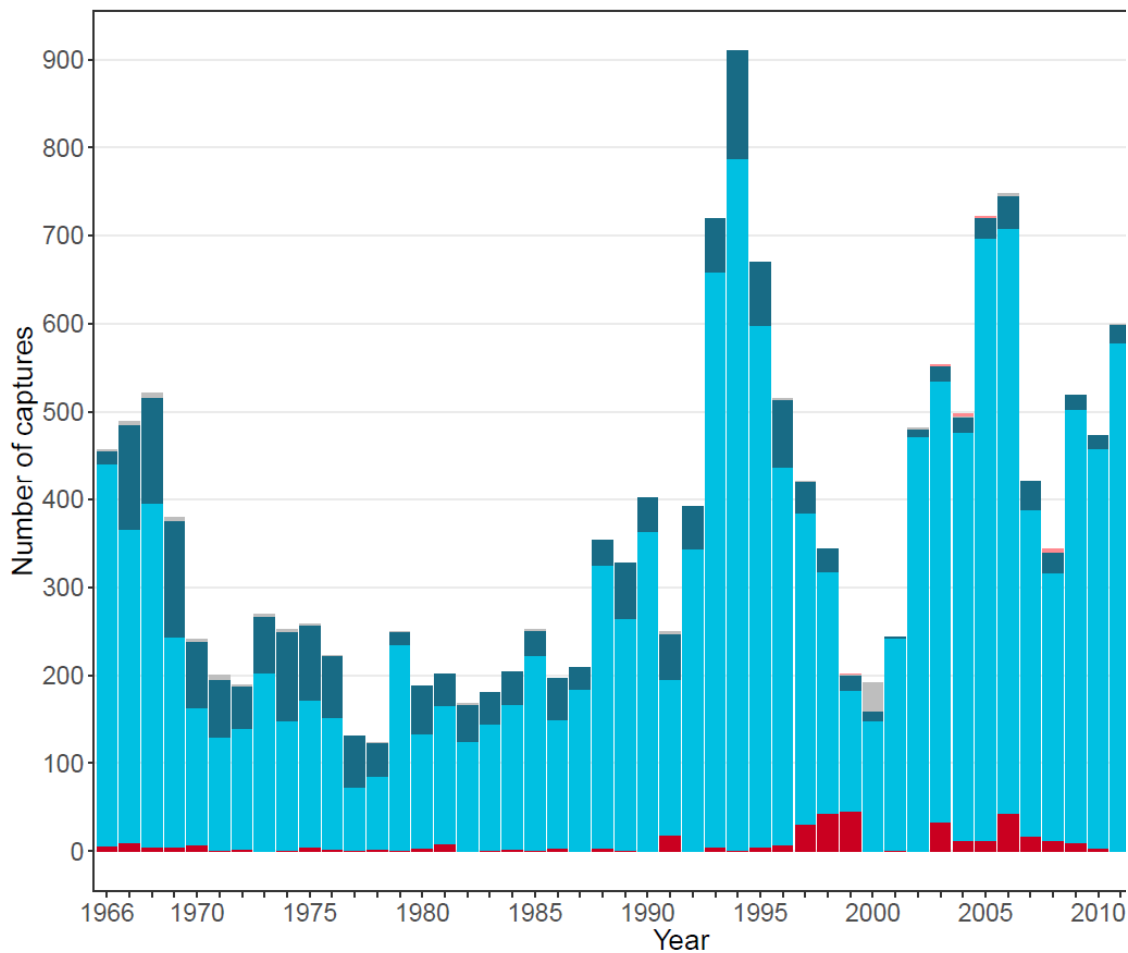


Figure 4. Count of trout captures per year in the SUSTAIN trout survival dataset, categorised by capture type. The capture types "trap dead" and "trap alive " are found in the fish ladder trap; "harvest" are captured alive elsewhere by fishers and killed; "catch release" are captured elsewhere by fishers and released alive, "found dead" are found dead anywhere else than the trap.

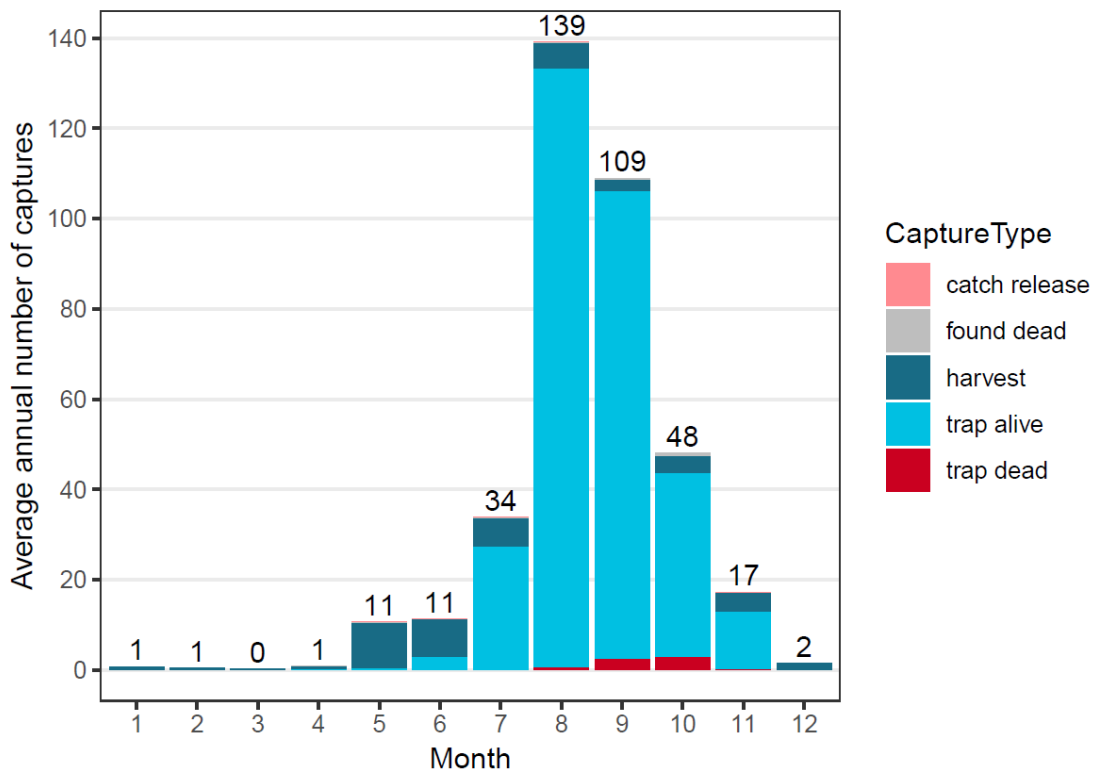


Figure 5. Average number of trout captures per month for the period 1966-2016, categorised by capture type. Digits above bars represent average numbers of all captures (all capture types combined) per month. For more details, see Fig. 5.

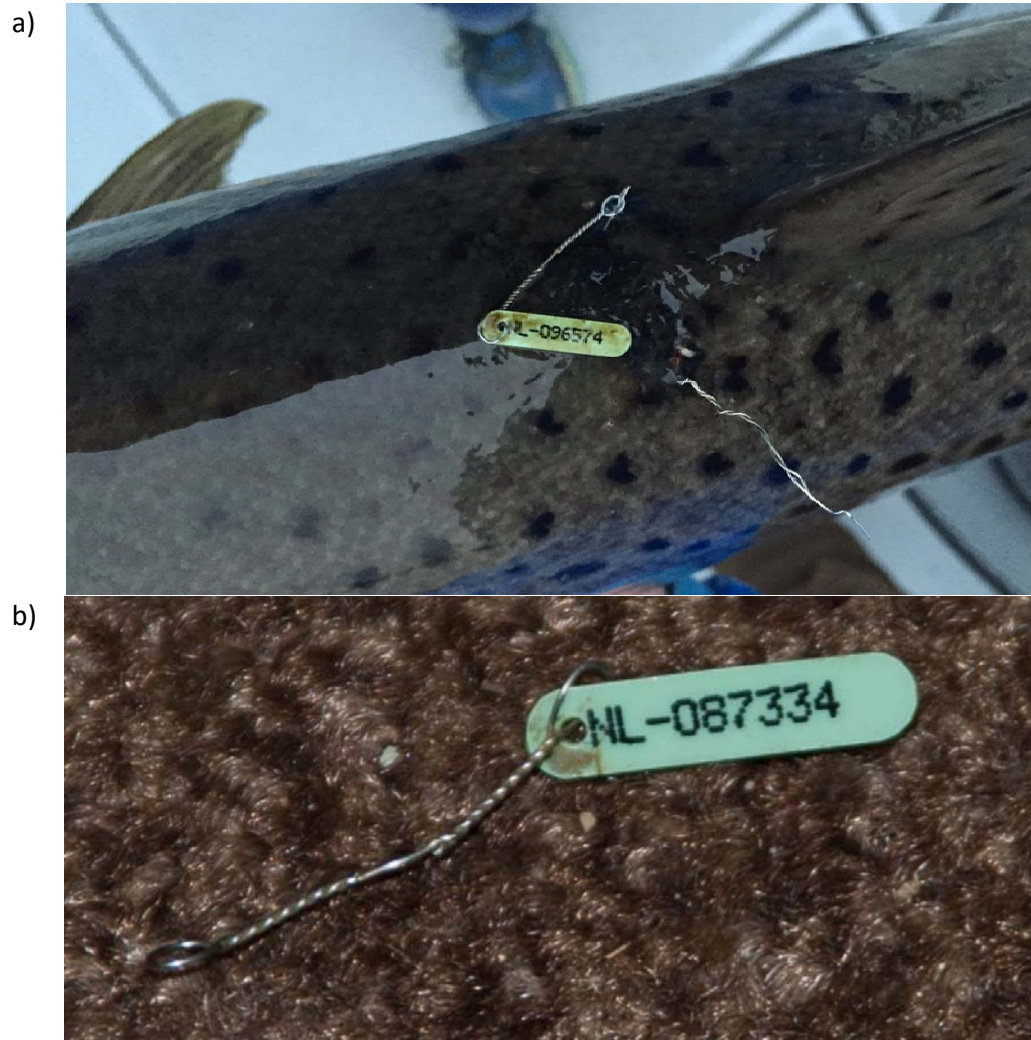


Figure 6. (a) Example of fish tagged with a Carlin tag. (b) Detail of a Carlin tag with individual code.

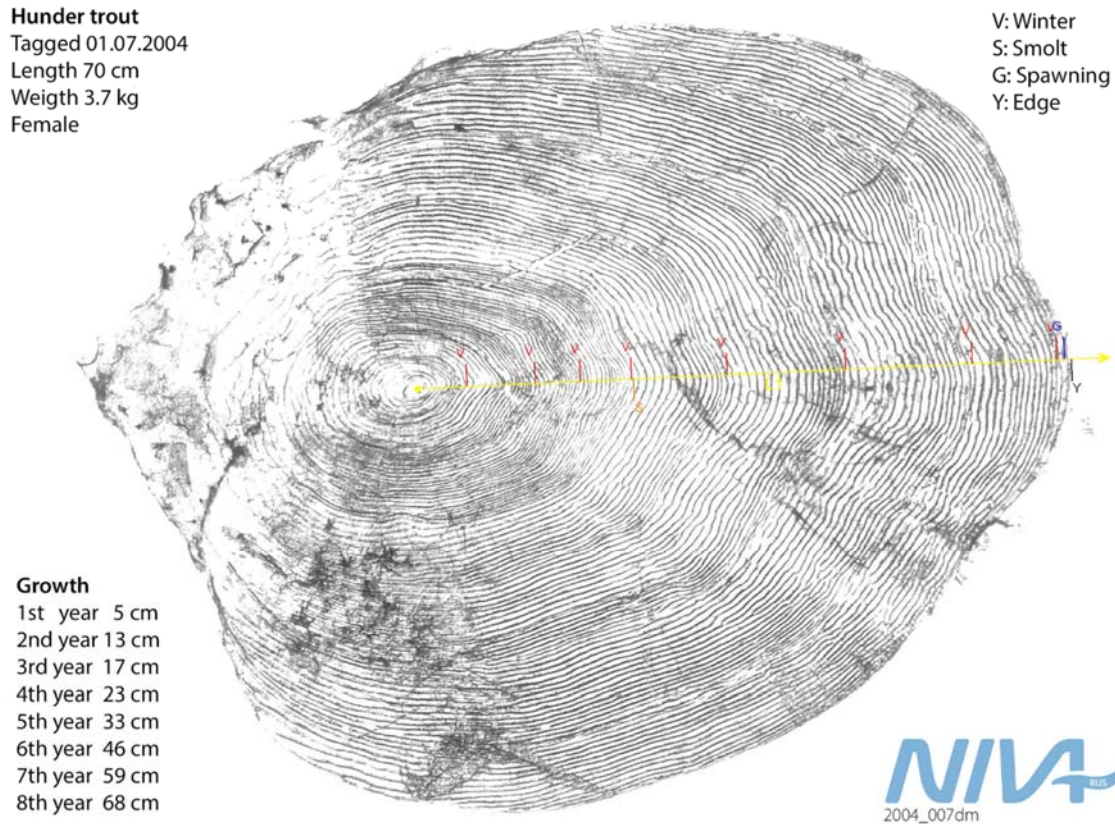


Figure 7. Illustration of a fish scale and interpretation of growth and spawning events. The yellow line represents the time line from hatching (centre) to the current age (the edge). Red lines indicate winters (marked "V"), where the growth is slower (denser growth curves). Smoltification ("S") after the 4th winter is indicated by subsequent rapid growth. Spawning ("G") is followed by very slow growth.

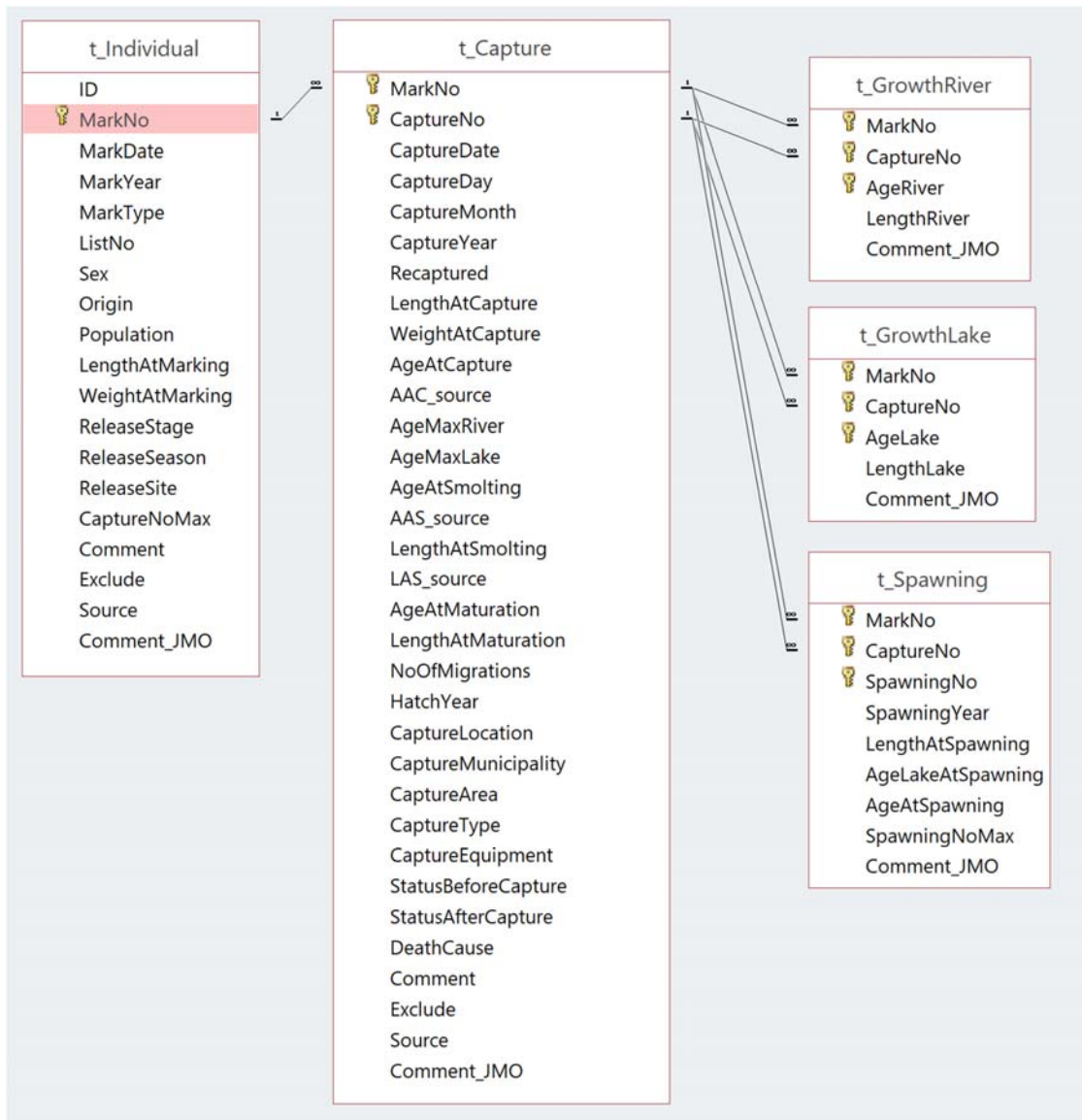


Figure 8. Illustration of the data model for the relational SUSTAIN trout database. The connecting lines illustrate one-to-many relationship from t_Individual to t_Capture, and from t_Capture to the three tables t_GrowthRiver, t_GrowthLake and t_Spawning. The key symbol illustrates the single or composite key field(s) identifying the unique records of each table.

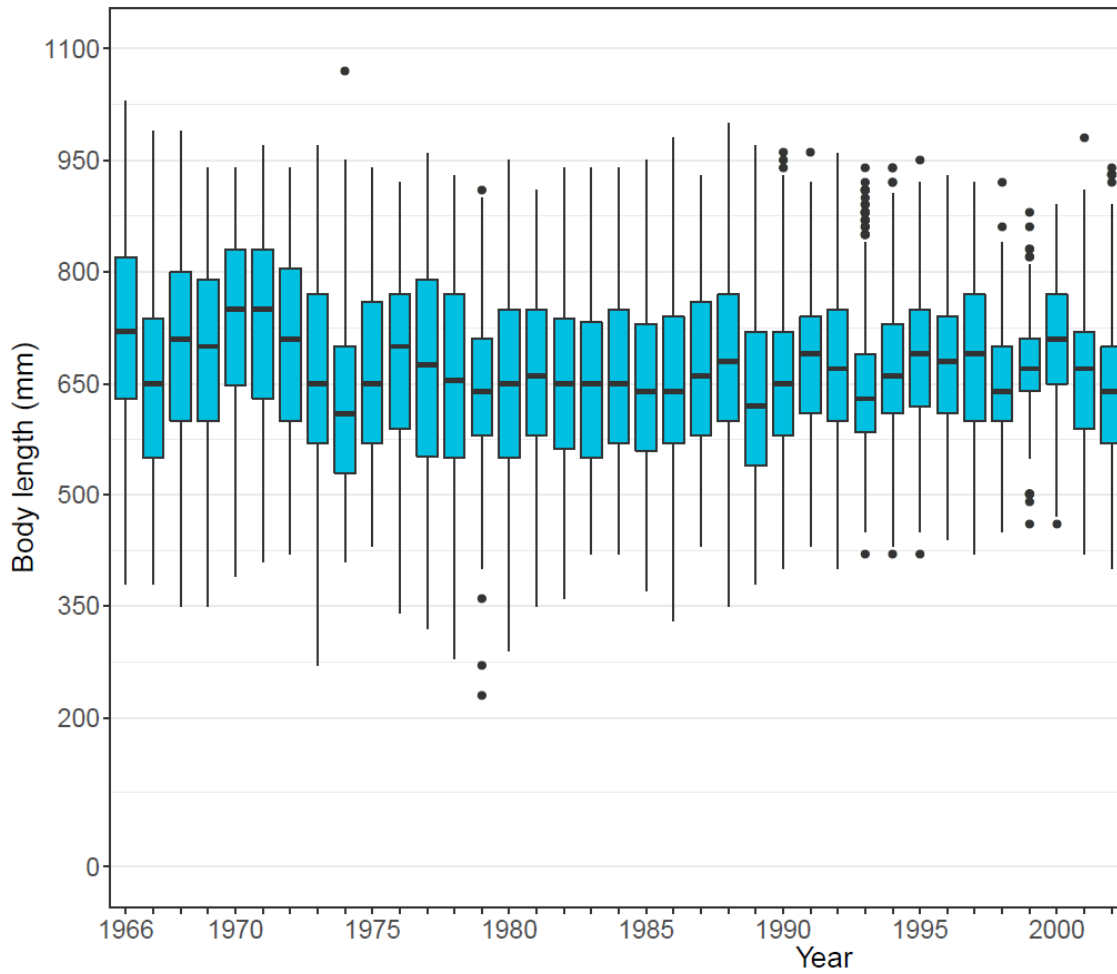


Figure 9. Distributions of individual body lengths per year in the SUSTAIN trout survival dataset. The box plot shows the median (horizontal bar), 25% and 75% quantiles (range of the box), largest and smallest values within 1.5 times the interquartile range (whiskers = vertical lines) and outliers (dots beyond whiskers). Note the decreasing trend in variation over the years (shorter vertical bars towards the end of the time series).

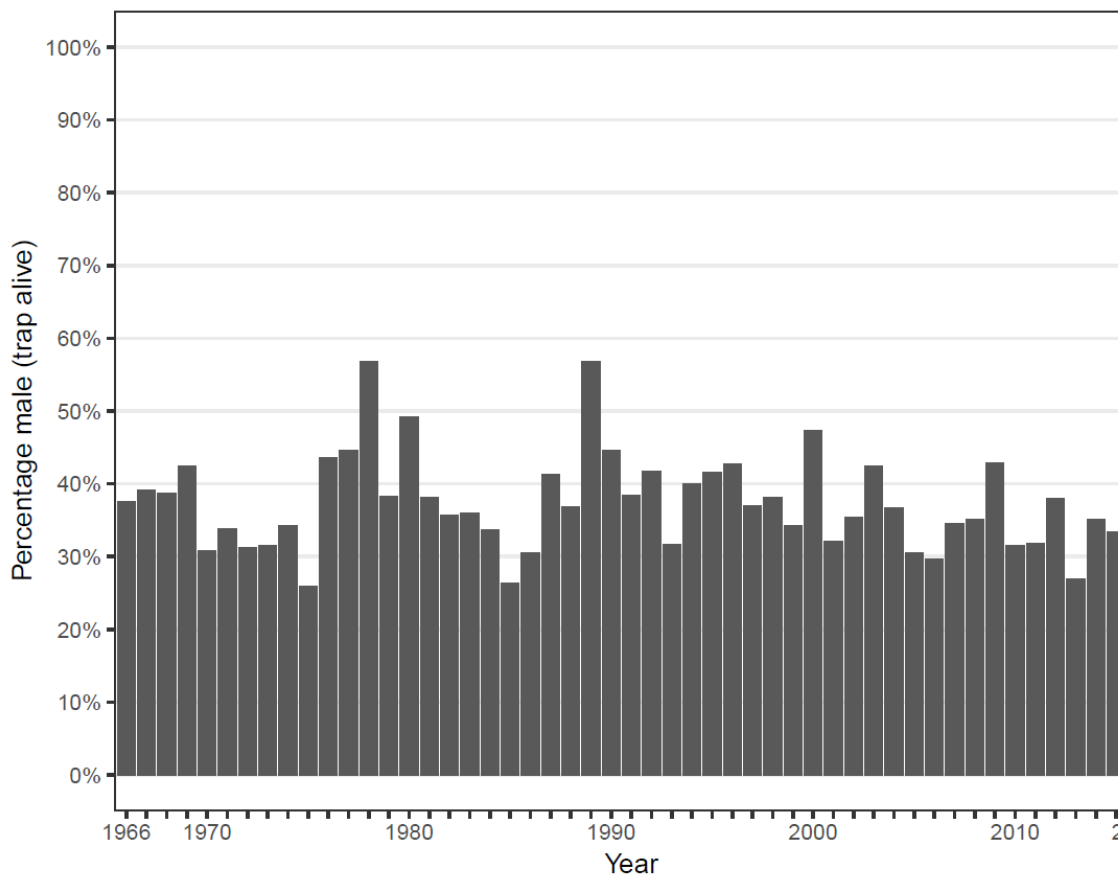


Figure 10. Percentage of male (relative to female) individuals captured alive in the fish ladder trap over time (capture type = “trap alive”). The count is based on captures contained in the SUSTAIN trout survival dataset only.