

1 **Extensive loss of cell cycle and DNA repair genes in an ancient lineage of bipolar budding**
2 **yeasts**

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4 Jacob L. Steenwyk¹, Dana A. Opulente², Jacek Kominek², Xing-Xing Shen¹, Xiaofan Zhou³,
5 Abigail L. Labella¹, Noah P. Bradley¹, Brandt F. Eichman¹, Neža Čadež⁵, Diego Libkind⁶,
6 Jeremy DeVirgilio⁷, Amanda Beth Hulfachor⁴, Cletus P. Kurtzman⁷, Chris Todd Hittinger^{2*}, and
7 Antonis Rokas^{1*}

8
9 1. Department of Biological Sciences, Vanderbilt University, Nashville, TN 37235, USA

10 2. Laboratory of Genetics, Genome Center of Wisconsin, DOE Great Lakes Bioenergy Research
11 Center, Wisconsin Energy Institute, J. F. Crow Institute for the Study of Evolution, University of
12 Wisconsin–Madison, Wisconsin 53706, USA

13 3. Guangdong Province Key Laboratory of Microbial Signals and Disease Control, Integrative
14 Microbiology Research Centre, South China Agricultural University, 510642 Guangzhou, China

15 4. Laboratory of Genetics, Genome Center of Wisconsin, Wisconsin Energy Institute, J.F. Crow
16 Institute for the Study of Evolution, University of Wisconsin-Madison, Madison, WI 53706,
17 USA

18 5. University of Ljubljana, Biotechnical Faculty, Department of Food Science and Technology,
19 Jamnikarjeva 101, 1000 Ljubljana, Slovenia

20 6. Laboratorio de Microbiología Aplicada, Biotecnología y Bioinformática, Instituto Andino
21 Patagónico de Tecnologías Biológicas y Geoambientales (IPATEC), Universidad Nacional del
22 Comahue - CONICET, San Carlos de Bariloche, 8400, Río Negro, Argentina

23 7. Mycotoxin Prevention and Applied Microbiology Research Unit, National Center for
24 Agricultural Utilization Research, Agricultural Research Service, US Department of Agriculture,
25 Peoria, Illinois 61604, USA

26

27 *Correspondence: cthittinger@wisc.edu and antonis.rokas@vanderbilt.edu

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30

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33 **Abstract**

34 Cell cycle checkpoints and DNA repair processes protect organisms from potentially lethal
35 mutational damage. Compared to other budding yeasts in the subphylum Saccharomycotina, we
36 noticed that a lineage in the genus *Hanseniaspora* exhibited very high evolutionary rates, low
37 GC content, small genome sizes, and lower gene numbers. To better understand *Hanseniaspora*
38 evolution, we analyzed 25 genomes, including 11 newly sequenced, representing 18 / 21 known
39 species in the genus. Our phylogenomic analyses identify two *Hanseniaspora* lineages, the fast-
40 evolving lineage (FEL), which began diversifying ~87 million years ago (mya), and the slow-
41 evolving lineage (SEL), which began diversifying ~54 mya. Remarkably, both lineages lost
42 genes associated with the cell cycle and genome integrity, but these losses were greater in the
43 FEL. For example, all species lost the cell cycle regulator *WHI5*, and the FEL lost components of
44 the spindle checkpoint pathway (e.g., *MAD1*, *MAD2*) and DNA damage checkpoint pathway
45 (e.g., *MEC3*, *RAD9*). Similarly, both lineages lost genes involved in DNA repair pathways,
46 including the DNA glycosylase gene *MAG1*, which is part of the base excision repair pathway,
47 and the DNA photolyase gene *PHR1*, which is involved in pyrimidine dimer repair. Strikingly,
48 the FEL lost 33 additional genes, including polymerases (i.e., *POLA* and *POL32*) and telomere-
49 associated genes (e.g., *RIF1*, *RFA3*, *CDC13*, *PBP2*). Echoing these losses, molecular
50 evolutionary analyses reveal that, compared to the SEL, the FEL stem lineage underwent a burst
51 of accelerated evolution, which resulted in greater mutational loads, homopolymer instabilities,
52 and higher fractions of mutations associated with the common endogenously damaged base, 8-
53 oxoguanine. We conclude that *Hanseniaspora* is an ancient lineage that has diversified and
54 thrived, despite lacking many otherwise highly conserved cell cycle and genome integrity genes
55 and pathways, and may represent a novel system for studying cellular life without them.

56 **Introduction**

57 Genome maintenance is largely attributed to the fidelity of cell cycle checkpoints, DNA repair
58 pathways, and their interaction [1]. Dysregulation of these processes often leads to the loss of
59 genomic integrity [2] and hypermutation, or the acceleration of mutation rates [3]. For example,
60 improper control of cell cycle and DNA repair processes can lead to 10- to 100-fold increases in
61 mutation rate [4]. Furthermore, deletions of single genes can have profound effects on genome
62 stability. For example, the deletion of *MEC3*, which is involved in sensing DNA damage in the
63 G1 and G2/M cell cycle phases, can lead to a 54-fold increase in the gross chromosomal
64 rearrangement rate [5]. Similarly, nonsense mutations in mismatch repair proteins account for the
65 emergence of hypermutator strains in the yeast pathogens *Cryptococcus deuterogattii* [6] and
66 *Cryptococcus neoformans* [7,8]. Due to their importance in ensuring genomic integrity, most
67 genome maintenance-associated processes are thought to be evolutionarily ancient and broadly
68 conserved [9].

69
70 One such ancient and highly conserved process in eukaryotes is the cell cycle [10,11]. Landmark
71 features of cell cycle control include cell size control, the mitotic spindle checkpoint, the DNA
72 damage response checkpoint, and DNA replication [9]. Cell size is controlled, in part, through
73 the activity of *WHI5*, which represses the G1/S transition by inhibiting G1/S transcription [12].
74 Similarly, when kinetochores are improperly attached or are not attached to microtubules, the
75 mitotic spindle checkpoint helps to prevent activation of the anaphase-promoting complex
76 (APC), which controls the G1/S and G2/M transitions [9,13]. Additional key regulators in this
77 process are Mad1 and Mad2, which dimerize at unattached kinetochores and delay anaphase.
78 Failure of Mad1:Mad2 recruitment to unattached kinetochores results in failed checkpoint

79 activity [14]. Importantly, many regulators, including but not limited to those mentioned here,
80 are highly similar in structure and function between fungi and animals and are thought to have a
81 shared ancestry [10]. Interestingly, cell cycle initiation in certain fungi (including
82 *Hanseniaspora*) is achieved through SBF, a transcription factor that is functionally equivalent
83 but evolutionarily unrelated to E2F, the transcription factor that that initiates the cycle in
84 animals, plants, and certain early-diverging fungal lineages [11]. SBF is postulated to have been
85 acquired via a viral infection, suggesting that evolutionary changes in this otherwise highly
86 conserved process can and do rarely occur [11,15].
87
88 DNA damage checkpoints can arrest the cell cycle and influence the activation of DNA repair
89 pathways, the recruitment of DNA repair proteins to damaged sites, and the composition and
90 length of telomeres [16]. For example, *MEC3* and *RAD9*, function as checkpoint genes required
91 for arrest in the G2 phase after DNA damage has occurred [17]. Additionally, the deletions of
92 DNA damage and checkpoint genes have been known to cause hypermutator phenotypes in the
93 baker's yeast *Saccharomyces cerevisiae* [18]. Similarly, hypermutator phenotypes are associated
94 with loss-of-function mutations in DNA polymerase genes [19]. For example, deletion of the
95 DNA polymerase δ subunit gene, *POL32*, which participates in multiple DNA repair processes,
96 causes an increased mutational load and hypermutation in *S. cerevisiae*, in part, through the
97 increase of genomic deletions and small indels [18,20]. Likewise, the deletion of *MAG1*, a gene
98 encoding a DNA glycosylase that removes damaged bases via the multi-step base excision repair
99 pathway, can cause a 2,500-fold increased sensitivity to the DNA alkylating agent methyl
100 methanesulfonate [21].
101

102 In contrast to genes in multi-step DNA repair pathways, other DNA repair genes function
103 individually or are parts of simpler regulatory processes. For example, *PHR1*, a gene that
104 encodes a photolyase, is activated in response to and repairs pyrimidine dimers, one of the most
105 frequent types of lesions caused by damaging UV light [22,23]. Other DNA repair genes do not
106 interact with DNA but function to prevent the misincorporation of damaged bases. For example,
107 *PCDI* encodes a 8-oxo-dGTP diphosphatase [24], which suppresses $G \rightarrow T$ or $C \rightarrow A$
108 transversions by removing 8-oxo-dGTP, thereby preventing the incorporation of the base 8-oxo-
109 dG, one of the most abundant endogenous forms of an oxidatively damaged base [24–26].
110 Collectively, these studies demonstrate that the loss of DNA repair genes can lead to
111 hypermutation and increased sensitivity to DNA damaging agents.

112
113 Hypermutation phenotypes are generally short-lived because most mutations are deleterious and
114 are generally adaptive only in highly stressful or rapidly fluctuating environments [27]. For
115 example, in *Pseudomonas aeruginosa* infections of cystic fibrosis patients [28] and mouse gut-
116 colonizing *Escherichia coli* [29], hypermutation is thought to facilitate adaptation to the host
117 environment and the evolution of drug resistance. Similarly, in the fungal pathogens *C.*
118 *deuterogattii* [6] and *C. neoformans* [7,8], hypermutation is thought to contribute to within-host
119 adaptation, which may involve modulating traits such as drug resistance [6]. However, as
120 adaptation to a new environment nears completion, hypermutator alleles are expected to decrease
121 in frequency due to the accumulation of deleterious mutations that result as a consequence of the
122 high mutation rate [30,31]. In agreement with this prediction, half of experimentally evolved
123 hypermutating lines of *S. cerevisiae* had reduced mutation rates after a few thousand generations
124 [32], suggesting hypermutation is a short-lived phenotype and that compensatory mutations can

125 restore or lower the mutation rate. Additionally, this experiment also provided insights to how
126 strains may cope with hypermutation; for example, all *S. cerevisiae* hypermutating lines
127 increased their ploidy to presumably reduce the impact of higher mutation rates [32]. Altogether,
128 hypermutation can produce short-term advantages but causes long-term disadvantages, which
129 may explain its repeated but short-term occurrence in clinical environments [29] and its
130 sparseness in natural ones. While these theoretical and experimental studies have provided
131 seminal insights to the evolution of mutation rate and hypermutation, we still lack understanding
132 of the long-term, macroevolutionary effects of increased mutation rates.

133
134 Recently, multiple genome-scale phylogenies of species in the budding yeast subphylum
135 Saccharomycotina showed that certain species in the bipolar budding yeast genus *Hanseniaspora*
136 are characterized by very long branches [33–35], which are reminiscent of the very long
137 branches of fungal hypermutator strains [6–8]. Most of what is known about these cosmopolitan
138 apiculate yeasts relates to their high abundance on mature fruits and in fermented beverages [36],
139 especially on grapes and in wine must [37,38]. As a result, *Hanseniaspora* plays a significant
140 role in the early stages of fermentation and can modify wine color and flavor through the
141 production of enzymes and aroma compounds [39]. Surprisingly, even with the use of *S.*
142 *cerevisiae* starter cultures, *Hanseniaspora* species, particularly *Hanseniaspora uvarum*, can
143 achieve very high cell densities, in certain cases comprising greater than 80% of the total yeast
144 population, during early stages of fermentation [40], suggesting exceptional growth capabilities
145 in this environment.

146

147 To gain insight into the long branches and the observed fast growth of *Hanseniaspora*, we
148 sequenced and extensively characterized gene content and patterns of evolution in 25 genomes,
149 including 11 newly sequenced for this study, from 18 / 21 known species in the genus. Our
150 analyses delineated two lineages, the fast-evolving lineage (FEL), which has a strong signature
151 of acceleration in evolutionary rate at its stem branch, and the slow-evolving lineage (SEL),
152 which has a weaker signature of evolutionary rate acceleration at its stem branch. Relaxed
153 molecular clock analyses estimate that the FEL and SEL split ~95 million years ago (mya). The
154 degree of evolutionary rate acceleration is commensurate with the preponderance of loss of
155 genes associated with metabolic, cell cycle, and DNA repair processes. Specifically, compared to
156 *S. cerevisiae*, there are 748 genes that were lost from two-thirds of *Hanseniaspora* genomes with
157 FEL yeasts having lost an additional 661 genes and SEL yeasts having lost only an additional 23.
158 Both lineages have lost major cell cycle regulators, including *WHI5* and components of the APC,
159 while FEL species additionally lost numerous genes associated with the spindle checkpoint (e.g.,
160 *MAD1* and *MAD2*) and DNA damage checkpoint (e.g., *MEC3* and *RAD9*). Similar patterns are
161 observed among DNA repair-related genes; *Hanseniaspora* species have lost 14 genes, while the
162 FEL yeasts have lost an additional 33 genes. For example, both lineages have lost *MAG1* and
163 *PHR1*, while the FEL has lost additional genes including polymerases (i.e., *POL32* and *POL4*)
164 and multiple telomere-associated genes (e.g., *RIF1*, *RFA3*, *CDC13*, *PBP2*). Compared to the
165 SEL, analyses of substitution patterns in the FEL show higher levels of sequence substitutions,
166 greater instability of homopolymers, and a greater mutational signature associated with the
167 commonly damaged base, 8-oxo-dG [26]. Furthermore, we find that the transition to transversion
168 (or transition / transversion) ratios of the FEL and the SEL are both very close to the ratio
169 expected if transitions and transversions occur neutrally. These results are consistent with the

170 hypothesis that species in the FEL represent a novel example of diversification and long-term
171 evolutionary survival of a hypermutator lineage, which highlights the potential of *Hanseniaspora*
172 for understanding the long-term effects of hypermutation on genome function and evolution.

173

174 **Results**

175 **An exceptionally high evolutionary rate in the FEL stem branch**

176 Concatenation and coalescence analyses of a data matrix of 1,034 single-copy OGs (522,832
177 sites; 100% taxon-occupancy) yielded a robust phylogeny of the genus *Hanseniaspora* (Fig 1A,
178 Fig S2, Fig S3). Consistent with previous analyses [34,35,41], our phylogeny revealed the
179 presence of two major lineages, each of which was characterized by long stem branches; we
180 hereafter refer to the lineage with a longer stem branch as the fast-evolving lineage (FEL) and to
181 the other as the slow-evolving lineage (SEL). Relaxed molecular clock analysis suggests that the
182 FEL and SEL split 95.34 (95% credible interval (CI): 117.38 – 75.36) mya, with the origin of
183 their crown groups estimated at 87.16 (95% CI: 112.75 – 61.38) and 53.59 (95% CI: 80.21 –
184 33.17) mya, respectively (Fig 1A, Fig S4, File S2).

185

186 The FEL stem branch is much longer than the SEL stem branch in the *Hanseniaspora* phylogeny
187 (Fig 1) (see also phylogenies in: Shen et al., 2016, 2018). To determine whether this difference in
188 branch length was a property of some or all single-gene phylogenies, we compared the difference
189 in length of the FEL and SEL stem branches among all single-gene trees where each lineage was
190 recovered monophyletic ($n = 946$). We found that the FEL stem branch was nearly four times
191 longer (0.62 ± 0.38 substitutions / site) than the SEL stem branch (0.17 ± 0.11 substitutions /
192 site) (Fig 1B; $p < 0.001$; Paired Wilcoxon Rank Sum test). Furthermore, of the 946 gene trees

193 examined, 932 had a much longer FEL stem branch ($0.46 \pm 0.33 \Delta$ substitutions / site), whereas
194 only 14 had a slightly longer SEL stem branch ($0.06 \pm 0.05 \Delta$ substitutions / site).

195

196 **The genomes of FEL species have lost substantial numbers of genes**

197 Examination of GC content, genome size, and gene number revealed that the some of the lowest
198 GC content values, as well as the smallest genomes and lowest gene numbers, across the
199 subphylum Saccharomycotina are primarily observed in FEL yeasts (Fig S1). Specifically, the
200 average GC contents for FEL yeasts ($33.10 \pm 3.53\%$), SEL yeasts ($37.28 \pm 2.05\%$), and all other
201 Saccharomycotina yeasts ($40.77 \pm 5.58\%$) are significantly different ($\chi^2(2) = 30.00, p < 0.001$;
202 Kruskal-Wallis rank sum test). Further examination revealed only the FEL was significantly
203 different from other Saccharomycotina yeasts ($p < 0.001$; Dunn's test for multiple comparisons
204 with Benjamini-Hochberg multi-test correction). For genome size and gene number, FEL yeast
205 genomes have average sizes of 9.71 ± 1.32 Mb and contain $4,707.89 \pm 633.56$ genes,
206 respectively, while SEL yeast genomes have average sizes of 10.99 ± 1.66 Mb and contain
207 $4,932.43 \pm 289.71$ genes. In contrast, all other Saccharomycotina have average genome sizes and
208 gene numbers of 13.01 ± 3.20 Mb and $5,726.10 \pm 1,042.60$, respectively. Statistically significant
209 differences were observed between the FEL, SEL, and all other Saccharomycotina (genome size:
210 $\chi^2(2) = 33.47, p < 0.001$ and gene number: $\chi^2(2) = 31.52, p < 0.001$; Kruskal-Wallis rank sum
211 test for both). Further examination revealed the only significant difference for genome size was
212 between FEL and other Saccharomycotina yeasts ($p < 0.001$; Dunn's test for multiple
213 comparisons with Benjamini-Hochberg multi-test correction), while both the FEL and SEL had
214 smaller gene sets compared to other Saccharomycotina yeasts ($p < 0.001$ and $p = 0.008$,
215 respectively; Dunn's test for multiple comparisons with Benjamini-Hochberg multi-test

216 correction). The lower numbers of genes in the FEL (especially) and SEL lineages were also
217 supported by gene content completeness analyses using orthologous sets of genes constructed
218 from sets of genomes representing multiple taxonomic levels across eukaryotes (Fig S5) from the
219 ORTHODB database [43].

220

221 To further examine which genes have been lost in the genomes of FEL and SEL species relative
222 to other representative Saccharomycotina genomes, we conducted HMM-based sequence
223 similarity searches using annotated *S. cerevisiae* genes as queries in HMM construction (see
224 *Methods*) (Fig S6). Because we were most interested in identifying genes absent from the FEL
225 and SEL, we focused our analyses on genes lost in at least two-thirds of each lineage (i.e., ≥ 11
226 FEL taxa or ≥ 5 SEL taxa). Using this criterion, we found that 1,409 and 771 genes have been
227 lost in the FEL and SEL, respectively (Fig 2A). Among the genes lost in each lineage, 748 genes
228 were lost across both lineages, 661 genes have been uniquely lost in the FEL, and 23 genes have
229 been uniquely lost in the SEL (File S3).

230

231 To identify the likely functions of genes lost from each lineage, we conducted GO enrichment
232 analyses. Examination of significantly over-represented GO terms for the sets of genes that have
233 been lost in *Hanseniaspora* genomes revealed numerous categories related to metabolism (e.g.,
234 MALTOSE METABOLIC PROCESS, GO:0000023, $p = 0.006$; SUCROSE ALPHA-GLUCOSIDASE
235 ACTIVITY, GO:0004575, $p = 0.003$) and genome-maintenance processes (e.g., MEIOTIC CELL
236 CYCLE, GO:0051321, $p < 0.001$) (File S4). Additional terms, such as CELL CYCLE, GO:0007049
237 ($p < 0.001$), CHROMOSOME SEGREGATION, GO:0007059 ($p < 0.001$), CHROMOSOME
238 ORGANIZATION, GO:0051276 ($p = 0.009$), and DNA-DIRECTED DNA POLYMERASE ACTIVITY,

239 GO:0003887 ($p < 0.001$), were significantly over-represented among genes absent only in the
240 FEL. Next, we examined in more detail the identities and likely functional consequences of
241 extensive gene losses across *Hanseniaspora* associated with metabolism, cell cycle, and DNA
242 repair.

243
244 *Metabolism-associated gene losses.* Examination of the genes causing over-
245 representation of metabolism-associated GO terms revealed gene losses in the *IMA* gene family
246 and the *MAL* loci, both of which are associated with growth primarily on maltose but can also
247 facilitate growth on sucrose, raffinose, and melezitose [44,45]. All *IMA* genes have been lost in
248 *Hanseniaspora*, whereas *MALx3*, which encodes the *MAL*-activator protein [46] has been lost in
249 all but one species (*Hanseniaspora jakobsenii*; Fig 2B). Consistent with these losses,
250 *Hanseniaspora* species cannot grow on the carbon substrates associated with these genes (i.e.,
251 maltose, raffinose, and melezitose) with the exception of *H. jakobsenii*, which has weak/delayed
252 growth on maltose (Fig 2B; File S5). The growth of *H. jakobsenii* on maltose may be due to a
253 cryptic α -glucosidase gene or represent a false positive, as *MALx2* encodes the required enzyme
254 for growth on maltose and is absent in *H. jakobsenii*. Because these genes are also associated
255 with growth on sucrose in some species [44], we also examined their ability to grow on this
256 substrate. In addition to the *MAL* loci conferring growth on sucrose, the invertase *Suc2* can also
257 break down sucrose into glucose and fructose [47]. We found that FEL yeasts have lost *SUC2*
258 and are unable to grow on sucrose, while SEL yeasts have *SUC2* and are able to grow on this
259 substrate (Fig 2B; File S5). Altogether, patterns of gene loss are consistent with known metabolic
260 traits.

261

262 Examination of gene sets associated with growth on other carbon substrates revealed that
263 *Hanseniaspora* species also cannot grow on galactose, consistent with the loss of one or more of
264 the three genes involved in galactose assimilation (*GAL1*, *GAL7*, and *GAL10*) from their
265 genomes (Fig 2C; File S5). Additionally, all *Hanseniaspora* genomes appear to have lost two
266 key genes, *PCK1* and *FBP1*, encoding enzymes in the gluconeogenesis pathway (Fig S7A and
267 S7C); in contrast, all *Hanseniaspora* have an intact glycolysis pathway (Fig S7B and S7D).

268
269 Manual examination of other metabolic pathways revealed that *Hanseniaspora* genomes are also
270 missing some of their key genes. For example, we found that THIAMINE BIOSYNTHETIC PROCESS,
271 GO:0009228 ($p = 0.003$), was an over-represented GO term among genes missing in both the
272 FEL and SEL due to the absence of *THI* and *SNO* family genes. Further examination of genes
273 present in the thiamine biosynthesis pathway revealed extensive gene loss (Fig 2D), which is
274 consistent with their inability to grow on vitamin-free media [45] (File S5). Notably,
275 *Hanseniaspora* are still predicted to be able to import extracellular thiamine via Thi73 and
276 convert it to its active cofactor via Thi80, which may explain why they can rapidly consume
277 thiamine [39]. Similarly, examination of amino acid biosynthesis pathways revealed the
278 methionine salvage pathway was also largely disrupted by gene losses across all *Hanseniaspora*
279 (Fig 2E). Lastly, we found that *GDH1* and *GDH3* from the glutamate biosynthesis pathway from
280 ammonium are missing in FEL yeasts (File S3). However, *Hanseniaspora* have *GLT1*, which
281 enables glutamate biosynthesis from glutamine.

282
283 *Cell cycle and genome integrity-associated gene losses.* Many genes involved in cell
284 cycle and genome integrity, including cell cycle checkpoint genes, have been lost across

285 *Hanseniaspora* (Fig 3). For example, *WHI5* and *DSE2*, which are responsible for repressing the
286 Start (i.e., an event that determines cells have reached a critical size before beginning division)
287 [48] and help facilitate daughter-mother cell separation through cell wall degradation [49], have
288 been lost in both lineages. Additionally, the FEL has lost the entirety of the DASH complex (i.e.,
289 *ASK1*, *DAD1*, *DAD2*, *DAD3*, *DAD4*, *DUO1*, *DAMI*, *HSK3*, *SPC19*, and *SPC34*), which forms
290 part of the kinetochore and functions in spindle attachment and stability, as well as chromosome
291 segregation, and the MIND complex (i.e., *MTW1*, *NNF1*, *NSL1*, and *DSN1*), which is required
292 for kinetochore bi-orientation and accurate chromosome segregation (File S3 and S4). Similarly,
293 FEL species have lost *MAD1* and *MAD2*, which are associated with spindle checkpoint processes
294 and have abolished checkpoint activity when their encoded proteins are unable to dimerize [14].
295 Lastly, components of the anaphase-promoting complex, a major multi-subunit regulator of the
296 cell cycle, are lost in both lineages (i.e., *CDC26* and *MND2*) or just the FEL (i.e., *APC2*, *APC4*,
297 *APC5*, and *SWM1*).

298
299 Another group of genes that have been lost in *Hanseniaspora* are genes associated with the DNA
300 damage checkpoint and DNA damage sensing. For example, both lineages have lost *RFX1*,
301 which controls a late point in the DNA damage checkpoint pathway [50], whereas the FEL has
302 lost *MEC3* and *RAD9*, which encode checkpoint proteins required for arrest in the G2 phase after
303 DNA damage has occurred [17]. Since losses in DNA damage checkpoints and dysregulation of
304 spindle checkpoint processes are associated with genomic instability, we next evaluated the
305 ploidy of *Hanseniaspora* genomes [51]. Using base frequency plots, we found that the ploidy of
306 genomes of FEL species ranges between 1 and 3, with evidence suggesting that certain species,
307 such as *H. singularis*, *H. pseudoguilliermondii*, and *H. jakobsenii*, are potentially aneuploid (Fig

308 S8). In contrast, the genomes of SEL species have ploidies of 1-2 with evidence of potential
309 aneuploidy observed only in *H. occidentalis* var. *citrica*. Greater variance in ploidy and
310 aneuploidy in the FEL compared to the SEL may be due to the FEL's loss of a greater number of
311 components of the anaphase-promoting complex (APC), whose dysregulation is thought to
312 increase instances of aneuploidy [52].

313

314 *Pronounced losses of DNA repair genes in the FEL.* Examination of other GO-enriched
315 terms revealed numerous genes associated with diverse DNA repair processes that have been lost
316 among *Hanseniaspora* species, and especially the FEL (Fig 4). We noted 14 lost DNA repair
317 genes across all *Hanseniaspora*, including the DNA glycosylase gene *MAG1* [53], the photolyase
318 gene *PHR1* that exclusively repairs pyrimidine dimers [23], and the diphosphatase gene *PCDI*, a
319 key contributor to the purging of mutagenic nucleotides, such as 8-oxo-dGTP, from the cell [24].
320 An additional 33 genes were lost specifically in the FEL such as *TDPI*, which repairs damage
321 caused by topoisomerase activity [54]; the DNA polymerase gene *POL32* that participates in
322 base-excision and nucleotide-excision repair and whose null mutants have increased genomic
323 deletions [20]; and the *CDC13* gene that encodes a telomere-capping protein [55].

324

325 **FEL gene losses are associated with accelerated sequence evolution**

326 *Loss of DNA repair genes is associated with a burst of sequence evolution.* To examine
327 the mutational signatures of losing numerous DNA repair genes on *Hanseniaspora* substitution
328 rates, we tested several different hypotheses that postulated changes in the ratio of the rate of
329 nonsynonymous (dN) to the rate of synonymous substitutions (dS) (dN/dS or ω) along the
330 phylogeny (Table 1; Fig 5). For each hypothesis tested, the null was that the ω value remained

331 constant across all branches of the phylogeny. Examination of the hypothesis that the ω values of
332 both the FEL and SEL stem branches were distinct from the background ω value ($H_{FE-SE \text{ branch}}$;
333 Fig 5B), revealed that 678 genes (68.55% of examined genes) significantly rejected the null
334 hypothesis (Table 1; $\alpha = 0.01$; LRT; median FEL stem branch $\omega = 0.57$, median SEL stem
335 branch $\omega = 0.29$, and median background $\omega = 0.060$). Examination of the hypothesis that the ω
336 value of the FEL stem branch and the ω value of the FEL crown branches were distinct from the
337 background ω value (H_{FE} ; Fig 5C) revealed 743 individual genes (75.13% of examined genes)
338 that significantly rejected the null hypothesis (Table 1; $\alpha = 0.01$; LRT; median FEL stem branch
339 $\omega = 0.71$, median FEL crown branches $\omega = 0.06$, median background $\omega = 0.063$). Testing the
340 same hypothesis for the SEL (H_{SE} ; Fig 5D) revealed 528 individual genes (53.7% of examined
341 genes) that significantly rejected the null hypothesis (Table 1; $\alpha = 0.01$; LRT; median SEL stem
342 branch $\omega = 0.267$, median SEL crown branches $\omega = 0.074$, median background $\omega = 0.059$).
343 Finally, testing of the hypothesis that the FEL and SEL crown branches have ω values distinct
344 from each other and the background ($H_{FE-SE \text{ crown}}$; Fig 5E) revealed 717 genes (72.5% of
345 examined genes) that significantly rejected the null hypothesis (Table 1; $\alpha = 0.01$; LRT; median
346 FEL crown branches $\omega = 0.062$, median SEL crown branches $\omega = 0.074$, median background $\omega =$
347 0.010). These results suggest a dramatic, genome-wide increase in evolutionary rate in the FEL
348 stem branch (Fig 5B and 5C), which coincided with the loss of a large number of genes involved
349 in DNA repair.

350

351 *The FEL has a greater number of base substitutions and indels.* To better understand
352 the mutational landscape in the FEL and SEL, we characterized patterns of base substitutions
353 across the 1,034 OGs. Focusing on first ($n = 240,565$), second ($n = 318,987$), and third ($n =$

354 58,151) codon positions that had the same character state in all outgroup taxa, we first examined
355 how many of these sites had experienced base substitutions in FEL and SEL species (Fig 6A).
356 We found significant differences between the proportions of base substitutions in the FEL and
357 SEL ($F(1) = 196.88, p < 0.001$; Multi-factor ANOVA) at each codon position (first: $p < 0.001$;
358 second: $p < 0.001$; and third: $p = 0.02$; Tukey Honest Significance Differences post-hoc test).
359
360 Examination of whether the observed base substitutions were AT- (i.e., G|C \rightarrow A|T) or GC- (i.e.,
361 A|T \rightarrow G|C) biased revealed differences between the FEL and SEL ($F(1) = 447.1, p < 0.001$;
362 Multi-factor ANOVA), as well as between AT- and GC-bias ($F(1) = 914.5, p < 0.001$; Multi-
363 factor ANOVA) among sites with G|C ($n = 232,546$) and A|T ($n = 385,157$) pairs (Fig 6B).
364 Specifically, we observed significantly more base substitutions in the FEL compared to the SEL
365 and a significant bias toward A|T across both lineages ($p < 0.001$ for both tests; Tukey Honest
366 Significance Differences post-hoc test). Examination of transition / transversion ratios revealed a
367 lower transition / transversion ratio in the FEL (0.67 ± 0.02) compared to the SEL (0.76 ± 0.01)
368 (Fig 6C; $p < 0.001$; Wilcoxon Rank Sum test); this finding is in contrast to the transition /
369 transversion ratios found in most known organisms, whose values are substantially above 1.00
370 [56–59]. Altogether, these analyses reveal more base substitutions in the FEL and SEL across all
371 codon positions and a significant AT-bias in base substitutions across all *Hanseniaspora*.
372
373 Examination of indels revealed that the total number of insertions or deletions was significantly
374 greater in the FEL ($\text{mean}_{\text{insertions}} = 7521.11 \pm 405.34$; $\text{mean}_{\text{deletions}} = 3894.11 \pm 208.16$) compared
375 to the SEL ($\text{mean}_{\text{insertions}} = 6049.571 \pm 155.85$; $\text{mean}_{\text{deletions}} = 2346.71 \pm 326.22$) (Fig 6D; $p <$
376 0.001 for both tests; Wilcoxon Rank Sum test). The difference in number of indels between the

377 FEL and SEL remained significant after taking into account indel size ($F(1) = 2102.87, p <$
378 0.001 ; Multi-factor ANOVA). Further analyses revealed there are significantly more insertions
379 in the FEL compared to the SEL for insertion sizes 3-18 bp ($p < 0.001$ for all comparisons
380 between each lineage for each insertion size; Tukey Honest Significance Differences post-hoc
381 test), while there were significantly more deletions in the FEL compared to the SEL for deletion
382 sizes 3-21 bp ($p < 0.001$ for all comparisons between each lineage for each deletion size; Tukey
383 Honest Significance Differences post-hoc test). These analyses suggest that there are
384 significantly more indels in the FEL compared to the SEL and that this pattern is primarily
385 driven by short indels.

386

387 **Greater sequence instability in the FEL and signatures of endogenous and exogenous DNA** 388 **damage**

389 *The FEL has greater instability of homopolymers.* Examination of the total proportion
390 of mutated bases among homopolymers (i.e., (substituted bases + deleted bases + inserted bases)
391 / total homopolymer bases) revealed significant differences between the FEL and SEL (Fig 6G;
392 $F(1) = 27.68, p < 0.001$; Multi-factor ANOVA). Although the FEL had a higher proportion of
393 mutations among homopolymers across all sizes of two ($n = 17,391$), three ($n = 1,062$), four ($n =$
394 104), and five ($n = 5$), significant differences were observed for homopolymers of length two and
395 three ($p = 0.02$ and $p = 0.003$, respectively; Tukey Honest Significance Differences post-hoc). To
396 gain more insight into the drivers differentiating mutational load in homopolymers, we
397 considered the additional factors of homopolymer sequence type (i.e., A|T or C|G) and mutation
398 type (i.e., base substitution, insertion, or deletion) (Fig S9). In addition to recapitulating
399 differences between the types of mutations that occur at homopolymers ($F(2) = 1686.70, p <$

400 0.001; Multi-factor ANOVA), we observed that base substitutions occurred more frequently than
401 insertions and deletions ($p < 0.001$ for both tests; Tukey Honest Significance Differences post-
402 hoc test). For example, among A|T and C|G homopolymers of length two and C|G
403 homopolymers of length three, base substitutions were higher in the FEL compared to the SEL (p
404 = 0.009, $p < 0.001$, and $p < 0.001$, respectively; Tukey Honest Significance Differences post-hoc
405 test). Additionally, there were significantly more base substitutions in A|T homopolymers of
406 length five in the FEL compared to the SEL ($p < 0.001$; Tukey Honest Significance Differences
407 post-hoc test). Altogether, these analyses reveal greater instability of homopolymers in the FEL
408 compared to the SEL due to more base substitutions.

409

410 *The FEL has a stronger signature of endogenous DNA damage from 8-oxo-dG.* Examination
411 of mutational signatures associated with common endogenous and exogenous mutagens revealed
412 greater signatures of mutational load in the FEL compared to the SEL, as well as in both FEL
413 and SEL compared to the outgroup taxa. The oxidatively damaged guanine base, 8-oxo-dG, is a
414 commonly observed endogenous form of DNA damage that causes the transversion mutation of
415 $G \rightarrow T$ or $C \rightarrow A$ [26]. Examination of the direction of base substitutions among all sites with a
416 G base in all outgroup taxa revealed differences in the direction of base substitutions ($F(2) =$
417 5,682, $p < 0.001$; Multi-factor ANOVA). Moreover, there are significantly more base
418 substitutions at G sites associated with 8-oxo-dG damage in the FEL compared to the SEL (Fig
419 6H; $p < 0.001$; Tukey Honest Significance Differences post-hoc test). These analyses reveal that
420 FEL genomes have higher proportions of G site substitutions associated with the mutational
421 signature of a common endogenous mutagen.

422

423 *Hanseniaspora* have a greater genomic signature of UV-damage. Both the FEL and SEL have
424 lost *PHR1*, a gene encoding a DNA photolyase that repairs pyrimidine dimers, so we next
425 examined the genomes for evidence of a CC → TT dinucleotide substitution bias, an indirect
426 molecular signature of UV radiation damage (Fig 6I). To do so, we used a CC|GG and TT|AA
427 score, which quantifies the abundance of CC|GG and TT|AA dinucleotides in a genome and
428 corrects for the total number of dinucleotides and GC content in the same genome. When
429 comparing CC|GG scores between the FEL, SEL, and outgroup taxa, there were no significant
430 differences ($\chi^2(2) = 5.96, p = 0.051$; Kruskal-Wallis rank sum test). When comparing all
431 *Hanseniaspora* to the outgroup, we found that the CC|GG score was significantly lower in
432 *Hanseniaspora* ($p = 0.03$; Wilcoxon Rank Sum test). Examination of TT|AA scores revealed
433 significant differences between the three groups ($\chi^2(2) = 8.84, p = 0.012$; Kruskal-Wallis rank
434 sum test), which was driven by differences between the FEL and SEL compared to the outgroup
435 ($p = 0.011$ and 0.016 , respectively; Dunn's test for multiple comparisons with Benjamini-
436 Hochberg multi-test correction). The same result was observed when comparing all
437 *Hanseniaspora* to the outgroup ($p < 0.001$; Wilcoxon Rank Sum test). Altogether, these analyses
438 suggest *Hanseniaspora* have a greater signal of UV damage compared to other budding yeasts.
439
440 Lastly, we examined if all of these mutations were associated with more radical amino acid
441 changes in the FEL compared to the SEL using two measures of amino acid change: Sneath's
442 index [60] and Epstein's coefficient of difference [61]. For both measures, we observed
443 significantly more radical amino acid substitutions in the FEL compared to the SEL (Fig S10; p
444 < 0.001 ; Wilcoxon Rank Sum test for both metrics). Altogether, these analyses reveal greater

445 DNA sequence instability in the FEL compared to the SEL, which is also associated with more
446 radical amino acid substitutions.

447

448 **Discussion**

449 The genus *Hanseniaspora* has been recently observed to exhibit the longest branches among
450 budding yeasts (Fig 1) [33–35], and their genomes have some of the lowest numbers of genes,
451 lowest GC contents, and smallest assembly sizes in the subphylum (Fig S1). Through the
452 analysis of the genomes of nearly every known *Hanseniaspora* species this study presents
453 multiple lines of evidence suggesting that one lineage of *Hanseniaspora*, which we have named
454 FEL, is a lineage of long-term, hypermutator species that have undergone extensive gene loss
455 (Figs. 1-4).

456

457 Evolution by gene loss is gaining increasing attention as a major mode of genome evolution
458 [34,62] and is mainly possible due to the dispensability of the majority of genes. For example,
459 90% of *E. coli* [63], 80% of *S. cerevisiae* [64], and 73% of *Candida albicans* [65] genes are
460 dispensable in laboratory conditions. The loss of dispensable genes can be selected for [66] and
461 is common in lineages of obligate parasites or symbionts, such as in the microsporidia,
462 intracellular fungi which have lost key metabolic pathways such as amino acid biosynthesis
463 pathways [67,68], and myxozoa, a group of cnidarian obligate parasites that infect vertebrates
464 and invertebrates [69]. Similar losses are also increasingly appreciated in free-living organisms,
465 such as the budding yeasts (this study; Hittinger et al., 2004; Riley et al., 2016; Shen et al., 2018;
466 Slot and Rokas, 2010; Wolfe et al. 2015) and animals [62]. For example, a gene known to enable

467 sucrose utilization, *SUC2* [47], is lost in the FEL and reflects an inability to grow on sucrose,
468 while the *SUC2* is present in the SEL and reflects an ability to grow on sucrose (Fig 2).

469

470 However, *Hanseniaspora* species have experienced not just the typically observed losses of
471 metabolic genes (Figs. 2A and 2B), but more strikingly, the atypical loss of dozens of cell cycle
472 and DNA damage, response, and repair genes (Figs. 3 and 4). Losses of cell cycle genes are
473 extremely rare [11], and most such losses are known in the context of cancers [73]. Losses of
474 individual or a few DNA repair genes have also been observed in individual hypermutator fungal
475 isolates [6–8]. In contrast, the *Hanseniaspora* losses of cell cycle and DNA repair genes are not
476 only unprecedented in terms of the numbers of genes lost and their striking impact on genome
477 sequence evolution, but also in terms of the evolutionary longevity of the lineage.

478

479 *Missing checkpoint processes are associated with fast growth and bipolar budding.*

480 *Hanseniaspora* species lost numerous components of the cell cycle (Fig 3), such as *WHI5*, which
481 causes accelerated G1/S transitions in knock-out *S. cerevisiae* strains [12,48], as well as
482 components of APC (i.e., *CDC26* and *MND2*), which may accelerate the transition to anaphase
483 [13]. These and other cell cycle gene losses are suggestive of rapid cell division and growth and
484 consistent with the known ability of *Hanseniaspora* yeast of rapid growth in the wine
485 fermentation environment [40].

486

487 One of the distinguishing characteristics of the *Hanseniaspora* cell cycle is bipolar budding,
488 which is known only in the genera *Wickerhamia* (Debaryomycetaceae) and *Nadsonia*
489 (Dipodascaceae), as well as in *Hanseniaspora* and its sister genus *Saccharomycodes* (both in the

490 family Saccharomycodaceae) [45][74]. These three lineages are distantly related to one another
491 on the budding yeast phylogeny [34], so bipolar budding likely evolved three times
492 independently in Saccharomycotina, including in the last common ancestor of *Hanseniaspora*
493 and *Saccharomyces*. Currently, there is only one genome available for *Saccharomyces* [74],
494 making robust inferences of ancestral states challenging. Interestingly, examination of cell cycle
495 gene presence and absence in the only representative genome from the genus, *Saccharomyces*
496 *ludwigii* [74], reveals that *CDC26*, *PCL1*, *PDS1*, *RFX1*, *SIC1*, *SPO12*, and *WHI5* are absent (File
497 S6), most of which are either absent from all *Hanseniaspora* (i.e., *CDC26*, *RFX1*, *SPO12*, and
498 *WHI5*) or just from the FEL (i.e., *PDS1* and *SIC1*). This evidence raises the hypothesis that
499 bipolar budding is linked to the dysregulation of cell cycle processes due to the absence of cell
500 cycle genes and in particular cell cycle checkpoints (Fig 3).

501
502 *Some gene losses may be compensatory.* Deletion of many of the genes associated with DNA
503 maintenance that have been lost in *Hanseniaspora* lead to dramatic increases of mutation rates
504 and gross genome instability [12,13,20], raising the question of how these gene losses were
505 tolerated in the first place. Examination of the functions of the genes lost in *Hanseniaspora*
506 suggests that at least some of these gene losses may have been compensatory. For example,
507 *POL4* knock-out strains of *S. cerevisiae* can be rescued by the deletion of *YKU70* [75], both of
508 which were lost in the FEL. Similarly, the loss of genes responsible for key cell cycle functions
509 (e.g., kinetochore functionality and chromosome segregation) appears to have co-occurred with
510 the loss of checkpoint genes responsible for delaying the cell cycle if its functions fail to
511 complete, which may have allowed *Hanseniaspora* cells to bypass otherwise detrimental cell
512 cycle arrest. Specifically, *MAD1* and *MAD2*, which help delay anaphase when kinetochores are

513 unattached [14]; the 10-gene DASH complex, which participates in spindle attachment, stability,
514 and chromosome segregation [76]; and the 4-gene MIND complex, which is required for
515 kinetochore bi-orientation and accurate chromosome segregation [77], were all lost in the FEL.

516
517 *Long-term hypermutation and the subsequent slowing of sequence evolution.* Estimates of ω
518 suggest the FEL and SEL, albeit to a much lower degree in the latter, underwent a burst of
519 accelerated sequence evolution in their stem lineages, followed by a reduction in the pace of
520 sequence evolution (Fig 5). This pattern is consistent with theoretical predictions that selection
521 against mutator phenotypes will reduce the overall rate of sequence evolution [27], as well as
522 with evidence from experimental evolution of hypermutator lines of *S. cerevisiae* that showed
523 that their mutation rates were quickly reduced [32]. Although we do not know the catalyst for
524 this burst of sequence evolution, hypermutators may be favored in maladapted populations or in
525 conditions where environmental parameters frequently change [27,32]. Although the
526 environment occupied by the *Hanseniaspora* last common ancestor is unknown, it is plausible
527 that environmental instability or other stressors favored hypermutators in *Hanseniaspora*. Extant
528 *Hanseniaspora* species are well known to be associated with the grape environment [39,78,79].
529 Interestingly, grapes appear to have originated [80] around the same time window that
530 *Hanseniaspora* did (Fig 1B), leading us to speculate that the evolutionary trigger of
531 *Hanseniaspora* hypermutation could have been adaptation to the grape environment.

532
533 *Losses of DNA repair genes are reflected in patterns of sequence evolution.* Although the
534 relationship between genotype and phenotype is complex, the loss of genes involved in DNA
535 repair can have predictable outcomes on patterns of sequence evolution in genomes. In the case

536 of the observed losses of DNA repair genes in *Hanseniaspora*, the mutational signatures of this
537 loss and the consequent hypermutation can be both general (i.e., the sum total of many gene
538 losses), as well as specific (i.e., can be putatively linked to the losses of specific genes or
539 pathways). Arguably the most notable general mutational signature is that *Hanseniaspora*
540 genome sequence evolution is largely driven by random (i.e., neutral) mutagenic processes with
541 a strong AT-bias. For example, whereas the transition / transversion ratios of eukaryotic
542 genomes are typically within the 1.7 and 4 range [56–59], *Hanseniaspora* ratios are ~0.66-0.75
543 (Fig 6C), which are values on par with estimates of transition / transversion caused by neutral
544 mutations alone (e.g., 0.6-0.95 in *S. cerevisiae* [56,81], 0.92 in *E. coli* [82], 0.98 in *Drosophila*
545 *melanogaster* [83], and 1.70 in humans [84]). Similarly, base substitutions across *Hanseniaspora*
546 genomes are strongly AT-biased, especially in the FEL (Fig 6), an observation consistent with
547 the general AT-bias of mutations observed in diverse organisms, including numerous bacteria
548 [85], the fruit fly [83], *S. cerevisiae* [56], and humans [84].

549
550 In addition to these general mutational signatures, examination of *Hanseniaspora* sequence
551 evolution also reveals mutational signatures that can be linked to the loss of specific DNA repair
552 genes. For example, we found a higher proportion of base substitutions associated with the most
553 abundant oxidatively damaged base, 8-oxo-dG, which causes G → T or C → A transversions
554 [26], in the FEL compared to the SEL, which reflects specific gene losses. Specifically,
555 *Hanseniaspora* yeasts have lost *PCDI*, which encodes a diphosphatase that contributes to the
556 removal of 8-oxo-dGTP [24] and thereby reduces the chance of misincorporating this damaged
557 base. Once 8-oxo-dG damage has occurred, it is primarily repaired by the base excision repair
558 pathway [26]. Notably, the FEL is missing a key component of the base excision repair pathway,

559 a DNA polymerase δ subunit, encoded by *POL32*, which aids in filling the gap after excision
560 [86]. Accordingly, the proportion of G|C sites with substitutions indicative of 8-oxo-dG damage
561 (i.e., G \rightarrow T or C \rightarrow A transversions) is significantly greater in the FEL compared to the SEL
562 (Fig 5H). Similarly, the numbers of dinucleotide substitutions of CC \rightarrow TT associated with UV-
563 induced pyrimidine dimers [87] are higher across *Hanseniaspora* compared to other yeasts due
564 to the loss of *PHRI*, which encodes a DNA photolyase that repairs pyrimidine dimers (Fig 5I)
565 [23].

566
567 Our analyses provide the first major effort to characterize the genome function and evolution of
568 the enigmatic genus *Hanseniaspora* and identify major and extensive losses of genes associated
569 with metabolism, cell cycle, and DNA repair processes. These extensive losses and the
570 concomitant acceleration of evolutionary rate mean that levels of amino acid sequence
571 divergence within each of the two *Hanseniaspora* lineages alone, but especially within the FEL,
572 are similar to those observed within plant classes and animal subphyla (Fig S11). These
573 discoveries set the stage for further fundamental molecular and evolutionary investigations
574 among *Hanseniaspora*, such as potential novel rewiring of cell cycle and DNA repair processes.
575

576 **Methods**

577 **DNA sequencing** For each species, genomic DNA (gDNA) was isolated using a two-step
578 phenol:chloroform extraction previously described to remove additional proteins from the gDNA
579 [34]. The gDNA was sonicated and ligated to Illumina sequencing adaptors as previously
580 described [88], and the libraries were submitted for paired-end sequencing (2 x 250) on an
581 Illumina HiSeq 2500 instrument.

582

583 **Phenotyping** We qualitatively measured growth of species on five carbon sources (maltose,
584 raffinose, sucrose, melezitose, and galactose) as previously described in [34]. We used a minimal
585 media base with ammonium sulfate and all carbon sources were at a 2% concentration. Yeast
586 were initially grown in YPD and transferred to carbon treatments. Species were visually scored
587 for growth for about a week on each carbon source in three independent replicates over multiple
588 days. A species was considered to utilize a carbon source if it showed growth across $\geq 50\%$ of
589 biological replicates. Growth data for *Hanseniaspora gamundiae* were obtained from Čadež et
590 al., 2019.

591

592 **Genome assembly and annotation** To generate *de novo* genome assemblies, we used paired-
593 end DNA sequence reads as input to iWGS, version 1.1 [89], a pipeline which uses multiple
594 assemblers and identifies the “best” assembly according to largest genome size and N50 (i.e., the
595 shortest contig length among the set of the longest contigs that account for 50% of the genome
596 assembly’s length) [90] as described in [34]. More specifically, sequenced reads were first
597 quality-trimmed, and adapter sequences were removed using TRIMMOMATIC, version 0.33 [91],
598 and LIGHTER, version 1.1.1 [92]. Subsequently, KMergenIE, version 1.6982 [93], was used to
599 determine the optimal *k*-mer length for each genome individually. Thereafter, six *de novo*
600 assembly tools (i.e., ABYSS, version 1.5.2 [94]; DISCOVAR, release 51885 [95]; MASURCA,
601 version 2.3.2 [96]; SGA, version 0.10.13 [97]; SOAPdenovo2, version 2.04 [98]; and SPAdes,
602 version 3.7.0 [99]) were used to generate genome assemblies from the processed reads. Using
603 QUAST, version 4.4 [100], the best assembly was chosen according to the assembly that
604 provided the largest genome size and best N50.

605
606 Annotations for eight of the *Hanseniaspora* genomes (i.e., *H. clermontiae*, *H. osmophila* CBS
607 313, *H. pseudoguilliermondii*, *H. singularis*, *H. uvarum* DSM2768, *H. valbyensis*, *H. vineae* T02
608 19AF, and *K. hatyaiensis*) and the four outgroup species (i.e., *Cy. jadinii*, *K. marxianus*, *S.*
609 *cerevisiae*, and *W. anomalus*) were generated in a recent comparative genomic study of the
610 budding yeast subphylum [34]. The other 11 *Hanseniaspora* genomes examined here were
611 annotated by following the same protocol as in [34].

612
613 In brief, the genomes were annotated using the MAKER pipeline, version 2.31.8 [101]. The
614 homology evidence used for MAKER consists of fungal protein sequences in the SwissProt
615 database (release 2016_11) and annotated protein sequences of select yeast species from
616 MYCOCOSM [102], a web portal developed by the US Department of Energy Joint Genome
617 Institute for fungal genomic analyses. Three *ab initio* gene predictors were used with the
618 MAKER pipeline, including GENEMARK-ES, version 4.32 [103]; SNAP, version 2013-11-29
619 [104]; and AUGUSTUS, version 3.2.2 [105], each of which was trained for each individual
620 genome. GENEMARK-ES was self-trained on the repeat-masked genome sequence with the
621 fungal-specific option (“-fugus”), while SNAP and AUGUSTUS were trained through three
622 iterative MAKER runs. Once all three *ab initio* predictors were trained, they were used together
623 with homology evidence to conduct a final MAKER analysis in which all gene models were
624 reported (“keep_preds” set to 1), and these comprise the final set of annotations for the genome.

625
626 *Data acquisition* All publicly available *Hanseniaspora* genomes, including multiple strains
627 from a single species, were downloaded from NCBI (<https://www.ncbi.nlm.nih.gov/> File S1).

628 These species and strains include *H. guilliermondii* UTAD222 [78], *H. opuntiae* AWRI3578, *H.*
629 *osmophila* AWRI3579, *H. uvarum* AWRI3580 [106], *H. uvarum* 34-9, *H. vineae* T02-19AF
630 [107], *H. valbyensis* NRRL Y-1626 [33], and *H. gamundiae* [41]. We also included
631 *Saccharomyces cerevisiae* S288C, *Kluyveromyces marxianus* DMKU3-1042, *Wickerhamomyces*
632 *anomalus* NRRL Y-366-8, and *Cyberlindnera jadinii* NRRL Y-1542, four representative
633 budding yeast species that are all outside the genus *Hanseniaspora* [34], which we used as
634 outgroups. Together with publicly available genomes, our sampling of *Hanseniaspora*
635 encompasses all known species in the genus (or its anamorphic counterpart, *Kloeckera*), except
636 *Hanseniaspora lindneri*, which likely belongs to the FEL based on a four-locus phylogenetic
637 study [108], and *Hanseniaspora taiwanica*, which likely belongs to the SEL based on neighbor-
638 joining analyses of the LSU rRNA gene sequence [109].

639

640 **Assembly assessment and identification of orthologs** To determine genome assembly
641 completeness, we calculated contig N50 [90] and assessed gene content completeness using
642 multiple databases of curated orthologs from BUSCO, version 3 [110]. More specifically, we
643 determined gene content completeness using orthologous sets of genes constructed from sets of
644 genomes representing multiple taxonomic levels, including Eukaryota (superkingdom; 100
645 species; 303 BUSCOs), Fungi (kingdom; 85 species; 290 BUSCOs), Dikarya (subkingdom; 75
646 species; 1,312 BUSCOs), Ascomycota (phylum; 75 species; 1,315 BUSCOs), Saccharomyceta
647 (no rank; 70 species; 1,759 BUSCOs), and Saccharomycetales (order; 30 species; 1,711
648 BUSCOs).

649

650 Genomes sequenced in the present project were sequenced at an average depth of 63.49 ± 52.57
651 (File S1). Among all *Hanseniaspora*, the average scaffold N50 was 269.03 ± 385.28 kb, the
652 average total number of scaffolds was 980.36 ± 835.20 (398.32 ± 397.97 when imposing a 1kb
653 scaffold filter), and the average genome assembly size was 10.13 ± 1.38 Mb (9.93 ± 1.35 Mb
654 when imposing a 1kb scaffold filter). Notably, the genome assemblies and gene annotations
655 created in the present project were comparable to publicly available ones. For example, the
656 genome size of publicly available *Hanseniaspora vineae* T02 19AF is 11.38 Mb with 4,661
657 genes, while our assembly of *Hanseniaspora vineae* NRRL Y-1626 was 11.15 Mb with 5,193
658 genes.

659
660 We found that our assemblies were of comparable quality to those from publicly available
661 genomes. For example, *Hanseniaspora uvarum* NRRL Y-1614 (N50 = 267.64 kb; genome size =
662 8.82 Mb; number of scaffolds = 258; gene number = 4,227), which was sequenced in the present
663 study, and *H. uvarum* AWRI3580 (N50 = 1,289.09 kb; genome size = 8.81 Mb; number of
664 scaffolds = 18; gene number = 4,061), which is publicly available [106] had similar single-copy
665 BUSCO genes present in the highest and lowest ORTHODB [43] taxonomic ranks (Eukaryota and
666 Saccharomycetales, respectively). Specifically, *H. uvarum* NRRL Y-1614 and *H. uvarum*
667 AWRI3580 had 80.20% (243 / 303) and 79.87% (242 / 303) of universally single-copy
668 orthologs in Eukaryota present in each genome respectively, and 52.31% (895 / 1,711) and
669 51.49% (881 / 1,711) of universally single-copy orthologs in Saccharomycetales present in each
670 genome, respectively.

671

672 To identify single-copy orthologous genes (OGs) among all protein coding sequences for all 29
673 taxa, we used ORTHOMCL, version 1.4 [111]. ORTHOMCL clusters genes into OGs using a
674 Markov clustering algorithm (van Dongen, 2000; <https://micans.org/mcl/>) from gene similarity
675 information acquired from a blastp ‘all-vs-all’ using NCBI’s BLAST+, version 2.3.0 (Fig S2;
676 Madden, 2013) and the proteomes of species of interest as input. The key parameters used in
677 blastp ‘all-vs-all’ were: e-value = $1e^{-10}$, percent identity cut-off = 30%, percent match cutoff =
678 70%, and a maximum weight value = 180. To conservatively identify OGs, we used a strict
679 ORTHOMCL inflation parameter of 4.

680

681 To identify additional OGs suitable for use in phylogenomic and molecular sequence analyses,
682 we identified the single best putatively orthologous gene from OGs with full species
683 representation and a maximum of two species with multiple copies using PHYLOTREEPRUNER,
684 version 1.0 [114]. To do so, we first aligned and trimmed sequences in 1,143 OGs out a total of
685 11,877 that fit the criterion of full representation and a maximum of two species with duplicate
686 sequences. More specifically, we used MAFFT, version 7.294b [115], with the BLOSUM62 matrix
687 of substitutions [116], a gap penalty of 1.0, 1,000 maximum iterations, the ‘genafpair’ parameter,
688 and TRIMAL, version 1.4 [117], with the ‘automated1’ parameter to align and trim individual
689 sequences, respectively. The resulting OG multiple sequence alignments were then used to infer
690 gene phylogenies using FASTTREE, version 2.1.9 [118], with 4 and 2 rounds of subtree-prune-
691 regraft and optimization of all 5 branches at nearest-neighbor interchanges, respectively, as well
692 as the ‘slownni’ parameter to refine the inferred topology. Internal branches with support lower
693 than 0.9 Shimodaira-Hasegawa-like support implemented in FASTTREE [118] were collapsed
694 using PHYLOTREEPRUNER, version 1.0 [114], and the longest sequence for species with multiple

695 sequences per OG were retained, resulting a robust set of OGs with every taxon being
696 represented by a single sequence. OGs were realigned (MAFFT) and trimmed (TRIMAL) using the
697 same parameters as above.

698
699 **Phylogenomic analyses** To infer the *Hanseniaspora* phylogeny, we performed
700 phylogenetic inference using maximum likelihood [119] with concatenation [120,121] and
701 coalescence [122] approaches. To determine the best-fit phylogenetic model for concatenation
702 and generate single-gene trees for coalescence, we constructed trees per single-copy OG using
703 RAXML, version 8.2.8. [123], where each topology was determined using 5 starting trees.
704 Single-gene trees that did not recover all outgroup species as the earliest diverging taxa when
705 serially rooted on outgroup taxa were discarded. Individual OG alignments or trees were used for
706 species tree estimation with RAXML (i.e., concatenation) using the LG [124] model of
707 substitution, which is the most commonly supported model of substitution (874 / 1,034; 84.53%
708 genes), or ASTRAL-II, version 4.10.12 (i.e., coalescence; Mirarab and Warnow, 2015). Branch
709 support for the concatenation and coalescence phylogenies was determined using 100 rapid
710 bootstrap replicates [126] and local posterior support [122], respectively.

711
712 Several previous phylogenomic studies have shown that the internal branches preceding the
713 *Hanseniaspora* FEL and SEL are long [33,35]. To examine whether the relationship between the
714 length of the internal branch preceding the FEL and the length of the internal branch preceding
715 the SEL was consistent across genes in our phylogeny, we used NEWICK UTILITIES, version 1.6
716 [127] to remove the 88 single-gene trees where either lineage was not recovered as monophyletic
717 and calculated their difference for the remaining 946 genes.

718

719 **Estimating divergence times** To estimate divergence times among the 25 *Hanseniaspora*
720 genomes, we used the Bayesian method MCMCTree in the PAML, version 4.9 [128], and the
721 concatenated 1,034-gene matrix. The input tree was derived from the concatenation-based ML
722 analysis under a single LG+G4 [124] model (Figure 1A). The in-group root (i.e., the split
723 between the FEL and SEL) age was set between 0.756 and 1.177 time units (1 time unit = 100
724 million years ago [mya]), which was adopted from a recent study [34].

725

726 To infer the *Hanseniaspora* timetree, we first estimated branch lengths under a single LG+G4
727 [124] model with codeml in the PAML, version 4.9 [128], package and obtained a rough mean of
728 the overall mutation rate. Next, we applied the approximate likelihood method [129,130] to
729 estimate the gradient vector and Hessian matrix with Taylor expansion (option usedata = 3).
730 Last, we assigned (a) the gamma-Dirichlet prior for the overall substitution rate (option
731 rgene_gamma) as G(1, 1.55), with a mean of 0.64, (b) the gamma-Dirichlet prior for the rate-
732 drift parameter (option sigma2_gamma) as G(1, 10), and (c) the parameters for the birth-death
733 sampling process with birth and death rates $\lambda=\mu=1$ and sampling fraction $\rho=0$. We employed the
734 independent-rate model (option clock=2) to account for the rate variation across different
735 lineages and used soft bounds (left and right tail probabilities equal 0.025) to set minimum and
736 maximum values for the in-group root mentioned above. The MCMC run was first run for
737 1,000,000 iterations as burn-in and then sampled every 1,000 iterations until a total of 30,000
738 samples was collected. Two separate MCMC runs were compared for convergence, and similar
739 results were observed.

740

741 **Gene presence and absence analysis** To determine the presence and absence of genes in
742 *Hanseniaspora* genomes, we built hidden Markov models (HMMs) for each gene present in
743 *Saccharomyces cerevisiae* and used the resulting HMM profile to search for the corresponding
744 homolog in each *Hanseniaspora* genome, as well as outgroup taxa. More specifically, for each of
745 the 5,917 verified open reading frames from *S. cerevisiae* [131] (downloaded Oct 2018 from the
746 *Saccharomyces* genome database), we searched for putative homologs in NCBI's Reference
747 Sequence Database for Fungi (downloaded June 2018) using NCBI's BLAST+, version 2.3.0
748 [113], blastp function, and an e-value cut-off of $1e^{-3}$ as recommended for homology searches
749 [132]. We used the top 100 hits for the gene of interest and aligned them using MAFFT, version
750 7.294b [115], with the same parameters described above. The resulting gene alignment was then
751 used to create an HMM profile for the gene using the hmmbuild function in HMMER, version
752 3.1b2 [133]. The resulting HMM profile was then used to search for each individual gene in each
753 *Hanseniaspora* genome and outgroup taxa using the hmmsearch function with an expectation
754 value cutoff of 0.01 and a score cutoff of 50. This analysis was done for the 5,735 genes with
755 multiple blast hits allowing for the creation of a HMM profile. To evaluate the validity of
756 constructed HMMs, we examined their ability to recall genes in *S. cerevisiae* and found that we
757 recovered all nuclear genes. Altogether, our ability to recall 99.63% of genes demonstrates the
758 validity of our pipeline for the vast majority of genes and for nuclear genes in particular.
759
760 To determine if any functional categories were over- or under-represented among genes present
761 or absent among *Hanseniaspora* species, we conducted gene ontology (GO) [134] enrichment
762 analyses using GOATOOLS, version 0.7.9 [135]. We used a background of all *S. cerevisiae*
763 genes and a *p*-value cut-off of 0.05 after multiple-test correction using the Holm method [136].

764 Plotting gene presence and absence among pathways was done by examining depicted pathways
765 available through the KEGG project [137] and the *Saccharomyces* Genome Database [131].

766
767 We examined the validity of the gene presence and absence pipeline by examining under-
768 represented terms and the presence or absence of essential genes in *S. cerevisiae* [138]. We
769 hypothesized that under-represented GO terms will be associated with basic molecular processes
770 and that essential genes will be under-represented among the set of absent genes. In agreement
771 with these expectations, GO terms associated with basic biological processes and essential *S.*
772 *cerevisiae* genes are under-represented among genes that are absent across *Hanseniaspora*
773 genomes. For example, among all genes absent in the FEL and SEL, the molecular functions
774 BASE PAIRING, GO:0000496 ($p < 0.001$); GTP BINDING, GO:0005525 ($p < 0.001$); and
775 ATPASE ACTIVITY, COUPLED TO MOVEMENT OF SUBSTANCES, GO:0043492 ($p <$
776 0.001), are significantly under-represented (File S4). Similarly, *S. cerevisiae* essential genes are
777 significantly under-represented ($p < 0.001$; Fischer's exact test for both lineages) among lost
778 genes with only 3 and 2 *S. cerevisiae* essential genes having been lost from the FEL and SEL
779 genomes, respectively.

780
781 **Ploidy estimation** To determine ploidy, we leveraged base frequency distributions at variable
782 sites, which we generated by mapping each genome's reads to its assembly. To ensure high-
783 quality read mapping, we first quality-trimmed reads using TRIMMOMATIC, version 0.36 [91],
784 using the parameters leading:10, trailing:10, slidingwindow:4:20, and minlen:50. Reads were
785 subsequently mapped to their respective genome using BOWTIE2, version 1.1.2 [139], with the
786 "sensitive" parameter and converted the resulting file to a sorted bam format using SAMTOOLS,

787 version 1.3.1 [140]. We next used NQUIRE [141], which extracts base frequency information at
788 segregating sites with a minimum frequency of 0.2. Prior to visualization, we removed
789 background noise by utilizing the Gaussian Mixture Model with Uniform noise component
790 [141].

791
792 **Molecular evolution and mutation analysis** *Molecular sequence rate analysis along the*

793 *phylogeny.* To determine the rate of sequence evolution over the course of
794 *Hanseniaspora* evolution, we examined variation in the rate of nonsynonymous (dN) to the rate
795 of synonymous (dS) substitutions (dN/dS or ω) across the species phylogeny. We first obtained
796 codon-based alignments of the protein sequences used during phylogenomic inference by
797 threading nucleotides on top of the amino acid sequence using PAL2NAL, version 14 [142], and
798 calculated ω values under the different hypotheses using the CODEML module in PAML, version
799 4.9 [128]. For each gene tested, we set the null hypothesis (H_0) where all internal branches
800 exhibit the same ω (model = 0) and compared it to four different alternative hypotheses. Under
801 the $H_{FE-SE \text{ branch}}$ hypothesis, the branches immediately preceding the FEL and SEL were assumed
802 to exhibit distinct ω values from the background (model = 2) (Fig 5Bi). Under the H_{FE}
803 hypothesis, the branch immediately preceding the FEL was assumed to have a distinct ω value,
804 all FEL crown branches were assumed to have their own collective ω value, and all background
805 branches were assumed to have their own collective ω value (model = 2) (Fig 5Ci). The H_{SE}
806 hypothesis assumed the branch preceding the lineage had its own ω value, all SEL crown
807 branches had their own collective ω value, and all background branches were assumed to have
808 their own collective ω value (model = 2) (Fig 5Di). Lastly, the $H_{FE-SE \text{ crown}}$ hypothesis assumed
809 that all FEL crown branches had their own collective ω value, all SEL crown branches had their

810 own collective ω value, and the rest of the branches were assumed to have their own collective ω
811 value (model = 2) (Fig 5Ei). To determine if each of the alternative hypotheses was significantly
812 different from the null hypothesis, we used the likelihood ratio test (LRT) ($\alpha = 0.01$). A few
813 genes could not be analyzed due to fatal interruptions or errors during use in PAML, version 4.9
814 [128], which have been reported by other users [143]; these genes were removed from the
815 analysis. Thus, this analysis was conducted for 989 genes for three tests (H_{F_E-SE} branch, H_{F_E}, and
816 H_{SE} hypotheses) and 983 genes for one test (H_{F_E-SE} crown hypothesis).

817

818 *Examination of mutational signatures* To conservatively identify base substitutions,
819 insertions, and deletions found in taxa in the FEL or SEL, we examined the status of each
820 nucleotide at each position in codon-based and amino acid-based OG alignments. We examined
821 base substitutions, insertions, and deletions at sites that are conserved in the outgroup (i.e., all
822 outgroup taxa have the same character state for a given position in an alignment). For base
823 substitutions, we determined if the nucleotide or amino acid residue in a given *Hanseniaspora*
824 species differed from the conserved outgroup nucleotide or amino acid residue at the same
825 position. To measure if amino acid substitutions in each lineage were conservative or radical
826 (i.e., a substitution to a similar amino acid residue versus a substitution to an amino acid residue
827 with different properties), we used Sneath's index of dissimilarity, which considers 134
828 categories of biological activity and chemical change to quantify dissimilarity of amino acid
829 substitutions, and Epstein's coefficient of difference, which considers differences in polarity and
830 size of amino acids to quantify dissimilarity. Notably, Sneath's index is symmetric (i.e.,
831 isoleucine to leucine is equivalent to leucine to isoleucine), whereas Epstein's coefficient is not
832 (i.e., isoleucine to leucine is not equivalent to leucine to isoleucine). For indels, we used a sliding

833 window approach with a step size of one nucleotide. We considered positions where a nucleotide
834 was present in all outgroup taxa but a gap was present in *Hanseniaspora* as deletions, and
835 positions where a gap was present in all outgroup taxa and a nucleotide was present in
836 *Hanseniaspora* species as insertions. Analyses were conducted using custom PYTHON, version
837 3.5.2 (<https://www.python.org/>), scripts, which use the BIOPYTHON, version 1.70 [144], and
838 NUMPY, version 1.13.1 [145], modules.

839

840 We discovered that all *Hanseniaspora* species lack the *PHR1* gene, which is associated with the
841 repair of UV radiation damage. UV exposure induces high levels of CC → TT dinucleotide
842 substitutions [87]. If *Hanseniaspora* have a reduced capacity to repair UV radiation damage,
843 they would be expected to contain fewer CC|GG dinucleotides and more TT|AA ones. To test
844 whether this was the case, we created a CC or GG (hereby denoted as CC|GG) score, which was
845 calculated using the following formula:

$$846 \text{CC|GG score} = \frac{\text{CC|GG}}{D} \times \frac{1}{\text{G|C}} \quad \text{where } D = \frac{\text{GS}}{2}$$

847 where CC|GG is the number of observed CC or GG dinucleotides in a genome, D is the number
848 of dinucleotides in the genome, GS is the genome size, and G|C is GC-content. Similarly, we
849 created a TT|AA score calculated the following formula:

$$850 \text{TT|AA score} = \frac{\text{TT|AA}}{D} \times \frac{1}{\text{A|T}} \quad \text{where } D = \frac{\text{GS}}{2}$$

851 where TT|AA is the number of TT or AA dinucleotides in a genome, D is the number of
852 dinucleotides in the genome, GS is the genome size, and A|T is AT-content.

853

854 **Data Availability**

855 Data matrices, species-level and single-gene phylogenies, dN/dS results, and HMMs will be
856 made available through the figshare repository upon publication.

857

858

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862

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1284 **Tables**

1285 **Table 1.** Rate of sequence evolution hypotheses and results.

Hypotheses for inter-lineage comparisons	Parameters	Fraction of genes significantly different than H_0	Median ω values		
			$\omega_{\text{background}}$	ω_1	ω_2
H_0: Uniform rate for all branches Figure S9A	Single ω value	N/A	N/A	N/A	N/A
$H_{\text{FE-SE branch}}$: Unique rates for FEL and SEL stem Figure S9B	$\omega_{\text{background}} \neq \omega_1 \neq \omega_2$ $\omega_1 = \text{FEL stem branch}$ $\omega_2 = \text{SEL stem branch}$	678 genes (68.55% of examined genes)	0.060	0.566	0.293
H_{FE}: Unique rates for FEL stem and FEL crown Figure S9C	$\omega_{\text{background}} \neq \omega_1 \neq \omega_2$ $\omega_1 = \text{FEL stem branch}$ $\omega_2 = \text{FEL crown branches}$	743 genes (75.13% of examined genes)	0.063	0.711	0.061
H_{SE}: Unique rates for SEL stem and SEL crown Figure S9D	$\omega_{\text{background}} \neq \omega_1 \neq \omega_2$ $\omega_1 = \text{SEL stem branch}$ $\omega_2 = \text{SEL crown branches}$	528 genes (53.7% of examined genes)	0.059	0.267	0.074
$H_{\text{FE-SE crown}}$: Unique rates for FEL crown and SEL crown Figure S9E	$\omega_{\text{background}} \neq \omega_1 \neq \omega_2$ $\omega_1 = \text{FEL crown branches}$ $\omega_2 = \text{SEL crown branches}$	717 genes (72.5% of examined genes)	0.010	0.062	0.074

1286

1287 **Main Figure Legends**

1288 **Fig 1. The evolutionary history and timeline of *Hanseniaspora* diversification and the**

1289 **stability of a long internode branch.**

(A) Using 1,034 single-copy orthologous

1290 genes (SCOG), the evolutionary history of *Hanseniaspora* in geologic time revealed two well-

1291 support lineages termed the fast evolving and slow evolving lineages (FEL and SEL,

1292 respectively), which began diversifying around 87.2 and 53.6 million years ago (mya) after

1293 diverging 95.3 mya. (B) Among single-gene phylogenies where the FEL and SEL were

1294 monophyletic ($n = 946$), internode branch lengths leading up to each lineage revealed

1295 significantly longer internode branches leading up to the FEL (0.62 ± 0.38 base substitutions /

1296 site) compared to the SEL (0.17 ± 0.11 base substitutions / site) ($p < 0.001$; Paired Wilcoxon

1297 Rank Sum test). (C) Examination of the difference between internode branch lengths per single-

1298 gene tree revealed 932 single-gene phylogenies had a longer branch length in the FEL compared

1299 to the SEL (depicted in orange with values greater than 0), while the converse was only observed

1300 in 14 single-gene phylogenies (depicted in blue with values less than 0). Across all single-gene

1301 phylogenies, the average difference between the internode branch length leading up to the two

1302 lineages was 0.45.

1303

1304 **Fig 2. Gene presence and absence analyses reflect phenotype and reveal disrupted**

1305 **pathways.**

(A) Examination of gene presence and absence (see *Methods*) revealed

1306 numerous genes that had been lost across *Hanseniaspora*. Specifically, 1,409 have been lost in

1307 the FEL, and 771 genes have been lost in the SEL. A Euler diagram represents the overlap of

1308 these gene sets. Both lineages have lost 748 genes, the FEL has lost an additional 661, and the

1309 SEL has lost an additional 23. (B) The *IMA* gene family (*IMAI-5*) encoding α -glucosidases,

1310 *MAL* (*MALx1-3*) loci, and *SUC2* are associated with growth on maltose, sucrose, raffinose, and
1311 melezitose. The *IMA* and *MAL* loci are largely missing among *Hanseniaspora* with the exception
1312 of homologs *MALx1*, which encode diverse transporters of the major facilitator superfamily
1313 whose functions are difficult to predict from sequence; as expected, *Hanseniaspora* spp. cannot
1314 grow on maltose, raffinose, and melezitose with the sole exception of *Hanseniaspora jakobsenii*,
1315 which has delayed/weak growth on maltose and is the only *Hanseniaspora* species with
1316 (*MALx3*), which encodes a homolog of the *MAL*-activator protein. (C) The genes involved with
1317 galactose degradation are largely missing among *Hanseniaspora* species, which correlates with
1318 their inability to grow on galactose. Genes that are present are depicted in white, and genes that
1319 are absent are depicted in black. The ability to grow, grow with delayed/weak growth on a given
1320 substrate, or the inability to grow is specified using white, grey, and black circles, respectively;
1321 dashes indicate no data. (D) Most genes involved in the thiamine biosynthesis pathway are
1322 absent among all *Hanseniaspora*. (E) Many genes involved in the methionine salvage pathway
1323 are absent among all *Hanseniaspora*. Absent genes are depicted in purple.

1324

1325 **Fig 3. Gene presence and absence in the budding yeast cell cycle.** Examination
1326 of genes present and absent in the cell cycle of budding yeasts revealed numerous missing genes.
1327 Many genes are key regulators, such as *WHI5*; participate in spindle checkpoint processes and
1328 segregation, such as *MAD1* and *MAD2*; or DNA damage checkpoint processes, such as *MEC3*,
1329 *RAD9*, and *RFX1*. Genes missing in both lineages, the FEL, or the SEL are colored purple,
1330 orange, or blue, respectively. The “e” in the PHO cascade represents expression of Pho4:Pho2.
1331 Dotted lines with arrows indicate indirect links or unknown reactions. Lines with arrows indicate
1332 molecular interactions or relations. Circles indicate chemical compounds such as DNA.

1333

1334 **Fig 4. A panoply of genome maintenance and DNA repair genes are missing among**

1335 ***Hanseniaspora*, especially in the FEL.** Genes annotated as DNA repair genes

1336 according to gene ontology (GO:0006281) and child terms were examined for presence and

1337 absence in at least two-thirds of each lineage, respectively (268 total genes). 47 genes are

1338 missing among the FEL species, and 14 genes are missing among the SEL. Presence and absence

1339 of genes was clustered using hierarchical clustering (cladogram on the left) where each gene's

1340 ontology is provided as well. Genes with multiple gene annotations are denoted as such using the

1341 'multiple' term.

1342

1343 **Fig 5. dN/dS (ω) analyses supports a historical burst of accelerated evolution in the FEL.**

1344 (A) The null hypothesis (H_0) that all branches in the phylogeny have the same ω value.

1345 Alternative hypotheses (B-E) evaluate ω along three sets of branches. (Bi) The alternative

1346 hypothesis (H_{FE-SE} branch) examined ω values along the branch leading up the FEL and the SEL.

1347 (Bii) 311 supported H_0 and 678 genes supported H_{FE-SE} branch. (Biii) Among the genes that

1348 supported H_{FE-SE} branch, we examined the distribution of the difference between ω_1 and ω_2 as

1349 specified in part Bi. Here, a range of $\omega_1 - \omega_2$ of -3.5 to 3.5 is shown in the histogram.

1350 Additionally, we report the median ω_1 and ω_2 values, which are 0.57 and 0.29, respectively.

1351 (Biv) Among all genes examined, 0.39 genes significantly rejected H_0 and were faster in the

1352 FEL than the SEL, and 0.30 genes were faster in the SEL than the FEL. (Ci) The alternative

1353 hypothesis (H_{FE}) examined ω values along the branch leading up to the FEL and all branches

1354 thereafter (FEL_{crown}). (Cii) 246 genes supported H_0 , and 743 genes supported H_{FE} . (Ciii) Among

1355 the genes that supported H_{FE} , we examined the distribution of the difference between ω_1 and ω_2

1356 as specified in part Ci. The median ω_1 and ω_2 values were 0.71 and 0.06, respectively. (Civ)
1357 Among all genes, 0.73 genes significantly rejected H_0 and were faster in the FEL than the
1358 FEL_{crown}, and 0.02 genes were faster in the FEL_{crown} than the FEL. (Di) The alternative
1359 hypothesis (H_{SE}) examined ω values along the branch leading up to the SEL and all branches
1360 thereafter (SEL_{crown}). (Dii) 455 genes supported H_0 , and 528 genes supported H_{SE} . (Diii) Among
1361 the genes that supported H_{SE} , we examined the distribution of the difference between ω_1 and ω_2
1362 as specified in part Di. The median ω_1 and ω_2 values were 0.27 and 0.07, respectively. (Div)
1363 Among all genes, 0.49 genes significantly rejected H_0 and were faster in the SEL than the
1364 SEL_{crown}, and 0.05 genes were faster in the SEL_{crown} than the SEL. (Ei) The alternative
1365 hypothesis ($H_{FE-SE\ crown}$) examined ω values in the crown of the FEL_{crown} and SEL_{crown}. (Eii) 272
1366 genes supported H_0 , and 717 genes supported $H_{FE-SE\ crown}$. (Eiii) Among the genes that supported
1367 $H_{FE-SE\ crown}$, we examined the distribution of the difference between ω_1 and ω_2 as specified in part
1368 Di. The median ω_1 and ω_2 values were 0.06 and 0.07, respectively. (Eiv) Among all genes, 0.22
1369 genes significantly rejected H_0 and were faster in the FEL_{crown} compared to the SEL_{crown}, and
1370 0.51 genes were faster in the SEL_{crown} than the FEL_{crown}.

1371

1372 **Fig 6. Analyses of base substitutions and indels reveal a higher mutational load in the FEL**

1373 **compared to the SEL.** (A) Analyses of substitutions at evolutionarily tractable

1374 sites among codon-based alignments revealed a higher number of base substitutions in the FEL

1375 compared to the SEL ($F(1) = 196.88, p < 0.001$; Multi-factor ANOVA) and an asymmetric

1376 distribution of base substitutions at codon sites ($F(2) = 1691.60, p < 0.001$; Multi-factor

1377 ANOVA). A Tukey Honest Significance Differences post-hoc test revealed a higher proportion

1378 of substitutions in the FEL compared to the SEL at evolutionarily tractable sites at the first ($n =$

1379 240,565; $p < 0.001$), second ($n = 318,987$; $p < 0.001$), and third ($n = 58,151$; $p = 0.02$) codon
1380 positions. (B) Analyses of the direction of base substitutions (i.e., G|C \rightarrow A|T or A|T \rightarrow G|C)
1381 reveals significant differences between the FEL and SEL ($F(1) = 447.1$, $p < 0.001$; Multi-factor
1382 ANOVA) and differences between the directionality of base substitutions ($F(1) = 914.5$, $p <$
1383 0.001 ; Multi-factor ANOVA). A Tukey Honest Significance Differences post-hoc test revealed a
1384 significantly higher proportion of substitutions were G|C \rightarrow A|T compared to A|T \rightarrow G|C among
1385 evolutionarily tractable sites that are G|C ($n = 232,546$) and A|T ($n = 385,157$) ($p < 0.001$),
1386 suggesting a general AT-bias of base substitutions. Additionally, there was a significantly higher
1387 proportion of evolutionary tractable sites with base substitutions in the FEL compared to the SEL
1388 ($p < 0.001$). More specifically, a higher number of base substitutions were observed in the FEL
1389 compared to the SEL for both G|C \rightarrow A|T ($p < 0.001$) and A|T \rightarrow G|C mutations ($p < 0.001$), but
1390 the bias toward AT was greater in the FEL. (C) Examinations of transition / transversion ratios
1391 revealed a lower transition / transversion ratio in the FEL compared to the SEL ($p < 0.001$;
1392 Wilcoxon Rank Sum test). (D) Comparisons of insertions and deletions revealed a significantly
1393 greater number of insertions ($p < 0.001$; Wilcoxon Rank Sum test) and deletions ($p < 0.001$;
1394 Wilcoxon Rank Sum test) in the FEL ($\bar{x}_{\text{insertions}} = 7521.11 \pm 405.34$; $\bar{x}_{\text{deletions}} = 3894.11 \pm 208.16$)
1395 compared to the SEL ($\bar{x}_{\text{insertions}} = 6049.571 \pm 155.85$; $\bar{x}_{\text{deletions}} = 2346.71 \pm 326.22$). (E and F)
1396 When adding the factor of size per insertion or deletion, significant differences were still
1397 observed between the lineages ($F(1) = 2102.87$, $p < 0.001$; Multi-factor ANOVA). A Tukey
1398 Honest Significance Differences post-hoc test revealed that most differences were caused by
1399 significantly more small insertions and deletions in the FEL compared to the SEL. More
1400 specifically, there were significantly more insertions in the FEL compared to the SEL for sizes 3-
1401 18 ($p < 0.001$ for all comparisons between each lineage for each insertion size), and there were

1402 significantly more deletions in the FEL compared to the SEL for sizes 3-21 ($p < 0.001$ for all
1403 comparisons between each lineage for each deletion size). Black lines at the top of each bar show
1404 the 95% confidence interval for the number of insertions or deletions for a given size. (G)
1405 Evolutionarily conserved homopolymers of sequence length two ($n = 17,391$), three ($n = 1,062$),
1406 four ($n = 104$), and five ($n = 5$) were examined for substitutions and indels. Statistically
1407 significant differences of the proportion mutated bases (i.e., (base substitutions + deleted bases +
1408 inserted bases) / total homopolymer bases) were observed between the FEL and SEL ($F(1) =$
1409 $27.68, p < 0.001$; Multi-factor ANOVA). Although the FEL had more mutations than the SEL
1410 for all homopolymers, a Tukey Honest Significance Differences post-hoc test revealed
1411 differences were statistically significant for homopolymers of two ($p = 0.02$) and three ($p =$
1412 0.003). Analyses of homopolymers using additional factors of mutation type (i.e., base
1413 substitution, insertion, deletion) and homopolymer sequence type (i.e., A|T and C|G
1414 homopolymers) can be seen in Fig S9. (H) $G \rightarrow T$ or $C \rightarrow A$ mutations are associated with the
1415 common and abundant oxidatively damaged base, 8-oxo-dG. When examining all substituted G
1416 positions for each species and their substitution direction, we found significant differences
1417 between different substitution directions ($F(2) = 5682, p < 0.001$; Multi-factor ANOVA). More
1418 importantly, a Tukey Honest Significance Differences post-hoc test revealed an over-
1419 representation of $G \rightarrow T$ or $C \rightarrow A$ in the FEL compared to the SEL ($p < 0.001$). (I) $CC \rightarrow TT$
1420 dinucleotide substitutions are associated with UV damage. Using a $CC|GG$ (left) and $TT|AA$
1421 (right) score, which is an indirect proxy for UV mutation damage where less UV damage would
1422 result in a higher $CC|GG$ score and more UV damage would result in a higher $TT|AA$ score, we
1423 found no significant differences when comparing $CC|GG$ scores between the FEL, SEL, and
1424 outgroup taxa ($\chi^2(2) = 5.964, p = 0.05$; Kruskal-Wallis rank sum test); however, when

1425 comparing the outgroup taxa to all *Hanseniaspora*, a significant difference was observed ($p =$
1426 0.03; Wilcoxon Rank Sum test). When examining TT|AA scores, we found significant
1427 differences between the FEL, SEL, and outgroup taxa ($\chi^2(2) = 8.84, p = 0.01$; Kruskal-Wallis
1428 rank sum test). A post-hoc Dunn's test using the Benjamini-Hochberg method for multi-test
1429 correction revealed significant differences between the FEL and SEL compared to the outgroup
1430 taxa ($p = 0.01$ and 0.02 , respectively). A significant difference between all *Hanseniaspora* and
1431 the outgroup taxa were also observed ($p < 0.001$; Wilcoxon Rank Sum test). Results from the
1432 Kruskal-Wallis rank sum test and the Wilcoxon Rank Sum test are differentiated using lines and
1433 asterisks that are red and black, respectively.
1434

1435 **Supplementary Figure Legends**

1436 **Fig S1. *Hanseniaspora* have among the smallest genome sizes, lowest number of genes, and**
1437 **lowest percent GC content in the budding yeast subphylum Saccharomycotina.**

1438 (A) The genus *Hanseniaspora* (family Saccharomycodaceae) includes the smallest
1439 budding yeast genome. The FEL, SEL, and all of Saccharomycotina have an average genome
1440 size of 9.71 ± 1.32 Mb (min: 8.10; max: 14.05), 10.99 ± 1.66 Mb (min: 7.34; max: 12.17), 12.80
1441 ± 3.20 Mb (min: 7.34; max: 25.83), respectively. (B) The genus *Hanseniaspora* includes the
1442 budding yeast genome with the fewest genes. The FEL, SEL, and all of Saccharomycotina have
1443 an average number of genes per genome of $4,707.89 \pm 633.56$ (min: 3,923; max: 6,380),
1444 $4,932.43 \pm 289.71$ (min: 4,624; max: 5,349), and $5,657.66 \pm 1,044.78$ (min: 3,923; max: 12,786),
1445 respectively. (C) The genus *Hanseniaspora* has among the lowest GC-content values in budding
1446 yeast genomes. The FEL, SEL, and all of Saccharomycotina GC-content values were $33.10 \pm$
1447 3.53% (min: 26.32; max: 37.17), $37.28 \pm 2.05\%$ (min: 34.82; max: 39.93), and $40.30 \pm 5.71\%$
1448 (min: 25.2; max: 53.98), respectively. Families of Saccharomycotina are depicted on the y-axis.
1449 Median values are depicted with a line, and dashed lines indicate plus or minus one standard
1450 deviation from the median. To the right of each figure, boxplots depict the median and standard
1451 deviations of each grouping. The grey represents all of Saccharomycotina. Blue represents the
1452 SEL, and orange represents the FEL.

1453

1454 **Fig S2. Phylogenomics method pipeline.** Using 25 *Hanseniaspora* proteomes and the
1455 proteomes of 4 outgroup taxa, 11,877 orthologous groups (OGs) of genes were identified. 1,143
1456 OGs with few paralogs were identified as having few paralogs – that is, $\geq 90\%$ of species do
1457 not have paralogs and have one gene in the OG. The sequences of the 1,143 OGs were

1458 individually aligned, trimmed, had their evolutionary history inferred, and paralogs were
1459 trimmed based on tree topology. Using the resulting 1,142 OGs with paralogs trimmed,
1460 sequences were realigned and trimmed and had their evolutionary history inferred. If the
1461 outgroup taxa were not the earliest diverging taxa after serially rooting on the outgroup taxa, the
1462 OG was removed resulting in 1,034 OGs. Among these 1,034 OGs of genes, a concatenated
1463 1,034-gene matrix was constructed and used for reconstructing evolutionary history. Similarly,
1464 evolutionary history was inferred using coalescence of the 1,034 OG single-gene phylogenies.

1465
1466 **Fig S3. Concatenation and coalescence produce nearly identical and well-supported**
1467 **phylogenies that support two distinct lineages.** (Left) Concatenation supports one
1468 lineage with a long internode branch leading to the clade, which we term the fast-evolving
1469 lineage (FEL) and another lineage with a much shorter internode branch length leading to the
1470 clade (SEL). (Right) Coalescence supports monophyly of the FEL and SEL. Minor discrepancies
1471 are observed between the topologies. Bipartitions without full support have their support values
1472 depicted. Support for concatenation and coalescence was determined using 100 rapid bootstrap
1473 replicates and local posterior support, respectively.

1474
1475 **Fig S4. Internode key to accompany divergence time estimate file per internode.**
1476 Internode identifiers for timetree analysis in Fig 1B. Associated mean divergence time
1477 and credible intervals can be found in File S2.

1478
1479 **Fig S5. BUSCO analyses reveals extensive gene ‘missingness’ across various taxonomic**
1480 **ranks.** BUSCO analyses of *Hanseniaspora* proteomes using the Eukaryota ($n_{\text{BUSCOs}} =$

1481 303), Fungi (nBUSCOs = 290), Dikarya (nBUSCOs = 1,312), Ascomycota (nBUSCOs = 1,315),
1482 Saccharomyceta (nBUSCOs = 1,759), and Saccharomycetales (nBUSCOs = 1,711) orthoDB databases
1483 revealed numerous BUSCO genes are missing among *Hanseniaspora* genomes, in particular the
1484 FEL.

1485

1486 **Fig S6. A liberal targeted gene searching pipeline and the number of missing genes in at**

1487 **least two-thirds of FEL and SEL taxa.** (A) A FASTA file for gene *X*, where gene *X*

1488 is the FASTA entry of a verified ORF in the *Saccharomyces cerevisiae* proteome, is used as a

1489 query to search for putative homologs in the Fungal reference sequence (refseq) database. The

1490 top 100 putative homologs were subsequently aligned. From the alignment, a Hidden Markov

1491 Model (HMM) was made. Using the HMM, gene *X* was searched for in the genome of each

1492 species from the FEL, SEL, and outgroup individually using a liberal e-value cut-off of 0.01 and

1493 a score of > 50. This pipeline yields presence and absence information of gene *X* among FEL,

1494 SEL, and outgroup taxa. This method was subsequently applied to all verified ORF in the *S.*

1495 *cerevisiae* proteome.

1496

1497 **Fig S7. Gene presence and absence reveals a putatively diminished gluconeogenesis**

1498 **pathway.** Gene presence and absence analysis of genes that participate in the

1499 gluconeogenesis (A) and glycolysis (B) pathway reveal key missing genes in the

1500 gluconeogenesis pathway, suggestive of a diminished capacity for gluconeogenesis. More

1501 specifically, *PCK1*, which encodes the enzyme that converts oxaloacetic acid to

1502 phosphoenolpyruvate, and *FBP1*, which encodes the enzyme that converts fructose-1,6-

1503 bisphosphate to fructose-6-phosphate, are missing among all *Hanseniaspora* species.

1504

1505 **Fig S8. Base frequency plots reveal diversity in ploidy of *Hanseniaspora* species.**

1506 (A) A lack of Gaussian distributions suggests *H. occidentalis* var. *occidentalis*, *H.*
1507 *uvarum* CBS 314, and *H. guilliermondii* CBS 465 are haploid. (B) A single Gaussian distribution
1508 suggests *H. occidentalis* var. *citrica*, *H. osmophila* CBS 313, *H. meyeri*, *H. clermontiae*, *H.*
1509 *nectarophila*, *H. thailandica*, *H. pseudoguilliermondii*, *H. singularis*, and *K. hatyaiensis* are
1510 diploids. (C) Two Gaussian distributions suggest *H. lachancei* and *H. jakobsenii* are triploid. (D)
1511 Analyses of *H. vineae* CBS 2171, *H. valbyensis*, *Hanseniaspora* sp. CRUB 1602, and *H.*
1512 *opuntiae* base frequency distributions were ambiguous. Certain FEL species, such as *H.*
1513 *singularis*, *H. pseudoguilliermondii*, and *H. jakobsenii*, are potentially aneuploid, while evidence
1514 of aneuploidy in the SEL is observed in only *H. occidentalis* var. *citrica*.

1515

1516 **Fig S9. Analyses of homopolymers by sequence length, type, and type of mutation.**

1517 Significant differences among the proportion of mutated bases among homopolymers of
1518 various lengths were observed (Figure 5). Addition of variables (i.e., sequence type (A|T or C|G)
1519 and mutation type (base substitution, insertion, and deletion)) allowed for further determination
1520 of what types of mutations caused differences between the FEL and SEL. As shown in Figure 5,
1521 we observed significant differences in the numbers of mutations between the FEL and SEL ($F =$
1522 $27.06, p < 0.001$; Multi-factor ANOVA) as well as in the type of mutations ($F = 1686.70, p <$
1523 0.001 ; Multi-factor ANOVA). A Tukey Honest Significance Differences post-hoc test revealed
1524 that the proportion of nucleotides that underwent base substitutions was significantly greater than
1525 insertions ($p < 0.001$) and deletions ($p < 0.001$). We next focused on significant differences
1526 observed between the FEL and SEL when considering all factors. We observed significant

1527 differences between the FEL and SEL at A|T and C|G homopolymers with a length of 2 ($p =$
1528 0.009 and $p < 0.001$, respectively), C|G homopolymers of length 3 ($p < 0.001$), and A|T
1529 homopolymers of length 5 ($p < 0.001$).

1530

1531 **Fig S10. Metrics reveal more radical amino acid substitutions in the FEL compared to**

1532 **SEL.** Using Sneath's index and Epstein's coefficient of difference, the average
1533 difference among amino acid substitutions were determined among sites where the outgroup taxa
1534 had all the same amino acid. Using either metric, amino acid substitutions were significantly
1535 more drastic in the FEL compared to the SEL ($p < 0.001$; Wilcoxon Rank Sum test for both
1536 metrics).

1537

1538 **Fig S11. Mean protein similarity reveals immense diversity in *Hanseniaspora*.**

1539 The FEL spans a large amount of mean protein similarity when comparing various
1540 species to *H. uvarum*. Similarly, but to a lesser degree, the same is true for the SEL when
1541 comparing various species to *H. vineae*. The diversity observed in these lineages is roughly on
1542 par with genus-level differences within the family Saccharomycetaceae, humans to zebrafish,
1543 and thale cress (*Arabidopsis thaliana*) to Japanese rice.











