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4	An insect serotonin receptor mediates cellular immune responses
5	and its inhibition by phenylethylamide derivatives from bacterial
6	secondary metabolites
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20 Abstract

21 Serotonin (5-hydroxytryptamine: 5-HT) is a biogenic monoamine that mediates immune responses and modulates nerve signal in insects. Se-5HTR, a specific receptor of serotonin, has 22 23 been identified in the beet armyworm, Spodoptera exigua. It is classified into subtype 7 among known 5HTRs. Se-5HTR was expressed in all developmental stages of S. exigua. It was 24 expressed in all tested tissues of larval stage. Its expression was up-regulated in hemocytes and 25 26 fat body in response to immune challenge. RNA interference (RNAi) of Se-5HTR exhibited 27 significant immunosuppression by preventing cellular immune responses such as phagocytosis 28 and nodulation. Treatment with an inhibitor (SB-269970) specific to 5HTR subtype 7 resulted in 29 significant immunosuppression. Such immunosuppression was also induced by bacterial secondary metabolites derived from Xenorhabdus and Photorhabdus. To determine specific 30 bacterial metabolites inhibiting Se-5HTR, this study screened 37 bacterial secondary metabolites 31 32 with respect to cellular immune responses associated with Se-5HTR and selected 10 potent 33 inhibitors. These 10 selected compounds competitively inhibited cellular immune responses 34 against 5-HT and shared phenylethylamide (PEA) chemical skeleton. Subsequently, 46 PEA derivatives were screened and resulting potent chemicals were used to design a compound to be 35 highly inhibitory against Se-5HTR. The designed compound was chemically synthesized. It 36 37 showed high immunosuppressive activities along with specific and competitive inhibition activity for Se-5HTR. This study reports the first 5HT receptor from S. exigua and provides its 38 39 specific inhibitor designed from bacterial metabolites and their derivatives.

41 Author Summary

Serotonin (5-hydroxytryptamine: 5-HT) plays a crucial role in mediating nerve and immune 42 signals in insects. Interruption of 5-HT signal leads to malfunctioning of various insect 43 physiological processes. Se-5HTR, a 5-HT receptor of beet armyworm, Spodoptera exigua, was 44 45 identified and classified as subtype 7 (5-HT₇) of 5-HT receptors. A specific inhibitor (SB-269970) 46 for $5-HT_7$ highly inhibited immune responses such as phagocytosis and nodulation mediated by Se-5HTR. Two entomopathogenic bacteria, Xenorhabdus and Photorhabdus, could secrete 47 48 potent inhibitors against immune responses mediated by 5-HTR. Bacterial secondary metabolites were screened against Se-5HTR-mediating immune responses. Most of resulting compounds 49 50 shared phenylethylamide (PEA) chemical skeleton. Subsequent screening using PEA derivatives 51 supported the importance of this chemical skeleton. Based on their relative inhibitory activities, a 52 compound was designed and synthesized. This novel compound possessed high inhibitory activities against Se-5HTR-mediating immune responses and exhibited competitive inhibition 53 54 with 5-HT.

56 Introduction

Serotonin or 5-hydroxytryptamine (5-HT) is a biogenic monoamine found across most phyla of 57 life [1]. This indolamine compound is biosynthesized from tryptophan by successive catalytic 58 59 activities of tryptophan hydroxylase and aromatic-L-amino acid decarboxylase [2-4] primarily in nervous systems [5,6]. In human and other vertebrates, serotonin is a well-known 60 neurotransmitter involved in mood, appetite, sleep, anxiety, cognition, and psychosis [7-9]. 61 Outside the nervous system, serotonin plays important roles as growth factor and regulator of 62 hemostasis and blood clotting [10,11]. In plants, serotonin is basically involved in stress 63 64 signaling [12]. Serotonin plays crucial role in physiological and behavioral processes in insects 65 and other invertebrates [13,14]. In *Drosophila melanogaster*, there is evidence that serotonin is required for courtship and mating [15], circadian rhythm [16,17], sleep [18], locomotion [13,19], 66 aggression [20], insulin signaling and growth [21], and phagocytosis [22]. Serotonin is also 67 involved in olfactory processing [23], feeding behavior [19], heart rate [24], and responses to 68 69 light [25] in *D. melanogaster* larvae.

70 Serotonin modulates physiological processes by binding to specific receptors. Seven main subtypes of serotonin receptors have been classified in vertebrates [26]. Except 5-HT₃ receptor, 71 the other six classes (5-HT₁, 5-HT₂, 5-HT₄, 5-HT₅, 5-HT₆, and 5-HT₇ receptors) belong to G 72 protein-coupled receptor family [27]. Among these receptors, 5-HT₁ and 5-HT₅ receptors can 73 inhibit cAMP synthesis by preferentially coupling to a trimeric G protein G_{i/o} [28]. 5-HT₂ 74 receptor uses G_{a/11} to induce breakdown of inositol phosphates, resulting in an increase in 75 cytosolic Ca^{2+} level [28]. Besides, 5-HT₄, 5-HT₆, and 5-HT₇ receptors coupled to G_s can 76 stimulate cAMP production [28]. However, 5-HT₃ receptor is a ligand-gated cation channel that 77 78 mediates neuronal depolarization [29].

79 Insects have at least three subtypes of 5-HT receptors. Five different 5-HT receptors as orthologous to mammalian 5-HT_{1A}, 5-HT_{1B}, 5-HT_{2A}, 5-HT_{2B}, and 5-HT₇ have been identified in 80 D. melanogaster [14]. In addition, partial sequences of two 5-HT₁, two 5-HT₂, and one 5-HT₇ 81 82 receptors have been identified in the field cricket Gryllus bimaculatus [30]. Two 5-HT₁ receptors and two 5-HT₁ splice variants have been described in *Tribolium castaneum* and *Papilio xuthus*, 83 respectively [31,32]. As from D. melanogaster and G. bimaculatus, two 5-HT₂ receptors have 84 been described from Apis mellifera while only one 5-HT₂ receptor has been reported in other 85 insects such as *Periplaneta americana* and *Locusta migratoria* [33,34]. In a lepidopteran species, 86 87 Pieris rapae, four different 5-HT receptors including a novel subtype 8 have been reported [35,36]. 88

5-HT modulates various physiological processes via expression of different receptor types in 89 various tissues. 5-HT receptors are expressed highly in brain and ventral nerve cord of insects 90 [32]. 5-HT₁ receptor in honey bee brain is involved in visual information processing [37]. 91 92 Expression pattern of 5-HT₇ receptor in honey bee nervous system suggests its possible roles in 93 information processing, learning, and memory [38]. 5-HT receptors might play roles in neuroendocrine secretion and gut motility in cockroaches [29]. In salivary gland of several 94 insects, 5-HT₇ receptor has been reported to be involved in salivary secretion mediated by cAMP 95 level elevation [33,39,40]. Moreover, 5-HT₇ receptor mediates visceral muscle contraction in the 96 gastrointestinal tract of several insects including A. aegypti and T. castaneum [41,42]. 5-HT not 97 98 only has neurophysiological roles, but also mediates cellular immune responses in insects by 99 enhancing phagocytosis and nodulation [43]. Two different 5-HT receptors (1B and 2B) are expressed in hemocytes of P. rapae, of which 5-HT receptor 1B mediates cellular immune 100 101 response [22]. In another lepidopteran insect, Spodoptera exigua, 5-HT mediates increase of total

circulatory hemocyte number by stimulating sessile hemocytes and mediating cellular immune
 responses such as phagocytosis and nodule formation [44,45]. However, 5-HT receptor in *S. exigua* has not been reported yet.

105 Two entomopathogenic bacteria, Xenorhabdus and Photorhabdus, can inhibit insect immune responses to protect themselves and their symbiotic nematodes [46]. To accomplish host 106 immunosuppression, these bacteria can synthesize and secrete secondary metabolites to inhibit 107 108 immune signals and effectors [47]. Among these bacterial metabolites, tryptamine and phenylethylamide derivatives have been identified with suggested function of interrupting 5-HT 109 signaling [48]. The objective of the present study was to determine bacterial secondary 110 compound(s) that could inhibit 5-HT signaling. To this end, we identified 5-HT receptor that 111 could mediate insect immunity in S. exigua. To determine a potent inhibitor of this receptor, we 112 113 screened bacterial secondary metabolites and their potent derivatives.

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115 Results

116 Bioinformatics analyses reveal that S. exigua contains a 5-HT receptor

From a short read archive database (GenBank accession number: SRR1050532) of S. exigua, a 117 highly matched contig (accession number: GARL01017386.1) containing 3,308 bp nucleotide 118 sequence with an open reading frame (ORF) from 996th to 2.690th bp was identified. Prediction 119 of amino acid sequence by BlastP analysis revealed that its ORF sequence shared identities with 120 other insect 5-HT receptors: 99% with Spodoptera litura 5HTR (XP_022827337.1), 98% with 121 Helicoverpa armigera 5HTR (XP_021195909.1), 95% 122 with *Trichoplusia* ni 5HTR (XP_026729862.1), and 85% with Manduca sexta 5HTR (AGL46976.1). This novel 5-HT 123 124 receptor from S. exigua (Se-5HTR) encoded a sequence of 564 amino acids having a predicted

125 molecular weight of about 63.23 kDa. Phylogenetic analysis of its protein sequence indicated that Se-5HTR was clustered with other 5-HT₇ receptors (Fig 1A). Predicted amino acid sequence 126 of Se-5HTR contained a signal peptide of 35 residues and attained GPCR character with seven 127 128 transmembrane domains. Consensus N-linked glycosylation sites are located in the N-terminus $(Asn^{48} and Asn^{53})$, the third intracellular loop (Asn^{362}) , and C-terminus (Asn^{534}) . Several 129 consensus sites for phosphorylation by protein kinase A and/or protein kinase C were predicted 130 131 throughout the length of the sequence. A disulfide bond between two Cys residues at extracellular matrix ('ECM', S1 Fig) is also predicted. 132

Se-5HTR sequence attains highly conserved amino acid residues (Fig 1B) found uniquely in 133 the biogenic monoamine receptor family [49-51]. Negatively charged Asp residue in TM3 134 (Asp¹⁶³) might interact with positively charged amino group of the ligand. A hydrogen bond 135 between the hydroxyl group of serine residue in TM5 (Ser²⁴⁷) and the hydroxyl group of 5-HT 136 was predicted. In TM6, the consensus sequence unique to aminergic receptors (Phe⁴⁴⁴-X-X-X-137 Trp⁴⁴⁸-X-Pro⁴⁵⁰-X-Phe⁴⁵²) is conserved. Like other GPCRs, a possible motif (Asn⁴⁸⁵-Pro⁴⁸⁶-X-X-138 Tyr⁴⁸⁹) that might participate in agonist-mediated sequestration and re-sensitization of Se-5HTR 139 is conserved in TM7. The C-terminus of the receptor contains two potential post-translational 140 palmitoylation cysteine residues (Cys⁵⁰⁸ and Cys-⁵³²) and PDZ (post synaptic density protein, 141 Drosophila disc large tumor suppressor, and zonula occludens-1 protein)-domain binding motif 142 (Glu⁵⁶¹-Ser⁵⁶²-Phe⁵⁶³-Leu⁵⁶⁴). 143

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145 Se-5HTR is expressed in all developmental stages and larval tissues

146 Expression of *Se-5HTR* in different developmental stages of *S. exigua* was assessed by RT-PCR.

147 Results showed its expression from egg to adult stages (Fig 2A). RT-qPCR revealed variation in

148 its expression among developmental stages, with L5 larvae and adults showing the highest 149 expression levels. In L5 larvae, all tissues analyzed by RT-PCR showed its expression (Fig 2B). 150 RT-qPCR revealed that the midgut exhibited the highest expression level of Se-5HTR. 151 Hemocytes and brain also showed relatively high levels of its expression. Basal expression levels 152 of Se-5HTR were highly up-regulated in response to immune challenge (Fig 2C). Se-5HTR 153 expression was increased ~125-fold after challenge with fungus, Beauveria bassiana compared to control (unchallenged). It was increased 60~90-fold after bacterial challenge compared to 154 155 naïve larvae.

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157 Specific inhibitors against 5-HTR suppress hemocyte behaviors of *S. exigua*

A commercial inhibitor (SB-269970) specific to 5-HT₇ was used to assess its effect on hemocyte behaviors of *S. exigua* (Fig 3). Total hemocyte count (THC) of L5 larvae was $\sim 1.2 \times 10^7$ cells/mL (Fig 3A). THC was significantly (*P* < 0.05) increased in response to 5-HT or bacterial challenge. SB-269970 prevented the increase of THC in response to bacterial challenge. Its IC₅₀ value was estimated to be 1.925 μ M. These results suggest that Se-5HTR can mediate hemocyte mobilization in response to 5-HT upon bacterial challenge.

To determine the modulation effect of Se-5HTR on hemocyte-spreading behavior, competitive inhibition between SB-269970 and 5-HT against Se-5HTR was assessed (Fig 3B). Hemocytes were spread on slide glass with growth of F-actin. However, SB-269970 significantly (P < 0.05) suppressed such hemocyte-spreading behavior. The inhibitory effect of the inhibitor was rescued by addition of 5-HT. A relatively low dose (SB-269970: 5-HT = 10:1) of 5-HT did not rescue the inhibitory effect. However, a relatively high dose (1:10) of 5-HT significantly (P <0.05) rescued such inhibitory effect, indicating a specific competition between inhibitor and 171 ligand against Se-5HTR.

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173 **RNA interference (RNAi) of Se-5HTR**

To address the immunomodulatory effect of Se-5HTR on hemocytes, its gene expression was knocked-down by RNAi (Fig 4). RNAi was performed using dsRNA specific to *Se-5HTR*. dsRNA-injected larvae exhibited significant (P < 0.05) reduction of *Se-5HTR* expression. RTqPCR analysis showed that about 90% of *Se-5HTR* mRNA was suppressed by dsRNA treatment at 24 h PI (Fig 4A). At 24 h PI of dsRNA, *Se-5HTR* expression was analyzed in four different tissue samples. Compared to control tissues, dsRNA-treated larvae exhibited significant (P < 0.05) reduction of *Se-5HTR* expression in all tissues including hemocytes.

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182 RNAi of Se-5HTR suppresses hemocyte behaviors of S. exigua

The effect of RNAi specific to Se-5HTR on hemocyte mobilization in response to bacterial challenge was analyzed (Fig 4B). Upon bacterial challenge, THC increased by more than two folds. However, RNAi treatment significantly (P < 0.05) suppressed such increase of THC. The RNAi treatment also significantly (P < 0.05) influenced hemocyte-spreading behavior (Fig 4C). On glass slide, hemocytes exhibited spreading behavior in 40 min by cytoskeletal rearrangement through F-actin growth (see phalloidin staining). However, hemocytes collected from larvae treated with RNAi specific to Se-5HTR lost such behavior.

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191 Modulation of cellular immune responses by Se-5HTR

192 The influence of Se-5HTR on modulating hemocyte-spreading behavior suggested that it might 193 mediate cellular immune responses against bacterial infection. Phagocytosis against FITC- labeled *Escherichia coli* was observed in hemocytes from control larvae. Labeled bacteria were observed within hemocytes of control larvae (Fig 5A). However, hemocytes of larvae treated with dsRNA specific to Se-5HTR significantly (P < 0.05) lost such phagocytosis, similar to that found for hemocytes of larvae treated with SB-269970. Phagocytosis was decreased around 57% or 78% after treatment with dsRNA or inhibitor, respectively. In response to bacterial challenge, *S. exigua* formed ~78 hemocytic nodules per larva (Fig 5B). However, RNAi specific to Se-5HTR or inhibitor treatment significantly (P < 0.05) reduced such cellular immune response.

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202 Influence of bacterial secondary metabolites on immune responses mediated by Se-5HTR

203 RNAi or specific inhibitor assays indicated that 5-HTR could mediate both cellular immune 204 responses of phagocytosis and nodule formation in response to bacterial challenge. Bacterial 205 metabolites of two entomopathogens, Xenorhabdus nematophila (Xn) and Photorhabdus 206 temperata temperata (Ptt), were extracted from their culture broth using different organic 207 solvents and their inhibitory activities against cellular immune responses were then assessed (Fig. 208 6). Organic solvent extracts of Xn- or Ptt-cultured broth exhibited significant (P < 0.05) inhibitory activities against phagocytosis (Fig 6A) and nodulation (Fig 6B), although there were 209 210 variations in their inhibitory activities among extracts.

To identify bacterial secondary compounds that could inhibit Se-5HTR, 37 compounds (HB4 - HB602) derived from *Xenorhabdus* and *Photorhabdus* [47] were screened for their inhibitory activities against hemocyte nodule formation and phagocytosis (Fig 7). More than three 75% (28 out of 37 compounds) of these test compounds exhibited significant (P < 0.05) inhibition against phagocytosis (Fig 7A). In nodulation assay, all test compounds exhibited significant (P < 0.05) inhibition (Fig 7B). Since Se-5HTR could mediate both cellular immune responses, bacterial compounds that highly inhibited both phagocytosis (\Box 60%) and nodulation (\Box 30 nodules per larva) were selected. As a result, 10 potent chemicals (HB 4, HB 5, H23, HB 30, HB44, HB 45, HB 50, HB 223, HB 302, and HB 531) belonging to six chemical categories [phenylethylamide (PEA), tryptamide, xenortide, xenocycloin, nematophin, and GameXPeptide] were found (S2 Fig). All these compounds exhibited median inhibitory concentration (IC₅₀) of 58~253 μ M against cellular immune response of hemocytic nodulation.

To support the specific inhibitory activity of these selected compounds against Se-5HTR, competitive assay was performed between test compounds and 5-HT (Fig 8). With a constant concentration of 5-HT, phagocytotic behavior of *S. exigua* hemocytes gradually decreased with increasing amount of test compound (Fig 8A). On the other hand, increase of 5-HT amount gradually decreased the phagocytotic behavior using a constant amount of test compound (Fig 8B).

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Influence of PEA derivatives on phagocytosis mediated by Se-5HTR

231 These 10 selected compounds shared phenyl (or aromatic) ethylamide backbone except xenocycloin (S2 Fig). Based on PEA backbone, 45 derivatives were selected from a chemical 232 bank and their inhibitory activities against the phagocytotic behavior of S. exigua hemocytes 233 234 were tested (Fig 9A). More than 66% (30 out of 45 compounds) of PEA derivatives exhibited 235 significant (P < 0.05) inhibition against phagocytosis. Three PEA derivatives (Ph15, Ph17, Ph33) had inhibitory activities similar to a bacterial metabolite (HB 44), with IC₅₀ at $1.8 \sim 5.6 \mu M$ 236 237 against phagocytosis (Fig 9B). These three PEA derivatives competitively inhibited the phagocytosis mediated by Se-5HTR (Fig 9C). 238

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240 Synthesis of a potent inhibitor and inhibitory efficacy against Se-5HTR

241 Potent compounds from 45 PEA derivatives were analyzed for their structures and activities against phagocytosis mediated by Se-5HTR (S3 Fig). They shared the PEA backbone. However, 242 243 they had different side chains at 'X' and 'Y' (Fig S6A). When different X substituents were compared for their inhibitory activities, methoxy was the most potent moiety (Fig S6B). When 244 different Y substituents were compared for their inhibitory activities with respect to identical X 245 246 groups, hexahydropyrrolo[1,2-a]pyrazine-1,4-dione was the most potent moiety (Fig S6C). This 247 analysis allowed us to design a hypothetical compound containing methoxy in X and 248 hexahydropyrrolo[1,2-a]pyrazine-1,4-dione at Y based on PEA backbone (Fig. S6D).

The designed compound ('PhX') was chemically synthesized and in its inhibitory activity against phagocytosis was assessed compared with a specific 5-HT₇ inhibitor, SB269970, as a reference (Fig 10). PhX was highly potent. It competitively inhibited cellular immune response with 5-HT. Its inhibitory activity was more potent (t = 14.9; df = 32; $P \square 0.0001$) than SB269970.

254 **Discussion**

5-HT plays a crucial role in mediating cellular immune responses of S. exigua by stimulating 255 actin rearrangement [45]. Furthermore, it performs a functional cross-talk with eicosanoid 256 257 signaling via a small G protein Rac1 [52]. However, its signaling pathway leading to immune responses remains unclear due to the lack of its receptor information in S. exigua. This study 258 identified a 5-HT receptor and showed its physiological function in mediating immune responses. 259 260 Se-5HTR attains seven transmembrane domains and shares common molecular characters with other 5-HT receptors. Like other GPCRs, Se-5HTR contains the canonical seven 261 transmembrane domains along with consensus glycosylation in the N-terminus (Asn⁴⁸ and Asn⁵³) 262

[53]. Additionally, its sequence contains a consensus aspartic acid residue in TM3 (Asp^{163}) and a 263 serine residue in TM5 (Ser²⁴⁷) to interact with functional groups of biogenic monoamines [54]. 264 At the intracellular border of TM3, the highly conserved Asp¹⁸⁰-Arg¹⁸¹-Tyr¹⁸² motif is evident for 265 a strong ionic interaction with Glu⁴³⁰ residue adjacent to the intracellular end of TM6 that plays a 266 crucial role in GPCR signal transduction [55]. The sequence contains consensus Phe⁴⁴⁴-X-X-X-267 Trp⁴⁴⁸-X-Pro⁴⁵⁰-X-Phe⁴⁵² motif in TM6 which is unique to biogenic monoamine GPCRs [38]. 268 269 Additionally, Se-HTR contains two potential post-translational palmitoylation cysteine residues (Cys⁵⁰⁸ and Cys⁵³²) [56] at the final intracellular region with a PDZ-domain binding motif 270 (Glu⁵⁶¹-Ser⁵⁶²-Phe⁵⁶³-Leu⁵⁶⁴) at the C-terminus [57]. 271

Se-5HTR is expressed in all developmental stages. It is expressed in immune-associated 272 tissues (hemocytes and fat body), digestive (gut) tissues, and nervous (brain) tissues at larval 273 274 stage. Three 5-HT receptors of *P. rapae* larvae are all expressed, although their expression levels 275 in tissues are different. Subtypes 1A and 1B receptor are highly expressed in nervous tissues while subtype 7 receptor is mainly expressed in digestive tissue [36]. Se-5HTR was also highly 276 277 expressed in the gut like 5-HT₇ of *P. rapae*. Furthermore, our phylogenetic analysis of 5-HT receptors showed that these two insect 5-HT₇s were closely related and clustered. Expression 278 279 levels of *Se-5HTR* in hemocytes were similar to those in the brain. This suggests that Se-5HTR is 280 associated with immune function as well as neurophysiological function. Indeed, bacterial or fungal infection up-regulated the expression of Se-5HTR. The increase of Se-5HTR expression 281 might be explained by the up-regulation of *de novo* biosynthesis of its ligand, 5-HT, via increase 282 in expression of biosynthetic genes as seen in hemocytes of *P. rapae* larvae after immune 283 challenge [22]. 284

285 The presence of 5-HT₇ receptor in hemocytes of S. exigua and its physiological function 286 associated with immune responses were supported by its sensitivity to specific 5-HTR inhibitors. In response to 5-HT or bacterial challenge, S. exigua larvae exhibited significant increase of 287 288 THC. This up-regulation of THC was explained by mobilization of sessile hemocytes to circulatory form by cytoskeletal rearrangement via a small G protein, Rac1 [45,52]. This 289 290 suggests that Se-5HTR can activate Rac1 to stimulate the hemocyte behavior. In vertebrates, 291 activation of 5-HT₇ receptor increases cAMP level via a trimeric G protein G_s and small G 292 proteins of Rho family including Cdc42, RhoA, and Rac1 via another trimeric G protein G_{12} [58]. 293 This suggests that immune challenge can induce biosynthesis and release of 5-HT which then 294 binds to Se-5HTR on hemocytes and activates Rac1 to stimulate hemocyte behaviors. In addition, the cAMP pathway triggered by Se-5HTR might activate Akt and ERK1/2 as seen in mammalian 295 296 cancer cells [59] to facilitate actin rearrangement to form cellular shape change of hemocytes. These findings suggest that Se-5HTR plays a crucial role in hemocyte migration and cell shape 297 298 change during cellular immune responses. Indeed, RNAi of Se-5HTR expression resulted in 299 significant immunosuppression by exhibiting reduction in phagocytosis and nodulation.

300 Bacterial metabolites derived from two entomopathogens, *Xenorhabdus* and *Photorhabdus*, inhibited cellular immune responses mediated by Se-5HTR. Especially, six chemical groups 301 (phenylethylamide, tryptamide, xenortide, xenocycloin, nematophin, and GameXPeptide) highly 302 inhibited both phagocytosis and nodulation, suggesting that they can inhibit Se-5HTR. This was 303 304 supported by their competitive inhibition with the ligand, 5-HT. Bacterial secondary metabolites 305 may be synthesized and released in the bacterium-nematode complex in order to defend immune attack from target insect to compete with other microbes occurring in the insect cadaver and 306 307 facilitate host nematode development or bacterial quorum sensing [47]. It has been reported

308 phenylethylamides and tryptamides identified from *Xenorhabdus* can act as quorum quenching 309 activators by competitive binding to N-acylated homoserine lactone (AHL) receptor because 310 AHL accumulation drives gene expression of bioluminescence, virulence factor, and biofilm 311 formation in bacteria [60,61]. Xenortides are linear peptides consisting of 2-8 amino acids synthesized from both Xenorhabdus and Photorhabdus [62]. They are synthesized in insect hosts 312 during infection with putative role in inhibiting prophenoloxidase activation to suppress insect 313 immunity [63]. Xenocycloins produced by X. bovienii are cytotoxic to hemocytes of Galleria 314 315 *melonella* [64]. Nematophin is synthesized by condensation of α -keto acid and tryptamine in X. 316 *nematophila*. It possesses a specific antibacterial activity against *Staphylococcus aureus* [65]. 317 GameXPeptides are cyclic pentapeptides widely synthesized in both Xenorhabdus and 318 Photorhabdus. However, their biological functions remain unclear [66]. This current study showed that these six compound classes could inhibit cellular immune responses by competitive 319 inhibition with 5-HT against Se-5HTR. 320

A novel phenylethylamide compound, PhX, was found to be highly inhibitory against Se-5HTR. Derivatives of phenylethylamide compound (HB 4) exhibited different inhibitory activities against cellular immune responses mediated by Se-5HTR. Especially, PhX containing methoxy and hexahydropyrrolo[1,2-a]pyrazine-1,4-dione exhibited the highest inhibitory activity. 5-HT receptors have been used for screening for potent insecticides with growth-inhibiting or larvicidal activities against *Pseudaletia separata* [67]. Thus, PhX can be a candidate for this application unless it shows mammalian or non-target species toxicity [68].

328

329 Materials and methods

330 Insect rearing and microbial culture

331	A laboratory strain of S. exigua was originated from Welsh onion field (Andong, Korea) and
332	maintained for ~20 years. Larvae of this laboratory strain were reared on an artificial diet [69] at
333	temperature of 25 \pm 1°C and relative humidity of 60 \pm 10% with a photoperiod of 16:8 h (L:D).
334	Under these conditions, larvae had five instars ('L1-L5'). Adults were reared with 10% sucrose

cultured in Luria-Bertani (LB) medium (BD Korea, Seoul, Korea) in a shaking incubator (200

solution. For immune challenge, Escherichia coli Top10 (Invitrogen, Carlsbad, CA, USA) was

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339 Chemicals

rpm) at 37°C overnight (16 h).

340 Serotonin hydrochloride was purchased from Sigma-Aldrich Korea (Seoul, Korea). It was 341 dissolved in distilled water. SB-269970 (a specific inhibitor to 5-HT receptor subtype 7, 5-HT₇) was purchased from Cayman Chemical Company (Korea). It was dissolved in desired 342 concentrations with dimethyl sulfoxide (DMSO). Fluorescein isothiocyanate (FITC) [2-(6-343 344 hydroxy-3-oxo-3h-xanthen-9-yl)-5-isothiocyanatobenzoic acid] was purchased from Sigma-345 Aldrich Korea. It was dissolved in DMSO to make a solution at 10 mg/mL. Anticoagulant buffer (ACB) was prepared using 98 mM NaOH, 186 mM NaCl, 17 mM Na₂EDTA, and 41 mM citric 346 acid at pH 4.5. Phosphate-buffered saline (PBS) was prepared at pH 7.4 with 50 mM sodium 347 348 phosphate and 0.7% NaCl. Tris-buffered saline (TBS) was prepared using 150 mM NaCl, 50 mM Tris-HCl at pH 7.6. Hank's balanced salt solution (HBSS) was prepared with the following 349 compositions: 8 g NaCl, 400 mg KCl, 40 mg Na₂HPO₄, 60 mg KH₂PO₄, 1 g glucose, 140 mg 350 CaCl₂, 120 mg MgSO₄, and 350 mg NaHCO₃ in 1,000 mL distilled H₂O. 351

352

353 Bioinformatics to search for 5-HT receptor and sequence analysis

354 S. exigua 5-HT receptor (Se-5HTR) sequence was obtained from GenBank by manual annotation. 355 Briefly, a dopamine receptor sequence (AKR18180.1) of *Chilo suppressalis* was used to screen a transcriptome (SRR1050532) of S. exigua. A blast contig (GARL01017386.1) was analyzed for 356 357 open reading frame (ORF) and the predicted amino acid sequence was used for analysis using BlastP program against GenBank (www.ncbi.nlm.nih.gov). After confirming its high homologies 358 (E value $< 10^{-20}$) with other known insect 5HTRs, the resulting ORF sequence (= Se-5HTR) was 359 deposited at NCBI-GenBank (accession number: MH025798). Sequence alignment was 360 established using Clustal Omega tool (https://www.ebi.ac.uk/Tools/msa/clustalo/) provided by 361 European Bioinformatics Institution. Phylogenetic tree was generated with Neighbor-joining 362 method using Mega6 and ClustalW programs. Bootstrapping values were obtained with 1,000 363 repetitions to support branch and clustering. Protein domain was predicted using InterPro tool 364 (https://www.ebi.ac.uk/interpro/), pfam (http://pfam.xfam.org), and Prosite 365 (http://prosite.expasy.org/). 366

367

368 **RNA extraction and cDNA preparation**

Using Trizol reagent (Invitrogen), total RNAs were extracted from all developmental stages as 369 well as larval tissues (hemocyte, midgut, fat body, and brain) of S. exigua according to the 370 371 instruction of the manufacturer. Numbers of individuals used for RNA extraction for each individual developmental stage were as follows: ~500 eggs, ~20 larvae for L1-L2, ~10 larvae for 372 L3, ~5 larvae for L4, one larva for L5, one pupa, and one adult. L5 larvae were used for RNA 373 extraction from different tissue samples. After extraction, total RNAs were resuspended in 374 nuclease-free water and cDNAs were synthesized from ~1 µg of RNAs using Maxime RT 375 376 Premix (Intron Biotechnology, Seoul, Korea) according to the manufacturer's instruction.

377

378 Expression pattern of Se-5HTR by RT-qPCR

A fragment of Se-5HTR was amplified with gene-specific primers (5 - CTT TAC CTT CGT 379 380 GTC TTC TC-3 and 5 - GGT GTC AGT CTT CTC ATT AC -3). PCR was performed with 35 cycles of denaturation (94°C, 1 min), annealing (49°C, 1 min), and extension (72°C, 1 min). 381 PCR products were subjected to agarose gel electrophoresis to visually confirm their 382 383 amplifications. With the same gene-specific primers used in RT-PCR, RT-qPCR was performed in a qPCR machine (CFX ConnectTM Real-Time PCR Detection System, Bio-Rad, Hercules, CA, 384 USA) using SYBR Green Realtime PCR Master Mix (Toyobo, Osaka, Japan) under a guideline 385 of Bustin et al. [70]. The amplification used 40 cycles of 15 s at 95°C, 30 s at 60°C, and 45 s at 386 72°C. After PCR reactions, melting curves from 60 to 95°C were obtained to confirm unique 387 388 PCR products. A ribosomal protein, RL32, gene was used as a control with primers of 5 -ATG CCC AAC ATT GGT TAC GG-3□ and 5□-TTC GTT CTC CTG GCT GCG GA-3□. Each 389 treatment was independently triplicated. Relative quantitative analysis method $(2^{-\Delta\Delta CT})$ was used 390 391 to estimate mRNA expression levels of Se-5HTR.

392

393 **RNA interference (RNAi)**

394 Gene fragment of Se-5HTR was amplified from template DNA using gene-specific primers (5'-

395 CTT TAC CTT CGT GTC TTC TC-3' and 5'-GGT GTC AGT CTT CTC AT-3') possessing T7

RNA polymerase promoter sequence (5'-TAA TAC GAC TCA CTA TAG GGA GA-3') at 5'

ends. PCR was performed with 5 cycles of denaturation (94°C, 1 min), annealing (49°C, 1 min),

and extension (72°C, 1 min) followed by 30 cycles of denaturation (94°C, 1 min), annealing

 $(60^{\circ}C, 1 \text{ min})$, and extension $(72^{\circ}C, 1 \text{ min})$ to synthesize DNA template for dsRNA synthesis.

Double-stranded RNA (dsRNA) against Se-5HTR ('dsSe-5HTR') was synthesized using 400 401 Megascript RNAi kit (Ambion, Austin, TX, USA) following the manufacturer's instruction. The resulting dsRNA was blended with Metafectene PRO (Biontex, Plannegg, Germany) at 1:1 (v:v) 402 403 ratio and incubated at 25°C for 30 min for liposome formation. Two microliters of the prepared mixture containing ~900 ng of dsRNA was injected twice into S. exigua larval hemocoel using a 404 microsyringe (Hamilton, Reno, NV, USA) equipped with a 26-gauge needle. The first injection 405 was at late L4 stage. It was repeated 12 h afterwards. RNAi efficacy at 0, 24, and 48 h post-406 injection (PI) in reducing Se-5HTR expression was determined by RT-qPCR. At 24 h PI, treated 407 408 larvae were used for immune challenge experiments. Each treatment was replicated thrice using 10 larvae for each replication. 409

410

411 **Total hemocyte count (THC)**

Hemolymph was collected by cutting larval proleg and mixed with ACB (1:10, v/v). Hemocytes 412 were counted using a Neubauer hemocytometer (Superior Marienfeld, Lauda-Königshofen, 413 414 Germany) under a phase contrast microscope (BX41, Olympus, Tokyo, Japan) at 100× magnification. Heat-killed (90°C, 30 min) *Escherichia coli* (5 \times 10⁵ cells/larva) and a test 415 chemical (5-HT or SB-269970) were co-injected into hemocoel through abdominal proleg of L5 416 larvae in a volume of 5 µL using a 10 µL micro-syringe (Hamilton) after surface-sterilization 417 with 70% ethanol. After 4 h of incubation at $25 \pm 2^{\circ}$ C, hemolymph of the insect was collected 418 and assessed for THC. 419

420

421 Hemocyte-spreading analysis

422 After hemolymph (~150 μL) was collected from five L5 larvae by cutting prolegs, it was mixed

423 with three times volume of ice-cold ACB and incubated on ice for 30 min. ACB-treated 424 hemolymph was then centrifuged at 800 \times g for 5 min at 4°C. The resulting pellet was resuspended in 500 µL of filter-sterilized TC-100 insect cell culture medium (Welgene, Daegu, 425 426 Korea). On a glass coverslip placed in a moist chamber, 10 μ L of hemocyte suspension was applied and placed in a dark condition. Hemocytes were then fixed with 4% paraformaldehyde 427 (filter-sterilized) at 25°C for 10 min and then washed thrice with filter-sterilized PBS. Cells were 428 429 then permeabilized with 0.2% Triton-X dissolved in PBS at 25°C for 2 min and washed with PBS. After that, hemocytes were blocked using 10% bovine serum albumin (BSA) dissolved in 430 PBS at 25°C for 10 min and washed again with PBS. Cells were then incubated with FITC-431 tagged phalloidin in PBS for 60 min and washed thrice with PBS. Hemocytes nuclei were then 432 stained by incubating with 4',6-diamidino-2-phenylindole (DAPI, 1 µg/mL) (Thermo Fisher 433 Scientific, Rockford, IL, USA) dissolved in PBS and washed thrice with PBS. Hemocytes were 434 then observed under a fluorescence microscope (DM2500, Leica, Wetzlar, Germany) at $400 \times$ 435 magnification. Hemocyte-spreading was dictated by appendages of F-actin outward the 436 437 hemocyte cell boundary.

To assess effect of *Se-5HTR* RNAi on hemocyte-spreading, hemocytes were collected at 24 h after injecting dsSe-5HTR (~900 ng/larva). In separate experiments, 5-HT and 5-HTR inhibitor SB-269970 were co-injected into dsRNA-treated larvae at ratios of 1:10 and 10:1 to check their influence on hemocyte-spreading. At 6 h after co-injection, hemolymph was collected from the treated larva and hemocyte-spreading was observed using the above-mentioned method.

443

444 Nodulation assay

445 Overnight culture of *E. coli* Top10 bacterial cells was washed with PBS and centrifuged at 1,120

446 \times g for 10 min. L5 larvae were used to assess hemocyte nodule formation by immune-challenge with bacterial injection (~1.8 \times 10⁵ cells/larva) through the abdominal proleg into larval 447 hemocoel using a microsyringe as previously described. To assess the effect of Se-5HTR RNAi 448 449 on nodule formation, bacterial challenge was performed at 24 h after injecting dsSe-5HTR (~900 ng/larva). To check the influence of chemicals on nodule formation, 2 µg of ketanserin and/or 10 450 µg of 5-HT was co-injected along with the bacterial suspension. In separate experiment, 1 µg of 451 452 the bacterial secondary metabolite was co-injected with the bacterial suspension to assess 453 immune suppression activity of the test compound. After an incubation period of 8 h at 25°C, 454 treated insects were dissected under a stereo microscope (SZX9, Olympus, Japan) to count melanized nodule numbers. Each treatment was triplicated independently using five insects for 455 each replication. 456

457

458 **Phagocytosis assay**

Preparation of FITC-labeled bacterial cells followed the method described by Harlow and Lane 459 460 (1998). Briefly, E. coli Top10 cells were cultured in 50 mL of Luria-Bertani broth (37°C, 16 h). These bacterial cells were then harvested by centrifuging 1 mL of the cultured broth at $1,120 \times g$ 461 for 10 min at 4°C. Bacterial cells (10⁵ cells/mL) were washed twice with TBS and re-suspended 462 in 1 mL of 0.1 M sodium bicarbonate buffer (pH 9.0). In the suspension, 1 μ L of 10 mg/mL 463 FITC solution was added and immediately mixed. The mixture was then incubated under 464 darkness with end-over-end rotation at 25°C for 30 min. After the incubation, FITC-tagged 465 bacteria were harvested by centrifugation at $22,000 \times g$ for 20 min at 4°C. These bacterial cells 466 were washed thrice with HBSS to remove unbound dye and re-suspended in TBS. 467

468 To assess *in vivo* phagocytosis activity, 5 µL of FITC-tagged *E. coli* suspension was injected

469 into the hemocoel of L5 larva through the proleg. To assess the effect of Se-5HTR RNAi on 470 phagocytosis activity, bacteria were injected at 24 h after injecting dsSe-5HTR (~900 ng/larva). To check the influence of a specific 5-HT₇ receptor inhibitor on phagocytosis, 2 µg of SB-471 472 269970 was co-injected with the tagged bacterial suspension. To determine the effect of secondary metabolite on phagocytosis, 1 µg of bacterial secondary metabolite was co-injected 473 with tagged bacterial suspension. After 15 min of incubation, treated larvae were surface-474 475 sterilized using 70% ethanol. With a pair of scissors, the proleg was cut to collect hemolymph 476 sample (~50 μ L) in 150 μ L of cold ACB with gentle shaking of the tube to mix hemolymph and 477 ACB thoroughly. Hemocyte monolayers were made using 50 μ L of hemocyte suspension (~5 \times 10^3 cells) and left in a moist chamber for 15 min for hemocytes to settle and attach to the glass 478 surface. After the incubation period, monolayers were washed with TBS to remove plasma. 479 480 These monolayers were overlaid with 1% trypan blue dye solution to quench non-phagocytosed bacterial cells. After 10 min, monolayers were washed again with TBS and then fixed with 1.5% 481 482 glutaraldehyde solution to observe under a fluorescence microscope at 400× magnification. 483 Hemocytes undergoing phagocytosis were counted from a total of 100 hemocytes observed from different areas of each slide. Each observation was triplicated with three different slides. 484

485

486 **Preparation of organic extracts from bacterial culture broth**

487 *X. nematophila* K1 (Xn) and *P. temperate temperata* ANU101 (Ptt) bacteria were cultured in 488 TSB at 28°C for 48 h. Culture broths were centrifuged at $12,500 \times g$ for 30 min and supernatants 489 were used for subsequent fractionation. To obtain ethyl acetate extract, the same volume (1 L) of 490 ethyl acetate was mixed with the supernatant and separated into organic and aqueous fractions. 491 Ethyl acetate extract ('EAX') was dried using a rotary evaporator (Sunil Eyela, Seongnam, Korea) at 40°C. The resulting extract (0.2 mg) was obtained from 1 L cultured broth and
resuspended with 5 mL of methanol. The aqueous phase was then combined with 1 L of butanol.
Butanol extract ('BX') was also dried using the rotary evaporator at 40°C and the resulting
extract (0.2 mg) was resuspended with 5 mL of methanol.

496

497 Secondary bacterial metabolites – biological activity against immune responses

498 Secondary metabolites (37 samples, S4 Fig) derived from *Xenorhabdus* and *Photorhabdus* 499 cultures were from the Bode lab compound collection named 'HB' compounds. Their biological 500 activities for suppressing *S. exigua* immunity were then determined. Individual chemicals were 501 dissolved in DMSO, diluted into desired concentrations with DMSO, and stored at -20°C.

For hemocyte nodulation inhibition assay, overnight culture of E. coli bacterial cells was 502 503 washed with PBS. Test compound (1 µg/larva) was injected into larval hemocoel along with the bacterial suspension (~ 1.8×10^5 cfu/larva) using a microsyringe as previously described. Insects 504 were then incubated at 25°C for 8 h. After the incubation period, insects were dissected and 505 506 nodule numbers were counted as described above. Each treatment was triplicated independently using five insects for each replication. For phagocytosis inhibition assay, 5 µL of FITC-tagged E. 507 *coli* suspension along with 1 µg of test compound was injected into L5 larval hemocoel. After 15 508 509 min of incubation period, hemocytes undergoing phagocytosis were counted as previously 510 described.

511

512 Secondary bacterial metabolites – competitive assay with 5-HT

To determine effects of bacterial secondary metabolites on nodulation and phagocytosis, 10
potent chemicals were selected based on their common inhibitory activity on both phagocytosis

and nodulation and their median inhibition concentration (IC₅₀) values were calculated. 515 516 Percentages of phagocytosis against increasing concentrations of HB chemicals were calculated and their IC₅₀ values were calculated using Probit analysis (https://probitanalysis.wordpress.com). 517 518 To assess a competitive inhibitory activity between test compound and 5-HT, HB chemicals were injected in different doses (0, 0.01, 0.1, 1 and 10 µg/larva) along with a fixed 5-HT concentration 519 (1 µg/larva) and FITC-tagged bacteria (500 cells/larva). In a separate experiment, different doses 520 of 5-HT were injected into the larvae with a fixed HB compound content (1 µg/larva). At 15 min 521 522 after bacterial injection, hemocytes from treated larvae were collected in ACB and phagocytosis 523 assay was performed as described above.

524

525 Chemical derivatives and their inhibitory activities against Se-5HTR

526 Based on potent phenylethylamide (PEA) HB compounds, 45 additional PEA samples (S5 Fig) 527 were obtained from Korea Chemical Bank of the Korea Research Institute of Chemical 528 Technology (KRICT). Derivative compounds were dissolved in DMSO, diluted into desired 529 concentrations with DMSO, and stored at -20°C. These PEA chemicals were then tested for their abilities to suppress nodule formation and phagocytosis in S. exigua as described above. For 530 nodulation inhibition assay, each chemical (150 ng/larva) was injected into larval hemocoel 531 along with bacterial suspension (~ 1.8×10^5 cfu/larva). Each treatment was triplicated 532 independently using five insects for each replication. For phagocytosis inhibition assay, 5 μ L of 533 FITC-tagged E. coli suspension along with 150 ng of each chemical was injected into L5 larval 534 hemocoel of S. exigua. After incubating for 15 min, hemocytes undergoing phagocytosis were 535 counted using previously described method. 536

538 Chemical synthesis of PhX

A potent chemical was designed as PhX ((*S*)-2-(1,4-dioxohexahydropyrrolo[1,2-*a*]pyrazin-2(*1H*)-yl)-*N*-(4-methoxyphenethyl)acetamide) and chemically synthesized according to a method described in S6 Fig.

542

543 Statistical analysis

All studies were triplicated independently. Results are expressed as mean \pm standard error. Results were plotted using Sigma plot (Systat Software, San Jose, CA, USA). Means were compared by least squared difference (LSD) test of one-way analysis of variance (ANOVA) using POC GLM of SAS program [71] and discriminated at Type I error = 0.05.

548

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556

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561

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740 Figure captions

741

Fig. 1. Bioinformatics analysis of a novel 5-HTR₇ identified from S. exigua (Se-5HTR: 742 743 MH025798). (A) Phylogenetic analysis of 5HTRs. Amino acid sequences were retrieved from Hs5HTR1D (NP_000855.1), Ss5HTR1D 744 GenBank: (NP 999323.1), Rn5HTR1D (NP_036984.1), Oc5HTR1D (NP_001164624.1), NP_000854.1 Hs5HTR1B (NP_000854.1), 745 746 Dr5HTR1D (NP 001139158.1), Hs5HTR1F (NP 000857.1), Hs5HTR1E (NP 000856.1), 747 Cp5HTR1E (NP 001166222.1), Hs5HTR1A (NP 000515.2), Ap5HTR1B (ABY85411.1), Ms5HTR (ABI33827.1), Pr5HTR1B (XP 022120028.1), Am5HTR1 (NP 001164579.1), 748 Dm5HTR1B (NP 001163201.2), Pa5HTR1 (CAX65666.1), Pr5HTR1A (XP 022129638.1), 749 Hs5HTR7 750 Ap5HTR1A (ABY85410.1), (P34969), Rn5HTR7 (P32305), Pr5HTR7 751 (AMQ67549.1), Am5HTR7 (NP 001071289.1), Dm5HTR7 (NP 524599.1), Ae5HTR7 752 (Q9GQ54), Hs5HTR4 (Q13639), Cp5HTR4 (O70528), Rn5HTR4 (Q62758), Mm5HTR4 (P97288), Hs5HTR6 (P50406), Pt5HTR6 (Q5IS65), It5HTR6 (XP_005317590.1), Rn5HTR6 753 754 (P31388), Mm5HTR6 (Q9R1C8), Cp5HTR6 (XP_003471412.1), Pm5HTR2A (KPJ17794.1), Bm5HTR2A 755 Pr5HTR2A (XP 022112310.1), (NP_001296483.1), Cl5HTR2A (XP_014254278.1), Rp5HTr2B (AKQ13312.1), Mq5HTR2A (KOX78271.1), Am5HTr2B 756 757 (NP 001189389.1), Hs5HTR2A (NP 000612.1), Hs5HTR2C (NP 000859.1), Hs5HTR2B (NP 000858.3), Pt5HTR2C (XP 015921531.1), Mp5HTR2C (XP 022169104.1), Am5HTr2A 758 (XP_022122944.1), 759 (NP 001191178.1), Pr5HTR2C Ba5HTR2C (XP 023955125.1), Rn5HTR5B (P35365), Mm5HTR5B (P31387), Hs5HTR5A (NP_076917.1), Rn5HTR5A 760 761 (P35364), Mm5HTR5A (P30966), Hs5HTR3C (NP_570126.2), Hs5HTR3E (NP_001243542.1), Hs5HTR3D (NP 001157118.1), Hs5HTR3B (NP 006019.1), Rn5HTR3B (NP 071525.1), 762

Hs5HTR3A (AP35868.1), Cp5HTR3A (O70212), Rn5HTR3A (NP 077370.2), Mm5HTR3A 763 764 (P23979). The phylogenetic tree was constructed using neighbor-joining method. Bootstrap values on branch nodes were obtained after 1,000 repetitions. (B) Amino acid sequence 765 766 alignment of Se-5HTR with orthologous receptors from Homo sapiens (Hs-5HTR7: P34969), Drosophila melanogaster (Dm-5HTR7: NP 524599.1), Manduca sexta (Ms-5HTR7: 767 AGL46976.1), and *Pieris rapae* (Pr-5HTR7: AMO67549.1). Identical residues among these five 768 769 sequences are illustrated as white letters against black. Dashes within sequences indicate gaps 770 introduced to maximize homology. Putative seven transmembrane domains (TM1-TM7) are shown as blue bars. Potential N-glycosylation sites ([]]), potential phosphorylation sites for 771 protein kinase A and/or C (E), potential residue to interacts with 5-HT amino group (E) and 5-772 HT hydroxyl group (E), potential disulfide bond groups (E), and potential post translational 773 774 palmitoylation sites (P) are indicated. Overbars indicate unique motif to aminergic receptor, 775 agonist mediated sequestration and resensitization motif, and PDZ-domain binding motif, 776 respectively. Conserved domains were determined using InterPro tool (https://www.ebi.ac.uk/interpro/) and Prosite (http://prosite.expasy.org/) whereas other residues 777 motifs several bioinformatics 778 and were predicted using tools from DTU 779 (www.cbs.dtu.dk/services/).

780

Fig. 2. Expression profile of *Se-5HTR*. (A) Differential expression of *Se-5HTR* in different developmental stages: egg, larval instars ('L1-L5'), pupa ('Pu'), and adult ('Ad'). (B) Differential expression of *Se-5HTR* in different tissues of L5 larvae: hemocyte ('HC), midgut ('GUT'), fat body ('FB'), and brain ('BR'). (C) Expression pattern of *Se-5HTR* in immunechallenged L5 larvae: *Escherichia coli* ('Ec'), *Xenorhabdus nematophila* ('Xn'), *Photorhabdus* *temperata temperata* ('Ptt'), *Serratia marcescens* ('Sm'), and *Beauveria bassiana* ('Bb'). Immune challenge was performed by injecting each L5 larva with 1.8×10^5 cells of bacteria or 5 $\times 10^5$ conidia of fungi. After incubation at 25°C for 8 h, gene expression analysis was performed using RT-PCR and RT-qPCR. As a constitutive expressional control, a ribosomal gene, *RL32*, was used for expression analysis in RT-PCR and RT-qPCR. Each measurement was replicated three times with independent biological samples. Histogram bars annotated with the same letter are not significantly different at Type I error = 0.05 (LSD test).

793

3. Effect of an inhibitor specific to 5-HT₇ receptor ('SB-269970') on hemocyte 794 Fig. behaviors of S. exigua. (A) Total hemocyte count (THC) analysis in L5 larvae. For the assay, 2 795 µg of 5-HT or 2 µg of SB-269970 was injected. THC was assessed with or without bacterial co-796 injection (1.8 \times 10⁵ cells per larva). (B) Hemocyte-spreading behavior analysis. Hemocyte-797 798 spreading was assessed with a 20 µL reaction mixture containing 1 or 2 µL test chemical with 18 or 19 µL hemocytes. 5-HT was co-applied with SB-269970 at ratios of 1:10 (2 µg 5-HT: 20 µg 799 800 SB-269970) and 10:1 (20 µg 5-HT: 2 µg SB-269970). Spread cells were stained with F-actin and FITC-labeled phalloidin. Nuclei were stained with DAPI. Each treatment was independently 801 replicated three times. Histogram bars indicate percentages of spread hemocytes and error bars 802 indicate standard deviation. Histogram bars annotated with the same letter are not significantly 803 different at Type I error = 0.05 (LSD test). 804

805

Fig. 4. RNA interference (RNAi) of *Se-5HTR* and suppression of hemocyte behaviors. (A) RNAi of *Se-5HTR* expression with a gene-specific dsRNA (dsSe-5HTR). About 900 ng of dsSe-

5HTR was injected to L5. At 0, 12, 24, and 48 h post-injection (PI), expression levels of Se-

5HTR were assessed from whole body. Expression levels of Se-5HTR in different tissue parts 809 810 were assessed at 24 h PI. As a constitutive expressional control, a ribosomal gene, *RL32*, was 811 used for expression analysis in RT-PCR and RT-qPCR. As a control RNAi (dsCON), dsRNA 812 specific to CpBV-ORF302 (a viral gene) was used for expression analysis. In RT-qPCR, each treatment was triplicated. (B) Influence of RNAi on up-regulation of total hemocyte count (THC) 813 814 in response to bacterial challenge. At 24 h PI of dsSe-5HTR, hemolymph was collected from L5 larvae for THC assessment. Each treatment was replicated three times. (C) Influence of RNAi on 815 hemocyte-spreading behavior. For spreading assay, hemocytes from larvae treated with dsRNA 816 817 were collected at 24 h. Each treatment was independently replicated three times. Spread cells 818 were stained with F-actin and FITC-labeled phalloidin. Nuclei were stained with DAPI. Histogram bars indicate percentages of spread hemocytes and error bars indicate standard 819 deviation. Different letters above standard error bars indicate significant difference among means 820 at type I error = 0.05 (LSD test). Asterisks represent significant difference between control and 821 822 treatment in each tissue.

823

Fig. 5. RNA interference (RNAi) of Se-5HTR and suppression of cellular immune responses. 824 (A) Analysis of phagocytosis in L5 larvae of S. exigua. FITC-tagged E. coli were injected into 825 826 L5 larvae at 24 h post-injection of dsSe-5HTR. A specific inhibitor (SB-269970) to 5-HT₇ receptor was co-injected at a dose of 2 µg along with FITC-tagged bacteria. At 15 min after 827 injection, phagocytic cells were observed under a fluorescent microscope at $400 \times$ magnification 828 829 and percentage of phagocytotic hemocytes was calculated from randomly chosen 100 cells. Total hemocytes were observed from bright-field ('BF'). Each treatment was independently replicated 830 three times. (B) Nodulation assay. After RNAi, E. coli (1.8×10^5 cells/larva) was injected to L5 831

larvae. SB-269970 (2 μ g/larva) was co-injected with bacteria. After 8 h incubation at 25°C, treated insects were assessed for nodule formation. Histogram bars indicate percentages of phagocytosis and error bars indicate standard deviation. Histogram bars annotated with the same letter are not significantly different at Type I error = 0.05 (LSD test).

836

Fig. 6. Immunosuppressive activities of organic extracts from culture broth of 837 Xenorhabdus nematophila ('Xn') and Photorhabdus temperata temperata ('Ptt') bacteria 838 against L5 larvae of S. exigua. Each larva was injected with E. coli (10⁵ cells) along with 1 µL 839 of ethyl acetate ('EAX') or butanol ('BX') extract. (A) Phagocytosis in L5 larvae. FITC-tagged 840 E. coli were injected to L5 larvae at 24 h post-injection of an organic extract. After 15 min, 841 phagocytic cells were observed under a fluorescent microscope at $400 \times$ magnification and 842 percentage of phagocytotic hemocytes was calculated from randomly chosen 100 cells. Each 843 treatment was independently replicated three times. (B) Nodule formation. E. coli (1.8×10^5) 844 cells/larva) was injected to L5 larvae. An organic extract was co-injected with the bacteria. After 845 846 8 h of incubation at 25°C, treated insects were assessed for nodule formation. Each treatment was replicated with 10 larvae. Different letters above standard deviation bars indicate significant 847 difference among means at Type I error = 0.05 (LSD test). 848

849

Fig. 7. Screening 37 bacterial secondary metabolites derived from *X. nematophila* and *P. temperata temperata* for their effects on cellular immune responses mediated by Se-5HTR in *S. exigua*. (A) Screening with phagocytosis assay. FITC-tagged *E. coli* cells were injected to L5 larvae along with 1 μ g of each test chemical. After 15 min, phagocytic cells were observed under a fluorescent microscope at 400 × magnification and percentage of phagocytotic hemocytes was

calculated from randomly chosen 100 cells. Each treatment was independently replicated three times. (**B**) Screening with nodule formation assay. *E. coli* $(1.8 \times 10^5 \text{ cells/larva})$ was injected to L5 larvae along with 1 µg of each test chemical. After 8 h of incubation at 25°C, treated insects were assessed for nodule formation. Each treatment was replicated with 10 larvae. Asterisks above standard deviation bars indicate significant difference compared to control ('CON' without inhibitor) at Type I error = 0.05 (*), 0.01 (**), and 0.005 (***) (LSD test).

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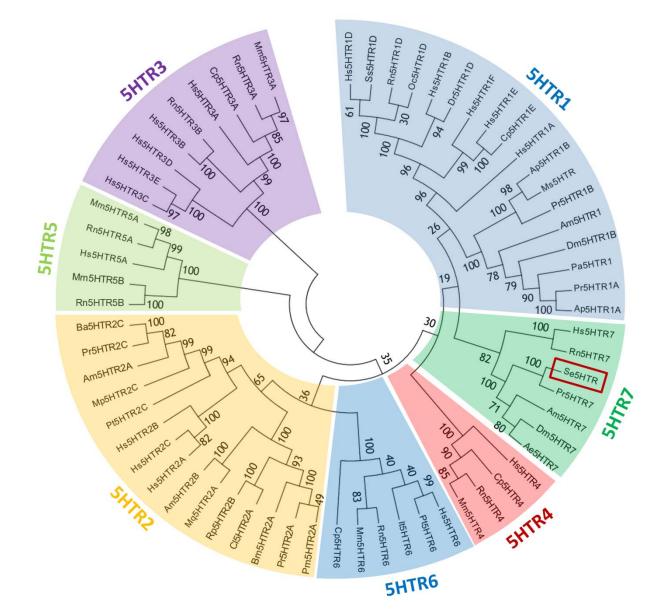
Fig. 8. Competitive inhibition of 10 selected bacterial metabolites derived from X. 862 nematophila and P. temperata temperata with 5-HT against Se-5HTR mediating 863 phagocytosis. FITC-tagged E. coli cells were injected to L5 larvae along with test chemicals. 864 After 15 min, phagocytic cells were observed under a fluorescent microscope at 400 \times 865 866 magnification and percentage of phagocytotic hemocytes was calculated from randomly chosen 100 cells. Each treatment was independently replicated three times. (A) Dose-response of 867 inhibitory compound (0, 0.01, 0.1, 1, and 10 µg/larva) with a fixed 5-HT concentration (1 868 869 µg/larva). (B) Dose-response of 5-HT (0, 0.02, 0.2, 2 and 20 µg/larva) with a fixed HB 870 compound concentration (1 µg/larva). 'CON' represents a positive control without any inhibitor.

871

Fig. 9. Validation of phenylethylamide (PEA) compounds for their inhibitory activities against 5-HTR using derivatives with different side chains ('X' and 'Y' of PEA skeleton). (A) Screening of 45 PEA derivatives for their effects on hemocyte phagocytosis of *S. exigua* with HB 44, a potent bacterial metabolite, as reference. PEA compounds (300 ng/larva) were injected into hemocoels of L5 larvae along with FITC-tagged bacteria. After incubating for 15 min, hemocytes were assessed for phagocytosis. Asterisks above standard deviation bars indicate

886	Fig. 10. Specific inhibition of a designed compound (PhX) on Se-5HTR with a commercial
885	
884	concentration (1 µg/larva) was used. 'CON' represents a positive control without any inhibitor.
883	was used. For dose-response of 5-HT (0, 2, 20, 200 and 2,000 ng/larva), a fixed HB compound
882	inhibitory compounds (0, 0.3, 3, 30, and 300 ng/larva), a fixed 5-HT concentration (1 μ g/larva)
881	derivatives with 5-HT against Se-5HTR mediated phagocytosis. For dose-response analysis of
880	and their median inhibitory doses (IC $_{50}$). (C) Competitive inhibition of the three selected PEA
879	0.01 (**), and 0.005 (***) (LSD test). (B) Chemical structures of three selected PEA compounds
878	significant difference compared to control ('CON' without inhibitor) at Type I error = 0.05 (*),

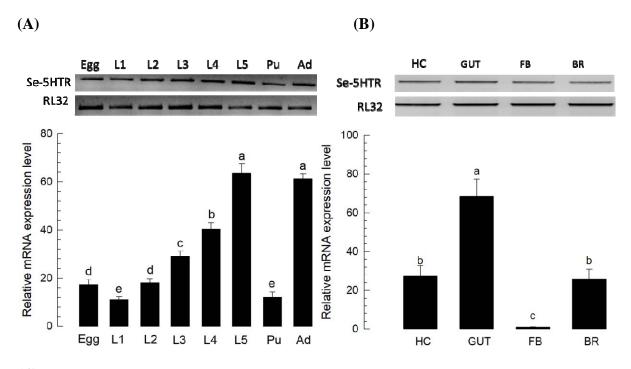
5-HTR₇ inhibitor (SB-269970) as reference in phagocytosis of S. exigua. FITC-tagged E. coli 887 cells were injected to L5 larvae along with test chemicals. After 15 min, phagocytic cells were 888 889 observed under a fluorescent microscope at $400 \times$ magnification and percentage of phagocytotic 890 hemocytes was calculated from randomly chosen 100 cells. Each treatment was independently replicated three times. (A) Dose-response of PhX and SB-269970 with a fixed 5-HT 891 892 concentration (500 ng/larva). (B) Dose-response of 5-HT (0, 0.002, 0.02, 0.2, and 2 µg/larva) with a fixed inhibitor concentration (10 ng/larva). 'CON' represents a positive control without 893 894 any inhibitor.



(B)

Se-5HTR ALASVE------LESILSPEFNVSNPNSTVNWTFLDDNSTFL--KHGHIKHSKYSI-----P 81 TM1 TM2 Se-5HTR TTILLVTIEMIVIIGTIIGNULVCVAVRLVRKLRRPSNYLIVSLAVSDLCVACIVMPVATVYDIMG Hs-5HTR7 EKVVIGSILTLITLIIAGNCLVVISVCFVKKLRQPSNYLIVSLALADLSVAVAVMPFVSVTDIIG Dm-5HTR7 TSIFVSIVLLVVIGTVVGNVLVCIAVCMVRKLRRPCNYLLVSLALSDLCVALLVMPVALLYEVLE Ms-5HTR7 VTIFLVILFLVIVCTIVGNILVCVAVRLVRKLRRPSNYLIVSLAVSDLCVALLVMPFATVYDIMG TWPFGPVICDFWV 160 GKWIFGHFFCNVFI 159 ALLYEVLE-KW DIWV FGPLL 239 TWPFGPVICDFWA 159 Pr-5HTR7 VTVLLAAIFMVVIFGTIVGNILVCVAVCLVRKLRRPSNYLTVSLAVSDPCVAIMVMPVAMVYDLMG-SWPFGPVICDFWV 160 TM4 TM3 * TASILNLCMISVDRYYAITKPLEYGVKRTPRRML<mark>E</mark>CV<mark>E</mark>IVW<mark>I</mark>SAAFISLPPVLILGNEKTDI Se-5HTR SSDVLS CSVS 236 HS-5HTR7 AMOVMCCTASIMTLOVISIDRYLGITRPLTYPVRQNGKGMAKMILSVALLSASITLPPLFGMAQNVNDDK---VCLISCD 236 Dm-5HTR7 SEDVLCCTASILNLCAISVDRYLAITKPLEYGVKRTPRRMMLCVGIVWLAAACISLPPLLILGNEHEDEEGQPICTVCQN 319 Ms-5HTR7 SSDVLSCAASILNLCMISVDRYYAITKPLEYGVKRTPRKMLCCILVWMSAAFISLPPVLILGNEKTETS----CSVSQN 235 Pr-5HTR7 SSDVLSCAASILNLCMISVDRYYAITKPLEYGVKRTPRRMLFCVFIVWMSAAFISLPPVLILGNEKTSDTS----CSVSQN 236 TM5 QAYQIYAT<mark>F</mark>GSFYIPLTVM<mark>I</mark>VVYYKIFRAARKIVKDEKR-AQSHLETHCYLEIN Se-5HTR VKNGGAAEAKLLGNQP-AQ 307 HS-5HTR7 F<mark>GYTIYSTAVAFYIPMSVMLFMYYOTYKAARKSAAKHK</mark>FPGFPRV<mark>E</mark>PDSVIALNGIVKLQKEVEECANLSRLLKHERKNI 316 Dm-5HTR7 FAYQIYATLGSFYIPLSVMLFVYYQIFRAARRIVLEEKR-AQTHLQ---QALNG-----TGSPSAPQAPPLGHT---E 385 Ms-5HTR7 <mark>QVYQIYATLGSFYIPLTVMVVVYYKIFRAARKIVKDEKR-AQSHLETHCYLEIN</mark>-----<mark>VKNGGAAEAKLLGNQEAAQ</mark> 307 VKNGGAAEAKLLGNQEAAQ 307 VKNGGAAEAKLLGNQE-Q 306 Pr-5HTR7 QGYQIYATFGSFYLPLTVMVVVYYKIFSAARKIVKDERR-AQSHLESHCYLEIN PMLQQPKTPTKPIHTINRSPTTTTPNNKPIVKDRRRPSET 385 PMLQPPKTLTKPIHTINR-PASQNLNPDSNLKeRRRPSSD 382 Pr-5HTR7 NPTRGSTASTNTTCSVDKAENSIGRCFSG-RKSNESQC TM6 IRSSLS<mark>NFAHKSHIAKDLLHPQN</mark>AVHQKKLRFQLAKERKASTTLGIIMSAF<mark>VI</mark>CWLPFFVLALIRPFVK 462 Se-5HTR SQKNTTNR TM7 * EDAIPDAVSALFLWLGYLNSLLNP<mark>V</mark>IYATLNRDFRKPFQEILFFRC<mark>G</mark>NLNHMMREEFYHSQYGDPDQHYCVNNT<mark>T</mark>KMHNY Se-5HTR 542 Hs-5HTR7 IHD 549 Dm-5HTR7 MH-VPASLSSLFIWLGYANSLLNPIIYATLNRDFRKPFQEILYFRCSSLNTMMRENYMQDQYGEPSQRVMLG---Ms-5HTR7 EDAIPDAVSALFIWLGYLNSLLNPIIYATLNRDFRKPFQEILFFRCSNLNHMMREEFYHSQYGDPDQHYGGNNT 553 541 Pr-5HTR7 EETIPDAVSALFLWLGYLNSLLNPIIYATLNRDFRKPFQEILFFRCSNLNHMMREEFYHSQYG<mark>5</mark>EEPHYCVNNS-KNQNY 537 Se-5HTR EEGVEIVSAVDREETRASESFL 564 Hs-5HTR7 -564 Dm-5HTR7 -----D--ER--HGARESFI Ms-5HTR7 DEGVEIVSAIDREETRASESFI Pr-5HTR7 DEGVEIVSAVDREETRATESFI 564 564 564





(**C**)

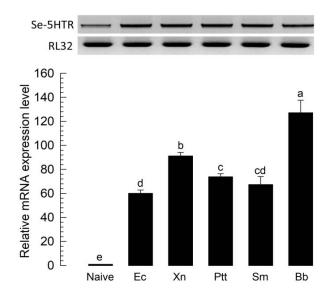
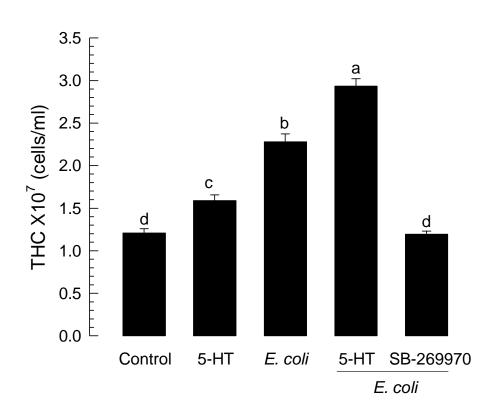
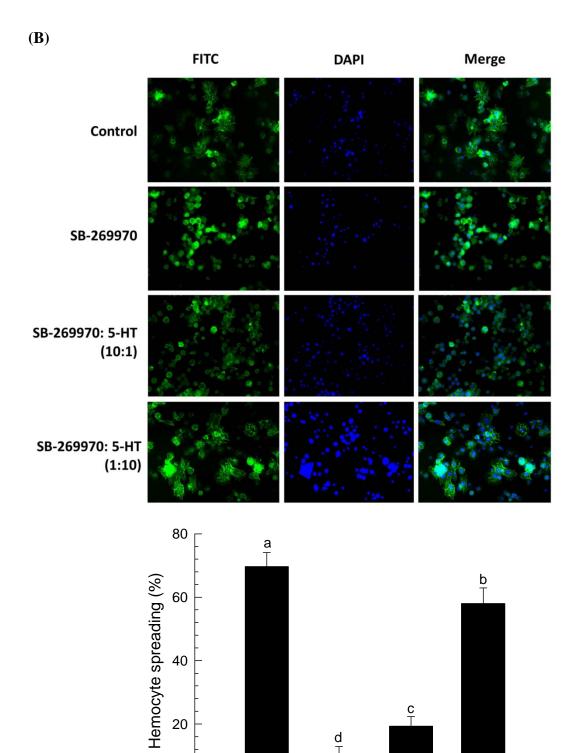


Fig. 2

(A)







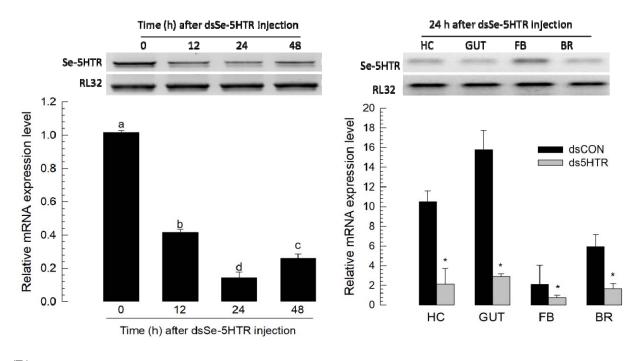
SB-269970 SB-269970 SB-269970

:5-HT (10:1) :5-HT (1:10)

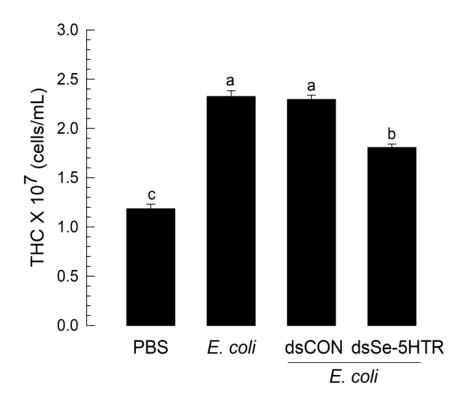
0

CON

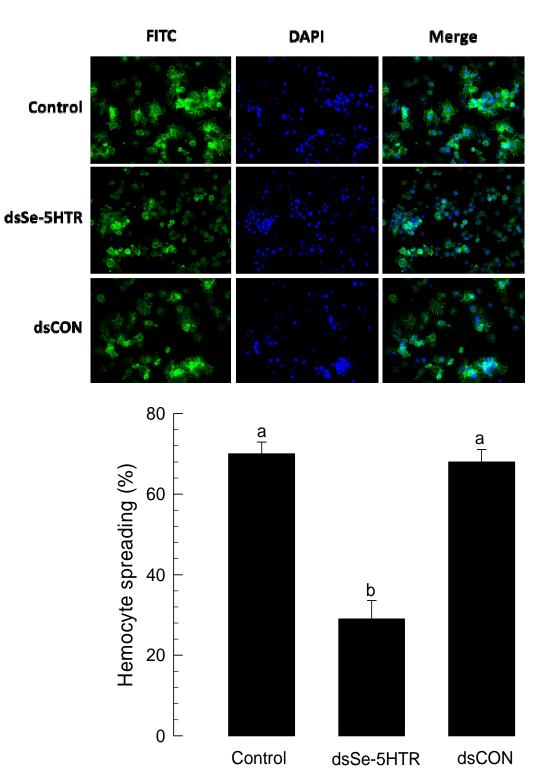
(A)



(B)

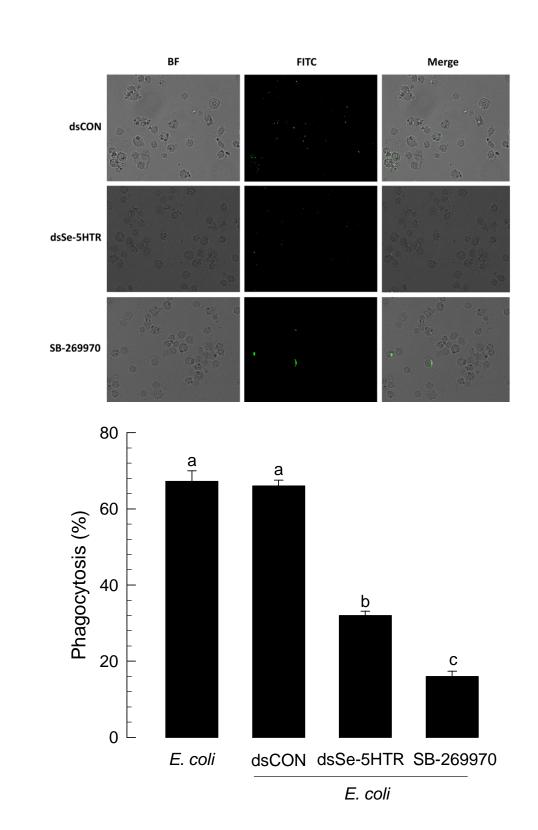


(**C**)

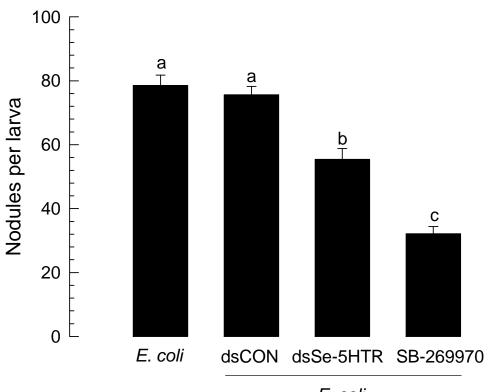




(A)



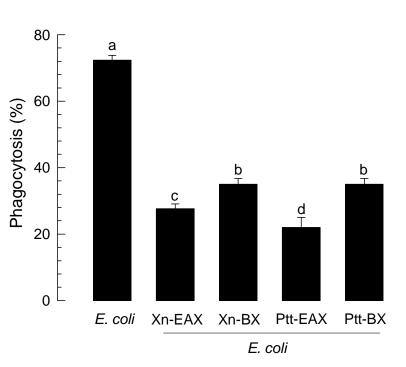
(B)



E. coli

Fig. 5

(A)



(B)

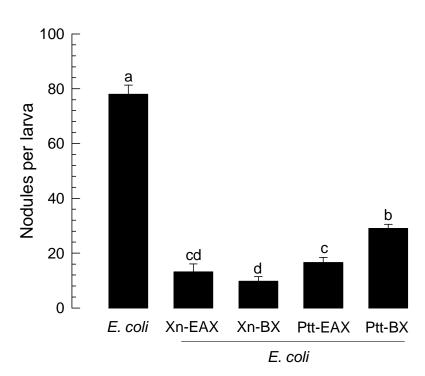
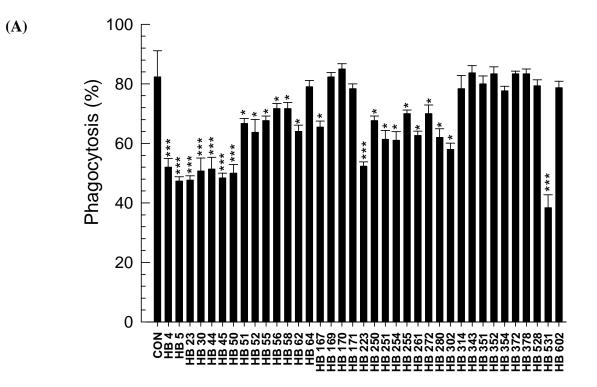


Fig. 6



(B)

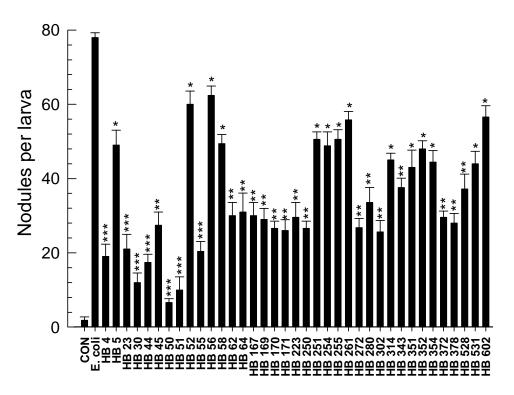
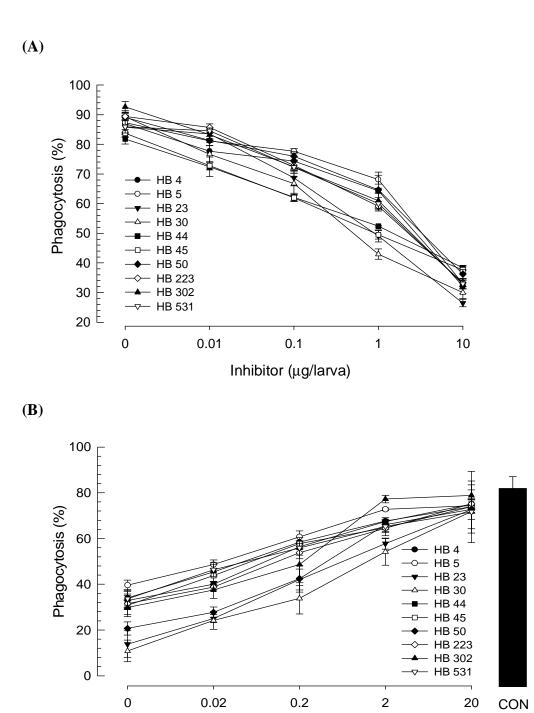
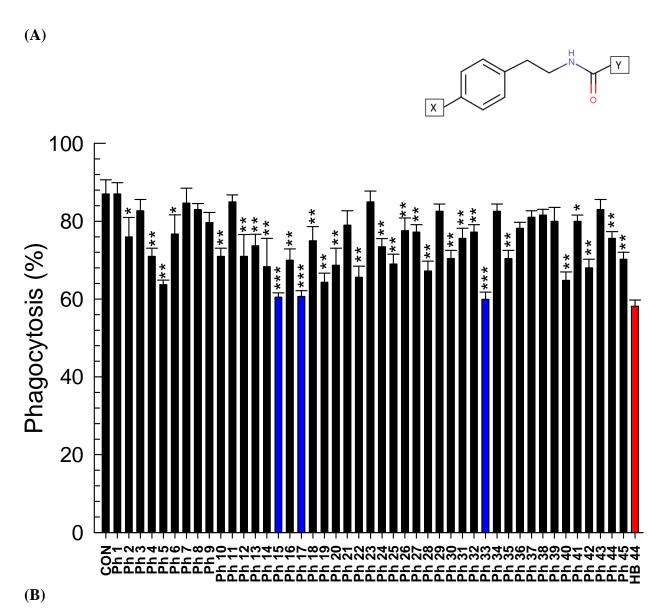


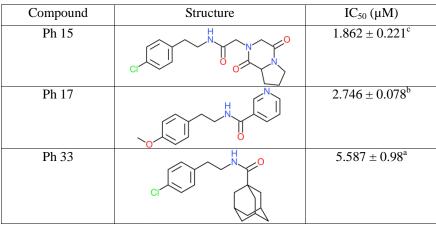
Fig. 7



5-HT concentration (µg/larva)

Fig. 8





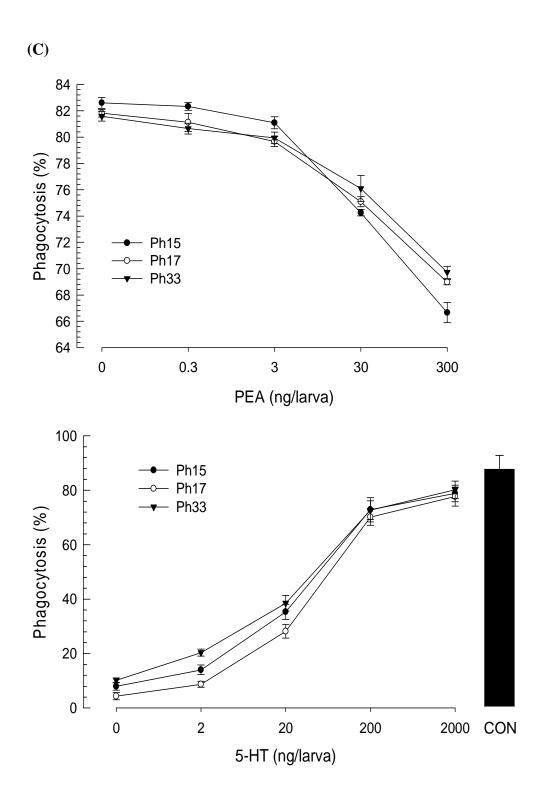
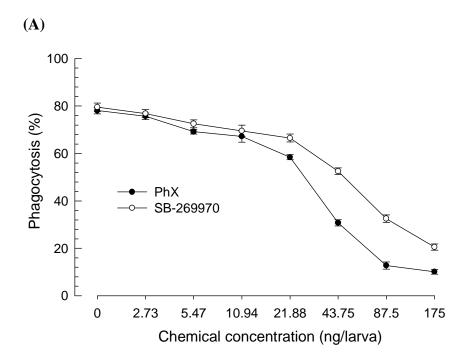


Fig. 9





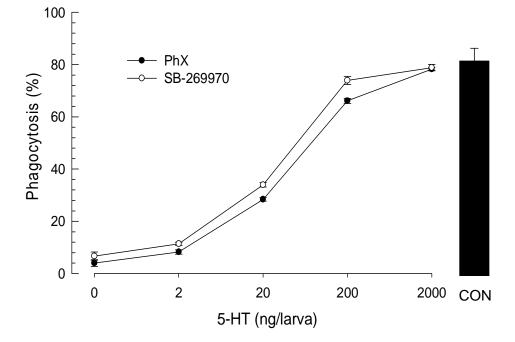


Fig. 10

Supplementary data

S1 Fig. Putative domain and motif structures of Se-5HTR. Putative seven transmembrane domains (TM1-TM7) are primarily marked by dark yellow bars and circles. Extracellular and cytosolic regions are denoted by green and light blue regions, respectively. Potential Nglycosylation sites and phosphorylation sites are marked by orange and red circles, respectively. Aspartic acid residue (dark blue circle) in TM3 and serine residue (light brown circle) in TM5 are putative residues that might chemically interact with 5-HT. The unique consensus sequence motif (PXXXWXPXF, dark brown circles) in aminergic receptors is conserved in TM6. The motif (NPXXY, dark blue circles) is conserved in TM7 like other GPCRs. Two possible posttranslational palmitoylation cysteine residues (light yellow circles) and a PDZ-domain binding motif (ESFL, black circles) are also present in the C-terminal. Conserved motifs were determined using InterPro (https://www.ebi.ac.uk/interpro/) tool and Prosite (http://prosite.expasy.org/) whereas other residues and motifs were predicted using several tools from DTU bioinformatics.

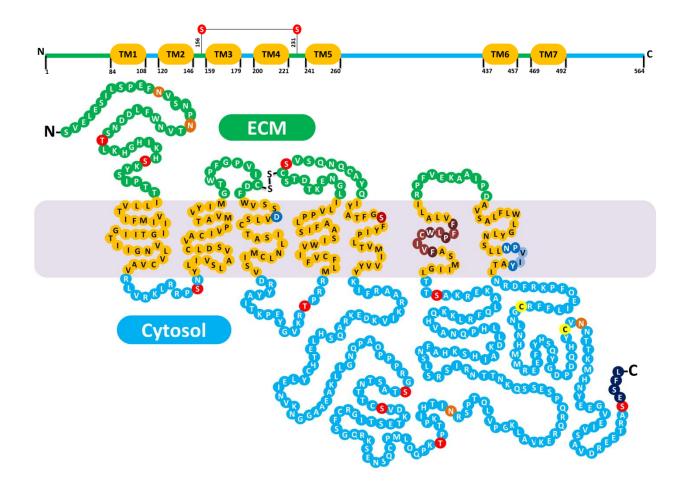
S2 Fig. Potent screened chemicals from HB compounds with their chemical structures and respective median inhibitory concentrations (IC₅₀). HB chemicals were injected in different doses (0, 0.01, 0.1, 1, and 10 μ g/larva) along with a fixed 5-HT concentration (1 μ g/larva) and FITC-tagged bacteria (500 cells/larva). After 15 min of treatment, hemocytes from treated larvae were collected in ACB followed by phagocytosis assay as described above. Percentages of phagocytosis against HB chemical treatment with increasing concentrations were calculated. Their IC₅₀ values were determined using Probit analysis (<u>https://probitanalysis.wordpress.com</u>).

S3 Fig. Designing a potent chemical inhibitor from phenylehtylamide (PEA) derivatives. Derivatives of PEA were tested for their nodulation inhibition percentages and sorted by their X and Y groups. Most potent residues inhibiting nodulation were selected and a hypothetical most potent PEA chemical was designed. (A) Core PEA structure with two variable hypothetical residues (X and Y). X belongs to the residue group attached with para position of the phenyl ring whereas Y belongs to the residue group linked to the amide group. (B) Comparative analysis between X residue groups with their mean percent inhibition of nodulation. (C) Comparative analysis between Y residue groups with their percent inhibition of nodulation. (D) A hypothetical PEA chemical compound structure having the most potent inhibition capability.

S4 Fig. Bacterial secondary metabolites (37 chemicals) derived from *Xenorhabdus* and *Photorhabdus*

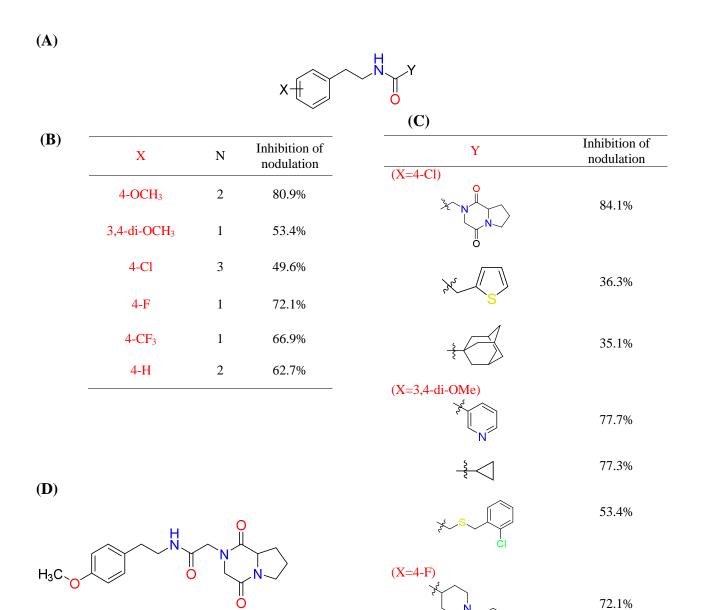
S5 Fig. Phenylethylamide (PEA) derivatives (45 chemicals) based on HB 44, a bacterial metabolite

S6 Fig. Chemical synthesis of PhX ((S)-2-(1,4-dioxohexahydropyrrolo[1,2-*a*]pyrazin-2(*1H*)yl)-*N*-(4-methoxyphenethyl)acetamide)

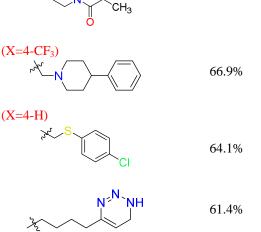


S1 Fig

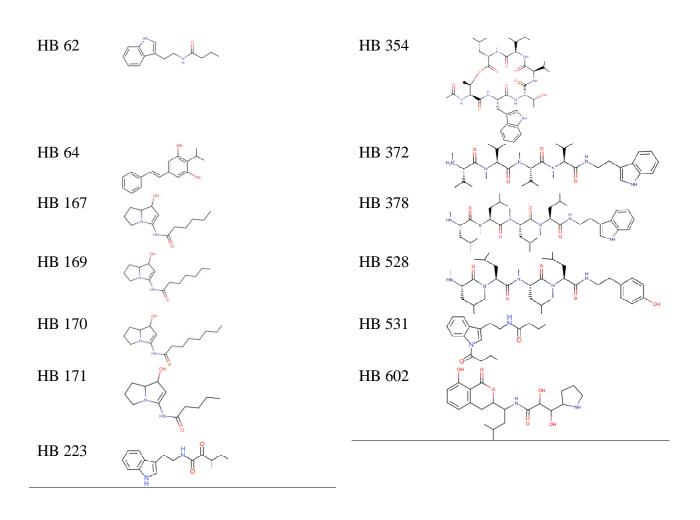
Groups	ID	Structure	IC ₅₀ (µM)
Phenylethylamide	HB 4		253.2 ± 38.0
	HB 5		217.8 ± 43.6
	HB 44		103.7 ± 18.7
Tryptamide	HB 23		103.9 ± 15.6
	HB 50		90.6 ± 16.3
	HB 531		124.9 ± 23.7
Xenortide	HB 30		59.1 ± 9.5
Xenocyloin	HB 45		82.1 ± 14.8
Nematophin	HB 223		134. 6 ± 22.9
GameXPeptide	HB 302		58.4 ± 11.7







HB	Structure	HB	Structure
compound	<u>u</u>	compound	
HB 4	C)~ ¹ J	HB 250	
HB 5		HB 251	
HB 23		HB 254	
HB 30		HB 255	
HB 44		HB 261	
HB 45		HB 272	
HB 50		HB 280	
HB 51		HB 302	
HB 52		HB 314	
HB 55		HB 343	
HB 56		HB 351	
HB 58		HB 352	



S4 Fig

PEA compound	Structure	PEA compound	Structure
Ph 1	N S S	Ph 24	Cplin Con
Ph 2	N N N N N N N N N N N N N N N N N N N	Ph 25	
Ph 3	~ the	Ph 26	
Ph 4		Ph 27	
Ph 5	og No la f	Ph 28	
Ph 6		Ph 29	
Ph 7		Ph 30	
Ph 8		Ph 31	
Ph 9		Ph 32	
Ph 10		Ph 33	
Ph 11		Ph 34	
Ph 12		Ph 35	

Ph 13	
	`o´ \

Ph 14

Ph 15

Ph 16

Ph 17

Ph 18

- Ph 37

Ph 36

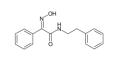
- Ph 38
- Ph 39
- Ph 40

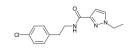
Ph 42

Ph 44

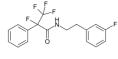
Ph 45

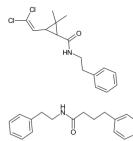
Ph 41











S5 Fig

Ph 19	
Ph 20	S S S S S S S S S S S S S S S S S S S
Ph 21	
	O NH

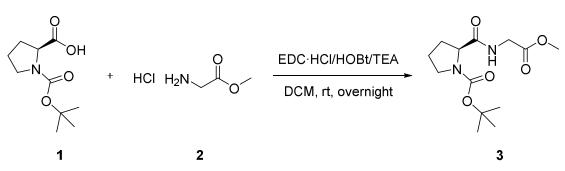
Ph 22







C

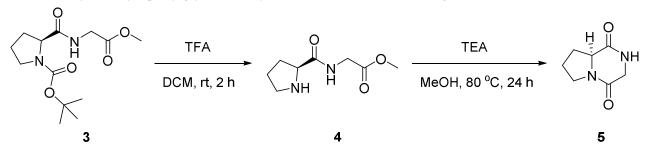


Step 1

Synthesis of (*S*)-(-)-*N*-(*t*-butoxycarbonyl)-prolylglycine methylester (**3**)

To a solution of (S)-(-)-N-(t-butoxycarbonyl)-proline **1** (5.00 g, 23.0 mmol) in CH_2Cl_2 (150 mL), amino acid methyl ester hydrochloride **2** (2.89 g, 23.0 mmol), 1-[3-(dimethylamino)propyl)]-3-

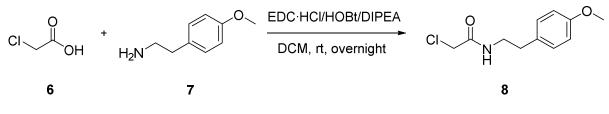
ethylcarbodiimide hydrochloride (5.34 g, 28.0 mmol), and 1-hydroxybenzotriazole monohydrate (3.77 g, 28.0 mmol) triethylamine (6.50 mL, 46.6 mmol) were added and the reaction mixture was stirred for 24 h at room temperature. The solvent was removed under reduced pressure. The residue was taken up in ethyl acetate (200 mL) and washed successively with water (200 mL), 1N HCl aqueous solution (200 mL), and sat. NaHCO₃ aqueous solution (200 mL). The solvent was removed under reduced pressure to give (*S*)-(-)-*N*-(*t*-butoxycarbonyl)-prolylglycine methylester **3** as a white solid (4.82 g, 73%).



Step 2

Synthesis of (S)-Hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (5)

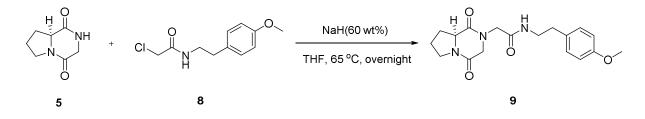
To a solution of (*S*)-(-)-*N*-(*t*-Butoxycarbonyl)-prolylglycine methylester **3** (4.80 g, 16.8 mmol) in CH₂Cl₂ (84 mL), trifluoroacetic acid (18.7 mL, 252.0 mmol) was added and the solution was stirred at room temp for 2 hr. The solvent was removed under reduced pressure. The residue was dissolved in MeOH (84 mL) and treated with triethylamine (9.3 mL, 67.2 mmol). The reaction mixture was kept under reflux overnight. The solvent was removed under reduced pressure and the oily residue was redissolved in *i*-propanol to give (*S*)-hexahydropyrrolo[1,2-*a*]pyrazine-1,4-dione **5** as a white solid (2.0 g, 78%).



Step 3

Synthesis of 2-chloro-*N*-(4-methoxyphenethyl)acetamide (7)

To a solution of 2-chloroacetic acid **6** (3.00 g, 31.8 mmol) in CH_2Cl_2 (160 mL), 2-(4methoxyphenyl)ethylamine **7** (4.6 mL, 31.8 mmol) and *N*,*N*-diisopropylethylamine (8.45 mL, 63.5 mmol) were added and the reaction mixture was stirred for 15 min at room temperature. After addition of 1-[3-(dimethylamino)propyl)]-3-ethylcarbodiimide hydrochloride (7.30 g, 38.1 mmol) and 1hydroxybenzotriazole monohydrate (5.14 g, 38.1 mmol), the reaction mixture was stirred for 24 h at room temp. The reaction mixture was washed successively with 1 N HCl aqueous solution (160 mL) and sat. NaHCO₃ aqueous solution (160 mL). The organic layer was dried over MgSO₄, filtered, and concentrated. The residue was washed with diethyl ether to give 2-chloro-*N*-(4-methoxyphenethyl)acetamide **8** as a yellow solid (4.60 g, 63%).



Step 4

Synthesis of (*S*)-2-(1,4-dioxohexahydropyrrolo[1,2-*a*]pyrazin-2(*1H*)-yl)-*N*-(4-methoxyphenethyl)acetamide (**9**)

To a solution of (*S*)-hexahydropyrrolo[1,2-*a*]pyrazine-1,4-dione **5** (1.00 g, 6.40 mmol) in THF (32 mL), portionwise 60 wt% NaH (260 mg, 6.40 mmol) at 0°C was added and the reaction mixture was stirred 15 min at room temperature. 2-chloro-*N*-(4-methoxyphenethyl)acetamide **7** was added slowly to the reaction mixture then the mixture was stirred overnight at 65°C. The reaction was monitored by TLC. The reaction mixture was quenched with sat. NH₄Cl aqueous solution (100 mL) and extracted with EtOAc (2 X 100 mL). Combined organic layers were dried over MgSO4, filtered, and concentrated. The crude product was purified by silica-gel column chromatography (eluent: MeOH 10% in CH₂Cl₂) to give (*S*)-2-(1,4-dioxohexahydropyrrolo[1,2-*a*]pyrazin-2(*1H*)-yl)-*N*-(4-methoxyphenethyl)acetamide **9** as a yellow foam (1.74 g, 79%).

S6 Fig