| 1<br>2           | Title: Efflux pumps in Chromobacterium species and their involvement in antibiotic tolerance                                       |
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| 3                | and survival in a co-culture competition model   |
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#### 24 ABSTRACT (250 words)

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26 Members of the Chromobacterium genus includes opportunistic but often-fatal pathogens and soil 27 saprophytes with highly versatile metabolic capabilities. In previous studies of Chromobacterium 28 subtsugae (formerly C. violaceum) strain CV017, we identified a resistance nodulation division 29 (RND)-family efflux pump (CdeAB-OprM) that confers resistance to several antibiotics including 30 the bactobolin antibiotic produced by the soil saprophyte Burkholderia thailandensis. Here, we 31 show the *cdeAB-oprM* genes increase *C. subtsugae* survival in a laboratory competition model 32 with *B. thailandensis*. We also demonstrate that adding sublethal bactobolin concentrations to the 33 co-culture increases C. subtsugae survival, but this effect is not through CdeAB-OprM. Instead, 34 the increased survival requires a second, previously unreported pump we call CseAB-OprN. We 35 show the CseAB-OprN genes are transcriptionally induced in cells exposed to sublethal 36 bactobolin concentrations and that this causes an increase in bactobolin tolerance. Induction of 37 this pump is through a bactobolin-responsive regulator, CseR. We also demonstrate that CseAB-38 OprN is highly specific and sensitive to bactobolin, while CdeAB-OprM appears to have a broader 39 range of specificities. We examine the distribution of CseAB-OprN and CdeAB-OprM in members 40 of the Chromobacterium genus and find that CseAB-OprN is limited to the non-pathogenic C. 41 subtsugae strains, whereas CdeAB-OprM is more widely distributed among members of the 42 Chromobacterium genus including the occasional pathogen C. violaceum. Our results provide 43 new information on the antibiotic resistance mechanisms of Chromobacterium species and use 44 co-culture competition experiments to highlight the importance of efflux pumps in saprophytic 45 bacteria.

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#### 54 IMPORTANCE (150 words)

55 Antibiotic efflux pumps are best known for their role in increasing antibiotic resistance of a variety 56 of pathogens. However, the role of these pumps in saprophytic species is much less well defined. 57 This study describes the identification and characterization of two predicted efflux pump gene 58 clusters in members of the Chromobacterium genus, which is primarily comprised of non-59 pathogenic saprophytes but also has several members known to occasionally cause highly fatal 60 infections in humans. One of the predicted efflux pump gene clusters is present in every member 61 of the *Chromobacterium* genus and shown to increase resistance to a broad range of antibiotics, 62 including those that are clinically relevant. The other gene cluster confers resistance to a limited 63 range of antibiotics and is found only in Chromobacterium subtsugae, a subset of this genus that 64 is entirely nonpathogenic. We demonstrate these pumps can be activated by their antibiotic substrates to increase antibiotic tolerance. We also use a dual-species laboratory model to 65 66 demonstrate that efflux pump activation by antibiotics is important for C. subtsugae to survive 67 during competition with another antibiotic-producing bacteria. These results have implications for managing antibiotic-resistant Chromobacterium infections and for understanding the evolution of 68 69 efflux pumps outside of the host.

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#### 73 INTRODUCTION

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75 Members of the Chromobacterium genus are often found in water and soil environments 76 of tropical and subtropical regions (1), and cause occasional but often fatal infections in patients 77 (2). Many members of this genus are also potentially useful in industry and ecological applications 78 such as bioplastics synthesis (3, 4), hydrolyzing plastic films (5), solubilizing gold (6, 7), and pest 79 management (8, 9). The most well-known trait shared by many members of this genus is the 80 ability to produce a purple pigment, violacein, which has a variety of biotechnologically interesting 81 applications including as an antimicrobial (10) and anti-cancer therapeutic (11, 12). Production of 82 violacein and other antibiotics is controlled by N-acylhomoserine lactone (AHL) quorum sensing 83 (13, 14), a type of cell-cell communication distributed widely in the Proteobacteria (15). Because 84 production of violacein can be easily observed in cell cultures, a strain of C. subtsugae strain 85 CV017 (formerly C. violaceum CV017) is commonly used as a biosensor of AHL signals from the 86 environment and other bacteria (13). This strain has also been used to study mechanisms of 87 interspecies competition using a laboratory model developed with another soil saprophyte, 88 Burkholderia thailandensis (16, 17).

89 We are interested in understanding mechanisms that are important for competition with 90 other strains and species in polymicrobial communities, and studying these mechanisms using 91 relatively simple laboratory models. Our B. thailandensis-C. subtsugae model was previously 92 used to show how quorum sensing-controlled antibiotics can change the dynamics of competition 93 between species (16, 17). Here, we use this model to explore mechanisms of antibiotic defense. 94 We initially chose B. thailandensis and C. subtsugae for our model because they each grow 95 similarly in the conditions of the experiment, however, these species can also be isolated from 96 similar tropical soil and water environments. Also, in our laboratory conditions, both species 97 produce antibiotics that can kill the other species, and we wished to explore the effects of these 98 antibiotics in our earlier experiments (16). In the case of C. subtsugae, the involved antibiotic(s) 99 remain unknown, and have a relatively small but significant role in the outcome of competition. In the case of *B. thailandensis* the antibiotic is bactobolin, which has a relatively large effect in the
co-culture model (16). Bactobolin is a broad-spectrum antibiotic that targets the 50S ribosomal
subunit to block translation (17).

103 Our previous studies identified a C. subtsugae predicted antibiotic efflux pump gene 104 cluster that contributes to bactobolin resistance (18). We named the predicted efflux pump 105 CdeAB-OprM. CdeAB-OprM belongs to the nodulation division (RND) efflux pump family. RND-106 family efflux pumps are situated within the inner membrane and involve three proteins: an inner 107 membrane pump, an outer membrane channel, and a periplasmic adaptor protein. Together, the 108 proteins form a tripartite efflux pump spanning both the inner and the outer membrane (19). Drug 109 exporters belonging to the RND family play a key role in resistance to clinically relevant antibiotics 110 in Proteobacteria (19). Resistance to antibiotics is generally due to mutation of a transcription 111 regulator that increases production of the efflux pump and causes the cell to become more 112 antibiotic resistant. Although the involvement of efflux pumps in antibiotic-resistant infections has 113 been well established, much less is known about the factors that contribute to the evolution of 114 efflux pumps outside of a host infection. The presence of efflux pumps in many saprophytic 115 bacteria suggest these pumps are also important in other contexts, for example for managing the 116 toxicity of endogenous antibiotics (20). Efflux pumps might also be important for defending against 117 antibiotics produced by other species during competition.

118 In this study, we use our co-culture model to explore the importance of efflux pumps during 119 interspecies competition. We demonstrate that CdeAB-OprM increases C. subtsugae survival 120 during competition with bactobolin-producing *B. thailandensis*. We also demonstrate that adding 121 a sublethal concentration of bactobolin to the co-culture experiments increases C. subtsugae 122 survival in the co-culture model, suggesting that C. subtsugae defense mechanisms are activated 123 by exposure to the low doses of bactobolin. However, increased survival is not dependent on 124 CdeAB-OprM. Instead, we find a newly identified pump, CseAB-OprN, and show this pump is 125 important for the increased survival in co-culture. We also demonstrate the involvement of CseAB-

OprN in a specific response to bactobolin and that this gene cluster is activated by sublethal bactobolin concentrations through a LysR-family regulator encoded adjacent to the *cseAB-oprN* genes, CseR. We show that the *cseAB-oprN* genes are limited to the *C. subtsugae* strains, which are not known to be pathogenic, and that the genes encoding CdeAB-OprM are widely distributed in the *Chromobacterium* genus. Together, our results describe two RND-efflux pumps found in members of the *Chromobacterium* genus and demonstrate how the evolution of antibiotic response might be important during competition with other species in the soil.

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135 **RESULTS** 

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#### 138 Sublethal bactobolin increases *C. subtsugae* survival in co-culture.

139 In many bacteria, exposure to sublethal antibiotic concentrations reversibly increases 140 antibiotic resistance, often through the activation of antibiotic efflux pumps (19), to cause induction of antibiotic tolerance. We used our B. thailandensis-C. subtsugae co-culture model to test 141 142 whether addition of a sublethal antibiotic (bactobolin) alters the outcome of competition through 143 induction of antibiotic tolerance. We combined exponentially growing pure cultures of B. 144 thailandensis and C. subtsugae and added sublethal concentrations of bactobolin from B. 145 thailandensis filtered culture fluid at the beginning of co-culture growth. We used 1/2 the minimal 146 bactobolin concentration that inhibits growth (1/2 MIC, which was 0.5-0.6% culture fluid in the total 147 co-culture). With no added bactobolin, B. thailandensis outcompeted C. subtsugae in co-cultures 148 consistent with previous results (16, 18)(Fig. 1, 'wild type,' filled circles). However, when sub-MIC 149 bactobolin was supplied in the culture, C. subtsugae gained an advantage over B. thailandensis 150 (Fig. 1 'wild type,' open circles). In identical experiments with culture fluid from a *B. thailandensis* 151 bactobolin mutant, we observed no effect on co-culture outcomes (Fig. S1). These results show 152 that exposure to sub-MIC bactobolin increases C. subtsugae survival in co-culture competition 153 with *B. thailandensis*.

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# 155 Increased survival in response to sublethal bactobolin is through a newly identified efflux

## 156 pump, CseAB-OprN in C. substugae

Because our previous results showed CdeAB-OprM is important for bactobolin resistance, we predicted the CdeAB-OprM efflux pump might increase competitiveness in response to bactobolin (18). To test this hypothesis, we compared the competitive ability of a *C. subtsugae*  $\Delta cdeAB$ -oprM mutant with that of wild type in our co-culture experiments. In co-cultures with no added bactobolin, we observed that the  $\Delta cdeAB$ -oprM mutant was less competitive than wild type 162 (Fig. 1). This result is consistent with the role of the *cdeAB-oprM* genes in bactobolin resistance 163 (18). However, when sublethal bactobolin was added to the co-culture the competitive ability of 164 the  $\triangle$ *cdeAB-oprM* mutant increased by 1000-fold (Fig. 1). The increase was similar to that of wild-165 type *C. subtsugae*. These results show that although the CdeAB-OprM pump is important for 166 bactobolin resistance, this pump is not responsible for the change in the outcome of competition 167 following exposure to sublethal bactobolin.

We predicted a related pump with similar substrate specificity might be involved in the 168 169 response to sublethal bactobolin in co-cultures. We searched the genome of CV017 for a gene 170 related to *cdeB* and found one that is 80% identical to *cdeB* at the nucleotide level, which we call 171 cseB. The cseB gene is flanked by genes with similarity to the cdeA and oprM genes (cseA and 172 oprN) (Fig. 1B). Upstream of the cdeAB-oprM genes there is a gene encoding a putative TetR-173 family repressor called *cdeR*, which we previously showed is involved in regulating the *cdeAB*-174 oprM genes (18). However, there is no homologous gene to cdeR upstream of the cseAB-oprN 175 gene cluster. Instead, downstream of the cseAB-oprN genes there is a gene encoding a predicted 176 LysR family transcription activator, which we call cseR (21). To test the role of cseAB-oprN and 177 cseR in our co-culture model, we deleted the cseB and cseR genes in CV017 and performed co-178 culture experiments with the deletion mutants. We observed that the competitive ability of both 179  $\triangle cseB$  and  $\triangle cseR$  was unchanged after exposure to sublethal bactobolin (Fig. 1,  $\triangle cseB$  and 180 *AcseR*, filled circles). Thus, both CseR and CseAB-OprN are important for *C. subtsugae* to 181 increase competitiveness in response to sublethal bactobolin.

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#### 183 Role of CseAB-OprN and CdeAB-OprM in antibiotic susceptibility and tolerance

184 To further characterize the newly identified CseAB-OprN and the CdeAB-OprM efflux 185 pump, we determined the minimum inhibitory concentration (MIC) of bactobolin for *C. subtsugae* 186 wild type and strains defective for each of the pumps or their putative regulators (Table 1).

187 Consistent with previously reported results (18), the  $\triangle cdeAB$ -oprM mutant was about 3-fold more 188 susceptible to bactobolin than wild type. Deleting *cdeR* increased bactobolin resistance by 6-fold, 189 and the increase was not observed in a *cdeR*, *cdeAB-oprM* double mutant. These results suggest 190 CdeR is represses bactobolin resistance through the CdeAB-OprM system. We also observed 191 cdeA and cdeB transcripts were increased in a cdeR mutant compared with wild type (Fig. S2). 192 Together, these results support the idea that CdeAB-OprM increases bactobolin resistance and 193 CdeR is a transcriptional repressor of the *cdeAB-oprM* gene cluster. In contrast, the bactobolin 194 MIC for the  $\triangle cseB$  mutant was identical to that of wild type (Table 1). Thus, the CseAB-OprN 195 pump does not contribute to bactobolin resistance in standard MIC experiments.

196 We also tested other antibiotics such as tetracycline, chloramphenicol, erythromycin (a 197 macrolide), gentamicin and kanamycin (both aminoglycosides), imipenem (a cell wall-targeting  $\beta$ -198 lactam antibiotic), and ciprofloxacin (DNA gyrase inhibitor). In the case of tetracycline, 199 erythromycin, chloramphenicol and ciprofloxacin, the *cdeAB-oprM* mutant was more susceptible 200 than wild type. However, as with bactobolin, the  $\triangle cseB$  mutant was not more susceptible than 201 wild type to any of the tested antibiotics. We also tested a cseB, cdeAB-oprM double mutant for 202 sensitivity to each of the antibiotics, and the double mutant showed the same susceptibility 203 phenotypes as the *cdeAB-oprM* single mutant. The results show that CseAB-OprN does not play 204 a significant role in increasing resistance in standard susceptibility experiments.

Although CseAB-OprN was not important in standard antibiotic susceptibility testing, the role of this pump in bactobolin-treated co-cultures (Fig. 1) supports the idea that this pump could be important for inducing a bactobolin tolerance response. To test this hypothesis, we developed an experiment to assess antibiotic tolerance by measuring the change in antibiotic susceptibility (MIC) following exposure to sublethal antibiotic concentrations. We grew CV017 cells for 6 h with 1/2 MIC of bactobolin (determined in Table 1), then compared the MIC of bactobolin-exposed cells with that of identically grown cells with no prior bactobolin exposure. We found that the MIC

212 of bactobolin-exposed cells was 3.2 (± 0.2)-fold higher than identically treated cells not exposed 213 to any antibiotic (Fig. 2A). Thus, we were able to observe induction of bactobolin tolerance in C. 214 subtsugae in our experiment. We performed similar experiments with the C. subtsugae  $\triangle cdeAB$ -215 oprM.  $\triangle cseB$  or  $\triangle cseR$  mutants. Our results showed exposure to sublethal bactobolin increased 216 the MIC of the  $\triangle cdeAB$ -oprM mutant 3.4 (± 1.2)-fold, similar to the induction observed with wild 217 type. However, there was no significant MIC change observed with  $\triangle cseB$  mutant cells following 218 sublethal bactobolin exposure. These results support that the CseAB-OprN pump, and not the 219 CdeAB-OprM pump, is important for bactobolin tolerance.  $\Delta cseR$  mutant cells also induced 220 bactobolin tolerance, but the change in MIC of 1.8 (± 0.2)-fold for the  $\triangle cseR$  mutant was 221 significantly less than that of wild type (p < 0.0005) supporting that CseR also plays a role in 222 bactobolin tolerance.

223 To test whether CseAB-OprN is involved in inducing resistance to antibiotics other than 224 bactobolin, we used a similar approach to assess tolerance responses to erythromycin, 225 ciprofloxacin, and tetracycline. We observed no significant change in MIC to erythromycin or 226 ciprofloxacin after sublethal exposure (Fig. S3). However, exposure to sublethal concentrations 227 of tetracycline increased tetracycline MIC by  $3.9 (\pm 0.9)$ -fold, similar to that of bactobolin (Fig. 2B). 228 Surprisingly, a similar 2.7 ( $\pm$  1.3)-fold increase in MIC was observed for the  $\triangle cseB$  mutant. And 229 no increase was observed for the  $\triangle cdeAB$ -oprM mutant. These results show C. subtsugae 230 induces tetracycline tolerance through the CdeAB-OprM system and not through CseAB-OprN.

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#### 232 Specificity of CseAB-OprN and CdeAB-OprM

We found it interesting that the CdeAB-OprM system was important for bactobolin resistance, but not tolerance (Fig. 2). These results suggest bactobolin might be weakly recognized as an inducer by the CdeAB-OprM system or serve as a poor substrate for export. To understand the interaction of each pump with bactobolin, we exposed cells to sublethal

237 concentrations of bactobolin and then determined the MIC of tetracycline. We predicted that if 238 bactobolin can induce the CdeAB-OprN pump but serves as a poor substrate for export, we would 239 observe an increase in tetracycline MIC in response to bactobolin induction in a manner 240 dependent on CdeAB-OprM. Consistent with this prediction, our result showed that incubation 241 with sublethal bactobolin increased the tetracycline MIC and the response was dependent on 242 CdeAB-OprM (Fig. 3A). These results support the conclusion that the CdeAB-OprM pump can be 243 induced by sublethal bactobolin. We also performed the reverse experiment; we incubated cells 244 with sublethal tetracycline and subjected those cells to a bactobolin MIC. In this experiment, 245 tetracycline increased the bactobolin MIC in a manner that was also dependent on the CdeAB-246 OprM system (Fig. 3B). Thus, tetracycline and bactobolin can both serve to induce tolerance 247 through CdeAB-OprM, although the effects of bactobolin were only observed in combination with 248 tetracycline suggesting bactobolin is a relatively poor substrate of this pump. In contrast, 249 tetracycline was unable to induce tolerance or be recognized by the CseAB-OprN pump, 250 suggesting this antibiotic is only recognized by the CdeAB-OprM pump. Together, our results 251 support the conclusion that CseAB-OprN has a high level of sensitivity and specificity for 252 bactobolin, whereas CdeAB-OprM recognizes a broader range of antibiotics.

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254 CseR is a bactobolin-responsive cseAB-oprN gene activator.

255 Because CseR is important in co-culture and antibiotic tolerance experiments and also 256 belongs to the LysR transcriptional regulator family, we hypothesized that CseR might be an 257 activator of the CseAB-OprN system. To test this hypothesis, we measured cseA transcripts in 258 wild type or the  $\triangle cseR$  mutant cells exposed to bactobolin, tetraycline or no antibiotic (Fig. 4). Our 259 results showed a small but significant 2.4-fold increase in cseA transcripts in bactobolin-treated 260 cells compared with untreated cells or tetracycline-treated cells. The increase in bactobolin-261 treated cells was dependent on cseR. Thus, CseR activates transcription of the cseAB-oprN 262 genes in response to bactobolin. We also measured induction of *cdeA* transcription in these cells

to assess the specificity of CseR gene activation. We observed a 3-fold increase in *cdeA*transcription in cells treated with either bactobolin or tetracycline that was independent of CseR.
Thus, CseR specifically activates *cseAB-oprN* transcription in response to bactobolin antibiotic.
We also observed that *cdeA* transcription was induced by bactobolin or tetracycline in a CseRindependent manner, which was consistent with the results of our antibiotic tolerance experiments
(Figs. 2 and 3).

269 Many LysR-family activators require a ligand for activation of target genes. To test if 270 increasing CseR production is sufficient to activate the CseAB-OprN system or if bactobolin is 271 required for this activation, we introduced the cseR gene into plasmid pBBR-MCS5 downstream 272 of the constitutive T3 promoter and introduced this plasmid to the C. subtsugae  $\triangle cseR$  strain. As 273 a control, we also made a similar pBBR-MCS5 *cdeR* plasmid and introduced this plasmid to the 274 C. subtsugae  $\triangle cdeR$  strain. While the pBBR-cdeR plasmid markedly decreased the  $\triangle cdeR$  mutant 275 bactobolin MIC, there were no effects of expressing cseR in the  $\Delta cseR$  mutant (Table S1). 276 However, cseR-expressing cells exposed to sublethal bactobolin significantly increased 277 bactobolin tolerance compared with the plasmid-only control or cells not exposed to bactobolin 278 (Fig. S3). Thus, constitutive CseR production does not appear to be sufficient to induce tolerance, 279 and bactobolin likely serves as a ligand activator of the CseR regulator.

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#### 281 Distribution of cdeAB-oprM and cseAB-oprN in Chromobacterium.

We were interested in evaluating the distribution of the *cdeAB-oprM* and *cdeAB-oprM* genes in the *Chromobacterium* genus. We constructed a phylogenetic tree using 32 *Chromobacterium* genomes and an outgroup (*Aquitaliea magnus*) (Fig. 5, Fig. S5 and Table S2). We constructed the tree using a set of 140 orthologous proteins (see Materials and Methods) to increase confidence of relationship predictions. Using this method, CV017 grouped with the *C. subtsugae* strains, consistent with the new classification of this strain. We were also able to make confident predictions of species associations for some of the unnamed species, for example, strain F49 grouped with the *C. subtsugae* clade. It is notable that the species known to cause infections in humans, *C. violaceum* and *C. haemolyticum*, grouped separately from *C. subtsugae*. Thus *C. subtsugae* may be representative of a primarily non-pathogenic clade of *Chromobacterium*.

292 We identified *cdeB* homologs in all of the strains ranging from 85-100% nucleotide sequence 293 identity to CV017 cdeB. Each of these strains had a similar arrangement of cdeA, oprM and cdeR 294 genes surrounding cdeB (Fig. 5B). We used a best-reciprocal BLAST search to discern cdeB and 295 cseB homologs, and identified cdeB homologs only in CV017, F49 and other members of the C. 296 subtsugae species with the exception of MWU2920. In these other species, the cseB genes were 297 >99% identical to one another, and the organization of genes surrounding cseB was conserved 298 with that of the cseAB-oprN genes in CV017. We predicted that the cseAB-oprN gene cluster 299 might have arisen from the cdeAB-oprM genes via a duplication event that occurred in an ancestor 300 of C. subtsugae; however, generation of a second tree using the cseB and cdeB gene homologs 301 did not support this hypothesis (Fig. S6). Instead, the alignments show cseB groups with cdeB 302 from *Chromobacterium* species C61, suggesting that *cseB* might have arisen from a horizontal 303 gene transfer event from an ancestor of this strain.

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#### 310 **DISCUSSION**

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312 In this study, we demonstrate antibiotic specificity and regulation of two efflux pumps of 313 Chromobacterium subtsugae CV017. One of these, CseAB-OprN, had not been previously 314 reported and is limited to the C. subtsugae species. The other, CdeAB-OprM, is widely distributed 315 in members of the Chromobacterium genus. CdeAB-OprM has a broad range of specificity for 316 antibiotics such as tetracycline, erythromycin, chloramphenicol and ciprofloxacin. As ciprofloxacin 317 is one of the recommended treatments for infections with pathogenic Chromobacterium (2), the 318 results suggest CdeAB-OprM might be important in cases of antibiotic failures during 319 Chromobacterium infections. To date, there are very few publications on Chromobacterium 320 mechanisms of antibiotic resistance and drug export (18, 22, 23). Results of this study will be 321 useful for identifying resistance mechanisms in clinical isolates and for future Chromobacterium 322 research. As Chromobacterium members are primarily saprophytic non-pathogens, our results 323 are also important for understanding the ecology and evolution of efflux pumps.

324 Our results demonstrate that both CdeAB-OprM and CseAB-OprN are induced by their 325 antibiotic substrates. Such a response is typical of related pumps such as the aminoglycoside-326 responsive MexXY pump of Pseudomonas aeruginosa (24) and the trimethoprim-responsive 327 BpeEF-OprC pump of Burkholderia pseudomallei (25). Because many antibiotic resistance 328 factors are costly to produce, waiting to induce their production until needed might allow a valuable 329 metabolic cost savings (26). The ability to respond to antibiotic stress might be an important 330 strategy for bacteria to survive antibiotics during treatment of infected patients (27). Such 331 responses might also be important during competition with other bacteria (28). Our co-culture 332 model highlights the importance of tolerance induction during competition and how such 333 regulation might evolve in soil bacteria. The induction of tolerance has been proposed to be a 334 type of 'danger sensing,' which is important for sensing and responding to threats posed by other bacteria (28). Sublethal antibiotics serves as a warning that antibiotic-producing competitors are 335 336 nearby and might soon deliver higher killing doses.

337 Competitive interactions with other bacteria might also be an important factor shaping the 338 evolution of antibiotic production. Many antibiotics are regulated by quorum sensing, which 339 activates production in response to changes in population density; for example in *B. thailandensis*. 340 bactobolin is regulated by the BtaR2-I2 guorum-sensing system (29). Placing antibiotics under 341 the control of quorum-sensing systems might be a strategy to avert induction of antibiotic 342 tolerance by other species (30). Quorum-sensing control of antibiotics might serve to delay 343 antibiotic production until the population can produce a sudden killing dose of antibiotic. 344 Bactobolin production is activated by quorum sensing when the population reaches stationary 345 phase (31) and sufficient cells have accumulated to produce killing dose. Adding bactobolin at 346 the start of the experiment exposes C. substugae to low doses prior to the accumulation of the 347 killing dose. The early exposure allowed C. subtsugae to mount a defense response and survive 348 the higher killing doses. Thus regulatory mechanisms that delay antibiotic production might 349 increase the killing effect of the antibiotics, allowing an effective and efficient 'sneak attack' on the 350 competitor (30).

351 Our phylogenetic analysis suggests that the cseAB-oprN genes were acquired through 352 horizontal transfer of the cdeAB-oprM cluster from another species, rather than through 353 duplication of the *cdeAB-oprM* genes in the ancestral strain (Fig. 5A and S6). The finding that 354 horizontal transfer of resistance genes occurred in saprophytic species supports the idea that 355 horizontal transfer can lead to the dissemination of antibiotic resistance genes in polymicrobial 356 communities of the soil (32). Our co-culture experiments also support the idea that the spread of 357 resistance might have been helpful for surviving antibiotics produced by other bacteria in the soil. 358 The acquisition of new resistance genes might be important for surviving antibiotics produced by 359 other species, as demonstrated by our co-culture model, or it might also promote tolerance to 360 self-produced antibiotics (33). Chromobacterium is known to produce an arsenal of antimicrobial-361 active molecules (34), although many have not been well characterized. The hypothesis that

362 CseAB-OprN or CdeAB-OprM provides resistance to a self-produced antibiotic can be tested 363 experimentally.

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#### 366 MATERIALS AND METHODS

367 368 Bacterial culture conditions and strains. All strains were grown in Luria-Bertani (LB) 369 broth, LB containing morpholine-propanesulfonic acid (LB-MOPS; 50 mM; pH 7), or on 370 LB with 1.5% (wt/vol) Bacto-Agar. All broth cultures were incubated with shaking at 30°C 371 (C. subtsugae or C. subtsugae-B. thailandensis co-cultures) or 37°C (B. thailandensis or 372 E. coli). As a source of bactobolin, we used filtered B. thailandensis culture fluid prepared 373 as described previously (18). Filtered B. thailandensis culture fluid was stored at 4°C for 374 up to 1 month prior to use and used directly for experiments. For strain constructions, we 375 used gentamicin at 50 µg ml-1 (*C. subtsugae*) or 15 µg ml-1 (*E. coli*). For selection from 376 co-cultures we used gentamicin at 100 µg ml-1 (*B. thailandensis*) and trimethoprim at 377 100  $\mu$ g ml-1 (*C. subtsugae*).

378 Bacterial strains and plasmids used in this study are listed in Tables S3-S4. For 379 co-cultures, we used the wild-type B. thailandensis strain E264 (35). C. subtsugae strain 380 CV017 (referred to as wild type, previously known as C. violaceum CV017) is a derivative 381 of strain ATCC31532 (36) with a transposon insertion in gene CV RS05185 causing 382 overexpression of violacein (37, 38). All C. subtsugae mutant strains were constructed 383 from CV017 using allelic exchange and methods described previously (16). The 384 constructs for generating deletions in cseB, cdeR and cseR were made by introducing 385 PCR-generated amplicons or synthetic gene fragments (IDT or Genscript) into 386 pEX18Gm-derived delivery plasmid (39). The mutation was introduced to C. subtsugae

<sup>387</sup> by conjugation and transconjugants were selected on gentamicin. Transconjugants were <sup>388</sup> transferred to no salt-LB + 15 % sucrose (wt/vol) to select for plasmid excision and correct <sup>389</sup> clones were identified by PCR-based screening. *cseR* and *cdeR* expression plasmids <sup>390</sup> were made using pBBR-MCS5 (40) with PCR-generated *cseR* or *cdeR* fragments introduced <sup>391</sup> downstream of the T3 promoter. All strains and PCR-generated plasmids were verified by <sup>392</sup> PCR amplification and sequencing.

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394 **Co-culture experiments.** Co-culture experiments were conducted in 10 ml LB-MOPS 395 medium in 125 ml baffled flasks. The inoculum was from logarithmic-phase pure cultures 396 of C. subtsugae and B. thailandensis. The initial OD<sub>600</sub> in the co-culture was 0.05 for B. 397 thailandensis (2-4x10<sup>7</sup> cells per ml) and 0.005 for C. subtsugae (2-4x10<sup>6</sup> cells per ml). 398 When indicated, cultures also contained *B. thailandensis* culture fluid at a concentration 399 corresponding with <sup>1</sup>/<sub>2</sub> of the MIC for the *C. subtsugae* strain used in the experiment. After 400 inoculating, co-cultures were incubated at 30°C with shaking at 230 r.p.m for 24 h. Colony 401 forming units of each species were determined by using differential antibiotic selection on 402 LB agar plates. B. thailandensis was selected with gentamicin and C. subtsugae was 403 selected with trimethoprim.

404

Sequencing the cse gene locus. cseB (CV\_15400) was initially identified in the assembled CV017 genome (GCA\_001510755.1) on scaffold 169 (LKIW01000078). 274 bases downstream of cseB is the 3' end of scaffold 169. As this sequence did not overlap with any of the other 211 scaffolds of CV017, we used a BLAST search to identify similar sequence in a similar strain (*C. subtsugae* PRAA4-1, GCA\_001676875.1) This search

410 identified sequence containing a *cseB*-like gene in scaffold 30 of PRAA4-1, corresponding 411 with CV017 scaffold 192 (LKIW01000104). We used alignments of CV017 scaffold 169 412 and 192 with the PRAA4-1 sequence to design oligonucleotides to amplify the region 413 between scaffolds 169 and 192. We Sanger sequenced the amplified PCR product to 414 verify the correct orientation of the scaffolds and identify the previously unknown 39 415 nucleotides linking forward-facing scaffold 169 with reverse-facing scaffold 192. This was 416 GCCGCCGCCGACAGCCAGCGCCAGCGCGTCAGCGCGACG. CV017 scaffold 169 417 was updated through NCBI (LKIW01000078.2) and scaffold 192 was made secondary to 418 this new scaffold so searches for either will give the new version of scaffold 169.

419

420 Antibiotic susceptibility and tolerance experiments. Antibiotic susceptibility (Table 1) 421 was determined by minimum inhibitory concentration (MIC) according to the 2003 422 guidelines of the Clinical and Laboratory Standards Institute (NCCLS), using a modified 423 microtiter method. Antibiotics were added to LB-MOPS in a 100 ul well of a 96-well plate, 424 and 10 successive 2-fold dilutions were made. For each antibiotic, 3 different starting 425 concentrations of antibiotic were used to increase sensitivity of the experiment. C. 426 subtsugae inocula were prepared by diluting logarithmic-phase cells from LB-MOPS 427 cultures to an optical density at 600 nm (OD<sub>600</sub>) of 0.005 (6x10<sup>6</sup> CFU) in each well. Plates 428 were sealed with Breathe-Easy strips (Fisher Scientific, fishersci.com) and grown for 24 429 h with shaking at 30°C. The MIC was defined as the lowest concentration of antibiotic 430 (µg/ml) in which bacterial growth in the well was not measurable by determining the 431 optical density at 600 nm (OD<sub>600</sub>) on a 96-well plate reader.

To assess changes in MIC induced by exposure to sublethal antibiotic (tolerance), logarithmic-phase *C. subtsugae* cells were diluted to an OD<sub>600</sub> of 0.1 in 10 ml LB-MOPS in a 125-ml culture flask. The culture medium contained antibiotic at ½ of the MIC determined by the method described above (this varied for each strain), or no antibiotic (untreated), and incubated for 6 hours with shaking at 30°C. These cultures were then directly used to determine MIC using methods described above.

438

439 **Droplet digital PCR.** RNA was harvested from *C. subtsugae* as described in the figure 440 legends. RNA was prepared using the RNeasy® Mini Kit (Qiagen) following the 441 manufacturer instruction with a modification in the DNA digestion step as described 442 previously (18). Droplet digital PCR was performed on a Bio-Rad's QX200 Droplet Digital 443 PCR (ddPCR) System using Eva Green Supermix. Each reaction mixture contained 1 ng 444 µl<sup>-1</sup> of cDNA template, 0.25 µM of each primer, 10 µl Eva Green Supermix, and 8 µl H<sub>2</sub>O 445 in a 20-µl volume. After generating 40 µl of oil droplets, 40 rounds of PCR were conducted 446 using the following cycling conditions: 94°C for 20 sec, 60°C for 20 sec, and 72°C for 20 447 sec. Absolute transcript levels were determined using the Bio-Rad QuantaSoft Software. 448 In all cases a no-template control was run with no detectable transcripts.

449

Phylogeny of Chromobacterium species and efflux pump genes. Chromobacterium
 species used for phylogenetic analyses are listed in Table S4. Annotated protein
 sequences from assembled genomes of Chromobacterium and Aquitaliea magnusonii
 (outgroup) were retrieved from the National Center for Biotechnology Information (NCBI,
 June 2018, Table S3). Comparisons using 16S rRNA provided low confidence predictions

455 of phylogeny, thus we performed an analysis using a set of 140 single-copy orthologs, 456 which were identified using several steps. Orthologous proteins were initially identified by 457 using blastp to carry out reciprocal best BLAST hits of each protein from each strain 458 against a protein database made of all the proteins in our strain set ((41), options: -459 max target seqs 1), to find orthologs with best BLAST hit between all possible pairs of 460 species, and no more than 10% variation in protein length. We aligned this group of 171 461 proteins individually using Muscle version 3.8.31 ((42), options –diags), then reordered 462 sequences using the stable.py script provided by the Muscle developer. Finally, we 463 removed any orthologous protein groups with less than 75% average pairwise identity. 464 less than 30% of sites identical or 100% of sites identical. This last step ensured that all 465 proteins would have intermediate levels of divergence and left the final set of 140 466 orthologs used for phylogenetic tree construction. Finally, we concatenated the protein 467 sequences in each alignment to create one single alignment consisting of 25,351 amino 468 acids. After inspection of this alignment, we found that several pairs of isolates had very 469 low divergence (less than 10 amino acid differences across the entire alignment). We 470 decided to remove one of each of these pairs to reduce redundancy (removed isolates 471 were C. violaceum strains LK6, LK30, H5525 and 16-419B). C. subtsugae F49 and C. 472 subtsugae CV017 are identical across the alignment of orthologous proteins but both 473 were retained because CV017 is the focus of the paper.

We generated phylogenetic trees using neighbor joining, maximum likelihood and Bayesian methods. A simple neighbor joining (NJ) tree implemented in Geneious version 10.1.3 (http://www.geneious.com) with a Jukes-Cantor substitution model and 100 bootstrap replicates and *A. magnusonii* as an outgroup. We constructed a maximum

478 likelihood tree using RaxML version 8.2.11 (43) with a Gamma BLOSSUM62 protein 479 model, 100 bootstrap replicates with a parsimony random seed of 1 and A. manusonii as 480 an outgroup. We used Mr. Bayes version 3.2.6 (44) to construct a tree using Bayesian 481 methods with a Poisson rate matrix, gamma rate variation with 4 categories, and A. 482 manusonii as an outgroup, an initial chain length of 1,100,000 with four heated chains at 483 a temperature of 0.2, the subsampling frequency was 2000 generations after an initial 484 burn-in length of 100,000 generations. However, after 332,000 generations, the standard 485 deviation of split frequencies was less than 0.01 and so the tree search was terminated. 486 For all three methods, consensus trees were built based on 50% majority rule, and the 487 three trees were compared using the RaxML maximum likelihood tree as a backbone. 488 We elected to use a concatenated sequence to build our tree because our concern is 489 about the overall evolutionary history of the genus and not how specific genes trees might 490 differ from species tree.

491 To reconstruct the evolutionary history of the two efflux pumps, we compared DNA 492 sequence of the pump genes to improve our ability to resolve evolutionary distances 493 between closely related isolates. We retrieved the DNA sequences by performing a 494 tblastn (45) of the amino acid sequence for that protein against all Chromobacterium 495 genome assemblies and then located the correct sequence based on isolate name. Note 496 that one sequence was split among two scaffolds in C. subtsugae MWU2387 and was 497 therefore not annotated so we pieced together the appropriate sequence. To find 498 orthologs of the second pump (cseB) we performed a tblastn search of the amino acid 499 sequence of CdeB from CV017 against all assembled Chromobacterium species. 500 Reciprocal best BLAST searches confirmed the presence of this second pump in only a

<sup>501</sup> subset of the *C. subtsugae* isolates (including CV017). We also searched for similar <sup>502</sup> sequences outside of *Chromobacterium* to find evidence for horizontal transfer of the <sup>503</sup> pump. Finally, we constructed phylogenetic trees of the DNA sequence for the two pumps <sup>504</sup> similar to what was described above but with nucleotides instead of amino acids.

505

506

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| 516<br>517 | Table 1. A   | ntimicrobia   | l susceptik | oility of C | C. violace | <i>um</i> (or C | C. subtsu | gae) strai | ns                  |  |
|------------|--|---|-------------|-------------|------------|-----------------|-----------|------------|---------------------|--|
| 518        |  | Minimum inhibitory concentration (MIC) <sup>a</sup> |             |             |            |                 |           |            |                     |  |
| 519        |  | Bact <sup>b</sup>                                   | Tet         | Gent        | Kan        | Ery             | Chlo      | Imip       | Cipro               |  |
| 520        | <u>Cv strain</u>   | (%)   |             |             |            | µg.ml⁻¹         |           |            | ng ml <sup>-1</sup> |  |
| 521        | Wild type  | 1.3±0.2   | 1.1±0.1     | 23±3        | 40         | 14±2            | 45.3±14   | 1.1±0.1    | 10±4                |  |
| 522        | $\Delta cdeAB^{c}$   | 0.4±0.2   | 0.5± 0.1    | 23±3        | 38±4       | 7±1             | 12.5      | 1          | 2±1                 |  |
| 523        | ∆cdeR  | 8   | 5±1         | 36±5        | 41±4       | 75±10           | 106±31    | 1.1±0.1    | 110±12              |  |
| 524        | $\Delta cdeRAB^{d}$  | 0.5±0.1   | 0.4         | 45±5        | 47.5±3.5   | 45±5.8          | 45.3±14   | 1          | 350±70              |  |
| 525        | ∆cseB  | 1.5±0.4   | 1.1±0.3     | 25±5        | 45±7       | 12±1            | 53±6      | 1          | 9±1                 |  |
| 526        | ∆cseR  | 1.4±0.4   | 1.0±0.3     | 25±5        | 35±7       | 16±1            | 54±7      | 1          | 8±1                 |  |
| 527        | $\Delta cdeAB \Delta c$  | seB 0.3±0.1   | 0.4±0.2     | 26.7±3      | 37.5±4     | 6±2             | 54±7      | 1.1±0.1    | 2±1                 |  |
| 528        | <sup>a</sup> The minimum inhibitory concentration (MIC) of <i>B. thailandensis</i> culture fluid bactobolin, |   |             |             |            |                 |           |            |                     |  |

529 tetracycline (Tet), gentamicin (Gent), kanamycin (Kan), erythromycin (Ery),

530 chloramphenicol (Chlo), and imipenem (Imip) and ciprofloxacin (Cipro). Results are the

average of three independent experiments and the range is indicated when it was not

532 zero.

<sup>533</sup> <sup>b</sup>Results are from a single preparation of *B. thailandensis* fluid. Results with other <sup>534</sup> preparations were similar. There were no observed growth defects in identical <sup>535</sup> experiments with 3% culture fluid from a *B. thailandensis* bactobolin-deficient mutant.

536  $^{c}\Delta cdeAB$  indicates the strain  $\Delta cdeAB$ -oprM

537  $^{d}\Delta cdeRAB$  indicates the strain  $\Delta cdeAB$ -oprM,  $\Delta cdeR$ 

538

#### 540 FIGURE LEGENDS

541

542 Fig. 1. A) B. thailandensis-C. subtsugae competition. Co-cultures were of wild-type B. 543 thailandensis (Bt) and C. subtsugae (Cs) CV017 or CV017 mutant strains. The black dashed line 544 indicates the starting 1:10 ratio of Cs to Bt. The ratio of Cs to Bt (Cs/Bt) after 24 h was determined 545 by selective plating and colony counts. Open circles, cultures with no added antibiotic. Filled 546 circles, co-cultures grown with sublethal bactobolin provided by adding *B. thailandensis* filtered 547 culture fluid (0.5-0.6%) at the start of the co-culture experiment. The solid lines represent means 548 for each group. The vertical bars show the standard error of the mean for each group. \*, 549 statistically significant by student's *t*-test compared with bactobolin-treated wild type (p < 0.001). 550 B) Illustration of cdeRAB-oprM and cseAB-oprN-cseR gene clusters. Shading indicates 551 nucleotide sequence identity. Dark grey, 80-89% identical. Light grey, 60-69% identical. The 552 regulators (cdeR and cseR) share no identity.

553

554 Fig. 2. Antibiotic tolerance of C. subtsugae CV017 strains. Antibiotic tolerance is the change in minimum inhibitory concentration (MIC) of cells exposed to sublethal antibiotic (white bars) 555 556 compared with that of identically treated cells with no antibiotic exposure (black bars). Sublethal 557 antibiotic concentrations are 1/2 the MIC as indicated in Table 1 and used to treat cells for 6 h 558 just prior to MIC determinations. Cells were treated for 6 h with A) Bactobolin or B) tetracyline 559 and the same antibiotic was used for MICs. Bactobolin MIC is given as the final concentration of 560 filtered culture fluid (% FCF). B) Tetracycline was used for sublethal exposure and MIC 561 determinations. Final MIC is shown as the average and standard error of 4-6 biological replicates 562 for each strain. Statistical significance by *t*-test; \*, P < 0.03.

563 564

Fig. 3. Specificity of tolerance responses. Antibiotic tolerance experiments were performed as
described for Fig. 2. In this case, sublethal exposure and MIC determinations were with different
antibiotics: A) bactobolin and tetraycline or B) tetraycline and bactobolin, respectively. Final MIC

is shown as the average and standard error of 3-4 biological replicates for each strain. \*, statistical significance by *t*-test; \*, P < 0.03.

570

Fig. 4. Antibiotic induction of the efflux pump genes *cseA* (A) or *cdeA* (B). Transcripts were measured from exponentially growing cells treated for 4 h with no antibiotic (black bars) or a sublethal concentration of bactobolin (grey bars) or tetracycline (white bars). Sublethal antibiotic concentrations are 1/2 the MIC as indicated in Table 1. Results are shown as *rpoD*-adjusted transcripts. The values represent the mean of three independent experiments and the vertical bars represent the standard error of the mean. Statistical analysis by t- test compared with untreated wild type: \*,  $p \le 0.02$ 

578

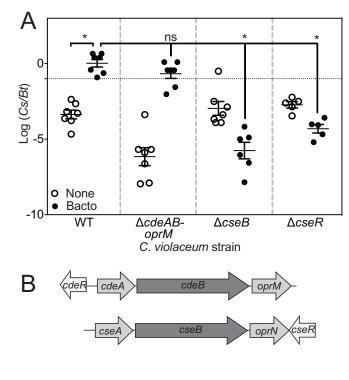
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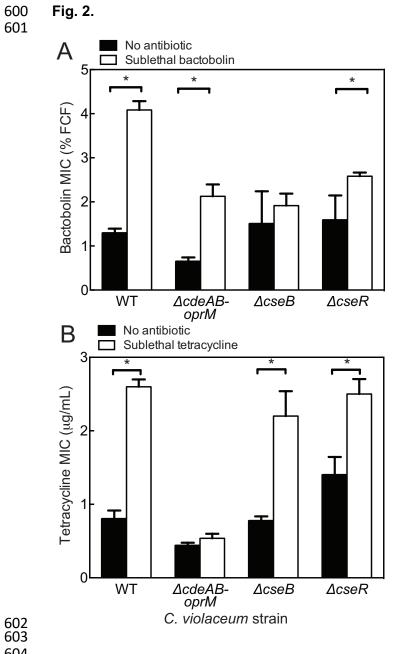
580 Fig. 5. Phylogenetic tree of Chromobacterium species. Tree construction is described in 581 materials and methods. Circles at each node represent bootstrap values based on neighbor 582 ioining (blue circles), maximum likelihood (red circles) and Bavesian (vellow circles) methods. 583 Filled circles, >95% support; unfilled circles, >50% support; missing circles, <50% support. \*, all 584 three methods showed >95% support. Triangles represent several highly similar strains; 585 Chromobacterium sphagnii (37-2 and 14-B11), Chromobacterium violaceum (L 1B5 1, LK17, 586 LK15, GHS51, 16-454, CV1192, CV1197, GN5 and 12472), and C. vaccinii (MWU205 and 21-1). 587 A full representation of the species in the phylogenetic tree is shown in Fig. S1. Red box indicates 588 species encoding the cseAB-oprN genes. Red arrow, node where species with the cseAB-oprN 589 gene cluster separate from other species.

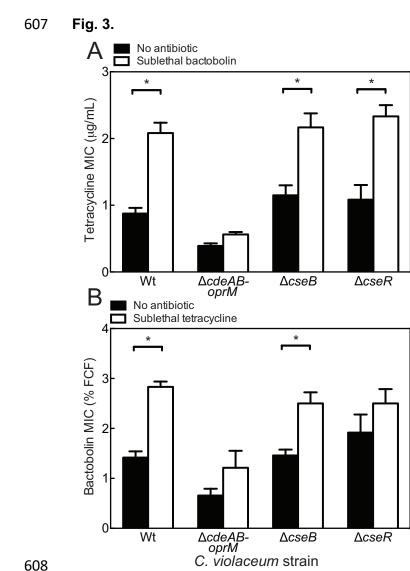
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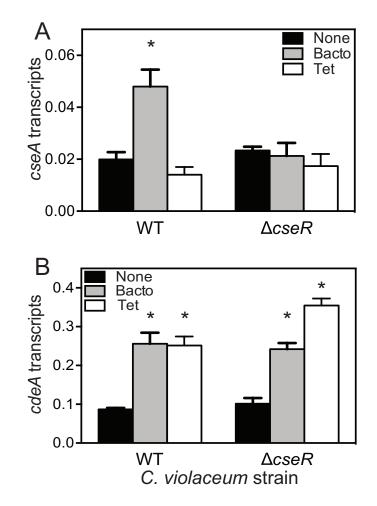




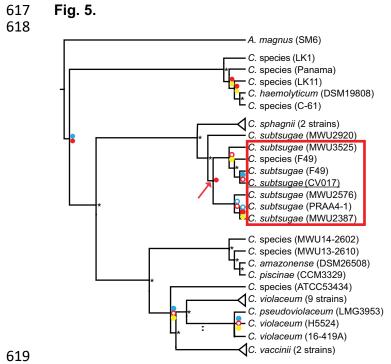












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