Title: Proteins encoded by Novel ORFs have increased disorder but can be biochemically regulated and harbour pathogenic mutations

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Abstract

Recent evidence has suggested that protein or protein-like products can be encoded by previously uncharacterized Open Reading Frames (ORFs) that we define as Novel Open Reading Frames (nORFs)[1,2]. These nORFs are present in both coding and non coding regions of the human genome and the novel proteins that they encode have increased the number and complexity of cellular proteome from bacteria to humans. It is a conundrum whether these protein or protein-like products could play any significant functional biological role. But hopes have been raised to target them for anticancer and antimicrobial therapy [3,4]. To infer whether these novel proteins can perform biological functions, we used computational predictions to systematically investigate whether their amino acid sequences can form ordered or disordered structures. Our results indicated that that these novel proteins have significantly higher predicted disorder structure compared to all known proteins, yet we do not find any correlation between the pathogenicity of the mutations and whether they are present in the ordered and disordered regions of these novel proteins. This study reveals that we should investigate these novel proteins more systematically as they may be important to understand complex diseases.

Main text

Over 500 million years of evolution from hydra to humans, the total number of ORFs have been thought to remain the same at around 30,000. With the advent of deep sequencing strategies in both genomics and proteomics fields, we are now discovering nORFs that have remained undiscovered or 'hidden' [1,2,5,6]. These nORFs are pervasive throughout the genome and are observed in both the coding and non coding regions [1,7]. They are variously classified as small ORFs (sORFs) [8,9] which are 1-100 amino acids in length, altORFs [10], which are proteins in alternate frames to known proteins, some are called Denovogenes[11] or Orphan genes [12], some Pseudogenes have been shown to be translated [1,13], and many ncRNAs have been shown to have coding potential [14–18]. These new discoveries pose the question whether our definition of an ORF encoding a protein has been very conservative in the past [19].

While these new discoveries have illuminated that the cellular proteome is much more complex than our current understanding, there is a huge knowledge gap on the putative functions of nORFs. There have been two lines of speculations about it, on one side some have dismissed the novel proteins as mere biological noise [20], and on the other side some have proposed that such nORFs give evolutionary advantage [21,22,23] to an organism. Without a systematic structural investigation of these novel proteins, it is difficult to ascertain which of these two hypotheses might be true.

To investigate these conflicting hypotheses, we first curated a list of all nORFs that have been identified with evidence of translation. We obtained sequences for known and verified human proteins from NextProt (https://www.nextprot.org/) [24], sequences for sORFs from sORF database (http://sorfs.org/database) [8], sequences for altORFs from Roucou's lab [10]

(http://haltorf.roucoulab.com/), sequences of Pseudogenes with evidence of translation from Xu et al [13]. For Denovogenes, we manually curated a list of 42 protein sequences through literature search. For conservative measurements of disorder scores, we discarded protein sequences less than 30 amino acids in length from all these datasets, since these were likely to be enriched for disorder. Non coding RNA sequences were downloaded from RNACentral database (http://rnacentral.org) [25]. While all the other datasets contained translated protein sequences, the downloaded RNA central dataset contained 9,386,637 nucleotide transcript sequences. We did the following to identify potential ORFs from these transcripts. Each sequence was subjected to three-frame translation using the EMBOSS *transeq* program provided as a standalone utility by EMBL-EBI. From the output protein sequences, putative translated ORFs were obtained by identifying all possible subsequences (>30 residues in length) beginning with a Methionine and ending at a STOP codon. This was done using a combination of the EMBOSS *checktrans* program and Matlab scripts to parse the output text files. After removing redundant sequences from the extracted list, we obtained a unique set of 5,185,186 protein sequences, which we used as putative transcripts from the RNAcentral database for disorder prediction. From here on we will call the amino acid sequences encoded by the nORFs as novel proteins.

To investigate the structure forming capabilities of the novel proteins we first plotted their respective length distributions. Although **Figure 1** shows that the lengths of novel proteins are shorter compared to the lengths of known proteins, shorter proteins are known to form elementary structures [26]. We then employed two disorder prediction algorithms, PONDR (*http://www.pondr.com*) and IUPred (*https://iupred2a.elte.hu/*), to assess whether the novel

proteins are predominantly ordered or disordered, which will directly indicate whether they can form structures.

PONDR is an algorithm that uses feedforward neural networks and sequence attributes of amino acid windows (9-21 AAs) to predict disorder. Among PONDR-based algorithms, we used the VSL2 algorithm that was optimized and trained using both short and long sequences. IUPred identifies Intrinsically Disordered Protein Regions (IDPRs, i.e. regions that lack a stable monomeric structure under native conditions) based on a biophysics-based model. Among the three IUPred-based algorithms, we performed separate predictions with IUPred 'long disorder' and IUPred 'short disorder'. For both PONDR and IUPred, the output consisted of average disorder score (in the range 0-1) (**Fig. 2A-C**) for a protein sequence, and the percentage of each sequence that was predicted to be disordered (**Supplementary Fig. 1A-C**). A protein sequence was considered "Disordered" when the predicted average disorder score was greater than 0.5. The computed bootstrap confidence intervals of mean (and median) average disorder scores showed that the nORF datasets (sORFs, altORFs, RNACentral, Pseudogenes and Denovogenes) had higher mean (and median) values of disorder, compared to known proteins in NextProt (**Fig. 2D**). Using Fisher's exact test and Chi-square test, we also concluded that each of the nORF groups (except Denovogenes) was enriched for disordered sequences, in comparison to proteins in NextProt (**Fig. 2E**). All statistical tests were corrected for multiple hypothesis testing, using FDR values computed by the Benjamini Hochberg method.

Although the average disorder score and the percentage disorder scores are higher in nORFs, they are not drastically high to completely negate or rule out the structure forming capability of

novel proteins. Supplementary Fig. 1D shows the final number of amino sequences in each novel protein category used in the above analysis.

Some disordered regions show increased propensity to undergo disorder-to-order transitions upon binding to substrates. We used the Anchor program (*http://anchor.enzim.hu*) [27] to investigate whether the novel proteins show increased propensity to form structures. Matlab-based scripts were written to automate and batch process sequences for both Anchor program and above disorder prediction tools. The scripts were also used to parse the resulting webpages and extract useful summary statistics such as percentage of disorder and prediction score for each sequences. The results of this analysis indicate that novel proteins, except for Denovogenes, show increased disorder-to-order transition anchor scores compared to Next Prot proteins (**Figure 3A**), but we also found a strong positive correlation between average anchor score and average disorder score for most data sets, which is to be expected, since the prediction of binding sites uses biophysical parameters similar to those involved in disorder prediction. (**Figure 3B**) .

Because we have not been able to ascertain whether the novel proteins can indeed form structures or not, we investigated whether they may be biologically regulated by biochemical mechanisms such as Post Translational Modifications (PTM). Previous evidence suggested that novel proteins are indeed biologically regulated [1] and they may be enriched for regulatory sites but the observation was not done at a global scale. Hence to predict possible PTM sites in amino acid sequences from all novel proteins, we used the ModPred stand-alone software [28] . For each sequence, we counted the number of predicted sites for nine PTMs - Phosphorylation,

Acetylation, Methylation, Sulfation, SUMOylation, Ubiquitination, C-linked, O-linked and N-linked glycosylation (**Figure 4**)

To compare if each of the datasets have higher or lower predicted PTM sites than expected at random, we generated 500,000 random protein sequences of 100 amino acids each, from a uniform distribution of 20 amino acid propensities. ModPred was used to predict PTM sites in these random sequences for the same list of nine modifications. The number of predicted PTM sites were normalized to sequence length in all the other datasets. We compared the distribution of predicted PTMs in each of the nORF datasets against the predicted PTM distribution from the dataset of random sequences. Methylation, O-linked glycosylation, and Phosphorylation were found to be significantly enriched in both novel proteins and known proteins, compared to the random dataset ($p < 0.01$, Wilcoxon rank sum test). Overall, for most PTM types, the densities of predicted PTMs was comparable, if not higher in the novel proteins, compared to the NextProt database (**Figure 4**). This indicates that the novel proteins could be subjected to any biochemical regulation just as all known proteins.

To investigate whether the novel protein regions could harbour disease-associated mutations, we mapped mutations from the Catalogue of Somatic Mutations in Cancer (COSMIC) and Human Gene Mutation Database (HGMD) databases to the novel proteins. **Figure 5A-D** shows examples of COSMIC or HGMD mutations mapped to sORFs, Denovogens, and Pseudogenes demonstrating that these regions do indeed harbour mutations. Finally, we investigated whether the pathogenicity scores of these mutations assessed as Combined Annotation Dependent Depletion (CADD) [29] and Functional Analysis through Hidden Markov Models (FATHMM) [30] scores had any correlation with disorder scores at that region of the novel proteins (amino-acid

specific disorder score and average disorder score for a 7-aa window around the mutated residue). This analysis (**Figure 6A-D**) did not reveal any correlation between low pathogenicity and higher disorder scores.

Taken together our results demonstrate that although the novel proteins have increased disorder they can be biochemically regulated by PTMs and more importantly harbour deleterious mutations that is not correlated with whether those regions are ordered or disordered, suggesting that the novel proteins could be involved in biochemical processes in cells that may be dysregulated in diseases. Hence we should investigate the functional role these novel proteins in depth.

Acknowledgements: We would like to thank Asst Prof. Lisa-Tucker Kellogg (Duke-NUS Medical School, Singapore) for kindly supporting NSJ to work on this project

Funding: SP is funded by the Cambridge-DBT lectureship

Author Contributions: NSJ performed most of the analysis, participated in writing the manuscript. NM performed the mutational analysis. KB did the Denovogenes and Pseudogenes mutational analysis. SP designed and supervised the project, analysed the data, and wrote the manuscript; Competing interests: SP is a co founders of NonExomics; and Data and materials availability: Almost all processed data is in the main text or in the supplementary materials.

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Figure 1. Amino acid length distribution of novel proteins encoded by nORFs

Amino acid sequences of proteins from NeXtProt, and potential proteins encoded by sORFs, altORFs, Pseudogenes, Denovogenes along with all possible translated amino acids sequences from RNA central were retrieved as described in the in main text and the distribution of their lengths are plotted. It can be seen that the nORFs on average have shorter sequences, compared to the structured and known proteins in the NeXTProt database.

Figure 2. Average disorder scores of proteins encoded by novel ORFs compared to proteins in NeXtProt.

Figure 2A

Figure 2B

Figure 2C

Figure 2D

	Pondr		IUPred-Long		IUPred-Short	
	Mean	Median	Mean	Median	Mean	Median
NextProt	[0.486,0.489]	[0.452,0.457]	[0.326,0.329]	[0.295,0.300]	[0.293,0.296]	[0.274,0.279]
sORFs	[0.593,0.596]	[0.554,0.560]	[0.341,0.344]	[0.297,0.302]	[0.397,0.399]	[0.380,0.384]
altORFs	[0.626,0.632]	[0.622,0.639]	[0.352,0.359]	[0.311,0.326]	[0.404,0.41]	[0.392,0.402]
RNA Central	[0.549,0.550]	[0.520,0.520]	[0.375,0.375]	[0.378,0.378]	[0.422,0.422]	[0.428,0.428]
Pseudogenes	[0.535,0.601]	[0.44,0.564]	[0.325,0.393]	[0.273,0.361]	[0.346,0.396]	[0.310,0.370]
Denovo genes	[0.457,0.601]	[0.440,0.638]	[0.240,0.377]	[0.175,0.421]	[0.249,0.352]	[0.208,0.396]

Figure 2E

Nextprot vs	p-value - Pondr		p-value - IU L		p-value IU-S	
	Fisher test	Chi-square	Fisher test	Chi-square	Fisher test	Chi-square
sORF	0.000	0.000	0.000	0.000	0.000	0.000
altORFs	0.000	0.000	0.000	0.000	0.000	0.000
RNA Central	0.000	0.000	0.000	0.000	0.000	0.000
Pseudogenes	0.013	0.021	0.062	0.000	0.000	0.000
Denovo genes	0.400	0.658	0.560	0.963	0.897	0.405

Average disorder scores of proteins in NeXtProt compared to average disorder scores of proteins encoded by novel ORFs - sORFs, altORFs, Pseudogene, Denovogenes and all possible translated amino acids sequences from RNA central - predicted by **A.** PONDR, **B**. IUPred Long, and **C.** IUPred short disorder predictors. **D.** 95% Bootstrap confidence Interval of the mean and median of disorder scores predicted by PONDR, IUPred Long and IUPred short for the Next Prot, sORFs, altORFs, Pseudogenes, Denovogenes and all possible translated amino acid sequences from RNA Central. **E.** Statistical significance of enrichment of disordered sequences in sORFs, altORFs, Pseudogenes, Denovogenes, and all possible translated amino acid sequences from RNA central, in comparison to the NeXtProt dataset.

Figure 3. Prediction of potential disorder to order transition upon binding regions of known proteins and proteins encoded by novel ORFs

Figure 3A

Figure 3B

Anchor predictions of disordered binding regions in the NeXTProt database and the novel ORFs. **A.** Anchor score represents the average propensity of an amino acid in a disordered region to be part of a protein-protein binding site. As expected, the novel ORFs have higher mean Anchor scores in comparison to the NeXTProt database. **B.** Observed correlation between average Anchor score and IUPred-Short disorder prediction score for individual datasets.

Figure 4. Prediction of PTMs in disordered regions of known proteins and proteins from novel ORFs

Predicted densities of nine PTM modifications for sORFs, altORFs, and NeXtProt sequences were compared with predicted densities of a pseudo-dataset composed of 500,000 randomly generated amino acid sequences. In each panel, the shaded yellow histogram represents the predicted distribution of number of PTM sites in the random dataset. The curves (red and green, blue) represent the predicted density distributions for sORF, altORF, and NeXtProt respectively. The vertical lines show the mean of each distribution. For many cases (e.g., Methylation), it can be clearly seen that the average number of predicted PTMs is higher in all three datasets compared to the random set (all three lines are to the right of yellow). Shown in insets are bar plots of the same data to show the difference in the distributions of the random data set (yellow bar) vs sORF (red), altORF (green) or NeXtProt (blue).

Figure 5. Mutations from COSMIC and HGMD were mapped to nORFs.

Figure 5A

Figure 5B

Figure 5C

Figure 5D

Mutations from COSMIC and HGMD databases were mapped to both entire nORFs genomic and specifically to novel protein amino acid sequence coordinates and represented as described below. **A.** Noncoding mutations from COSMIC were mapped to all sORFs genomic coordinates and only the top 21 sORFs with the highest number of mutations are represented here. Size of the squares against each sORF and each disease indicates the number of mutations and the color indicates the number of pathogenic mutations in that region. **B**. Mutations from HGMD database were mapped to sORFs and only the top 17 mutations are represented here. **C.** Coding mutations from COSMIC are mapped to all Denovogenes and are represented. **D.** Mutations from HGMD are mapped to pseudogenes that are known to be translated and presented.

Figure 6. Correlation plots of CADD and FATHMM pathogenicity scores with disorder scores of nORFs

Figure 6A

Figure 6B

Figure 6C

Figure 6D

Correlation analysis of CADD or FATHMM pathogenicity scores for mutations in the amino acid sequence of the novel proteins and their corresponding disorder scores predicted with both PONDR and IUPred were performed. The analysis did not reveal any correlations between **A.** FATHMM scores and disorder scores for sORFs, **B.** CADD scores and disorder scores for sORFs, **C.** FATHMM scores and disorder scores for Denovogenes, or **D.** FATHMM scores and disorder scores for Pseudogenes.

Supplementary Figure

Supplementary Figure 1A

Supplementary Figure 1B

Supplementary Figure 1C

Supplementary Fig. 1D

Protein sequences	Overall	Num > 30aa	Pondr	IUPred Long	IUPred Short
NextProt	42024	41952	17449	7589	3363
sORF	190195	92176	52213	25537	29543
altORF	24676	19281	12037	5725	6523
RNACentral	5185186	5185186	2807585	1534132	1778105
Pseudogenes	172	172	87	41	36
Denovo genes	26	26	12	5	1

A-C. Percentage of protein sequence identified to be disordered (amino-acid disorder score > 0.5) in each of the nORF datasets, for three prediction algorithms. Shown in red and black within each distribution are the mean and median respectively. **D.** Table showing the number of protein sequences identified in each nORF dataset, number of sequences used for further analysis (Sequence length > 30) and the number of predicted disordered sequences (average disorder score > 0.5) from PONDR, IUPred-Long and IUPred-short algorithms.