

Title:

Do direct nose-to-brain pathways underlie intranasal oxytocin-induced changes in regional cerebral blood flow in humans?

Running title:

Investigating the validity of the intranasal OT route in humans

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ABSTRACT

Do nose-to-brain pathways mediate the effects of peptides such as oxytocin (OT) on brain physiology when delivered intranasally? We addressed this question by contrasting two methods of intranasal administration (a standard nasal spray, and a nebuliser expected to improve OT deposition in nasal areas putatively involved in direct nose-to-brain transport) to intravenous administration in terms of effects on regional cerebral blood flow during two hours post-dosing. We demonstrate that OT-induced decreases in amygdala perfusion, a key hub of the OT central circuitry, are explained entirely by OT increases in systemic circulation following both intranasal and intravenous OT administration. Yet we also provide robust evidence confirming the validity of the intranasal route to target specific brain regions. Our work has important translational implications and demonstrates the need to carefully consider the method of administration in our efforts to engage specific central oxytocinergic targets for the treatment of neuropsychiatric disorders.

KEY-WORDS: oxytocin; intranasal; intravenous; nose-to-brain transport; arterial spinal labelling

The oxytocin (OT) system has been a promising research area in translational neuroscience over the past decade (1, 2). Robust evidence from studies in preclinical models has demonstrated the importance of the central OT system in the development (3) and regulation of complex social behaviours (4, 5), the modulation of pain processing (6), feeding behaviour (7) and neuroinflammation after brain ischemia (8). Harnessing the central OT system has been identified as a potential strategy for the development of targeted pharmacological interventions to help to improve outcome in several conditions for which efficacious treatments do not currently exist (e.g autism spectrum disorder (9), schizophrenia (10), migraine (11), stroke (8), obesity (12), Prader-Willi (13)).

Human studies almost exclusively target the central OT system by administering synthetic OT using nasal sprays, despite a lack of understanding of the mechanisms underpinning its pharmacodynamic effects. OT is a hydrophilic cyclic nonapeptide and is unable to cross the blood-brain barrier (BBB) in significant amounts (14). When administered orally, OT is degraded in the gut. For these reasons, the intranasal administration of OT has been favoured under the assumption that once in the nasal cavity, OT can reach the brain directly, bypassing the BBB (15). Two main mechanisms have been suggested to underpin this putative direct nose-to-brain transport (16). The first mechanism postulates internalization of the peptide into olfactory or trigeminal neurons innervating the posterior and middle areas of the nasal cavity, followed by axonal transport and central exocytosis. However, this mechanism would be slow (17) and therefore unlikely to be responsible for the central and behavioural effects that we observe within 15-60 minutes (18) of administering intranasal OT in humans. The second mechanism postulates that the peptide reaches the cerebrospinal fluid (CSF) and brain parenchyma via passive diffusion through perineural clefts in the nasal epithelium, which provide a gap in the blood-brain barrier (19). While some animal work is consistent with the existence of

the second mechanism (20), there is a lack of robust evidence to support the existence of nose-to-brain transport in humans (17, 21).

The lack of clarity regarding the mechanisms mediating the effects of intranasal OT in humans and the inconsistent results in existing studies and clinical trials using intranasal sprays to deliver OT (22) have raised questions about the validity of the intranasal route to administer OT to the brain. While very small amounts of intranasally administered OT have been reported to reach the CSF (17), peripheral concentrations in the blood are also concomitantly increased to supraphysiologic levels. The increase in plasma OT levels unavoidably engages OT receptors expressed throughout the body, including the gastrointestinal tract, heart, and reproductive tract (17). These systemic effects may impact indirectly on brain function and behaviour and could underlie, at least partially, the observed effects after intranasal OT. It is also possible that the small amount of synthetic OT that crosses the BBB from systemic circulation (17) may be sufficient to induce functional effects in the brain, either by directly activating receptors in the brain or by stimulating OT autoreceptors on OT-synthesizing hypothalamic neurons to induce the release endogenous OT in a positive feedback loop (23). These mechanisms might explain why OT when administered peripherally (e.g. intravenous infusion) can still impact behaviour (24-27).

Intranasal drug delivery allows for fast absorption into the peripheral circulation of small molecules, avoiding undesirable first-order hepatic and intestinal metabolism (28, 29). However, this route comes with the disadvantage of poor and unreliable control of the amount of the drug absorbed (28, 29). Therefore, to maximize the chance of achieving significant translational advances, we need to confirm whether nose-to-brain pathways can be used to target the central OT system and whether they offer any advantage in relation to alternative methods. Otherwise, trials using intranasal OT may just result in a waste of scarce resources and missed opportunities to gain insight about whether OT presents a valuable drug therapy in humans. Once the validity

of the intranasal route to deliver synthetic OT to the brain is confirmed, a second step would require the optimisation of methods for nose-to-brain delivery of OT.

The absence of a selective radiolabelled OT ligand in humans makes it impossible to directly examine the central penetration and distribution of synthetic OT after intranasal administration. An alternative strategy is to quantify and compare whole brain functional effects after OT administration. We have previously demonstrated the sensitivity of arterial spin labelling (ASL) magnetic resonance imaging (MRI) in quantifying changes in brain's physiology after intranasal OT administration (18), as reflected in changes in regional cerebral blood flow (rCBF) at rest. Changes in rCBF provide a quantitative, non-invasive pharmacodynamic marker of the effects of acute doses of psychoactive drugs (30, 31), with high spatial resolution and excellent temporal reproducibility (32). As a result of neurovascular coupling, changes in rCBF are likely to reflect changes in neuronal activity rather than vascular effects (33), and they capture relevant differential neurotransmitter activity of neurochemical systems (18, 34).

In this study, we used ASL MRI to investigate and compare changes in rCBF over time that follow intranasal and intravenous OT administration. The use of an intravenous comparator can illuminate whether intranasal OT induced changes on brain perfusion in humans are associated with nose-to-brain pathways or result from concomitant increases in systemic OT circulation. Standard nasal sprays are the predominant method of intranasal OT administration in humans, yet they were not designed to maximise deposition in the olfactory and respiratory epithelia that is thought to mediate nose-to-brain transport (35). For this reason, alongside a standard nasal spray, we used a nasal administration method (*PARI SINUS* nebuliser) that combines the production of small size droplets with vibration to maximize deposition in upper

and posterior regions of the nasal cavity where the direct nose-to-brain transport putatively occurs (36).

First, we reasoned that if intranasal administration represents a privileged route for the central delivery of OT, then intranasal-induced changes in rCBF in brain regions typically associated with the effects of OT in the brain (e.g. the amygdala) (37-39) should not be explained by increases in plasmatic OT achieved after OT intravenous infusion. Second, if posterior regions of the nasal cavity are involved in direct nose-to-brain transport, then using a device that can increase deposition in these areas may result in a more robust pattern of changes in rCBF when compared to OT administration with a standard nasal spray.

RESULTS

Global CBF and subjective state ratings

We observed a linear decrease over time in participants' global CBF level and levels of alertness and excitement (Main effect of time-interval); however, there was no significant main effect of treatment or time-interval x treatment interaction (Figs. S1 and S2 and Table S1). A significant decrease in global CBF over time is commonly observed over long sessions in the scanner (probably due to decreases in the level of alertness, blood pressure, heart-rate or a combination of these factors (40)) and supports the inclusion of global CBF as a nuisance variable in all of our analyses. We did not observe any significant correlation between changes in global CBF and ratings of alertness or excitement over time (Fig. S3).

Whole brain flexible factorial analysis: treatment, time-interval and treatment x time-interval effects

We first computed a flexible factorial model to investigate changes in rCBF as a result of the main effects of treatment, time-interval and time-interval x treatment interaction. We did not observe a significant main effect of treatment, however we found a significant main effect of time-interval on rCBF in several clusters across the brain, likely reflecting decreases in alertness and attention. Importantly, we observed a significant treatment x time-interval interaction in three clusters. These clusters extended over a network of regions including: 1) the left superior and middle frontal gyri and the anterior cingulate gyrus; 2) the right occipital gyrus, cerebellum, lingual and fusiform gyri, calcarine cortex, cuneus and inferior temporal gyrus; 3) the left putamen, caudate nucleus, insula, amygdala, parahippocampal gyrus, rectus gyrus and medial orbitofrontal cortex (Fig. 2 and Table 1).

Whole-brain characterization of the changes in rCBF associated with each method of administration for each time interval, using paired T-tests

As our study is the first in man to investigate the pharmacodynamics effects of synthetic oxytocin on resting rCBF over an extended period of time when administered with any of the three methods of administration using a double-blind placebo-controlled crossover design, we followed up the flexible factorial model with an exhaustive series of paired T-tests at each time interval to investigate the direction of potential OT-induced changes in rCBF specifically for each treatment route (compared to placebo). Overall, we observed significant changes in rCBF over two temporal intervals, reflecting early (15-43 mins) and late (75-104 mins) effects of OT, which we describe in detail for each method of administration below. Results are summarized in Fig. 3 and Tables 2, 3 and 4. Given the novel and exploratory nature of these analyses we report the

exact FWE-corrected P-values for significant clusters without further adjustment for the number of paired t-tests using conservative Bonferroni correction, and denote in Tables 2-4 with an asterisk those few clusters with $P_{FWE} > .002$ ($P=0.05 / 24 \text{ tests} = 0.002$) following Bonferroni adjustment.

Standard nasal spray vs placebo. We observed significant decreases in rCBF at 24-32 mins post-dosing in a cluster extending over the left amygdala, left insula, left parahippocampal gyrus and hippocampus, and left temporal pole, and at 87-95 mins post-dosing in two clusters, one including the anterior cingulate and the right superior/medial frontal gyri and another cluster spanning over the brainstem and the right cerebellum. We further observed significant increases in rCBF at 15-23 min post-dosing, in one cluster spanning the left superior/middle frontal gyri, supplementary motor area and the precentral gyrus, at 35-43 mins post-dosing over a similar cluster (but restricted to the left middle/inferior frontal and precentral gyri), and at 87-95 mins post-dosing in two clusters, one spanning the superior/middle temporal gyri, the posterior insula and the postcentral gyrus and another one involving the superior/inferior parietal lobes, the postcentral and precentral gyri and the precuneus (all in the left hemisphere) (Table 2).

Intravenous OT administration vs. saline. We observed decreases in rCBF over the same clusters and time-intervals as for the comparison between standard nasal sprays vs. placebo, but only in 2 time-intervals. Specifically, we observed significant decreases in rCBF at 15-23 min post-dosing in a cluster spanning the amygdala, insula, parahippocampal gyrus and globus pallidum (all left hemisphere), and at 87-95 mins post-dosing in a cluster extending over the anterior cingulate, the superior frontal gyrus and the orbitofrontal cortex bilaterally (Table 3).

PARI SINUS OT administration vs. placebo. The administration of intranasal OT with the *PARI SINUS* nebuliser resulted in a different pattern of changes compared to standard nasal

spray. Specifically, we observed decreases in rCBF at 15-23 min post-dosing in a cluster spanning the left caudate, left putamen and pallidum, and at 75-83 min post-dosing in a cluster extending over the left caudate, putamen, pallidum, thalamus, amygdala, hippocampus, olfactory region and the insula. We also observed increases in rCBF at 24-32 min post-dosing in two clusters, one spanning the right superior/middle/inferior occipital gyri, the calcarine sulcus and the cuneus bilaterally, and the other one the right cerebellum, and at 75-83 min post-dosing in a cluster spanning the postcentral gyrus, the superior/middle/inferior occipital gyri, the superior parietal gyrus, the inferior/middle temporal gyri, the precuneus, the calcarine sulcus and the cuneus, all in the right hemisphere. Accounting for plasma OT AUC had no effect on the changes in rCBF observed following administration with *PARI SINUS* (Table 4).

Investigation of the apparent overlap in rCBF decrease between standard nasal spray and intravenous OT administration. Decreases in rCBF observed after standard nasal spray and intravenous OT administration at 24-32 and 87-95 minutes post-dosing overlap anatomically to a substantial extent (Fig. 4). We thus followed up with a direct comparison of standard nasal spray vs. intravenous OT administration using paired sample T-tests for these time-intervals; we did not observe any significant differences in rCBF between the two administration methods. Individual differences in OT concentration (AUC over 120 min post-dosing) in plasma post-dosing were negatively correlated with OT-induced changes in rCBF in the two spatially overlapping clusters showing significant decreases in rCBF in the comparisons of nasal spray OT versus placebo and intravenous OT versus saline (Fig. 5), but not with any other OT-induced changes, irrespective of method of administration, time-interval or direction of effect (Table S3). Accounting for plasma OT AUC in the paired T-test for the standard nasal spray vs. placebo and the intravenous OT vs.

saline comparisons eliminated all significant decreases in rCBF that were observed for each of these administration methods. However, accounting for plasma OT AUC had no effect on the changes in rCBF uniquely observed in the standard nasal spray versus placebo comparison.

Comparison of pharmacokinetic profiles among treatments.

OT reached peak plasma concentration at the end of dosing when administered intravenously or via nasal spray, and by ~ 15min post-dosing when administered with the nebuliser (Fig. 6a). Intravenous peak plasma OT concentrations (C_{max}) were significantly higher than either intranasal administration method, while C_{max} did not differ between the nasal administration methods (Table S2) ($F_{(1.376, 22.02)} = 92.39$, $p < 0.0001$, $\eta^2_p = 0.815$; post-hoc tests: Spray vs Nebulizer - Mean difference = -0.5554, CI 95% [-6.866; 5.755], adjusted $p = 0.972$; Spray vs Intravenous - Mean difference = 49.56, CI 95% [-62.56; -36.56], adjusted $p < 0.0001$; Nebulizer vs Intravenous - Mean difference = 49.00, CI 95% [-60.89; -37.12], adjusted $p < 0.0001$). As intended, the intravenous OT infusion resulted in significantly higher AUC over the observation interval, while the intranasal methods did not differ in terms of AUC (Fig. 6b) (Table S2) ($F_{(1.1515, 24.24)} = 20.47$, $p < 0.001$, $\eta^2_p = 0.746$; post-hoc tests: Spray vs Nebulizer - Mean difference = 44.44, CI 95% [-8.252; 97.13], adjusted $p = 0.1061$, $d = 0.769$; Spray vs Intravenous - Mean difference = -125.0, CI 95% [-212.5; -37.54], adjusted $p = 0.0053$, $d = 1.303$; Nebulizer vs Intravenous - Mean difference = -169.5, CI 95% [-237.5; -101.4], adjusted $p < 0.0001$, $d = 2.271$). There were no significant differences in the absolute bioavailability of OT absorbed to the plasma between the standard nasal spray and *PARI SINUS* nebuliser (Fig. 6c) (Table S2) ($T_{(15)} = 1.662$, $p = 0.129$, $d = 0.416$).

Heart rate and heart-rate variability: treatment, time-interval and treatment x time-interval effects

There were no significant main effects of treatment or time-interval, and no significant treatment x time-interval effects on heart rate or on any time domain, frequency domain or non-linear measures of heart-rate variability (Table S5).

DISCUSSION

This is the first in man study to investigate the pharmacodynamics of synthetic oxytocin on resting rCBF over an extended period of time when administered intravenously, with a nebuliser or a standard nasal spray. We used arterial spin labelling MRI as a pharmacodynamically sensitive signature and a double-blind placebo-controlled crossover design to achieve two aims. First, we wanted to understand whether intranasal OT-induced changes on brain physiology in humans reflect privileged nose-to-brain delivery or result from concomitant OT increases in peripheral circulation. We reasoned that if intranasal administration represents a privileged route for the central delivery of OT, then intranasally induced changes in rCBF in brain regions typically associated with the effects of OT in the brain would not be explained by concomitant increases in plasmatic OT achieved after OT intravenous administration. Second, we sought to test if a new device for nasal administration of OT, designed to achieve increased deposition in the posterior regions of the nasal cavity putatively involved in direct nose-to-brain transport, could maximize intranasal OT-induced changes in rCBF, resulting in a more robust pattern of changes in brain's physiology. Our study yielded three key findings, which we discuss below in turn.

Our first key finding was the observation of OT-induced decreases in rCBF in the left amygdala and the anterior cingulate cortex with both the intravenous and standard nasal spray administration methods at overlapping temporal intervals. These decreases in rCBF in both the left amygdala and anterior cingulate cortex correlated with nasal spray or intravenous-induced increases in OT plasma concentrations, and became non-significant when these concomitant changes in OT plasma concentration were added as a covariate in the model. At the same time, concomitant change in plasma OT concentration did not correlate with or account for any of the remaining changes in rCBF, when OT was administered intranasally either with a standard nasal spray or the nebuliser.

The suppression of amygdala's activity constitutes one of the most robust findings in animal studies and intranasal OT studies in men (37, 41-43). For instance, the dampening of the amygdala BOLD response to negative affective stimuli after intranasal OT administration has been consistently shown in several studies using task-based fMRI (37, 44, 45). Similarly, human BOLD fMRI studies have implicated intranasal OT-induced decreases in BOLD in the anterior cingulate cortex in the modulation of social cognition (46), emotion (47) or fear consolidation (48) effects. These suppressive effects on BOLD match our observation of decreases in rCBF in these areas at rest – which we suggest is likely to reflect decreases in local metabolic demands associated with decreasing neural activity at rest. We provide first evidence that the intravenous infusion of OT echoes the effects of a standard spray administration on brain's physiology within key neural circuits at rest. These effects are consistent with previous observations of improved repetitive behaviours and social cognition in ASD patients after intravenous administration of OT (24-27) and provide a possible mechanism by which these therapeutical effects on behaviour may arise. Therefore, our findings challenge the current assumption that key effects of intranasal OT on brain function and behaviour are entirely derived by direct nose-to-brain transport.

With respect to changes in rCBF induced by the intravenous administration of OT, there may be three possible mediating mechanisms. First, it is possible that the direct peripheral effects of OT on OT receptors expressed in vegetative territories, such as the heart, may be an indirect source of changes in areas of the interoceptive/allostasis network in the human brain, where the dorsal amygdala and the pregenual anterior cingulate cortex assume the role of visceromotor hubs (49). However, as we did not observe any effects of OT (irrespective of administration method) on heart rate or heart rate variability, possible OT-induced changes in cardiac physiology cannot explain the decreases in rCBF we observed in this study. Second, it is possible that the small amounts of OT that cross the BBB (17) (or a metabolite of OT that remains functional) is sufficient to induce changes in rCBF in brain regions of high density of the OT receptor, such as the amygdala, but not in other regions where the lower availability of the receptor would require higher local concentrations of the ligand to produce measurable effects (50). This hypothesis is in line with two recent studies. The first study reported that the intravenous infusion of labelled synthetic OT increased synthetic OT levels in the CSF in primates (51). The second study showed that circulating OT can be transported into the brain by a receptor for advanced glycation end-products (RAGE) on brain capillary endothelial cells and that this receptor mediated-transport is critical for some behavioural actions of OT such as parenting and bonding (52). Third, related to the above, it is possible that the small amount of synthetic OT crossing the BBB is sufficient to engage OT autoreceptors on the OT-synthesising neurons in the hypothalamus, inducing the release of endogenous OT in the brain in a positive feedback loop mechanism (53). However, convincing evidence supporting this hypothesis remains elusive. In fact, a recent study in primates that administered labelled OT and examined whether concomitant increases in the concentration of OT in plasma and CSF reflected synthetic (labelled) or endogenous OT reported that both plasma and CSF increases were driven by

increases in the concentration of the synthetic labelled OT (51).

Our second key finding was the observation of increases in rCBF following intranasal administration (using either a standard nasal spray or the nebulizer) which could not be explained by concomitant increases in plasma OT. Indeed, there were no significant increases in rCBF (even at a lower threshold) when OT was administered intravenously. This finding provides up-to-date pharmacodynamic evidence consistent with the contribution of direct nose-to-brain pathways for these effects of intranasal OT in humans. Intranasal OT-induced increases in rCBF at rest are likely to reflect OT-induced increases in local energetic demand resulting from enhanced neural activity. These are compatible with at least some of the reported enhancing effects of intranasal OT on facial processing (54), empathy and mentalizing (55), salience attribution (56) and their neural underpinnings.

Our third key finding was that while the application of the same nominal dose of intranasal OT (40IU) with the standard nasal spray and the nebuliser resulted in identical pharmacokinetic profiles, the patterns of OT-induced changes in rCBF were markedly different across the two method of intranasal administration. Given the similarity in pharmacokinetic profiles, we hypothesize that the difference in the patterns of OT-induced rCBF changes achieved with each method can only be explained by differences in the deposition of OT in the olfactory and respiratory regions and the paranasal cavities which receive innervation from the olfactory and trigeminal nerves and may thus constitute important points of entry to the brain. It is possible that, as expected, the nebulizer achieved higher OT deposition in these areas (35) and hence resulted in increased amounts of OT reaching the brain (compared to the standard nasal spray). Consistent with this hypothesis, we found that when administered with the nebuliser, OT robustly decreased rCBF in the basal ganglia, an area highly enriched in the expression of OT receptors (OTR); moreover, OT induced increases in rCBF in brain regions more posterior and distant from

the point of entry (compared to the standard nasal spray), such as the visual cortices. These areas, although expressing relatively low levels of the OTR, are enriched in the expression of the vasopressin receptor 1 (V1aR) mRNA (Supplementary Fig. 4); OT has considerable affinity for the V1aR in higher concentrations (57). The higher central concentrations of OT achieved with the nebulizer may have allowed the peptide to diffuse farther and hence activate the V1aR that would not have been targeted by lower local concentrations of the peptide.

The fact that the nebuliser resulted in a different pattern of rCBF changes, with null or minimal overlap with the changes observed after the standard spray, instead of simply observing changes in the magnitude of the effects within the same areas, is surprising to some extent. Our initial hypothesis was that the nebuliser would result in a more robust pattern of changes that would be comparable to the spray but of higher magnitude and eventually include areas that could not be targeted neither by the spray nor by the intravenous administrations. However, we should acknowledge that this prediction would have mostly been valid if the pharmacodynamics of the rCBF response to OT followed a linear model – which does not seem to be the case at least for some brain areas. The few studies that have inspected the dose-response effects of intranasal OT on the BOLD response in the amygdala support an inverted-U shape curve of response by showing that deviating from an “optimal” dose may in fact result in lower or null effects (38, 58). We believe the complexity of the central OT signalling machinery (59) should be considered to interpret these findings. The OTR has been described to recruit different intracellular G protein (G_s or G_i) pathways, depending on ligand, receptor and G protein type distribution and abundance (50, 59). G_s and G_i activation typically result in opposite effects in terms of cellular function (60), meaning that in areas of high density of G_i proteins higher amounts of OT may in fact result on inhibition of neuronal activity or null effects (50, 59). This complexity might explain, for instance, why we did not observe changes in rCBF with the nebuliser in regions where the

standard nasal spray produced effects, at our predefined statistical thresholds for this analysis. Until a ligand allowing for direct quantification of *in vivo* penetration of OT in the brain after intranasal administration might be produced, a dose-response study using the nebuliser may allow us to gain further indirect insights about whether using the nebuliser may confer certain advantages regarding targeting the central OT system.

While our findings are consistent with the idea that direct nose-to-brain pathways could explain some of the changes in rCBF induced by intranasal OT, our study cannot provide evidence regarding the precise mechanisms underlying these effects. We believe that most evidence to date concurs on the idea that OT, when administered intranasally, may diffuse from the olfactory and respiratory epithelia in the middle and upper posterior regions of the nasal cavity along ensheathed channels surrounding the olfactory and trigeminal nerve fibre pathways to the CSF and/or the central brain compartment (61). Research in rodents and monkeys has shown that molecules administered intranasally can be transported to the olfactory bulb within a time-frame of 45–90 min (62, 63), and possibly much faster (64-66). A recent comparison of intranasal and intravenous administration of a new OT receptor tracer in mice supported this hypothesis by showing uptake of the intranasally administered tracer to the olfactory bulb, while increases in this area after the intravenous administration of the tracer were almost negligible (67). The increases of the concentration of the tracer in the olfactory bulb following its intranasal administration could be observed as soon as 30 minutes post-dosing, which fits the time-frame of the effects we report in our study. While our findings cannot illuminate the precise pathway through which intranasal OT may reach the brain, the fact that we observe distinct patterns of changes in rCBF with two different intranasal methods suggests that the changes we see in the brain are unlikely to be explained by local effects of intranasal OT in the nasal cavity. Expression of the OT receptor has been reported in human taste buds (68) and in the rat olfactory epithelium

(69). Direct actions of OT on olfactory and trigeminal nerve bundles could parsimoniously explain the discrepancies between the changes observed after intranasal and intravenous administration of OT. If direct modulation of activity in these nerve bundles would account for all the changes we report for our intranasal administration methods, then one would expect that the changes observed in rCBF after intranasal administration of synthetic OT would be mostly restricted to the olfactory/trigeminal pathways and respective connected areas, which is not entirely supported by our data (for example, we have little evidence to believe that modulation of activity in olfactory or trigeminal nerves would result in changes in rCBF in the frontal gyrus). In fact, the effects we observed after synthetic OT map to areas where expression of receptors for OT (either the OTR or the AVPR1A) seem to be present, as per our current understanding of the distribution of these receptors in the human brain (70, 71). While we cannot exclude that some of the effects we observed after intranasal OT may relate to direct modulation of activity in nerves bundles, this mechanism is unlikely to fully account for all the effects we report herein.

From a translational perspective, our findings emphasize the inadequacy of a one-fits-all approach in the administration of synthetic OT to target the central OT system in humans for the treatment of brain's disorders. Our findings indicate that some specificity may be achieved depending on the route used to deliver OT. Given that enhancement of brain's metabolism in areas such as the frontal gyrus, insula or occipital cortices may be restricted to the intranasal route, clinical applications aiming to target these circuits should thus prefer this route. An example could be, for instance, autism spectrum disorder, where the insula has been consistently identified as a locus of hypoactivity (72). However, we should not completely discard the potential utility of the peripheral route - specially if the desired effect is to specifically decrease amygdala and anterior cingulate's metabolic activity in a targeted way, with minimal effects on other brain areas. This may be the case, for instance, of mood and anxiety disorders , where heightened

amygdala/fear systems response has been consistently described (73, 74). Systems of controlled sustained drug release for the peripheral circulation (i.e. transdermal controlled release (75)) already in place may provide an excellent opportunity to explore the clinical value of this route during chronic administrations in patients.

Our study faces certain limitations that we would like to acknowledge. First, the amount of OT administered intravenously was not chosen to mimic exactly the plasmatic concentrations achieved after intranasal administration, which would require the intravenous administration of a dose about 5 times lower (2IU) (58). Instead, we adopted a proof-of-concept approach, aiming to achieve consistently higher plasmatic concentrations of OT during the full period of scanning, eliminating the hypothesis that negative findings could be ascribed to insufficient dosing. Our approach increases our confidence in our interpretation that the unique changes in rCBF observed following intranasal administration cannot be explained by concomitant increases in plasmatic OT concentrations. Future studies should include an intravenous comparator that achieves pharmacokinetic profiles that are similar to those achieved with the intranasal methods. Second, our findings cannot be readily extrapolated to women, given the known sexual dimorphism of the OT system in the brain and behavioural responses to OT in humans (76-78). Third, although we tested for the potential effects of synthetic OT on cardiac physiology as a confounder, we acknowledge that potential effects on other peripheral systems need also be considered in the future, e.g. the reproductive or gastrointestinal tracts. Future studies need to compare the intranasal and intravenous administration of OT with the parallel administration of a specific non-brain penetrant OT receptor antagonist to clarify the potential contribution of OT's signalling in the periphery to its effects on brain and behaviour. Fourth, we relied on a biomarker of brain physiology (rCBF) to probe the effects of different methods of administration of OT on brain function. This method exploits the well-established phenomenon of neuro-vascular coupling as a

means of obtaining an indirect but sensitive signature of regional neuronal activity (79, 80), but it is theoretically susceptible to potential confounding vascular effects (81). While we cannot exclude the presence of such effects, we do not believe they are an important confounder of our results for two reasons: first, if the effects we observed after synthetic oxytocin solely reflected drug effects on vasculature, then we should expect to see the same effects when administering OT intranasally and intravenously as both routes of administration substantially increase the concentration of the peptide in the systemic circulation to supraphysiologic levels - this is not what we found; second, vascular effects are likely to be reflected on changes in global brain perfusion, but our results did not show any significant effects of any treatment on global CBF – in fact, we conservatively included global perfusion values as a nuisance variable in all of our analyses, therefore accounting for unspecific global vascular effects on CBF. The idea that the reported effects of OT on rCBF do not reflect unspecific vascular effects on global perfusion is further supported by preclinical data showing that the modulation of rCBV by intranasal OT in rodents is accompanied by changes in hippocampal local field potential recordings (82). This finding further supports the neuronal origin of the changes in rCBV observed after synthetic OT administration. Finally, the univariate analyses we present in this paper should not be used to define the temporal dynamics of the effects of synthetic OT on rCBF (as has been previously explored using pattern recognition analyses)(18). Univariate analyses allow us to localise rCBF effects in contrast to pattern recognition analyses where each voxel makes a weighted contribution to classification.

In conclusion, we provide the first robust physiological evidence supporting the existence of direct nose-to-brain pathways in humans, while also demonstrating that some of the key effects of synthetic OT in the human brain, when delivered by standard nasal sprays, can be explained by concomitant increases in peripheral OT levels post-dosing. Our results emphasize

the inadequacy of a one-fits-all approach in the administration of synthetic OT to modulate brain function for the treatment of psychiatric or neurological conditions in humans, while highlighting the importance of optimizing the delivery of peptides to the brain through nose-to-brain pathways.

MATERIALS AND METHODS

Participants

We recruited 17 healthy male adult volunteers (mean age 24.5, SD = 5, range 19-34 years). One participant did not complete one of the four visits and for this reason was excluded from all analyses. We screened participants for psychiatric conditions using the Symptom Checklist-90-Revised (83) and the Beck Depression Inventory-II (84) questionnaires. Participants were not taking any prescribed drugs, did not have a history of drug abuse and tested negative on a urine panel screening test for recreational drugs, consumed <28 units of alcohol per week and <5 cigarettes per day. We instructed participants to abstain from alcohol and heavy exercise for 24 hours and from any beverage or food for 2 hours before scanning. Participants gave written informed consent. King's College London Research Ethics Committee (PNM/13/14-163) approved the study. We determined sample size based on our previous validation study demonstrating that N=16 per group was sufficient to quantify standard nasal spray OT-induced changes in rCBF in a between-subjects design (18, 85).

Study design

We employed a double-blind, placebo-controlled, triple-dummy, crossover design. Participants

visited our centre for 4 experimental sessions spaced 8.90 days apart on average (SD = 5.65, range: 5-28 days). In each session, participants received treatment via all three administration routes, in one of two fixed sequences: either nebuliser/intravenous infusion/standard nasal spray, or standard nasal spray/intravenous infusion/nebuliser, according to the treatment administration scheme presented in Fig. 1. In 3 out of 4 sessions only one route of administration contained the active drug; in the fourth session, all routes delivered placebo or saline. Participants were randomly allocated to a treatment order (i.e. a specific plan regarding which route delivered the active drug in each experimental session) that was determined using a Latin square design. Unbeknown to the participants, the first treatment administration method in each session always contained placebo (see Administration 1 in Fig. 1), while intranasal (spray or nebuliser) OT was only delivered with the third treatment administration. This protocol maintained double-blinding while avoiding the potential washing-out of intranasally deposited OT (as might be the case if OT had been administered at the first treatment administration point and placebo at the third administration point).

Intranasal OT administration

For the intranasal administrations, participants self-administered a nominal dose of 40 IU OT (Syntocinon; 40IU/ml; Novartis, Basel, Switzerland), one of the highest clinically applicable doses (86). We have shown that 40IU delivered with a standard nasal spray induce robust rCBF changes in the human brain in a between-subjects, single-blind design study (18). For the intranasal administration, we used specially manufactured placebo that contained the same excipients as Syntocinon except for oxytocin.

Standard nasal spray administration. Participants self-administered 10 puffs, each containing 0.1ml Syntocinon (4IU) or placebo, one puff every 30s, alternating between nostrils (hence 40IU

OT in total). The aerosol droplet size of three Syntocinon spray bottles was assessed by laser diffraction (Malvern Spraytec, Malvern Panalytical, Worcestershire, UK). The mass median diameter (MMD) of the aerosol plume was $37 \pm 2.5 \mu\text{m}$.

***PARI SINUS* nebuliser.** Participants self-administered 40IU OT (Syntocinon) or placebo, by operating the SINUS nebulizer for 3 minutes in each nostril (6 min in total), according to instructions. The correct application of the device was confirmed by determining gravimetrically the administered volume. Participants were instructed to breathe using only their mouth and to keep a constant breath rate with their soft palate closed, to minimize delivery to the lungs. The *PARI SINUS* (PARI GmbH, Starnberg, Germany) is designed to deliver aerosolised drugs to the sinus cavities by ventilating the sinuses via pressure fluctuations. The SINUS nebuliser produces an aerosol with $3 \mu\text{m}$ MMD which is superimposed with a 44 Hz pulsation frequency. Hence, droplet diameter is roughly one tenth of a nasal spray and its mass is only a thousandth. The efficacy of this system was first shown in a scintigraphy study by (87). Since the entrance of the sinuses is located near the olfactory region, an improved delivery to the olfactory region was expected compared to nasal sprays. Other studies (35) have shown up to 9.0% ($\pm 1.9\%$) of the total administered dose to be delivered to the olfactory region, 15.7 ($\pm 2.4\%$) to the upper nose.

Intravenous OT administration

For the intravenous administration, we delivered 10 IU OT (Syntocinon injection formulation, 10IU/ml, Alliance, UK) or saline via slow infusion over 10 minutes (1IU/min). A 50-ml syringe was loaded with either 32 ml of 0.9% sodium chloride (placebo) or 30 ml of 0.9% sodium chloride with 2 ml of Syntocinon (10IU/ml). A Graseby pump was used to administer 16 ml of the compound (hence 10 IU of OT in total) over 10 minutes, at a rate of 96 ml/h. The ECG was monitored during the intravenous administration interval. We selected the intravenous dose and

rate of administration to assure high plasmatic concentrations of OT throughout the observation period while restricting cardiovascular effects to tolerable and safe limits. A rate of 1IU per minute is typically used in caesarean sections and is considered to have minimised side effects (88, 89).

Procedure

Each experimental session began with the treatment administration protocol that lasted about 22 minutes in total (Fig. 1). After drug administration, participants were guided to the MRI scanner, where eight pulsed continuous arterial spin labelling scans (each lasting approx. eight minutes) were acquired, spanning 15-104 minutes post-dosing, as detailed in Fig. 1. Participants were instructed to lie still and maintain their gaze on a centrally placed fixation cross during scanning. We assessed participants' levels of alertness (anchors: alert-drowsy) and excitement (anchors: excited-calm) using visual analogue scales (0-100) at 3 different time-points during the scanning session (the first one immediately before the first scan – around 15 mins post-dosing, the second one immediately after the fourth scan – around 55 mins post-dosing and the last one immediately before the seventh scan – around 92 mins post-dosing) to evaluate subjective drug effects across time. An 8-minute resting state BOLD fMRI scan was obtained at about 60 min post-dosing (data not presented here).

Blood sampling and plasmatic OT quantification

We collected plasma samples at baseline and at five time-points post-dosing (as detailed in Fig. 1) to measure changes in the concentration of OT. Plasmatic OT was assayed by radioimmunoassay (RIAgnosis, Munich, Germany) after extraction, currently the gold-standard technique for OT quantifications in peripheral fluids (90). Details of the protocol for sample processing and radioimmunoassay quantification of plasmatic OT can be found elsewhere (91).

MRI data acquisition

We used a 3D pseudo-continuous Arterial Spin Labelling (3D-pCASL) sequence to measure changes in regional Cerebral Blood Flow (rCBF) over 15-120 min post-dosing. Labelling of arterial blood was achieved with a 1525ms train of Hanning shaped RF pulses in the presence of a net magnetic field gradient along the flow direction (the z-axis of the magnet). After a post-labelling delay of 2025ms, a whole brain volume was read using a 3D inter-leaved “stack-of-spirals” Fast Spin Echo readout (92), consisting of 8 interleaved spiral arms in the in-plane direction, with 512 points per spiral interleave. TE was 11.088 ms and TR was 5135 ms. 56 slice-partitions of 3mm thickness were defined in the 3D readout. The in-plane FOV was 240×240 mm. The spiral sampling of k-space was re-gridded to a rectangular matrix with an approximate in-plane resolution of 3.6mm. The sequence acquired 5 control-label pairs. Individual CBF maps were computed for each of the perfusion weighted difference images derived from every control-label (C-L) pair, by scaling the difference images against a proton density image acquired at the end of the sequence, using identical readout parameters. This computation was done according to the formula suggested in the recent ASL consensus article (93). The sequence uses four background suppression pulses to minimise static tissue signal at the time of image acquisition. We performed eight of these 3D-pCASL sequence acquisitions, the acquisition time of each sequence was 8:20 min. A 3D high-spatial-resolution, Magnetisation Prepared Rapid Acquisition (3D MPRAGE) T1-weighted scan was acquired. Field of view was 270mm, TR/TE/TI = 7.328/3.024/400 ms. The final resolution of the T1-weighted image was 1.1 x 1.1 x 1.2 mm.

MRI data preprocessing

A multi-step approach was performed for the spatial normalization of the CBF maps computed for each C-L pair to the space of the Montreal Neurological Institute (MNI): (1) co-registration of the proton density image from each sequence to the participant's T1-image after resetting the origin of both images to the anterior commissure. The transformation matrix of this co-registration step was then applied to the CBF map from each C-L pair, to transform the CBF map to the space of the T1-image; (2) unified segmentation of the T1 image; (3) elimination of extra-cerebral signal from the CBF map, by multiplication of the "brain only" binary mask obtained in step [2], with each co-registered CBF map; (4) normalization of the subject's T1 image and the skull-stripped CBF maps to the MNI152 space using the normalisation parameters obtained in step [2]. Finally, we spatially smoothed each normalized CBF map using an 8-mm Gaussian smoothing kernel. All of these steps were implemented using the ASAP (Automatic Software for ASL processing) toolbox (version 2.0) (94). The resulting smoothed CBF maps from each C-L pair were then averaged, using the `fslmaths` command implemented in the FMRIB Software Library (FSL) software applications (<http://www.fmrib.ox.ac.uk/fsl>), to obtain a single averaged CBF map for each of the time-intervals depicted in Fig. 1.

Physiological data acquisition and processing

Heart rate was continuously monitored during the scanning period using MRI-compatible finger pulse oximetry while the participant rested in supine position, breathing spontaneously in the scanner. The data were recorded digitally as physiologic waveforms at a sampling rate of 50 Hz. Heart beats were firstly automatically detected using an in-house script and then visually inspected and manually cleaned for misidentified beats. Inter-beat interval values were then calculated. The resulting cleaned data were then transferred to *Kubios HRV analysis software* (MATLAB, version 2 beta, Kuopio, Finland) and a set of time domain (heart-rate (HR) and the

root mean square of the successive differences (RMSSD), frequency domain (low (LF) and high (HF) frequencies spectral powers and high/low frequency spectral power ratio (HF/LF)) and non-linear (Approximate entropy (ApEn), the SD1 and SD2 lines from the Poincare Plot and the detrended fluctuation scaling exponents $DFA\alpha1$ and $DFA\alpha2$) analysis measures were calculated. A detailed description of the analysis methods used to calculate these measures have been described elsewhere (95, 96). We decided to examine a wide-range of different heart variability measures because previous studies have diverged in the metrics where they found effects of OT on heart rate variability (95). For instance, there is currently debate whether time and frequency analysis measures can be sufficiently sensitive to capture important (nonlinear) changes in heart rate time series, including those changes associated with OT administration (96-98). In addition to the manual cleaning of the data, we also employed a threshold-based method of artefact correction, as provided by *Kubios*, where artefacts and ectopic beats were simply corrected by comparing every RR interval value against a local average interval. The threshold value used was 0.35 seconds. Following current recommendations for heart rate data processing and analysis, if more than 5% of the beats required correction, then we decided to exclude these periods of observation (99). All of these metrics were calculated based on the data recorded for the whole duration of each scan (8 min). In some rare cases where artefacts could not be corrected, we only included the data if at least 5 min of acquisition free of artefacts could be analysed. We based our decision on the fact that at least 5 min of observation are required for pulse plethysmography to reflect heart rate variability as assessed by electrocardiography (100). The percentages of the total amount of available data used for this analysis after data quality control can be found in Supplementary Table S4.

Statistical analyses

Global CBF Measures

We extracted mean global CBF values within an explicit binary mask for grey-matter (derived from a standard T1-based probabilistic map of grey matter distribution by thresholding all voxels with a probability $>.20$) using the `fslmeants` command implemented in the FSL software suite. We tested for the main effects of treatment and time-interval and for the interaction between both factors on global CBF signal in a repeated measures analysis of variance Treatment and Time as factors, implemented in SPSS 24 ([http:// www-01.ibm.com/software/uk/analytics/spss/](http://www-01.ibm.com/software/uk/analytics/spss/)), using the Greenhouse-Geisser correction against violations of sphericity.

Subjective ratings

For the two subjective ratings of alertness and excitement collected at the three time points post-dosing, we initially tested for the main effects of treatment and time interval and for the interaction between both factors, as previously described for global CBF. Second, we investigated the association between changes in global CBF signal and self-ratings of alertness and excitement over time, using within-group pooled correlation coefficients. For equal variances of the correlated variables in the four subgroups, pooled within-group correlation coefficients represent a weighted mean of the within-group correlation coefficients, weighted by the number of observations in each subgroup (101). They correspond to the result of statistically eliminating subgroup differences from the total group correlation coefficient. Since we only collected ratings at three time points, for this analysis we selected the global values of the scans that were closer in time to the moment of the ratings acquisition. We firstly inspected the equality of the covariance matrixes for each rating scale across the four treatment groups to decide whether to pool the four

groups or not, using the *mconvert* command at SPSS. We then calculated the association between each rating scale and global CBF for each participant and averaged the covariance matrices to estimate the pooled within-group Pearson correlation coefficients. Results are reported at a level of significance $\alpha = 0.05$.

Whole-Brain Univariate Analyses

We firstly implemented an analysis of covariance design, controlling for global effects on CBF, using a flexible factorial model in SPM12 software (<http://www.fil.ion.ucl.ac.uk/spm/software/spm12/>) where we specified the factors Subjects, Treatment, and Time interval. We used an F test to investigate the main effect of treatment, i.e. identify brain regions where any treatment induced persistent changes in rCBF (regardless of direction) across time and the main effect of time interval, i.e. identify brain regions where any time-interval induced changes in rCBF (regardless of direction), despite treatment. We also used an F test to investigate the interaction of Treatment \times Time-interval i.e. identify for brain regions showing specific treatment-induced changes in rCBF as a function of time interval, regardless of direction.

Our study is novel in multiple ways: it is the first study to investigate the pharmacodynamics effects of synthetic oxytocin on resting brain physiology over an extended period of time when administered with any of the three methods of administration we used in a double-blind placebo-controlled crossover design. It is also the first in man study regarding the effects of OT administered intravenously or with a nebuliser on rCBF. Since our study maps uncharted territory, we followed up the flexible factorial model with an exhaustive series of paired T-tests at

each time interval to investigate the direction of potential OT-induced changes in rCBF specifically for each treatment route (compared to placebo).

We conducted whole-brain cluster-level inference for all analyses, reporting clusters significant at $\alpha = 0.05$ using familywise error (FWE) correction and a cluster-forming threshold of $P = 0.005$ (uncorrected). Our statistical thresholds were determined a priori based on our own previous work investigating the effects of intranasal spray OT on rCBF in humans (18) and are standardly applied in pharmacological ASL studies measuring rCBF (102-107). For the paired T-tests, in recognition of the increased risk of false positives given the large number of paired t-tests ($3 \times 8 = 24$), we mark clusters that do not survive correction for multiple testing following adjustment of the P value using conservative Bonferroni correction for the 24 paired-T tests performed with an asterisk (*) ($P_{\text{adjusted}}=0.05/24=0.002$) (see Tables 2-4). Given the well-known decrease in global CBF across time (and in the absence of a treatment x time interval interaction on global CBF values – see Results) (18), we included global CBF values as a nuisance covariate in our general linear model to enhance sensitivity to detect OT-induced changes in regional CBF/neuronal activation.

Pharmacokinetic analysis

From the plasmatic concentrations of OT at several time-points after dosing we calculated the area under the curve (AUC) using the trapezoidal rule for each subject and session. AUC provides a single metric that reflects variations in plasma levels of OT concentration following OT/placebo administration for each session and each participant. We also determined the absolute bioavailability of OT in systemic circulation for each of the intranasal methods of administration, calculated as the dose-corrected AUC of each intranasal administration divided by the dose-corrected AUC of the intravenous administration. Mean AUCs were compared

between the 3 methods of administration with a repeated measures one-way analysis of variance, using the Greenhouse-Geisser correction against violations of sphericity. Post-hoc comparisons between methods were implemented using Tukey's correction for multiple comparisons. Mean absolute bioavailabilities were compared between the standard nasal spray and the *PARI SINUS* nebulizer using a two-tailed paired T-test.

Association between OT-induced changes in rCBF and plasma OT concentration

To investigate if concomitant increases in peripheral OT were related to treatment-induced changes in rCBF, we extracted data from each significant rCBF cluster (adjusting for each treatment comparison contrast) in the paired sample T-tests and calculated Spearman correlation coefficients between these contrasts estimates and the AUCs reflecting individual differences in treatment-induced OT plasma concentrations for the corresponding method of administration. In this specific case, we employed the Spearman correlation coefficient because the number of observations used to estimate the correlation is small, which does not allow for an accurate verification of all implicit parametric analysis assumptions.

OT effects on cardiac physiology

Previous human studies have shown the ability of OT to affect cardiac physiology. Specifically, these studies have suggested that intranasal OT increases HRV at rest (108). HRV is an important index for the heart-brain interaction (109). Changes in HRV are accompanied by changes in the activity of several areas of the brain, including the amygdala (one of the areas of the brain most commonly implicated in OT effects on brain function and behaviour) (110, 111). Thus, OT-induced changes in HRV, if existent, could account for, at least, some of the OT-induced changes in rCBF we identify herein. We compared mean HR, RMSSD, HF, LF, HF/LF ratio, ApEN, SD1,

SD2, DFA α 1 and DFA α 2 between methods of administration to examine the extent to which OT administration induced changes in HR or heart rate variability, by using a repeated measures two-way analysis of variance. We used treatment and time interval as factors. We determined main effects of time interval and treatment, as well as their interaction, and used the Greenhouse-Geisser correction against violations of sphericity. We contained the family-wise error (FWE) rate at $\alpha=0.05$ using the Benjamini-Hochberg procedure, which is a more powerful version of the Bonferroni adjustment that allows non-independence between statistical tests (112). Original p values (two-tailed) are reported alongside with values obtained after accounting for FWE.

All the analyses were conducted with the researcher unblinded regarding treatment condition. Since we used a priori and commonly accepted statistical thresholds and report all observed results at these thresholds – the risk of bias in our analyses is therefore minimal, if not null.

LIST OF SUPPLEMENTARY MATERIALS:

Fig. S1 – Global CBF measures across time.

Fig. S2 – Subjective ratings of alertness (A) and excitement (B) across time.

Fig. S3 – Correlation between CBF measures and subjective ratings of alertness and excitement across time-points.

Fig. S4 – Spatial high-resolution maps of the human whole- brain distribution of the OTR (A) and V1aR (B) mRNA(113).

Table S1 - Effects of treatment, time-interval and treatment x time-interval on global CBF and

subjective ratings.

Table S2 – Pharmacokinetics analysis.

Table S3 – Spearman correlations between drug-induced changes in rCBF and variations of the concentration of OT in the plasma post-dosing (AUC) for all clusters identified as significant in the comparisons drug vs placebo for the three treatment methods of administration

Table S4 – Percentage of observations satisfying our quality control criteria for the pulse plethysmography analysis.

Table S5 – OT effects on heart rate or heart rate variability during the period of observation;

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FIGURE LEGENDS

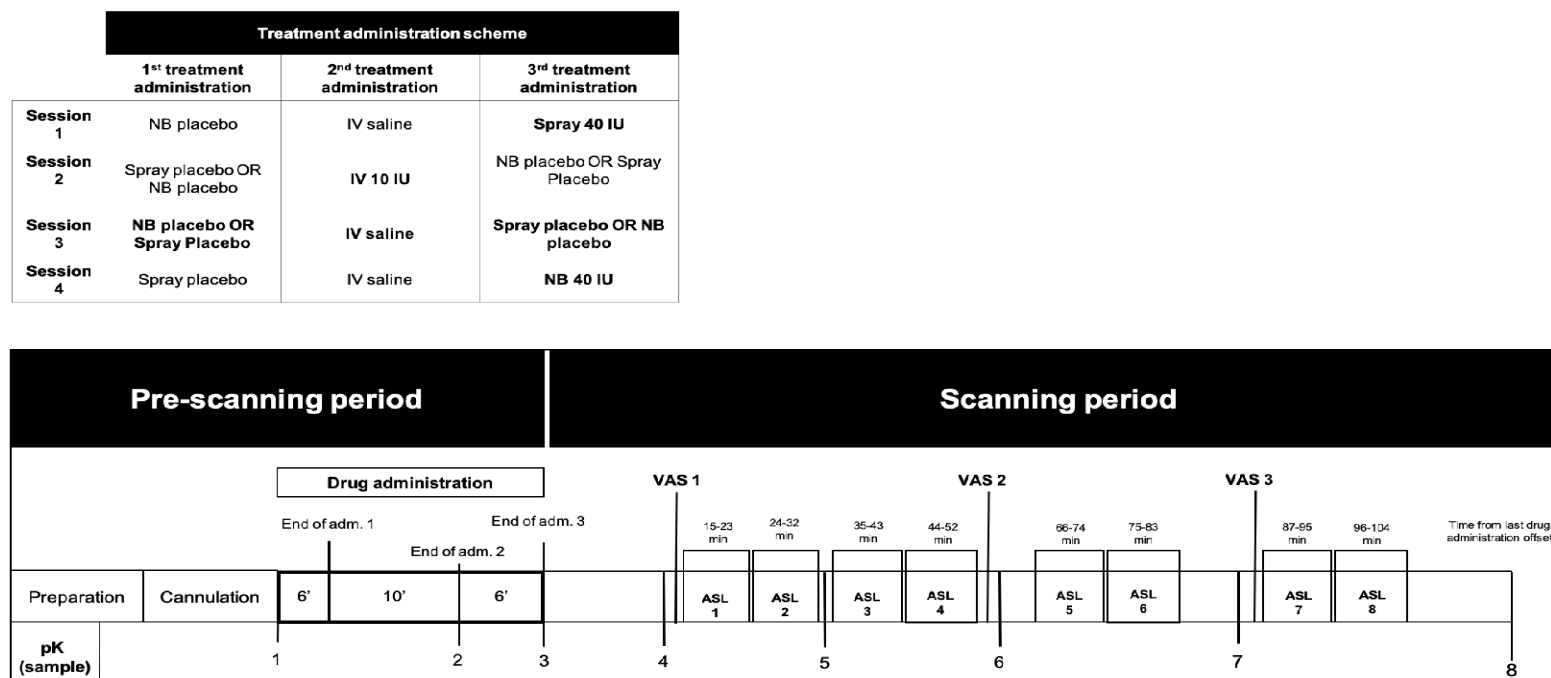


Fig. 1 – Study design, drug administration and blood sampling procedures (NB – Nebulizer; IV – Intravenous; PLC – Placebo; pK – Pharmacokinetics).

Upper panel – Drug allocation scheme: In each session, participants received treatment via all three administration routes, in one of two fixed sequences: either nebuliser/intravenous infusion/standard nasal spray, or standard nasal spray/intravenous infusion/nebuliser.

In 3 out of 4 sessions only one route of administration contained the active drug; in the fourth session, all routes delivered placebo or saline. Unbeknown to the participants, the first treatment administration method in each session always contained placebo, while intranasal (spray or nebuliser) OT was only delivered with the third treatment administration. The second administration was an intravenous infusion of either saline or oxytocin.

Lower panel - Study protocol: After drug administration, participants were guided to the MRI scanner, where eight pulsed continuous arterial spin labelling scans (each lasting approx. eight minutes) were acquired, spanning 15-104 minutes after last drug administration offset. We assessed participants' levels of alertness and excitement using visual analogue scales at 3 different time-points during the scanning session to evaluate subjective drug effects across time. We collected plasma samples at baseline and at five time-points post-dosing to measure changes in the concentration of OT and perform pharmacokinetics analysis.

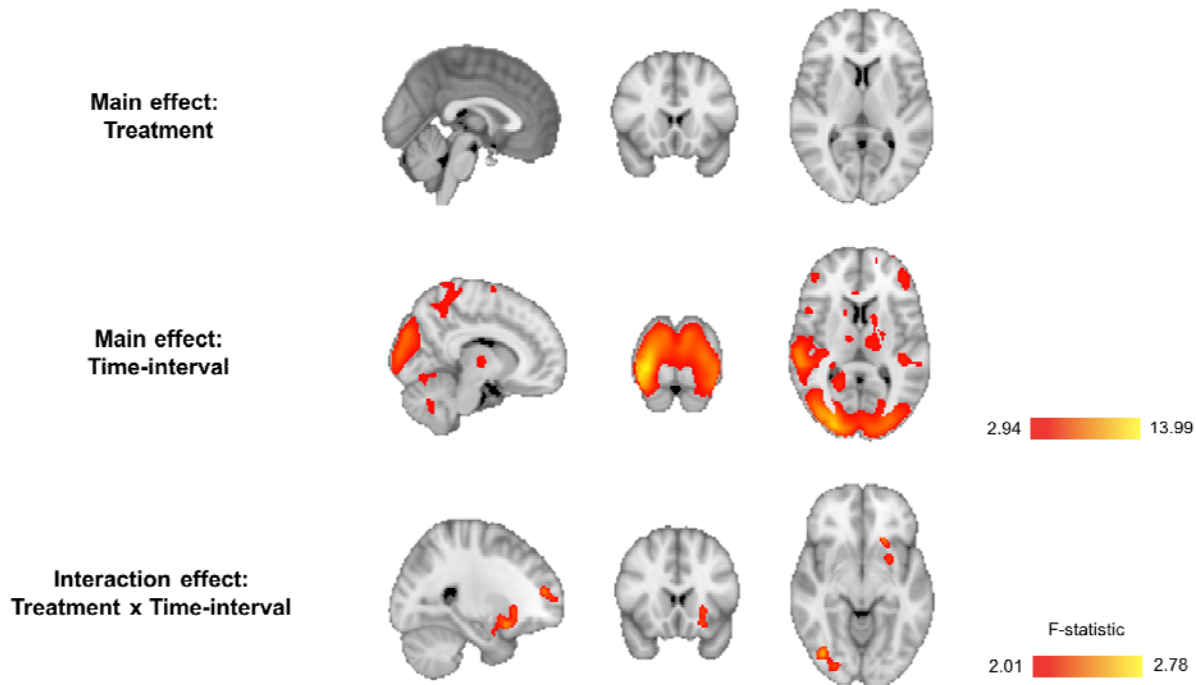


Fig. 2 – Whole-brain univariate analysis: treatment, time-interval and treatment x time-interval effects. We firstly implemented an analysis of covariance design, controlling for global effects on CBF, using a flexible factorial model in SPM12 where we specified the factors Subjects, Treatment, and Time interval. We present the results of an F test to investigate the main effects of treatment, i.e. identify brain regions where any treatment induced persistent changes in rCBF (regardless of direction) across time, and time-interval, i.e. identify brain regions where time induced persistent changes in rCBF (regardless of direction) across treatments. We also present the results of an F test to investigate the interaction of Treatment \times Time-interval i.e. identify brain regions showing specific treatment-induced changes in rCBF as a function of time interval, regardless of direction. We conducted cluster-level inference, reporting clusters

significant at $p < 0.05$ FWE-corrected (cluster-forming threshold: $p < 0.005$, uncorrected). Images are shown as F-statistics in radiological convention.

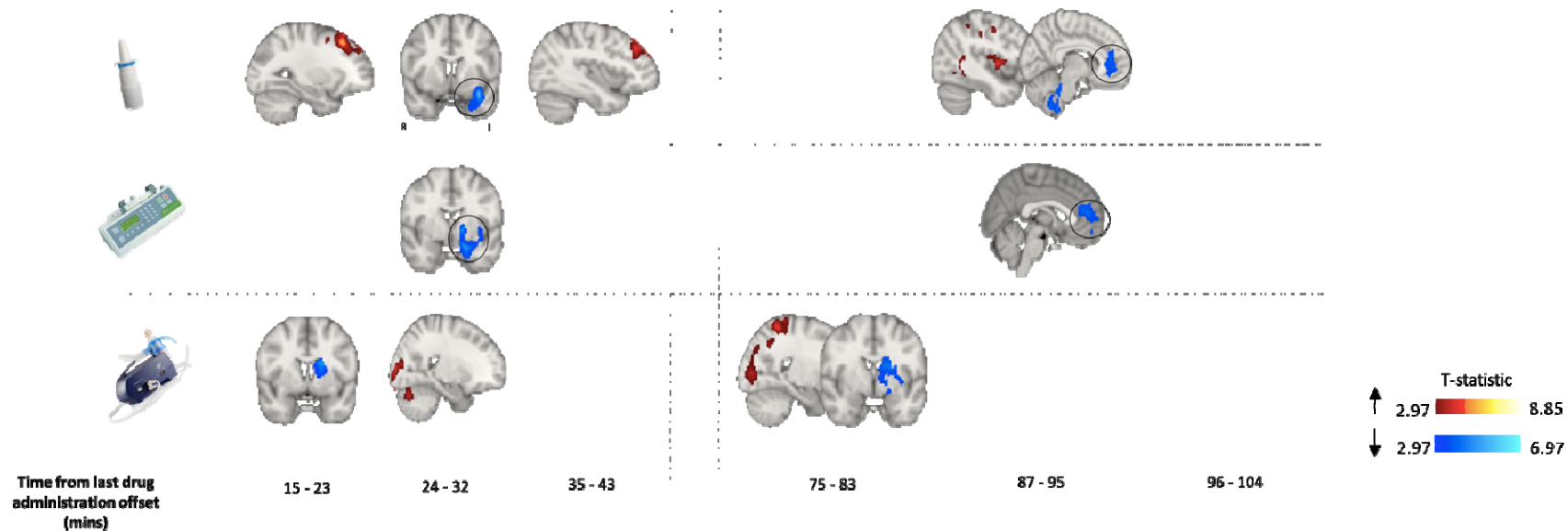


Fig. 3 – Detailed whole-brain univariate characterization of the changes in rCBF associated with each method of administration. For each time-point, we compared CBF maps between treatment and placebo using Paired T-contrasts, accounting for global CBF as a nuisance variable. We conducted cluster-level inference, reporting clusters significant at $p < 0.05$ FWE-corrected (cluster-forming threshold: $p < 0.005$, uncorrected). Images are shown as T-statistics in radiological convention. Blue and red indicate decreases and increases in rCBF, respectively.

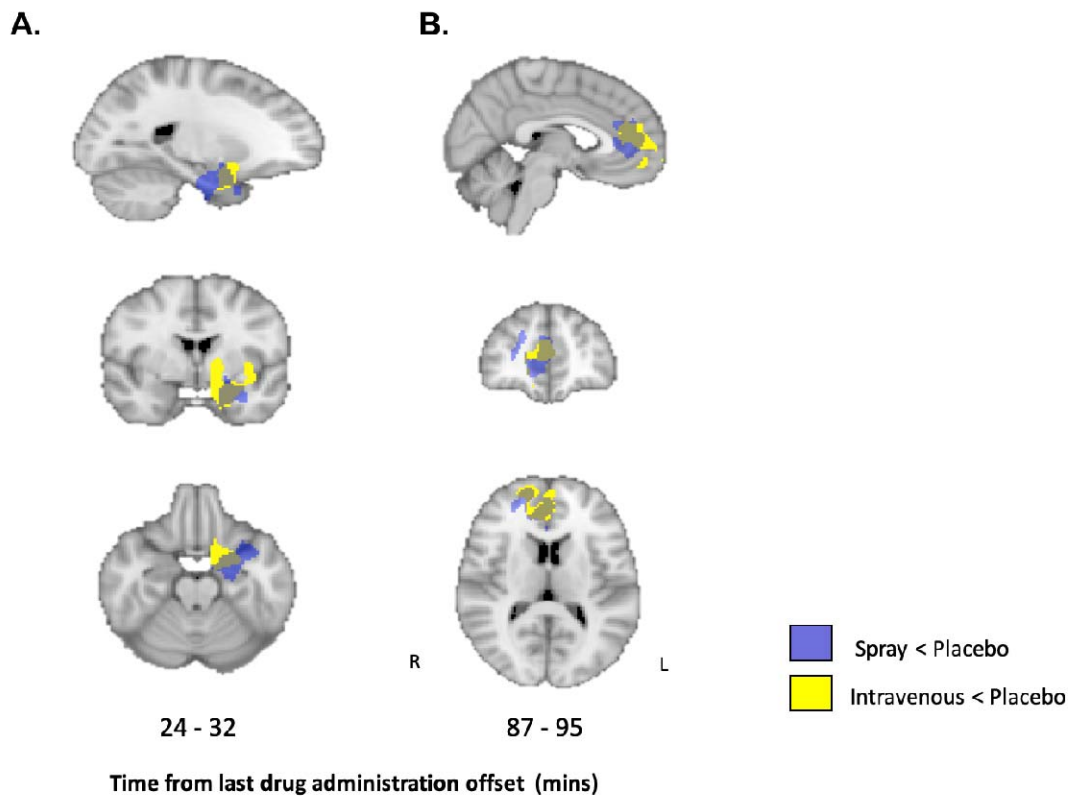


Fig. 4 – Intravenous and Spray OT produced decreases in rCBF in areas overlapping anatomically at two coincident time-points. (A) 24-32 mins post-dosing; (B) 87-95 mins post-dosing. In yellow we present the voxels where OT intravenous administration decreased rCBF at these time-points, while blue refers to the voxels where OT administered with a spray decreased rCBF at the same time-points. We conducted cluster-level inference, reporting clusters significant at $p < 0.05$ FWE-corrected (cluster-forming threshold: $p < 0.005$, uncorrected). Images are shown as binary clusters in radiological convention.

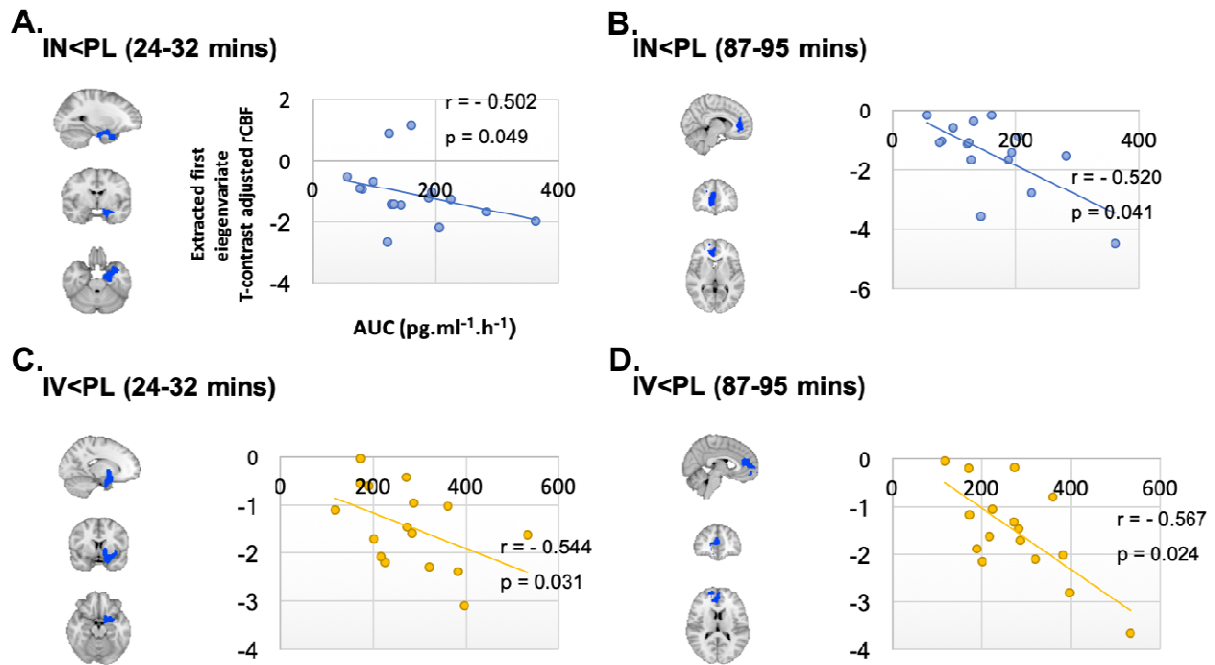


Fig. 5 – Drug-induced changes in rCBF associated with systemic OT are predicted by the changes in the concentration of OT in the plasma post-dosing. To investigate if concomitant increases in peripheral OT were related to treatment-induced changes in rCBF, we extracted data from each significant rCBF cluster in the paired sample T-tests and calculated Spearman correlation coefficients between these contrasts estimates and the areas under the curve (AUCs) reflecting individual differences in treatment-induced OT plasma concentrations for the corresponding method of administration. We present the results for: (A) Decreases in rCBF after spray OT (24-32 mins post-dosing); (B) Decreases in rCBF after spray OT (87-95 mins post-dosing); (C) Decreases in rCBF after intravenous OT (24-32 mins post-dosing); (D) Decreases in

rCBF after intravenous OT (87-95 mins post-dosing); r corresponds to the Spearman coefficient of correlation. Statistical significance was set to $p < 0.05$.

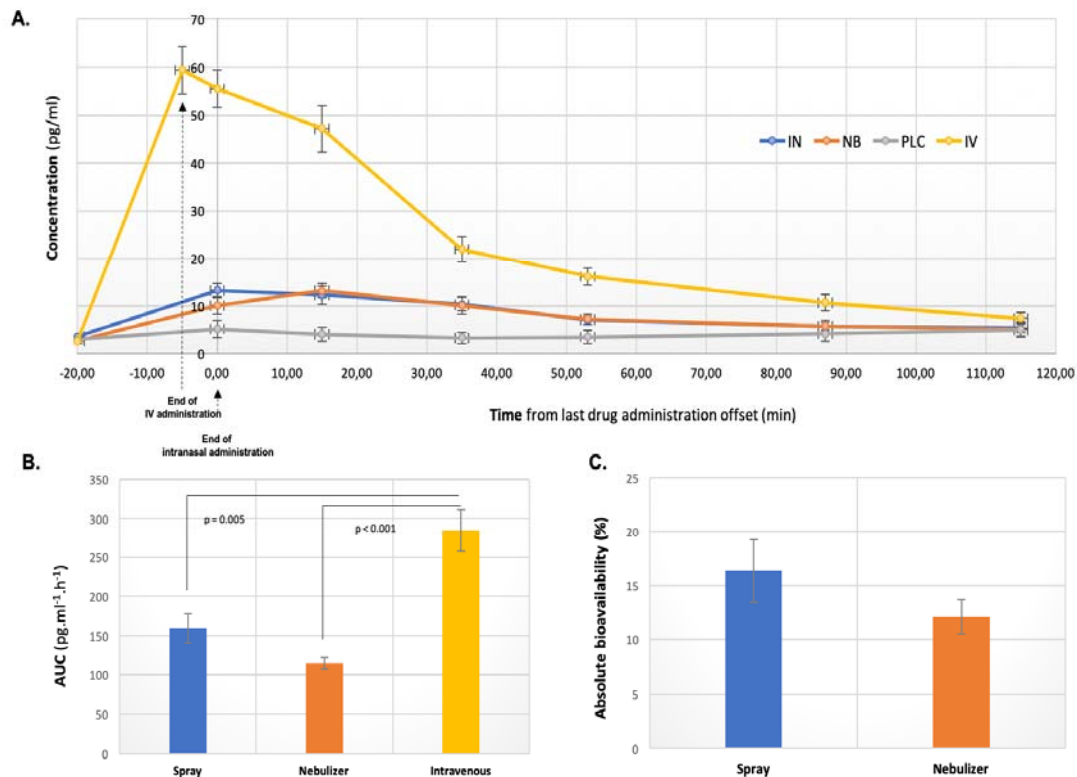


Fig. 6 – Spray and nebulized OT result in similar pharmacokinetics profiles in the plasma.

From the plasmatic concentrations of OT at several time-points after dosing, we calculated the area under the curve (AUC) using the trapezoidal rule for each subject and session. We also determined the absolute bioavailability of OT in systemic circulation for each of the intranasal methods of administration, calculated as the dose-corrected AUC of each intranasal administration divided by the dose-corrected AUC of the intravenous administration. Mean AUCs were compared between the 3 methods of administration with a repeated measures one-way analysis of variance, using the Greenhouse-Geisser correction against violations of sphericity. Post-hoc comparisons between methods were implemented using Tukey's correction

for multiple comparisons. Mean absolute bioavailabilities were compared between the standard nasal spray and the *PARI SINUS* nebulizer using a two-tailed paired T-test. (A) Variations of the concentrations of OT in plasma across time; (B) Comparison of AUCs between treatments; (C) Comparison of absolute plasmatic bioavailability between spray and nebulizer. Data are presented as mean \pm 1SEM. Statistical significance was set to $p < 0.05$.

TABLES

Table 1 - Whole-brain univariate flexible factorial analysis. Clusters showing a significant main effect of treatment, time or treatment x time-interval interaction in rCBF in the 15-104 minutes post-administration period, as identified in F contrasts (not capturing the direction of the change in rCBF). Global CBF was used as a nuisance variable. We conducted cluster-level inference, reporting clusters significant at $p < 0.05$ FWE-corrected (cluster-forming threshold: $p < 0.005$, uncorrected).

Cluster Description	Hemisphere	K	P_{FWE}	Peak Coordinates			Description
				x	y	z	
MAIN EFFECT: METHOD							
No cluster survived correction							
MAIN EFFECT: TIME INTERVAL							
Cluster 1	Bilateral	295660	<0.001	38	-84	-8	Right Inferior
				30	-90	-2	Occipital gyrus
				28	-82	14	Right Superior Occipital gyrus
Cluster 2	Left	2127	<0.001	-14	-18	-4	Left ventral diencephalon
				-30	-2	-6	Left Putamen
				-18	-6	26	Left Caudate
Cluster 3	Left	2271	<0.001	-48	-52	40	Left Angular

							gyrus
				-56	-34	18	Left Planum
				-42	-32	12	temporale
Cluster 4	Left	854	<0.001	-46	-70	-42	Left Cerebellum
				-18	-70	-36	
				-30	-64	-38	
Cluster 5	Bilateral	2389	<0.001	-12	28	50	Left Superior Frontal gyrus
				-40	16	52	Left Middle Frontal gyrus
				-42	24	50	
Cluster 6	Right	435	0.022	48	4	42	Right Precentral gyrus
				50	-2	42	
Cluster 7	Right	508	0.010	36	52	-14	Right lateral orbital gyrus
				44	44	-14	
				46	44	-4	Right Inferior Frontal Gyrus (triangular part)
INTERACTION: METHOD X TIME INTERVAL							
CLUSTER 1: Superior And Middle Frontal Gyrus, Anterior Cingulate	Left	341	0.040	-18	46	18	Left Superior Frontal gyrus
				-26	56	8	
				-14	56	4	
CLUSTER 2: Inferior, Superior And Middle Occipital Gyrus, Cerebellum, Lingual Gyrus, Fusiform Gyrus, Calcarine Cortex, Cuneus, Inferior Temporal Gyrus	Right	905	<0.001	38	-78	-12	Right Inferior Occipital gyrus
				42	-74	-26	Right Cerebellum
				16	-86	-2	Right Calcarine Cortex
CLUSTER 3: Putamen, Insula, Amygdala, Parahippocampal Gyrus, Caudate, Olfactory Region, Rectus Gyrus And Medial Orbitofrontal Cortex	Left	407	0.016	-24	12	-14	Left Putamen
				-20	26	2	Left Caudate
				-20	24	-8	

Table 2 – Paired T-contrasts comparing standard spray OT to placebo/saline. We compared CBF maps following standard spray OT and placebo administration using paired T-contrasts at each time-interval (to capture the direction of potential rCBF changes), controlling for global CBF as a nuisance variable. We conducted cluster-level inference, reporting clusters significant at $p < 0.05$ FWE-corrected (cluster-forming threshold: $p < 0.005$, uncorrected). Clusters that do not survive correction for multiple testing following adjustment of the P value using Bonferroni correction for the 24 paired-T tests performed are denoted by an asterisk (*) ($P_{\text{adjusted}} = 0.05/24 = 0.002$).

Cluster Description	Hemisphere	K	P_{FWE}	Peak Coordinates			Description	
				x	y	z		
SPRAY>PLACEBO (15-23 MINS)								
Cluster 1: Superior/Middle Frontal Gyrus, Supplementary Motor Area, Precentral Gyrus	Left	1500	<0.001	-	24	64	Left Superior/Middle Frontal Gyrus	
				24				
				-	28	46		
				26				
				-	16	68		
24								
SPRAY<PLACEBO (24-32 MINS)								
Cluster 2: Parahippocampal Gyrus, Temporal Pole, Amygdala, Insula, Hippocampus	Left	1295	<0.001	-	8	-20	Left temporal pole	
				34				
				-	-6	-34		Left enthorinal area
				16				
				-	-6	-26		
18			Left enthorinal area					
SPRAY>PLACEBO (35-43 MINS)								
Cluster 3: Middle And Inferior Frontal Gyrus, Precentral Gyrus	Left	999	<0.001	-	40	34	Left middle frontal gyrus	
				42				
				-	20	38		

				54			
				-	46	28	
				42			
SPRAY>PLACEBO (87-95 MINS)							
Cluster 4: Superior And Middle Temporal Gyrus, Insula, Postcentral Gyrus	Left	1848	<0.001	-	-46	2	Left middle/superior temporal gyrus
				56			
				-	-20	30	Left postcentral/supramarginal gyrus
				66			
				-	-46	-8	Left middle temporal gyrus
				60			
Cluster 5: Superior And Inferior Parietal Lobe, Postcentral And Precentral Gyrus, Precuneus	Left	1315	<0.001	-	-54	52	Left superior parietal lobe
				18			
				-	-44	52	Left superior parietal lobe/supramarginal gyrus
				36			
				-	-20	66	Left Precentral Gyrus
				24			
SPRAY<PLACEBO (87-95 MINS)							
Cluster 6: Anterior Cingulate, Right Superior And Medial Frontal Gyrus	Right	1350	<0.001	24	46	12	Right Middle Frontal gyrus
	Right			16	46	12	Right Superior Frontal gyrus
	Bilateral			-2	36	28	Anterior cingulate gyrus
Cluster 7: Brainstem And Cerebellum*	Bilateral	591	0.01	6	-40	-56	Brainstem
	Right			14	-48	-56	Right cerebellum
	Bilateral			6	-38	-32	Brainstem

Table 3 - Paired T-contrasts comparing intravenous OT administration to placebo/saline.

We compared CBF maps following intravenously administered OT and placebo/saline using paired T-contrasts at each time-interval (to capture the direction of potential rCBF changes), controlling for global CBF as a nuisance variable. We conducted cluster-level inference, reporting clusters significant at $p < 0.05$ FWE-corrected (cluster-forming threshold: $p < 0.005$, uncorrected). Clusters that do not survive correction for multiple testing following adjustment of

the P value using Bonferroni correction for the 24 paired-T tests performed are denoted by an asterisk (*) ($P_{\text{adjusted}}=0.05/24=0.002$).

Cluster Description	Hemisphere	K	P_{FWE}	Peak Coordinates			Description
				x	y	z	
INTRAVENOUS<PLACEBO (24-32 MINS)							
Cluster 1: Parahippocampal Gyrus, Pallidum, Amygdala, Insula	Left	1159	<0.001	-12	4	-2	Left Pallidum
				-16	2	-20	Left enthorinal area/amygdala
				-38	2	-10	Left anterior insula
INTRAVENOUS<PLACEBO (87-95 MINS)							
Cluster 2: Anterior Cingulate, Superior Frontal Gyrus, Orbitofrontal Cortex	Bilateral	1179	<0.001	6	42	16	Right Anterior Cingulate
				14	62	12	Right Superior Frontal Gyrus
				10	56	-6	Right Superior Frontal Gyrus

Table 4 - Paired T-contrasts comparing OT administered with the nebuliser to placebo/saline.

We compared CBF maps following OT administered with the nebuliser to placebo/saline using paired T-contrasts at each time-interval (to capture the direction of potential rCBF changes), controlling for global CBF as a nuisance variable. We conducted cluster-level inference, reporting clusters significant at $p<0.05$ FWE-corrected (cluster-forming threshold: $p<0.005$, uncorrected). Clusters that do not survive correction for multiple testing following adjustment of the P value using Bonferroni correction for the 24 paired-T tests performed are denoted by an asterisk (*) ($P_{\text{adjusted}}=0.05/24=0.002$).

Cluster Description	Hemisphere	K	P _{FWE}	Peak Coordinates			Description
				x	y	z	
NEBULIZER<PLACEBO (15-23 MINS)							
Cluster 1: Caudate, Putamen, Pallidum*	Left	434	0.037	-18	0	20	Left caudate
				-12	8	8	
				-6	2	16	
NEBULIZER>PLACEBO (24-32 MINS)							
Cluster 2: Superior, Middle And Inferior Occipital Gyrus, Calcarine Sulcus, Cuneus	Bilateral	1154	<0.001	52	-74	-8	Right inferior
				38	-92	-2	occipital gyrus
				40	-88	-14	
Cluster 3: Cerebellum*	Right	457	0.033	26	-72	-28	Right cerebellum
				12	-82	-28	
				4	-86	-38	
NEBULIZER>PLACEBO (75-83 MINS)							
Cluster 4: Postcentral Gyrus, Superior, Middle And Inferior Occipital Gyrus, Superior Parietal Gyrus, Inferior And Middle Temporal Gyrus, Precuneus, Calcarine Sulcus, Cuneus	Right	2546	<0.001	56	-66	-16	Right inferior
							temporal gyrus
				28	-54	72	Right Superior
							Parietal Lobule
			48	-78	-16	Right inferior	
						occipital gyrus	
NEBULIZER<PLACEBO (75-83 MINS)							

Cluster 5:	Left	1229	<0.001	-14	0	18	Left caudate
Caudate, Putamen,				-12	-14	-10	Left ventral
Pallidum,							diencephalon
Thalamus,				-6	-6	4	Left thalamus
Amygdala,							
Hippocampus,							
Olfactory Region,							
Insula							