1	Zebrafish (Danio rerio) behavioral laterality predicts increased short-term
2	avoidance memory but not stress-reactivity responses
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36 Abstract

37 Once considered a uniquely human attribute, behavioral laterality has proven to be 38 ubiquitous among non human animals, being frequently associated with different 39 neurophenotypes in rodents and fish species. Zebrafish (Danio rerio) are a versatile and 40 complementary vertebrate model system that has been widely used in translational neuropsychiatric research due their highly conserved genetic homology, well characterized 41 physiological and extensive behavioral repertoire. Although the spontaneous left- and right-bias 42 responses and associated behavioral domains (e.g. stress reactivity, aggression and learning) have 43 44 previously been observed in other teleost species, no information regarding the natural left-right 45 bias responses of zebrafish has been described. Thus, we aimed to investigate the existence and 46 incidence of natural left-right bias of adult zebrafish in the Y-maze test and explore any 47 relationship of biasedness on the performance of different behavioral domains. This included learning about threat-cues in the fear conditioning test and locomotion and anxiety-related 48 behavior in the novel tank diving test. In conclusion, we showed for the first time that zebrafish 49 50 exhibit a natural manifestation of behavioral lateralization which can influence aversive learning 51 responses. Although laterality did not change locomotion or anxiety-related behaviors, we found 52 that biased animals showed a reduction of short-term memory performance in the Y-maze and 53 increase learning associated to fear cues.

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56 **Keywords:** Anxiety; Behavioral asymmetries; Left-bias; Pavlovian fear conditioning; Right-bias.

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63 **1. Introduction**

64 Lateralization of brain and behavior is the apparent predisposition towards side bias often 65 manifested in terms of motor output, such as handedness, and has been widely studied in humans 66 and animal models, including monkeys (Fagot and Vauclair 1991; Hopkins 1994; McGrew and 67 Marchant 1997), rodents (Robison 1981; Rodriguez and Afonso 1993; Rodriguez et al. 1992), 68 birds (Bhagavatula et al. 2014; Franklin and Adams 2010; Gunturkun et al. 1998) and fish (Bibost 69 and Brown 2014; Bisazza and de Santi 2003; Dadda et al. 2010a; Dadda et al. 2010b). In rodents, 70 several behavioral tasks have been used to assess behavioral asymmetries such turning rotometers, 71 handedness, choice behavior, T-maze and Y-maze (Corballis 1986; Pisa and Szechtman 1986; 72 Zimmerberg and Glick 1974). Variability in lateralization exerts a number of fitness benefits. For 73 example, lateralization has been associated with maximization of brain processes, enabling 74 individuals to process two tasks simultaneously (Rogers 2000; Rogers 2002). Moreover, studies 75 have suggested that laterality evolved at the population level to maintain coordination among 76 social groups (Rogers 2000).

77 Behavioral laterality is an evolutionarily conserved characteristic which is observed at 78 populational level in humans and has been associated to different neurophenotypes (Corballis 79 2017; Frasnelli 2013). Behavioral asymmetries have been related to high escape performance 80 (Dadda et al. 2010b), social responses (Reddon and Balshine 2010) and even accelerated learning 81 responses (Andrade et al. 2001) in fish and rodent species. Behavioral laterality has also been 82 implicated in anxiety and major depressive disorder (MDD) in humans, being cited as a factor in initiating and maintaining both disorders (Koster et al. 2010; Lichtenstein-Vidne et al. 2017). 83 84 Interestingly, EEG studies showed that depressed and anxious patients exhibit abnormal alpha 85 asymmetry, indicative of low right vs. left parietal activity, confirming that both disorders have a 86 differential influence on lateralized hemispheric processing of emotional and verbal information 87 (Bruder et al. 2016; Bruder et al. 1997; Henriques and Davidson 1990; Kentgen et al. 2000; Reid 88 et al. 1998). Despite the clear relevance of lateralization to human neuropsychological function,

we still have a limited understanding of the general origins of morphological and functionalasymmetries in the brain and of their importance for behavior.

91 Zebrafish (Danio rerio) is a versatile vertebrate model system that has been widely used in 92 translational neuropsychiatric research (Fontana et al. 2018; Stewart et al. 2015). The promise of 93 zebrafish as an alternative organism for modeling human disorders is based on their conserved 94 genome (Barbazuk et al. 2000; Howe et al. 2013; MacRae and Peterson 2015) and well-95 characterized physiology (Holzschuh et al. 2001; MacRae and Peterson 2015; Rico et al. 2011). In 96 addition to its high genetic and physiological homology with mammals, zebrafish presents a well-97 conserved behavioral repertoire (Kalueff et al. 2013) which may be useful in increasing our 98 understanding of the evolutionary origins and functional relevance of left-right asymmetry. Thus, 99 zebrafish have much potential for characterizing the mechanisms involved in behavioral laterality. 100 Although the spontaneous left- and right-bias responses and its correlation to other behavioral 101 domains (e.g. stress reactivity, aggression and learning) were previously observed in other teleost 102 fish species (Ariyomo et al. 2013; Bibost and Brown 2014; Byrnes et al. 2016), no information 103 regarding zebrafishes natural left-right bias responses was previously described. Thus, here we 104 aimed to investigate the existence and incidence of natural left-right bias of adult zebrafish in the 105 Y-maze test and explore how this tendency relates to performance on different behavioral 106 domains, including learning about threat-cues in the fear conditioning test and, locomotion and 107 anxiety-related behavior in the novel diving tank.

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109 2. Material and Methods

110 *2.1. Animals*

Adult zebrafish (~ 50:50 male: female ratio at 3-month of age) were bred in-house and reared in standard laboratory conditions on a re-circulating system (Aquaneering, USA). Animals were maintained on a 14/10-hour light/dark cycle (lights on at 9:00 a.m.), pH 8.4, at ~28.5 °C (±1 °C) in groups of 20 animals per 2.8 L. Fish were fed three times/day with a mixture of live brine shrimp and flake food, except in the weekend that they were fed once/day. Animals were tested in

the Y-maze apparatus and pair-housed for 24 hours for further analysis of shock-avoidance
response to reduce stress from multiple handling in a single day (see Fig. 1). After behavioral
tests, all animals were euthanized using 2-phenoxyethanol from Aqua-Sed (Aqua-Sed[™],
Vetark,Winchester, UK).

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121 *2.2. Y-maze test*

122 The Y-maze spontaneous alternation task is widely used for measuring the disposition of 123 different animal models to explore new environments and to assess left- and right-biased 124 responses (Barnard et al. 2016; Castellano et al. 1987; Frasnelli 2013; Rodriguez et al. 1992). 125 One-hundred and one adult zebrafish were used for assessing Y-maze performance and right-left 126 bias. Required sample size was calculated a priori following pilot tests (effect size (d) = 0.3, 127 power = 0.8, alpha = 0.05). The apparatus consisted of a white Y-maze tank with three identical 128 arms (5 cm length x 2 cm width; three identical arms at a 120° angle from each other) and a 129 transparent base, filled with 3L of aquarium water (Fig. 1). Ambient light allowed some visibility 130 in the maze, but no explicit intra-maze cues were added to the environment. Behavioral tests were 131 performed between 10 a.m. to 4 p.m. using the Zantiks (Zantiks Ltd., Cambridge, UK) AD system 132 (Brock et al. 2017), and we carried out three independent replicates. The Zantiks AD system was 133 fully controlled via a web-enabled device during behavioral training. Fish behavior was recorded 134 for 1 hour and was assessed according to overlapping series of four choices (tetragrams) and 135 analyzed as a proportion of the total number of turns (Gross et al. 2011). The relative number of 136 repetitions (rrrr + llll), alternations (rlrl + lrlr), right turns and left turns were also calculated as a 137 proportion of the total number of turns. The mean and coefficient of variation for right and left 138 bias was calculated for each animal and behavioral lateralization was considered when the animal 139 presented >60% of preference for right or left Y-maze arm.

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142 2.3. Pavlovian fear conditioning

The inhibitory avoidance paradigm is a valid method widely used to explore mechanisms 143 144 underlying fear avoidance learning responses in zebrafish (Amorim et al. 2017; Manuel et al. 145 2014; Manuel et al. 2015; Ng et al. 2012). 24h after the completion of the Y-maze test, animals 146 (n = 55) were tested on a Pavlovian fear conditioning procedure for 1 hour. The fear conditioning response was based on previous work (Cleal and Parker 2018; Valente et al. 2012). Fish were 147 148 individually placed in one of four lanes of a tank (25 cm length x 15 cm, 1 L of water in each tank) (Fig. 1). Briefly, fish were habituated for 30 minutes into the test environment, half check 149 150 and half grey base switching position every 5-min. The baseline preference was ascertained over 151 10-min and only the last 10-min baseline preference was used for assessing the area preference. 152 Conditioning phase was followed, which consisted in a conditioned stimulus (CS+; full screen of 153 "check" or "grey", randomized between each batch) presented for 1.5s and followed by a brief 154 mild shock (9 V DC, 80ms; unconditioned stimulus (US). After this, an 8.5s of inter-trial interval (ITI) of the non-CS (CS-) exemplar was presented at the bottom of the tank. The CS+/US was 155 156 exhibit nine times. Finally, avoidance of CS+ was assessed by presenting the baseline screen (CS+ 157 and CS- simultaneously) for 1-min, and switching positions after 30-s.

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159 2.4. Novel tank diving test

160 The novel tank diving test is commonly used for analyzing locomotor and anxiety-like 161 phenotypes in zebrafish presenting a high sensitivity to anxiolytic and anxiogenic drugs (Egan et 162 al. 2009; Kalueff et al. 2013; Levin et al. 2007; Maximino et al. 2010; Mezzomo et al. 2016; 163 Wong et al. 2010). 24 hours after the Y-maze test, animals (n = 46) were placed individually in a 164 novel tank (30 cm length x 15 cm height x 12 cm width) containing 4 L of aquarium water. 165 Behavioral activity was recorded using 2 webcams (front and top view, see Fig. 1B) for 5 min to 166 analyze thigmotaxis and diving response (Egan et al. 2009; Parker et al. 2012; Rosemberg et al. 167 2012). Behaviors were measured in an automated video-tracking software (EthoVision, Noldus 168 Information Technology Inc., Leesburg, VA - USA) at a rate of 60 frames/s. The tank was 169 separated in three virtual areas (bottom, middle and top) to provide a detailed evaluation of

170 vertical activity. The following endpoints were measured: total distance traveled, time spent in

171 each third of the tank, immobility and thigmotaxis.

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173 2.5. Randomization and blinding

All behavioral testing was carried out in a fully randomized order, choosing fish at random from one of ten housing tanks for testing. Fish were screened for left-right bias in the Y-maze first, but analysis was not carried out prior to subsequent behavioral testing to avoid bias. Subsequent to Y-maze screening, fish were pair housed and issued a subject ID, allowing all testing to be carried out in a fully blinded manner. Once all data were collected and screened for extreme outliers (e.g., fish freezing and returning values of '0' for behavioral parameters indicating non-engagement), the bias was revealed and data analyzed in full.

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182 2.6. Data reduction and statistical analysis

183 Data obtained from the Y-maze protocol was reduced using the Zantiks Y-maze Analysis 184 Script created especially for this purpose (available from: https:/ 185 /github.com/thejamesclay/ZANTIKS_YMaze_Analysis_Script). Subsequently, data were 186 analyzed in IBM SPSS Statistics and the results were expressed as means \pm standard error of the 187 mean (S.E.M). To assess whether there were any effects of bias on total turns, alternations (lrlr + 188 rlrl), repetitions (rrrr + llll) and novel tank responses we used linear mixed effects model (Poisson 189 distribution, log link), with bias and time as fixed factors, and ID as a random factor (to account 190 for non-independence of replicates). Additionally, left-right bias effects on shock avoidance test 191 was assessed using two-way repeated measures ANOVA with 'bias' (left vs right vs neutral) and 192 conditioning (pre-vs-post) as factors, and preference for conditioned stimulus as the dependent 193 variable. Newman-Keuls test was used as post-hoc analysis, and results were considered 194 significant when $p \le 0.05$.

- 195 **3. Results**
- 196 2.1. Left-right bias profile in the Y-maze test

197 Zebrafish showed behavioral lateralization in the Y-maze (right-biased 27.18 %, left-198 biased 27.18% and non-biased 45.63%). To confirm if the behavioral laterality was consistent 199 across time, the coefficient of variation for the left and right turn preferences were calculated for 200 the non-biased (19.28 \pm 2.52 and 21.05 \pm 2.95), left-biased (30.40 \pm 3.85 and 21.7 \pm 3.61) and 201 right-biased (27.23 \pm 5.52 and 25.95 \pm 2.31) groups. Figure 2 displays the Y-maze data. A 202 significant bias effect was observed for number of turns (F $_{(2, 601)}$ = 13.115; p<0.0001), repetitions 203 (F $_{(2, 601)}$ = 39.696; p<0.0001) and alternations (F $_{(2, 601)}$ = 45.437; p<0.0001). A time effect (data 204 not shown) was also observed for number of turns (F $_{(5, 601)} = 9.769$; p<0.0001), repetitions (F $_{(5, 601)} = 9.769$; p<0.0001), 205 $_{601}$ = 3.242; p=0.007) and alternations (F $_{(5, 601)}$ = 3.801; p=0.002). Additionally, a significant 206 interaction effect (bias * time) was observed for repetitions (F $_{(10, 601)} = 2.504$; p=0.006) and 207 alternations (F $_{(10, 601)} = 2.390$; p = 0.009). Right-biased significantly increased the number of 208 repetitions (p < 0.0001) and, decreased the number of turns (p < 0.05) and alternations (p < 0.0001) 209 compared to non-biased animals. Meanwhile, left-biased animals had an increased number of 210 repetitions (p < 0.001) and decreased alternations (p < 0.005) compared to non-biased group. 211 Moreover, right-biased animals had a significant increase of repetitions (p < 0.05) and decrease of 212 alternations (p < 0.05) even when compared to left-biased animals (Fig. 2A). The behavioral profile 213 of biased and non-biased animals is displayed in Fig. 2B tetragrams where a high number of llll 214 and rrrr configuration can be observed for left- and right-biased animals, respectively.

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216 2.2. Short-term avoidance memory and novelty response of biased animals

Although no interaction effect bias *vs.* shock ($F_{(2, 98)} = 1.259$; p = 0.312) was observed for the Pavlovian responses, a significant effect for bias ($F_{(2, 98)} = 3.128$; p = 0.035) and shock ($F_{(1, 98)} =$ 79.47; p < 0001) effect was founded. ANOVA often is underpowered to detect the significance of interaction terms (Wahlsten 1990), and therefore further analysis was performed to specifically analyze interaction effects. Concerning this, all biased and non-biased animals had a decreased time spent in the preference for conditioned stimulus. Moreover, both left- and right- biased animals (p < 0.05) had a significantly decreased time spent in the conditioned area compared to

non-biased group (**Fig. 3**). No significant effect was observed for bias in all novel tank diving testrelated parameters, including distance travelled (F $_{(2, 43)} = 0.683$; p = 0.510), immobility (F $_{(2, 43)} = 2.348$; p = 0.107), time in tank zones time (F $_{(2, 129)} = 0.084$; p = 0.918) (**Fig. 4**) and thigmotaxis (F $_{(2, 43)} = 1.289$; p = 0.286) (**Fig. 5**).

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229 **4. Discussion**

230 In this study we evaluated left-right bias from unconditioned Y-maze performance and 231 evaluated the predictive validity of Y-maze performance on both unconditioned and conditioned 232 measures of fear/anxiety. We showed, for the first time, that the zebrafish presents natural 233 behavioral laterality in the Y-maze test, suggesting that the protocol may be useful for screening 234 this species for behavioral asymmetry. Second, we found that behaviorally lateralized animals 235 show decreased pure alternation responses in the Y-maze, and increased pure repetition, 236 suggesting that learning and memory may be partially inhibited in lateralized animals. Third, we 237 observed that behavioral asymmetry predicts increased learning in a Pavlovian fear conditioning 238 protocol but did not predict measures of unconditioned anxiety (novel tank test, thigmotaxis). 239 Collectively, these data suggest, contrary to theories that laterality is related to increased stress-240 reactivity, that increased behavioral laterality may be related to increased cue-reactivity, 241 particularly in relation to aversive cues. This has connotations for translational models of human 242 disorders of affective state, in which heightened attention to threat-related cues is observed 243 (Lichtenstein-Vidne et al. 2017) and in which brain and behavioral laterality are thought to be risk 244 factors (Bruder et al. 2016).

Left-right asymmetries in behavioral protocols including the T-maze and Y-maze have been widely utilized in rodents (Andrade et al. 2001; Nakagawa et al. 2004; Rodriguez et al. 1992). Here, for the first time, we observed that approximately a quarter of zebrafish present substantial natural left- (27.18 %) or right- (27.18%) locomotor lateralization, with the remaining 45.63% animals showing stochastic patterns of left/right. These data are somewhat at odds from

observed bias in rodent models, in which there is a high number of right-biased animals (52.8%)

and low numbers of of left- (22.2%) and non-biased responses (25%) (Andrade et al., 2001).

252 We observed that behavioral laterality has an important role in Y-maze performance, 253 where left-right biased animals presented an increase of repetition behavior and decrease of 254 alternation, in particular in the left-biased animals. The relative frequency of pure alternations 255 (lrlr, rlrl) to pure repetitions (llll, rrrr) is thought to be a measure of short-term memory (Cleal and 256 Parker 2018; Gross et al. 2011). Alterations have been directly associated with functionally 257 distinct search patterns, where the seeking for change and novelty may have a role in their 258 exploratory profiles (Kool et al. 2010). Interestingly, decreases in pure alternations and increases 259 in pure repetition behavior in lateralized animals was first described by Rodriguez et al. (1992), 260 who demonstrated that both stress and over-training decrease the alternation/repetition ratio 261 through the promotion of an increase of biased responses. Overall, we confirmed that both 262 alternations and repetitions remain as a highly reliable behavioral pattern that is conserved across 263 species (Ghafouri et al. 2016; Lewis et al. 2017; Pickering et al. 2015).

264 In agreement with previously studies (Andrade et al. 2001), we showed that locomotor 265 lateralization is associated to increased learning in a Pavolvian fear conditioning protocol. This 266 appears, initially, to be at odds with our observations regarding memory in the Y-maze 267 (operationally defined as variation in alternation/repetition). However, the most predominant 268 theory of how left-right bias affects learning and cognitive processing relates to a hypothesized 269 increased stress-reactivity in lateralized animals (Carlson and Glick 1989; Neveu 1996; 270 Westergaard et al. 2001). Interindividual differences in laterality have been shown to covary with, 271 or predict, individual differences in stress-reactivity and susceptibility to stress-related pathology 272 (Byrnes et al. 2016; Carlson and Glick 1989; Fride and Weinstock 1989; Ocklenburg et al. 2016). 273 Here, we tested the hypothesis that left- and right-biased animals would differ in measures of 274 stress-reactivity and anxiety-like phenotypes (Blaser and Rosemberg 2012; Egan et al. 2009; 275 Parker et al. 2012). We found no significant differences in lateralized animals in our measures of 276 anxiety, suggesting that the observed differences in behavioral phenotypes observed in the Y-

280 were no differences in measures of anxiety, and the reduced memory on the Y-maze test.

281 There are several theories regarding the mechanisms underlining behavior laterality in 282 simple maze tasks. Diaz Palarea et al. (1987) were the first to report that left-right biased animals, 283 as assessed via spatial asymmetry in a T-maze, had alterations in dopaminergic (DA) signaling. 284 In addition, apomorphine (DA receptor agonist) and 6-hydroxydopamine lesions alters behavioral 285 laterality of animals in the T-maze test (Castellano et al. 1987) and Y-maze (Nakagawa et al. 286 2004), confirming the involvement of DA system in behavioral asymmetry. DA receptors are 287 strongly implicated in emotional learning and recall of emotionally relevant events in rats. For 288 example, activation of D4-receptors in the medial pre-frontal cortex potentiates fear-associated 289 memory formation but has no impact on recall (Lauzon et al. 2009; Laviolette et al. 2005), 290 whereas activation of D1-like receptors blocks recalls of previously learned fear-associated 291 memories but has no impact on learning (Lauzon et al. 2009), suggesting a double dissociation of 292 function. Interestingly, the serotonergic (5-HT) system has also been shown to have an important 293 role in mediating individual differences in anxiety-like responses and locomotor activity in 294 zebrafish and exerts a minor modulatory role of the DA system (Tran et al. 2016). Both behavioral 295 laterality and aversive memory is mostly associated with modulatory action of DA system, but the 296 5-HT system has a major role modulating zebrafish responses to novelty. The precise mechanisms 297 of how behavioral laterality modulates neuropsychiatric conditions are yet to be firmly 298 established, and further studies are required to better understand the mechanisms in which 299 behavioral laterality modulates aversive memory in zebrafish.

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301 **5. Conclusion**

302 Overall, we showed for the first time that zebrafish exhibits natural manifestation of 303 behavioral lateralization and it can influence on aversive learning responses. We found that bias

304 animals show a reduction of short-term memory performance in the Y-maze, but an increase in 305 learning in a Pavlovian fear conditioning protocol. Coupled with a lack of differences between 306 lateralized and non-lateralized animals in unconditioned tests of anxiety, our data suggest that 307 lateralized zebrafish may show heighted reactivity to fear related cues. These results have 308 important connotations for translational models of depression and anxiety, particularly in the light 309 of well-established links between laterality and anxiety/depression in humans. Finally, because 310 biased animals present different behavioral performances in the Y-maze and Pavlovian fear 311 conditioning protocols, left- and right- preference should be considered when working with 312 zebrafish behavior, particularly to control variability in performance on more complex tasks. 313 314 **Compliance with Ethical Standards** 315 Funding

This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior - Brazil (CAPES) - Finance Code 001 at the University of Portsmouth, UK. MOP receives funding from the British Academy (BA). MC is supported by a Science Faculty Studentship from the University of Portsmouth.

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321 Conflict of interest

The authors declare that no conflict of interest exists.

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324 Ethical approval

All experiments were carried out following scrutiny by the University of Portsmouth Animal Welfare and Ethical Review Board, and under license from the UK Home Office (Animals (Scientific Procedures) Act, 1986) [PPL: P9D87106F].

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506 Figure Captions

507 Figure 1. Schematic representation of the experimental design and the behavioral tasks.

508

Figure 2. Effects of left- and right- bias in zebrafish on the Y-maze test. (A) Laterality affects total number of turns, repetitions and alternation of adult zebrafish. (B) Y-maze tetragrams showing the behavioral phenotype of biased and non-biased animals. Data were represented as mean \pm S.E.M. and analyzed by linear mixed effects, followed by Tukey's multiple comparison test. Asterisks indicates statistical differences compared to non-biased group or between biased groups (*p < 0.05, **p <0.01, ***p <0.001 and *p<0.0001, n = 47 non-biased, n = 28 left-biased and n = 28 right-biased group).

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Figure 3. Left- and right- bias are related to fear avoidance learning responses in adult zebrafish. Data were represented as mean \pm S.E.M. and analyzed by two-way RM ANOVA, followed by Tukey's multiple comparison test. Asterisks indicates statistical differences compared to nonbiased group or between biased groups (*p < 0.05, **p < 0.01, ***p < 0.001 and *p < 0.0001, n =25 non-biased, n = 17 left-biased and n = 13 right-biased group).

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Figure 4. Behavioral laterality is not related to locomotor or anxiety-related phenotypes in adult zebrafish. Data were represented as mean \pm S.E.M. and analyzed by linear mixed effects (n = 22non-biased, n = 11 left-biased and n = 15 right-biased group).

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Figure 5. Left- and right- bias do not change thigmotaxis in adult zebrafish. Data were represented as mean \pm S.E.M. and analyzed by linear mixed effects (n = 20 non-biased, n = 11left-biased and n = 15 right-biased group).









