	Integrating behaviour and ecology into global	
	biodiversity conservation strategies	
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1	However, progress towards incorporating behaviour into regional or global conservation strategies has been far more limited, not least because standardised datasets of behavioural traits are generally lacking at wider taxonomic or spatial scales. Here we make use of the recent expansion of global datasets for birds to assess the	
	prospects for including behavioural traits in systematic conservation priority-setting and monitoring programmes. Using IUCN Red List classification for >9500 bird species, we show that the incidence of threat can vary substantially across different behavioural syndromes, and that some types of behaviour—including particular foraging, mating and migration strategies—are significantly more threatened than others. When all factors are included in a combined model, behavioural traits have a weaker effect than well-established geographical and ecological factors, including range size, body mass and human population pressures. We also show that the association between behavior and extinction risk is partly driven by correlations with these underlying factors. Overall, these results suggest that a multi-species approach at the scale of communities, continents and ecosystems can be used to identify and monitor threatened behaviours, and to flag up cases of latent extinction risk, where threatened status may currently be underestimated. Our findings also highlight	

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34 **1.** Introduction

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36 Conservation biologists and behavioural ecologists have repeatedly called for closer links between their 37 respective fields on the grounds that behavioural insights can contribute significantly to the success of 38 conservation action (Clemmons & Buchholz 1997; Caro 1999; Caro & Sherman 2011; Greggor et al. 2016). 39 However, this cross-disciplinary integration has progressed slowly, in part because the methods and central 40 questions of behavioural ecology do not align closely with the needs of conservation practitioners (Greggor et 41 al. 2016). For example, much of behavioural ecology focuses at the level of the individual, and identifies 42 selective mechanisms acting on genes or organisms, whereas conservation typically operates at the level of 43 populations (Caro 2007). This misalignment is perhaps most pronounced at macroecological scales where 44 global analyses are playing a vital role in conservation science and policy (e.g. Newbold et al. 2015) but 45 generally include only the most basic behavioural information. 46 One reason for the low profile of behaviour in comprehensive broad-scale analyses is because it is 47 difficult and costly to measure standardised behavioural traits across species, space and time (Anthony &

48 Blumstein 2000). The major contributions of behavioural research to conservation have dealt with factors such 49 as individual movements, sensory ecology or animal personality, and the extent to which they mediate 50 various kinds of human pressures, including disturbance, habitat loss and hunting (Greggor et al. 2016). The 51 key behavioural metrics under this framework are context-dependent, highly plastic both within and between 52 individuals, and typically estimated through detailed observation and experimentation. They are often 53 inappropriate for quantitative assessments at the wider level of communities or ecosystems because they are 54 (1) only available for a small fraction of species, and (2) not readily incorporated into species-level analyses. 55 For instance, the case-dependent intricacies of how behaviour influences Effective population size (N) are 56 useful to conservation (Anthony & Blumstein 2000) but we are decades away from having these data available 57 for comprehensive global studies.

58 Global or regional conservation assessments are largely restricted to comprehensive species-level 59 datasets accessible at the relevant scale (see figure 1). Most macroecological analyses have therefore tested 60 whether species conservation status is predicted by human impacts, biogeographical factors such as latitude 61 or range size, and environmental factors such as climate or habitat (Bennett & Owens 1997, Owens & Bennett 62 2000, Cardillo et al. 2004, Cardillo et al. 2005, Lee & Jetz 2011, Keinath et al. 2017), or reversed the process to 63 predict the conservation status of poorly known species (Jetz & Freckleton 2015, Santini et al. 2019). Using 64 freely available GIS layers, these socio-economic, biogeographical and environmental variables can be 65 extracted for specimen localities or geographical range polygons, which in some vertebrate groups are 66 reasonably accurate. The other main components of macro-scale assessments have been demographic factors, 67 including population size and density, and rates of population decline, all of which are theoretically related to 68 extinction risk (Keinath et al. 2017; Santini et al. 2019). In general, only crude population estimates are 69 included in global-scale analyses because very few attempts have been made to quantify population sizes and 70 trends across entire global ranges (Tobias & Seddon 2002, Tobias & Brightsmith 2007). Previous studies have 71 shown that both extrinsic biogeographic and demographic factors are correlated with extinction risk, leading 72 to their widespread inclusion in regional and international conservation status assessments. 73 Perhaps the most influential global assessment is the IUCN Red List (IUCN 2001), an indicator of 74 biodiversity status and change linked to international convention targets (Butchart et al. 2005). The

75 conservation status categories systematically generated by the Red Listing process are enshrined in legislation

76 and widely used in macroecological research (Rodrigues et al. 2006). Previous assessments of predictors of

Red List status have generally focused on standard biogeographic or climatic variables, without delving far
 into behavioural or ecological factors. Indeed, the only ecological and behavioural traits incorporated into

- 79 most global models of conservation risk are body mass, diet and habitat preferences (Lee & Jetz 2011;
- 80 Newbold et al. 2015, Keinath et al. 2017). To convert these variables into species-level traits, body mass is
- 81 typically averaged from small numbers of published estimates, while diet and habitat are classified into broad
- 82 categories on the basis of published descriptions in secondary literature (Wilman et al. 2014). By contrast, the
- 83 availability of many other behavioural or ecological variables is highly patchy at global scales, and limited by
- 84 the difficulty of converting into species-level traits (figure 1).
- 85 The most relevant behavioural traits to conservation assessment include those that mediate sensitivity 86 to habitat loss, fragmentation, and climate change (Greggor et al. 2016). Factors relating to dispersal behaviour 87 are particularly pertinent because they impinge on the ability of species to cross unsuitable habitat and thus 88 maintain interconnected metapopulations after habitat fragmentation (Lees & Peres 2009). Dispersal-related 89 traits may also regulate the ability of species to track shifting geographical ranges in response to climate 90 change (Early & Sax 2011, Howard et al. 2018), and predict susceptibility to threats like wind farms (Thaxter et 91 al. 2017). In addition, behavioural dimensions of species interactions may be important determinants of 92 responses to a variety of threats. For example, studies focused at the level of species pairs or communities find 93 evidence that interspecific competition leads to population declines or local extinction following habitat loss 94 and fragmentation (Bregman et al. 2015, Grether et al. 2017) while reproductive interference may threaten 95 populations of closely related species interacting or hybridising when climate-driven range shifts lead to 96 secondary contact (Hochkirch et al. 2007, Greggor et al. 2016). However, while standardised estimates of 97 dispersal ability and interspecific competition are available for restricted samples of species, they are not 98 readily available at macroecological scales, except in the form of extremely coarse categories (e.g. whether an 99 organism can fly or not; Keinath et al. 2017).
- 100 Other variables potentially relevant to conservation status can be placed on a continuum from 101 primarily ecological to primarily behavioural (figure 1). At the ecological end are aspects such as microhabitat 102 preferences, while other factors such as foraging mode, migration, sexual selection, territoriality, reproductive 103 strategy and nesting behaviour have an increasingly behavioural dimension. Previous research suggests that 104 species sensitivity to land-use or climate change can be related to microhabitat (e.g. in the form of vertical 105 stratum of vegetation), foraging behaviour (e.g. gregarious foraging), and reproductive strategy (e.g. breeding 106 system) (Kokko & Brooks 2003, Bueno et al. 2018). Similarly, territorial strategy is linked to species sensitivity 107 to habitat fragmentation (Ulrich et al. 2017), suggesting that elevated interspecific competition via behavioural 108 mechanisms can increase threats associated with land-use and climate change (Jankowski et al. 2011, Grether 109 et al. 2017). Until recently, such inferences were based on relatively restricted species sampling, but this 110 constraint is changing as the compilation and dissemination of global trait datasets gathers pace.
- 111 To assess whether recent progress in data availability can pave the way for behavioral perspectives to 112 be explicitly included in global conservation strategies, we compiled information on a variety of ecological 113 and behavioural traits for all bird species, including estimates of sexual selection (Dale et al. 2015; Cooney et 114 al. 2017), breeding system (Jetz & Rubenstein 2011), foraging strategy (Pigot et al. 2016, Felice et al. 2019), 115 territorial behaviour (Tobias et al. 2016), and nest placement (Stoddard et al. 2017). We then ran multivariate 116 models to evaluate the extent to which behaviour predicts IUCN Red List status at macroecological scales and 117 in relation to a range of standard biogeographical and environmental variables. Our goal is to assess the 118 current landscape of behavioural data availability and the prospects for more nuanced conservation
- 119 assessments and priority-setting.

120

121 **2.** Methods

- 122
- 123 (a) Data
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125 We assembled data on species threat status from the 2016 Red List (IUCN 2016) along with a range of 126 potential drivers of variation in status, including biogeographic, ecological and behavioural traits, as well as 127 the exposure of each species to human impacts. Geographic range size is consistently identified as the 128 strongest predictor of threat status (Lee & Jetz 2011; Jetz & Freckleton 2015). We estimated range size for each 129 species based on expert opinion extent of occurrence maps of species breeding distributions (BirdLife 130 International, 2012). Human population pressure is also known to influence extinction risk (Cardillo et al. 131 2004; Scharlemann et al. 2005; Davies et al. 2006). To quantify the exposure of species to human impacts, we 132 first extracted polygon range maps onto an equal area grid (resolution of 110 km \approx 1° at the equator) and used 133 this grid to sample human population density, human appropriation of net primary productivity and night-134 time light intensity, an indicator of urbanisation and development. We calculated the mean value of each

135 metric, averaged across all grid cells overlapping with each species range.

136 We collated data on a selection of ecological traits, including mean species body mass (g), habitat type, 137 diet and island dwelling, all of which have been linked to extinction risk (Bennett & Owens 1997; Owens & 138 Bennett 2000; Cardillo et al. 2005; Lee & Jetz 2011; Jetz & Freckleton 2015). We assigned species to one of ten 139 dietary categories: aquatic animals, aquatic plants, terrestrial invertebrates, terrestrial vertebrates, terrestrial 140 carrion, nectar, seeds, fruit, other terrestrial plant matter (e.g. leaves) and omnivore, based on the dominant 141 resource present in their diet (see Supplementary material). Data on proportional resource use were first 142 obtained from Wilman et al. (2014), and then modified and updated based on comprehensive literature 143 searches. Our dietary classification differs from Wilman et al. (2014) in that we subdivided each animal or 144 plant-based resource type into separate aquatic and terrestrial categories (see Felice et al. 2019). This helps us 145 to avoid highly heterogenous categories such as invertivores, which spans a wide variety of species from 146 insectivorous warblers to squid-eating albatrosses and crustacean-eating flamingos (Wilman et al. 2014). Our 147 approach separates warblers (diet: "terrestrial invertebrates") into a different category from albatrosses and 148 flamingos (diet: "aquatic animals"). Using literature to score habitat use, we assigned species to broad habitat 149 categories (coastal, terrestrial, freshwater, sea) according to the predominant habitat utilised across their 150 geographic distribution. We included habitat type as a predictor in our main models but also used this 151 variable along with a measure of forest dependency (obtained from BirdLife International: 152 http://datazone.birdlife.org/home) to subset our data and perform additional analysis focusing on terrestrial 153 species (n = 8433) or those with medium to high forest dependency (n = 5646). Using the geographical range 154 polygons described above, we classified species as island dwelling if more than 25% of their geographic range 155 occurred on small islands (landmass <2000 km²). Further details of data compilation methods are given in 156 supplementary materials.

To assess the association between IUCN threat status and key behavioural traits, we assembled data on foraging strategy, nest placement, breeding system, mating behaviour, the mean clutch size of broods, territoriality and migratory behaviour. Following the method described by Felice et al. (2019), we used literature searches to assign species to one of seven foraging strategies. We classified each species according to the predominant behavioural strategy used to acquire resources, and assigned species utilising multiple foraging strategies as generalists (see Supplementary material). Nest placement was scored into a simple

163 three-way system: ground, elevated or cavity (see Stoddard et al. 2017 for details). We used a binary score of 164 breeding system based on a published classification of cooperative and noncooperative breeders (Jetz & 165 Rubenstein 2011). Mating behaviour was scored as strict monogamy, monogamy with infrequent (<5% males) 166 polygyny, monogamy with frequent (5-20% males) polygyny, and polygamy (>20% males and females). These 167 categories are based on the index of sexual selection developed by Dale et al. (2015). Clutch size data was 168 based on Jetz et al. (2008). Using data from Tobias et al. (2016), we assigned all species to three categories 169 according to the degree of territoriality: 'strong' (territories maintained throughout year), 'weak' (weak or 170 seasonal territoriality, including species with broadly overlapping home ranges or habitually joining mixed 171 species flocks), and 'none' (never territorial or at most defending very small areas around nest sites). Finally, 172 we assigned the migratory behaviour of species as either sedentary, partially migratory (minority of 173 population migrates long distance or most individuals migrate short distances) and migratory (majority of 174 population undertakes long-distance migration) (Tobias et al. 2016).

175 Most variables were available for the vast majority (i.e.>99%) of species but the identity of species 176 with missing values differed across variables. For categorical predictors, we imputed missing values using the 177 modal class for each genus, if the genus contained at least 2 species and the modal class was present across at 178 least 75% of species. If these conditions were not met, we used the same criteria to either impute missing 179 values at the family level. After removing all species with any missing values, our final dataset included n = 180 9576 species.

- 181
- (b) Statistical analysis
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184 To model the effects of each predictor variable on extinction risk, we treated threat as a binary variable (0, 1) 185 according to the IUCN Red List categories. All species listed as Vulnerable, Endangered, Critically 186 Endangered, Extinct (including Extinct in the Wild) were classified as Threatened; the remainder (Near 187 Threatened, Least Concern and Data Deficient) were classified as non-Threatened. We modelled threat using a 188 generalised linear mixed effects model, with a binomial error structure and including taxonomic family as a 189 random effect to control for the phylogenetic non-independence of species when identifying predictors of 190 threat. Predictor variables exhibiting right skew were log transformed prior to analysis. 191 In contrast to previous assessments of the predictors of extinction risk in birds (e.g. Lee & Jetz 2011),

192 we are particularly interested in how behaviour and its covariation with other putative drivers of extinction 193 risk alter the incidence of threat. First, to assess the overall association between each predictor and threat, we 194 fitted a series of single predictor (i.e. univariate) models. Second, we generated a series of multivariate models 195 and calculated relative model fit according the Akaike Information Criterion (AIC). We assessed the relative 196 importance of each behavioural trait relative to other predictors by excluding each variable in turn from the 197 full model and calculating the difference in AIC (delta AIC). We then assessed the overall contribution of 198 behavioural traits in predicting threat by calculating the AIC and r of a full model including all predictor 199 variables, and comparing with a model excluding behavioural traits. Finally, we also calculated the AIC and r 200 of a model including only behavioural traits.

201 When comparing univariate and multivariate models, we were particularly interested in testing how 202 covariation between behavioural traits and ecological, geographical or socio-economic variables may modify 203 the association between threat and behaviour. We identify three possible scenarios. First, when behaviour is 204 weakly related to threat, we may nevertheless find strong variation in the incidence of threat across 205 behavioural categories because of differences in other factors that drive variation in threat (i.e. ecology,

geography or human impacts), an example of an 'enhanced' effect. Second, the opposite pattern may emerge,
if behaviour has a significant effect on threat, but this effect is 'masked' by countervailing effects of ecological,
geographical or human impacts. Finally, the apparent effect of a given behaviour on threat could even be
'reversed', when taking into account covariation with other factors.

To examine how the definition of threat may influence the predictors of extinction risk, we repeated our analysis considering only threatened species (n = 1216), predicting lower (0 [Vulnerable]) or higher (1 [Endangered, Critically Endangered, Extinct]) levels of threat. To assess how the predictors of threat may change across broad habitat types, we repeated our analysis on different subsets of our data including all species (n = 9576), terrestrial species (n = 8433) and forest dependent species (n = 5646).

- 215
- 216 Results
- 217

218 Our results identified a number of core predictors of threat status that align closely with previous assessments 219 indicting that threat arises as a combination of geography, ecology and human impacts (Fig. 2). Specifically, 220 the strongest predictor of threat status is geographical range size, with additional strong effects of body mass, 221 island dwelling and the mean human population density across the species geographic range, a metric of 222 exposure to human impact. In both univariate and multivariate models, the incidence of threat decreases with 223 geographic range size and increases with body size (Table S1). When tested in isolation, the incidence of threat 224 is higher on islands than on the mainland and in areas of low human population density (Table S1). However, 225 in the full multivariate model, these effects are reversed, with a higher incidence of threat in areas of greater 226 human population density, but a lower incidence of threat on islands (Table S1, see also Manne et al 1999). 227 In addition to these core predictors, we also identified an effect of behaviour on extinction risk. A multivariate 228 model including behavioural traits alone explains 7% of the variance in threat status. A full multivariate 229 model including all predictors is significantly better supported than one excluding behavioural traits (delta 230 AIC = 36) although the improvement in explanatory power is small (R² excluding versus including behaviour 231 = 0.49 versus 0.51 respectively). Behavioural traits receiving strong support for inclusion in the full 232 multivariate model (delta AIC >2) were mating behaviour (monogamous or polygamous mating) and 233 migration (Fig. 2). Behavioural traits receiving weak or no support for inclusion in the model (delta AIC <2) 234 were foraging mode, breeding system, territoriality, nest placement and clutch size. The effects of behaviour 235 were similar regardless of whether we conducted our analysis across all birds, only terrestrial species (Fig. 236 S1a) or those restricted to forests (Fig. S1b). This is perhaps not surprising, given that forest dependent species 237 comprise more than half of all birds. We note, however, that the role of behaviour in predicting threat does 238 depend on the way in which threat is defined. Specifically, while behaviour is a significant predictor of 239 whether a species is threatened or not (delta AIC = 36), it does not predict the level of threat (i.e. whether a 240 species is Vulnerable versus Endangered, Critically endangered or Extinct) (delta AIC = -15, Fig. S2). 241 Some behavioural traits were unrelated to threat, regardless of whether they were considered in

Some behavioural traits were unrelated to threat, regardless of whether they were considered in isolation or in the full multivariate model. In particular, we found no effect of nest placement or breeding system in our models (Fig. 3, Table S1). In other cases, threat exhibited significant associations with behaviour, but with effects that varied depending on whether we accounted for other putative drivers of extinction risk (Fig. 3a, Table S1). In the case of foraging behaviour, we find that the incidence of threat varies substantially across foraging categories. For instance, >30% species that feed either by diving or by aerial attacks in aquatic habitats are threatened compared to <10% species that are foraging generalists or bark probing specialists in terrestrial habitats (Fig. 3a). However, our full multivariate model shows that most of this variation in the

incidence of threat is driven by covariation between foraging behaviours, ecological traits and exposure to
human impacts (Fig. 4). In particular, species feeding at sea and with large body size are more threatened than
land-based and small bodied species (Fig. S3). Having accounted for these confounding variables, only species
feeding by aerial attacks in aquatic habitats have significantly higher levels of threat (i.e. an example of an
'enhanced' effect) (Fig. 4, Table S1). A similar effect was also found for clutch size (Table S1). While the
incidence of threat declines with increasing clutch size, this association is not significantly supported when

accounting for confounding variables in the full multivariate model (Table S1).

256 Mating behaviour provides a possible example of a 'masking' effect. When tested in isolation, we 257 found that polygamous species are no more likely to be threatened than monogamous species (Fig. 3b, Table 258 S1). However, after accounting for the confounding effects of other predictors in the full multivariate model, 259 we found that the probability of being threatened is significantly higher among polygamous than 260 monogamous species (Fig. 4, Table S1). This effect of mating behaviour is masked when considered in 261 isolation because polygamous species on average have a smaller body size than monogamous species, and this 262 smaller body size nullifies the effect of mating behaviour on threat (Fig. S3). This suggests that polygamy may 263 enhance the risk of extinction but that its effects may have been masked due to covariation with other factors 264 that decrease extinction risk.

265 In a univariate model, we found that proportionately fewer migrants are threatened compared to 266 partial migrants or sedentary species (Fig. 3c, Table S1). This may suggest that migration, or perhaps 267 associated greater vagility, buffers species from extinction. However, in our full model we found the opposite 268 effect of migration on the likelihood of being threatened, whereby migrants are more likely to be threatened 269 than sedentary species (Fig. 4). These contrasting findings arise because migratory tendency is strongly 270 correlated with range size, with migrants have larger breeding ranges on average than sedentary species (Fig. 271 S3), and thus a lower incidence of threat. However, having statistically accounted for the negative effects of 272 range size on threat, migrants are more likely to be threatened than sedentary species (Fig. 4, Table S1). This 273 suggests that undertaking long distance migration makes species more at risk of extinction but that this is 274 unable to overcome the effects of other covarying factors that instead lead to a higher prevalence of threat 275 among sedentary species (i.e. an example of a 'reversed' effect).

277 Discussion

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279 We have shown that global-scale ecological and behavioural datasets predict variation in IUCN Red List 280 status of birds, but that these relationships are largely explained by underlying correlations with well-281 established macroecological variables. Some behavioural traits were only significant predictors when 282 behaviour was analysed independently, becoming non-significant when correlations with factors such as body 283 size, geographical range size and human impacts were included. Conversely, other behavioural traits were not 284 significant predictors in behaviour-only models, and their effect was only evident when socio-economic and 285 biogeographic variables were included. These findings are consistent with previous reports that most 286 ecological and behavioural traits have relatively weak associations with conservation status when 287 incorporated into regional or global models as a species-level trait (Lee and Jetz 2011, Newbold et al. 2015, 288 Keinath et al. 2017). However, although we find little evidence that the recent expansion of behavioural 289 datasets can contribute substantially to refining conservation strategies at these wider scales, our results also 290 show that behavioural traits act as modifiers that can improve explanatory power in conservation assessments

and other predictive exercises.

292 The traits with strongest influence on conservation status were foraging strategy, mating behaviour 293 and migration. Even in these cases, we found that significant relationships between behaviour and 294 conservation status were only detected for certain strategies. For example, bird species foraging by diving 295 from air to water were significantly more threatened than otherwise predicted. Moreover, a number of 296 species-level behaviours, including variation in breeding system, territoriality, and nest placement, had little 297 predictive power in explaining variation in IUCN Red List status regardless of how they were entered into 298 models. This does not necessarily indicate that such factors are unimportant to conservation, as it is well 299 known that they play a role in some contexts (e.g. nest design and placement has important implications for 300 predation risk in modified landscapes; Wilcove 1985). However, our models show that these effects are minor 301 and often overwhelmed by other non-behavioural factors at global scales.

302 These results do not support the integration of behaviour into global conservation assessment 303 frameworks, including the IUCN Red List criteria. However, the accuracy of Red List assessments might be 304 improved by using life history and behaviour to scale terms in the criteria which are difficult to assess or 305 define, such as "number of mature individuals" and "future rate of decline" (IUCN 2001). These factors are 306 typically judged with a large dose of guesswork (see Tobias & Seddon 2002, Tobias & Brightsmith 2007). 307 Guidelines on how to scale judgements in relation to ecological and behavioural factors such as mating 308 systems, sex ratios, reproductive rate and predation pressure could be useful in fine-tuning predictions of 309 "number of mature individuals" and "future rate of decline". Moreover, for Red List assessors considering 310 what constitutes "severe fragmentation", future versions of the criteria may be improved with guidelines on 311 how best to account for dispersal ability, gap-crossing ability and ecological specialism.

312

313 (a) Challenges

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Our findings highlight one of the key challenges of applying behavioural data over larger spatial and taxonomic scales, namely that behavioural traits can have a major influence in particular species or contexts, yet only reduced effect in global analyses. This occurs for two main reasons. First, behavioural traits are inherently flexible within and between individuals and therefore poorly represented by averaging across entire species or populations. Second, behaviour is often not consistently or independently associated with extinction risk in the same way as, for example, low population size, small geographic range and slow reproductive output (Cardillo 2005, Lee and Jetz 2011).

322 This point can be illustrated by year-round territoriality, a system of resource defence most 323 widespread in tropical birds (Tobias et al. 2016). Intense year-round territorial behaviour can increase the risk 324 of extinction in some contexts, such as mountaintop species driven to extinction through costly agonistic 325 interactions with lower elevation replacements moving upslope in response to climatic warming (Jankowski et 326 al. 2011, Freeman et al. 2018). The costs of territoriality are asymmetric, producing both lower-elevation 327 winners and upper-elevation losers. Moreover, the pattern of non-overlapping elevational ranges for highly 328 territorial species holds largely true for some species pairs and localities (Freeman et al. 2019), but not others 329 (Boyce & Martin 2019), particularly in lowland systems where species do not tend to occupy rare climatic 330 niches or to share parapatric range boundaries with close ecological competitors. Given that the relationship 331 between territoriality and extinction risk is bidirectional and context-dependent, it makes sense that we find 332 no overall link between territoriality and IUCN Red List status.

An important viewpoint to bear in mind is that the models presented here treat behaviour as an
 independent species-level trait whereas the influence of behaviour is often dependent on inter-relationships

335 among species. Staying with the example of territoriality, the key factor is not so much whether a particular 336 species aggressively defends territories year-round, but whether it directly competes with a closely related 337 taxon that does the same. Thus, future versions of global models or associated conservation assessments 338 should consider scoring behavioural interactions rather than behaviour per se. Advancing towards this goal is 339 particularly urgent given that species interactions are sensitive to environmental effects. Both climate and 340 land-use change can potentially influence the behavior of multiple interacting species, as well as their 341 phenology, physiology and relative abundance, and we ideally need to quantify a range of behavioural 342 interactions and responses to understand how environmental changes affect interaction-based ecosystems 343 (Tylianakis et al., 2008). Again, the key challenge is that the role of behavior in heterotrophic systems can be 344 complex and highly flexible (Ness & Bressmer 2005), creating difficulties for multi-species models. 345 Nonetheless, we may improve predictions by incorporating behaviour in more sophisticated ways using 346 interaction-based models, starting at local scales and expanding to larger scale ecological networks when data 347 become available.

348 A related point is that, although we have largely focused on how particular behaviours may influence 349 extinction risk, such factors may yet prove to be less important than behavioural flexibility itself (Sol et al. 350 2016). Individual organisms with the ability to modify their behaviour through adaptability (i.e. plasticity) 351 may be better able to survive when confronted with novel environmental conditions and selection pressures 352 imposed by anthropogenic change. Defining and developing general indices of behavioural flexibility and 353 innovation remains a challenge (Audet & Lefebvre 2017), but may nevertheless be broadly predictable by 354 morphometric traits that are increasingly available at large scales (Sol et al. 2005). For instance, differences in 355 relative brain size across species is positively associated with rates of behavioural innovation in birds, an effect 356 that may explain the apparently greater success of large brained species in colonising and persisting in more 357 unpredictable environments (Sayol et al. 2006, Sol et al. 2008), including cities, the most highly altered of 358 human environments (i.e. the 'cognitive buffer' hypothesis) (Sol et al. 2013).

359

360 (b) Opportunities

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Although they extend the number of behavioural traits compiled across a major global radiation, our analyses are limited by the patchy availability of trait datasets and thus remain highly incomplete (figure 1). A major omission is dispersal behaviour, which we only include as a simple score of migration. Dispersal has long been considered relevant to the conservation of fragmented populations and the optimum design of reserve networks (Caro 1999). However, despite the likely importance of dispersal to understanding biodiversity responses to habitat loss and fragmentation, most broad-scale models (e.g. Newbold et al. 2013, Bregman et al. 2014) lack estimates of dispersal behaviour simply because they are generally not available as a standardised

369 organismal trait at macroecological scales. This problem may be addressed by the fast-moving field of

370 movement ecology, with GPS trackers and loggers deployed over increasing numbers of species (Kays et al.

2015), and data compilation accelerated by new satellite tracking systems, such

372 as ICARUS (<u>https://icarusinitiative.org</u>). Given that it could take decades for these technological innovations

373 to generate comprehensive dispersal estimates across major taxonomic groups, one potential stopgap solution

is to use morphometric indices of dispersal or flight ability. Dispersal indices, such as hand-wing index in

375 birds, can be estimated by measuring museum specimens to provide a fuller picture of spatial ecology and

376 movement behaviour across multiple species in macroecological analyses (e.g. Pigot & Tobias 2015) and

377 comparative studies of anthropogenic threats (e.g. Thaxter et al. 2017). Such indices, along with further

missing data on factors such as reproductive rate and sensitivity to disturbance (figure 1) should be compiledand applied to conservation assessments at global scales.

380 Another area where behavioural indices may prove useful is ecological forecasting. At present, 381 dispersal is usually ignored in global range shift models, or only included on the basis of extremely crude 382 metrics, such as geographical range size (e.g. Hof et al. 2018). Similarly, species interactions are difficult to 383 quantify and, while most range shift forecasting models acknowledge the limitation, they are generally not 384 included in analyses. Future models should explore the possibility of estimating the strength of species 385 interactions using either pairwise morphometric trait divergence or scores of territorial behaviour, both of 386 which have been shown to limit geographical range overlap in pairs of avian sister species (Pigot & Tobias 387 2013, Freeman et al. 2019). Theoretically, suites of behavioural traits and associated morphometric indices can 388 be incorporated into species distribution modelling in much the same way proposed for detailed physiological 389 traits (Chown 2012).

390 The associations we detect between behaviour and conservation status (figure 3) suggests that future 391 research could use similar techniques to identify "threatened behaviours" or suites of behaviours. Using 392 global analyses to look beyond species conservation and instead to identify behaviours that are rare or 393 declining might be a useful step towards targeting conservation action towards maintaining behavioural trait 394 diversity. Similarly, the completion of rich behavioural trait datasets for entire taxonomic groups would pave 395 the way towards multi-dimensional community-based analyses of behavioural diversity (BD) metrics, 396 adopting methods from the functional diversity (FD) literature (Petchey & Gaston 2002, Villéger et al. 2008). 397 Setting strategic conservation priorities based on rare behaviours or BD may have important implications for 398 ecosystem function, particularly when focusing on behavioural traits linked to key ecological processes, such 399 as trophic interactions (pollination, seed dispersal, etc.). In addition, there are opportunities for including 400 behaviours in models designed to pinpoint likely future shifts in conservation status by estimating latent 401 extinction risk (Cardillo et al. 2006). The way these models work is to predict threat status for any taxon based 402 on a wide range of attributes and then compare predictions with their observed threat status, thus flagging up 403 any species currently 'flying under the radar' (i.e. likely more threated, and thus a higher conservation 404 priority, than indicated by their current conservation status). 405

406 (c) Conclusions

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408 Over recent years, there have been repeated calls for behavioural ecologists to increase their focus on 409 conservation, not least because their study organisms are being driven to extinction by anthropogenic change 410 (Caro & Sherman 2011). Previous authors have suggested that bridging the gulf between these fields might be 411 achieved by applying the experimental or mechanistic approaches predominant in behavioural ecology to 412 conservation research (Linklater 2004), or else returning to more descriptive forms of behavioural ecology 413 potentially relevant to conservation (Caro 2007). However, neither of these approaches are exactly suited to 414 the needs of global conservation assessments which call for simple standardised classifications of basic 415 behavioural traits at ambitious scales, including natural history observations and morphometric 416 measurements. Our analyses show how broad-scale behavioural classifications are now within reach for some 417 major taxa, highlighting the need for continued sampling of basic descriptive information for massive samples 418 of species and pointing the way forward to a deeper integration of the resultant datasets into conservation

419 assessments at the scale of clades, communities and ecosystems.

421 Additional Information

422	Data Accessibility
423	Most datasets used in the analyses are openly available in published sources cited in the methods. Where we
424	have used primary data these are provided in the Supplementary Material.
425	
426	Authors' Contributions
427	J.A.T and A.L.P developed the concepts and compiled data; A.L.P conducted analyses and produced figures;
428	J.A.T wrote the manuscript with substantial input from A.L.P.
429	
430	Competing Interests
431	We have no competing interests.
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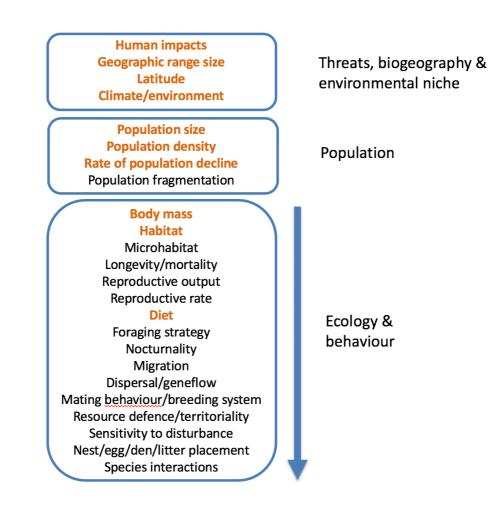
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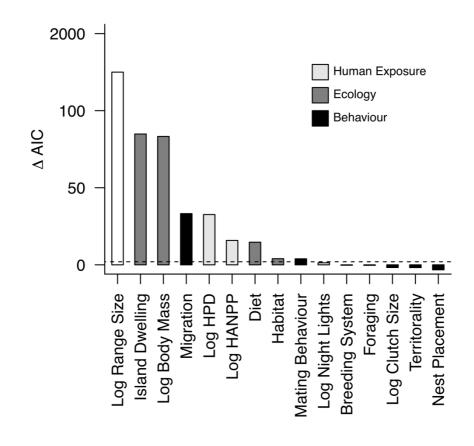


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672 Figure 1. Extrinsic and intrinsic factors associated with extinction risk or conservation status at global scales. 673 Extrinsic factors include anthropogenic threats to species and the biogeographic and environmental context; 674 intrinsic factors include population and ecological niche dimensions. This diagram summarises the types of 675 traits that are either available or desirable when constructing models of conservation risk at macroecological 676 (continental or global) scales; numerous additional factors may impinge on conservation assessments in 677 particular clades or species. Red text indicates datasets currently available for all species in well studied 678 groups like birds. Availability of data is currently biased towards environmental, biogeographical and 679 population attributes, whereas data tend to be unavailable, uncertain or sparse for most ecological variables, 680 and absent for behavioural variables.



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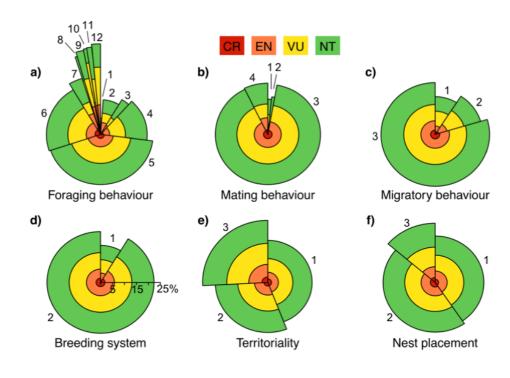
686 **Figure 2.** The relative contribution of anthropogenic, ecological and behavioural variables to explaining threat

687 status. Variable contributions are quantified as the difference in AIC between the full model and a model

688 excluding each variable. Variables are colored according to variable type. The dashed line indicates a

689 difference of 2 AIC units indicating strong support for variable inclusion.

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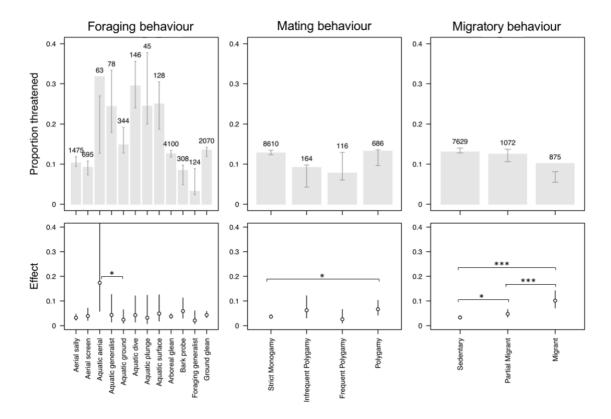




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697 Figure 3. The % of threatened species in different behavioural syndromes: a) Foraging behaviour (1 Foraging 698 generalist, 2 Aerial screen, 3 Bark glean, 4 Aerial sally, 5 Arboreal glean, 6 Ground forage, 7 Aquatic ground, 8 699 Aquatic plunge, 9 Aquatic surface, 10 Aquatic aerial, 11 Aquatic generalist, 12 Aquatic dive), b) Mating 700 behaviour (1 Monogamy with infrequent polygyny, 2 Monogamy with frequent polygyny, 3 Monogamy, 4 701 Polygyny), c) Migratory behaviour (1 Migrant, 2 Partial migrant, 3 Sedentary), d) Breeding system (1 702 Cooperative, 2 Non-cooperative), e) Territoriality (1 Weak, 2 Strong, 3 None), f) Nest placement (1 Cavity, 2 703 Exposed elevated, 3 Exposed ground). The width of each segment indicates the proportion of all species (N = 704 9576) in each behavioural syndrome. Segment heights indicate the % of species that are threatened in each 705 syndrome. Colours indicate threat level (Critically endangered [CR], Endangered [EN], Vulnerable [VU] and 706 Near Threatened [NT]).





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