

1 **Title:** Gut feelings begin in childhood: how the gut metagenome links to early environment,
2 caregiving, and behavior

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30

31 **ABSTRACT**

32
33 Psychosocial environments impact normative behavioral development in children, increasing the
34 risk of problem behaviors and psychiatric disorders across the lifespan. Converging evidence
35 demonstrates early normative development is affected by the gut microbiome, which itself can be
36 altered by early psychosocial environments. Nevertheless, these relationships are poorly
37 understood in childhood, particularly beyond peri- and postnatal microbial colonization. To
38 determine the gut microbiome's role in the associations between childhood adversity and
39 behavioral development, we conducted a metagenomic investigation among cross-sectional
40 sample of early school-aged children with a range of adverse experiences and caregiver stressors
41 and relationships. Our results indicate that the taxonomic and functional composition of the gut
42 microbiome links to behavioral dysregulation during a critical period of child development.
43 Furthermore, our analysis reveals that both socioeconomic risk exposure and child behaviors
44 associate with the relative abundances of specific taxa (e.g., *Bacteroides* and *Bifidobacterium*
45 species) as well as functional modules encoded in their genomes (e.g., monoamine metabolism)
46 that have been linked to cognition and health. We also identified heretofore novel linkages
47 between gut microbiota, their functions, and behavior. These findings hold important
48 translational implications for developmental psychology and microbiome sciences alike, as they
49 suggest that caregiver behavior might mitigate the impact of socioeconomic risk on the
50 microbiome and modify the relationship between subclinical symptoms of behavioral
51 dysregulation and the gut microbiome in early school-aged children.

52
53 **INTRODUCTION**

54
55 Childhood is a formative period of behavioral development that can influence the
56 trajectory of psychiatric disorders and problem behaviors across the lifespan (1). Research has
57 recently clarified the profound impact that a child's economic, social, and caregiving
58 environment plays in determining such outcomes (2, 3). For example, exposure to environmental
59 risks early in life, such as growing up under low socioeconomic status (e.g., low income to needs
60 ratio) or experiencing high family disruption and turmoil, can increase the risk for children to
61 develop psychiatric disorders and associated problem behaviors (4). Caregivers, however, are
62 one of the most proximal influences on and predictors of child wellbeing, and can modify how
63 socioeconomic risk environments impact the child's neurobiological and behavioral development
64 (5). Across species, caregivers serve to protect their offsprings' developing biology from
65 environmental stressors and modify childhood behavioral response to adverse economic and
66 social environments (3). Responsive and predictable caregiver behaviors are linked to improved
67 child outcomes (6). Conversely, negative caregiver behaviors, such as perceived parental stress
68 or disrupted parent-child relationships, can leave children more vulnerable to biological
69 perturbations and behavioral dysregulation problems (7). Identifying early risk factors or
70 correlates of childhood behavioral dysregulation is particularly important given that childhood is
71 a time when mental health symptoms begin to emerge.

72 Ongoing research is focusing on understanding the underlying mechanisms by which
73 adverse environments and caregiving behaviors (both positive and negative) influence a child's
74 behavioral development. Such research demonstrates that these factors can alter the
75 developmental trajectory of central, autonomic and peripheral nervous systems function (8).
76 These efforts have helped to influence not only the design of subsequent interventions (9), but
77 also policy and practice (10).

78 Similarly, emerging research indicates that the gut microbiome may play a critical role in
79 determining how a child's environment ultimately impacts both their neurobiological function
80 and mental health outcomes (11). The gut microbiome (hereafter "microbiome") is the
81 community of microbes that reside within the gastrointestinal tract and may be a key, yet
82 relatively under-studied driver of neurobiological and behavioral development. Animal
83 experiments demonstrate that the microbiome communicates with the central nervous system to
84 influence social, explorative, and affective behavior through several pathways, including
85 neuroendocrine and immune system coordination, vagal nerve stimulation, and neurotransmitter
86 metabolism [see (12) for review of mechanisms]. These successional dynamics of the
87 microbiome's colonization are increasingly understood to interact with and shape the trajectory
88 of neurobiological development (13). However, limited research has investigated the
89 microbiome's relationship to behavioral dysregulation and to key environments influences such
90 as socioeconomic risk and caregiver behaviors during childhood (14–16).

91 Although we understand substantially less about the microbiome's relationship with
92 behavioral dysregulation early in life in humans, recent work links the composition of the
93 microbiome to infant and toddler behaviors, such as surgency/extroversion, fear (15), and
94 cognitive development (16). In addition, preliminary evidence from human studies of autism
95 spectrum disorder suggests that the microbiome continues to play an active role in behavioral
96 development following the first few years of initial gut colonization (17). It remains unclear if
97 the microbiome associates with other forms of behavioral dysregulation and if it links to the
98 onset of psychiatric disorders and problem behaviors. Understanding the link between the gut
99 microbiome and subclinical behavioral dysregulation is particularly important given that
100 normative behavior and behavioral disruptions develop throughout childhood, and that this
101 period of development offers opportunities to intervene and treat disorders as they emerge.

102 Extensive evidence points to the microbiome's sensitivity to psychosocial environments
103 (18). Socioeconomic risk as well as caregiving behaviors during the initial programming of the
104 microbiome can lead to long term changes in the microbiome and symptoms of behavioral
105 dysregulation. For example, rodent pups that experienced an early life stressor of low resources,
106 a model designed to mimic low socioeconomic status (SES), exhibited altered microbial
107 compositions, increased intestinal permeability, and increased anxiety-like behaviors in
108 adulthood relative to controls (19). Similarly, human adults from lower SES backgrounds
109 exhibited lower microbial diversity (20).

110 Ample evidence suggests that caregiver behaviors influence the development of the
111 microbiome and may modify how adverse environments impact the microbiome and subsequent
112 childhood outcomes. In both humans and primates, prenatal physiological stress and a negative
113 mother-infant relationship appear to reduce the level of Bifidobacteria and Lactobacilli in the
114 infant's microbiome (21, 22). Similarly, rodent pups exposed to repeated, prolonged maternal
115 separation experience altered gut microbial profiles and increased intestinal permeability
116 following social stressors in adulthood (23). The role of socioeconomic risk and caregiver
117 behaviors on the developing microbiome remains notably understudied, and it is unclear if these
118 relationships remain beyond the first few years of life.

119 Based on this prior research, we undertook an investigation of the microbiome's link to
120 socioeconomic risk, caregiving behaviors (both positive and negative), and child behavioral
121 dysregulation. The goal of this study was to determine if and how the microbiome relates to
122 environmental factors and behavioral dysregulation symptoms in early school-age children (4-7
123 years old; See Supplemental Table 1 for all sample metadata). Most studies of the microbiome's

124 relationship with behavioral dysregulation leverage 16S rRNA gene sequencing to determine
125 how the taxonomic composition of the microbiome relates to environmental factors or behavioral
126 problems and/or mental health outcomes in other populations (16). While this technique offers
127 powerful insight into the kinds of taxa that constitute the microbiome, it offers no direct
128 information about the functional mechanisms that they may utilize to respond to environmental
129 factors or physiology.

130 In contrast, the approach employed in the present study, a technique known as shotgun
131 metagenomics (24), alternatively involves simultaneously sequencing the genomes of taxa that
132 compose the microbiome. In so doing, it offers insight not only into who resides in the gut, but
133 also clarifies which functional pathways are encoded in their genomes. We generated shotgun
134 metagenomic data from a cohort of children and determined how both the microbial taxa and the
135 specific genetic functions they encode associate with subclinical child behavioral dysregulation
136 symptoms (hereafter “behavioral dysregulation”), socioeconomic risk, and caregiver behaviors.
137 We first tested if concurrent socioeconomic status influenced the child microbiome and whether
138 self-reported parental behaviors statistically interacted with this association to explain additional
139 variance. In addition, we examined how the child microbiome is associated with parent-reported
140 child internalizing and externalizing behaviors, and whether caregiver behavior statistically
141 moderated this association. Finally, we investigated if there were specific microbial taxa and
142 metabolic pathways associated with different metrics of socioeconomic risk and child behavioral
143 dysregulation. To our knowledge, this is the first study to assess the linkage between the
144 microbiome, a child’s environment, and behavioral dysregulation symptoms during the 4-7 year
145 old age range of formative behavioral and biological development. In so doing, this study reveals
146 that exogenous factors including parental behavior impact the gut microbiome during the first
147 few years of life, and that the microbiome indicates behavioral dysregulation, even at subclinical
148 thresholds.

149 **RESULTS**

150
151
152 In order to measure the microbiome, we collected stool from 40 children from a mid-size
153 city in the Pacific Northwest that were already participating in a larger study (25). Parents of the
154 children filled out questionnaires regarding five covariate categories: socioeconomic risk,
155 behavioral dysregulation, caregiver behavior, demography, and gut-related history (i.e., factors
156 known to influence microbiome composition such as antibiotic use). DNA was extracted from
157 the fecal samples, sequencing libraries were prepared, and shotgun metagenomic sequencing was
158 conducted according to standard protocols (see Methods). Unique metagenomic sequences were
159 assigned, if possible, to the bacterial species level which resulted in 213 unique taxon
160 assignments after quality control. Using these assignments, we estimated the taxonomic
161 composition of the microbiome. Sequences were also assigned to molecular functional groups
162 using the Kyoto Encyclopedia of Genes and Genomes (KEGG) database. These assignments are
163 referred to as KEGG orthologs (KOs), and represent individual functions within larger genomic
164 modules, which are components of functional pathways. The sequence set was assigned to
165 13,183 unique KOs, after quality control. Using these taxonomic and functional assignments, we
166 constructed community tables (matrices of taxon or KO relative abundances by sample) to test
167 associations between the microbiome and our covariates of interest in a statistically rigorous
168 manner (see Methods and Supplemental Methods for specific details regarding participants,
169 sample collection, molecular methods, and sequence analysis).

170 Because the questionnaires filled out by parents encompassed more potential covariates
171 (52) than microbiome samples ($n = 40$), we began our analysis by selecting the covariates within
172 each covariate category that explained a statistically significant amount of variance in the
173 microbiome composition between samples (see Methods). This covariate selection process
174 returned an identical set of ten covariates for both taxonomic and functional composition (See
175 Table 1). In order to test our hypotheses that socioeconomic risk, behavioral dysregulation, and
176 caregiver behavior covariates significantly associate with the composition of the microbiome, we
177 utilized a constrained correspondence analysis (CCA) to create ordinations. This method is
178 particularly appropriate for our study design because it accounts for the variance in the
179 microbiome explained by factors that prior research indicates may have a strong effect on the
180 composition of the microbiome, but which are not the direct focus of this research (i.e.,
181 demography and gut-related history). We then ran a permutational analysis of variance
182 (PERMANOVA) on the remaining, unexplained variance to test the significance of the
183 relationships between covariates and the composition of the microbiome. Selected covariates
184 within each category (e.g., demography, gut-related history, child dysregulation behaviors,
185 socioeconomic risk, and caregiver behavior) were determined by the *envfit* model. For each set
186 of covariates, we tested their association with both the taxonomic (species) and functional (KO)
187 composition of the microbiome.

188 189 Microbiome Composition, Socioeconomic Risk, and Caregiver Behavior

190
191 We first examined whether metrics of socioeconomic risk and caregiver behavior
192 significantly explain the observed variance in overall microbiome diversity and composition. In
193 addition, we investigated whether these associations manifested at the level of the taxonomic
194 identities of the microbiome constituents or the functional potential of the metagenome. We
195 started by testing the associations between the taxonomic composition of the microbiome and the
196 selected socioeconomic risk and caregiver behavior covariates. To maximize scientific rigor, we
197 constructed a CCA model, which is based on a Euclidian distance, that first accounted for the
198 selected demography (child ethnicity) and the selected gut-linked covariates (previously shown
199 to influence gut [25–28]; geographic location) by determining the amount of variance explained.
200 These two demography and gut history covariates accounted for 19.9% of the total variance in
201 taxonomic composition. The socioeconomic risk and caregiver behavior covariates that remained
202 in the best model (according to Akaike Information Criterion) explained a further 18.5% of the
203 variance, leaving 61.6% of the variance unexplained. A PERMANOVA test on this CCA model
204 revealed a single significant association. Specifically, the taxonomic composition of the gut
205 microbiome taxonomic was associated with the selected socioeconomic risk covariate (number
206 of family turmoil associated life events; $F = 1.61$, $p = 0.0094$; Fig 1A, Supplemental Table 2).
207 Additionally, the selection of the best model included an interaction between Poverty Events
208 (number of poverty-associated life events) and Parent-Child Dysfunction (parenting stress index
209 subscale), but after controlling for gut-history and demography covariates, it was not significant
210 ($F = 1.39$, $p = 0.073$).

211 As noted previously, the metagenomic (as opposed to amplicon-based) methodology we
212 employed made it possible to test the associations between socioeconomic risk, caregiver
213 behavior, and the *functional composition* of the microbiome. As in the prior analyses, we set the
214 two demography and gut-related history covariates as conditional variables, which explained
215 25.7% of the total variance in functional composition. The socioeconomic risk and caregiver

216 behavior covariates that remained in the best model accounted for 28.5% of the total variance in
217 functional composition, while 45.8% remained unexplained. A PERMANOVA test on this
218 model found that the caregiver covariate Parent-Child Dysfunction significantly interacted with
219 both Turmoil Events ($F = 2.51, p = 0.0097$; Fig 1C) and Income to Needs Ratio (measure of
220 poverty; $F = 1.86, p = 0.041$; Fig 1D, Supplemental Table 3). These results provide evidence
221 that, in terms of the microbiome's functional potential, caregiver behavior can moderate the
222 associations between socioeconomic risk covariates and the microbiome.

223

224 Microbiome Composition, Behavioral dysregulation, and Caregiver Behavior

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226 In order to address our second question, whether metrics of behavioral dysregulation and
227 caregiver behavior significantly explain the observed variance in overall microbiome diversity
228 and composition, we applied the same analysis pipeline as above, substituting the selected child
229 behavioral dysregulation symptom covariates for the socioeconomic risk covariates. The analysis
230 of the taxonomic composition of the microbiome revealed no significant associations
231 (Supplemental Table 4).

232 For the functional composition of the microbiome, we again found that the caregiver
233 behavior covariate Parent-Child Dysfunction significantly interacted with two child behavioral
234 dysregulation symptom covariates: depression (Depressive Problems; $F = 2.69, p = 0.0079$; Fig.
235 2A) and ability to inhibit impulses (Inhibitory Control; $F = 2.18, p = 0.038$; Fig. 2B,
236 Supplemental Table 5). Again, these results provide evidence that the microbiome is associated
237 with certain behavioral dysregulation, and that caregiver behavior may moderate these
238 associations. For this particular study sample, however, the evidence suggests that it is the
239 composition of functional groups within the microbiome, more so than the taxonomic
240 composition of the microbiome, which correlates with behavioral dysregulation and caregiver
241 behavior.

242

243 Individual Taxa, KOs and Socioeconomic Risk, Child Behavioral Dysregulation Symptom 244 Covariates

245

246 The above analyses assessed covariates of the overall composition and diversity of the
247 gut microbiome. To obtain a finer resolution on the interactions between the gut microbiome,
248 socioeconomic risk, and behavioral dysregulation, we employed a pairwise compound Poisson
249 generalized linear models (CPGLM) to regress a specific taxon or KO relative abundance in the
250 gut against each socioeconomic risk or behavioral dysregulation covariate. A comprehensive set
251 of results of the pairwise relationships that maintained significance after false discovery rate
252 (FDR) correction can be found in Supplemental Tables 6 & 7. Briefly, we found 67 significant
253 pairwise relationships between covariates and taxa identified at the species level (48 for
254 behavioral dysregulation, 19 for socioeconomic risk covariates; Fig. 3). For these taxon-covariate
255 relationships, we found numerous associations involving butyrate-producing bacteria, and
256 specific taxa of particular interest, including *Bacteroides fragilis* and *B. thetaiotaomicron*, which
257 have demonstrated anti-inflammatory effects in mice and humans (30). We found significant
258 relationships between 7 socioeconomic risk and 13 child behavioral dysregulation symptom
259 covariates and 695 functions defined at the KO level. Of these 695 pairwise results, 94 KOs were
260 grouped within defined metabolic modules (Fig. 4). Consistent with prior studies, for the KO-
261 covariate relationships we found numerous associations involving monoamine metabolism

262 (including tryptophan, tyrosine, glutamate, and leucine) and inter-microbe antagonism (type VI
263 secretion systems and lipopeptide antibiotics).

264

265 **DISCUSSION**

266

267 The present study provides novel insights into the relationship between the gut
268 microbiome and both the psychosocial environment and behavioral dysregulation in a cross-
269 sectional sample of early school-aged children (Figure 5). Furthermore, this is the first study to
270 assess if caregiving behaviors (i.e., perceived parental stress) can statistically modify the child's
271 gut microbiome's association with their level socioeconomic risk exposure and behavioral
272 dysregulation. As such, if replicated, the work provides a potentially new avenue of research into
273 the mechanisms of behavioral intervention in future research. These results provide supportive
274 evidence that the psychosocial environment continues to shape not only the taxonomic
275 composition, but also the functional potential of the microbiome beyond initial gut microbial
276 colonization that occurs in the perinatal period. Notably, the behavioral dysregulation symptoms
277 measured in this study occurred at thresholds not necessarily indicative of psychiatric disorders
278 of childhood. That these relationships were observed at subclinical levels of behavioral
279 dysregulation symptoms suggests that the microbiome may play a role in the emergence of
280 dysregulated behavior (i.e., providing early associative relationships prior to reaching clinical
281 thresholds), rather than simply being present in clinical populations.

282 Importantly, this study also documented that the quality of the caregiver-child
283 relationship may moderate the microbiome's association with both socioeconomic risk and
284 behavioral dysregulation. Because our study relied on correlational methods, it is possible that is
285 in fact the socioeconomic risk and the behavioral dysregulation symptoms that are moderating
286 the association between the microbiome and caregiver behavior. However, given that none of the
287 statistical tests support a significant main effect of caregiver behavior, but there is at least one
288 significant association between both socioeconomic risk and behavioral dysregulation symptoms
289 and the microbiome, it is plausible to conclude that the caregiver-child relationship may
290 moderate the microbiome's association with the other covariate groups rather than the other way
291 around. That said, future work should seek to disentangle these relationships.

292 These findings have important implications for developmental psychological and
293 developmental microbiome sciences alike, suggesting that the microbiome may be a pathway by
294 which caregiver behavior may mitigate the impact of socioeconomic risk and influence early
295 school-aged child outcomes. Caregivers may influence the microbiome in childhood through
296 reducing or exacerbating their child's experience of psychosocial stress. For example, increased
297 parental stress that results from reduced economic or social support may in turn increase the
298 child's stress. Conversely, supportive parenting can reduce a child's physiological and perceived
299 stress, which may protect the microbiome from perturbations related to the physiology of stress.
300 Furthermore, the metrics of caregiver behavior assessed in this study may be correlated with
301 other symptoms of behavioral dysregulation, such as the home environment and diet of the child
302 that may alter the composition and diversity of the gut microbiome (31, 32). Alteration of the
303 child microbiome through the home environment and diet may in turn influence the level of
304 physiological stress response in the child.

305 While we detected a significant association between parent-reported family turmoil and
306 the taxonomic composition of the microbiome, we cannot conclude that caregiver behavior
307 moderates this association, as there was no significant interaction between these variables. Due
308 to our sample size, we cannot rule out that this study may have been underpowered to detect this

309 relationship. However, as shown in Figures 1B & C (Supplemental Table 3), in terms of the
310 functional composition of the microbiome, there were significant interactions between parent-
311 reported parent-child dysfunction and two metrics of socioeconomic risk: income-to-needs ratio
312 and family turmoil. Therefore, we found supportive evidence that the dysfunctionality of the
313 parent-child relationship moderates the nature of the relationship between both economic and
314 social forms of adversity and the functional potential of the gut microbiome of a child. This
315 underscores the potential for caregivers to mitigate the impact of socioeconomic risk exposure on
316 the developing gut microbiome. One mechanism by which socioeconomic risk may influence the
317 microbiome is by exposing the child to different environmental microbes. For example, for
318 modernized urban populations, there is evidence that greater socioeconomic status affords people
319 the ability to travel away from human-dominated environments and gain exposure to microbe
320 associated with the natural environment. Such differences in microbial exposure in early
321 development associate with different profiles of immune function (33). Furthermore, adverse
322 postnatal environments that are often comorbid with socioeconomic risk, such as frequent
323 antibiotic use or toxin exposure, associate with altered microbial composition and intestinal
324 permeability (34, 35). Future work should build upon these findings to test if the microbiome
325 may serve as a mechanism by which economic and social adversity (socioeconomic risk)
326 influences behavioral dysregulation.

327 When we tested whether the relationship between the gut microbiome and behavioral
328 dysregulation depended on the parent-child relationship, our analyses only found significant
329 interactions for the functional potential of the microbiome (Fig. 2A & B; Supplemental Table 5).
330 In this case, the nature of the relationships between the functional microbiome and two
331 behavioral dysregulation -- depressive problems and inhibitory control -- were modified by the
332 quality of the parent-child relationship. This is consistent with prior literature that indicates
333 behavioral dysregulation in childhood spans internalizing and externalizing dimensions (36).
334 Again, the lack of any significant behavioral dysregulation for the taxonomic microbiome may
335 indicate either that this study is underpowered at the taxonomic level or that these relationships
336 are more dependent on the metabolic capabilities of the whole microbiome rather than attributes
337 associated with specific taxa. In either case, it suggests that intervening to improve the parent-
338 child relationship may influence the functional potential of the microbiome more strongly than
339 its taxonomic composition. One proposed way that humans mothers may help regulate the gut-
340 brain-microbiota axis is through skin-to-skin contact, particularly with high-risk infants (i.e.,
341 preterm; [31]. Future work should seek to tease apart the mechanisms by which parenting
342 behaviors may influence the microbiome in later periods of development.

343 In addition to assessing the relationship between the selected covariates and the
344 microbiome as a whole, we sought to understand such relationships at a finer scale of resolution.
345 Therefore, we conducted pairwise comparisons between covariate scores and the abundances of
346 each taxon and KO to determine whether there were specific relationships between
347 socioeconomic risk or behavioral dysregulation and specific taxa or functions found to be
348 important in previous studies (Figs. 3 & 4, Supplemental Tables 6 & 7). The taxon that
349 associated with the greatest number of socioeconomic risk and behavioral dysregulation
350 covariates was *Bacteroides fragilis*. Interestingly, *B. fragilis* associated with reduced levels of
351 aggression, anxiety, emotional reactivity, externalizing behavior, impulsivity, and an increase in
352 inhibitory control (i.e., better mental health). It was also associated with lower reported incidents
353 of family turmoil. *B. fragilis* has been shown, in mice, to modulate the mammalian immune
354 system and protect against pathogen-induced inflammation, specifically through the production

355 of polysaccharide A (30, 37). *B. thetaiotaomicron* has also been shown to have anti-
356 inflammatory effects in the mammalian intestine, and it too associates with decreases in
357 externalizing behavior, as well as the overall score for negative behavioral dysregulation (38).
358 Recent psychological research has provided strong evidence for a relationship between chronic
359 intestinal inflammation and depression/anxiety (39, 40). The inflammation-depression
360 relationship, therefore, is a likely mechanism linking decreases in negative behaviors and the
361 abundance of these anti-inflammatory *Bacteroides* species.

362 Of the taxa that significantly associated with significant socioeconomic risk or behavioral
363 dysregulation, three taxa belong to species containing known butyrate producers. The production
364 of butyrate from plant-derived polysaccharides by the gut microbiome is understood to be an
365 important mechanism through which high-fiber diets promote beneficial health effects. There
366 are, however, only certain taxa that have the ability to produce butyrate (41). Surprisingly, two of
367 the butyrate-producing species in our samples, *Coprococcus comes* and *Eubacterium rectale*,
368 positively associated with elevated anxious-depression and reduced inhibitory control,
369 respectively. This observation defies our expectation given that prior work points to butyrate's
370 important role in maintaining gut health and behavior dysregulation (42). It is possible that these
371 taxa carry other functions that overwhelm the effects of their butyrate production on symptoms
372 of behavioral dysregulation, or that overall butyrate production is reduced even though the
373 relative abundances of these two taxa are high in certain microbiomes, or that butyrate
374 production links to adverse behaviors under some contexts. On the other hand, the third butyrate-
375 producing taxon in our samples, *Roseburia inulinivorans*, associated with a decrease in
376 depressive problems and internalizing behavior. This is consistent with prior literature that
377 suggests that increases in butyrate production improve overall mental health (42). Future work
378 should seek to disentangle butyrate's specific role in mediating behavioral dysregulation and
379 how its production by different taxa or in conjunction with different diets impacts this role.

380 In addition to the significant associations between the selected covariates and specific
381 taxa, our analyses also linked these covariates to specific microbiome functions at the module
382 and KO levels (Fig. 4; Supplemental Table 7). In particular, we found significant associations
383 between a number of covariates and pathways involved in the bacterial Type VI secretion system
384 (T6SS). Research into the psychology of depression has unveiled a possible link between
385 depression/anxiety and chronic low-grade inflammation in the gut, suggesting a role for the
386 microbiome in contributing to such disorders (39, 40). One possible mechanism for generating
387 inflammation is dysbiosis caused by invading pathogens. For example, both *Vibrio* and
388 *Salmonella* species can use the T6SS to attack commensal bacterial species and establish in the
389 vertebrate gut (43, 44). Furthermore, T6SS have been shown to directly generate intestinal
390 inflammation in a mouse model (45). We found that the abundances of three KOs assigned to a
391 T6SS module, as well as a few KOs with possible T6SS homology, significantly associated with
392 the increase in scores for aggression, anxiety, anxious-depression, depression, internalizing
393 behavior, and number of turmoil-related life events. To determine if T6SS-associated KOs
394 correlated with the abundances of any known T6SS-carrying taxa, we ran a similar CPGLM
395 regression analysis as before (Supplemental Figure 1). We compared the abundances of all taxa
396 and the five T6SS-assigned KOs. We found a number of taxa belonging to genera that we would
397 expect, from prior investigations of their genomes, to carry T6SS such as *Bacteroides*,
398 *Parabacteroides*, and *Escherichia* (46, 47). However, we also found significant associations with
399 taxa assigned to genera with no documented cases, to our knowledge, of T6SS production, such
400 as *Collinsella* and *Alistipes*. Future studies will be needed to elucidate whether type VI secretion

401 systems have direct or indirect effects on the gut-brain axis and which taxa in the gut carry these
402 systems.

403 In addition to T6SS, other mechanisms of inter-microbial competition also significantly
404 associated with behavioral dysregulation. These associations included KOs assigned to
405 functional groups involved in the synthesis of putative lipopeptide antibiotics (e.g. fengycin—an
406 anti-fungal—and arthrofactin). These antibiotic-assigned KOs associated with the same set of
407 behavioral dysregulation as the T6SS KOs, with the addition of emotional reactivity, decreased
408 inhibitory control, and externalizing rather than internalizing behavior. Synthesis of lipopeptide
409 antibiotics also significantly associated with adversity, such as family separation and poverty.
410 The increase in these putative functions may indicate an increase in inter-microbial antagonism.
411 This could possibly be due to invasion by pathogens, which could lead to intestinal inflammation
412 that underlies their relationship with behaviors such as depression and anxiety.

413 Intriguingly, we also found relationships between both socioeconomic risk and
414 behavioral dysregulation and microbial functional groups that have been implicated in modifying
415 behaviors or cognitive function. For example, we discovered associations between these
416 covariates and various KOs and modules associated with metabolism of monoamines that are
417 often used as, or are common precursors to, neurotransmitters and neurohormones. We also
418 found 8 covariates (7 behavioral, 1 socioeconomic risk) positively associated with modules
419 involved in biosynthesis of melatonin from metabolism of tryptophan. Tryptophan is an essential
420 amino acid, meaning it must be derived from the diet, and therefore the concentrations of
421 available tryptophan can feasibly be altered by microbial metabolism (48). Indeed, many studies
422 have found a relationship between symptoms of depression and anxiety and the availability of
423 peripheral tryptophan (39, 49, 50). As a specific example, it has been shown that germ-free mice
424 have greater plasma concentrations of tryptophan (49, 51), greater concentrations of
425 hippocampal serotonin levels, and a lower kynurenine to tryptophan ratio (a common marker of
426 tryptophan degradation; (49). Furthermore, germ-free mice were shown to have reduced levels of
427 anxiety, as compared to conventional mice. Their anxiety, along with their kynurenine to
428 tryptophan ratio, normalized after colonization with a conventional microbiome, presumably due
429 to the introduction of taxa capable of metabolizing tryptophan and making it unavailable to the
430 host (49). We found that the abundances of two KOs associated with degradation of tryptophan
431 correlate with increases in behaviors including aggression, anxiety, anxious-depression, and
432 impulsivity, as well as increases in exposure to adverse life events involving family separation,
433 illness, and poverty. Moreover, we observed a KO involved in tryptophan biosynthesis that
434 correlated with a decrease in life events related to family illness or injury.

435 Additionally, we detected significant associations between covariates and the metabolism
436 of other notable monoamines such as glutamate (52–54), leucine (53, 55), and glutamine (56).
437 Glutamate is the most abundant excitatory neurotransmitter in the vertebrate central nervous
438 system as well as the most abundant amino acid in their diets (57). While dietary glutamate has
439 not been linked to any neuropathology, the excitatory effects of glutamate have been linked to
440 neurodegenerative disorders such as motor neuron disease (MND) or amyotrophic lateral
441 sclerosis (ALS), Huntington’s disease, Parkinson’s disease and Alzheimer’s disease (57).
442 Another monoamine, leucine can relatively easily pass through the blood brain barrier, where
443 astrocytes convert it into glutamate (58, 59). Glutamine is also a precursor to glutamate, but is
444 also directly involved in the maintenance of a healthy gut and its response to injury (60).
445 Therefore, it is possible that the effect of the microbiome on the abundance of these monoamines
446 may play a role in influencing the gut-brain axis.

447 Notably, these findings provide the foundation for future studies to replicate with larger
448 samples and to assess longitudinal changes to better tease apart causal relationships.
449 Additionally, this study offers a fundamental step toward translating animal models to sensitive
450 periods of human development, and it provides a proof of concept to determine if the
451 microbiome is linked to behavioral dysregulation and socioeconomic risk. Importantly, diet
452 could be an important factor that confounds the relationships between the gut microbiome and
453 socioeconomic risk or parent behavior. Properly interrogating the role of diet would require
454 meticulously monitored diets, which was beyond the scope of the current study. Future work
455 should build upon these findings to specifically interrogate the impacts of diet. If diet proved to
456 be a mechanism driving these relationships, it could provide a targeted direction to include
457 within psychosocial intervention designs.

458 459 **CONCLUSION**

460
461 We tested associations between socioeconomic risk, child behavioral dysregulation, and
462 the microbiome in terms of both taxonomic and functional composition in a cross-sectional
463 sample 4-7 years old. In doing so, we discovered that not only are there significant associations
464 between metrics of socioeconomic risk and behavioral dysregulation with the microbiome, but
465 that the quality of caregiver behavior statistically moderated these relationships. Furthermore, we
466 uncovered associations between individual taxa (e.g., *B. fragilis*) and functional groups (e.g.
467 monoamine metabolism) within the microbiome and metrics of socioeconomic risk and
468 behavioral dysregulation. These taxa and functional groups potentially, if replicated, represent
469 mechanisms through which the microbiome associates with socioeconomic risk and behavioral
470 dysregulation and possibly even targets for future intervention studies to investigate to improve
471 children's mental health outcomes.

472 The results of this study suggest that, when examining the trajectory of child
473 psychological development, we need to consider biology, physiology, psychosocial environment,
474 and the microbiome. All of these can have mutual effects, indicating that the way in which one
475 factor impacts the psychological development of a child may change depending on the nature of
476 one or more of the other relationships. Future studies, utilizing both human and animal models,
477 should seek to tease apart specific behavioral links with the microbiome and extend this design
478 to a wider range of behavioral symptomatology and socioeconomic risk.

479 480 **MATERIALS AND METHODS**

481 482 Sample Collection

483
484 Parents were instructed to collect a small stool sample from their child using a clean
485 plastic collection device and OMNIgene-Gut collection tube (DNA Genotek, Ottawa, ON,
486 Canada). Collection tubes were packaged and mailed at ambient temperature to the University of
487 Oregon (Eugene, OR), where they transferred to -80°C upon receipt. See Supplemental Methods
488 for greater detail, including measures of diet and health.

489 490 Questionnaires

491
492 *Socioeconomic Risk* were indexed using metrics of socioeconomic status and the Life
493 Events Checklist (LEC; (61)). The Life Events Checklist was used to provide an index adverse

494 home environment exposure. This provides a total score, and subscales to identify specific
495 components of adverse life events. Subscales included poverty, turmoil, family illness,
496 neighborhood violence, family separation, and an overall total score. Household poverty was
497 indexed by incomes-need-ratio. See Supplemental Methods for range, mean and SD of subscales.
498

499 *Behavioral dysregulation* were indexed using two previously validated parent-report
500 measures: the Child Behavior Questionnaire (CBQ; (62)) and the Child Behavior Checklist
501 (CBCL; (63)). Given childhood is a period in which behavioral dysregulation symptoms shares
502 common risk factors and less differentiation across both internalizing and externalizing
503 dimensions of disorders than typically discussed in adult samples, we included both internalizing
504 (e.g., depression, anxiety) and externalizing (e.g., inhibitory control, aggression) symptoms in
505 our analyses. Subscales of interest included anxiety problems, depression, emotional
506 reactivity, anxious depressed, internalizing total, aggressive behavior, externalizing total, overall
507 total score, and inhibitory control. See Supplemental Methods for range, mean and SD of
508 subscales.
509

510 *Caregiver Behavior* was indexed via parent-report Parenting Stress Index (PSI; (64))
511 Interpersonal Mindfulness in Parenting (IEM-P; (65)), and the Five Factor Mindfulness
512 Questionnaire (FFMQ; (66)). These questionnaires provided a range of perceived parental stress
513 and wellbeing, both in general and within the parent-child relationship. See Supplemental
514 Methods for range, mean and SD of subscales.
515

516 DNA extraction and sequencing

517

518 DNA was extracted from 250 μ l aliquots of the OMNIgene-Gut samples using the MoBio
519 PowerLyzer PowerSoil kit (Qiagen, Hilden, Germany) with the following protocol
520 modifications: following the addition of solution C1, a 1-minute bead-beating step was
521 performed on a Mini-BeadBeater-96 (BioSpec Products, Bartlesville, OK, USA), followed by a
522 10-minute incubation at 65°C; in the final step DNA was eluted in two stages for a combined
523 total of 100 μ l.
524

525 Metagenomic analyses

526

527 Raw metagenome sequences were prepared for analysis using the shotcleaner workflow
528 (67), which follows the Human Microbiome Project Consortium data processing guidelines (68).
529 All raw sequences can be accessed through the NCBI at BioProject PRJNA496479, and the code
530 for all analyses can be accessed at https://github.com/kstagaman/flannery_stagaman_analysis.
531 Briefly, low quality sequences are trimmed or removed, sequences matching to the human
532 genome are discarded, and identical sequences are collapsed into a single read. As additional
533 quality control, we removed 3 of 40 fecal samples due to poor sequencing coverage (coverage
534 range of removed samples: 19,013 to 23,743; coverage range of remaining samples: 3,499,106 to
535 15,776,004). These high-quality sequences were then run through shotmap (67) to quantify
536 KEGG Orthology (KO) group relative abundance and metaphlan2 to quantify taxon relative
537 abundance (69). All resulting data and the sample metadata (Supplemental Table 1) were
538 analyzed in R (70).

539 We applied a data reduction technique to minimize the number of covariates considered
540 in our subsequent analyses. This process is important to reduce the potential for model
541 overfitting given the large number of covariates relative to the number of samples measured in
542 our study. Using the *ordinate* function from the phyloseq package (71), we generated a PCoA
543 ordination based on the Bray-Curtis dissimilarities for both the functional (KO) and taxonomic
544 communities (Supplemental Figure 2). Briefly, we applied the *envfit* function (72) to Bray-Curtis
545 dissimilarity-based PCoAs of microbiome taxonomy (species level) or functional capacity and
546 identified covariates that explained a significant amount of variation across individuals
547 (Supplemental Figures 3 & 4). Despite being analyzed independently, an identical set of 10
548 significant covariates best explained the taxonomic and functional variation among individuals.
549 This finding is unsurprising given the strong correlation between taxonomic and functional beta-
550 diversity (Procrustes $r \approx 0.84$, $p < 0.0001$; Supplemental Table 8). The significant covariates
551 used in our successive analyses are defined in Table 1. See Supplemental Methods for additional
552 details.

553 We utilized a constrained correspondence analysis (CCA; *cca* function; (72)) to
554 determine the variance in microbiome composition (functional and taxonomic) that covariates
555 within the socioeconomic risk, child behavioral dysregulation, and caregiver behavior categories
556 explained. The CCA method is useful in this case because it allows us to first account for the
557 variance in microbiome composition explained by demographic and gut-related covariates,
558 which might otherwise confound our analysis, before assessing the variance explained by the
559 covariates of interest for this study. We assessed the significance of associations between the
560 selected covariates and the microbiome using a permutational ANOVA (PERMANOVA)
561 analysis (*anova.cca* function; (72)) on the resulting CCA ordination.

562 To determine if the *envfit*-selected caregiver behavior covariate Parent-Child Dysfunction
563 interacted with either the socioeconomic risk or child behavioral dysregulation covariates, we
564 first built a CCA models (one for socioeconomic risk, one for child behavioral dysregulation)
565 with all possible covariate interactions. However, this builds large models that reduce our chance
566 of finding real, significant association due to the number of terms. Therefore, before running a
567 PERMANOVA test, we subjected each CCA object to model selection based on the Akaike
568 Information Criterion (AIC) by stepwise addition or subtraction of terms (*ordistep* function;
569 (72)). The model selected by this method was then analyzed using PERMANOVA to determine
570 if there were significant associations between covariate interactions and the microbiome. All of
571 these computational methods are available as supplemental data.

572 The above methods analyze the relationships between the covariates of interest and the
573 overall composition of the microbiome (in terms of taxonomy and functional potential), but they
574 may miss important relationships between covariates and individual taxa or microbial functions.
575 To determine if such relationships exist in this data set we conducted pairwise regressions
576 between the abundance of each taxa or KO and each socioeconomic risk and child behavioral
577 dysregulation covariate. We included in each regression model the same demographic and gut-
578 related terms to account for their variance as well. The regression method used was a compound
579 Poisson generalized linear model (CPGLM; (73)), which uses a distribution that has a point mass
580 over zero, allowing it to better handle the sparseness of functional and taxonomic community
581 data (74). After all pairwise regressions, we adjusted the p-values using the False Discovery Rate
582 (FDR) with a cutoff of $q = 0.05$. We then removed any pairs where the taxon or KO was absent
583 from half of the samples or more and presented the results in Supplemental Tables 6 and 7.

584

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586

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772

774 **TABLES AND FIGURES**

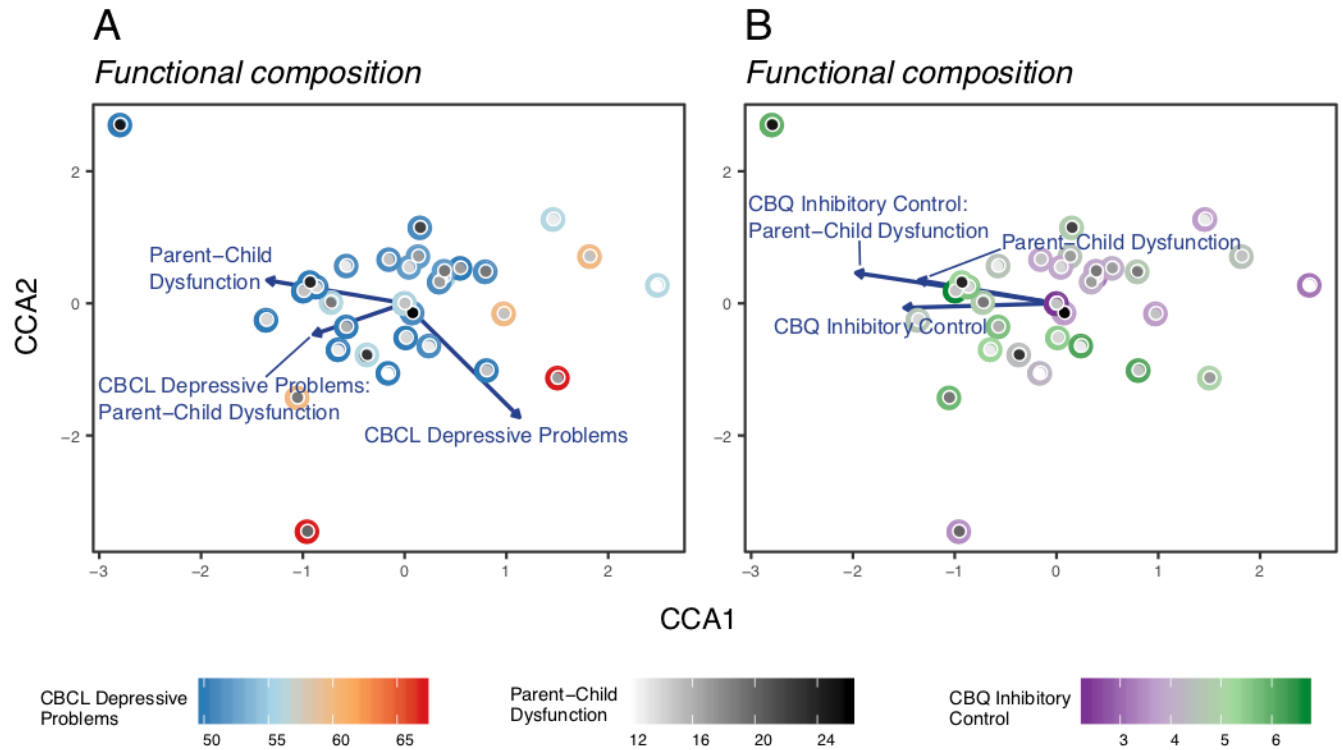
775

Table 1. The set of covariates selected by *envfit* analysis for both taxonomic- and functional-based microbiome composition. All metrics are reported via questionnaire by the parent. PSI, Parenting Stress Index; LEC, Life Events Checklist; CBQ, Children's Behavior Questionnaire; CBCL Child Behavior Checklist

Covariate Category	Covariate	Description
Parental Stress	PSI Parent-Child Dysfunction	Severity of dysfunctional interactions between parent and child
Socioeconomic Risk	LEC Poverty Related Events	Number of self-reported stressful life events associated to poverty
	LEC Turmoil	Number of self-reported stressful life events associated with family turmoil
	LEC Total	Total number of self-reported stressful life events
	Income to Needs	Ratio of yearly household income to federal income needs per number of people supported by that income
Child Behavioral Symptoms	CBQ Impulsivity	Speed of response initiation
	CBQ Inhibitory Control	The capacity to plan and to suppress inappropriate approach responses under instructions or in novel or uncertain situations
	CBCL Depressive Problems	A subscale of the CBCL containing items associated with depression
Demography	Child Race/Ethnicity	Parent report of child's racial and ethnic background
Gut-related History	Geographic Locations	Lived in a different state or country >3 months

776

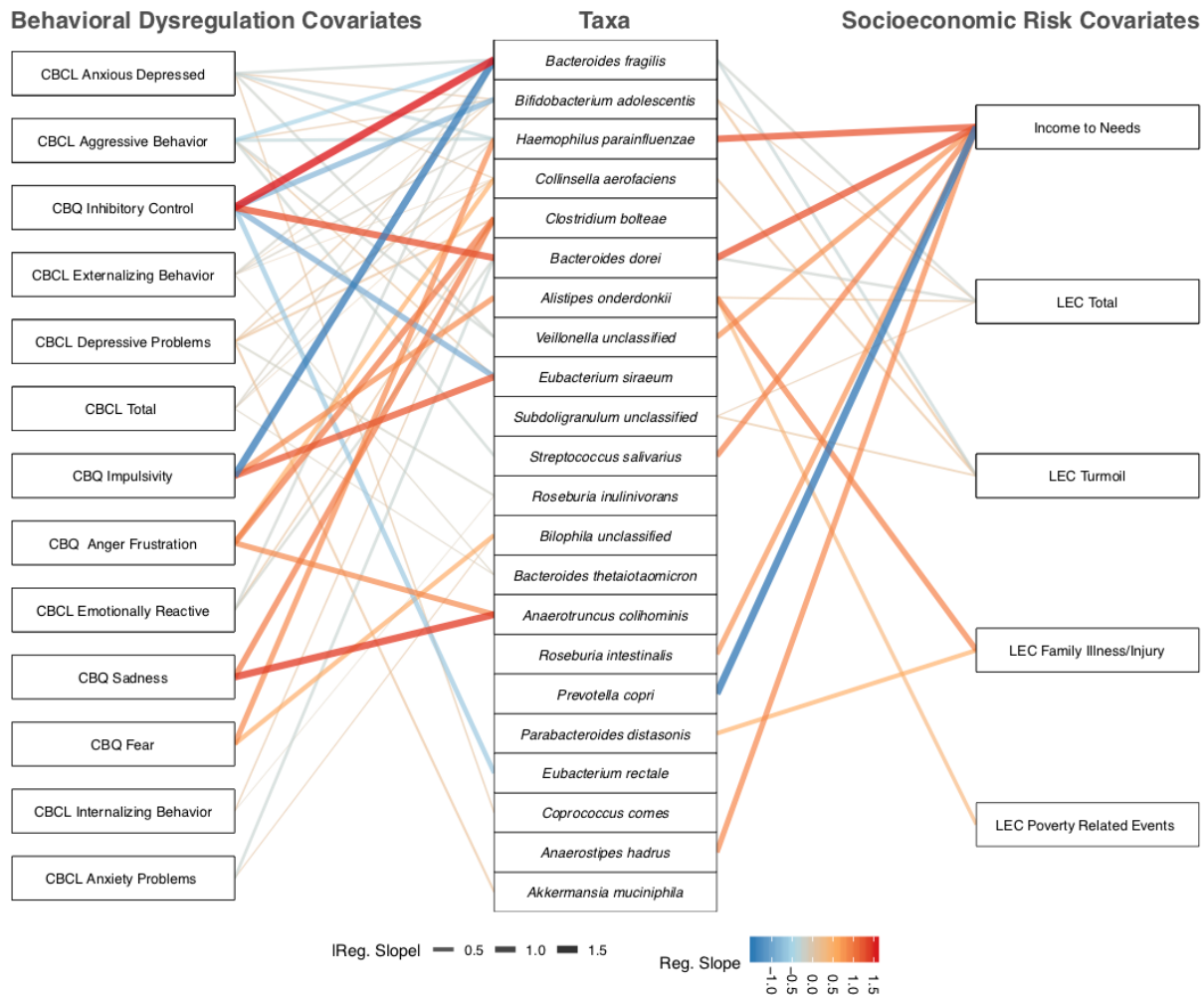
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Figure 2

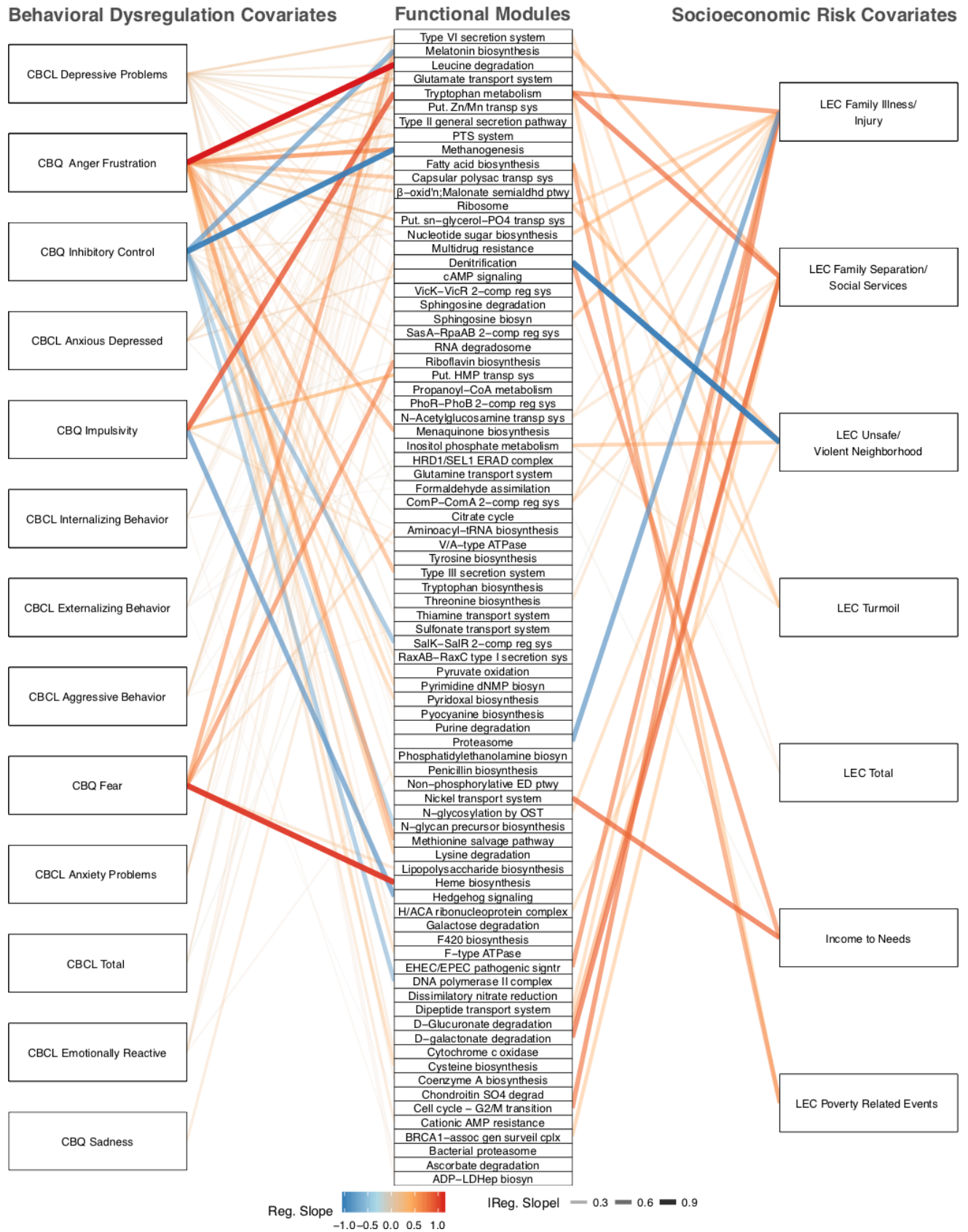
795 **Figure 2.** CCA ordinations for functional composition of the microbiome, behavioral
796 dysregulation, and caregiver behavior covariates. Only covariates that have significant main
797 effects or are part of a significant interaction are depicted in each ordination. Significance was
798 assessed by PERMANOVA ($\alpha = 0.05$), see Supp. Tables 4 & 5 for statistical results. **(A)**
799 Ordination of functional (KO-level) composition. Each point represents a sample and consists of
800 two parts: the color of the outer circle corresponds to the sample's Depressive Problems score;
801 the inner circle is shaded from white to black indicating the sample's Parent-Child Dysfunction
802 score. **(B)** Ordination of functional (KO-level) composition, sample locations are identical to
803 panel A. In this panel the outer circle of the point is colored according of the sample's Inhibitory
804 Control score. The inner circle is shaded identically to panel A.
805



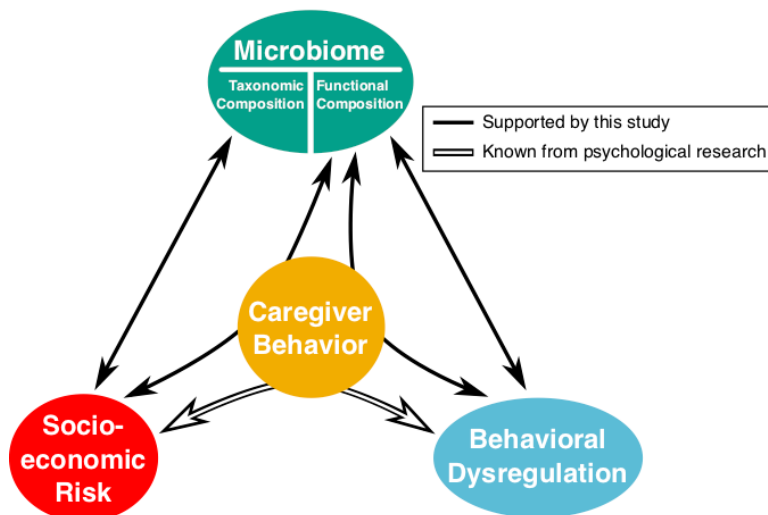
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Figure 3

808 **Figure 3.** A network representing statistically significant pairwise associations, according to
809 generalized linear models, between individual taxa and behavioral dysregulation or
810 socioeconomic risk covariates. The left column shows individual behavioral dysregulation. The
811 central column shows individual taxa identified to the species level. The right column shows
812 individual socioeconomic risk covariates. Lines are only drawn between a covariate and a taxon
813 if there is a significant relationship. The color of the line represents whether the association
814 between the covariate and taxon is negative (blue) or positive (red). The width and intensity of
815 the line color represents the slope of the regression line that describes the association (steeper
816 regression lines are wider and brighter).
817



820 Figure 4. A network representing statistically significant pairwise associations, according to
821 generalized linear models, between individual KOs (grouped into modules) and behavioral
822 dysregulation or socioeconomic risk covariates. The left column shows individual behavioral
823 dysregulation. The central column shows functional groups assigned at the KEGG module level.
824 The right column shows individual socioeconomic risk covariates. Lines are only drawn between
825 a covariate and a module if there is a significant relationship. The color of the line represents
826 whether the association between the covariate and module is negative (blue) or positive (red).
827 The width and intensity of the line color represents the slope of the regression line that describes
828 the association (steeper regression lines are wider and brighter).
829
830
831

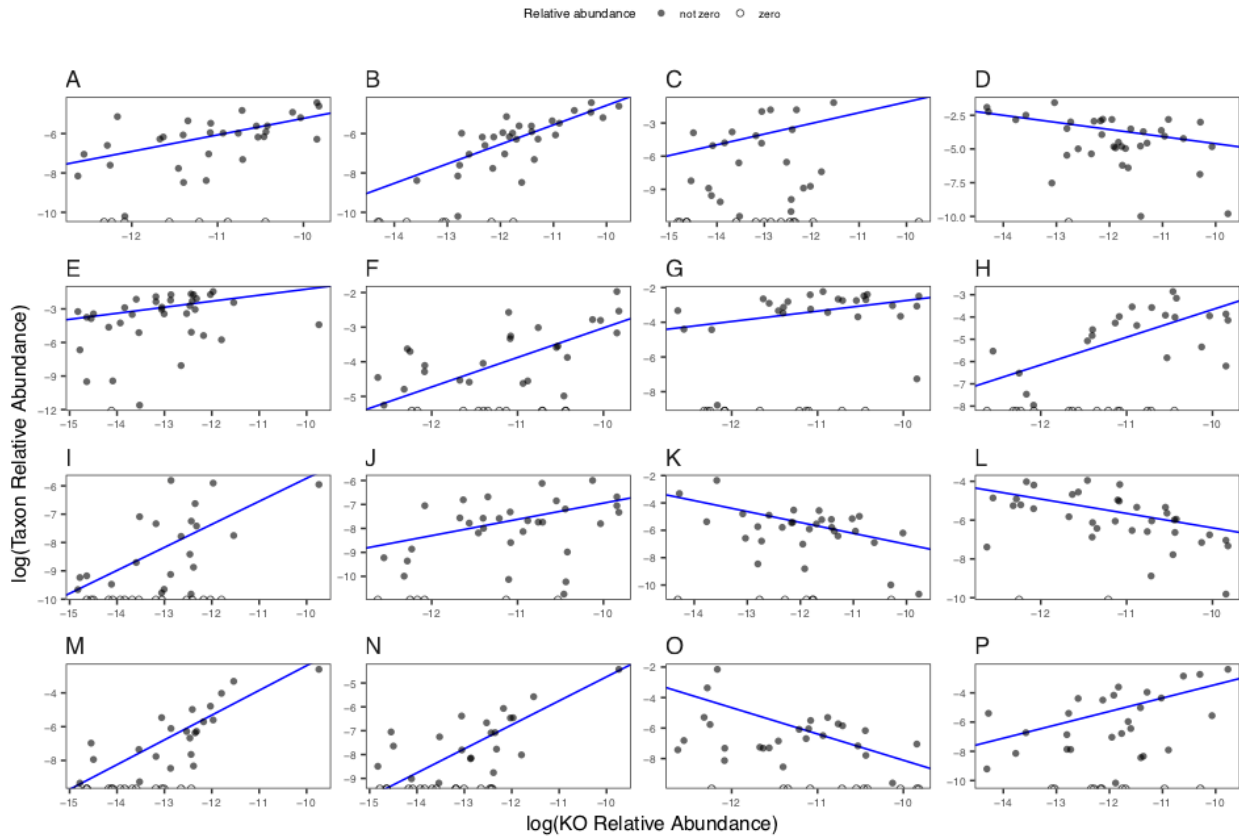


832
833 *Figure 5*

834 Figure 5. This figure illustrates the results of our hypothesis testing using ordination-based
835 analyses. White solid arrows indicate relationships supported by evidence from prior
836 psychological research. The black arrows represent relationship between the covariate categories
837 and composition (taxonomic or functional) of the gut microbiome, as determined by our
838 ordination- and PERMANOVA-based analysis (see Supp. Tables 2-5). Straight arrows represent
839 significant main effects between the microbiome and a covariate category (e.g. between
840 Socioeconomic Risk and taxonomic composition of the microbiome). Arrows that curve through
841 Caregiver Behavior indicate that there is a significant interaction between Caregiver Behavior
842 and the other covariate category (e.g. our analysis revealed two significant interactions between
843 Socioeconomic Risk and Caregiver Behavior in their association with the functional composition
844 of the microbiome).

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847 SUPPLEMENTAL TABLES AND FIGURES
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Panel	Taxon	KO
A	<i>Collinsella aerofaciens</i>	K01058
B	<i>Collinsella aerofaciens</i>	K01220
C	<i>Bacteroides dorei</i>	K11910
D	<i>Bacteroides ovatus</i>	K01220
E	<i>Bacteroides uniformis</i>	K11910
F	<i>Parabacteroides merdae</i>	K01058
G	<i>Alistipes putredinis</i>	K01058
H	<i>Alistipes shahii</i>	K01058
I	<i>Clostridium bolteae</i>	K11910
J	<i>Lachnospiraceae</i> 7 1 58FAA	K01058
K	<i>Roseburia hominis</i>	K01220
L	<i>Roseburia inulinivorans</i>	K01058
M	<i>Escherichia coli</i>	K11910
N	<i>Escherichia unclassified</i>	K11910
O	<i>Haemophilus parainfluenzae</i>	K01058
P	<i>Akkermansia muciniphila</i>	K01220

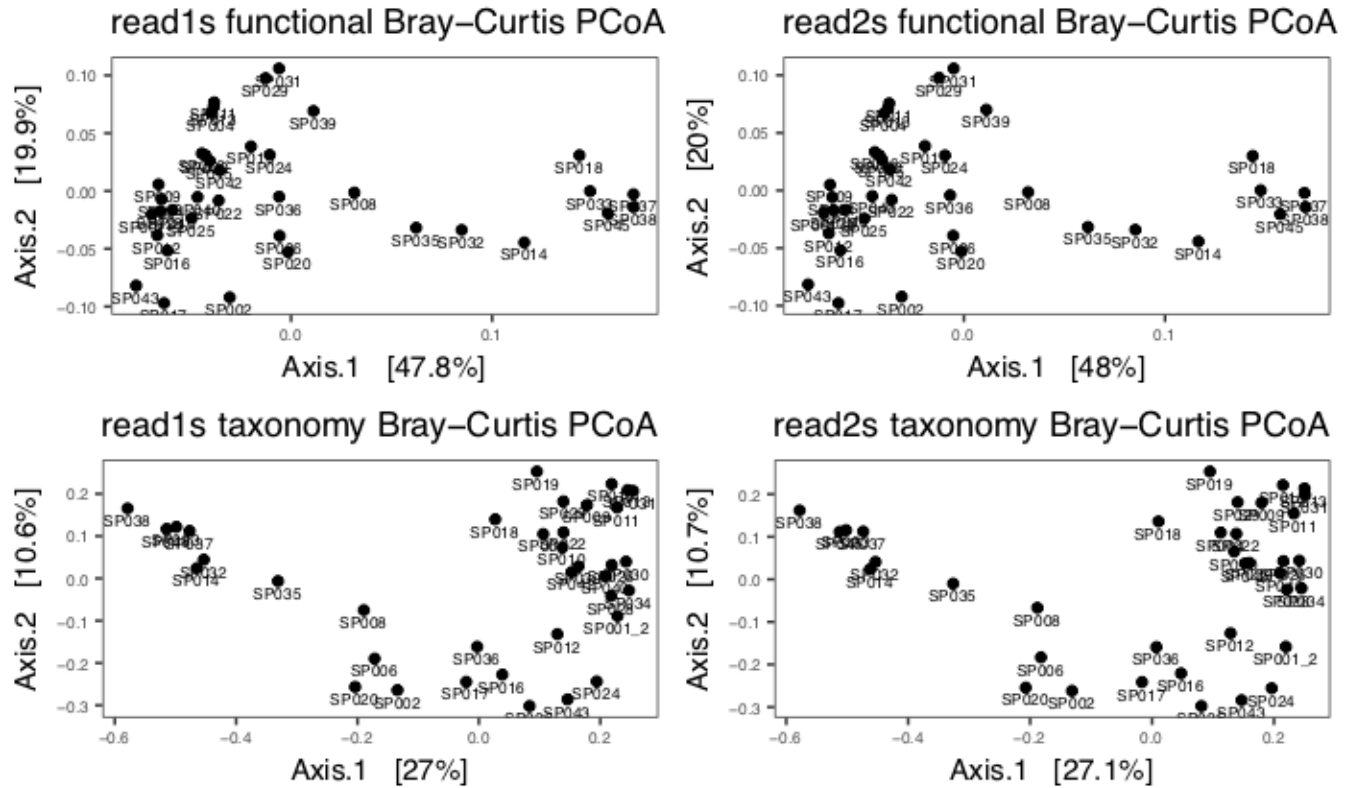
KO	Label
K01058	KO: pldA phospholipase A1/A2 [EC:3.1.1.32 3.1.1.4] PFAMS: Phospholipase A1 Type VI secretion system VasI
	EvIG
	VC_A0118
	Tetratricopeptide repeat
	MIT (microtubule interacting and transport) domain
	Aida N-terminus
K01220	KO: E3.2.1.85, lacG 6-phospho-beta-galactosidase [EC:3.2.1.85] PFAMS: Glycosyl hydrolase family 1 Type VI secretion protein lcmF C-terminal Cellulase (glycosyl hydrolase family 5) Glycosyl hydrolase family 10 Uncharacterized protein conserved in archaea (DUF2095)
	K11903 MODS: Type VI secretion system
	K11906 MODS: Type VI secretion system
	K11910 MODS: Type VI secretion system

849
850 Supplemental Figure 1

851 Supplemental Figure 1. Each panel (A-P) is a scatter plot of the relative abundance of a single
852 KO (x-axis, log-transformed) and the relative abundance of an individual taxon (y-axis, log
853 transformed). The blue lines represent the CPGLM regression line as fit to the data. Filled circles
854 represent taxon abundances that were greater than zero before log transformation, and open

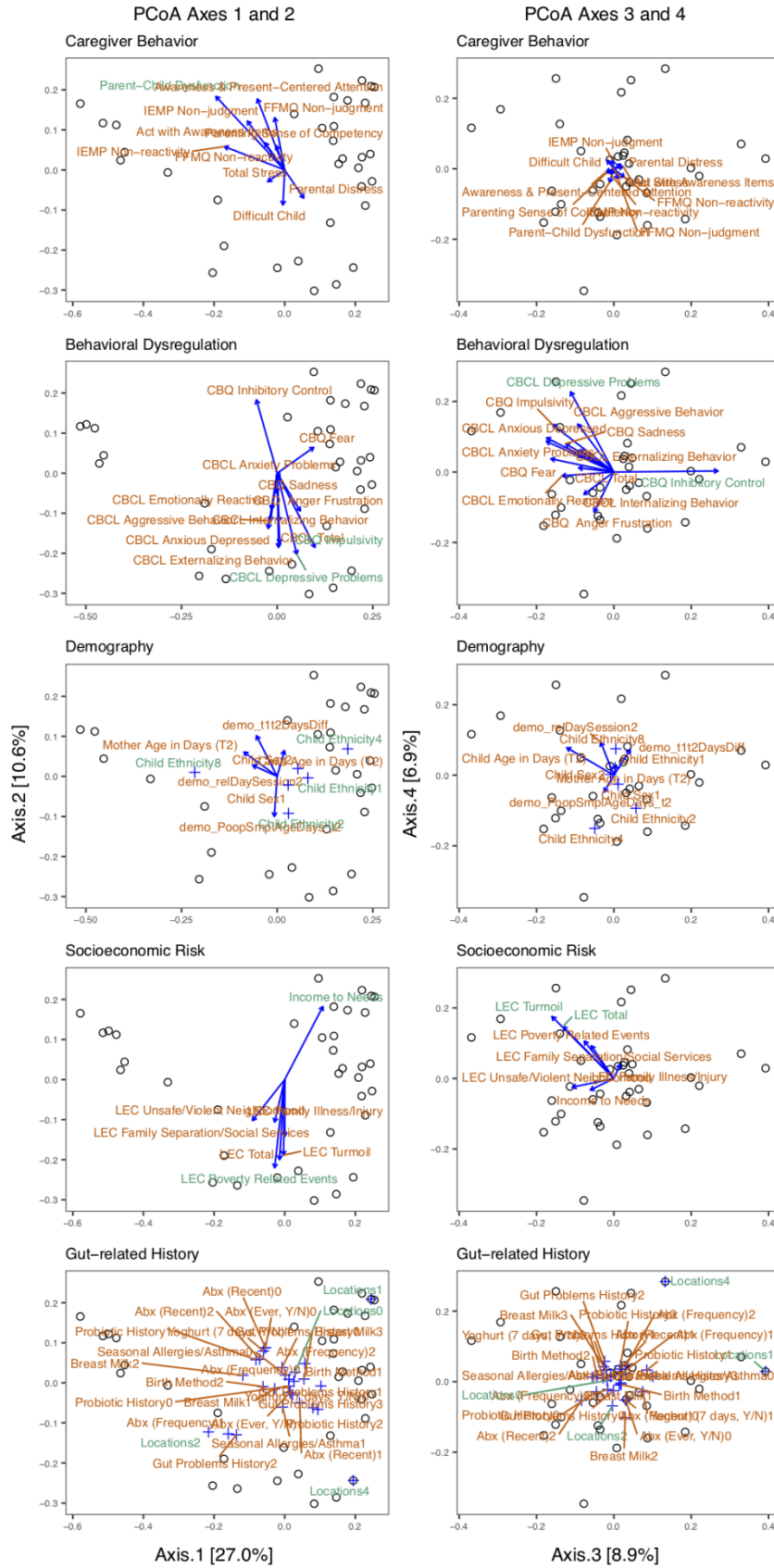
855 circles represent taxon abundances that were zero before log transformation. The table on the left
856 details the KO-taxon pair used in each panel, and the table on the right gives the descriptive
857 name of each KO identification number.

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861 *Supplemental Figure 2*

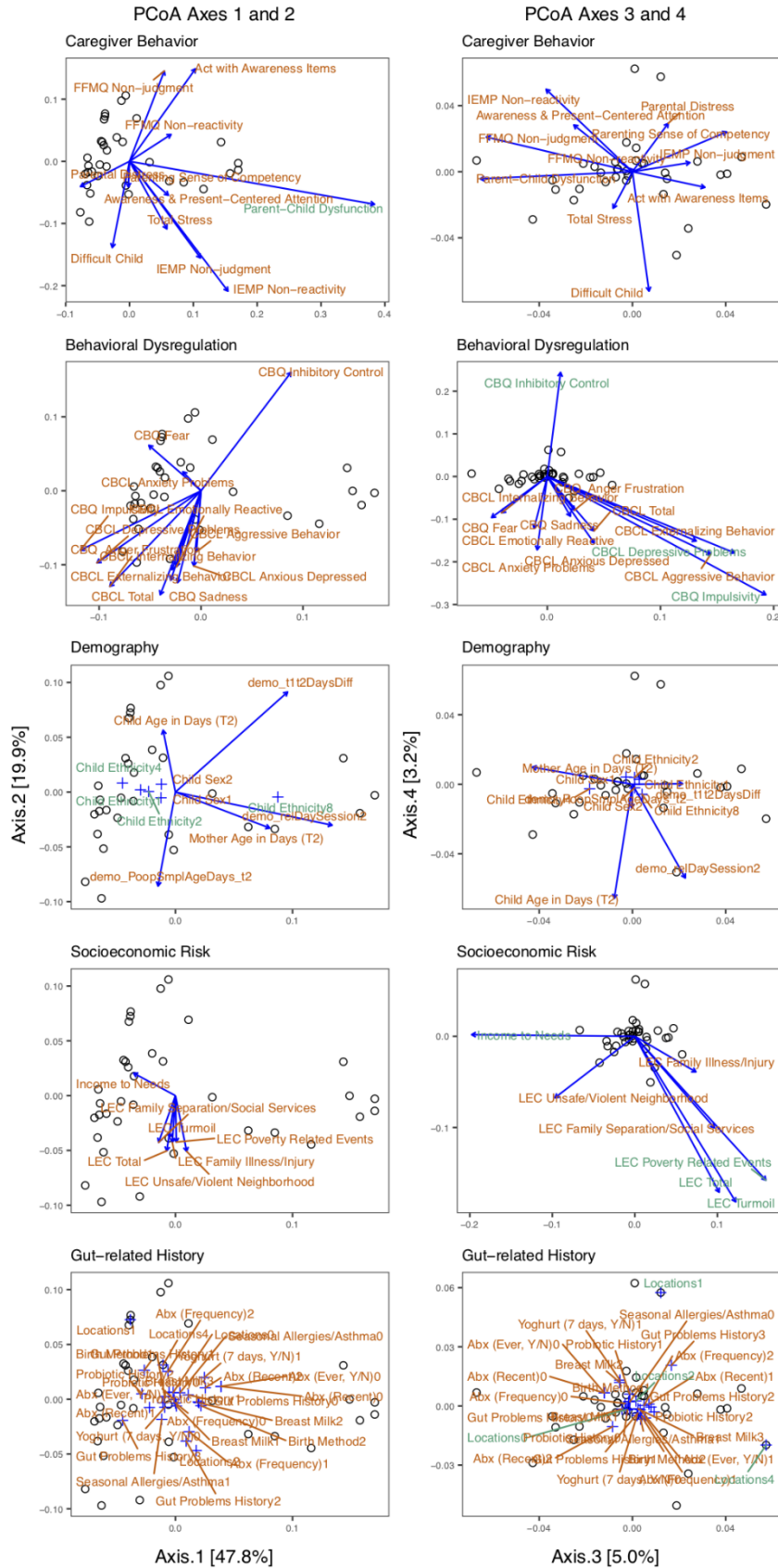
862 Supplemental Figure 2. Principal Coordinate Analysis ordinations for the metagenomic data. The
863 top two panels were created using the KO-annotated sequences for the read1 (left) and read2
864 (right) data. The bottom two panels were created using the taxon-annotated sequences for the
865 read1 (left) and read2 (right) data. The percentages in brackets along each axis represent the total
866 variance explained by that axis. All distances were measured using the Bray-Curtis dissimilarity.
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Supplemental Figure 3

871 Supplemental Figure 3. The results of the envfit analysis for each category of covariates (each
872 row of panels corresponds to an analysis within a single category) on the taxonomy-based PCoA
873 ordinations. The panels on the left show the first and second axes of each ordination and the
874 panels on the right show the third and fourth axes of each ordination.



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Supplemental Figure 4

877 Supplemental Figure 4. The results of the *envfit* analysis for each category of covariates (each
 878 row of panels corresponds to an analysis within a single category) on the functional group-based
 879 PCoA ordinations. The panels on the left show the first and second axes of each ordination and
 880 the panels on the right show the third and fourth axes of each ordination.

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883 Supplemental Table 1 is a dataset provided as a separate file.

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Supplemental Table 2. Results of PERMANOVA analysis on AIC-selected covariates within Socioeconomic Risk and Caregiver Behavior (including interactions) and their relationship with the taxonomic-based composition of the microbiome.

	Df	ChiSquare	F	Pr(>F)	
LEC Turmoil	1	0.216	1.611	0.009	*
LEC Poverty Related Events	1	0.136	1.01	0.458	
Parent-Child Dysfunction	1	0.131	0.973	0.495	
LEC Turmoil:Parent-Child Dysfunction	1	0.177	1.319	0.126	
LEC Poverty Related Events :Parent-Child Dysfunction	1	0.186	1.386	0.073	
Residual	21	2.822			

885

Supplemental Table 3. Results of PERMANOVA analysis on AIC-selected covariates within Socioeconomic Risk and Caregiver Behavior (including interactions) and their relationship with the functional group-based composition of the microbiome.

	Df	ChiSquare	F	Pr(>F)	
LEC Poverty Related Events	1	0.003	1.222	0.226	
LEC Turmoil	1	0.004	1.433	0.139	
Income to Needs	1	0.004	1.483	0.113	
Parent-Child Dysfunction	1	0.005	1.763	0.069	
LEC Poverty Related Events :Parent-Child Dysfunction	1	0.004	1.574	0.108	
LEC Turmoil:Parent-Child Dysfunction	1	0.007	2.506	0.01	*
Income to Needs:Parent-Child Dysfunction	1	0.005	1.859	0.041	*
Residual	19	0.054			

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Supplemental Table 4. Results of PERMANOVA analysis on AIC-selected covariates within Behavioral dysregulation and Caregiver Behavior (including interactions) and their relationship with the taxonomic-based composition of the microbiome.

	Df	ChiSquare	F	Pr(>F)
CBCL Depressive Problems	1	0.206	1.485	0.071
Parent-Child Dysfunction	1	0.135	0.973	0.489
Residual	24	3.328		

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Supplemental Table 5. Results of PERMANOVA analysis on AIC-selected covariates within Behavioral dysregulation and Caregiver Behavior (including interactions) and their relationship with the functional group-based composition of the microbiome.

	Df	ChiSquare	F	Pr(>F)	
CBQ Impulsivity	1	0.005	1.717	0.064	
CBQ Inhibitory Control	1	0.004	1.589	0.085	
CBCL Depressive Problems	1	0.006	2.035	0.035	*
Parent-Child Dysfunction	1	0.004	1.459	0.136	
CBQ Impulsivity:Parent-Child Dysfunction	1	0.003	1.039	0.373	
CBQ Inhibitory Control:Parent-Child Dysfunction	1	0.006	2.198	0.041	*
CBCL Depressive Problems:Parent-Child Dysfunction	1	0.007	2.687	0.007	*
Residual	19	0.053			

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Supplemental Table 6 is a dataset provided as a separate file.

Supplemental Table 7 is a dataset provided as a separate file.

Supplemental Table 8. R-squared values and p-values from Procrustes analyses comparing the ordinations based on taxonomic or functional group composition, and between read1 and read2 sequencing data.

Read comp.	Type comp.	R ²	p-value
1 vs. 2	KOs vs. KOs	0.9997	1.00E-04
	Taxa vs. Taxa	0.9973	1.00E-04
1 vs. 1	KOs vs. Taxa	0.8375	1.00E-04
2 vs. 2		0.8364	1.00E-04

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SUPPLEMENTAL METHODS

Sample Collection

903 A subsample of families from a larger study conducted in the Stress Neurobiology and
904 Prevention laboratory were asked to participate in a follow-up study to collect a child gut
905 microbial sample via at home stool collection. Parents were instructed to wait to collect sample
906 at least 2-4 weeks following antibiotic use or illness; no current stool irregularities, no

907 anticipated stressors, and during a week with a typical diet. Recruitment was pre-determined to
908 be complete once we reached 40 completed samples. Forty-five families consented to be in the
909 study; five families did not complete the stool sample; one sample was determined to be lost in
910 the mail, one child remained within the window of recent antibiotic use and illness through the
911 duration of the study, one child changed their mind about participating, and two families
912 continued to express interest in completing the sample but did not return a sample. Two
913 experimenters went to the family's home. Parents provided consented and children provided
914 assent. A visual depiction of the study (coloring book) was used to ensure child understood the
915 study. During the home visit, parents filled out questionnaires and parents were instructed to
916 collect a stool sample from their child a week after the visit using Genotek OmiGene kits (DNA
917 Genotek, Ottawa, ON, Canada). This procedure allowed families to mail the sample in after
918 collection without sample degradation. This was important to reach a broad range of
919 socioeconomic backgrounds and to eliminate variability in post-collection procedures across the
920 sample. The experimenter provided a collection demonstration with a toilet seat and playdough
921 for parent to collect the sample from their child a week after the visit. In the week prior to
922 collection, parents were asked to fill out a daily diary of basic food categories the child ate at
923 breakfast, lunch, and dinner. Notably, parent's knowledge of child's daily diet was variable
924 depending on child's enrollment in subsidized lunch at school and mother's work schedule.
925 Families were compensated for their time at the home visit and again after receiving the stool
926 sample.

927

928 Important Runtime Parameters

929

930 `shotcleaner.pl`

931 Output format [-of]: fastq

932 Bowtie database name [-n]: all_GRCh38.p7

933

934 `shotmap.pl`

935 Shotmap database [-d]: KEGG_021515_1M

936 Class score [--class-score]: 34

937 [--ags-method]: none

938

939 Analysis in R

940

941 *Data processing*

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943 Functional and taxonomic community tables were built using relative abundances and
944 associated with the sample metadata using the package phyloseq. All participants' (mothers and
945 children) ages were calculated *in days* from their date of birth to the date of the second session,
946 when stool samples were collected.

947 We had both the forward and reverse reads for each sequence. We conducted a Procrustes
948 analysis on PCoA ordinations based on the Bray-Curtis dissimilarities to determine if there was a
949 significant correlation between the two read sets for both the functional and taxonomic reads. For
950 both functional and taxonomic reads, the correlation coefficients between the forward and
951 reverse read based ordinations was greater than 0.99 and statistically significant ($p = 0.0001$).
952 We therefore continued with the remainder of the analyses using only the forward reads.

953

954 *Covariate reduction*

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956 Within each covariate category (ESA, child behavior, parenting, demography, and gut-
957 related history), we used the *envfit* function from the *vegan* package to determine which
958 covariates (e.g., ESA covariates include LEC Poverty Related Events and LEC Turmoil; child
959 behavior covariates include CBQ Impusivity and CBQ Inhibitory Control) explained a
960 significant proportion of microbiome diversity along any of the first four PCoA axes (same
961 PCoA ordination generated in the Data Processing section above; Supplemental Figures 3 & 4).

962

963 The code used to conduct all analyses can be found at

964 https://github.com/kstagaman/flannery_stagaman_analysis.

965

966 All metagenome data can be found at

967 <https://www.ncbi.nlm.nih.gov/sra/?term=PRJNA496479>

968 <https://www.ncbi.nlm.nih.gov/Traces/study/?acc=PRJNA496479>