Hydrology-informed metapopulation modeling of liver fluke transmission in the Lawa Lake complex of northeast Thailand

Tomás M. León[1,3]*, Vichian Plermkamon[2], Kittiwet Kuntiyawichai[2], Banchob Sripa[3], Robert C. Spear[1]

[1]School of Public Health, University of California, Berkeley, USA

[2]Faculty of Engineering, Khon Kaen University, Khon Kaen, Thailand

[3]Tropical Disease Research Center, Faculty of Medicine, Khon Kaen University, Khon Kaen, Thailand

* Corresponding author

Email: tomas.leon@berkeley.edu (TML)

Abstract:

A metapopulation model linking local hydrology with transmission of the liver fluke

Opisthorchis viverrini in a lake system in northeast Thailand was developed and

parameterized using infection data from 2008-2016. A rainfall-runoff model and other

hydrologic data were used to assess level of connectivity between villages and the

influence of upstream communities on parasite distribution in the study area, while the

disease transmission model was expanded from a single-village model for *O. viverrini*

transmission. Connectivity between villages and hydrologic variables was assessed

monthly and showed strong seasonality trends. The metapopulation model improved

upon the single-village model in its fit to historical data patterns for the six village

clusters with the introduction of the new time-variable parameters. Results suggest

there are three unique hydrologic-epidemiologic regimes within the Lawa Lake system

in response to upstream watersheds and risk of overland flooding that contribute to risk

for *O. viverrini* infection. Similar approaches using a hydrologic submodel to inform a

mechanistic disease transmission model could be applied across many water-related

disease systems.

Author Summary:

While hydrology is intuitively understood to influence transmission dynamics of water-

related diseases, limited research exists that explicitly links hydrologic and infectious

disease data. In this work, we use an approach that leverages a rainfall-runoff model to

better understand water movement into, out of, and around Lawa Lake in northeast

Thailand and how that affects fate and transport of the multiple waterborne life stages of

Opisthorchis viverrini. To model disease transmission, we represent six village clusters

around the lake using known infection prevalence data of humans, cats and dogs, snails,

and fish to parameterize and fit a metapopulation model. The connectivity between

village clusters and external inputs of parasites are derived from the hydrology data and

the rainfall-runoff model. Results suggest three unique hydrologic regimes that also

reflect unique patterns in disease prevalence among the different hosts. Other water-

related disease systems can use similar approaches to assess the impacts of water on

pathogen transmission dynamics.

Introduction

Opisthorchiasis, infection with liver flukes of the genus *Opisthorchis*, is a disease

whose transmission and distribution are largely determined by hydrology. The

parasites' egg and cercarial forms require sufficient water and transport to the next

intermediate host for the transmission cycle to be sustained. Eggs are excreted in the

feces of infected final hosts (humans, and reservoir cats, dogs, and other mammals to a

limited extent); if not safely treated or contained, these eggs reach the amphibious

habitat of intermediate host snails, which consume the eggs and enable maturation to

the cercarial stage. The cercariae are then released back into water, where they swim

and seek out the second intermediate host cyprinid fish. They encyst in the fish, which

if then consumed raw or undercooked by humans or certain other mammals can

migrate to the bile ducts and mature into adult worms. Water is a sustaining force for

this parasitic life cycle, and its movement permits viable infection at each successive

host stage.

The major liver fluke of interest in Thailand is *Opisthorchis viverrin*i. Given the

known disease burden in Thailand, *O. viverrini* has been a public health priority there,

where it is transmitted to humans via the consumption of popular local raw and

fermented fish dishes such as *koi pla* and *pla som*. The highest prevalence of

opisthorchiasis and cholangiocarcinoma (CCA), the fatal bile duct cancer associated

with *O. viverrini* infection, are found in northeast Thailand in the region surrounding

Khon Kaen [1]. Historical hotspots of opisthorchiasis and CCA were in the villages

around Lawa Lake. While much research has been conducted on the pathology of

opisthorchiasis and CCA, there is limited literature addressing the ecological and

hydrological aspects of parasite transmission in the environment [2,3].

Lawa Lake is an approximately 4000-acre body of water that is highly vegetated

and subject to significant hydrologic changes caused by seasonal variation in northeast

Thailand. A peak in liver fluke infections is seen with lag following the rainy season in

Thailand, as flooding facilitates the spread of fecal contamination and coincides with

the rapid increase in snail populations [1]. Since several weeks are required for the

parasite to mature through its life stages, high infection rates in fish are seen in the late

rainy season and summer (July-January). Low infection risks occur in the dry season

and summer (March-June). A primary industry on the lake is fishing, which contributes

to the environment mediating ongoing liver fluke transmission in the local area [4]. The

hydrology of the Lawa Lake region is exceedingly complex and disturbed, as significant

changes have occurred in recent years due to construction of new irrigation canals and

ditches, new culverts and spillways that are opened and closed in the flooding season,

and fish ponds that have become increasingly popular as a source of food and revenue.

Metapopulation modeling is commonly used to better understand the

connectivity and influence of discrete human populations and environmental patches

on each other. Metapopulation modeling is especially powerful in understanding

pathogen transmission in complex, interrelated environments, where contiguous areas

and their inhabitants influence each other. Connectedness between these environmental

and host patches can occur in multiple ways, including migration of humans between

villages and movement of a waterborne pathogen from a section of river or lake

adjacent to one population to another section adjacent to a separate population. The

second example demonstrates hydrological influence on a disease transmission system,

as waterborne diseases as diverse as cholera and schistosomiasis rely on advective

transport to expose new susceptible individuals with pathogens excreted or shed by

infected individuals. Hydrologic patterns are time-varying and markedly local in

nature. Given this environmental complexity, hydrologic fate and transport of

pathogens are difficult to study. In addition, motile waterborne parasitic forms, such as

liver flukes and schistosome cercariae, have independent mobility behaviors, making

hydrologic flows not entirely representative of how these parasites are dispersed [5,6].

Research connecting hydrology with waterborne disease transmission is an

emerging field with recent work on cholera and schistosomiasis [7,8,9]. Tracing the

spread of pathogens in the environment is challenging, and countervailing forces make

it difficult to ascribe an increase or decrease in human infection to trends in

meteorology and climate or consequent hydrology. Long-term studies of climatic

changes in rainfall patterns or the influence of dams allow more definitive statements

about impacts on disease transmission, though these are also complicated by shifts in

host and vector habitat and in seasonal patterns that may disrupt or exacerbate host and

vector growth and reproduction [10,11].

In this work, a metapopulation disease transmission model is developed and

parameterized to assess hydrologic connectivity and *O. viverrini* parasite movement

between six village clusters around Lawa Lake in Khon Kaen Province, Thailand, and

how that is reflected by opisthorchiasis prevalence in hosts. Understanding liver fluke

transmission in this seasonal, hydrologically connected environment with modeling can

help define the scale of transmission processes and thereby optimize environmental

control and treatment to have maximum impact on reducing disease transmission in

this setting and others.

Methods

The model structure is an extension of the modeling framework presented in

[12]. The six village clusters studied are now connected in a metapopulation framework

to account for exchange of parasites and hosts between village clusters and their

associated environments. This enables the model to include the influence of population-

level factors, spatial heterogeneity, and degrees of connectedness between patches. This

metapopulation model leverages information about hydrologic connectivity between

village and host clusters to understand the movement of the liver fluke parasite's

various forms in the environment as mediated by water. To consider local hydrologic

impacts on the liver fluke transmission cycle, five main factors are included: 1) egg

inputs into the system from upstream watersheds; 2) egg inputs into the system from

overland flooding; 3) snail and fish mobility due to hydrologic connectivity; 4) snail and

fish available habitat; and 5) hotspots where infectious snails come into contact with

susceptible fish. These factors are modeled monthly with seasonality to account for

changing patterns throughout the year.

The metapopulation model connects the six villages or geographically proximate

village clusters shown in Fig 1 around Lawa Lake in Khon Kaen Province of northeast

Thailand. The villages or village clusters described here are CCK, Lawa, BT (cluster of 5

villages), NNK, KSR, and DPD (cluster of 2 villages), which were chosen and clustered

based on geographical location and how historical human infection survey data was

collected. The six clusters further sort into three groups based on impact or lack thereof

of flooding and upstream watersheds. The two upstream watersheds (Fig 2) flow into

Lawa Lake at locations adjacent to NNK and BT and contribute to egg input there.

Villages CCK and Lawa are in proximity to the Chi River and are most susceptible to

seasonal overland flooding. KSR and DPD are the villages most "downstream" and are

relatively isolated from major flooding or upstream drainage impacts. Villages within

the upstream watershed have not had as significant treatment and control programs as

the villages around Lawa Lake, and reports suggest that upstream villages still have

high infection prevalence values over 30%.

Fig 1: Connectivity between six village clusters around Lawa Lake (Map data: Landsat, USGS).

Fig 2: Two upstream sub-basins flow into Lawa Lake near NNK and BT, respectively (QGIS).

These hydrologic impacts seem to mirror trends in epidemiological patterns.

Previous research highlighted the north-to-south gradient of nitrogen and salinity in the

water that predicted higher snail abundance [13]. NNK, the southernmost village

cluster, had the highest infection prevalence at the time points when it was studied

(Table 1). KSR and DPD, the northernmost villages and the farthest from the Chi River,

had the lowest baseline prevalence of *O. viverrini* infection before the control program

started. In the single-village model, varying transmission parameters by village did not

fully capture the different patterns that occurred in the six village clusters when

connectivity was not included. Therefore, the role of hydrology and connectivity

between villages needs to be considered in the disease transmission model to better

account for the patterns observed.

Table 1: Infection prevalence (%) and mean intensity in positive individuals (EPG) for six village clusters around Lawa Lake. * indicates less sensitive diagnostic method (Kato-Katz or Kato thick smear).

Village Cluster	2008	2010	2011	2012	2014	2015
CCK	54.9% (593)		33.0%*	44.3% (139)		
Lawa	67.1% (501)	63.1% (108)	19.0%*	(16)		8.7%*
BT	61.9% (346)	37.2% (131)		35.0% (136)	9.0%*	14.2%*
NNK	74.1% (499)			50.0% (61)		
KSR	16.4% (101)					
DPD	22.1% (112)	36.5% (82)				14.6%*

To understand the effects of complex hydrologic factors on snail habitat, fish

access to these habitats, and the pathways of parasite transmission, a hydrologic model

of the Lawa Lake system was utilized to simulate flow patterns and changes in water

levels over time. This model is a rainfall/runoff model common in hydrology that

considers the transport of water through a system originating from upstream in the

catchment basin or from precipitation. It uses the Soil & Water Assessment Tool

(SWAT) model to generate runoff for the PCSWMM hydraulic model to determine

hydraulic parameters of Lawa Lake such as flood depth and extent, flow velocity, and

travel time [14]. The inputs for the SWAT model include meteorological data (rainfall,

temperature, relative humidity, and windspeed) from the Thai Meteorological

Department, soil type, land use, and a digital elevation model (DEM) generated from

satellite imagery. For the hydraulic model, hydrologic structures and key parts of Lawa

Lake were surveyed for elevation at 1m x 1m resolution using drone data, and a 2D

model integrating runoff, the improved DEM, and meteorological data from 2008 to

2016 was developed using PCSWMM. Outputs include water level and flow vectors for

the time points modeled between 2008 and 2016. Calibration was conducted with

available precipitation and gauge data in the area from the Bureau of Water

Management and Hydrology, Royal Irrigation Department, in Thailand. Fig 3

demonstrates an example of the variation in flows predicted by the model over the

course of a calendar year encompassing the rainy and dry seasons; arrow direction and

thickness represent the relative change in flow vectors. While all of the villages are

adjacent to Lawa Lake, inflows and outflows as well as the relevant snail and fish

populations are not static, homogeneously distributed, or relevant to each village. The

rainy season is characterized by high and active flows that generally peak in October

with flooding from the Chi River varying from year to year. The dry season has

relatively little hydrologic activity establishing connectivity between village clusters.

Fig 3: Hydrologic flows change dynamically throughout the year (semiquantitative interpretation of hydrology model results for an annual cycle) (Map data: Landsat, USGS).

Because gauge data was not available for the sub-basins upstream of Lawa Lake,

a Soil and Water Assessment Tool – Calibration and Uncertainty Procedures (SWAT-

CUP) model was used to calibrate and validate runoff into the Lawa Lake system from

these sources generated by the SWAT model. Inputs for the upstream sub-basins

included a 30m x 30m DEM, land use data, and soil type data from the Land

Development Department of Thailand. To map the hydrologic features of Lawa Lake

and finer scale structures, a drone was used to chart these areas in greater detail and

determine elevations where water was flowing into or out of Lawa Lake. Sub-basin

calibration and validation graphs are shown in Fig 4; 2006-2010 data was used for

calibration, and 2011-2013 data was used for validation. The R^2 values range from 0.61 to

0.81; both the calibration and validation models miss late peaks in their runs. In the case

of calibration, fitting the less extreme peaks may have disadvantaged the model from

predicting the major discharge in 2010. In the case of validation, the 2011 peak

discharge was better modeled, but 2013 was missed by a large margin for reasons that

are not entirely clear but may be related to the different timing of precipitation-driven

flooding in 2013 compared with other years.

Based on these data and tools, the presence/absence of connections between

parcels of water associated with different village clusters were assessed. For example,

year-round one would not expect KSR to contribute to transmission in Lawa or NNK

but would expect the reverse to be true. In March, when less rainfall and flooding occur,

each village cluster is relatively isolated, with the exception of the relatively weak

influence of near neighbors (Lawa to CCK or BT to DPD). The origin of flows is also

subject to a differential dilution effect; contaminated waste from the upstream

watershed (Fig 2) would more strongly impact NNK than DPD or KSR and would

experience the effects sooner.

The mathematical model of disease transmission (which incorporates data from

the hydrologic model as variables and parameters) is an expansion of the single-village

model described in [12] to connect the six village clusters and uses as its state variables

the infection prevalence in humans, reservoir hosts (cats and dogs), snails, and fish. Base

equations are included below in Equations (1) – (5). Initial values were set from the

baseline surveys in 2008, and base transmission parameters are carried over from the

single-village model found using Markov chain Monte Carlo (MCMC) methods to fit the

model onto known infection prevalence data as described below. The infection

prevalence data includes surveys using two different methods: formalin-ether

concentration technique (FECT) and Kato-Katz (or Kato thick smear). In Thailand, FECT

has been regarded as the significantly more sensitive method to detect *O. viverrini*

infection based on available data and because the protocol is intended to make

Fig 4: (i) Calibration curve for sub-basin 1 (2006-2010); (ii) validation curve for sub-basin 1 (2011-2013); (iii) calibration curve for sub-basin 2 (2006-2010); (iv) validation curve for sub-basin 2 (2011-2013); green is prediction uncertainty, blue is observed discharge, red is estimated discharge.

microscopic examination easier [15,16]. Within the fish state variable is a fish

demography model that captures the small window of time in the first few months of a

fish's life when it is susceptible to cercarial infection (before its scales harden and it

becomes more resistant). This model assumes a maximum fish lifespan of 4 years before

either being caught or natural death.

Using this approach, the fish (F) differential equations (4) and (5) solve for the

number of infected fish in a cluster, whereas the human (H, equation 1), reservoir host

(R, equation 2), and snail (S, equation 3) differential equations solve for infection

prevalence as in the single-village model. The egg inputs come from the Chi River and

the two upstream watersheds and affect the snail state variable, contributing to the

force of infection in that linkage; these time-varying parameters are derived on a

monthly basis from the rainfall-runoff model. Other egg inputs from open defecation

and disposal of septic tank sludge are not modeled due to lack of information about

where and when they occur. The egg inputs from overland flooding of the Chi River

were assumed to affect CCK and Lawa villages equally and were calculated by using

flow measurements from the river and multiplying by a scalar to relate the impact of

that water source with the upstream sub-basins. The first and second upstream sub-

basins' outflow were modeled to contribute eggs to the systems in BT and NNK

exclusively and multiplied by their own scalars to translate those flows into

contributions to human and reservoir host infection.

$$\frac{dI_{H,i}}{dt} = \beta_{FH,i}\left(1 - I_{H,i}(t)\right)\sum_{j=1}^{n} r_{FH_{i,j}}(t) * \gamma \sum_{k=1}^{3} I_{F_{j,k}}(t) - (\mu_H + \alpha_{PZQ}(t))I_{H,i}(t) \tag{1}$$

$$\frac{dI_{R,i}}{dt} = \beta_{FR,i}\left(1 - I_{R,i}(t)\right)\sum_{j=1}^{n} r_{FR_{i,j}}(t) * \gamma \sum_{k=1}^{3} I_{F_{j,k}}(t) - (\mu_R + \alpha_{PZQ,R}(t))I_{R,i}(t) \tag{2}$$

$$\frac{dI_{S,i}}{dt} = \beta_{HS,i}\left(1 - I_{S,i}(t)\right)\left(\sum_{j=1}^{n} r_{HS_{i,j}}I_{H,j}(t)N_{H,j}(t) + \sum_{j=1}^{n} r_{RS_{i,j}}I_{R,j}(t)N_{R,j}(t)\right) - \mu_S I_{S,i}(t) \tag{3}$$

$$\frac{dI_{F,i}^{0}}{dt} = \beta_{SF,i}\left(N_{F,i}^{0}(t) - I_{F,i}^{0}(t)\right)\lambda(t)\sum_{j=1}^{n} r_{SF_{i,j}}I_{S,j}(t)N_{S,j}(t) - \mu_F I_{F,i}^{0}(t) \tag{4}$$

$$\frac{dI_{F,i}^{1-3}}{dt} = -(\gamma + \mu_F)I_{F,i}^{1-3}(t) \tag{5}$$

Connectivity rates between patches were varied on a monthly basis between

2008 and 2016 based on the hydrology model results to account for snail and fish

mobility due to hydrologic connectivity. These connectivity parameters were derived

by assessing the fate and transport of parcels of water in a village cluster's area and

what proportions reached other village clusters in the Lawa Lake system. These r

parameters are unitless and vary from 0 to 1, describing the proportion of each village

cluster's force of infection for that host stage that affects each other village. Fig 5 shows

examples of how these connectivity parameters varied by village pairing, month, and

year (Figs 5-8 were produced in R using the ggplot2 package [17,18]).

The parameters carried over from the single-village model are listed in Table S1.

The β values are transmission parameters and are village cluster-specific (Table S2). The

r connectivity parameters are specific to each patch-to-patch relationship (Fig 1), γ is the

fish catch rate describing the fraction of the total fish population caught at each time

step, $\lambda(t)$ is a gating function to control fish birth, death, and aging processes, μ are

mortality rates, and α_{PZQ} are PZQ treatment events.

Fig 5: Village connectivity for BT-DPD, CCK-Lawa, and NNK-BT in 2008, 2012, and 2012

While a daily time scale could be preferable for assessing hydrologic impact,

historical data only captured month-to-month variability. Because human infection

survey data only measures prevalence and not incidence, this time scale is reasonable

for this study. From the hydrology model, the extent of water surface area at a suitable

depth (under 0.3m) for the contact events between juvenile cyprinid fish and the aquatic

snail intermediate hosts ("hotspots" for infection transfer) were used and to estimate N_s

and N_F. The transport time for a parcel of water between each village cluster was

employed to estimate the time scale of movement between the locations, but these

interactions happened on the order of days and not months and therefore the model's

time scale was not granular enough to introduce a time lag component. There was

insufficient data to track fish mobility between patches, so fishermen's movement data

from [19] was used to inform the exchange of fish in inter-village commerce as

contributing to human infection from other village clusters. Table 2 summarizes these

linkages and use of hydrology-related data in the disease transmission model. Fig 5

shows examples of the variability between village connectivity across months and years

for the human/reservoir-to-snail and snail-to-fish transmission processes (the

remaining connectivity parameter graphs are shown in the Appendix). The general

trends persist from year to year, but the timing of peaks differ and affect village

connections differently. The year 2008 produced stronger connectivity for BT-DPD and

NNK-BT, while 2010 had stronger connectivity for CCK-Lawa.

Table 2: Description of linkages between hydrology model and disease transmission model

Hydrology-related transmission impact	Quantification method	Retained in model?
Egg inputs from overland flooding	Rainfall-runoff model output from Chi River summarized on monthly basis	Yes
Egg inputs from upstream water basins	Sub-basin model output summarized on monthly basis	Yes
Snail and fish mobility	Snail: Patch connectivity from rainfall-runoff model; Fish: fishermen catch data	Yes
Snail and fish available habitat	Snail: Rainfall-runoff model output and GIS analysis; Fish: N/A	Yes
Hotspots for snail-to-fish contact	Rainfall-runoff model output and GIS analysis	No

Results

Fig 6 shows the metapopulation model results for the six village clusters in the

base scenario with the metapopulation model establishing relative connectivity between

villages. Prevalence data points are included, distinguishing between the more sensitive

FECT surveys and the less sensitive Kato method surveys. The heterogeneity of

outcomes reflects the data: some villages saw reductions in infection prevalence to less

than 10% (KSR, DPD), yet a few villages continued to have predicted prevalence values

greater than 20% (BT and NNK). The steep drops in the graph were treatment events,

when a subgroup of villagers was tested for infection and given praziquantel if they

tested positive (the model assumes 100% drug efficacy). Model simulations were run for

eight years between 2008 and 2016.

Fig 6: Metapopulation model run for human infection prevalence
in villages around Lawa Lake

Figs 7 and 8 show infection prevalence values for intermediate snail and fish

hosts. In snails, the prevalence cycles seasonally with most patch populations

decreasing to 0.1% from initial values of 0.2% (with the exception of Lawa where

prevalence approaches 0%). NNK has the highest final snail prevalence value at 0.18%,

which is well within the range of what would be expected in this type of environment.

For fish prevalence, because the initial conditions are disparate and based on baseline

survey data, the model behavior is quite different. There is a seasonal aspect to their

dynamics though this is dampened for most villages except NNK, where it is readily

apparent. The end prevalence values range from 8-41%, with CCK, Lawa, BT, and DPD

having the lowest values and NNK having the highest.

Fig 7: Snail prevalence values for metapopulation model

Fig 8: Fish prevalence values for metapopulation model

Discussion

Compared with the single-village model, the metapopulation model no longer

over-predicts final infection prevalence for the villages in 2016. Instead, the model now

occasionally under-predicts prevalence for some data points, notably, CCK in 2012. This

specific issue can be partially accounted for by the number of treatment-naïve

individuals included in the 2012 infection surveys. Interpretation of the data leads to

some specualtion about the meaning of the surveys and their different diagnostic

methods. BT stands out as the modeled village with the least improvement (though it

overpredicts the 2012 and 2014 data). According to control program managers, BT

villagers were the least participatory in the Lawa Project and may therefore have reaped

the least benefit from the control program. Given that this mathematical model is

primarily concerned with infectious indviduals actively shedding parasites into the

environment rather than asymptomatic cases, the Kato-based surveys from 2011, 2014,

and 2015 may actually capture the most infectious and relevant individuals in the

system and therefore be useful for thinking about infection prevalence patterns.

However, fitting all of the data points accurately would be nearly impossible since most

villages show non-monotonic patterns, and infection burden builds up over time.

Two major questions are, given the discrepancy between model fits and the data,

whether the data accurately reflects the reality of the disease transmission situation and

should the model by tuned with yet more parameters to get a close fit to the data. While

this dataset is relatively complete and informative by the standards of NTDs, it still

lacks enough time points, consistency in collection methods, and large enough sample

proportions of the populations to give a detailed picture of the *O. viverrini* infection

situation around Lawa Lake. The data (Table 1) show major swings across time points

and discrepancies between the survey methods. Therefore, while the FECT data was

used to fit via MCMC the transmission parameters in the single-village model, no

parameters were fit for the metapopulation model because of the increase in model

complexity and number of parameters (eighteen transmission parameters alone

compared with three per single-village model, plus seventy-two time-varying

connectivity parameters between the six village clusters). For this reason, the

connectivity parameters were derived exclusively from hydrology submodel results.

The metapopulation model is more believable than the single-village model in part

because of its inclusion of external hydrologic influences and connecitivity and in part

because the modeled behavior is more nuanced in the clustered patterns of village

prevalence change it exhibits. The lack of parameters fitted to this model structure and

the higher quality data that informed the hydrology model lend a realism to the

underlying mechanics of the metapopulation model that improves upon the relatively

straightforward transmission framework of the single-village model. The

metapopulation model is meant to capture patterns of transmission rather than exact

fits to the data. Nonetheless, the lack of information about differences in snail infection

and raw fish eating patterns between villages remains a weakness, as they could not be

incorporated into the model. Better data on these aspects of the transmission cycle

would further strengthen the modeling framework and bring it into greater alignment

with reality.

The patterns observed in these results support the sorting of the six village

clusters into three geographical clusters that exhibit different patterns and trends based

on human prevalence values. The first cluster, consisting of CCK and Lawa, is in close

proximity to the Chi River and is most susceptible to overland flooding experienced

during the rainy season. Its villages had high human prevalence values at the start of

the control program, which decreased sharply during the period of treatment and

control activity. These villages were the headquarters and major focal area of Lawa

Project activities, suggesting that they benefited the most from health education and

health volunteer engagement. The model is able to accurately account for the decrease

in prevalence without making any assumptions about reinfection. Additionally, these

villages are in close proximity to heavily fished waters in the lake, as supported by GPS

evidence and interviews described in [19].

The second cluster consists of BT and NNK, the villages to the south and

southeast of the lake. These village also had high prevalence values at the start of the

treatment and control program but experienced much more modest decreases when

comparing data from later infection surveys. These villages are most impacted by

upstream watersheds draining into Lawa Lake (Fig 2.2), where some villages still have

over 50% *O. viverrini* infection prevalence (B. Sripa, unpublished data). Consequently, if

open defecation or unsafe disposal of human or reservoir waste is occurring in these

watersheds, the runoff will disperse parasite eggs into the canals, ponds, and sections of

the lake in close proximity to the second cluster's villages. These villages are also

adjacent to the highest concentration of fish ponds in the system and were not a focus of

major emphasis for Lawa Project activities.

The third cluster is KSR and DPD, which are located to the northeast of Lawa

Lake. At the start of the control program, these villages had significantly lower

prevalence values, which may be attributable to a lower degree of baseline

environmental contamination. These villages were significantly affected by neither the

Chi River nor the upstream watersheds, so they experienced fewer external inputs of

infectious individuals or waterborne forms of the parasite into their local systems.

These villages were not adjacent to high concentrations of fish ponds or fishing activity

in their local waters and received less emphasis by the Lawa Project compared to the

first cluster.

Because of the low prevalence of snail infection, the lack of field survey data, and

the difficulty measuring snail prevalence precisely, strong claims cannot be made about

the model results for the snail intermediate host. However, most field surveys indicate

that snail prevalence in this region ranges between 0 and 0.2%, agreeing with the model

results [13]. With current diagnostic methods, differentiating between the clusters

would require surveys of tens of thousands of snails at least. In recent years, the

highest snail prevalence values found are still less than 10% [20]. Much like other snail-

borne diseases such as schistosomiasis though, only a few snails are required to

maintain transmission in an area because of the high number of cercariae they shed into

water bodies. Further understanding of where snails are most likely to be infected will

help with environmental measurement and control. Bottlenecks of water flow, such as

certain ditches and culverts, would concentrate fecal waste and parasite eggs and be

zones of likely contact with susceptible snail hosts. Eliminating or protecting these areas

could be an effective method of environmental control subject to proper coverage in the

environment of interest and patch/cluster connectivity.

Considering fish, infection prevalence is much higher and therefore it is easier to

discern differences between clusters. Because transmission is foodborne, we are

interested in the supply chain of food to consumers, which is not necessarily related to

proximity between where fish lived and where they are eaten. Fishermen, middlemen,

and merchants are all mobile and may choose to sell and distribute fish to other villages

to expand their market. In the model simulation, the relative ordering of low to high

fish prevalence values in fish hosts differ considerably from the results in humans, with

NNK and KSR having the highest infection prevalence. NNK's is driven largely by the

initial value, but KSR's is driven by dynamics, as its outcome is quite different from

Lawa's, which started with a similar prevalence level. Fish are infected by having

infectious snails releasing cercariae into areas with juvenile fish, so KSR is the cluster

with the greatest magnitude of this process taking place. Paying attention to fish

prevalence results and how they interact with patterns of fish commerce can help

identify where to target health education interventions related to cooking.

The model and the data that inform the model have limitations due to their

fragmentary nature. Other model assumptions ignore the impact of different parasite

burden levels in hosts and the age structure of human populations. The hydrology

model was calibrated and validated against available data, leading to a plausible

interpretation of the observed hydrologic behavior of the system. However, it could not

account for very local effects that eluded its time and spatial scale and may have

relevance for the points of contact between stages of the life cycle. The infection survey

data may not be representative of the host populations because of sampling bias, but its

overall spatial and temporal patterns align with local understanding and experience.

Conclusion

This work highlights a major driver of persistent *O. viverrini* infection in

northeast Thailand: a disturbed and dynamic hydrologic environment that mediates

parasite transmission between connected village clusters and environments. This was

accomplished by discussing and modeling five different means of hydrology

influencing parasite transmission and showing that its effects are significant and

complex, acting heterogeneously across the Lawa Lake system. While local infection

and contamination may be the main factor driving transmission at higher prevalence

levels, as prevalence declines and villages move toward elimination connectivity will

play a bigger role in maintaining the transmission cycle and preventing local

elimination of the parasite.

The connectivity between water bodies and villages ensures that elimination of

local infection is not possible without addressing upstream and adjacent environments.

If infectious fecal waste from human and reservoir hosts is continually flushed

downstream and the source is not treated, transmission will be restarted even if

previously disrupted. This argument supports an approach that first targets villages

and populations near headwaters and then proceeds further downstream while still

accounting for human mobility and migration that could reintroduce infection into a

previously cleared environment. Flood pulses and overland flooding also risk moving

parasites into isolated and disconnected environmental patches on an annual basis,

which requires constant treatment and attention to infection status of individuals in

those patches. Snail and fish mobility remain little understood but have major relevance

for *O. viverrini*'s life cycle, specifically how infection propagates in the environment.

Targeting the locations where snails and juvenile fish come into close proximity with

each other could be a promising environmental control technique but requires greater

knowledge about the conditions that enable this transmission process.

A linked disease transmission-hydrologic modeling approach was employed

here that uses hydrology model outputs as time-varying inputs in the disease

transmission model to account for seasonal effects of flooding and water movement

relevant to the intermediate hosts and waterborne forms of *O. viverrini*. Based on model

results, village clusters were grouped into three disease prevalence curve patterns based

on presence/absence of upstream and flooding impacts and history of control program

intensiveness. Considering these findings, we argue for the use of this modeling

approach and its results to inform environmental control of *O. viverrini* and for the need

for environmental surveillance. While the specifics of the hydrology, population

structure, and pathogen transmission cycle are local and specific in nature, this

approach can be replicated across a variety of disease systems that are impacted by

seasonally dynamic water movement.

References

[1] Sithithaworn P, Pipitgool V, Srisawangwong T, Elkins DB, Haswell-Elkins MR. Seasonal variation of Opisthorchis viverrini infection in cyprinoid fish in north-east Thailand: implications for parasite control and food safety. Bulletin of the World Health Organization. 1997;75(2):125.

[2] Wang YC, Yuen R, Feng CC, Sithithaworn P, Kim IH. Assessing the role of landscape connectivity on Opisthorchis viverrini transmission dynamics. Parasitology international. 2017 Aug 1;66(4):402-12.

[3] Grundy-Warr C, Andrews RH, Sithithaworn P, Petney TN, Sripa B, Laithavewat L, Ziegler AD. Raw attitudes, wetland cultures, life-cycles: socio-cultural dynamics relating to Opisthorchis viverrini in the Mekong Basin. Parasitology International. 2012 Mar 1;61(1):65-70.

[4] Aunpromma S, Tangkawattana P, Papirom P, Kanjampa P, Tesana S, Sripa B, Tangkawattana S. High prevalence of Opisthorchis viverrini infection in reservoir hosts in four districts of Khon Kaen Province, an opisthorchiasis endemic area of Thailand. Parasitology international. 2012 Mar 1;61(1):60-4.

[5] Haas W, Granzer M, Brockelman CR. Opisthorchis viverrini: finding and recognition of the fish host by the cercariae. Experimental Parasitology. 1990 Nov 1;71(4):422-31.

[6] Krishnamurthy D, Katsikis G, Bhargava A, Prakash M. Schistosoma mansoni cercariae swim efficiently by exploiting an elastohydrodynamic coupling. Nature Physics. 2017 Mar;13(3):266.

[7] Remais J, Liang S, Spear RC. Coupling hydrologic and infectious disease models to explain regional differences in schistosomiasis transmission in southwestern China. Environmental Science & Technology. 2008 Feb 20;42(7):2643-9.

[8] Rinaldo A, Bertuzzo E, Mari L, Righetto L, Blokesch M, Gatto M, Casagrandi R,

Murray M, Vesenbeckh SM, Rodriguez-Iturbe I. Reassessment of the 2010–2011 Haiti

cholera outbreak and rainfall-driven multiseason projections. PNAS. 2012 Apr

24;109(17):6602-7.

[9] Perez-Saez J, Mande T, Ceperley N, Bertuzzo E, Mari L, Gatto M, Rinaldo A.

Hydrology and density feedbacks control the ecology of intermediate hosts of

schistosomiasis across habitats in seasonal climates. PNAS. 2016 May 5:201602251.

[10] Tompkins AM, Ermert V. A regional-scale, high resolution dynamical malaria

model that accounts for population density, climate and surface hydrology. Malaria

Journal. 2013 Dec;12(1):65.

[11] Ziegler AD, Petney TN, Grundy-Warr C, Andrews RH, Baird IG, Wasson RJ,

Sithithaworn P. Dams and disease triggers on the lower Mekong river. PLoS NTDs.

2013 Jun 13;7(6):e2166.

[12] León TM, Porco TC, Kim CS, Kaewkes S, Kaewkes W, Sripa B, Spear RC. Modeling

liver fluke transmission in northeast Thailand: Impacts of development, hydrology, and

control. Acta Tropica. 2018 Dec;188:101-7.

[13] Kim CS, Echaubard P, Suwannatrai A, Kaewkes S, Wilcox BA, Sripa B. Seasonal

and spatial environmental influence on Opisthorchis viverrini intermediate hosts,

abundance, and distribution: insights on transmission dynamics and sustainable

control. PLoS NTDs. 2016 Nov 23;10(11):e0005121.

[14] Computational Hydraulics International [CHI] (2018). PCSWMM Modeling

Software. Available at: https://www.pcswmm.com

[15] Laoprom N, Laithavewat L, Kopolrat K, Kiatsopit N, Kaewkes S, Chantalux S,

Mongkolsin C, Chanmaha B, Andrews RH, Petney TN, Sithithaworn P. Evaluation of a

commercial stool concentrator kit compared to direct smear and formalin-ethyl acetate

concentration methods for diagnosis of parasitic infection with special reference to

Opisthorchis viverrini sensu lato in Thailand. The Southeast Asian Journal of Tropical

Medicine and Public Health. 2016 Sep;47(5):890-900.

[16] Saijuntha W, Duenngai K, Tangkawattana S, Petney TN, Andrews RH, Sithithaworn

P. Recent Advances in the Diagnosis and Detection of Opisthorchis viverrini Sensu Lato

in Human and Intermediate Hosts for Use in Control and Elimination Programs. In

Advances in Parasitology. 2018 (Vol. 101, pp. 177-214).

[17] R Core Team. R: A language and environment for statistical computing. R

Foundation for Statistical Computing, Vienna, Austria. https://www.R-project.org/.

2018.

[18] Wickham H. ggplot2: Elegant Graphics for Data Analysis. Springer; 2016.

[19] Kim CS, Smith JF, Suwannatrai A, Echaubard P, Wilcox B, Kaewkes S, Sithithaworn

P, Sripa B. Role of socio-cultural and economic factors in cyprinid fish distribution

networks and consumption in Lawa Lake region, Northeast Thailand: Novel

perspectives on Opisthorchis viverrini transmission dynamics. Acta Tropica. 2017

Jun;170:85-94.

[20] Kiatsopit N, Sithithaworn P, Saijuntha W, Boonmars T, Tesana S, Sithithaworn J,

Petney TN, Andrews RH. Exceptionally high prevalence of infection of Bithynia

siamensis goniomphalos with Opisthorchis viverrini cercariae in different wetlands in

Thailand and Lao PDR. The American Journal of Tropical Medicine and Hygiene. 2012

Mar;86(3):464-9.

 Supporting information

Table S1: Parameter values for single-village model

Parameter	Value	Units	Source	Symbol
Natural mortality of snails	1.37E-03	per day	Kruatrachue et al. 1982	μ_S
Parasite dependent mortality of snails	1.37E-03	per day	Chanawong & Waikagul 1991	α_S
Mortality of fish	6.85E-04	per day	Suvarnaraksha et al. 2011	μ_F
Parasite dependent mortality of fish	0	per day	Assumption (unstudied)	α_F
Natural mortality of humans	3.69E-05	per day	CIA 2015 (Factbook)	μ_H
Human infection clearance by praziquantel	Variable	Episodic	Treatment data from clinics	α_{PZQ}
Mortality of reservoir host	2.74E-04	per day	Local interview data	μ_R
Reservoir infection clearance by praziquantel	Variable	Episodic	Cat/dog treatment data from veterinarians	$\alpha_{PZQ,R}$
Transmission parameters (fish-to-human, fish-to-reservoir, human-to-snail, snail-to-fish)	See Table 3.5.1	per day per infectious host/worm	Equilibrium conditions and MCMC	$\beta_{FH}\beta_{FR}$, β_{HS},β_{SF}
Fish population	3000	fish	Estimate	N_F
Snail population	30000	snails	Estimate	N_S
Human population	Variable	humans	Village censuses	N_H
Cat and dog population	100	reservoir hosts	Estimate from village censuses	N_R
Mean human worm count	Variable	worms	Infection survey data	W_H
Mean reservoir worm count	Variable	worms	Infection survey data	W_R

Table S2: Beta transmission parameters for single village model

Equilibrium	CCK	Lawa	BT	NNK	KSR	DPD
Fish to Human	2.50E-10	7.24E-11	2.53E-10	1.81E-11	3.32E-07	2.68E-07
Human to Snail	8.90E-09	1.01E-08	4.92E-09	8.92E-09	6.56E-12	1.18E-11
Snail to Fish	7.34E-06	7.10E-06	3.40E-06	8.96E-06	1.67E-05	1.35E-05
MCMC	CCK	Lawa	BT	NNK	KSR	DPD
Fish to Human	2.95E-07	3.45E-07	7.26E-07	2.28E-07	3.30E-08	7.75E-08
Human to Snail	1.25E-08	2.53E-09	3.45E-09	2.26E-08	1.28E-08	1.48E-08
Snail to Fish	4.48E-06	7.03E-06	2.23E-06	2.28E-05	7.08E-06	3.89E-06

559