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2	The order of trait emergence in the evolution of cyanobacterial
3	multicellularity
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20 Abstract

The transition from unicellular to multicellular organisms is one of the most significant events 21 22 in the history of life. Key to this process is the emergence of Darwinian individuality at the higher level: groups must become single entities capable of reproduction for selection to 23 shape their evolution. Evolutionary transitions in individuality are characterized by 24 cooperation between the lower level entities and by division of labor. Theory suggests that 25 division of labor may drive the transition to multicellularity by eliminating the trade-off 26 27 between two incompatible processes that cannot be performed simultaneously in one cell. Here we examine the evolution of the most ancient multicellular transition known today, that 28 of cyanobacteria, where we reconstruct the sequence of ecological and phenotypic trait 29 30 evolution. Our results show that the prime driver of multicellularity in cyanobacteria was the 31 expansion in metabolic capacity offered by nitrogen fixation, which was accompanied by the emergence of the filamentous morphology and succeeded by a reproductive life cycle. This 32 33 was followed by the progression of multicellularity into higher complexity in the form of differentiated cells and patterned multicellularity. 34

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Key words: N₂ fixation, division of labor, filament, complexity, transition in individuality
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38 Significance Statement

The emergence of multicellularity is a major evolutionary transition. The oldest transition, that of cyanobacteria, has happened more than 3 to 3.5 billion years ago. We find N_2 fixation to be the prime driver of multicellularity in cyanobacteria. This innovation faced the challenge of incompatible metabolic processes since the N_2 fixing enzyme (nitrogenase) is sensitive to oxygen, which is abundantly found in cyanobacteria cells performing photosynthesis. At the

same time, N_2 -fixation conferred an adaptive benefit to the filamentous morphology as cells could divide their labour into performing either N_2 -fixation or photosynthesis. This was followed by the culmination of complex multicellularity in the form of differentiated cells and patterned multicellularity.

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49 Introduction

Multicellularity is considered a characteristic trait of eukaryotes, but has evolved 50 51 independently several times in diverse prokaryote taxa, including actinobacteria, myxobacteria, and cyanobacteria (Bonner 1998). Bacterial multicellularity ranges from 52 transient associations, such as colonies, biofilms and cellular aggregations, to permanent 53 multicellular forms (Shapiro 1988). Instances of multicellular bacterial species present the 54 major traits of eukaryotic multicellularity, including cell-to-cell adhesion, peri- or cytoplasmic 55 continuity, intercellular communication, patterning, programmed cell death (PCD), and 56 division of labor (Claessen et al. 2014). Aggregative forms of multicellularity are common 57 among bacterial species, for example, those that form a biofilm under specific external 58 conditions (Tarnita et al. 2013). Bacillus subtilis, for instance, forms biofilms upon nutrient 59 deprivation in which cells differentiate into motile, matrix producing, or spore cells depending 60 on the environmental cues (Claessen et al. 2014). Notably, cell differentiation in aggregates is 61 adaptive at the level of the individual cell as it directly confers a fitness benefit to that 62 particular cell. In contrast, under true division of labor, cells are interdependent upon each 63 other and specialize in performing complementary tasks. These tasks, e.g., somatic functions 64 or PCD, are not beneficial on the level of the individual cell, but are advantageous for the 65 colony; thus, they are emergent properties on a higher level of organization (van Gestel et al. 66 2015). 67

True division of labor in bacteria is best described in actinobacteria and cyanobacteria 68 69 (van Gestel et al. 2015). In cyanobacteria, the most complex of the filamentous species can differentiate up to five different cell types: vegetative (photosynthetic) cells, akinetes (spore-70 like cells), hormogonia (reproductive, motile filaments), necridia (dead cells resulting from 71 PCD for hormogonia release), and heterocysts (Claessen et al. 2014; Herrero et al. 2016). 72 Heterocysts differentiate under nitrogen deprivation and are specialized in nitrogen (N_2) 73 fixation by the enzyme nitrogenase (Frías et al. 1994). As this enzyme is sensitive to oxygen 74 (O₂), these cells are characterized by the absence of oxygenic photosynthesis and by a thick 75 cell wall, which maintains an anaerobic environment. Heterocysts and vegetative cells in the 76 77 filament are metabolically interdependent with the heterocysts providing combined nitrogen to the other cells within the filament and receiving fixed carbon compounds in return. 78 Heterocysts cannot reproduce hence they represent a prime example for emergent traits on the 79 level of a multicellular organism. 80

81 Cyanobacteria possess the hallmark traits reminiscent of complex eukaryotic 82 multicellularity, making the order of trait emergence essential for understanding the origin of 83 higher-level complexity in organismal evolution. Here we infer the evolutionary trajectory of 84 the emergence of traits in the evolution of multicellularity in cyanobacteria.

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86 Materials and Methods

87 Data

The primary data underlying this study consists of the genomic sequences and phenotypic traits of 199 representative cyanobacterial species. These were selected from the available genomes so that the number of represented taxa will be as large as possible and genus-level redundancy will be reduced (see supplementary table S1 for the complete list of species).

92

93 **Table 1**

- 94 Description of cyanobacterial cell types, morphological and physiological traits, their
- 95 habitat and life style.

	5
Cell types	
Vegetative cells	Photosynthetic cells.
Hormogonia*	Motile reproductive cells that result from repeated rounds of fission without
	intermittent growth phases. They break off the mother filament, ensuring the
	reproduction and dispersal of benthic species.
Necridia*	Dead cells resulting from PCD for hormogonia release.
HETEROCYSTS*	Thick-walled cells that are specialized in fixing N_2 .
AKINETES*	Thick-walled, spore-like cells that provide reproduction, dormancy, and resilience.
BAEOCYTES	Reproductive cells that result from repeated rounds of fission without intermittent growth phases.

Morphological and physiological traits

1 0	
UNICELLULAR	Single-celled morphology. After cell division cells separate.
FILAMENTOUS*	Multi-celled morphology. Cells remain attached after cell division.
NO N FIXATION	No Fixation of N ₂ into ammonium, in contrast to:
N FIXATION	Fixation of N_2 into ammonium.
Sheath	Part of the cell envelope, located outside the cell wall.
MUCILAGE	Part of the envelope, located outside the cell wall, comprised of EPS, without a
	defined structure.
GAS VESICLES*	Intracellular gas-filled chambers for regulating buoyancy in the water column.
MOTILITY	Movement across surfaces or through a liquid medium.
MULTIPLANE	Cell division in two or three perpendicular planes.
FISSION	
True	Fission in multiple planes leads to branching filaments that remain attached to
BRANCHING*	the main filament.
BRANCHING*	the main filament.

Habitat and life style

NON MARINE	Aquatic environments with salinity between 0-0.5ppt, and terrestrial habitats.		
MARINE	Environments with salinity between 30–50ppt.		
THERMOPHILIC	Optimal growth temperature above 45°.		
MICROBIAL	Growth inside thick, laminated, microbial structures.		
MATS			
UNATTACHED	Organism that lives unattached to a substrate (planktonic), in contrast to:		
ATTACHED	Organism that lives attached to a substrate (sessile/benthic).		
EPIPHYTIC	Growth on plants.		

* Multicellularity markers: traits that are adaptations on the level of the filament. Small caps indicate the traits that have been used in the analysis.

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99 <u>Phenotypic traits</u>

Phenotypic traits were chosen for their potential relevance to the evolution of multicellularity in cyanobacteria, such as environmental factors that might facilitate multicellularity and markers that are indicative for the transition to multicellularity (table 1).

Information on presence and absence of traits was obtained from the published literature and from the Pasteur Culture Collection of cyanobacteria, extending the work by Uyeda et al. 2016, and coded as binary trait states. Traits included morphology (unicellular, filamentous), nitrogen fixation (no N₂ fixation, N₂ fixation), habitat (marine/ non marine), baeocytes, hormogonia, thermophilic, akinetes, heterocysts, true branching, epiphytic, microbial mats, attached/ unattached, sheath, mucilage, gas vesicles, motility, and multiplane fission (table 1, supplementary table S1).

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111 Protein families and alignments

The cyanobacteria protein families were constructed from completely sequenced genomes 112 available in RefSeq database (O'Leary et al. 2016; ver. May 2016). For the construction of 113 protein families, at the first stage, all protein sequences annotated in the genomes were blasted 114 all-against-all using stand-alone BLAST (Altschul et al. 1990) ver. 2.2.26. Protein sequence 115 pairs that were found as reciprocal best BLAST hits (rBBHs) (Tatusov et al. 1997) with a 116 threshold of E-value $< 1 \times 10^{-5}$ were further compared by global alignment using needle (Rice 117 et al. 2000). Sequence pairs having \geq 30% identical amino acids were clustered into protein 118 families using the Markov clustering algorithm (MCL) (Enright et al. 2002) ver. 12-135 with 119 the default parameters. Multiple-copy gene families were discarded, resulting in an initial 120 dataset of 18,873 single-copy gene families. 121

Gene families were then extended to include homologous sequences from noncyanobacteria species, serving as outgroups for rooting purposes. We identified outgroup

homologues by an rBBH analysis of the Scytonema hofmanni PCC 7110 genome (the most 124 widely present species in the initial gene family dataset) against 26 high quality non-125 cvanobacteria genomes: Vampirovibrionia (12 genomes) and Sericytochromatia (2) (Soo et 126 al. 2017; Carnevali et al. 2019), the closest phyla Margulisbacteria (6), Saganbacteria (2), 127 Fusobacteria (1) and Firmicutes (1) (according to (Carnevali et al. 2019) and (Zhu et al. 128 2019); one reference anoxygenic photosynthetic genome from Chloroflexus aurantiacus J-10-129 fl and the Escherichia coli str. K-12 substr. MG1655 genome (see supplementary table S1). 130 The number of gene families with homologs ranged between 204 and 451 for the 26 outgroup 131 genomes. We selected six of these outgroups for further analyses: Vampirovibrio 132 133 chlorellavorus, Chloroflexales, Obscuri-PALSA-1081, Sericytochromatia-UBA7694, Bacillus subtilis, and Margulis-GWF2-35-9. Protein sequences of these families were aligned using 134 MAFFT version 7.027b employing the L-INS-i strategy (Katoh & Standley 2013). The 135 136 alignments are available in supplementary material online.

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138 Species tree reconstruction

The sequence data for the reconstruction of the cyanobacterial species tree consisted of 14 single-copy gene families that are present in all 199 cyanobacteria genomes and any of the six outgroup genomes. The species tree was inferred using IQ-TREE (Nguyen et al. 2015) in a partitioned analysis over the concatenated alignment of the 14 gene alignments (iqtree version 1.6.6.b with parameters -t BIONJ -keep-ident -mset LG -madd LG4X –spp). The unrooted species tree was rooted on the branch leading to the outgroup. The species tree is available in supplementary material online.

147 <u>Gene trees reconstruction</u>

148 To evaluate the robustness of inferences drawn from the species tree, we also reconstructed gene trees to provide 'gene support' measures. The gene trees dataset consisted of 553 single-149 copy gene families present in at least one genome from both sides of the root of the species 150 tree, and at least one of the six outgroup species. Gene trees were inferred using iqtree 151 (Nguyen et al. 2015) version 1.6.6.b with parameters -t BIONJ -keep-ident -mset LG -madd 152 153 LG4X). Trees were rooted on the branch separating the outgroup from the ingroup. A total of 138 trees where the outgroup sequences did not form a single partition were discarded. The 154 gene trees are available in supplementary material online. 155

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157 Inference of trait order

The traits presence/absence pattern was mapped onto the rooted species tree. The LCA of 158 159 each trait was assigned to the most recent node where the trait is present in any of the node's descendants. The species tree was traversed from root to tips to determine the order of trait 160 emergence. For each pair of traits we tested whether the order observed in the species tree was 161 reproduced in gene trees with at least two species displaying each of the two traits. For that 162 purpose, we repeated the trait-order analysis with the set of single-copy rooted gene trees, 163 including gene families that do not span the full taxa set. The support of the gene trees in the 164 conclusion based on the species trees is calculated as the proportion of gene trees where the 165 trait order is the same as in the species tree. 166

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168 **Results and Discussion**

To reconstruct the order of trait emergence in the evolution of cyanobacterial multicellularity, we evaluated 21 phenotypic traits variably present in 199 cyanobacterial species (table 1, supplementary table S1). The ability to perform photosynthesis is not included in our study as

it is universal to all cyanobacteria hence it is considered an ancestral trait (Garcia Pichel et al. 2020). We inferred a species tree from a partitioned analysis of 14 single copy core genes that are present in all 199 species. The species tree was rooted by inclusion of outgroup sequences from six bacterial species (see methods), with the root separating the genus *Gloeobacter* from all other cyanobacteria.

The rooting of cyanobacteria is a thorny issue, with two competing clades put forward 177 as basal lineages. Traditionally, Gloeobacter is assumed to be a basal lineage within 178 cvanobacteria since it is lacking well-defined thylakoids (Rippka et al. 1974; Mareš et al. 179 2019) that are considered a derived structure in the phylum. Several studies in the literature 180 thus used *Gloeobacter* for rooting the cyanobacterial species tree (e.g., Shih et al. 2012; Shi & 181 182 Falkowski 2008; Dagan et al. 2013; Sánchez-Baracaldo et al. 2014). Other studies, which 183 used midpoint rooting or MAD to root the cyanobacteria species tree, position the root on the branch separating between the pico-cyanobacteria (Synechococcus & Prochlorococcus, and 184 185 hereafter SynPro clade) and the remaining species (Szöllősi et al. 2012; Tria et al. 2017). This branch, however, is typically long in gene trees, as well as the species trees, hence it may 186 reflect a Long Branch Attraction (LBA) artifact (defined in Felsenstein 1978). 187

To evaluate whether the rooting placement is robust, we conducted gene-tree support 188 analyses by reconstructing trees for 553 cyanobacterial single-copy gene families along with 189 homologs from six different outgroup species (fig. 1, and methods). We then extracted the 190 rooted cyanobacterial subtree, while discarding 138 trees where the outgroup species formed 191 more than one group. We next characterize the trees by the pattern of the three cyanobacterial 192 subgroups: Gloeobacter (2 spp.), SynPro clade (32 spp.), and Other Cyanobacteria (165 spp.), 193 discarding 142 genes that are present in less than two genomes for each group. First, we 194 considered gene trees in which no group is present on both sides of the root. The group 195 appearing on its own as a lineage originating at the root is considered a basal group and the 196

tree is supporting a root located on the branch separating it from the others (left column in fig. 1). Next, if only one group appears on both sides of the root, this group is labeled as ancestral, and supports a root position within it (right column in fig. 1). We found no gene trees where more than one group appears on both sides of the root. We note that the gene trees may be discordant with the species tree, and that any of the groups may seem to be paraphyletic, either due to methodological artifacts (e.g. LBA involving the SynPro clade) or due to biological processes such as lateral gene transfer (LGT).

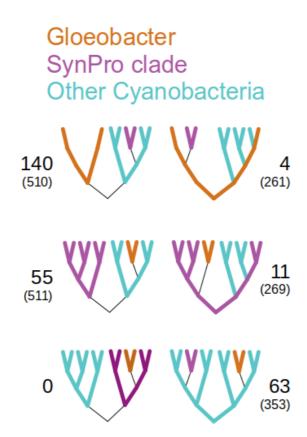


Figure 1. Support for three possible basal/ancestral cyanobacterial groups in 273 rooted gene trees. Numbers are number of gene families, median alignment length is in parenthesis.

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205 Our results reveal that the majority of gene trees identify the *Gloeobacter* lineage as a distinct

basal lineage stemming from the root, thus supporting the outgroup rooting of the species tree

207 on that branch.

208 The order of trait emergence in cyanobacterial evolution

We infer the order of trait emergence in cyanobacterial evolution by mapping the traits onto 209 210 the rooted species tree. The Last Common Ancestor (LCA) of each trait was assigned to the most recent node where the trait is present in any of the node's descendants. This is a 211 conservative approach in that for each trait it allows for a single origin with possible 212 subsequent losses (Dagan & Martin 2007). When the LCA of two traits coincides, we label 213 the two traits as 'simultaneous' at the resolution of the current taxa sample. When an LCA 214 node of a trait is a descendent of a second trait's LCA node, we conclude that the first trait 215 emerged earlier. A third possibility is that the LCAs of two traits are not nested, but this 216 relationship was not observed in any of the 210 trait pairs. The order of trait emergence is 217 218 depicted in fig. 2.

Next, we evaluated whether the trait order derived from a single species tree is also 219 supported by individual gene trees. We considered the set of single-copy gene families where 220 the gene is present in at least one species from both sides of the species tree root and at least 221 one outgroup species. The gene trees were rooted by the outgroup, and the order of pairs of 222 traits determined. In fig. 2 we report the percentage of gene trees that reproduces the species 223 tree ordering. The vast majority of trait pair orderings are supported by more than 50% of the 224 individual gene trees. Excluding the sequences from the SynPro clade from the gene trees led 225 to slightly better support of the gene trees in the order observed in the species tree 226 (supplementary fig. S1), suggesting that LBA artifacts associated with the SynPro clade may 227 lead to disagreement between the gene and species trees. We note that gene trees that are 228 discordant with the species tree generally had shorter alignment length and lower bootstrap 229 support (supplementary table S2 and S3). Our results thus show a high level of agreement 230 between the species tree and the gene trees, as is expected under an overall homogeneous yet 231 low frequency of LGT during bacterial evolution (Dagan & Martin 2007). 232

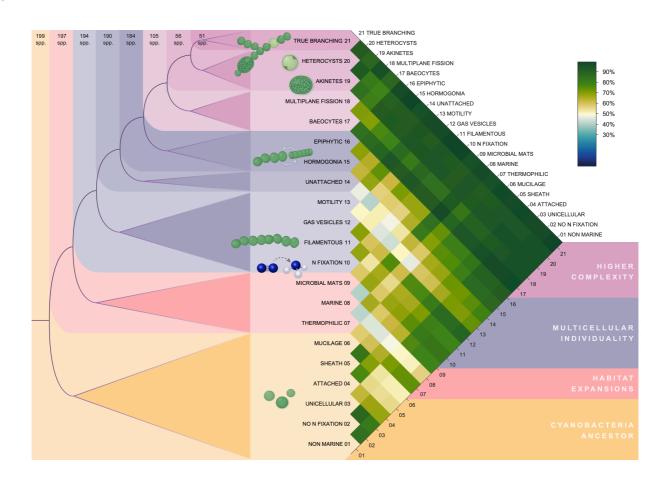


Figure 2. Order of trait emergence. Left: traits and their LCAs inferred from the rooted species tree. Colors mark traits with a common LCA. Colored boxes are nested, i.e., earlier traits are present also in the nested colors Right: Gene tree support for the relative order of pairs of traits. Cells in the matrix are shaded according to the proportion of gene trees that support the conclusion based on the species tree (according to the color bar on the right).

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In what follows we divide the inferred order of trait emergence into four temporal phases: (phase i) the cyanobacteria ancestor (traits 1-6); (phase ii) habitat expansions (traits 7-9); (phase iii) the transition to multicellular individuality (traits 10-16); and (phase iv) the evolution of higher complexity (traits 17-21).

240 The cyanobacterial ancestor and subsequent habitat expansion

241 The rooted tree topology supports the view that the cyanobacterial ancestor was characterized by traits that include UNICELLULAR and NO N-FIXATION (fig. 2). The ancestral state of both 242 traits as preceding the emergence of filamentous forms is debated in the literature - whereas 243 one study suggested the ancestor to be unicellular and the filamentous morphology to arise in 244 independent lineages of the cyanobacterial tree (Sánchez-Baracaldo et al. 2005), another view 245 posed that the filamentous morphology evolved early during cyanobacterial evolution and 246 was subsequently lost and regained several times (Schirrmeister et al. 2011). There are also 247 claims that the last cyanobacterial common ancestor already fixed N₂ (Tomitani et al. 2006), 248 there are however others that concluded that it could not fix N₂ and that cyanobacteria must 249 have acquired this trait several times independently (Sánchez-Baracaldo et al. 2005). 250

We can further deduce from our data that the cyanobacterial ancestor lived ATTACHED 251 and possessed a SHEATH and MUCILAGE. Whether the cyanobacteria ancestor lived ATTACHED 252 is a matter of debate and opposing views on the topic have been published (Sánchez-253 Baracaldo et al. 2005; Uyeda et al. 2016; Schopf 1993; Garcia-Pichel 1998; Sánchez-254 Baracaldo 2015). SHEATH and MUCILAGE are forms of extracellular polysaccharides (EPS), 255 located outside the cell wall, which in today's cyanobacteria are mainly involved in protecting 256 the cell from various stresses, such as UV and desiccation (Ehling-Schulz & Scherer 1999; 257 Potts 1994). Furthermore, we find the cyanobacteria ancestor to have most likely inhabited a 258 NON MARINE environment, agreeing with studies that suggest that early cyanobacteria lived in 259 freshwater or terrestrial habitats and subsequently diverged into marine environments (Dagan 260 et al. 2013; Uyeda et al. 2016). 261

The second phase in cyanobacterial evolution is expansion of the cyanobacterial habitat, indicated by the traits MARINE and THERMOPHILIC, which are both inferred to simultaneously occur with the ability to form MICROBIAL MATS. MICROBIAL MATS are dense

communities (Stal 1995) that typically present a laminated segregation of functional types.
They are often formed by cyanobacteria and are found in extreme habitats, such as deserts or
hot springs, characterized by temperatures between 30°C to 73°C (e.g., (Cox et al. 2011)).

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269 The emergence of N₂ fixation is at the origin of cyanobacterial multicellularity

Phase iii in our reconstruction comprises three sets of cyanobacterial traits (fig. 2). First, the 270 simultaneous emergence of the FILAMENTOUS morphology, N FIXATION, GAS VESICLES and 271 272 MOTILITY, followed by the trait UNATTACHED, and lastly by the co-occurrence of HORMOGONIA and EPIPHYTIC. During cyanobacterial N2 fixation, molecular dinitrogen (N2) is 273 reduced to ammonium (NH₃), a process that is catalyzed by the enzyme nitrogenase. Whereas 274 present day cyanobacteria, other microorganisms, and most plants are able to take up nitrogen 275 in various combined forms, such as nitrate, ammonium, organic nitrogen, or urea, these 276 277 combined forms of nitrogen are scarce in most environments (e.g., open oceans or terrestrial habitats (Zehr 2011)). Combined nitrogen, which is critical for the biosynthesis of amino and 278 nucleic acids, was likely a limiting resource in the early Earth environment (Kasting & Siefert 279 2001). 280

The realization of the full metabolic potential of N_2 fixation, however, faced the challenge of the incompatibility of nitrogenase with intracellular oxygen (Gallon 1981). When the cyanobacterial ancestor first acquired the capacity of N_2 fixation, it must have imposed a strong selection pressure on the individual cells. The trade-off between photosynthesis and nitrogen fixation led to the evolution of multiple solutions, which are still present in today's cyanobacteria: the circadian rhythm of N_2 fixation in unicellular cyanobacteria (Mitsui et al. 1986), specific cells devoted to N_2 fixation in undifferentiated filaments (Berman-Frank et al. 288 2003; Bergman et al. 2013), and the differentiation of the highly specialized heterocyst in 289 filamentous cyanobacteria (Flores et al. 2018).

Theory predicts that within a population of genetically identical unicellular nitrogen fixing cyanobacteria, cell differentiation and phenotypic heterogeneity would have been adaptive if this increased the fitness of the organisms in groups (Ispolatov et al. 2012). In the case of unicellular cyanobacteria this means that cells evolved adhesion and exchanged fixed nitrogen and carbon products within early cell groups such as filaments.

In filamentous cyanobacteria, dividing cells remain linked in a chain, resulting in a 295 localization of cells in close spatial proximity, facilitating metabolite exchange between the 296 individual cells. When compared to the more transient associations in spatially structured 297 communities, such as in extracellular polymeric substance (EPS) imbedded biofilms, the 298 development of filaments opens possibilities for a more direct exchange of molecules with 299 high specificity. Metabolic exchange could have evolved as described for the evolution of 300 metabolic cross-feeding (D'Souza et al. 2018), as the exchange of carbon and nitrogen against 301 302 other products is generally common in photosynthetic or nitrogen-fixing organisms (Kaiser et al. 2015). 303

The emergence of GAS VESICLES and MOTILITY traits signify the evolution from a stationary to a more active lifestyle, enabling cells to regulate their buoyancy in the water column. This result is further supported by the subsequent inference of UNATTACHED, which indicates the transition from a benthic to a planktonic lifestyle.

Thereafter the traits HORMOGONIA and EPIPHYTIC are inferred to occur simultaneously. The differentiation of HORMOGONIA can be induced by environmental stimuli, such as nitrogen deprivation (Flores & Herrero 2010). After breaking off from the mother filament at the necridia, HORMOGONIA disperse via gliding motility or float thanks to GAS VESICLES, ensuring the reproduction of benthic species (Rippka et al. 1979). HORMOGONIA with GAS VESICLES are thus important for distribution in aquatic environments as known from modern cyanobacteria (*Fischerella, Hapalosiphon, Tolypothrix*) (Komárek 2013). The close local association with plants, as indicated by EPIPHYTIC, however might have been the first step towards the initiation of one of the many symbioses between higher organisms and cyanobacteria, where HORMOGONIA serve as the infection units (Meeks & Elhai 2002).

Notably, the differentiation into HORMOGONIA is reversible, as they develop a sessile lifestyle, where they grow into a new vegetative filament (Flores & Herrero 2010). Here we observe the emergence of a life cycle with two distinct cell types, which is important for the transition to multicellularity (Hammerschmidt et al. 2014; Rose 2020).

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323 The evolution of cell differentiation leads to higher cyanobacterial complexity

A central innovation that is associated with this phase (iv) in the species tree is MULTIPLANE 324 FISSION. This trait co-occurred with the ability to produce BAEOCYTES, differentiated cells, 325 which are the reproductive stages in the order Pleurocapsales (Waterbury & Stanier 1978). 326 Notably, baeocyte-forming cyanobacteria, that have been traditionally grouped together with 327 unicellular cyanobacteria (Rippka et al. 1979), appear to immediately predate the evolution of 328 spore-like AKINETES and nitrogen-fixing HETEROCYSTS and thus emerge much later than 329 filamentous forms. Indeed, a recent study suggested that *Gloeocapsopsis* sp., a beocytous 330 cyanobacterium, harbors several characteristics that are in common with filamentous 331 cyanobacteria, including mechanisms of cell-cell communication (Urrejola et al. 2020). 332

The late timing and the co-occurrence of the two traits AKINETES and HETEROCYSTS, indicative of higher complexity, are in line with the view that the evolution of the heterocyst was relatively late in the history of filamentous cyanobacteria (Tomitani et al. 2006), and

where a common origin of akinetes and heterocysts has been proposed (Adams & Duggan 336 337 1999). HETEROCYSTS represent not only a morphological adaptation to the obstacle of N_2 fixation under oxic conditions but also an elaborate and highly specialized communication 338 and metabolite exchange system. In Anabaena sp., for example, where several hundred cells 339 communicate within a filament, a regular heterocyst formation pattern along the filament 340 must be achieved to guarantee that every cell is adequately supplied with fixed nitrogen 341 compounds (Herrero et al. 2016). For this, the inhibitory signaling peptide PatS needs to be 342 distributed along the filament with heterocyst formation occurring only in cells with low PatS 343 concentration (Yoon & Golden 1998). Whether the exchange of metabolites and regulators 344 345 happens via the continuous periplasm (Flores et al. 2006) or through septal junctions (Mullineaux et al. 2008) is still not fully resolved. 346

The trait that evolved last, based on the analysis, is TRUE-BRANCHING, where cells in a filament perform MULTIPLANE FISSION. TRUE-BRANCHING is characteristic for the members of the Haphalosiphon/Stigonematales clade. Our results confirm previous morphological and phylogenetic studies that found TRUE-BRANCHING to be the latest evolutionary innovation in cyanobacteria (Rippka et al. 1979; Dagan et al. 2013; Koch et al. 2017).

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353 Trade offs between incompatible processes lead to division of labor and stable 354 multicellularity

Common features of evolutionary transitions in individuality comprise cooperation between the lower level units (Bonner 1998) and the division of labor (Michod 2007). The latter might be of particular advantage, and serve as the driver of the transition to multicellularity when there is a strong trade-off between processes that cannot be performed in a single cell at one time (Michod 2007; Ispolatov et al. 2012). Our current findings support this theory and point

to nitrogen fixation, and its incompatibility with photosynthesis, as the trigger for the 360 evolution of multicellularity in cyanobacteria. One open question concerns how the 361 underlying genetics of novel traits, such as the division of labor, arise within a newly 362 emerging multicellular individual. In the case of cyanobacteria multicellularity, as also 363 suggested for animal multicellularity (Brunet & King 2017), we propose that no new genes 364 were required and that higher complexity was achieved by regulatory changes in gene 365 expression patterns. Basic communication and metabolite exchange was pre-existing as 366 single-celled bacteria frequently engage in cell-cell communication and cross-feeding of 367 metabolites via the external environment (D'Souza et al. 2018). Division of labor between 368 369 photosynthesis and nitrogen fixation was likely first established by the regulatory mechanism of temporal switching. Once simple forms of division of labor and metabolic exchange 370 existed, the transition into spatial separation in differentiated cells could have evolved mainly 371 by regulatory modifications. 372

373 Differentiated cells are one of the hallmarks of complex multicellularity. It is therefore significant that we observe six distinct cell types in cyanobacteria: photosynthetic, 374 hormogonia, necridia, akinetes, baeocytes, and heterocysts. Such a plurality indicates that the 375 underlying regulatory mechanisms are well developed and that their plasticity and 376 adaptability are a matter of course. It is also significant that three of the differentiated cell 377 types, hormogonia, akinetes, and baeocytes, offer novel reproductive potential and the 378 establishment of a multicellular life cycle. Moreover, signs of a nascent developmental plan 379 can be observed in both the distribution of heterocysts along filaments and in the patterning of 380 true branching cyanobacteria. These elements have no fitness value for the individual cell, but 381 are selectable adaptations on the higher level, the filament. The chronology of the evolution of 382 multicellularity in cyanobacteria shows that, once established, multicellular individuality 383 opens new vistas of opportunities. 384

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396 Author Contributions

K.H. and T.D. conceived the study. K.H. collected the traits data. G.L., F.D.K.T and J.A.
performed the analyses. K.H., G.L., and T.D. wrote the manuscript with contributions from
F.D.K.T. and J.A..

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401 **Declaration of Interests**

402 The authors declare no competing interests.

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