Title:

Sequential compression across latent space dimensions enhances gene expression

signatures

Authors:

Gregory P. Way[1,2] (0000-0002-0503-9348), Michael Zietz[2] (0000-0003-0539-630X),

Daniel S. Himmelstein[2] (0000-0002- 3012-7446) and Casey S. Greene[2*] (0000-0001-8713-

9213)

Affiliations:

[1]Genomics and Computational Biology Graduate Group, Perelman School of Medicine,

University of Pennsylvania, Philadelphia, PA 19104, USA.

[2]Department of Systems Pharmacology and Translational Therapeutics, University of

Pennsylvania, Philadelphia, PA 19104, USA.

Corresponding Author:

Casey S. Greene

10-131 SCTR 34th and Civic Center Blvd,

Philadelphia, PA 19104

Office: 215-573-2991

Fax: 215-573-9135

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Abstract:

Background

Unsupervised machine learning algorithms applied to gene expression data extract

latent, or hidden, signals representing technical and biological sources of variation. However,

these algorithms require a user to select a biologically-appropriate latent dimensionality.

Results

We compressed gene expression data from three large transcriptomic datasets

consisting of adult normal tissue, adult cancer tissue, and pediatric cancer tissue. Rather than

selecting a single latent dimensionality, we sequentially compressed these data into many

dimensions ranging from 2 to 200. We trained principal components analysis (PCA),

independent components analysis (ICA), non-negative matrix factorization (NMF), denoising

autoencoder (DAE), and variational autoencoder (VAE) models. We observed various tradeoffs

for each model. For example, we observed high model stability between PCA, ICA, and NMF

algorithms across latent dimensionalities. We identified more unique biological signatures in

DAE and VAE model ensembles in intermediate latent dimensionalities. However, we captured

the most pathway-associated features using all compressed features across algorithms,

ensembles, and dimensions. We also used multiple latent dimensionalities to optimize gene

expression signatures representing sample sex, neuroblastoma MYCN amplification, and

various blood cell types, which generalized to external datasets. In supervised machine learning

tasks, compressed features predicted cancer type and gene alteration status. In this setting, the

best performing supervised models used features from different dimensionalities and

compression algorithms indicating that there was no single best dimensionality or compression

algorithm.

Conclusions

47   Ensembles of features from different unsupervised algorithms discover biological

48 signatures in large transcriptomic datasets. To enhance biological signature discovery, rather

49 than compressing input data into a single pre-selected dimensionality, it is best to perform

50 compression on input data over many latent dimensionalities.

52 **Introduction:**

53   Dimensionality reduction algorithms compress input data into feature representations

that capture major sources of variation. Applied to gene expression data, compression

algorithms identify latent biological and technical processes. These processes reveal important

information about the samples and can help to generate hypotheses that are difficult or

impossible to observe in the original genomic space. For example, applying PCA to a large

cancer transcriptomic compendium determined the influence of copy number alterations in

gene expression measurements [1]. Applying ICA to transcriptome data aggregated gene

modules representing core pathways and hidden transcriptional programs [2,3]. Training NMF

models using bulk gene expression data estimated cell type proportion [4,5]. DAEs have

revealed latent signals characterizing oxygen exposure and transcription factor targets [6,7],

and VAEs have identified biologically relevant latent features discriminating cancer subtypes

and drug response [8,9]. Nevertheless, a major challenge to all compression applications is the

fundamental requirement that a researcher must determine the number of latent dimensions

(k) to compress the input data into.

Instead, it is possible that different biological signatures are best captured at different

latent space dimensionalities. To test this, we train and evaluate various compression models

across a wide range of latent space dimensionalities, from $k = 2$ to $k = 200$. We train PCA, ICA,

NMF, DAE, and VAE models using RNAseq gene expression data from three different datasets:

The Cancer Genome Atlas (TCGA) PanCanAtlas [10], the Genome Tissue Expression Consortium

Project (GTEx) [11], and the Therapeutically Applicable Research To Generate Effective

Treatments (TARGET) Project [12]. We demonstrate various model tradeoffs in reconstruction

cost, stability, and gene set coverage in training and testing sets across algorithms and latent

dimensionalities. We observe that several distinct gene expression signatures are optimized in

various models spanning low, intermediate, and high latent dimensionalities. We determine

that compressing gene expression data using various latent dimensionalities and algorithms

enhances biological signature discovery. We name this sequential compression approach

"BioBombe" after the large mechanical device developed by Alan Turing and other cryptologists

in World War II to decode encrypted messages sent by Enigma machines. BioBombe

sequentially compresses gene expression input data with increasing latent dimensions to

decipher and enhance biological signatures embedded within compressed gene expression

features.

Results:

BioBombe implementation

We compressed RNAseq data from TCGA, GTEx, and TARGET using PCA, ICA, NMF, DAE,

and VAE across 28 different latent dimensions (*k*) ranging from *k* = 2 to *k* = 200. We split each

dataset into 90% training and 10% test sets balanced by cancer type or tissue type and trained

models using only the training data. We used real and permuted data and initialized each

model five times per latent dimension resulting in a total of 4,200 different compression

models (**Additional File 1: Figure S1**). We evaluated hyperparameters for DAE and VAE models

across dimensions and trained models using optimized parameter settings (**Additional File 2**;

Additional File 1: Figure S2). See **Fig. 1** for an outline of our approach. We provide full

BioBombe analysis results for all compression models across datasets for both real [13–15] and

permuted data [16–18] in both training and test sets as publicly available resources.

Figure 1: *Overview of the BioBombe approach.* We implemented BioBombe on three datasets
using five different algorithms. We sequentially compressed input data into various latent
dimensionalities. We calculated various metrics that describe different benefits and trade-offs of
the algorithms. Lastly, we implemented a network projection approach to interpret the
compressed latent features. We used MSigDB collections and xCell gene sets to interpret
compressed features.

Assessing compression algorithm reconstruction

Reconstruction cost, a measurement of the difference between the input and output

matrices, is often used to describe the ability of compression models to capture fundamental

processes in latent space features that recapitulate the original input data. We tracked the

reconstruction cost for the training and testing data partitions for all datasets, algorithms,

latent dimensions, and random initializations. As expected, we observed lower reconstruction

costs in models trained with real data and with higher latent dimensions (**Additional File 1:**

Figure S3). Because PCA and ICA are rotations of one another, we used the identical scores as a

positive control. All compression algorithms had similar reconstruction costs, with the highest

variability at low latent dimensions (**Additional File 1: Figure S3**).

Evaluating model stability and similarity within and across latent dimensions

We applied singular vector canonical correlation analysis (SVCCA) to algorithm weight

matrices to assess model stability within algorithm initializations, and to determine model

similarity between algorithms [19]. Briefly, SVCCA calculates similarity between two

compression algorithm weight matrices by learning appropriate linear transformations and

iteratively matching the highest correlating features. Training with TCGA data, we observed

highly stable models within algorithms and within all latent dimensionalities for PCA, ICA, NMF

(along the matrix diagonal in **Fig 2a**). VAE models were also largely stable, with some decay in

higher latent dimensions. However, DAE models were unstable, particularly at low latent

dimensions (**Fig 2a**). We also compared similarity across algorithms. Because PCA and ICA are

rotations of one another, we used the high stability as a positive control for SVCCA estimates.

Figure 2: *Assessing algorithm and dimension stability with singular vector canonical correlation analysis (SVCCA).* **(a)** SVCCA applied to the weight matrices learned by each compression algorithm in gene expression data from The Cancer Genome Atlas (TCGA). The mean of all canonical correlations comparing independent iterations is shown. The distribution of mean similarity represents a comparison of all pairwise iterations within and across algorithms. The

upper triangle represents SVCCA applied to real gene expression data, while the lower triangle
represents permuted expression data. Both real and permuted data are plotted along the
diagonal. **(b)** Mean correlations of all iterations within algorithms but across k dimensions. SVCCA
will identify min(i, j) canonical vectors for latent dimensions k_i and k_j. The mean of all pairwise
correlations is shown for all combinations of k dimensions.

NMF was also highly similar to PCA and ICA, particularly at low latent dimensions (**Fig. 2a**). VAE

models were more similar to PCA, ICA, and NMF than DAE models, particularly at low latent

dimensions, and the instability patterns within DAE models also lead to large differences across

algorithms (**Fig. 2a**). We observed similar patterns in GTEx and TARGET data, despite TARGET

containing only about 700 samples (**Additional File 1: Figure S4**).

We also used SVCCA to compare the similarity of weight matrices across latent

dimensions. Both PCA and ICA found highly similar solutions across all dimensions (**Fig. 2b**). This

is expected since the solutions are deterministic and are arranged with decreasing amounts of

variance. NMF also identified highly similar solutions in low dimensions, but solutions were less

similar in higher dimensions. DAE solutions were the least similar, with intermediate

dimensions showing the lowest mean similarity. VAE models displayed relatively high model

similarity, but there were regions of modest model stability in intermediate and high

dimensions (**Fig. 2b**). We observed similar patterns in GTEx and TARGET data (**Additional File 1:**

Figure S5).

Sequential compression can enhance gene expression signature discovery

We tested the ability of BioBombe sequentially compressed features to isolate various

biological signatures. First, we tested the ability to differentiate sample sex; which has been

previously observed to be captured in latent space features [8,20,21]. We performed a two-

tailed t-test comparing male and female samples in GTEx across all initializations, algorithms,

and latent dimensions. We optimally identified this phenotype in higher latent dimensions,

particularly in VAE and NMF models (**Fig. 3a**). The top feature separating GTEx males and

females was VAE feature 108 in $k = 200$ ($t = 49.0$, $p = 2.7 \times 10^{-285}$) (**Fig 3b**). We performed the

same approach using BioBombe features in TCGA data. Whereas the largest models appeared

to capture sex optimally in GTEx data, intermediate latent dimensions best captured sex in

TCGA data (**Fig. 3c**). The top latent dimension identified was not consistent across algorithms.

The top feature distinguishing TCGA males and females was VAE feature 16 in the $k = 20$ model

($t = -13.9$, $p = 1.8 \times 10^{-40}$) (**Fig. 3d**).

We also tested the ability of BioBombe to distinguish MYCN amplification in

neuroblastoma (NBL) tumors. MYCN amplification is a biomarker associated with poor

prognosis in NBL patients [22]. Using latent features derived from the full TARGET data, we

performed a two-tailed t-test comparing MYCN amplified vs. MYCN not amplified NBL tumors.

Each algorithm discovered optimal signal at various latent dimensions, but the best feature was

identified in VAE models at $k = 200$ (**Fig. 3e**). Although there were some potentially

mischaracterized samples, feature 111 in VAE $k = 200$ robustly separated MYCN amplification

status in NBL tumors ($t = 17.5$, $p = 3.0 \times 10^{-37}$) (**Fig. 3f**). This feature also distinguished MYCN

amplification status in NBL cell lines [23] that were previously not used for training by the

compression model or for feature selection ($t = 2.9$, $p = 7.1 \times 10^{-3}$) (**Fig. 3g**).

Figure 3: *Using BioBombe as a signature discovery tool.* Detecting GTEx sample sex across **(a)** various latent dimensions and algorithms, and **(b)** the latent feature with the highest enrichment. Detecting TCGA patient sex across **(c)** various latent dimensionalities, and **(d)** the latent feature with the highest enrichment. Detecting TARGET MYCN amplification in neuroblastoma (NBL) tumors **(e)** across various latent dimensions, and **(f)** the latent feature with the highest enrichment. **(g)** Applying the MYCN signature to an external dataset of NBL cell lines implicates MYCN amplified cell lines.

Assessing gene set coverage of compression models

We used gene sets from Molecular Signatures Database (MSigDB) and xCell [24–26] to

interpret biological signals activated in compressed features across all latent dimensionalities,

algorithms, and initializations. We applied a network projection approach to model weight

matrices to determine gene set coverage (see methods for more details). Specifically, we

tracked coverage of three MSigDB gene set collections representing transcription factor (TF)

targets, cancer modules, and Reactome pathways across latent dimensions in TCGA data (**Fig.**

4). In all cases, we observed higher gene set coverage in models with larger latent

dimensionalities. Considering individual models, we observed high coverage in PCA, ICA, and

NMF. In particular, ICA outperformed all other algorithms (**Fig. 4a**). However, while these

methods showed the highest coverage, the features identified had relatively low enrichment

scores compared to AE models (**Additional File 1: Figure S6**).

Aggregating all five random initializations into ensemble models, we observed

substantial coverage increases, especially for AEs (**Fig. 4b**). VAE models had high coverage for all

gene sets in intermediate dimensions, while DAE improved in higher dimensions. However, at

the highest dimensions, ICA demonstrated the highest coverage. NMF consistently had the

highest enrichment scores, but the lowest coverage (**Fig. 4b**). When considering all models

combined (forming an ensemble of algorithm ensembles) within latent dimensionalities, we

observed substantially increased coverage of all gene sets. However, most of the unique gene

sets were contributed by the AE models (**Fig. 4c**). Lastly, when we aggregated all BioBombe

features across all algorithms and all latent dimensions together into a single model, we

observed the highest gene set coverage (**Fig. 4c**). These patterns were consistent across other

Figure 4: *Assessing gene set coverage of specific gene set collections.* Tracking results in TCGA data for three gene set collections representing transcription factor (TF) targets (C3TFT), Reactome pathways (C2CPREACTOME), and cancer modules (C4CM). **(a)** Tracking coverage in individual models, which represents the distribution of scores across five algorithm iterations. **(b)** Tracking coverage in ensemble models, which represents coverage after combining all five iterations into a single model. The size of the point represents relative enrichment strength. **(c)** Tracking coverage in all models combined within *k* dimensions. The number of algorithm-specific unique gene sets identified is shown as bar charts. Coverage for all models combined across all *k* dimensions is shown as a dotted navy blue line.

gene set collections and datasets (**Additional File 1: Figure S7**). In general, while models

compressed with larger latent space dimensions had higher gene set coverage, many individual

gene sets were captured with the highest enrichment in models with low and intermediate

dimensions (**Additional File 1: Figure S8**). These results indicated that biological signature

discovery is enhanced when using various compression algorithms with various latent space

dimensionalities.

Observing the latent dimensionality of specific tissue and cell type signatures

We measured the Pearson correlation between all samples' gene expression input and

reconstructed output. As expected, we observed increased mean correlation and decreased

variance as the latent dimensions increased in TCGA data (**Fig. 5a**). We also observed similar

patterns in GTEx and TARGET data (**Additional File 1: Figure S9**). Across all datasets, in

randomly permuted data, we observed correlations near zero (**Additional File 1: Figure S9**). The

correlation with real data was not consistent across all algorithms as PCA, ICA, and NMF

generally outperformed the AE models.

We tracked correlation differences across latent dimensionalities to determine the

dimension at which specific sample types are initially detected. Most cancer types, including

breast invasive carcinoma (BRCA) and colon adenocarcinoma (COAD), displayed relatively

gradual increases in sample correlation as the latent dimensionality increased (**Fig. 5b**).

However, in other cancer types, such as low grade glioma (LGG), pheochromocytoma and

paraganglioma (PCPG), and acute myeloid leukemia (LAML), we observed large correlation

gains with a single increase in latent dimension (**Fig. 5c**). We also observed similar performance

spikes in GTEx data for several tissues including liver, pancreas, and blood (**Fig. 5d**). This sudden

and rapid increase in correlation in specific tissues occurred at different latent dimensions for

different algorithms, but was consistent across algorithm initializations.

Figure 5: *Different latent dimensionalities implicate different tissue types.* **(a)** Sample Pearson
correlation for all data in the testing data partition for The Cancer Genome Atlas (TCGA). The
different algorithms follow the legend provided in panel d. **(b)** Mean Pearson correlation for
select cancer types in the testing data partition. Pearson correlation gain between sequential
latent dimensions for **(c)** select cancer types in TCGA and **(d)** select tissue-types in GTEx.

We more closely examined the sharp increase in GTEx blood tissue correlation between

latent space dimensions 2 and 3 in VAE models (See **Fig. 5d**). We hypothesized that a difference

in reconstruction for a specific tissue at such a low dimensionality could be driven by a change

in the cell types captured by the model. We applied network projection of xCell gene sets to all

compressed features in both VAE models. xCell gene sets represent computationally derived

cell type signatures [25]. The top features identified for the VAE k = 2 model included skeletal

muscle, keratinocyte, and neuronal gene sets (**Fig. 6a**). Skeletal muscle was the most significant

gene set identified likely because it the tissue with the most samples in GTEx. Similar gene sets

were enriched in the k = 3 model, but we also observed enrichment for a specific neutrophil

gene set ("Neutrophils_HPCA_2") (**Fig. 6a**). Neutrophils represent 50% of all blood cell types,

which may explain the increased correlation in blood tissue observed in VAE k = 3 models. The

features implicated using the network projection approach were similar to an

overrepresentation analysis using high weight genes in both tails of the VAE k = 3 feature

(**Additional File 1: Figure S10**).

We also calculated the mean absolute value z scores for xCell gene sets in all

compression features for both VAE models with k = 2 and k = 3 dimensions (**Fig. 6b**). Again, we

observed skeletal muscle, keratinocytes, and neuronal gene sets to be enriched in both models.

However, we also observed a cluster of monocyte gene sets (including

"Monocytes_FANTOM_2") with enrichment in k = 3, but low enrichment in k = 2 (**Fig. 6b**).

Monocytes are also important cell types found in blood, and it is probable these signatures also

contributed to the increased correlation for the reconstructed blood samples in VAE k = 3

models. We provide the full list of xCell gene set genes for the neutrophil and monocyte gene

sets that intersected with the GTEx data in **Additional File 3**.

Figure 6: *Interpreting blood cell types in GTEx using xCell gene sets.* **(a)** Comparing BioBombe scores of all compressed latent features for variational autoencoder (VAE) models when bottleneck dimensions are set to *k* = 2 and *k* = 3. **(b)** Comparing mean BioBombe Z scores of aggregated latent features across two VAE models with *k* dimensions 2 and 3. Tracking the BioBombe Z scores of **(c)** "Neutrophils_HPCA_2" and **(d)** "Monocytes_FANTOM_2" gene sets across dimensions and algorithms. Only the top scoring feature per algorithm and dimension is shown. **(e)** Projecting the VAE feature *k* = 3 feature and the highest scoring feature (VAE *k* = 14) that best captures a neutrophil signature to an external dataset measuring neutrophil differentiation treatments (GSE103706). **(f)** Projecting the VAE *k* = 3 feature that best captures monocytes and the feature of the top scoring model (NMF *k* = 200) to an external dataset of isolated hematopoietic cell types (GSE24759).

We scanned all other algorithms and latent dimensions to identify other compression

features with high enrichment scores in the "Neutrophils_HPCA_2" (**Fig. 6c**) and

"Monocytes_FANTOM_2" gene sets (**Fig. 6d**). We observed stronger enrichment of the

"Neutrophil_HPCA_2" gene set in AE models compared to PCA, ICA, and NMF, especially at

lower latent dimensions. We observed the highest score for the "Neutrophil_HPCA_2" gene set

at k = 14 in VAE models (**Fig. 6c**). The top VAE feature at k = 14 correlated strongly with the VAE

feature learned at k = 3 (**Additional File 1: Figure S10**). Conversely, PCA, ICA, and NMF

identified the "Monocytes_FANTOM_2" signature with higher enrichment than the AE models

(**Fig. 6d**). We observed a performance spike at k = 7 for both PCA and NMF models, but the

highest enrichment for "Monocytes_FANTOM_2" occurred at k = 200 in NMF models.

Validating GTEx neutrophil and monocyte signatures in external datasets

We downloaded a processed gene expression dataset (GSE103706) that applied two

treatments to induce neutrophil differentiation in two leukemia cell lines [27]. We hypothesized

that projecting the dataset on the "Neutrophil_HPCA_2" signature would reveal differential

scores in the treated cell lines. We observed large differences in sample activations of treated

vs untreated cell lines in the top Neutrophil signature (VAE k = 14) (**Fig. 6e**). We also tested the

"Monocytes_FANTOM_2" signature on a different publicly available dataset (GSE24759)

measuring gene expression of isolated cell types undergoing hematopoiesis [28]. We observed

increased scores for isolated monocyte cell population (MONO2) and relatively low scores for

several other cell types for top VAE features (**Fig. 6f**).

We applied the top signatures for the neutrophil and monocyte gene sets to each

external dataset (see **Fig. 6c, d**). We observed variable enrichment patterns across different

algorithms and latent dimensionalities (**Additional File 1: Figure S11a**). These separation

patterns were associated with network projection scores in NMF models, but were not

consistent with other algorithms (**Additional File 1: Figure S11b**). Taken together, in this

analysis we determined that 1) adding a single latent dimension that captured Neutrophil and

Monocyte signatures improved signal detection in GTEx blood, 2) these gene expression

signatures are enhanced at different latent dimensionalities and by different algorithms, and 3)

these signatures generalized to external datasets that were not encountered during model

training.

Using BioBombe features in supervised learning applications

We used BioBombe compressed features in two supervised machine learning tasks.

First, we trained logistic regression models using compressed BioBombe features from

individual model iterations as input to predict each of the 33 different TCGA cancer types.

Nearly all cancer types could be predicted with high precision and recall (**Additional File 1:**

Figure S12). We observed multiple performance spikes at varying latent dimensionalities for

different cancer types and algorithms, which typically occurred in small latent dimensions (**Fig.**

7a). Next, we input BioBombe features into the supervised classifier to predict samples with

alterations in the top 50 most mutated genes in TCGA (**Additional File 1: Figure S13**). We

focused on predicting four cancer genes and one negative control; *TP53*, *PTEN*, *PIK3CA*, *KRAS*,

and *TTN* (**Fig. 7b**). *TTN* is a particularly large gene and is associated with a high passenger

mutation burden and should provide no predictive signal [29]. As expected, we did not observe

any signal in predicting *TTN* (**Fig. 7b**). Again, we observed performance increases at varying

latent dimensionalities across algorithms. However, predictive signal for mutations occurred at

higher latent dimensions compared to cancer types (**Fig. 7c**). Compared to features trained

within algorithm and within iteration, an ensemble of five VAE models and an ensemble of five

models representing one iteration of each algorithm (PCA, ICA, NMF, DAE, and VAE), identified

cancer type and mutation status in earlier dimensions compared to single model iterations (**Fig**

7c). We also tracked the logistic regression coefficients assigned to each compression feature.

DAE models consistently displayed sparse models, and the VAE ensemble and model ensemble

also induced high sparsity (**Fig. 7d**).

Lastly, we trained logistic regression classifiers using all 30,850 BioBombe features

generated across iterations, algorithms, and latent dimensions. These models were sparse and

high performing; comparable to logistic regression models trained using raw features (**Fig. 7e**).

Of all 30,850 compressed features in this model, only 317 were assigned non-zero weights

(1.03%). We applied the network projection approach using Hallmark gene sets to interpret the

biological signatures of the top supervised model coefficients. The top positive feature was

derived from a VAE trained with k = 200. The top hallmarks of this feature included

"ESTROGEN_RESPONSE_EARLY", "ESTROGEN_RESPONSE_LATE", and "P53_PATHWAY". The top

negative feature was derived from a VAE trained with k = 150 and was associated with hallmark

genesets including "BILE_ACID_METABOLISM", "EPITHELIAL_MESENCHYMAL_TRANSITION",

and "FATTY_ACID_METABOLISM". **Additional File 4** includes a full list of logistic regression

coefficients and hallmark network projection scores. Overall, the features selected by the

supervised classifier were distributed across algorithms and latent dimensions suggesting that

combining signatures across dimensionalities and algorithms provided the best representation

of the signal (**Fig. 7f**).

Discussion:

Our primary observation is that compressing complex gene expression data using

multiple latent dimensionalities and algorithms enhances biological signature discovery. Across

multiple latent dimensionalities, we identified optimal features to stratify sample sex, MYCN

amplification, blood cell types, cancer types, and mutation status. Furthermore, the complexity

of biological features was associated with the number of latent dimensions used. We predicted

gene mutation using models with high dimensionality, but we detected cancer type with high

accuracy using models with low dimensionality. In general, unsupervised learning algorithms

applied to gene expression data extract biological and technical signals present in input

samples. When applying these algorithms, researchers must determine how many latent

dimensions to compress their input data into and different studies can have a variety of goals.

For example, compression algorithms used for visualization can stratify sample groups based on

the largest sources of variation [30–35]. In visualization settings, selecting a small number of

latent dimensions is often best, and there is no need for sequential compression. However, if

the analysis goal includes learning biological signatures to identify more subtle patterns in input

samples, then there is not a single optimal latent dimensionality nor optimal algorithm. While

compressing data into a single latent dimension will capture many biological signals, the

"correct" dimension is not always clear, and several biological signatures may be better

revealed in alternative latent dimensions.

Figure 7: *Using BioBombe sequential compression in The Cancer Genome Atlas (TCGA) as features in supervised machine learning tasks.* Predicting **(a)** cancer-type status and **(b)** gene mutation status for select cancer-types and important cancer genes using five compression algorithms and two ensemble models. The area under the precision recall (AUPR) curve for cross validation (CV) data partitions is shown. The blue lines represent predictions made with permuted data input

into each compression algorithm. The dotted lines represent AUPR on untransformed RNAseq
data. The dotted gray line represents a hypothetical random guess. **(c)** Tracking the average
change in AUPR between real and permuted data across latent dimensions and compression
models in predicting (*top*) cancer types and (*bottom*) mutation status. The average includes the
five cancer types and mutations tracked in panels a and b. **(d)** Tracking the sparsity and
performance of supervised models using BioBombe compressed features in real and permuted
data. **(e)** Performance metrics for the all-compression feature ensemble model predicting *TP53*
alterations. (*left*) Receiver operating characteristic (ROC) and (*right*) precision recall curves are
shown. **(f)** The average absolute value weight per algorithm for the all-compression-feature
ensemble model predicting *TP53* alterations. The adjusted scores are acquired by dividing by the
number of latent dimensions in the given model.
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If optimizing a single model, a researcher can use one or many criteria to select an

appropriate latent dimension. Measurements such as Akaike information criterion (AIC),

Bayesian information criterion (BIC), stability, and cross validation (CV) can be applied to a

series of latent dimensionalities [36,37]. Other algorithms, like Dirichlet processes, can naturally

arrive at an appropriate dimension through several algorithm iterations [38]. Hidden layer

dimensions of unsupervised neural networks are tunable hyperparameters defined by expected

input data complexity and performance. However, applied to gene expression data these

metrics often provide conflicting results and unclear suggestions. In genomics applications, the

method Thresher uses a combination of outlier detection and PCA to identify the optimal

number of clusters [39]. Compression model stability can also be used to determine an optimal

latent dimensionality in gene expression data [40]. By considering only reproducible features,

ICA revealed 139 modules from nearly 100,000 publicly available gene expression profiles [41].

However, rather than using heuristics to select a biologically-appropriate latent dimension, a

researcher may instead elect to compress gene expression data into many different latent

space dimensionalities to generate many different feature representations.

There are many limitations to our approach and analysis. First, our approach takes a

long time to run. We are training many different algorithms across many different latent

dimensions and iterations, which requires a lot of compute time. However, because we are

training many models independently, this task can be parallelized. Additionally, we did not

evaluate dimensions above $k = 200$. It is likely that many more signatures can be learned, and

possibly with even higher association strengths in higher dimensions for certain biology. We

also do not have a mechanism to detect compressed features that represent technical artifacts.

Moreover, we did not explore adding hidden layers in AE models. Many models trained on gene

expression data have benefited from using multiple hidden layers in neural network

architectures [7,42]. Additional methods, like DeepLift, can be used to reveal gene importance

values in internal representations of deep networks [43,44].

An additional challenge is interpreting the biological content of the compressed gene

expression features. Overrepresentation analysis (ORA) and gene set enrichment analysis

(GSEA) are commonly applied but have significant limitations [24,45]. ORA requires a user to

select a cutoff, typically based on standard deviation, to build representative gene sets from

each feature. ORA tests also do not consider the weights, or gene importance scores, in each

compression feature. Conversely, GSEA operates on ranked features, but often requires many

permutations to establish significance. Furthermore, ORA requires each tail of the compressed

feature distribution to be interpreted separately in algorithms that also learn negative weights.

The weight distribution is dependent on the specific compression algorithm, and the same

cutoff may not be appropriate for all algorithms and all compressed features. Instead, we

implemented a network projection based approach to interpret compressed latent gene

expression features [46,47]. The approach is applied to the full and continuous distribution of

gene weights, operates independently of the algorithm feature distribution, does not require

arbitrary thresholds, and obviates the need to consider both tails of the distribution separately.

Nevertheless, additional downstream experimental validation is required to determine if the

constructed feature actually represents the biology it has been assigned.

Conclusions:

To enhance biological signature discovery, it is best to compress gene expression data

using several algorithms and many different latent space dimensionalities. These signatures

represent important biological signals including various cell types, phenotypes, biomarkers, and

other sample characteristics. We showed, through several experiments tracking gene

expression signatures, gene set coverage, and supervised learning performance, that optimal

biological features are learned using a variety of latent space dimensionalities and different

compression algorithms. As unsupervised machine learning continues to be applied to derive

insight from biomedical datasets, researchers should shift focus away from optimizing a single

model based on certain mathematical heuristics, and instead towards learning good and

reproducible biological representations that generalize to alternative datasets regardless of

compression algorithm and latent dimensionality.

Methods:

Transcriptomic compendia acquisition and processing

We downloaded transcriptomic datasets from publicly available resources. We

downloaded the batch-corrected TCGA PanCanAtlas RNAseq data from the National Cancer

Institute Genomic Data Commons (https://gdc.cancer.gov/about-

data/publications/pancanatlas). These data consisted of 11,069 samples with 20,531 measured

genes quantified with RSEM and normalized with log transformation. We converted Hugo

Symbol gene identifiers into Entrez gene identifiers and discarded non-protein coding genes

and genes that failed to map. We also removed tumors that were measured from multiple sites.

This resulted in a final TCGA PanCanAtlas gene expression matrix with 11,060 samples, which

included 33 different cancer-types, and 16,148 genes. The breakdown of TCGA samples by

cancer-type is provided in **Additional File 5**.

We downloaded the TPM normalized GTEx RNAseq data (version 7) from the GTEx data

portal (https://gtexportal.org/home/datasets). There were 11,688 samples and 56,202 genes in

this dataset. After selecting only protein-coding genes and converting Hugo Symbols to Entrez

gene identifiers, we considered 18,356 genes. There are 53 different detailed tissue-types in

this GTEx version. The tissues types included in these data are provided in **Additional File 5**.

Lastly, we retrieved the TARGET RNAseq gene expression data from the UCSC Xena data

portal [48]. The TARGET data was processed through the FPKM UCSC Toil RNA-seq pipeline and

was normalized with RSEM and log transformed [49]. The original matrix consists of 734

samples and 60,498 Ensembl gene identifiers. We converted the Ensembl gene identifiers to

Entrez gene names and retained only protein-coding genes. This procedure resulted in a total of

18,753 genes measured in TARGET. There are 7 cancer-types profiled in TARGET and the

specific breakdown is available in **Additional File 5**. All specific downloading and processing

steps can be viewed and reproduced at

https://github.com/greenelab/BioBombe/tree/master/0.expression-download.

Training unsupervised neural networks

Autoencoders (AE) are unsupervised neural networks that learn through minimizing the

reconstruction of input data after passing the data through one or several intermediate layers

[50]. Typically, these layers are of a lower dimension than the input, so the algorithms must

compress the input data. Denoising autoencoders (DAE) add noise to input layers during

training to regularize solutions and improve generalizability [51]. Variational autoencoders

(VAE) add regularization through an additional penalty term imposed on the objective function

[52,53]. In a VAE, the latent space dimensions (k) are penalized with a Kullback-Leibler (KL)

divergence penalty restricting the distribution of samples in the latent space to Gaussian

distributions. We independently optimized each AE model across a grid of hyperparameter

combinations including 6 representative latent dimensionalities (described in **Additional File 1**

and **Additional File 2: Figure S2**).

Training compression algorithms with sequential latent dimensions

Independently for each dataset (TCGA, GTEx, and TARGET), we performed the following

procedure to train the compression algorithms. First, we randomly split data into 90% training

and 10% testing partitions. We balanced each partition by cancer type or tissue type, which

meant that each split contained relatively equal representation of tissues. Before input into the

compression algorithm, we transformed the gene expression values by gene to a range

between 0 and 1 independently for the testing and training partitions. We used the training set

to train each compression algorithm. We used the scikit-learn implementations of PCA, ICA, and

NMF, and the Tybalt implementations of VAE and DAE [8,54].

After learning optimized compression models with the training data, we transformed

the testing data using these models. We assessed performance metrics using both training and

testing data to reduce bias. In addition to training with real data, we also trained all models

with randomly permuted data. To permute the training data, we randomly shuffled the gene

expression values for all genes independently. We also transformed testing partition data with

models trained using randomly permuted data. Training with permuted data removes the

correlational structure in the data and can help set performance metric baselines.

One of our goals was to assess differences in performance and biological signal

detection across a range of latent dimensionalities (k). To this end, we trained all algorithms

with various k dimensionalities including k = 2, 3, 4, 5, 6, 7, 8, 9, 10, 12, 14, 16, 18, 20, 25, 30,

35, 40, 45, 50, 60, 70, 80, 90, 100, 125, 150, and 200 for a total of 28 different dimensions. All of

these models were trained independently. Lastly, for each k dimension we trained five different

models initialized with five different random seeds. In total, considering the three datasets, five

algorithms, randomly permuted training data, all 28 k dimensions, and five initializations, we

trained 4,200 different compression models (**Additional File 2: Figure S1**). Therefore, in total,

we generated 185,100 different compression features.

Evaluating compression algorithm performance

We evaluated all compression algorithms on three main tasks: Reconstruction, sample

correlation, and weight matrix stability. First, we evaluated how well the input data is

reconstructed after passing through the bottleneck layer. Because the input data was

transformed to a distribution between 0 and 1, we used binary cross entropy to measure the

difference between algorithm input and output as a measure of reconstruction cost. The lower

the reconstruction cost, the higher fidelity reconstruction, and therefore the higher proportion

of signals captured in the latent space features. We also assessed the Pearson correlation of all

samples comparing input to reconstructed output. This value is similar to reconstruction and

can be quickly tracked at an individual sample level. Lastly, we used singular vector canonical

correlation analysis (SVCCA) to determine model stability within and model similarity between

algorithms and across latent dimensions [19]. The SVCCA method consisted of two distinct

steps. First, singular value decomposition (SVD) was performed on two input weight matrices.

The singular values that combined to reconstruct 98% of the signal in the data were retained.

Next, the SVD transformed weight matrix was input into a canonical correlation analysis (CCA).

CCA aligned different features in the weight matrix based on maximal correlation after learning

a series of linear transformations. Taken together, SVCCA outputs a single metric comparing

two input weight matrices that represents stability across model initializations and average

similarity of two different models. Because we used the weight matrices, the similarity

describes signature discovery. We use the distribution of SVCCA similarity measures across all

pairwise algorithm initializations and latent dimensionalities to indicate model stability [19].

Using BioBombe as a signature discovery tool

We tested the ability of BioBombe sequentially compressed features to distinguish

sample sex in GTEx and TCGA data, and MYCN amplification in TARGET NBL data. We

performed a two-tailed independent t-test assuming equal variance comparing male and

female samples, and NBL samples with and without MYCN amplification. We applied the t-test

to all compression features identified across algorithms, initializations, and dimensions. Shown

in the figures are the top scoring feature per latent space dimension and algorithm.

We applied the optimal MYCN signature learned in TARGET to an alternative dataset

consisting of a series of publicly available NBL cell lines [23]. The data were processed using

STAR, and we accessed the processed FPKM matrix from figshare [55]. We transformed the

dataset with the identified signatures using the following operation:

$$S_{g\prime}^{T} * D_{g\prime \, x \, n} = D_{s \, x \, n}^{\prime}$$

Where *D* represents the respective RNAseq data to transform, *S* represents the specific

signature, *g'* represents the overlapping genes measured in both datasets, *n* represents

samples, and *D'ₛ* represents the signature scores in the transformed dataset. Of the 8,000 genes

measured in TARGET data, 7,653 were also measured in external NBL cell line dataset (95.6%).

Gene network construction and processing

We constructed networks using gene set collections compiled by version 6.2 of the

Molecular Signatures Database (MSigDB) and cell types derived from xCell [24–26]. These gene

sets represent a series of genes that are involved in specific biological processes and functions.

We integrated all openly licensed MSigDB collections which included hallmark gene sets (H),

positional gene sets (C1), curated gene sets (C2), motif gene sets (C3), computational gene sets

(C4), Gene Ontology (GO) terms (C5), oncogenic gene sets (C6) and immunologic gene sets (C7).

We omitted MSigDB gene sets that were not available under an open license (KEGG, BioCarta,

and AAAS/STKE). The C2 gene set database was split into chemical and genetic perturbations

(C2.CPG) and Reactome (C2.CP.Reactome). The C3 gene set was split into microRNA targets

(C3.MIR) and transcription factor targets (C3.TFT). The C4 gene set was split into cancer gene

neighborhoods (C4.CGN) and cancer modules (C4.CM). Lastly, the C5 gene set was split into GO

Biological Processes (C5.BP), GO Cellular Components (C5.CC), and GO molecular functions

(C5.MF). xCell represents a gene set compendia of 489 computationally derived gene signatures

from 64 different human cell types. The number of gene sets in each curation is provided in

Additional File 6. In BioBombe network projection, only a single collection is projected at a

time.

577   To build the gene set network, we used hetio software [56]. Briefly, hetio builds

networks that include multiple node types and edge relationships. We used hetio to build a

single network containing all MSigDB collections and xCell gene sets listed above. The network

consisted of 17,451 unique gene sets and 2,159,021 edges representing gene set membership

among 20,703 unique gene nodes (**Additional File 6**). In addition to generating a single network

using curated gene sets, we also used hetio to generate 10 permuted networks. The networks

are permuted using the XSwap algorithm, which randomizes connections while preserving node

degree (i.e. the number of gene set relationships per gene) [57]. Therefore, the permuted

networks are used to control for biases induced by uneven gene degree. We compared the

observed score against the distribution of permuted network scores to interpret the biological

signatures in each compression feature.

Rapid interpretation of compressed gene expression data

Our goal was to quickly interpret the automatically generated compressed latent

features learned by each unsupervised algorithm. To this end, we constructed gene set

adjacency matrices with specific MSigDB or xCell gene set collections using hetio software. We

then performed the following matrix multiplication against a given compressed weight matrix

to obtain a raw score for all gene sets for each latent feature.

$$H_{c \times n} * W_{n \times k} = G_{c \times k}$$

Where *H* represents the gene set adjacency matrix, *c* is the specific gene set collection, and *n*

represents genes. *W* represents the specific compression algorithm weight matrix, which

includes *n* genes and *k* latent space features. The output of this matrix multiplication, *G*, is

represented by *c* gene sets and *k* latent dimensions. Through a single matrix multiplication, the

matrix *G* tracks raw BioBombe scores.

Because certain hub genes are more likely to be implicated in gene sets and longer gene

sets will receive higher raw scores, we compared *G* to the distribution of permuted scores

against all 10 permuted networks.

$$H_{p_{c \times n}}^{1-10} * W_{n \times k} = G_p$$

$$G_{z-score} = \frac{G_{c \times k} - \overline{G_p}}{\sigma(G_p)}$$

Where H_P^{1-10} represents the adjacency matrices for all 10 permuted networks and G_p represents

the distribution of scores for the same k features for all permutations. We calculated the z

score for all gene sets by latent features ($G_{z-score}$). This score represents the BioBombe Score.

Other network-based gene set methods consider gene set influence based on network

connectivity of gene set genes [46,47]. Instead, we used the latent feature weights derived

from unsupervised compression algorithms as input, and the compiled gene set networks to

assign biological function.

We also compared the BioBombe network projection approach to overrepresentation

analyses (ORA). We did not compare the approach to gene set enrichment analysis (GSEA)

because evaluating single latent features required many permutations and did not scale to the

many thousands of compressed features we examined. We implemented ORA analysis using a

Fisher's Exact test. The background genes used in the test included only the genes represented

in the specific gene set collection.

Calculating gene set coverage of sequentially compressed gene expression data

We were interested in determining the proportion of gene sets within gene set

collections that were captured by the features derived from various compression algorithms.

We considered a gene set "captured" by a compression feature if it had the highest positive or

highest negative BioBombe z score compared to all other gene sets in that collection. We

converted BioBombe z scores into p values using the pnorm() R function using a two-tailed test.

We removed gene sets from consideration if their p values were not lower than a Bonferroni

adjusted value determined by the total number of latent dimensionalities in the model. We

calculated coverage (C) by considering all unique top gene sets (*U*) identified by all features in

the compression model (*w*) and dividing by the total number of gene sets in the collection (T_C).

630
$$C = \frac{U_w}{T_c}$$

We calculated the coverage metric for all models independently (C_i), for ensembles, or

individual algorithms across all five iterations (C_e), and for all models across *k* dimensions (C_k).

We also calculated the total coverage of all BioBombe features combined in a single model

(C_{all}). A larger coverage value indicated a model that captured a larger proportion of the

signatures present in the given gene set collection.

Downloading and processing publicly available expression data for neutrophil GTEx analysis

We used an external dataset to validate the neutrophil feature that we identified to

contribute to detecting blood signatures in GTEx. To assess the performance of this neutrophil

signature, we downloaded data from the Gene Expression Omnibus (GEO) with accession

number GSE103706 [27]. RNA was captured in this dataset using Illumina NextSeq 500. The

dataset measured the gene expression of several replicates of two neutrophil-like cell lines, HL-

60 and PLB-985, which were originally derived from acute myeloid leukemia (AML) patients.

The PLB-985 cell line was previously identified as a subclone of HL-60, so we expect similar

signature activity between the two lines [58]. Gene expression of the two cell lines was

measured with and without neutrophil differentiation treatments. Though DMSO is frequently

used to solubilize compounds and act as an experimental control, it has been used to create

neutrophil-like cells [59], and the dataset we used was generated to compare this activity with

untreated and DMSO with Nutridoma [27]. We tested the hypothesis that our neutrophil

signature would distinguish the samples with and without neutrophil differentiation treatment.

We transformed external datasets with the following operation:

652
$$W^T_{k \times g'} * D_{g' \times n} = D'_{k \times n}$$

Where D represents the processed RNAseq data from GSE103706. Of 8,000 genes measured in

W, 7,664 were also measured in D (95.8%). These 7,664 genes are represented by g'. All of the

"Neutrophils_HPCA_2" signature genes were measured in W. D' represents the GSE103706

data transformed along the specific compression feature. Each sample in D' is then considered

transformed by the specific signature captured in k. The specific genes representing

"Neutrophils_HPCA_2" is provided in **Additional File 3**.

Downloading and processing publicly available expression data for monocyte GTEx analysis

We used an additional external dataset to validate the identified monocyte signature.

We accessed processed data for the publicly available GEO dataset with accession number

GSE24759 [28]. The dataset was measured by Affymetrix HG-U133A (early access array) and

consisted of 211 samples representing 38 distinct and purified populations of cells, including

monocytes, undergoing various stages of hematopoiesis. The samples were purified from 4 to 7

independent donors each. Many xCell gene sets were computationally derived from this

dataset as well [25]. Not all genes in the weight matrices were measured in the GSE24759

dataset. For this application, 4,645 genes (58.06%) corresponded with the genes used in the

compression algorithms. Additionally, 168 out of 178 genes (94.38%) in the

"Monocyte_FANTOM_2" gene set were measured (**Additional File 3**). We investigated the

"Monocytes_FANTOM_2" signature because of its high enrichment in VAE k = 3 and low

enrichment in VAE k = 2.

Machine learning classification of cancer types and gene alterations in TCGA

We trained supervised machine learning models to predict cancer type from RNAseq

features in TCGA PanCanAtlas RNAseq data. We implemented a logistic regression classifier

with an elastic net penalty. The classifiers are controlled for mutation burden. More details

about the specific implementation are described in Way et al. 2018 [60]. Here, we predicted all

33 cancer types using all 11,060 samples. These predictions were independent per cancer type,

which meant that we trained models with the same input gene expression data, but used 33

different status matrices.

We also trained models to predict gene alteration status in the top 50 most mutated

genes in the PanCanAtlas. These models are controlled for cancer type and mutation burden.

We defined the status in this task using all non-silent mutations identified with a consensus

mutation caller [61]. We also considered large copy number amplifications for oncogenes and

deep copy number deletions for tumor suppressor genes as previously defined [62]. We used

the threshold GISTIC2.0 calls for large copy amplifications (score = 2) and deep copy deletions

(score = -2) in defining the status matrix [63]. For each gene alteration prediction, we removed

samples with a hypermutator phenotype, defined by having log10 mutation counts greater than

five standard deviations above the mean. For the mutation prediction task, we also did not

include certain cancer types in training. We omitted cancer types if they had less than 5% or

more than 95% representation of samples with the given gene alteration. The positive and

negative sets must have also included at least 15 samples. We filtered out cancer types in this

manner to avoid the classifiers from artificially detecting differences induced by unbalanced

training sets.

We trained models with raw RNAseq data subset by the top 8,000 most variably

expressed genes by median absolute deviation. The training data used was the same training

set used for the sequential compression procedure. We also trained models using all

compression matrices for each latent dimension, and using real and permuted data. We

combined compressed features together to form three different types of ensemble models. The

first type grouped all five iterations of VAE models per latent dimension to make predictions.

The second type grouped features of five different algorithms (PCA, ICA, NMF, DAE, VAE) of a

single iteration together to make predictions. The third ensemble aggregated all features

learned by all algorithms, all initializations, and across all latent dimensions, which included a

total of 30,850 features. In total, considering the 33 cancer types, 50 mutations, 28 latent

dimensions, ensemble models, raw RNAseq features, real and permuted data, and 5

initializations per compression, we trained and evaluated 32,868 different supervised models.

We optimized all of the models independently using 5-fold cross validation (CV). We

searched over a grid of elastic net mixing and alpha hyperparameters. The elastic net mixing

parameter represents the tradeoff between l1 and l2 penalties (where mixing = 0 represents an

l2 penalty) and controls the sparsity of solutions [64]. Alpha is a penalty tuning the impact of

regularization, with higher values inducing higher penalties on gene coefficients. We searched

over a grid for both hyperparameters (alpha = 0.1, 0.13, 0.15, 0.2, 0.25, 0.3 and mixing = 0.15,

0.16, 0.2, 0.25, 0.3, 0.4) and selected the combination with the highest CV AUROC. For each

model, we tested performance using the original held out testing set that was also used to

assess compression model performance.

Reproducible software

All code to perform all analyses and generate all results and figures is provided with an

open source license at https://github.com/greenelab/biobombe [65].

List of abbreviations:

RNAseq = RNA sequencing; PCA = principal components analysis; ICA = independent

components analysis; NMF = non-negative matrix factorization; AE = autoencoder; DAE =

denoising autoencoder; VAE = variational autoencoder; TCGA = the cancer genome atlas; GTEx

= genome tissue expression project; TARGET = therapeutically applicable research to generate

effective treatments project; BRCA = breast invasive carcinoma; COAD = colon

adenocarcinoma; LGG = low grade glioma; PCPG = pheochromocytoma and paraganglioma;

LAML = acute myeloid leukemia; LUAD = lung adenocarcinoma; GEO = gene expression

omnibus; ROC = receiver operating characteristic; PR = precision recall; AUROC = area under the

receiver operating characteristic curve; AUPR = area under the precision recall curve; CV = cross

validation; ORA = overrepresentation analysis; GSEA = gene set enrichment analysis; SVD =

singular value decomposition; CCA = canonical correlation analysis; SVCCA = singular vector

canonical correlation analysis; TF = transcription factor; DMSO = dimethyl sulfoxide

Declarations:

Ethics approval and consent to participate

The TCGA, GTEx, and TARGET data used are publicly available and their use was

previously approved by their respective ethics committees.

Consent for publication

Not applicable.

Availability of data and material

All data used and results generated in this manuscript are publicly available. The

analyzed data can be accessed in the following locations: TCGA data can be accessed at

https://gdc.cancer.gov/about-data/publications/pancanatlas, the GTEx data can be

accessed at https://gtexportal.org/home/datasets, the TARGET data can be accessed at

https://toil.xenahubs.net/download/target_RSEM_gene_fpkm.gz, the neutrophil

validation data can be accessed using gene expression omnibus (GEO) accession number

GSE103706 (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE103706), the

monocyte validation data can be accessed using GEO accession number GSE24759

(https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE24759). Software to

reproduce the analyses, and all results generated in this manuscript can be accessed at

https://github.com/greenelab/biobombe. These results have also been archived in an

additional publicly available repository at https://zenodo.org/record/2587854.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

GPW performed the analysis, wrote the BioBombe software, generated the figures, and

wrote the manuscript. GPW and CSG designed the study and interpreted the results. MZ

and DSH developed the network software. All authors read, revised, and approved the

final manuscript.

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