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1	Prevalence of parasitic infections among recent immigrants to Chicago
2	Short title: Parasitic infections in Chicago
3	
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28 were involved in the investigation and recruited and enrolled subjects in the study and 29 provided a critical review of the manuscript. Patrick Maloney was also responsible for 30 the formal statistical analyses. Kevin Naceanceno and Gloria Gallo Enamorado 31 performed the Multi-parallel real-time quantitative PCR (qPCR) conducted on patient 32 stool samples as part of the investigation. Rojelio Mejia oversaw the qPCR conducted 33 on patient stool samples (supervisory role) and provided a critical review of the 34 manuscript. Ron Hershow was involved in a supervisory capacity in the study concept 35 and design, analysis and interpretation of data, and writing of the manuscript.

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Related manuscripts: All authors certify that there is no related or duplicate manuscript
 under consideration for this work.

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40 Attachments: STROBE statement

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### 41 ABSTRACT

42 *Background*. Parasitic infections are likely under-recognized among immigrant 43 populations in the United States (US). We conducted a cross-sectional study to 44 evaluate the frequency of such infections among recent immigrants in Chicago and to 45 identify predictive factors for parasitic infections.

46 Methodology and principal findings. 133 recent immigrants were enrolled, filling out a 47 standardized questionnaire regarding medical history and exposures and providing 48 blood and stool samples for evaluation. Fifteen of 125 subjects (12%) who provided a 49 blood or stool sample for testing were found to have evidence of current or prior 50 infection with a pathogenic parasite, of which Toxocara spp. (8 subjects, 6.4%) and 51 Strongyloides stercoralis (5 subjects, 4%) were most commonly identified. Parasitic 52 infection was more likely among subjects who had immigrated within the previous 2 53 years and those with a self-reported history of ever having seen worms in the stool. 54 Infected individuals were likely to have multiple nonspecific physical complaints; 55 however, classic symptoms of parasitic infections (skin rashes, diarrhea, etc.) were not 56 increased among infected individuals. The most useful surrogate markers identified for 57 parasitic infections were an elevated Immunoglobulin E level (seen in 7/15 subjects with 58 parasitic infections, 46.7% and 22/110 uninfected individuals, 20%, p=0.04) and the 59 presence of Blastocystis hominis cysts on Ova & Parasite exam (detected in 5/13 60 subjects with parasitic infections who provided a stool sample, 38.5% and 5/98 uninfected subjects, 5.1%, p=0.002). In contrast, the Absolute Eosinophil Count 61 62 (typically thought of as an indicator of parasites) was not found to be a good screening 63 test for parasitic infections in this study.

64 *Conclusions.* Our study found that parasitic infections are common in recent US 65 immigrants, which highlights an important health disparity among a vulnerable 66 population. Further, we found that classically used symptoms and laboratory tests had 67 a low predictive value for parasitic infections in this population.

68

69 **AUTHOR SUMMARY** – Parasitic infections, though rare in the United States (US), are 70 common in many areas of the world including the regions of origin of many US 71 immigrants. However, the prevalence rates and health impacts of these infections in 72 immigrant populations are undefined. We conducted a study to identify the frequency of 73 parasitic infections among healthy immigrants in one community, recruiting 133 74 immigrants from 28 countries. Subjects completed a standardized questionnaire 75 regarding symptoms and infection risk-factors and provided blood and stool samples for 76 testing. Twelve percent of subjects in our study had evidence of current or previous 77 pathogenic parasitic infections. Symptoms and risk factors classically thought to be 78 associated with parasitic infection (allergic symptoms, elevated blood eosinophil counts, 79 etc.) were common among enrolled subjects, but did not differ significantly between 80 those with and without evidence for infection. Overall, our results suggest that many 81 immigrants, even those who are asymptomatic, may have undiagnosed parasitic 82 infections. These results highlight an important health disparity among a vulnerable 83 underserved population in the US. As most of these infections are easily treatable, 84 more research should be done to further characterize the optimal testing strategies for 85 recent immigrants.

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## 87 INTRODUCTION

88 In some regions and among select populations, parasitic diseases are believed 89 to be a significant and under-recognized public health problem within the United States 90 However, little is known about the current epidemiology of these (US).[1-7] 91 infections.[3, 8] Although exact numbers are not available, studies have suggested that 92 within the US there may be up to 300,000 people with Chaqas disease,[9-15] 1.3 - 2.893 million with serological evidence of exposure to Toxocara spp.,[3, 16] 4 million with soil-94 transmitted helminths, [3, 17, 18] 1.2 million with giardiasis, [19] 41,400 - 169,000 with 95 cysticercosis,[3] and approximately 8,000 with schistosomiasis.[3] These infections 96 represent an important health disparity in the US as higher infection rates for some 97 parasites are believed to occur among those living in poverty in underserved rural and 98 inner-city areas.[2, 3, 20-23]

99 Parasitic infections are believed to be an especially significant health problem 100 Many immigrants come from areas where some within US immigrant populations. 101 parasites are endemic.[3, 24-27] Additionally, recent immigrants may be more likely to 102 have a decreased socioeconomic status and therefore frequently reside in the 103 underserved areas within the US that have an increased prevalence of parasitic 104 This population thus possesses a dual risk for parasites.[7] infections.[2-4, 22] 105 However, published prevalence estimates vary widely and therefore the true prevalence 106 and health impacts of parasitic infections on US immigrant populations is unknown.

107 Both diagnostic and methodologic limitations to research may explain the lack of 108 information regarding parasitic infections among US immigrants. Diagnostic 109 inaccuracies can occur because these infections frequently have nonspecific symptoms

110 or are asymptomatic, and laboratory tests for parasites can have significant limitations. 111 For example, many of the current diagnostic tests for parasitic infections have poor 112 sensitivity. Additionally, these tests are frequently unavailable in the community. [4, 6, 7, 113 17, 25, 28, 29] Serologic testing, the primary method of diagnosis for many parasitic 114 infections, has several important shortcomings, which contributes to the lack of accurate 115 information regarding these infections. These limitations include: an inability to 116 distinguish between active and past infections, the presence of significant cross-117 reactivity between serologic tests for different parasites, and the fact that some 118 infections (such as many stool helminthiases) do not cause a detectable serologic 119 response.[30-32]

120 Methodologic challenges to research studies may also partly account for the wide 121 variations in reported prevalence estimates of parasitic infections.[1, 3, 4] For example, 122 past estimates of Chagas disease prevalence were based on testing of blood-donors. 123 This method likely underestimated the number of infected individuals due to a "healthy-124 donor" effect and due to the removal of those with previous positive tests from the pool 125 of potential blood donors.[33] A second commonly used method to obtain prevalence 126 estimates involves multiplying the proportion of people infected with a specific disease 127 within a country by the number of immigrants from that country living in the US. 128 However, within endemic countries there can be large differences in infection risk 129 across different populations and infection risks among those who emigrated may be 130 different from that of the general population within a given country.[10, 11, 24, 34-36]

Given the limited data regarding the prevalence of parasitic infections, it is unsurprising that the health impacts imposed by parasites on US immigrant populations

133 are poorly understood. Many of the published studies evaluating health impacts of 134 parasitic infections on immigrants either screened for only a small number of parasites 135 or screened immigrants from a single country, and many were retrospective. [9, 11, 13, 136 14, 25, 26, 37, 38] Improved data regarding the prevalence and impact of these 137 infections are badly needed as parasitic infections can have serious health 138 consequences.[2, 3, 5-7, 17, 20, 39] For example, Chagas disease causes 139 cardiomyopathy and/or esophageal or colonic dilation in up to 20-30% of those 140 infected; [9, 40] those with Toxocariasis may have an increased risk for asthma; [41-44] and intestinal parasite infection has been associated with delayed cognitive 141 142 development and impaired nutrition in some studies.[45, 46] Parasitic infections have 143 also been shown to increase the severity of illnesses due to other infectious diseases 144 (such as tuberculosis and HIV).[1]

145 Most studies evaluating the impact of parasitic infections in the US have focused 146 on the Southern states because of a warmer climate (facilitating the acquisition of soil-147 transmitted helminths) and a comparatively high population of immigrants. [5, 12, 13, 22, 148 47] According to US Census reports, however, Illinois is among the states with the 149 highest percentages of immigrants; 10-15% of the state's population is foreign born.[48] 150 Thus, this study was conducted with the overall aim of evaluating the prevalence of 151 parasitic infections among recent immigrants living in Chicago. As many of the 152 symptoms of parasitic infections are nonspecific, an additional objective of our study 153 was to identify any symptoms, signs, or laboratory tests that could be used in this 154 population as predictors of the presence of parasites.

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### 156 **METHODS**

157 Ethics statement. The study was approved by the Institutional Review Board of the 158 University of Illinois at Chicago and written informed consent was obtained from all 159 participants. Adult subjects signed a consent form, and for enrolled individuals between 160 the ages of 10 and 18, the subject signed an assent form and a parent or guardian 161 signed a parental permission form. For all study activities, study procedures were 162 explained to the participant in their stated language of preference (using an interpreter if 163 needed). Each participant received \$40 to compensate them for their time. Treatment 164 for parasitic infections was not a part of the study, but all subjects were notified of their 165 results and those with positive tests were offered the possibility of seeing one of the 166 study authors (JH) in clinic to discuss treatment options.

167

168 Study design. Patients were enrolled for this cross-sectional study between November 169 2014 and July 2016. Subjects were recruited through collaboration with local 170 organizations that provide services to immigrants as well as at English as a Second 171 Language classes, the Mexican consulate in Chicago, through a mass email sent to 172 students enrolled at the University of Illinois at Chicago, and at community health fairs. 173 Subjects were also recruited from community health clinics as long as they were 174 accessing the health care system for reasons clearly unrelated to a parasitic infection 175 (e.g., patients presenting for routine physical exams). Subjects were eligible for 176 inclusion if they were: ages 10-80; willing to undergo a blood draw and provide a stool 177 sample; and an immigrant living in the US mainland for less than 5 years and were 178 originally from Africa, Asia, South America, Central America, Mexico, the Caribbean

179 islands, or Puerto Rico. Although they are U.S. citizens and not immigrants from non-180 US territories, Puerto Ricans were specifically included in this study because previous 181 research suggested that parasitic infections were common among the Puerto Rican 182 population in Chicago.[49] The five-year time point for living in the US was chosen 183 because immigrants have been shown to have the highest risk for parasitosis in the first 184 5 years after emigration [49] and based on the average expected lifespan of hookworms, 185 Ascaris lumbricoides and Trichuris trichiura, a primary focus of our investigations.[50] 186 Subjects were excluded from the study if they: 1) were currently pregnant, as the 187 immunosuppression of pregnancy may cause a different presentation of parasitic 188 infection and one of the aims of the study was to identify factors predictive of 189 parasitosis; or 2) they had taken antiparasitic medications since moving to the 190 continental US.

191 Upon enrollment, a standardized questionnaire (Supplemental Appendix) was 192 administered to collect information regarding demographics, risk factors for parasitic 193 infections, and symptoms. Participants then provided clinical samples (blood and stool) 194 to be tested for evidence of parasitic infections as described below.

195

196 <u>Laboratory testing</u>. Whole blood for a Complete Blood Count was collected in an 197 Ethylenediaminetetraacetic acid (EDTA) tube and processed in the clinical laboratory at 198 the University of Illinois at Chicago (BXH 800 or BXH 1600, Beckman Coulter, Brea, 199 CA) within 12 hours. Serum samples were collected in a serum separator tube (SST) 200 and centrifuged within 1 hour of collection. A portion of the supernatant was sent for 201 measurement of Immunoglobulin E (IgE) level (Quantitative ImmunoCAP® Fluorescent

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Enzyme Immunoassay, Arup Laboratories, Salt Lake City, UT). The remainder of the supernatant was stored at -80 <sup>o</sup>C until samples were shipped as a batch to the Centers for Disease Control and Prevention, where serologic testing was performed for infections endemic to each subject's country of origin (Supplemental Table 1).

206 Antibody responses to cysticercosis, strongyloidiasis, and toxocariasis were 207 determined using a multiplex bead-based assay (MBA).[51-53] For cysticercosis, 3 208 recombinant antigens (rGP50, rT24H, and sTs18var1) were used; one recombinant 209 antigen was used for both strongyloidiasis (Ss-NIE-1) and toxocariasis (rTc-CTL-1). 210 Briefly, the antigens were coupled to carboxylated magnetic particles, and then 211 incubated with sera (diluted 1:100 in PBS/0.3% Tween-20/5% milk) for 30 minutes. After 212 two washes with PBS/0.3% Tween-20, the complex was detected by a biotinylated 213 conjugate which then reacted with streptavidin-phycoerythrin. The mean fluorescence 214 intensity was measured using the MagPix instrument (Luminex corporation, Austin, TX). 215 Positivity for each antibody response was determined using specific cut-off points for 216 each antigen (which had been previously defined[51-53]).

Antibody against *T. cruzi* antigens was detected using a commercial kit (Weiner Lab, Argentina) and following the company protocol instructions.[54-56] After adding serum, the plate was incubated for 30 min while shaking at room temperature. After the conjugate was added, the reaction ran for an additional 30 minutes. Substrate (TMB) was added and color development was stopped by adding 1N H<sub>2</sub>SO4 according to the kit instructions[55]. The optical density at 450nm was detected using the Versamax system.

All serum specimens were initially tested by FAST-ELISA using *Schistosoma mansoni* adult microsomal antigen and then by a species-specific immunoblot appropriate to the subject's country of origin. These procedures and the methods used to interpret results have been discussed in previous publications.[57-59]

228 Patients also provided a stool sample for standard Ova & Parasite (O&P) direct 229 microscopy (Arup Labs Qualitative Concentration/Trichrome Stain/Microscopy, Salt 230 Lake City, UT), and a multi-parallel quantitative polymerase chain reaction (gPCR) 231 which tests for eight common gastrointestinal pathogens (Ancylostoma, Ascaris, 232 Cryptosporidium, Entamoeba, Giardia, Necator, Strongyloides, and Trichuris). Only one 233 stool sample was requested for O&P due to our desire to compare O&P directly with 234 qPCR and because the yield of collecting multiple samples for O&P exam has been 235 shown to be low in immigrant populations.[60] The stool samples for gPCR were frozen 236 without fixatives at -80 °C and shipped as a batch to the Baylor College of Medicine 237 where DNA was extracted and the qPCR was conducted according to previously 238 published methods.[61-63]

239

**Statistical analysis**. Statistical analyses were performed using Prism 7 (GraphPad, San Francisco, CA) and SAS (Cary, NC). Data were summarized by using frequency with percentage for categorical variables. For continuous variables, geometric mean with standard deviation or median with range or interquartile range were used (medians were used as measures of central tendency for highly skewed variables). For categorical variables, prevalence ratios (PR) were used to evaluate relationships between covariates and the main outcome (the presence of a parasitic infection) and

Fisher's Exact test or Chi-squared test were used to determine significance. Mann-Whitney U test was used to evaluate for associations between continuous variables and the presence of a parasite. Correlations were calculated using Spearman's rank correlation coefficient. Kappa values were used to compare results from stool O&P exam to qPCR testing.

252

253 **RESULTS** 

254 Patient characteristics. Seven hundred and thirty-eight people were approached 255 about the study, and 133 were enrolled (Supplemental Figure 1). All enrolled subjects 256 filled out the study questionnaire (unedited results shown in Supplemental Table 2); 125 257 (94%) of enrolled subjects provided blood and 113 (85%) provided stool samples to be 258 tested for parasitic infections (however, for 2 of the 113 subjects, only qPCR could be 259 performed as they did not return samples for O&P exam). The mean age of enrolled 260 subjects was 32 years, and 60/133 (45.1%) were male (Table 1). Participants came 261 from 28 different countries. Most subjects (95/133, 71.4%) reported an annual 262 household income of less than \$20,000 per year. As shown in Table 1, few subjects 263 (25/133, 18.8%) had been raised in a rural environment. Despite this, many subjects 264 reported having been exposed to animals (65/133, 48.9%), well-water (65/133, 48.9%), 265 and bathing in ponds or streams (58/133, 43.6%) in their country of origin.

266

Table 1. Demographics, symptoms, and laboratory results of 133 enrolled subjects

Characteristic	Results <sup>a</sup>
Age, mean years (standard deviation)	32 (17.3)

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Gender, male:female, N (% male)	60:73 (45.1)
Country/region of origin, N (%)	
Asia (excluding India)	33 (24.8)
Mexico	30 (22.6)
India	26 (19.5)
Central/South America	22 (16.5)
Africa	13 (9.8)
Middle East	5 (3.8)
US (Puerto Rico)	4 (3)
Mean number of years in school (standard deviation)	9.9 (5.2)
Annual household income in US dollars, N (%)	
< 20,000	95 (71.4)
20,000 - 40,000	30 (22.6)
40,000 - 60,000	5 (3.8)
60,000 - 100,000	2 (1.5)
> 100,000	1 (0.8)
Length of time living in the continental United States <sup>b</sup> , mean years	2 (10 days-5
(range, standard deviation in years)	years, 1.6)
Raised in rural environment, N (%)	25 (18.8)
Exposure history prior to immigration, number yes (%)	
Frequently walked barefoot	76 (57.1)

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Close contact with animals	65 (48.9)
Used a well as source of drinking water	65 (48.9)
Bathed in streams or ponds	58 (43.6)
Lived in a house with a thatched roof	25 (18.8)
Treatment with antiparasitic medication prior to emigration, number	46 (34.6)
yes (%)	
Symptoms reported by the patient on the day of study enrollment <sup>c</sup> ,	
N (%)	
Gastrointestinal	32 (24.1)
Constitutional	25 (18.8)
Musculoskeletal	25 (18.8)
Dermatologic	13 (9.8)
Pulmonary	12 (9)
Cardiovascular	10 (7.5)
Allergic	3 (2.3)
Immunoglubulin E (IgE) results	
Subjects with elevated Immunoglobulin E, N (%)	29/125 <sup>d</sup> (23.2)
Immunoglobulin E level, IU/mL, median (range, standard	57 (3-7732, 706)
deviation)	
Absolute eosinophil count (AEC) results	
	1

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Subjects with elevated absolute eosinophil count, N (%)	12/123 <sup>e</sup> (9.8)
Absolute eosinophil count, median cells/microliter	200 (0-1600,
(range, standard deviation)	240)
Pathogenic parasitic infection, N (%)	15/125 <sup>f</sup> (12)

268 a - unedited results from all enrolled subjects are shown in Supplemental Table 2; N -269 number of subjects; b - potential range (due to enrollment criteria) 0-5 years; c -270 gastrointestinal symptoms included: heartburn, difficulty or pain with swallowing, 271 abdominal pain, diarrhea, nausea/vomiting; constitutional symptoms included: weight 272 loss, fatigue, fevers, and weakness; musculoskeletal symptoms included pain and 273 stiffness in the joints or myalgias; dermatologic: itching, hives, or rash; pulmonary 274 symptoms: wheezing, shortness of breath, cough; cardiovascular: chest pain, 275 palpitations, or irregular heart beat; and allergic symptoms included: those who 276 responded "yes" to the question "do you currently have any symptoms of seasonal 277 allergies;" d – of the 125 subjects who underwent a blood draw, normal value of IgE 278 defined as <696 IU/mL for subjects 10-12 years old, <629 for those ages 13-15, < 537 279 for those ages 16-17, and < 214 IU/mL in adults; e – for two of the 125 subjects who 280 underwent a blood draw, a complete blood count was unable to be performed due to 281 clotting of the blood sample, normal AEC defined as < 500 cells/UL; f – of 125 subjects 282 who provided a clinical sample (blood or stool) for testing

283

Laboratory testing. The median IgE among the 125 subjects from whom blood samples were obtained was 57 IU/mL (range 3-7732); 29 (23.2%) subjects had elevated IgE levels. Twelve subjects (9.8%) were found to have eosinophilia, and the median

absolute eosinophil count (AEC) level was 200 cells/UL (range 0-1600 cells/UL, Table
1). There was a weak correlation between IgE and AEC levels in adults (r=0.23,
p=0.02; children were excluded from this correlation as they have different normal
ranges for IgE).

291

**Parasitic infections.** Fifteen of 125 subjects (12%) who provided a clinical sample for testing were found to have evidence of current or prior infection with a pathogenic parasite species. As shown in Table 2, the most common infections identified were *Toxocara* spp., seen in 8 subjects (6.4%), followed by *Strongyloides stercoralis* (5 subjects, 4%). Of subjects found to have evidence of parasitic infection, 3 subjects (3/15, 20%) had positive tests for more than one pathogenic parasitic species (Table 2).

299	Table 2. Parasitic infections diagnosed	l among recent	t immigrants l	living in Chicago

Parasitic infections	Number of subjects infected (%)			
Soil-transmitted helminths				
<i>Toxocara</i> spp.	8 (6.4) <sup>a</sup>			
Strongyloides stercoralis	5 (4) <sup>b</sup>			
Trichuris trichiura	2 (1.8) <sup>c</sup>			
Ascaris lumbricoides	1 (0.9) <sup>c</sup>			
Protozoa				
Giardia duodenalis	4 (3.5) <sup>d</sup>			
Non-pathogenic or disputed pathogenicity species <sup>e</sup>				
Blastocystis hominis	10 (9)			
Endolimax nana	4 (3.6)			
Dientomoeba fragilis	3 (2.7)			

lodamoeba bütschlii, Entamoeba coli, Entamoeba hartmanii	1 each (0.9)	
Number of subjects with multiple pathogens	3 (2.4) <sup>f</sup>	
Comparison of Our and Darasite Europe		
Comparison of Ova and Parasite Exam to qPCR for pathogenic species <sup>9</sup>	qPCR positive	qPCR negative
	<b>qPCR positive</b>	<b>qPCR negative</b>

300 N – number of subjects; a – of 125 subjects who provided blood samples for testing, all 301 eight subjects were diagnosed based on a positive serologic test; b – of 125 subjects 302 who provided blood and/or stool samples for testing: one subject tested negative on O&P 303 but positive on both serology and stool qPCR, three subjects had a positive serologic test 304 but negative O&P and gPCR, and one subject had a positive serology but did not provide 305 a stool sample for testing; c – of 113 subjects who provided a stool sample for qPCR 306 testing, pathogenic species diagnosed based on a positive stool qPCR test; d – of 113 307 subjects who provided a stool sample for testing: one subject tested positive on both 308 gPCR and O&P tests and three subjects tested positive on gPCR but negative on O&P; e 309 - of 111 subjects who returned a stool sample for O&P testing, all infections diagnosed 310 based on a positive on O&P exam as these nonpathogenic or disputed pathogenicity 311 organisms were not tested for on qPCR; f - of 125 subjects who provided blood and/or 312 stool samples for testing: one subject had positive stool qPCR tests for both Ascaris and 313 Trichuris and a positive serologic test for Toxocara, one subject had positive stool gPCR 314 tests for Trichuris and Giardia as well as positive serologic testing for Toxocara, and the

third subject had positive serologic testing and stool qPCR for *S. stercoralis* and positive
serologic testing for *Toxocara*; g - p-value=0.07, sensitivity of O&P compared to qPCR
12.5%, specificity 100%, positive predictive value (PPV) 100%, negative predictive value
(NPV) 93.9%, kappa 0.21.

319

320 **Outcome assessment.** With the exception of constitutional symptoms, which were 321 slightly more likely to be present in subjects diagnosed with Giardia (p=0.01), there were 322 no significant differences in any of the evaluated symptoms, exposures, or laboratory 323 results between those found to be mono-infected with each of the different parasite 324 species detected (e.g. Toxocara spp., soil-transmitted helminths, or Giardia). 325 Consequently, for our primary analysis we compared uninfected individuals to subjects 326 with evidence for any pathogenic parasitic infection, with infected subjects treated as a 327 single group.

A large number of subjects (10/111 subjects who provided a sample for O&P testing, 9%) were found to have *Blastocystis hominis*. Although the pathogenicity of *B. hominis* remains controversial,[64, 65] infection with this organism in our sample was not associated with any significant differences in symptoms or laboratory values compared to the uninfected group. Accordingly, in our analysis *Blastocystis* was not included as a pathogenic parasitic infection.

334

335 **Demographic characteristics of subjects with parasitic infections.** Nearly all 336 of the subjects found to have evidence of a pathogenic parasite (14/15, 93.3%) had 337 lived in the continental US for 2 years or less (compared to 70/110 of those who were

338 uninfected, 63.6%, PR 6.8, p=0.02, Table 3). This history was therefore a very 339 sensitive, though not specific, marker for parasitic infections (sensitivity 93.3%, 340 specificity 36.4%, PPV 16.7%, NPV 97.6%). No other demographic variables, including 341 age, gender, recruitment site, or region of origin differed significantly between those with 342 and without infections. As shown in Table 3, there was a trend (though not statistically 343 significant) towards a greater likelihood of parasitic infections in subjects of Asian origin, 344 subjects who had not completed high school, and subjects with an annual household 345 income of less than \$20,000 per year.

- 346
- Table 3. Findings associated with the presence of a parasitic infection

Demographics	Uninfected N=110	Parasitic Infection <sup>a</sup> N=15	Prevalence ratio <sup>b</sup> (95% Confidence Interval)	p- value
Length of time living in the				
United States, N (%)				
> 2 years	40 (36.4)	1 (6.7)	Reference	0.02
≤ 2 years	70 (63.6)	14 (93.3)	6.8 (0.9-50.2)	
Mean age (standard deviation)	31 (16.4)	37.5 (21.6)	1.0 (1.0-1.1)	0.2
Gender, N (%)				

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Female       58 (52.7)       8 (53.3)       Reference       0.99         Male       52 (47.3)       7 (46.7)       1.0 (0.4-2.5)       1.0 (0.4-2.5)         Country/Region of origin, N       7 (46.7)       1.0 (0.4-2.5)       0.28         Mexico       26 (23.6)       2 (13.3)       Reference       0.28         Asia (excluding India)       24 (21.8)       8 (53.3)       3.5 (0.8-15.1)       1.1 (0.1-10.8)         India       21 (19.1)       2 (13.3)       1.2 (0.2-8)       1.1 (0.1-10.8)       1.4 (0.2-9.1)         Africa       12 (10.9)       1 (6.7)       1.1 (0.1-10.8)       1.1 (0.1-10.8)       1.1 (0.1-10.8)         Middle East       5 (4.5)       0 (0)       Undefined       1.1 (0.1-10.8)       1.1 (0.1-10.8)         VSA (Puerto Rico)       4 (3.6)       0 (0)       Undefined       0.27         9-12       26 (51.9) <sup>c</sup> 5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)       2.4 (0.7-7.9)         <8       24 (22.2)       6 (40)       2.4 (0.7-7.9)       1.5 (0.4-5.7)       1.5 (0.4-5.7)						1
Country/Region of origin, N         Addition         Addition         Reference         0.28           Mexico         26 (23.6)         2 (13.3)         Reference         0.28           Asia (excluding India)         24 (21.8)         8 (53.3)         3.5 (0.8-15.1)         0.28           India         21 (19.1)         2 (13.3)         1.2 (0.2-8)         0.28           Central/South America         18 (16.4)         2 (13.3)         1.4 (0.2-9.1)           Africa         12 (10.9)         1 (6.7)         1.1 (0.1-10.8)           Middle East         5 (4.5)         0 (0)         Undefined           USA (Puerto Rico)         4 (3.6)         0 (0)         Undefined           >12         56 (51.9)°         5 (33.3)         Reference         0.27           9-12         28 (25.9)         4 (26.7)         1.5 (0.4-5.7)         24 (22.2)           Annual household income,         24 (22.2)         6 (40)         2.4 (0.7-7.9)         1.5 (0.4-5.7)		Female	58 (52.7)	8 (53.3)	Reference	0.99
(%)       Image: Mexico indication of the state indicatindicatindindicating indicatindindication of the state		Male	52 (47.3)	7 (46.7)	1.0 (0.4-2.5)	
Mexico       26 (23.6)       2 (13.3)       Reference       0.28         Asia (excluding India)       24 (21.8)       8 (53.3)       3.5 (0.8-15.1)       1         India       21 (19.1)       2 (13.3)       1.2 (0.2-8)       1         Central/South America       18 (16.4)       2 (13.3)       1.4 (0.2-9.1)       1         Africa       12 (10.9)       1 (6.7)       1.1 (0.1-10.8)       1         Middle East       5 (4.5)       0 (0)       Undefined       1         USA (Puerto Rico)       4 (3.6)       0 (0)       Undefined       1         >12       56 (51.9) <sup>c</sup> 5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)       0.27         ≤8       24 (22.2)       6 (40)       2.4 (0.7-7.9)       1.5	Coun	ntry/Region of origin, N				
Asia (excluding India)       24 (21.8)       8 (53.3)       3.5 (0.8-15.1)         India       21 (19.1)       2 (13.3)       1.2 (0.2-8)         Central/South America       18 (16.4)       2 (13.3)       1.4 (0.2-9.1)         Africa       12 (10.9)       1 (6.7)       1.1 (0.1-10.8)         Middle East       5 (4.5)       0 (0)       Undefined         USA (Puerto Rico)       4 (3.6)       0 (0)       Undefined         >12       56 (51.9) <sup>c</sup> 5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)       24 (22.2)         Annual household income,       Image: Second Secon	(%)					
India       21 (19.1)       2 (13.3)       1.2 (0.2-8)         Central/South America       18 (16.4)       2 (13.3)       1.4 (0.2-9.1)         Africa       12 (10.9)       1 (6.7)       1.1 (0.1-10.8)         Middle East       5 (4.5)       0 (0)       Undefined         USA (Puerto Rico)       4 (3.6)       0 (0)       Undefined         >12       56 (51.9) <sup>c</sup> 5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)          ≤8       24 (22.2)       6 (40)       2.4 (0.7-7.9)		Mexico	26 (23.6)	2 (13.3)	Reference	0.28
Central/South America       18 (16.4)       2 (13.3)       1.4 (0.2-9.1)         Africa       12 (10.9)       1 (6.7)       1.1 (0.1-10.8)         Middle East       5 (4.5)       0 (0)       Undefined         USA (Puerto Rico)       4 (3.6)       0 (0)       Undefined         >12       56 (51.9) <sup>c</sup> 5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)       24 (0.7-7.9)         Annual household income,       Image: Comparison of the second secon		Asia (excluding India)	24 (21.8)	8 (53.3)	3.5 (0.8-15.1)	
Africa       12 (10.9)       1 (6.7)       1.1 (0.1-10.8)         Middle East       5 (4.5)       0 (0)       Undefined         USA (Puerto Rico)       4 (3.6)       0 (0)       Undefined         >12       56 (51.9)°       5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)       0.27         ≤8       24 (22.2)       6 (40)       2.4 (0.7-7.9)       0.27		India	21 (19.1)	2 (13.3)	1.2 (0.2-8)	
Middle East $5 (4.5)$ $0 (0)$ UndefinedUSA (Puerto Rico) $4 (3.6)$ $0 (0)$ UndefinedYears of schooling $56 (51.9)^{\circ}$ $5 (33.3)$ Reference>12 $28 (25.9)$ $4 (26.7)$ $1.5 (0.4-5.7)$ $\leq 8$ $24 (22.2)$ $6 (40)$ $2.4 (0.7-7.9)$		Central/South America	18 (16.4)	2 (13.3)	1.4 (0.2-9.1)	
USA (Puerto Rico)       4 (3.6)       0 (0)       Undefined         Years of schooling		Africa	12 (10.9)	1 (6.7)	1.1 (0.1-10.8)	
Years of schooling       56 (51.9)°       5 (33.3)       Reference       0.27         9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)       24 (0.7-7.9)         ≤8       24 (22.2)       6 (40)       2.4 (0.7-7.9)       0		Middle East	5 (4.5)	0 (0)	Undefined	
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9-12       28 (25.9)       4 (26.7)       1.5 (0.4-5.7)         ≤8       24 (22.2)       6 (40)       2.4 (0.7-7.9)         Annual household income,	Years	s of schooling				
≤8       24 (22.2)       6 (40)       2.4 (0.7-7.9)         Annual household income,		>12	56 (51.9) <sup>c</sup>	5 (33.3)	Reference	0.27
Annual household income,		9-12	28 (25.9)	4 (26.7)	1.5 (0.4-5.7)	
		<u>&lt;</u> 8	24 (22.2)	6 (40)	2.4 (0.7-7.9)	
	Annu	al household income,				
US dollars	US d	ollars				
≥20,000 34 (30.9) 2 (13.3) Reference		<u>≥</u> 20,000	34 (30.9)	2 (13.3)	Reference	
<20,000 76 (69.1) 13 (86.7) 2.6 (0.6-11.1) 0.2		<20,000	76 (69.1)	13 (86.7)	2.6 (0.6-11.1)	0.2

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Childhood Exposures (in country of origin)	Uninfected, number yes, (%) N=110	Parasitic infection, number yes, (%) N=15	Prevalence ratio <sup>b</sup> (95% confidence interval)	p- value
Self-reported history of seeing worms in the stool	18 (16.4)	8 (53.3)	4.4 (1.7-10.9)	0.003
Used a well as source of drinking water	49 (44.5)	11 (73.3)	3.0 (1.0-8.9)	0.05
Raised in rural environment	19 (17.3)	3 (20)	1.2 (0.4-3.8)	0.73
Frequently walked barefoot	60 (54.5)	11 (73.3)	2.1 (0.7-6.2)	0.27
Close contact with animals	51 (46.4)	7 (46.7)	1.0 (0.4-2.6)	0.99
Bathed in streams or ponds	47 (42.7)	7 (46.7)	1.2 (0.4-3.0)	0.79
Lived in a house with a thatched roof	20 (18.2)	3 (20)	1.1 (0.3-3.6)	0.99
Treated with antiparasitic medication (prior to emigration)	38 (34.5)	7 (46.7)	1.6 (0.6-4.0)	0.4
Symptoms reported by the patient on the day of study enrollment <sup>d</sup>	Uninfected, number yes, (%) N=110	Parasitic infection, number yes, (%)	Prevalence ratio <sup>b</sup> (95% confidence interval)	p- value

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		N=15		
Gastrointestinal	26 (23.6)	3 (20)	0.8 (0.3-2.7)	0.99
Constitutional	17 (15.5)	6 (40)	3 (1.2-7.5)	0.03
Musculoskeletal	18 (16.4)	6 (40)	2.8 (1.1-7.1)	0.04
Dermatologic	11 (10)	1 (6.7)	0.7 (0.1-4.7)	0.99
Pulmonary	10 (9.1)	1 (6.7)	0.7 (0.1-5.1)	0.99
Cardiovascular	7 (6.4)	2 (13.3)	2 (0.5-7.5)	0.29
Allergic	2 (1.8)	0 (0)	Undefined	0.99

348

349 N – number of subjects; a – Not including non-pathogenic or disputed pathogenicity 350 organisms; b – prevalence ratio comparing the frequency of the listed demographic 351 variable, symptom, or exposure in those with versus those without evidence of a 352 parasitic infection; c – 108 of the 110 subjects without parasitic infections responded to 353 this question; d - gastrointestinal symptoms included: heartburn, difficulty or pain with 354 swallowing, abdominal pain, diarrhea, nausea/vomiting; constitutional symptoms 355 included: weight loss, fatigue, fevers, and weakness; musculoskeletal symptoms 356 included pain and stiffness in the joints or myalgias; dermatologic: itching, hives, or 357 rash; pulmonary symptoms: wheezing, shortness of breath, cough; cardiovascular: 358 chest pain, palpitations, or irregular heart beat; and allergic symptoms included: those 359 who responded "yes" to the question "do you currently have any symptoms of seasonal 360 allergies."

361

362 Exposure history and symptoms in subjects with evidence of parasitic infection.
 363 A self-reported history of ever having seen worms in the stool was strongly associated
 364 with evidence of current or prior parasitic infection (8/15, 53.3% in the infected group)

365 reported this history versus 18/110, 16.4%, in the uninfected group, p=0.003, PR 4.4, 366 Table 3). This history appeared to be a nonspecific marker of risk for any parasitic 367 infection and was equally common among those diagnosed with enteric parasites 368 compared to those with non-enteric parasites (p-value=0.8). Although it did not reach 369 significance, there also appeared to be a trend towards increased parasitic infections in 370 subjects who recalled drinking well water in their country of origin (11/15, 73.3%) 371 compared to those who did not (49/110, 44.5%, p=0.05, PR 3). Other classic risk 372 factors for parasitic infections (close contact with animals, etc.), although commonly 373 reported among study participants, did not differ between those with and without 374 evidence of parasitic infections (all p-values >0.05, Table 3).

In the year prior to study enrollment, subjects with evidence of parasitic infections had experienced an overall increased number of physical complaints (median 8) compared to those with no evidence of parasites (4, p=0.02). However, symptoms typically thought of as indicative of parasitic infections, such as rash, pruritus, or diarrhea, did not differ significantly between the groups (all p-values >0.05 for symptoms experienced both the day of study enrollment and within the previous year).

381

Laboratory values in subjects with evidence of parasitic infections. Both the median IgE level (249 IU/mL) and the proportion of subjects with an increased IgE level (7 of 15 subjects, 46.7%) were significantly increased in subjects with evidence for parasitic infections compared to those who were uninfected (median IgE 52 IU/mL, 22/110, 20%, subjects with elevated IgE level, both p-values <0.05, Table 4). In contrast, subjects who reported a history of seasonal allergies and/or asthma were not

found to have increases in IgE (p-value=NS). The difference in median IgE levels between groups remained statistically significant when subjects <18 years old were excluded from analysis (as normal values for IgE are higher in this group). Although an elevated IgE level was a relatively specific finding for parasitic infections (specificity 80%, NPV 92%), this finding was not a sensitive marker of infection (sensitivity 47%, PPV 24%).

395	Toble 1 Loberator	regulto in uninfected	versus infected individuals
393	Table 4. Laboratory	/ results in unimected	
570			

Laboratory results	Uninfected N=110	Parasitic infection <sup>a</sup> N=15	p-value
Immunoglobulin E (IgE), Median			
(IQR), IU/mL	52 (26 - 177)	249 (67 - 480)	0.005
Infected with 1 parasite		111 (50.5–311)	
2+ parasitic infections		662 (267–1097)	
Absolute Eosinophil Count			
(AEC), Median (IQR), cells/UL	200 (100 - 200)	200 (100 - 400)	0.1
Hemoglobin, mg/dL, mean			
(standard error)	14.4 (0.2)	14.1 (0.3)	0.6
Number of subjects with			Prevalence
Number of subjects with		_	ratio (95%
abnormal laboratory test	Uninfected,	Parasitic	confidence

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	N (%)	infection, N (%)	interval), p-
			value
	22 (20)	7 (46.7)	3.5 (1.4-8.8),
IgE, Number elevated <sup>b</sup> (%)			0.04
	9 (8.3) <sup>d</sup>	3 (20)	2.4 (0.8-7.2),
AEC, Number elevated <sup>c</sup> (%)			0.2
Blastocystis hominis cysts	5 (5.1 <sup>e</sup> )	5 (38.5 <sup>f</sup> )	6.3 (2.5-15.7),
present on O&P exam			0.002

N – number; a – excluding non-pathogenic or disputed pathogenicity species; IQR – 396 397 interguartile range; b - normal value of IgE <696 IU/mL for subjects 10-12 years old. 398 <629 for those ages 13-15, < 537 for those ages 16-17, and < 214 IU/mL in adults; c -399 normal AEC < 500 cells/UL; d – of 108 subjects in the uninfected group who were tested 400 for a CBC (as for two patients who underwent a blood draw the CBC was unable to be 401 performed due to clotting of blood in the tube); e - of 98 subjects in the uninfected group 402 who provided a stool sample for O&P exam; f – of 13 subjects in this group who 403 provided a stool sample for O&P exam.

404

The median AEC did not differ between those with and without evidence of a parasitic infection (Table 4). Eosinophilia in our sample was also not associated with a reported history of allergies, asthma, or taking medications known to cause eosinophilia.[66, 67] Despite a low sensitivity for parasites (20%, PPV 25%), however, eosinophilia was relatively specific to subjects with evidence of parasitic infection

410 (specificity 91.8%, NPV 89.4%). The sensitivity of AEC as a marker for parasitic 411 infection did not improve appreciably when only parasitic infections known to cause 412 chronic eosinophilia (*Strongyloides* and *Toxocara*[28, 67-69]) were compared to those 413 who were uninfected.

The presence of cysts of *B. hominis* on O&P exam was strongly associated with infection with a pathogenic parasite species. Five of the 13 subjects in the parasite positive group who returned a stool sample had a positive O&P for *Blastocystis*, 38.5%, compared to 5/98 tested for O&P exam in the uninfected group, 5.1%, p=0.002, PR=6.3.

419

#### 420 **DISCUSSION**

Despite the fact that many US immigrants come from areas where parasitic infections are endemic, data about the prevalence and risks for parasites among immigrants are sparse. In response to this deficit, we conducted a comprehensive evaluation for the presence of a broad array of parasitic infections in recent immigrants in Chicago. We found that 12 percent of recent immigrants in our sample had evidence of prior or current infection with a pathogenic parasite species.

Our study found a few key factors which were significantly more likely in subjects with evidence of parasites: a self-reported history of having previously observed worms in the stool, having immigrated within the past 2 years, and reporting a relatively high number of nonspecific physical ailments within the previous year. Our results also highlight that clinical risk factors (such as contact with animals and frequently walking barefoot), symptoms (including urticaria, rash, and diarrhea), and laboratory findings

433 (eosinophilia) traditionally thought to be associated with parasites were commonly found
434 but not predictive of infection in this study population. If confirmed in a larger sample,
435 these results suggest that practitioners should have a high degree of suspicion for
436 parasitic infections when evaluating recent immigrants regardless of the presence or
437 absence of symptoms or laboratory abnormalities.

438 Our results highlight the limitations of current diagnostic tests for parasitic 439 infections. For example, the sensitivity of O&P exam compared to gPCR testing (which 440 is not yet universally available) for pathogenic parasites was very poor. While this could 441 represent false positive qPCR tests, previous use of these tests in other studies has 442 indicated a low rate of false positives.[8, 49, 61, 62] Further, the O&P exam has been 443 shown to have serious limitations, in part because some parasitic infections are 444 characterized by intermittent shedding in the stool.[70] The lack of adequate diagnostic 445 tests for parasitic infections was also evident in the fact that, for many of the parasites 446 screened for in this study, the primary method of diagnosis is serologic testing. 447 Serologic tests can have significant limitations as they often have cross-reactivity 448 among multiple parasites and these tests cannot distinguish between prior and current 449 infections.[3, 6, 8, 17, 28, 37, 71, 72]

Similar to prior studies of immigrant populations,[28, 68] we found that a relatively high percent of subjects in our study had eosinophilia and/or elevated IgE levels. IgE appeared to be a slightly better marker for chronic parasitic infection in this sample than eosinophilia. However, neither test had an adequate sensitivity to merit recommending its use for routine screening purposes. For some parasitic infections, eosinophilia has been shown to be absent or mild with longstanding infection,[73, 74]

and therefore the poor sensitivity of AEC for parasitic infections in our sample could reflect a lack of acute parasitic infections among these subjects. Although eosinophilia has long been thought of as a hallmark of helminth infection, several previous studies have also failed to show any correlation between the two.[75, 76] However, the high specificity of eosinophilia for parasitosis seen in our study suggests that, when this finding is present in a recent immigrant, a workup for parasitic infections should be initiated.

463 A large number of subjects in our study demonstrated cysts of Blastocystis 464 hominis on O&P exam. The association between Blastocystis and clinical disease has 465 been controversial. Various studies have shown that subjects with *B. hominis* may have 466 an increased likelihood of irritable bowel syndrome and that symptomatic patients with 467 this parasite may experience a beneficial effect from treatment with antiparasitic 468 medications.[64, 77] In our study, however, infection with *Blastocystis* did not appear to 469 be associated with any increase in symptoms in the preceding year or on the day of 470 enrollment. Interestingly, the presence of *Blastocystis* cysts on an O&P exam was 471 strongly associated with the presence of positive tests for pathogenic parasitic 472 infections. Therefore, our results agree with those of several recent studies and suggest 473 that the primary import of *B. hominis* infection may be from a public health perspective 474 by serving as a surrogate marker for the presence of fecal-oral transmission.[65, 77, 78] 475 Our study results showed that a high percentage of recent immigrants had 476 evidence of current or prior infection with a few key pathogenic parasites, including 477 Strongyloides, Toxocara, and Giardia. Positive serologic testing for Strongyloides has 478 been shown to be associated with a high likelihood of active ongoing infection and a risk

for developing disseminated disease or hyperinfection syndrome.[17, 79] The rate of seropositivity for strongyloidiasis in our sample was 4%. This may have clinical implications because a study evaluating the cost-effectiveness of empiric treatment versus a test-and-treat strategy found that, if the prevalence of *Strongyloides* infection is greater than 2% in a community, then the presumptive treatment strategy is more costeffective.[80]

485 Our study had several limitations. The small number of subjects found to have 486 parasitic infections limited our ability to identify risk-factors associated with these 487 infections. However, our sample size was necessitated by the extensive testing 488 performed on each subject and was also similar to those of previous studies of 489 immigrant health.[8, 81] Furthermore, the fact that our sample was very heterogeneous 490 and subjects enrolled in the study came from many different countries could have 491 reduced our ability to find associations between clinical factors and the presence of 492 infection. Our recruitment of some subjects from English as a Second Language 493 classes and at the Mexican consulate in Chicago could have skewed the study towards 494 immigrants from non-English-speaking countries and Mexico. A significant limitation to 495 this study, as for all studies evaluating parasitic infections (as well as to care of patients 496 at risk for parasitic infection), is the lack of adequate diagnostic tests for parasitosis.

Despite these limitations, however, our study is one of the only recent studies to evaluate the prevalence of parasites in immigrants and provides a strong argument for further investigation of the health impacts of these infections in the immigrant community. Although our sample size was limited, a strength of our study is that we conducted a comprehensive evaluation for parasitic infections including testing of both

502 stool and serum samples. Our results suggest that as many as 12% of recent 503 immigrants in the community may have evidence of current or previous infection with a 504 pathogenic parasitic species. From a public health perspective, it is important to note 505 that the infections identified in the current patient sample are not typically spread from 506 person to person. However, if confirmed in a larger study, these results present an 507 important health disparity among a vulnerable underserved population in the US. This 508 health disparity has persisted despite the presence of effective, safe, and well-tolerated 509 antiparasitic medications capable of treating each of the identified pathogens in our 510 sample.[17, 80]

511

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  manuscript.

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- 739 Supporting Information:
- 740 **S1 Supplemental Appendix. Closed-answer questionnaire.** This questionnaire was
- filled out by each participant to obtain information about their demographics, symptoms,
- and exposure histories.
- 743 S2 Supplemental Table 1. Serologic testing performed based on subjects'
- 744 countries of origin.
- 745 **S3 Supplemental Figure 1. Study recruitment and enrollment.**
- 746 S4 Supplemental Table 2. Responses to closed-answer questionnaire and
- 747 laboratory results. This table contains the complete individual responses subjects
- provided to the symptom and demographic questionnaire as well as the raw results from
- all laboratory tests performed.

738 Subjects approached and 534 were interested in hearing about the study

401 subjects determined to be ineligible for the study:

- 387 had lived in the United States for greater than 5 years
- 8 were pregnant
- 4 subjects had taken antiparasitic medications since moving to the United States
- 1 subject was not an immigrant
- 1 subject was not within the age range for eligibility

133 subjects enrolled: 125 (94%) provided a blood sample

113 (85%) provided a stool sample

# Figure