An evaluation of pool-sequencing transcriptome-based exon capture for

population genomics in non-model species

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Keywords: target enrichment, non-model organism, population genomics, pool-sequencing,

Harmonia axyridis, intron-exon boundary prediction

Abstract

Exon capture coupled to high-throughput sequencing constitutes a cost-effective technical solution for addressing specific questions in evolutionary biology by focusing on expressed regions of the genome preferentially targeted by selection. Transcriptome-based capture, a process that can be used to capture the exons of non-model species, is use in phylogenomics. However, its use in population genomics remains rare due to the high costs of sequencing large numbers of indexed individuals across multiple populations. We evaluated the feasibility of combining transcriptome-based capture and the pooling of tissues from numerous individuals for DNA extraction as a cost-effective, generic and robust approach to estimating the variant allele frequencies of any species at the population level. We designed capture probes for ~5 Mb of chosen *de novo* transcripts from the Asian ladybird *Harmonia axyridis* (5,717 transcripts). We called ~300,000 bi-allelic SNPs for a pool of 36 non-indexed individuals. Capture efficiency was high, and pool-seq was as effective and accurate as individual-seq for detecting variants and estimating allele frequencies. Finally, we also evaluated an approach for simplifying bioinformatic analyses by mapping genomic reads directly to targeted transcript sequences to obtain coding variants. This approach is effective and does not affect the estimation of SNP allele frequencies, except for a small bias close to some exon ends. We demonstrate that this approach can also be used to predict the intron-exon boundaries of targeted *de novo* transcripts, making it possible to abolish genotyping biases near exon ends.

Introduction

One of the core challenges in population genomics and phylogenetics is to obtain genotype calls for a reliable set of orthologous loci from a sufficient number of individuals across multiple populations and from species spanning a range of divergences, respectively. The targeted resequencing of a consistent subset of genomic regions is a reproducible and cost-effective technical solution, with much lighter data handling than for whole-genome sequencing. Hybridization capture is one of these reduced representation methods that allows the enrichment of a preselected set of hundreds to thousands of genes or DNA fragments from the genomic DNA (Hodges *et al.*, 2007). The most common application of hybridization sequence capture is exon capture, in which only coding regions of the genome (and their flanking regions) are sequenced (Warr *et al.*, 2015). This approach provides focused information about gene function and adaptation, and, unlike transcriptome sequencing, is not biased by variations of gene expression.

Exome capture requires a knowledge of the exon sequences of the species studied, for the design of appropriate oligonucleotide probes. Consequently, its use has been largely limited to model species (i.e. species for which a reference genome is available). Absolute probe specificity is not required, however, and given the tendency of functional elements to be conserved even after millions of years of divergence, exons can be captured from a non-model species (i.e. a species without a published genome sequence) with probes designed from the sequence of a related model species (e.g. Cosart *et al.*, 2011; Förster *et al.*, 2018). This approach has been used for the successful resolution of species phylogenies (e.g. Ilves & López-Fernández, 2014; Bossert & Danforth, 2018; Ilves *et al.*, 2018). It is also an effective method for capturing and enriching degraded and contaminated ancient DNA from extinct groups in paleontology (e.g. Castellano *et al.*, 2014).

An alternative approach for non-model species involves designing DNA capture probes on

the basis of sequence subset obtained from a *de novo* assembled transcriptome for the species

studied or a related species (e.g. Bi *et al.*, 2012; Neves *et al.*, 2013). One of the limitations of this

approach is that the intron-exon structure is unknown, and probes based on transcript sequences

spanning two consecutive exons therefore hybridize only partially to genomic DNA, reducing

capture efficiency (e.g. Neves *et al.*, 2013). One way of addressing this issue is to choose only targets

which have orthologous genes in closely related model species to predict intron positions (Bi *et al.*,

2012; Stephens *et al.*, 2015; Bragg *et al.*, 2016). However, the use of data from closely related model

species biases target choice toward the retention of genes displaying the highest degree of

conservation throughout evolution, which is potentially problematic in population genomics

studies. In addition, even when orthologous genes are targeted, transcriptome-based exon capture

studies have still been found to have a lower capture efficiency close to intron-exon boundaries,

because it is not possible to design capture probes spanning exons and their (unknown) non-coding

flanking regions (Bi *et al.*, 2012; Neves *et al.*, 2013; Portik *et al.*, 2016).

Captured fragments are usually longer than probes. Transcriptome-based exon capture

therefore provides opportunities to capture the flanking regions of the exons, thereby expanding

gene models beyond the available coding sequence (Bi *et al.*, 2012; Neves *et al.*, 2013; Stephens *et*

al., 2015; Bragg *et al.*, 2016; Portik *et al.*, 2016). This requires the construction, in a fragmented way

(e.g. long introns not fully captured), of the genomic sequences corresponding to the targeted

transcripts (e.g. Neves *et al.*, 2013). This assembly (generally referred to as an in-target assembly) is

used as a reference for the mapping of genomic reads or for phylogeny construction. This assembly

is obtained by cleaning the raw genomic sequences and assembling them with various k-mer

combinations. The genomic contigs obtained are then compared with the target sequences for

ordering and scaffolding with each other when they correspond to the same targets. Such a complex

approach is necessary for phylogeny construction when probes are used to capture orthologous

targets from related species, but may be dispensable in the context of population genomics. Indeed,

several studies have shown that the assembly process does not completely rebuild all targets (e.g.

Bragg *et al.*, 2016; Portik *et al.*, 2016), and this may decrease the likelihood of detecting variants.

Bragg et al. (2016) tried to attenuate this problem by mapping the genomic reads of the species

used for probe design directly onto the transcript target sequences, thereby making it possible to

cover exons that were not successfully assembled but were nevertheless captured and sequenced.

Transcriptome-based exon capture has proved effective for the generation of thousands of

highly informative markers. Most studies to date have aimed to resolve the phylogenetic

relationships between various set of related species (Nicholls *et al.*, 2015; Stephens *et al.*, 2015;

Bragg *et al.*, 2016; Heyduk *et al.*, 2016; Ilves *et al.*, 2018), and applications in population genomics

remain rare (but see Bi *et al.*, 2013; Rellstab *et al.*, 2019). This is probably because population studies

traditionally analyze large numbers of individuals from multiple populations of non-model species,

which is complex, time-consuming and costly, even if sequencing capacity and costs are optimized

by indexing individuals for multiplexing (Shearer *et al.*, 2012). However, many research questions in

population genomics can be addressed by simply using the allele frequencies calculated at

population level (e.g. Gutenkunst *et al.*, 2009). In this context, pooling individuals within the

population before the extraction of DNA may be an efficient, cheap and time-saving strategy. Pool-

sequencing has been shown to estimate allele frequencies within populations as accurately as

individual genotyping, but with much less effort for library construction and sequencing (e.g. Gautier

et al., 2013; Schlötterer *et al.*, 2014). Allele frequencies have been estimated by a combination of

targeted capture methods and pool-sequencing strategies only in humans (Bansal *et al.*, 2011; Day-

Williams *et al.*, 2011; Ramos *et al.*, 2012; Ryu *et al.*, 2018) and, very recently, in pine trees (Rellstab

et al., 2019).

We propose here an efficient way of quantifying the single-nucleotide polymorphism (SNP)

of exomes at the population level in non-model species for which no genome sequence is available.

This approach is based on a combination of transcriptome-based exon capture and the pooling of

tissues from numerous individuals before DNA extraction. We report the development of capture

probes on a subset of the exome of the Asian ladybird *Harmonia axyridis* from its unannotated

available *de novo* transcriptome (Vilcinskas *et al.*, 2013; Vogel *et al.*, 2017), without the use of the

available draft genome (HaxR v1.0; Gautier *et al.*, 2018) and, thus, in the absence of known gene

structure, as in most non-model species. We used these probes for two target enrichment

experiments with the same population sample, the first based on capture with indexed multiplexed

individuals, and the second based on capture with a pool of non-indexed individuals. We evaluated

the efficiency of capture and the accuracy of the estimates of allele frequencies obtained by pool

sequencing in this context. We also evaluated a rapid approach for the processing of read data

without their assembly, based on the mapping of reads directly to targeted *de novo* transcript

sequences for the detection of variant loci (Bragg *et al.*, 2016; Rellstab *et al.*, 2019). This direct

mapping also makes it possible to effectively predict the locations of the intron-exon boundaries of

target sequences.

Methods

The overall analysis workflow is summarized in Figure 1.

Figure 1: Overview the analysis workflow (see text for details).

Design of capture probes for target enrichment

Using an already available *de novo* transcriptome obtained by RNA-Seq on various tissues and

conditions/stages of *H. axyridis* (Vilcinskas *et al.*, 2013; Vogel *et al.*, 2017), we sought putative

peptide-coding sequences with FRAMEDP (v1.2.2, Gouzy *et al.*, 2009), using BLASTX results (e-value

≤ 1e^{-7}) of transcripts against the insect proteomes of *Tribolium castaneum*, *Anoplophora*

glabripennis, Dendroctonus ponderosae, Drosophila melanogaster and the SwissProt database

(v2016-02-17) for training. We have chosen to work on an exome subset, particularly with the future

goal of working simultaneously on a large number of population samples. To maximize the

effectiveness of our probes, target sequences were selected as follows. The corresponding partial

or complete coding sequences (CDS) were filtered to eliminate (i) *Wolbachia* and other putative

endosymbiont sequences, (ii) CDS with more than 1% missing data (*Ns*) or with more than four

consecutive *Ns*, (iii) CDS with a GC% below 25 or above 75 and (iv) CDS with lengths below 400 bp

(to avoid the capture of too great a proportion of flanking regions and to allow better tiling) or above

3500 bp (to make it possible to target larger numbers of different genes). We identified 28,326

putative CDS, of which 12,739 were retained after the application of the filters. We then chose a

subset of 5.5 Mb at random: the index (1 to 12,739) of the CDS was drawn from a discrete uniform

distribution U[1;12,739] without replacement until the desired subset exome size is reached.

The probes based on the selected CDS were designed and produced by NimbleGen. The

repetitiveness of the probe sequences was assessed on the basis of the frequency of 15-mers in the

genomes of the coleopterans *T. castaneum* and *A. glabripennis*. Probes with more than one close

match, defined as no more than five single-base insertions, deletions or substitutions with the

SSAHA algorithm (Ning *et al.*, 2001), in the *H. axyridis de novo* transcriptome or in the draft genome

of *A. glabripennis* were discarded. Probes matching to sequences in the *H. axyridis* or *T. castaneum*

mitochondrial genome were also discarded. The few residual *Ns* in target sequences were replaced

with a random nucleotide to allow tile probing across a single unknown base. The final probe set

contained 6,400 regions of overlapping probes, corresponding to a targeted exome size of 5,347,461

bases   distributed   over   5,717   of   our   selected   CDS   (Fig.   1A;   Zenodo

https://doi.org/10.5281/zenodo.2598388). This final probe set was used for the preparation of a

SeqCap EZ Developer assay by Roche NimbleGen Technologies, which synthesized the probes as

biotinylated DNA oligomers. One capture reaction contained 2,100,000 overlapping probes of 50 to

99 bp in length, with a mean length of 74.71 ± 4.92 bp.

Individual and pooled genomic DNA library preparation

Thirty-six individuals of *H. axyridis* from a wild population in China (Beijing; 40.057128°N, 116.53989°E) were collected in October 2015, immediately placed in RNAlater solution and stored at -20°C. Based on the PIFs ("Pool Individual Frequency SNP") tools proposed by Gautier *et al.* (2013), we would theoretically expect a similar precision of allele frequency estimation for the sequencing of 23 individually indexed individuals, each at a sequencing depth of 80X, or a single pool of 36 individuals at 125X, assuming an experimental error (i.e. ε, the coefficient of variation of the contribution of each individual to the set of sequence reads obtained from the DNA pool) of 50%. We thus extracted DNA (i) independently from 23 individuals, using half the body (without the hind legs), and (ii) from a pool of 36 individuals, including the 23 individuals used for individual sequencing, using two hind legs per individual (Fig. 1B). All DNA extractions were performed with the Qiagen DNeasy Blood & Tissue kit, in accordance with the manufacturer's recommendations.

Genomic libraries were prepared with NimbleGen SeqCap EZ Library (v5.0). Briefly, for each of the 24 samples (1 pool and 23 individuals), DNA (2 µg in 100 µl) was mechanically sheared to a mean fragment length of 200 bp with a Covaris S2 E210 device (6x30s). Fragments were end-repaired, A-tailed and indexed (one index per sample) with KAPA Library Preparation Kit Illumina platforms. After the ligation of Illumina adaptors and indexes, only fragments with a length of 250 to 450 bp were retained. PCR was performed (7 cycles) with standard Illumina paired-end primers and the amplicons were then purified with AMPure XP beads (Beckman). The length, quality and concentration of the prepared DNA fragments were checked on a BioAnalyzer (Agilent High Sensitivity DNA Assay) and a Qubit.

Sequence capture hybridization and sequencing

The 23 indexed individuals were pooled at equimolar concentrations before hybridization. For each

capture (one with the pool and one with the indexed individuals), a total of 1 µg of amplified DNA

was used for exome enrichments, with the SeqCap EZ Developer probes described above, strictly in

accordance with the NimbleGen SeqCap EZ Library protocol (v5.0). For each capture, two parallel

post-capture PCRs (14 cycles) were performed on elution solution, and the PCR products were then

merged before purification with AMPure XP beads. The length, quality and concentration of the final

DNA fragments were checked on a BioAnalyzer (Agilent High Sensitivity DNA Assay) and a Qubit.

Each capture was sequenced on a half lane of an Illumina HiSeq3000 sequencer (on the same lane;

Fig. 1B), in accordance with the manufacturer's instructions, in paired-end mode, for 150 cycles. The

data were then demultiplexed and exported as FastQ files, and the 24 libraries were processed

independently.

SNP calling by the mapping of reads to the CDS

Sequence quality was checked with FastQC

(https://www.bioinformatics.babraham.ac.uk/projects/fastqc/). Adapters were removed and low-

quality base pairs were trimmed with Trimmomatic (v0.35, Bolger *et al.*, 2014), with the following

parameters: ILLUMINACLIP:TruSeq-file.fa:2:30:10 LEADING:30 TRAILING:30

SLIDINGWINDOW:10:30 MINLEN:75, and FASTX (v0.0.14; http://hannonlab.cshl.edu/fastx_toolkit/)

to remove the first five bases.

We chose to align the reads directly with the targeted CDS sequences, because target

enrichment was performed on the same species as probe design, as suggested by Bragg *et al.* (2016;

see also Rellstab *et al.*, 2019) (Fig. 1C). Doing so, we avoid the complex and time-consuming steps

of read assembly and scaffolding/ordering the genomic contigs obtained to generate the in-target

assemblies for read mapping (see introduction). For each library, cleaned reads were aligned with

the 5,717 targeted CDS, with BOWTIE2 (v2.2.5; Langmead & Salzberg, 2012), using the default

parameters and *local* option to allow for genomic reads not aligning with the targeted CDS sequence

over their entire length, whilst ensuring a minimum alignment length of 20 bp. Reads were aligned

as unpaired, to allow for the mapping of orphan reads. Reads with multiple alignments, and those

for which the mate-pair was aligned to a different target were discarded after mapping. The aligned

data in SAM format were converted into BAM format and sorted with SAMtools (v0.1.19). Duplicate

reads were estimated with picard tools (v1.113) MarkDuplicates, using the default settings.

Coverage at each target base was calculated with mosdepth (v0.2.3; Pedersen & Quinlan, 2018).

Variant calling was performed with read alignments of more than 40 bases in length

containing fewer than 10 deletions, as deduced from the *cigar* format. SNP sites were called

separately for each of the 24 libraries with the SAMtools *mpileup* command, using option *-B*

followed by the varscan2 (v2.3; Koboldt *et al.*, 2012) *mpileup2snp* command with a minimum base

quality of 35 to count a read at a given position, and a *p*-value threshold of 0.01. For the other

parameters, we considered only positions with a minimum sequencing depth of 40 reads for each

individual, and 250 reads for the pool (i.e. for these selected depths, the same exome size was

analyzed: 90.3% and 93% of target bases were supported by at least 40 and 250 reads for the

individual and pooled exomes, respectively; see Fig. S1). We also only included a variant if it was

supported by 15 reads per individual (to take into account the variance between both haplotypes

sequencing depths), or by three reads for the single pool (given the pool's minimum sequencing

depth of 250X, we expected to have ~3 reads per haplotype if the 72 haplotypes in the pool were

homogeneously captured). Moreover, to account for the variation in total read sequencing depth

across positions, we required a minimum variant allele frequency threshold of 0.25 for each

individual and 0.01 for the pool. For individual libraries, an individual was considered to be

heterozygous if the variant allele frequency f(v) was between 0.25 and 0.75.

All 409,328 different SNP positions independently found in the 24 libraries were then

genotyped for each library with varscan2 *mpileup2cns,* using the same parameters as described

above, but without imposing a minimum number of reads with variant for individuals. Several filters

were then applied to all SNP positions, using either in-house PERL or R scripts (R Development Core

Team, 2015). We first retained only bi-allelic positions. In order to properly estimate allelic

frequencies with individuals, we retained only positions genotyped for at least 20 individuals.

Finally, we discarded SNPs with high levels of sequencing depth in the pool, which were likely to

represent repetitive regions or paralogs of the genome: maximum pool sequencing depth was set

at 6,960X, as calculated with the formula proposed by Li et al. (2014): $c+4*\sqrt{c}$, where c is the mean

sequencing depth in the pool (c=6,634.8X). We retained 300,036 bi-allelic SNPs (73.3%) after the

application of all these filters (Fig. 1C).

At each site, and for each capture, variant allele frequency at population level was estimated

as the number of reads with the variant allele divided by total sequencing depth. Individual

genotypes were used to calculate exact *p*-values for Hardy-Weinberg equilibrium tests with the

"HardyWeinberg" R package (Graffelman, 2015), with the correction of significance level by the false

discovery rate procedure (Benjamini & Hochberg, 1995). Finally, SNPs were annotated and

categorized with the SnpEff program (v4.3t; Cingolani *et al.*, 2012).

Evaluation of the approach based on read mapping directly onto CDS

Approaches based on the direct mapping of reads onto CDS have already been used to detect SNPs

(e.g. Rellstab *et al.*, 2019), but they have never been evaluated. We used an *H. axyridis* draft genome

sequence (HaxR v1.0; Gautier *et al.*, 2018) to evaluate this approach and that proposed below for

the prediction of intron-exon boundaries (IEBs), but only for a subset of our targets, i.e. those with

a genomic match (Fig. 1D). We selected CDS targets with a genomic match, as proposed by Neves

et al. (2013), with some adjustments. Briefly, CDS target sequences were first aligned with genomic

scaffolds with BLASTN (e-value cutoff of e^{-20} and identity cutoff of 90%), and the best hit was

retained for each target. The target sequences were then realigned with the corresponding genomic

scaffold, with both GMAP (version 2015-12-31; Wu & Watanabe, 2005) and EXONERATE

est2genome (v2.2.0; http://www.genome.iastate.edu/bioinfo/resources/manuals/exonerate/). We

isolated targets (i) with genome matches over their entire length, (ii) for which intron/exon structure

predictions were the same for both alignment programs, (iii) which were covered by capture probes

over their entire length and (iv) for which we had access to at least 200 bp upstream and

downstream from the targeted CDS sequences. With these filters, we retained 3,161 CDS targets in

total (3,220,718 bp, i.e. 60.23% of our targeted exome subset). These 3,161 full or partial CDS

corresponded to 11,754 exons, with a mean of 3.72 exons per target (range: 1 to 17 exons) and a

mean exon length of 274 bp (range: 12 to 3,417 bp). These 11,754 exons included 6,295 (53.6%)

that were unambiguously complete, because they were surrounded by two others, and 8,593

reliable IEBs in total were identified between consecutive exons.

All genomic regions corresponding to these 3,161 CDS targets were then isolated, including

200 bp upstream and downstream from the target sequences (Fig. 1D). Independently for each

library, all cleaned reads were aligned with these genomic regions, with BOWTIE2 (v2.2.5), using the

default parameters and the *end-to-end* option (i.e. reads must be aligned along their full length).

Reads with multiple alignments, and reads for which the mate-pair was aligned to another target

were discarded after mapping. Coverage calculation, SNP calling and variant allele frequency

estimation were performed as described above (Fig. 1E). We established the correspondence

between the position of a base in the genomic region and its position in the CDS sequence, using

the *vulgar* format of EXONERATE output (Fig. 1F). SNP positions for which there was a deletion or

an insertion between the CDS sequence and its associated genomic sequence (i.e. 559 positions)

were excluded from the comparison.

In order to compare quantitatively the allelic frequencies obtained with the different

approaches presented here (i.e. pool vs. individuals; CDS mapping vs genome mapping), we

calculated in each case the Pearson correlation coefficient and the Bland-Altman average bias with

its standard deviation which provide information upon agreement between measurements (Altman

& Bland, 1983).

Intron-exon boundary detection

Our approach is based solely on the direct mapping of genomic reads to the CDS sequences, by

allowing reads to map over less than their full length (i.e. local alignment). Thus, a genomic read

with both part of an exon and its flanking sequences (intron or UTR) will start mapping to the

corresponding exon in the CDS sequence, and stop mapping at the exon end (Conklin *et al.*, 2013).

This will generate a signal of the intron-exon boundary in the number of reads that either begin or

end their local alignment at that position (hereafter referred to as *nbBE*). Along a covered exon,

nbBE should be much lower than the sequencing depth rate, except at the end of the exon, where

we should observe a large increase in *nbBE* to a value theoretically equal to the sequencing depth

(Fig. S2A). This strong increase in *nbBE* should occur either at the base at the exact end of the exon,

or a few bases away if the start of the intron sequence is, by chance, highly similar to the start of

the next exon sequence on the CDS (Fig. S2B). In this second case, it creates an abnormally inflated

estimate of sequencing depth locally for a few bases at the boundary of the following exon (Fig. S2B

and Fig. S3 for an example). At an IEB (i.e. a junction of two exon ends in the CDS), depending on

whether a signal offset occurs for one or both exon ends, the size of the area with abnormally

inflated local sequencing depth is variable, but generally less than 10 bp. In addition, the presence

of a SNP at some bases at the end of the exon may prevent reads with the variant from aligning up

to the IEB position, potentially generating another signal in addition to those of the two exon ends

of the IEB. Combinations of these different scenarios may also occur.

We developed and tested a method for predicting IEBs on the basis of the increase in *nbBE,*

by focusing on the 3,161 targeted transcripts for which genomic sequences were available (Fig. 1D).

We used the mapping output BAM file obtained with the pool library (Fig. 1C). At each position in

the transcript, *nbBE* was calculated from the start read alignment position on the transcript and the

cigar code, both present in the BAM file. The value of the *nbBE* parameter at each position is a

function of sequencing depth (and read length). We took the possible effect of sequencing depth

heterogeneity on *nbBE* into account by calculating the median *nbBE* over a window of 11 bases

centered on the position concerned. A signal (i.e. a predicted IEB), was detected at a position if *nbBE*

was *X* times larger than the median, *X* being a tool parameter defined by the user. For the definition

of *X*, it may be necessary to identify a subset of transcripts with orthologs in a close model species,

and then to determine which value of *X* provides the best prediction of IEBs for this subset. Signals

at the exact ends of the CDS were not considered. We took the possible signal deviation (i.e. a signal

that is slightly deported with respect to the IEB that generates it; Fig. S2) into account, by extending

signal detection to the three bases upstream, and three bases downstream from a position with

measured signal (default value for parameter *n*=3). A measured signal therefore predicts an IEB in a

window of seven bases in total. An IEB, composed of two exon ends, theoretically generates two

close signals with overlapping windows. If these two signals are found at the exact positions of the

exon ends (no deviation), then the predicted region measures 8 bp (Fig. S2A). If these two signals

overlap (e.g. because of the deviation of one of the two signals) then the predicted region for the

IEB is 7 bp long. It can be less than 7 bp if the signal is detected close to non-covered regions or

regions with measured signals close to the end of the CDS for which signal extension is not possible.

If these signals deviate by up to six bases from each other (i.e. cumulative deviation of eight bases,

the deviation being in opposite directions for the two signals) then the predicted region measures

14 bp (Fig. S2). More rarely, if a third signal is detected in the same region, it increases the length of

the region by a few bases. If the two signals deviate by more than six bases from each other, then

the tool predicts two signal windows separated by a few bases for the IEB concerned. Thus, each

transcript was divided into short regions with prediction signals (+) alternating with regions without

prediction signals (-). Each region was then assessed as follows: true positive (TP) if the + region

contained an IEB; false positive (FP) if the + region did not contain an IEB; true negative (TN) if the -

region did not contain an IEB; and false negative (FN) if the - region contained an IEB. We evaluated

the performance of the method, by calculating the percentage of true IEBs correctly predicted (i.e.

sensitivity (SN)) as TP/(TP+FN) and the percentage of correct predictions (i.e. specificity (SP)) as

TP/(TP+FP) (Burset & Guigo, 1996). For a CDS with no introns (862, i.e. 27.3% of the 3,161 CDS), SN

cannot be evaluated; SP was 100 if no IEB was predicted (good prediction) and 0 otherwise. Scripts

are available from https://github.com/edeleury/IEB-finder.

Results

Capture data and filtration

349   In the two target enrichment experiments, we obtained 186,998,614 and 185,457,659 *H. axyridis*

350   raw sequence read pairs for the pool library (SRA ERX3237193) and the 23 indexed individuals (SRA

351   ERX3237194 to ERX3237216), respectively. For each indexed individual library, we obtained a mean

352   of 8,063,376 raw read pairs (range: 6,912,561 to 9,176,292). After cleaning, 91.2% of the raw read

353   sequences were retained (see detailed information for all libraries in Table S1).

Capture efficiency, sequencing depth and duplicates

Rather than assembling the cleaned reads, we chose to map them directly onto the target CDS

sequences used for probe design (Fig. 1C). Mean capture specificity, defined as the percentage of

cleaned reads mapping correctly (i.e. meeting our mapping parameters) onto the 5,717 targeted

CDS, was 83.2% (range over libraries: 80.6%-89.2%; Table S2). Aligned bases accounted for 74.6%

(range over all libraries: 72.6%-75.7%) of all cleaned read bases. The global median read alignment

length was 144 bp (range over libraries: 143-144 bp, see Table S2), with 62.7% of the mapped reads

(range over all libraries: 60.9%-64.3%) mapped over their entire length. A substantial proportion of

the mapped reads (22.2%; range over all libraries: 20%-25.9%) were 'orphaned' mates (i.e. only one

of the two mates aligned to the target sequences). This percentage strongly suggests that flanking

regions of targeted regions were also sequenced with our capture procedure (Yi *et al.*, 2010; Neves

et al., 2013), although quantification was not possible with this direct mapping approach.

The mean percentage of the 5,347,461 targeted bases (5,717 target CDS) covered by at least

one read (i.e. the capture sensitivity) was 93.5% (range over all libraries: 93.4%-93.9%, see Fig. S1

and Table S3). Overall, 93% of the targeted bases were covered in all 24 libraries, whereas 6% were

covered by no read in any of the libraries (Table 1). We identified 491 full targets (292,327 bp) with

no read aligned in the 24 libraries. For these uncovered targets, 487 (99.2%) had no match to the *H.*

axyridis draft genome either, whereas, for the 5,226 covered targeted CDS, only 74 (1.4%) had no

match to the genome (here partial alignments of targets with genome were also considered).

Target	Target size	Percentage of samples with target sequence	
		100%	0%
CDS level	5 717	5 226 (91.4%)	491 (8.6%)
Base level	5 347 461	4 967 690 (92.9%)	323 418 (6.0%)

Table 1: Reproducibility of capture sensitivity on the 24 libraries. 100% means that all 24 samples had at least one read aligned with the target, whereas 0 % level indicates that no reads aligned with the target.

Considering all targeted bases, the median and mean base sequencing depth for individual libraries were 142X and 283X for the "23 individuals" libraries, and 3,361X and 6,635X for the pool libraries (see Table S3), respectively. A small number of targeted bases had very high sequencing depth, but around 90% had a depth <300X for individuals or <7,000X for the pool (Table S3). For individuals, 90.3% of the targeted bases on average had a mean sequencing depth greater than 40X. For the pool, 93% had a depth greater than 250X (Fig. S1).

Base sequencing depth varied along the targeted CDS (Fig. S4A). Using the known complete exons (≥ 20 bp) identified on targeted CDS with a match over their entire length with the *H. axyridis* draft genome, we confirmed that mean sequencing depth increased steadily with exon size (Fig. S4B), and that base sequencing depth decreased from the middle of an exon to its ends (Fig. S4C). Alignments of less than 20 bp between the reads and the CDS sequence were not permitted with this mapping procedure, so sequencing depth was probably underestimated at exon ends and for short exons. For all the targeted CDS considered together, mean sequencing depth differed between targeted CDS but was highly reproducible between libraries (Fig. S5): the Pearson correlation coefficient of the target sequencing depth between individual libraries ranged from 0.96 to 0.99, with a mean of 0.99, and the Pearson coefficient of correlation between the two capture processes (i.e. between pool and individuals) was 0.999.

For the 23 individual libraries, a mean of 66.1% of reads were scored as duplicates (range over libraries: 63.6%-68.9%; Table S4). This high proportion was due to the very strong sequencing effort regarding the target region size. Indeed, pooling the reads of the 23 individual libraries increased the proportion of duplicates detected to >96%, as for the pool (Table S4), suggesting that the reads scored as duplicates in our experiment probably mostly come from different individuals.

We did therefore not discard duplicated reads before SNP calling, so as to avoid artificially biasing

the estimation of allele frequencies.

SNP calling and accuracy of allele frequency estimation

SNP calling performed independently for the individuals and for the pool, following direct mapping

onto the targeted CDS sequences, led to the detection of 302,292 and 364,144 different putative

exonic SNPs, respectively. Among the total 409,328 SNPs, 257,108 positions (62.8%) were detected

in both experiments, 45,184 (11%) only in individuals and 107,036 (26.2%) only in the pool. As

expected (because there were 13 more individuals in the pool), the number of SNPs called for the

pool was larger than that for the 23 individuals, with 26.2% of SNPs called for the pool only. The vast

majority (95.6%) of the SNPs called only for individuals had allele frequencies below 0.03.

All positions were genotyped in all libraries. After filtering, we finally selected a total of

300,036 exonic bi-allelic SNPs within 4,741 targeted CDS (Fig. 1C). Minor allele frequencies (MAFs)

were below 0.03 for 59.2% of these SNPs (Fig. 2); 92.75% were polymorphic in both the pool and

the individuals, 7.22% were polymorphic only in the pool, and 0.03% were polymorphic only in the

individuals. Only 1,007 of the 300,036 SNPs (i.e. 0.34%) displayed significant deviation from Hardy-

Weinberg equilibrium, as calculated with the individual genotypes. This number was more than

three times higher (i.e. 3,331 loci) before the removal of loci on the basis of the maximum

sequencing depth filter applied to the pool.

Figure 2: Folded-site frequency spectrum of the 300,036 filtered exonic bi-allelic SNPs (mapping onto targeted CDS sequences) according to their polymorphism in the pool and in individuals. For SNPs polymorphic in both the pool and individuals, the MAF shown is based on the pool. 86 SNPs (0.03%) that were polymorphic only in the individuals are not represented here, all have MAF<0.05.

When all 300,036 loci were considered, allele frequency estimates were strongly correlated between the pool and individuals (Pearson's r = 0.99; Fig. 3A), with a good level of agreement (average bias = $9.5E10^{-5}$; bias SD = 0.025).

SNP annotation revealed that 74.75% of SNPs were synonymous, whereas 24.92% were non-synonymous missense and 0.33% were non-synonymous nonsense SNPs.

Figure 3: Allele frequency estimates from the population pool and individuals by two mapping approaches: **(A)** mapping

reads directly onto CDS and **(B)** mapping onto genomic regions available only for a subset of target CDS. For exonic bi-

allelic SNPs common to both mapping approaches, we also show **(C)** the allele frequency estimates from the pool by the

two approaches. Note: Pearson correlation coefficient (*r*) are displayed.

Comparison of the SNPs detected with the two mapping approaches

We evaluated the ability of the method for mapping reads directly to CDS (Fig. 1C) to call SNPs

correctly by comparing the SNPs identified with this approach and those found by mapping reads to

the genome (Fig. 1E). We used only the subset of 3,161 targeted CDS with a match over their entire

length to sequences in the draft genome of *H. axyridis* for this comparison. For each library (pool

and individuals), trimmed reads were also mapped along their entire length onto the regions of the

genome corresponding to this subset (Fig. 1E). After application of the same procedure and filters

for SNP calling as were used for direct mapping onto CDS sequences, 290,620 filtered bi-allelic SNPs

were genotyped within the subset, including 187,591 (64.5%) in exons and 103,029 (35.5%) in the

flanking regions (intron or UTR) of the exons. By mapping reads onto the genome, we demonstrated

that allele frequency estimates were strongly correlated, with a good level of agreement, between

the pool and individuals, for both exonic and flanking SNPs (Pearson's r=0.99; average bias = -

7.3E10^{-4}; bias SD = 0.025; Fig. 3B).

Of the bi-allelic exonic SNPs found by direct mapping onto the targeted CDS (Fig. 3A),

185,426 mapped onto the subset of 3,161 targeted CDS, and 94% were also identified as bi-allelic

SNPs by mapping to genomic regions (Fig. 1F). For these 174,307 exonic bi-allelic SNPs found with

both mapping approaches (mapping onto the genome or directly onto transcripts), the allele

frequency estimates obtained with the two mapping methods were highly correlated, with good

level of agreement, both for the pool (Pearson's r=0.998; average bias = 6.8E10^{-4}; bias SD = 0.013;

Fig. 3C) and for the individuals (Pearson's r=0.998; average bias = 1.0E10^{-3}; bias SD = 0.012).

Some of the private bi-allelic SNPs (i.e. SNPs identified in a single mapping approach) were

variants initially identified with both mapping approaches but discarded during filtering in one of

the approaches. For example, 1,467 bi-allelic SNPs private to the CDS mapping process had a

sequencing depth above the maximum threshold, and 714 were tri-allelic SNPs when mapped onto

the genome. If we exclude this latter group, 8,853 and 8,333 were found to be private to CDS

mapping and mapping onto genomic regions, respectively. A non-exhaustive analysis of these

positions highlighted two possible origins of private SNPs for mapping onto CDS. Some targeted

regions (the full CDS or part of the CDS) have homologous copies in the genome that we were unable

to identify during target selection and for which the SNPs found were not discarded with the

"maximum sequencing depth" filter. We have thus identified 43 CDS (0.7%) with reads mapping

onto their genomic regions in a non-unique manner, and these CDS with paralogs displayed 3,141

SNPs, all private to CDS mapping. The second origin was false SNPs occurring when the start of an

intron could be aligned with the start of the next exon due to similarities between the two

sequences (Fig. S2 and S6, for example). Of the SNPs private to the CDS mapping method, 6.9%

(*N*=614) were positioned close to intron-exon boundary (IEB) positions (i.e. at the exact end of the

exon or on the three adjacent bases), whereas only 0.6% of the 174,307 SNPs common to both

mapping methods were found near IEBs.

Prediction of intron-exon boundaries without read assembly

The method we developed for IEB detection based on the mapping of genomic reads directly onto

CDS sequences (Fig. 1C) was evaluated on the 3,161 targeted CDS for which we had genomic

information, and therefore knew the positions of the IEBs (Fig. 1D). An IEB signal was predicted at a

particular position in the CDS if the number of reads either beginning or ending their local alignment

at that position (*nbBE*) was 20 times greater (*X*=20) than the median *nbBE* value within a window of

11 bases around the position concerned, and the signal measured at that position extended to a

short region of 7 bases (i.e. with a default parameter of *n*=3; see Methods section and Fig. S2). With

parameters *X*=20 and *n*=3, 8,967 regions with lengths of 4 to 19 bp were predicted to be IEBs (Table

2). Of the 8,967 regions predicted to be IEBs, 8,575 actually corresponded to true IEBs (i.e. method

specificity = 95.63%). Of the 8,632 regions truly containing an IEB, 8,575 were accurately predicted

to be IEB regions (i.e. sensitivity = 99.34%; Table 2). Of the 862 CDS with no intron, 763 (i.e. 88.51%)

were correctly predicted to have no IEBs. As expected, the use of a lower detection threshold (i.e.

X=10) decreased the specificity of the method and increased its sensitivity (Table 2). The regions

predicted to have no IEB (i.e. the - regions) were between 1 and 3,417 bp in length, with a mean

length of 265.5 bp, entirely consistent with the known distribution of exon lengths (see Methods

section). We counted 153 very small (<10 bp) - regions with *n=3* and only 51 with *n=5*, which attested

to the possible cumulative deviation of signals of more than eight bases for a small number of IEBs.

Increasing the length of the signal deviation (e.g. *n*=5 bases instead of 3) made it possible to take

this situation into account in the vast majority of cases. This slightly improved performance but

increased the length, and thus, the imprecision, of all predicted IEB positions (Table 2).

Parameter		Regions +		Regions -		No prediction	Performance	
			Mean length		Mean length			
X	*n*	Number	[min;max] (bp)	Number	[min;max] (bp)	Number	Sensitivity	Specificity
20	3	8967	8.38 [4;19]	11849	265 [1;3417]	228	99.34	95.63
20	5	8856	12.44 [6;26]	11728	264.7 [1;3417]	228	99.88	97.01
10	3	9454	8.46 [4;26]	12254	255.8 [1;3417]	228	99.59	90.82
10	5	9306	12.55 [6;39]	12082	256.4 [1;3417]	228	99.94	92.34

499 **Table 2:** Evaluation of the IEB prediction method using pool data. The + region is a short region predicted to be an IEB.
500 The - region is a region with no signal (i.e. predicted to be an exon). Regions not covered by reads are regions without
501 prediction. Sensitivity is the percentage of regions with true IEBs correctly predicted to be + regions, and specificity is
502 the percentage of + regions that are true IEBs.

Discussion

Exome-targeted capture associated with next-generation sequencing has been mainly restricted to

model species for which genomic resources are available (Ng *et al.*, 2009). Transcriptome-based

exome capture has proved an efficient alternative for dealing with non-model species (e.g. Bi *et al.*,

2012), but is mostly used in phylogeny studies. Here, we propose an extension of this method with

a high capture efficiency, providing accurate estimates of the allele frequencies of SNPs for

population genomics studies. Our methodology is original because (i) it combines transcriptome-

based exome capture with a pool-based approach (pooling the tissues of numerous individuals

before DNA extraction), (ii) it does not require a genome sequence for the species itself or another

closely related species, and (iii) it does not involve the *de novo* assembly of genomic reads. This work

opens up interesting prospects for population genomics studies on non-model species because it is

cheap and less labor-intensive than other methods.

Capture efficiency

We found that capture efficiency was very high for the *H. axyridis* exome subset we targeted. The

capture specificity (83.2%) achieved was much higher than reported in more classical transcriptome-

based studies (range 25% - 73%), whereas capture sensitivity (93.5%) approached the highest

reported values (see Puritz & Lotterhos, 2018). Moreover, despite the differences in sequencing

depth observed between targets and along the length of targets, reproducibility was high between

libraries, whether in terms of specificity, sensitivity or sequencing depth. Our capture approach,

based on the choice of targets from the *de novo* transcriptome of the species of interest itself,

without the need to identify orthologous genes in related model species, proved highly effective.

This "blind" approach had only a minor impact on capture performance: we only observed a slight

decrease in sequencing depth for small exons and towards the ends of the exons. Similar patterns

have been observed in classical transcriptome-based exome capture in which IEBs are predicted by

orthology. In both cases, this is due to the use of less efficient probes designed from the sequences

of two consecutive exons and the absence of a probe straddling the end of the exon and the

beginning of the intron (e.g. Bi *et al.*, 2012; Neves *et al.*, 2013).

The choice of targets without looking for orthology in related species was probably the cause

of the slightly lower capture sensitivity in this study than in previous studies (Bi *et al.*, 2012; Puritz

& Lotterhos, 2018). Targets selected this way are necessarily only partly known, and some may

belong to other species (e.g. symbionts or parasites) or be chimeras present in the transcriptome

used. This is a small price to pay given the efficiency of the method, a feature of considerable

interest for population genomics studies. The absence of searches for orthologous genes in related

model species prevents the analysis from focusing purely on the most conserved genes. Moreover,

our method can be applied to any species for which no closely related model species with genomic

resources is available.

SNP calling and the pool-based approach

With this method, we were able to genotype a very large number of SNPs (~300,000) over a random

subset of the exome of *H. axyridis*. The study of the subset of CDS with complete genomic sequence

matches further supported the idea that direct mapping onto the CDS has probably no major impact

on SNP identification or on the estimation of allele frequencies relative to mapping onto the

genome. In addition to a few residual paralogous sequences inherent to any approach dealing with

non-model species, we observed slightly larger numbers of SNPs called on the basis of mapping onto

CDS in areas very close to the IEBs, but the proportion of SNPs involved was minimal (0.33%).

For similar sequencing efforts, strong concordance was observed between the allele

frequencies for individual genotyping and those for the "pool-based" approach, whatever the

mapping method used. This finding demonstrates the feasibility of this approach, in situations in

which individual identification for many samples is practically impossible, technically difficult, too

costly and/or unnecessary. In population genomics studies, allele frequencies are sufficient for the

calculation of most summary statistics (e.g. nucleotide diversity, F_{ST}, site frequency spectrum) and,

thus, for addressing most of the questions posed (Schlötterer *et al.*, 2014). In the specific case of

exome sequencing, allele frequencies can be used for large population-scale screening of protein

mutations, or to address questions related to adaptation or to the evolution of the genetic load (e.g.

Gutenkunst *et al.*, 2009; Yi *et al.*, 2010; Casals *et al.*, 2013; Popp *et al.*, 2017; Castellano *et al.*, 2019).

One of the limitations of pool-seq compared to individual-based approaches is that it is not

optimal for studies in which analyses rely on linkage phase information. However, a prerequisite in

this context is the availability of a genetic (or physical) map, which is not the case in most non-model

organisms. Another weakness of pool-seq is that "populations" must be carefully defined before

sequencing, as it will be difficult to detect a Wahlund effect or an unforeseen breeding system

afterwards. It is therefore important to be particularly careful during the sampling step, and to be

as familiar as possible with the biology of the species being studied. Finally, one more drawback of

pool-seq is that it makes it impossible to remove SNPs that are not at Hardy-Weinberg equilibrium.

Such disequilibrium may be caused by copy number variations or paralogous sequences resulting in

false-positive SNPs and local large sequencing depth (Li, 2014). We show here that the application

of our "maximum sequencing depth" filter to the pooled data made it possible to discard almost

70% of the SNPs not at equilibrium, as shown in individuals. The extremely small remaining

proportion (0.34%) of SNPs not at Hardy-Weinberg equilibrium provides support for the feasibility

of our pooling-based method.

Method for predicting IEBs without read assembly

We have developed a method for detecting IEBs that is particularly useful in the case of non-model

species (or species for which genome sequences are being assembled). The proposed tool (available

from https://github.com/edeleury/IEB-finder) does not indicate the exact location of the IEBs,

instead it identifies an area of a few bases (about eight, on average, in our case) predicted to contain

an IEB. This method, which requires only the genomic reads and the target CDS sequences, was

effective when evaluated on a subset of CDS for which we had a complete genomic match. Almost

all the true IEBs were identified, whereas false-positives accounted for less than 5% of the predicted

IEBs. Montes *et al.* (2013) proposed a similar approach, which they used to discard putative SNPs

found in predicted IEB areas. They obtained better genotyping results for the selected filtered SNPs

than other similar studies on non-model species. In this study, we went a step further by taking into

account the possible offset of the measured signal, and by evaluating the performance of the

approach on a set of transcripts for which the true intron-exon structure was known. We aimed to

present evidence that this approach is promising, although further improvement is required,

together with evaluation on larger dataset and at various sequencing depth levels. A method for

choosing an appropriate value for parameter X for the correct identification of significant increases

in *nbBE* depending on local sequencing depth must also be developed.

General recommendations, conclusion and perspectives

The combination of approaches proposed here — i.e. exome transcriptome-based capture and

direct mapping of reads onto targeted CDS sequences, coupled with a pool-seq approach before

DNA extraction — significantly decreases costs, the laboratory time required and the complexity of

the sequencing analyses required to obtain a large number of variants in exome-scale coding regions

for non-model species. However, direct mapping onto target sequences has two disadvantages.

First, it provides no information on flanking regions (including regulatory regions), and it is therefore

not possible to genotype SNPs in these areas. Nevertheless, detecting SNP polymorphisms at exonic

level would be sufficient in many cases, particularly when the in-depth analysis of a large number

of synonymous and non-synonymous mutations is informative enough to address the question

posed. It is still feasible to assemble the genomic reads in situations in which flanking regions appear

to be of interest. Second, direct mapping onto CDS results in the detection of some false SNPs in the

vicinity of IEBs. As a means of limiting this risk further, we therefore suggest using the IEB detection

method proposed here, followed by the elimination of any SNPs found in the predicted IEB regions

and in short regions (≤ 20 bp) surrounded by two predicted IEB regions. Using this approach on our

data with the parameters X=20 and n=3, we retained a final list of 296,736 SNPs. A large proportion

of the 3,300 SNPs excluded (408 SNPs, i.e. 12.36%) were not at Hardy Weinberg equilibrium in

individuals, which is an additional evidence of the relevance of this filter.

The allele frequencies measured for the pool with our method were in good agreement with

those obtained in the analysis of individuals. However, caution is nevertheless required with rare

alleles (i.e. SNPs with low MAFs), which may be confused with sequencing errors (Bansal, 2010). We

recommend the use of technical replicates. When possible, and depending on the biological

question addressed, the analysis could be restricted to SNPs with MAFs above a given threshold.

In conclusion, our study demonstrates the feasibility of obtaining, at low cost, for a non-

model species (i.e. without a reference genome), important and accurate information at population

level concerning (i) SNP polymorphism within the exome and (ii) the general structure of the exome

(intron-exon structure of the targeted genes). The results obtained with this method applied to the

Asian ladybird *Harmonia axyridis* were very promising, and we now need to investigate other

species with different genome sizes and complexities. Finally, the oversizing of these experiments,

resulting in very high sequencing depths, suggests that it should be possible to reduce costs further

by capturing multiple indexed pools in a single reaction.

628   **Acknowledgments**

630   We thank Stefan Toepfer for the *Harmonia axyridis* samples. We thank Thibaut Malausa, Arnaud

631   Estoup, Mathieu Gautier, Fabrice Legeai, and Bernhard Gschloessl for their helpful comments, which

632   helped us to improve this manuscript considerably. This work was funded by the INRA SPE

633 department and the INRA UMR Institut Sophia Agrobiotech. Sequencing was performed at the

634 GENOTOUL GeT platform (https://get.genotoul.fr/en/).

Author contributions

639 ED, EL and TG conceived the study. AB, ED and EL prepared the samples and the libraries. ED

640 developed the bioinformatics pipelines. ED and EL ran the analyses. ED, EL and TG wrote the paper.

Conflict of interest disclosure

645 The authors of this preprint declare that they have no financial conflict of interest with the content

646 of this article. Thomas Guillemaud and Eric Lombaert are both recommenders at PCI Evolutionary

647 Biology, PCI Ecology and PCI Entomology.

Data accessibility

652 The exome sequence capture data reported here have been deposited in the European Nucleotide

653 Archive (accession no. PRJEB31592). The CDS sequences targeted and the description of the exon

654 positions on the subset of transcripts have been deposited at Zenodo

655   https://doi.org/10.5281/zenodo.2598388. The HaxR v1.0 genome sequence used in this study is

656   available from http://bipaa.genouest.org/sp/harmonia_axyridis/ (see Gautier *et al.*, 2018).

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