Population productivity of shovelnose rays: inferring the potential for recovery

Short title: Population productivity of shovelnose rays

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Abstract

Recent evidence of widespread and rapid declines of shovelnose ray populations (Order Rhinopristiformes), driven by a high demand for their fins in Asian markets and the quality of their flesh, raises concern about their risk of over-exploitation and extinction. Using life history theory and incorporating uncertainty into a modified Euler-Lotka model, maximum intrinsic rates of population increase (r_{max}) were estimated for nine species from the four families of rhinopristiforms. Estimates of median r_{max} varied from -0.04 to 0.60 year^{-1} among the nine species, but generally increased with increasing maximum size. In comparison to 115 other species of chondrichthyans for which r_{max} values were available, the families Rhinidae and Glaucostegidae are relatively productive, while most species from Rhinobatidae and Trygonorrhinidae had relatively low r_{max} values. If the demand for their high value products can be addressed, then population recovery for this species is likely possible but will vary depending on the species.

Keywords: Convention on International Trade in Endangered Species of Wild Fauna and Flora, wedgefish, giant guitarfish, data-poor fisheries, natural mortality

Introduction

Understanding the ability of species to recover from declines following implementation of management measures is important to rebuilding depleted populations. This can be approximated through measuring the species' population productivity using various demographic techniques such as rebound potential models [1-3], age or stage structured life history tables and matrix models [4, 5], and demographic invariant methods [6, 7]. These demographic techniques utilise the known relationships between life history traits and demography, known as the Beverton-Holt dimensionless ratios [8] that can be used to infer a species' ability to rebound following population declines [9-11]. One commonly used parameter is the maximum intrinsic rate of population increase r_{max}, which

reflects the theoretical productivity of depleted populations in the absence of density dependent

regulation [12]. This method can be used to provide insights into demography and fisheries

sustainability [13], particularly for poorly monitored species with limited available information, like

many chondrichthyan (sharks, rays, and chimeras, Class Chondrichthyes) populations [14, 15].

An estimated 25% of chondrichthyans populations have an elevated risk of extinction [16], raising

significant ecological and conservation concerns [17-19]. Chondrichthyans, generally, have low

biological productivity (slow growth, late maturity, few offspring, and long generational times),

which limits their recovery from population declines [20, 21]. Declines of chondrichthyan

populations are typically the result of the rapid expansion of fisheries [22-24] and the globalisation

of trade [25, 26], and can be exacerbated by habitat degredation [27]. Among species, larger

elasmobranchs (sharks and rays, Subclass Elasmobranchii) have some of the lowest intrinsic rates of

population increase [28, 29], and as a result are unlikely to sustain high levels of fishing pressure

before population collapse [30-33].

The order Rhinopristiformes, commonly referred to as shovelnose rays, is considered one of the

most threatened orders of chondrichthyans [16]. All five species of sawfishes (Pristidae), five out of

six species of giant guitarfishes (Glaucostegidae), seven out of 10 species of wedgefishes (Rhinidae),

six of 31 species of guitarfishes (Rhinobatidae) and two of eight species of banjo rays

(Trygonorrhinidae) are listed in a Threatened category (i.e. Vulnerable, Endangered, or Critically

Endangered) on the International Union for Conservation of Nature's (IUCN) Red List of Threatened

Species [34]. These large shovelnose rays are strongly associated with soft-bottom habitats in

shallow (<100 m) tropical and temperate coastal waters [35-37], resulting in high exposure to

intensive and expanding fisheries, which can also result in habitat degradation [38, 39]. These groups

are extremely sensitive to overexploitation as a result of their large body size, slow life history [16]

and use of inshore habitat in some of the world's most heavily fished coastal regions [40-42]. There

is increasing evidence of extensive and rapid declines in populations of wedgefishes and giant

guitarfishes throughout most of their ranges, including Indonesia [43], South Africa [44], Madagascar

[45], Mozambique [46], Tanzania [47] Arabian Seas and surrounding region [34, 48], India [49] and

Brazil [50].

The widespread declines of wedgefishes and giant guitarfishes are linked to the international

shark fin trade, as their fins are considered amongst the most lucrative shark and ray products [22,

38, 43, 51]. The fins of wedgefishes and giant guitarfishes are considered the highest grade fins and

are prevalent in shark fin trading hubs such as Hong Kong [52] and Singapore [53, 54]. Given high

demand of the shark fin trade and increasing evidence of population declines, there is considerable

concern that wedgefishes and giant guitarfishes are following a similar pattern of global decline as

the sawfishes [34]. All five species of sawfish declined rapidly over 30 years throughout their range,

driven by unregulated fisheries, the interational fin trade, and delayed scientific attention [55-58].

Yet despite a global conservation strategy [38], restriction of international trade (i.e. listing on CITES

Appendix I), and evidence that some species of sawfish have the ability to recover from fishing

pressure in the foreseeable future [59], the recovery of the populations is projected to take at least

several decades. To avoid the same fate of sawfishes, precautionary management and conservation

for shovelnose rays will be vital to maintain populations.

Currently, shovelnose rays fisheries and trade are not regulated through species-specific fishing

or trade restrictions. The magnitude of the declines and the subsequent conservation issues have

attracted the focus of major international management conventions and agencies, with

Rhynchobatus australiae and *Rhinobatos rhinobatos* listed on the Appendix II of Convention on the

Conservation of Migratory Species of Wild Animals (CMS) in 2017 [60]. In addition, *R. australiae,*

Rhynchobatus djiddensis, Rhynchobatus laevis, and *R. rhinobatos* were listed on Annex 1 of the CMS

Memorandum of Understanding (MOU) on the Conservation of Migratory Sharks in 2018 [61], and

the families Rhinidae and Glaucostegidae have been proposed for listing on the Appendix II of the

Convention on International Trade in Endangered Species (CITES) [62]. Given the global concerns for

this group of species, and the importance of trade in their high value fins, the use of trade

regulations through CITES listings may help achieve positive conservation outcomes [63]. However,

management and conservation efforts can be hampered by the lack of life history (e.g. age, growth

and maturity) and demographic information. Despite the high exposure to fisheries, there is limited

information available globally on biology (age, growth, maturity, demography), and abundance and

distribution of shovelnose rays.

Estimating r_{max} values can provide the demographic basis for evaluating the sustainability of

wedgefish and guitarfish fisheries and international trade, and their ability to recover from

population declines, while accounting for uncertainty about their life histories [28]. While the

maximum intrinsic population rate of population increase has previously been estimated for

Pseudobatos horkelii and *Pseudobatos productus* as a part of multi-species comparison [15, 64],

there has not been a comprehensive analysis on the population productivity for rhinopristiforms.The

focal shovelnose ray families studied in this paper were Rhinidae (wedgefishes), Glaucostegidae

(giant guitarfishes), Rhinobatidae (guitarfishes) and Trygonorrhinidae (banjo rays), while the family

Pristidae (sawfishes) were excluded as they have been previously assessed in detail by. Dulvy *et al.*

(58). The aim of this paper was to use life history data and theory, and comparative analysis, to

estimate the population productivity for shovelnose rays, particularly within the context of other

sharks and rays.

Materials and Methods

Life history data collection

A literature search was conducted for all species from four families of Rhinopristiformes:

Rhinidae, Glaucostegidae, Rhinobatidae and Trygonorrhinidae, to provide data for estimation of

population productivity. Life history information required for analyses consisted of age at maturity

(α_{mat}, range of years), maximum age (α_{max}, in years), range of litter size (in number of female pups),

sex ratio, breeding intervals (i, years), and von Bertalanffy growth coefficient (k, year^{-1}). Out of the

four families, with a total of 57 species, only nine species had enough published life history

information to estimate r_{max} (Table 1).

Table 1. The nine species of shovelnose rays included in this study, their threat status according

the International Union of Conservation of Nature (IUCN)'s Red List of Threatened Species, and

whether the species are listed on the appendixes of Convention of International Trade of

Endangered Species (CITES) and/or Convention on the Conservation of Migratory Species of Wild

Animals (CMS) and the CMS Memorandum of Understanding (MOU). IUCN categories are CR,

Critically Endangered; EN, Endangered; VU, Vulnerable; LC, Least Concern; DD, Data Deficient.

Family	Species	IUCN	Year	CITES	CMS	Year
Rhinidae	*Rhynchobatus australiae*	VU	2003	Proposed (2018)	Appendix II	2017
					MOU Annex 1	2018
Glaucostegidae	*Glaucostegus cemiculus*	EN	2007	Proposed (2018)	-	-
	Glaucostegus typus	VU	2006	-	-	-
Rhinobatidae	*Acroteriobatus annulatus*	LC	2006	-	-	-
	Pseudobatos horkelii	CR	2007	-	-	-
	Pseudobatos productus	NT	2014	-	-	-
	Rhinobatos rhinobatos	EN	2007	-	Appendix II	2017
					MOU Annex 1	2018
Trygonorrhinidae	*Zapteryx brevirostris*	VU	2006	-	-	-
	Zapteryx exasperata	DD	2015	-	-	-

Growth coefficient data for *R. australiae* was reported as *Rhynchobatus spp.* by White *et al.* (65)

as results from the species complex including *R. australiae, Rhynchobatus palpebratus* and

Rhynchobatus laevis along the eastern coast of Australia. Recent taxonomic revision has resolved

this species complex in this area, with *R. laevis* primarily found in the Indian Ocean and Indo-West

Pacific Ocean [66], and further examination of data associated with specimen examined by White *et*

al. (65) have demonstrated they were primarily *R. australiae*.

For *R. australiae*, *G. typus* and *Z. brevirostris* the age at maturity was back-calculated using:

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$$Age_x = \frac{\left(ln(L_\infty - TL_x) - ln(L_\infty) - (k * t_0)\right)}{-k}$$

where Age_x is age at time x, TL_x is total length (cm TL) at time x, L_∞ is the asymptotic length (cm

TL), t_0 is the length at time zero, and k is the von Bertalanffy growth coefficient. For *R. australiae,*

the age at maturity was back-calculated using the von Bertalanffy parameters reported for

Rhynchobatus spp. by White *et al.* (65) and the size at maturity of 150cm TL from *Rhynchobatus*

djiddensis [66]. The age at maturity for *Glaucostegus typus* was estimated using the estimated size at

maturity in Last *et al.* (66) and growth coefficient in White *et al.* (65).There is no reported litter size

for *G. typus*, thus we assumed to have the same litter size and breeding interval as *Glaucostegus*

cemiculus to calculate annual reproductive output. For *R. australiae*, *Acroteriobatus annulatus,*

Zapteryx exasperata and *Z. brevirostris,* the breeding interval was assumed to be one year, as there

was no information available (Table 2).

Table 2. Life history values and sources used to estimate (r_{max}) for the nine species studied, including the maximum size (Max. size in centimetres total length, cm TL), lower, upper and mean (standard deviation, S.D.) values of the age at maturity (α_{mat}, years), lower and upper values for litter size, breeding interval (i, years), lower and upper annual reproductive output of females (b), lower and upper values for von Bertalanffy growth coefficient (k, year^{-1}), the observed, and lower (T_{lower}) and upper (T_{upper}) and mean (S.D.) values of theoretical maximum age (α_{max}, years).

Family	Species	Max. size (cm TL)	α_{mat}				Litter size		i	b		k			α_{max}				References
			lower	upper	mean	± S.D.	lower	upper	(years)	lower	upper	lower	upper	Observed	T_{lower}	T_{upper}	mean	± S.D.	
Rhinidae	*Rhynchobatus australiae*	300	3.00	6.00	4.50	0.450	7	19	1	3.5	9.5	0.400	0.400	12.0	11.3	11.3	11.51	0.346	[65, 66]
Glaucostegidae	*Glaucostegus cemiculus*	290	2.89	6.50	4.70	0.680	5	24	1	2.5	12	0.200	0.275	14.0	13.9	16.1	14.67	0.50	[66-70]
	Glaucostegus typus	270	6.50	8.00	7.25	0.245	5	24	1	2.5	12	0.150	0.150	19.0	18.1	18.1	18.42	0.16	[65, 66, 71]
Rhinobatidae	*Acroteriobatus annulatus*	140	2.30	2.80	2.55	0.080	2	10	1	1.0	5.0	0.240	0.240	7.00	14.8	14.8	12.23	1.30	[66, 72]
	Pseudobatos horkelii	140	7.00	9.00	8.00	0.300	4	12	1	2.0	6.0	0.194	0.194	28.0	16.3	16.3	22.17	1.86	[66, 73]
	Pseudobatos productus	170	7.00	8.40	7.70	0.200	1	10	1	0.5	5.0	0.016	0.240	33.8	14.8	33.8	33.80	3.50	[66, 74-76]
	Rhinobatos rhinobatos	185	2.20	4.10	3.15	0.350	1	14	1	0.5	7.0	0.134	0.310	18.9	13.1	18.9	18.92	1.00	[66, 77-82]
Trygonorrhinidae	*Zapteryx brevirostris*	66.0	7.71	11.5	9.61	0.700	1	8	1	0.5	4.0	0.110	0.130	10.0	19.1	20.3	16.48	1.55	[66, 83-86]
	Zapteryx exasperata	103	5.41	9.65	7.53	0.800	2	13	1	1.0	6.5	0.144	0.174	22.6	17.1	18.4	19.85	0.80	[66, 87-90]

Estimation of maximum intrinsic population growth rate, r_{max}

Maximum intrinsic rate of population increase was estimated using an unstructured derivation of the Euler-Lotka model. This model accounts for juvenile survivorship that depends on age at maturity and species-specific natural mortality, and incorporates uncertainty within the parameters through Monte Carlo simulation [64, 91]. Requirements of this model are estimates of three biological parameters: annual reproductive output, age at maturity, and natural mortality. This model is founded on the principle that a breeding female only has to produce one mature female in her lifetime to ensure a stable population [92-95]:

$$l_{\alpha_{mat}}b = e^{r_{max}\alpha_{mat}} - e^{-M}(e^{r_{max}})^{\alpha_{mat}-1}$$

where $l_{\alpha_{mat}}$ is survival to maturity in the absence of fishing and is calculated as $l_{\alpha_{mat}} = (e^{-M})^{\alpha_{mat}}$, b is the annual rate of production of females, α_{mat} is the age of maturity and M is instantaneous natural mortality. The annual reproductive output of females was calculated as $b = 0.5l/i$, where l is litter size (in number of males and females) and i is breeding interval (in years). Annual reproductive output estimates were derived from uniform distributions constrained by the minimum and maximum litter sizes published in the literature (Table 2). If the litter sex ratio was unknown, it was assumed to be 1:1. Age at maturity estimates were derived from normal distributions with means and standard deviations (S.D.) calculated from the available ages at maturity published in the literature for each species (Table 2). Normal distributions were truncated to be positive and within "reasonable bounds". The von Bertalanffy growth coefficients (*k*) for each species were derived from uniform distributions ranging between the minimum and maximum published values (Table 2). As the observed maximum age may not reflect the longevity of the species [96], the theoretical maximum age (T_{max}) was calculating using minimum and maximum *k* reported for each species in the literature, using the following the formula from Mollet *et al.* (97):

$$T_{max} = 7 \times ln(2/k)$$

Maximum age (α_{max}) estimates were derived from a normal distribution using the mean and S.D., calculated from the observed maximum age reported in the literature, minimum theoretical

maximum age (T_{lower}) and maximum theoretical age (T_{upper}). As there was no current consensus on

the best indirect method to estimate the instantaneous natural mortality, it was estimated using

four common methods, Jensen's First mortality estimate [98], modified Hewitt and Hoeing estimator

[99], Frisk's estimator [9], and reciprocal of the lifespan [10] (Table 3).

Table 3. Natural mortality (M) methods used to estimate (r_{max}) in this study, where α_{mat} is age at

maturity in years, α_{max} is maximum age in years, and k is the von Bertalanffy growth coefficient in

year^{-1}.

Method	Equation	References
1. Jensen's First Estimator	$M = 1.65/\alpha_{mat}$	Jensen (98)
2. Modified Hewitt & Hoeing Estimator	$M = 4.22/\alpha_{max}$	Hewitt and Hoenig (99)
3. Frisk's Estimator	$M = 0.4/k$	Frisk *et al.* (9)
4. Reciprocal of lifespan	$M = 1/(\alpha_{mat} + \alpha_{max}/2)$	Pardo *et al.* (64)

The annual reproductive output and age at maturity were highly uncertain parameters, while the

natural mortality was estimated indirectly, which can result in additional uncertainty [28]. Monte

Carlo simulation was used to account for uncertainty of input parameters. Model parameters were

drawn from their respective distributions iteratively 20,000 times [14]. To incorporate uncertainty

into M, for each iteration the values for age at maturity, maximum age and von Bertalanffy growth

coefficients were drawn from their respective distributions and used to estimate natural mortality

for the four natural mortality estimators, which in turn is required to estimate r_{max} [14]. Scenarios

were investigated where uncertainty was only incorporated into a single parameter. Values of one

parameter were drawn from its distribution, while the remaining parameters were set as

deterministic by using the median values of their respective distributions. This was done for the age

at maturity, annual reproductive output and natural mortality. The M value was set as a

deterministic in the other scenarios, even when the parameters used to estimate M were being

drawn from distributions. In each iteration, the r_{max} equation was solved using the *nlminb*

optimisation function by minimising the sum of squared differences. This range of r_{max} values was

generated to encompass the widest range of plausible life histories and should therefore include the

true parameter values. This method was applied to each of the nine species for which data were

available and from this range, median and mean r_{max} values and standard deviation were calculated.

Comparison of shovelnose ray r_{max} estimates among chondrichthyans

Median r_{max} of the nine shovelnose ray species were compared to all available estimates using

recalculated values by Pardo et al. (64) to incorporate survival to maturity. The reciprocal of the

lifespan natural mortality method was chosen to estimate the natural morality in order to compare

to values generated by Pardo et al. (64) as that was the method used in their study. The age at

maturity (years), maximum age (years), growth rate (k, years^{-2}) and maximum size in centimetres

(cm) were plotted against the r_{max} estimates for 115 chondrichthyan species, including the nine

species of shovelnose rays. Maximum sizes were total length (TL) for all species except for

Myliobatiformes, where the disc width (DW) were used [15, 28]. All models and figures were built in

the R version 3.4.1 version [100].

Results

Estimates of maximum intrinsic rate of population increase for the nine species of shovelnose ray

varied considerably among species, between families and by the method of estimating natural

mortality. There was a high level of uncertainty in the annual reproductive output and age at

maturity across all species (Fig 1). Uncertainty in the natural mortality values were low (Fig 1), but it

resulted in high uncertainty in the r_{max} estimates, which was highly influenced by the natural

mortality estimator (Fig 2; Table 4).

Table 4. Maximum intrinsic rates of population increase estimates (r_{max}, year^{-1}) for nine species of shovelnose ray, using four estimators of natural

mortality. The minimum (Min.), median, mean (± S.E.), and maximum (Max.) r_{max} values are reported for each species and natural mortality estimator.

Family	Species	Jensen's First estimator					Hewitt & Hoeing's estimator					Frisk's estimator					Reciprocal of lifespan				
		Min.	Median	Mean	±S.E.	Max.	Min.	Median	Mean	±S.E.	Max.	Min.	Median	Mean	±S.E.	Max.	Min.	Median	Mean	±S.E.	Max.
Rhinidae	*Rhynchobatus australiae*	0.12	0.23	0.22	0.0004	0.35	0.02	0.22	0.22	0.0005	0.59	0.24	0.43	0.43	0.0005	0.79	0.29	0.47	0.47	0.0005	0.81
Glaucostegidae	*Glaucostegus cemiculus*	0.02	0.24	0.23	0.0005	0.48	0.04	0.30	0.30	0.0005	0.86	0.23	0.49	0.49	0.0007	1.00	0.24	0.48	0.49	0.0007	1.00
	Glaucostegus typus	0.08	0.19	0.18	0.0003	0.26	0.06	0.19	0.18	0.0003	0.28	0.23	0.36	0.35	0.0003	0.46	0.21	0.34	0.33	0.0003	0.43
Rhinobatidae	*Acroteriobatus annulatus*	-0.25	0.05	0.03	0.0008	0.20	-0.14	0.30	0.28	0.0008	0.54	0.30	0.59	0.57	0.0008	0.80	0.22	0.54	0.52	0.0008	0.75
	Pseudobatos horkelii	0.06	0.13	0.12	0.0002	0.17	0.00	0.14	0.14	0.0002	0.25	0.17	0.25	0.25	0.0002	0.34	0.18	0.26	0.26	0.0002	0.34
	Pseudobatos productus	-0.06	0.09	0.08	0.0004	0.15	-0.06	0.13	0.12	0.0004	0.23	0.09	0.26	0.25	0.0004	0.37	0.09	0.24	0.23	0.0004	0.33
	Rhinobatos rhinobatos	-0.31	0.13	0.10	0.0010	0.32	-0.04	0.38	0.35	0.0011	0.93	0.12	0.55	0.53	0.0011	1.00	0.13	0.54	0.51	0.0011	1.00
Trygonorrhinidae	*Zapteryx brevirostris*	-0.05	0.07	0.06	0.0003	0.13	-0.08	-0.04	0.04	0.0003	0.10	0.08	0.19	0.19	0.0003	0.31	0.04	0.16	0.16	0.0003	0.27
	Zapteryx exasperata	-0.05	0.12	0.11	0.0004	0.21	-0.03	0.12	0.12	0.0004	0.34	0.12	0.27	0.27	0.0004	0.49	0.12	0.27	0.26	0.0004	0.47

Figure 1. Predicted values of maximum intrinsic rate of population increase (r_{max}, year^{-1}) for nine

shovelnose ray species when including uncertainty in the reciprocal of the lifespan natural mortality

estimator (*M*, top/grey boxplot), annual reproductive output (*b,* middle/blue boxplot), and age at

maturity (α_{mat}, bottom/orange boxplot). Specie are (A) *Rhynchobatus australiae,* (B), *Glaucostegus*

cemiculus, (C) *Glaucostegus typus,* (D) *Acroteriobatus annulatus,* (E) *Pseudobatos horkelii*, (F)

Pseudobatos productus, (G) *Rhinobatos rhinobatos,* (H) *Zapteryx brevirostris*, and (I) *Zapteryx*

exasperata. The natural mortality estimator used for this graph is the. Boxes indicate median, 25 and

75% quantiles, whereas the lines encompass 95% of the values (2.5 and 97.5% quantiles). For plots

incorporating uncertainty with other three natural mortality methods, see Supplementary Material.

The ranges of r_{max} for each species were relatively large as a result of the high uncertainty in the

life history parameters and method of estimating natural mortality (Fig 2). *Acroteriobatus annulatus*

and *R. rhinobatos* had the largest range of r_{max}, regardless of the natural mortality estimation

method used (Fig 2; Table 4). *Pseudobatos horkelii* and *P. productus* had the smallest range of r_{max}

(Fig 2; Table 4). For all species, the highest r_{max} values were estimated using the maximum age

natural mortality method, excluding *P. productus* and *Z. brevirostris,* which had highest r_{max} values

estimated from Frisk's Estimator (Table 4). Frisk's estimator, Maximum Age and Lifespan methods

produced similar r_{max} estimates for each species, with 7% or less difference between median values

(Table 4, Fig 2).

Figure 2. The range of maximum intrinsic rate of population increase (r_{max}, year^{-1}) for nine species of

shovelnose rays, obtained with five different methods of estimating the instantaneous natural

mortality: Jensen's First Estimator (red), modified Hoeing & Hewitt's Estimator (yellow), Frisk's

Estimator (green), and Reciprocal of lifespan (blue). Means (triangle) and standard deviation (black

line) are presented for each method. Species are (A) *Rhynchobatus australiae,* (B), *Glaucostegus*

cemiculus, (C) *Glaucostegus typus,* (D) *Acroteriobatus annulatus,* (E) *Pseudobatos horkelii*, (F)

Pseudobatos productus, (G) *Rhinobatos rhinobatos,* (H) *Zapteryx brevirostris*, and (I) *Zapteryx*

exasperata. Values below the black dashed line indicate implausible r_{max} estimates.

Acroteriobatus annulatus and *R. rhinobatos* had the highest estimates of r_{max}, followed by *G.*

cemiculus and *R. australiae*. *Pseudobatos horkelii, P. productus* and *Z. exasperata* had lower r_{max}

estimates with similar ranges across all natural mortality estimators (Table 4). The lowest r_{max} values

from every species were generated using the Jensen's First estimator and modified Hewitt and

Hoeing's methods. These methods estimated negative minimum r_{max} values for *A. annulatus, P.*

productus, R. rhinobatus, Z. brevirostris and *Z. exasperata, a*nd a negative median r_{max} for *Z.*

brevirostris (Table 4; Fig 2). *Zapteryx brevirostris*, the smallest species in the study, had one of the

lowest estimates of r_{max}, across of natural mortality methods (Table 4).

As the age at maturity decreased, the estimates of maximum intrinsic rate of population

increased for the nine species of shovelnose rays (Fig 3A). The species with the highest median

estimates of r_{max}, *R. australiae*, *G. cemiculus*, *R. rhinobatos* and *A. annulatus* had the youngest age at

maturity, while *Z. brevirostris* had the oldest age at maturity and lowest median estimate for r_{max} (Fig

3A). The estimates of r_{max} increased with the increasing the number of female offspring produced

annually (Fig 3B). *Rhynchobatus australiae* and *G. cemiculus* had the highest annual reproductive

output and r_{max}, while *G. typus* had lower r_{max} estimates but the same annual reproductive output as

the two species (Fig 3B). *Rhinobatos rhinobatos, P. horkelii* and *Z. exasperata* had similar estimates

of annual reproduction, yet *R. rhinobatos* had a higher estimates of r_{max} than *P. horkelii* and *Z.*

exasperata (Fig 3B). *Z.* brevirostris had the lowest annual reproductive output and r_{max} estimate (Fig

3B). Maximum rate of population growth increased with maximum size of the species (Fig 4A). The

largest species (i.e. *R. australiae, G. cemiculus* and *G. typus*) were estimated to have a higher

maximum rate of population increase than the smaller species in the order, such as *P. horkelii* and *Z.*

brevirostris (Table 4; Fig 4A). The largest species, *R. australiae, G. cemiculus* and *G. typus*, had the

highest mean annual reproductive output and the largest mean size at birth in relation to the

maximum size, compared to the six smaller species (Fig 4B, C). The smallest species, *Z. exasperata*

and *Z. brevirostris* had the smallest annual reproductive output and size at birth, in relation to their

maximum size (Fig 4B, C).

Figure 3. Maximum intrinsic rate of population increase (r_{max}) for the nine species of shovelnose rays

in relation to the (A) mean ± S.E. age at maturity (a_{mat}, years) and (B) mean ± S.E. annual

reproductive output (*b*). The shapes represent the four families, black circle represents the Family

Glaucostegidae (giant guitarfishes), black triangle signifies the Rhinidae (wedgefishes), black squares

represents species from Rhinobatidae (guitarfishes) and black crosses are the species from

Trygonorrhinidae (banjo rays).

Figure 4. Maximum size (cm TL) for the nine species of shovelnose rays in relation to the (A) median

maximum intrinsic rate of population increase ($r_{max,}$ year^{-1}) using the reciprocal of lifespan to

estimate natural mortality, (B) mean (± S.E.) annual reproduction rate of females (*b*), and (C) mean

(± S.E.) size at birth (cm TL). The shapes represent the four families, black circle represents the

Family Glaucostegidae (giant guitarfishes), black triangle signifies the Rhinidae (wedgefishes), black

squares represents species from Rhinobatidae (guitarfishes) and black crosses are the species from

Trygonorrhinidae (banjo rays).

For the 115 chondrichthyan species, maximum intrinsic rate of population increase ranged from

0.035 year^{-1} for the gulper shark *Centrophorus granulosus* (Family Centrophoridae) to 1.395 year^{-1}

for the brown skate *Raja miraletus* (Family Rajidae) (Fig 5). The maximum intrinsic rate of population

increase of shovelnose rays was similar to that of the four sawfish species (Family Pristidae), *Pristis*

pristis (0.172 year^{-1}), *Pristis pectinate* (0.179 year^{-1}), *Pristis clavata* (0.236 year^{-1}) and *Pristis zijsron*

(0.272 year^{-1}). Estimates of r_{max} for *Acroteriobatus annulatus, R. rhinobatos, G. cemiculus* and *R.*

australiae were among the highest among chondrichthyans (median = 0.522, 0.539, 0.486 and 0.467

year^{-1}, respectively). *Rhynchobatus australiae, G. cemiculus* and *G. typus* had relatively high r_{max}

estimates, compared to species with similar maximum sizes (Fig 6A). *Pseudobatos horkelii, P.*

productus and *Z. exasperata* had mid-range estimates of r_{max} compared to species of a similar size

(Fig 6A). Compared to other species of the same maximum size, *A. annulatus* and *R. rhinobatos* had

relatively high r_{max}, while *Z. brevirostris* had a lower r_{max} compared to similar sized species (Fig 6A).

The majority of the largest chondrichthyan species for which r_{max} are all listed on CITES and CMS,

however they are not the least productive species (Fig 6A).

Chondrichthyans species with the oldest age at maturity tend to have the lowest r_{max} estimates

(Fig 6B). *Acroteriobatus annulatus, G.* cemiculus and *R. australiae* mature at the youngest ages and

had higher estimates of r_{max}, compared to the other rhinopristiformes and chondrichthyans (Fig 6B).

As maximum age increased, r_{max} estimates decreased for the 115 chondrichthyans species (Fig 6C).

Acroteriobatus annulatus, R. rhinobatos, G. cemiculus and *R. australiae* are among the

chondrichthyans species with the lowest maximum age estimates, and hence high r_{max} (Fig 6C).

Glaucostegus typus, Z. exasperata, P. horkelii and *P. productus* have mid-range maximum age

compared to other species, while *Z. brevirostris* had a lower r_{max} estimate compared to other species

with a similar maximum age (Fig 6C).

Across chondrichthyan species, as the number of female offspring produced annually increases,

r_{max} also increases (Fig 6D). However, *A. annulatus, R. rhinobatos, G.* cemiculus and *R. australiae*

have a relatively higher r_{max} estimates compared to species with similar annual reproductive output.

Zapteryx exasperata, P. horkelii and *P. productus* are estimated to have a mid-range annual

reproductive estimate, compared to species with similar r_{max} (Fig 6D). *Glaucostegus typus* has a

relatively high r_{max} estimate compared to species with similar annual reproductive output, while *Z.*

brevirostris has a low r_{max} estimate compared to species with similar annual reproductive output (Fig

6D). The majority of currently CITES listed species have a low annual reproductive output and r_{max}

(Fig 6D).

338   There is a positive correlation between growth coefficient and maximum intrinsic rate of

339  population increase (Fig 6E). *Acroteriobatus annulatus*, *R. rhinobatos*, *G.* cemiculus and *R. australiae*

340  have fast somatic growth and a high r_{max}, in comparison to the other chondrichthyan species (Fig 6E).

341  *Glaucostegus typus, Z. exasperata* and *P. horkelii* have a mid-range r_{max} estimate compared to

342  species with similar growth rates, while *P. productus* and *Z. brevirostris* have a lower r_{max} estimate

343  compared to other species with similar growth rates (Fig 6E). The majority of the CITES and CMS

344  listed species have a relatively low growth coefficients and r_{max} estimates (Fig 6E).

346  **Figure 5.** Median maximum intrinsic rate of population increase (r_{max}) for 115 chondrichthyans,

347  including the nine shovelnose ray species, which are displayed on the figure and grouped by their

348  r_{max} values. Blank line denote the mean (r_{max} = 0.30) and blue line represents the median (r_{max} =

349  0.23). Species illustrations are from [66]

351  **Figure 6.** Maximum intrinsic rate of population increase (r_{max}) estimates for 115 chondrichthyans,

352  including the nine shovelnose ray species compared with (A) maximum size (cm TL/DW/FL), (B), age

353  at maturity (α_{mat} years), (C) maximum age (α_{max}, years), (D) annual reproductive output *b*, (E) the von

354  Bertanlaffy growth coefficient (*k*, year^{-1}). All axes are on a logarithmic scale. The nine shovelnose ray

355  species are labelled on the graph, and the median r_{max} value is reported, using the reciprocal of the

356  lifespan method to estimate natural mortality. Species that are listed on CITES appendix I or II

357  represented in blue, non-CITES species in grey, and species listed on CMS appendix I or II

358  represented as triangle, with non-CMS species are closed circle.

Discussion

361   Our analysis show that the larger species of shovelnose rays, such as the wedgefishes and giant

362  guitarfishes appear to have a greater intrinsic ability to recover from population depletion than

many other large chondrichthyans including those currently list on multilateral environmental

agreements like CITES and CMS, such as manta and devil rays [28, 29]. Maximum rate of intrinsic

population growth increased with increasing body size for nine species of rhinopristiformes. The

largest species, *R. australiae*, *G. cemiculus* and *G. typus* had among the highest estimates of

population increase for shovelnose rays, while the smaller banjo rays (family Trygonorrhinidae) such

as the *Z. brevirostris* and *Z. exasperata* had the lowest estimates. The two species of guitarfish

(family Rhinobatidae), *A. annulatus* and *R. rhinobatos*, did not fall within the positive relationship

between maximum sizes and r_{max} seen in this group. *Acroteriobatus annulatus* and *R. rhinobatos* only

attaining 140 cm TL and 100 cm TL, respectively [66], and had the highest estimates of r_{max}. The high

r_{max} estimates for *A. annulatus* and *R. rhinobatos* could be explained by the young age at maturity

(1.9 – 4.5 years), fast somatic growth (0.134 – 0.289 *k* years^{-1}), and high annual reproductive output

(2.5–12 females pups per year). The high maximum intrinsic rate of population growth for larger

shovelnose rays, such as wedgefishes and giant guitarfishes, which both reach maximum sizes close

to 300cm TL [66], is the result of the higher annual reproductive outputs, combined with early at age

maturity, compared to other species of a similar maximum size. While the banjo rays, *Z. brevirostris*

and *Z. exasperata* which reach a maximum size of 66 cm TL and 97cm TL, respectively, had slower

growth, later maturity compared to other similar sized chondrichthyans species. However, this trend

is highly unusual among elasmobranchs [101]. These findings contrasts other multi-species

comparative studies, such as Dulvy *et al.* (28), where the maximum intrinsic rate tends to decrease

with increasing maximum size, however this study had more sharks than large tropical rays. The

productivity of shovelnose rays was more similar to four sawfish species: largetooth sawfish *Pristis*

pristis (0.172 year^{-1}), smalltooth sawfish *Pristis pectinata* (0.179 year^{-1}), dwarf sawfish *Pristis clavata*

(0.236 year^{-1}) and green sawfish *Pristis zijsron* (0.272 year^{-1}). Despite their large size (ranging from

318 – 700 cm TL), some species of sawfish have been estimated to have a relatively high productivity

for elasmobranchs [59]. Typically large bodied marine animals are associated with factors of

vulnerability such as lower intrinsic rate of population growth, late maturity, and dependence on

vulnerable habitat, while smaller bodied species are linked to factors providing resilience including

faster population growth and early maturity [14, 27, 76]. While body size has been used to predict

extinction risk in elasmobranchs, with the larger species predicted to be most at risk of extinction

[16], some studies have found little [9, 15] to no correlation [20] between body size and rate of

population increase. The relationship between body size and rate of population growth has been

hypothesised to be the result of correlations between body size and other more influential life

history traits such as age at maturity and litter size [102, 103], which may be the case for the

shovelnose rays.

Many elasmobranch species have a low reproductive rate [20]. Three species had annual

reproductive outputs less than 5 pups per year, *P. productus*, *Z. brevirostris* and *A. annulatus*.

Species with reproductive output lower than five pups per year have been demonstrated to have the

highest demographic uncertainty when estimating r_{max}, and are likely to have low r_{max} estimates

[14], which was observed for *P. productus* and *Z. brevirostris*. However, while *A. annulatus* had very

low annual reproductive output (b = 1-5), this species also had one of the highest estimates of r_{max}.

The high estimate of r_{max} may be attributed instead to the very young age at maturity (α_{mat} = 2-3

years), as well as the fast growth (k = 0.240 year^{-1}) and long lifespan (α_{max} = 15 years). The annual

reproductive output was higher in the larger species, such as *R. australiae and G. cemiculus*, which

have the largest annual reproductive output parameters (b = 3.5 – 9.5 and b = 2.5 – 12, respectively).

This reproductive output is likely to be driving the high r_{max} estimates for these species. While the

similar sized *G. typus* had the same annual reproductive output as *G. cemiculus*, it has lower

estimate of r_{max}, likely the result of an older age at maturity (α_{mat} = 6.5 – 8 years) than *R. australiae*

(α_{mat} = 3 – 6 years) and *G. cemiculus* (α_{mat} = 2.9 – 6.5 years). As r_{max} estimates are sensitive to

increasing variation in age at maturity [14], the early maturity of many shovelnose rays, particularly

compared to species of similar sizes, may help to explain the relatively high r_{max} estimates for this

group. The larger body size of wedgefish and giant guitarfish species (max. size estimated between

270-300 cm TL) allows these species to produce numerous and large offspring in relation to their

maximum size. Whereas the guitarfishes and banjo rays have smaller birth size and smaller litters

relative to their maximum size. Larger offspring will likely have a greater survival probability than the

smaller offspring of species with a similar r_{max} [14], and for long lived species, juvenile survival is a

key contributor to the population growth rate [9]. While the model used in this study incorporates

juvenile survival, it also assumes that juvenile mortality is equal to adult mortality [64]. As juveniles

tend to have higher mortality rates than adults [104], which then can vary with local differences in

habitat [105], this assumption of equal mortality is likely to result in conservative estimates of M

[64]. The differential juvenile mortality among species was not accounted for in this model but

should be the focus of further study [14].

Natural mortality, referring to the death of individuals in the population from natural causes

such as predation, disease and old age [94], is one of the most important parameters in fisheries and

conservation modelling, yet it is one of the hardest to estimate [10, 106, 107]. While in some models

uncertainty in the natural mortality parameter has had little influence on r_{max} [14], the different

estimators can have substantial effects on r_{max} estimates [107]. There is considerable debate as to

which empirical model should be used to estimate adult natural mortality, as there are numerous

and diverse approaches using life history information to estimate this parameter [106, 108]. Jensen's

First Estimator [98] and the modified Hewitt and Hoeing method [99] systematically resulted in

negative, and thus unrealistic estimates, of r_{max} for five out of the nine species of shark-like ray

species, which was also demonstrated in [64], and were considered to be less appropriate for this

group. The implausible estimates are likely the consequence of overestimating natural mortality (e.g.

> 0.1 year^{-1}) for these species, particularly when the annual reproductive output is low (e.g. b < 5)

and age at maturity is high [14, 64]. The other two natural mortality estimators, the Frisk's estimator

and Reciprocal of lifespan, provided similar estimates of maximum rate of population increase for *G.*

cemiculus, G. typus, R. rhinobatus, P. horkelii, Z. exasperata and *Z. brevirostris*. The plausible r_{max}

estimates produced by these two natural mortalities suggest these natural mortality estimators may

be the more appropriate methods for elasmobranchs. Frisk's estimator and Reciprocal of life span

are more suited for elasmobranchs, given they have a relatively high juvenile survival [9, 64], while

the Jensen's First Estimator and the modified Hewitt and Hoeing method were explicitly designed for

adult mortality. Most teleost species have different life history strategies to chondrichthyan species

(i.e. higher fecundity, younger age at maturity, faster growth, and shorter lifespans) [109, 110]. Thus,

it is likely that Jensen's First Estimator and the modified Hewitt and Hoeing are less appropriate

methods of estimating natural mortality for chondrichthyans. Unfortunately estimating natural

mortality with useful accuracy is remarkably difficult [108]. Identifying, or improving the best

indirect estimator would require data-intensive methods, such as catch data to analyse catch curves,

mark re-capture experiments, virtual population analysis (VPA), or fully integrated stock assessments

[108]. These methods all require extensive prior knowledge of the species biology which is lacking

for many chondrichthyan species. Presenting the results from multiple natural mortality estimators

provides a better understanding of the uncertainty associated with the maximum intrinsic rate of

population increase.

The greatest obstacle to accurately estimate r_{max} and natural mortality is the accuracy of the

biological information used [91]. The use of inaccurate surrogate information can reduce the

accuracy of the demographic models [91, 111, 112]. Of the 56 species across the four families of

shovelnose rays, only nine species had sufficient information to estimate their maximum intrinsic

rate of population increase, and with relatively high levels of uncertainty associated with the life

history parameters. For example, there were only age and growth two studies for wedgefishes and

giant guitarfishes, one from the eastern coast of Australia for *R. australiae* and *G. typus* [65], and one

from Central Mediterranean Sea for *G. cemiculus* [70]. Neither study estimated age at maturity, nor

aged individuals at the maximum sizes. Given that the age at maturity is a pivotal parameter when

estimating r_{max}, yet highly uncertain for all shovelnose rays examined, these estimates must be taken

with caution. Furthermore, numerous reviews have reported sampling biases and failures in ageing

protocols, including lack of validation [113, 114] that often result in overestimation or underestimate

of age and growth parameters [115]. As there has been no validation studies in the ages of

shovelnose rays, the maximum ages for these species are likely to be underestimated, while the age

at maturity estimates could also be inaccurate. This can lead to inaccurate estimates of natural

mortality and r_{max} [91, 116]. The information on the reproductive biology for rhinopristiforms is

generally limited, but is more available for species in the Rhinobatidae and Trygonorrhinidae

families. For example, there is evidence that species such as *P. productus, P. horkelii*, and Z.

exasperata experience embryonic diapause or delayed development [87, 117], potentially as a result

of unfavourable environmental conditions [118] or sex segregation [119]. In addition, Simpfendorfer

(120) hypothesised that diapause allowed other elasmobranch species (*Rhizoprionodon taylori*) to

have larger litter sizes than other similar sized species in the same family (Carcharhinidae). As

possibility of diapause was not able to be taken into account during this study, the breeding interval

and annual reproductive output may be inaccurate for *Z. exasperata*, thus resulting in an

inappropriate maximum intrinsic rate of population growth. Directing research efforts to obtain data

from more species, as well as improving the accuracy of life history parameters for data poor

species, such as age at maturity and annual reproductive output, would be the most pragmatic

option to improve the accuracy of r_{max} for shovelnose rays.

Measuring the population productivity of a species allows for a greater understanding of the

species' ability to recover from declines, and has direct practical importance in conservation and

fisheries [91, 121]. Compared to other chondrichthyans, some families of shovelnose rays, such as

wedgefishes, giant guitarfishes and guitarfishes appear to have a high maximum intrinsic rate of

population increase, therefore possessing biological traits that can potentially allow them to recover

from population declines more rapidly than other threatened species. While other families, such as

banjo rays, are less productive and may have a slower ability to rebound from population depletions.

However, the current and unregulated fishing pressure that most shovelnose ray species currently

experience is likely unsustainable, with reported severe declines and localised extinctions of

wedgefishes and guitarfishes throughout their range [34, 48]. Therefore, it appears that these

groups are facing a widespread conservation crisis [34]. Yet globally, wedgefishes, guitarfishes, and

banjo rays are currently not managed through international trade or fishing restrictions, and there is

limited market and trade information available [122]. Given the lack of national level management

for these species, and the role of international trade, especially in fins, the use of trade controls

through CITES listings may be a useful way encouraging better management of their shovelnose ray

species. Currently, the families Rhinidae and Glaucostegidae are being proposed for CITES Appendix

II listing, which are for species that not necessarily currently threatened with extinction but may

become so unless trade is controlled [123]. However, trade restrictions alone will not reduce fishing

mortality since these species are rarely taken in directed fisheries (although there are exceptions e.g.

in Indonesia [43]). Regional and national fisheries management strategies will be required to

address the overfishing of stocks, which will require reductions in fishing effort. Lastly, increasing the

accuracy of information and research on the biology and life history of these species will be essential

for their management and conservation.

Conclusion

Using current life history data, incorporating uncertainty in parameters, and taking into account

juvenile mortality, this study provides the first analysis into the population productivity for nine

species from four families of rhinopristiforms. The larger wedgefishes and giant guitarfishes were

found to be relative productive families, while the smaller guitarfishes and banjo rays were less

productive, compared to other chondrichthyans. While the maximum intrinsic rate of population

growth varied with the different natural mortality estimator, r_{max} appears to increase with increasing

maximum size for the four families, which is counter to most studies of shark populations. There was

considerable uncertainty in the age at maturity and annual reproductive output for all species. There

is a need for accurate life history information for these data poor species, as well directing efforts to

obtain data for more species as there was only nine of out 56 species with sufficient life history

information. We recommend presenting the results from multiple natural mortality estimators to

provide a greater understanding of the uncertainty for the maximum intrinsic rate of population

increase. It appears that wedgefishes and giant guitarfishes could recover from population depletion

faster than guitarfishes and banjo rays, if fishing mortality is kept low. Extensive regional, national

and international management strategies will be required to address the overfishing of stock and

reduction of fishing mortality, and the use of international trade regulations such as CITES listing,

may help to achieve positive conservation outcomes. The results of this study emphasise the

urgency for effective management for the conservation of these rays.

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Supporting Information

S1 Figure. Predicted values of maximum intrinsic rate of population increase (r_{max}) for nine

shovelnose ray species when including uncertainty in the Jensen's natural mortality estimator (M,

top/grey boxplot), annual reproductive output (b, middle/blue boxplot), and age at maturity (α_{mat},

bottom/orange boxplot). Species are (A) *Rhynchobatus australiae,* (B), *Glaucostegus cemiculus,* (C)

Glaucostegus typus, (D) *Acroteriobatus annulatus,* (E) *Pseudobatos horkelii*, (F) *Pseudobatos*

productus, (G) *Rhinobatos rhinobatos,* (H) *Zapteryx brevirostris*, and (I) *Zapteryx exasperata*. Boxes

indicate median, 25 and 75% quantiles, whereas the lines encompass 95% of the values (2.5 and

97.5% quantiles).

S2 Figure. Predicted values of maximum intrinsic rate of population increase (r_{max}) for nine

shovelnose ray species when including uncertainty in the modified Howitt & Hewitt's natural

mortality estimator (M, top/grey boxplot), annual reproductive output (b, middle/blue boxplot), and

age at maturity (α_{mat}, bottom/orange boxplot). Species are (A) *Rhynchobatus australiae,* (B),

Glaucostegus cemiculus, (C) *Glaucostegus typus,* (D) *Acroteriobatus annulatus,* (E) *Pseudobatos*

horkelii, (F) *Pseudobatos productus,* (G) *Rhinobatos rhinobatos,* (H) *Zapteryx brevirostris*, and (I)

Zapteryx exasperata. Boxes indicate median, 25 and 75% quantiles, whereas the lines encompass

95% of the values (2.5 and 97.5% quantiles).

S3 Figure. Predicted values of maximum intrinsic rate of population increase (r_{max}) for nine

shovelnose ray species when including uncertainty in the Frisk's natural mortality estimator (M,

top/grey boxplot), annual reproductive output (b, middle/blue boxplot), and age at maturity (α_{mat},

bottom/orange boxplot). Species are (A) *Rhynchobatus australiae,* (B), *Glaucostegus cemiculus,* (C)

Glaucostegus typus, (D) *Acroteriobatus annulatus,* (E) *Pseudobatos horkelii*, (F) *Pseudobatos*

productus, (G) *Rhinobatos rhinobatos,* (H) *Zapteryx brevirostris*, and (I) *Zapteryx exasperata*. Boxes

indicate median, 25 and 75% quantiles, whereas the lines encompass 95% of the values (2.5 and

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