CCL5 promotes breast cancer recurrence through macrophage recruitment in residual tumors

Andrea Walens, Ashley V. DiMarco, Ryan Lupo, Benjamin R. Kroger, Jeffrey S. Damrauer, and James V. Alvarez

Department of Pharmacology and Cancer Biology, Duke University, Durham, NC 27710, USA

Keywords: breast cancer, CCL5, macrophage, collagen

The authors declare that they have no conflict of interest relevant to this work.

1 ABSTRACT

2 Over half of breast cancer related deaths are due to recurrence five or more years after initial 3 diagnosis and treatment. This latency suggests that a population of residual tumor cells can survive 4 treatment and persist in a dormant state for many years. The role of the microenvironment in 5 regulating the survival and proliferation of residual cells following therapy remains unexplored. 6 Using a conditional mouse model for Her2-driven breast cancer, we identify interactions between 7 residual tumor cells and their microenvironment as critical for promoting tumor recurrence. Her2 8 downregulation leads to an inflammatory program driven by $TNF\alpha/NF\kappa B$ signaling, which 9 promotes immune cell infiltration in regressing and residual tumors. The cytokine CCL5 is 10 elevated following Her2 downregulation and remains high in residual tumors. CCL5 promotes 11 tumor recurrence by recruiting CCR5-expressing macrophages, which may contribute to collagen 12 deposition in residual tumors. Blocking this TNFα-CCL5-macrophage axis may be efficacious in 13 preventing breast cancer recurrence.

14

15

16 **INTRODUCTION**

17 In 2018 it is estimated that approximately 270,000 women will be diagnosed with breast 18 cancer, and 41,000 women will succumb to the disease (Siegel et al. 2018). Historically, over half 19 of these deaths are due to recurrence 5 or more years after initial diagnosis and treatment (Sosa et 20 al. 2014). This suggests that in a subset of patients, there is a population of clinically undetectable 21 residual tumor cells that survive therapy, and may serve as a reservoir for eventual relapse. The 22 long latency of recurrence has led to speculation that residual tumor cells are slowly growing or 23 even dormant (Hölzel et al., 2010; Klein, 2009). Understanding how residual cells survive therapy, 24 persist in a non-proliferative state, and eventually resume proliferation to form recurrent tumors is 25 critical for preventing recurrences.

26 Much of the work examining mechanisms of tumor cell survival and recurrence following 27 therapy has focused on tumor cell-intrinsic pathways (Sosa et al., 2011). Genetic mutations that 28 render cells resistant to therapy represent an important mechanism of survival (Holohan et al., 29 2013), but there is emerging evidence that non-genetic pathways can also promote survival in 30 response to therapy. For instance, a population of cells called drug-tolerant persisters has been 31 shown to survive therapy through epigenetic adaptations (Sharma et al., 2010). Additionally, 32 epithelial-to-mesenchymal transition has been shown to promote cell survival in response to EGFR 33 inhibitors (Sequist et al., 2011). Finally, alterations in apoptotic pathways within tumor cells can 34 promote cell survival in response to both chemotherapy and targeted therapy (Alvarez et al., 2013; 35 Damrauer et al., 2018; Hata et al., 2016; Holohan et al., 2013; Mabe et al., 2018). In spite of this 36 extensive literature on cell-intrinsic mechanisms of therapeutic resistance, much less is known 37 about tumor cell-extrinsic contributions to cell survival following therapy. Specifically, while there 38 has been some recent focus on how the tumor microenvironment can promote tumor cell survival

in response to therapy (Meads et al., 2009), little is known about whether the microenvironment
regulates tumor cell survival, dormancy, and eventual recurrence.

41 We used a conditional mouse model of Her2-driven breast cancer to examine interactions 42 between tumor cells and their microenvironment during tumor dormancy and recurrence. In this 43 model, administration of doxycycline (dox) to bitransgenic MMTV-rtTA;TetO-Her2/neu 44 (MTB;TAN) mice leads to mammary gland-specific expression of epidermal growth factor 45 receptor 2 (Her2) and the development of Her2-driven tumors. Removal of dox induces Her2 46 downregulation and tumor regression. However, a small population of residual tumor cells can 47 survive and persist in a non-proliferative state (Alvarez et al., 2013; Moody, 2002). These cells 48 eventually re-initiate proliferation to form recurrent tumors that are independent of Her2. Using 49 this model, we sought to understand how the interplay between tumor cells and their 50 microenvironment regulates residual cell survival and recurrence.

51

52 **RESULTS**

Her2 downregulation induces an inflammatory gene expression program driven by the TNFα/IKK pathway

55 To understand how interactions between tumor cells and their environment change in 56 response to therapy, we first examined gene expression changes following Her2 downregulation 57 in Her2-driven tumor cells. Two independent cell lines derived from primary Her2-driven tumors 58 (Alvarez et al., 2013; Moody, 2002) were cultured in the presence of dox to maintain Her2 59 expression, or removed from dox for 2 days to turn off Her2 expression. Changes in Her2 60 expression following dox withdrawal were confirmed by qPCR analysis (Figure 1 - figure 61 supplement 1A). Changes in gene expression were measured by RNA sequencing. Her2 62 downregulation led to widespread changes in gene expression in both cell lines (Figure 1A). Gene 63 set enrichment analysis showed that an E2F signature was the most highly enriched gene set in 64 cells with Her2 signaling on (+dox; Figure 1 – figure supplement 1B), consistent with previous 65 literature and the observation that Her2 is required for the proliferation of these cells (Lee et al., 66 2000). Interestingly, the gene sets most significantly enriched in cells following Her2 67 downregulation (-dox) were an inflammatory gene signature and a TNF α /NF κ B gene signature 68 (Figure 1B). These gene sets comprised genes encoding chemokines in the CCL family (CCL2, 69 CCL5, and CCL20) and CXCL family (CXCL1, CXCL2, CXCL3, CXCL5, and CXCL10), 70 proteins that mediate cell-cell interactions (TLR2, ICAM1, and CSF1) as well as signaling 71 components of the NFkB pathway (NFKBIA and NFKBIE). All of these genes were upregulated 72 following Her2 downregulation (Figure 1C).

At high concentrations (>40 μg/ml) doxycycline itself can inhibit the NFκB pathway
(Alexander-Savino et al., 2016; Santa-Cecília et al., 2016). Although the concentrations of dox (2)

5

75 µg/ml) we use to culture primary tumor cells are well below these levels, we wanted to confirmed 76 that the NFkB pathway activation observed following dox withdrawal was due to loss of Her2 77 signaling. To do this, we treated primary tumor cells with Neratinib, a small-molecule inhibitor of 78 Her2, to inhibit Her2 signaling without removal of dox. Neratinib treatment led to an increase in 79 phospho-p65 (Figure 1 – figure supplement 1C), increased expression of TNF α (Figure 1 – figure 80 supplement 1D), and increased expression of the NF κ B targets CXCL5 and CCL5 (Figure 1 – 81 figure supplement 1E and F). To further confirm that the low concentrations of dox used to culture 82 primary tumor cells do not directly inhibit the NFkB pathway we treated NIH3T3 cells with TNFa 83 in the presence or absence of 2 µg/ml dox and measured NFkB target genes. Dox treatment had 84 no effect on the induction of NF κ B target genes following TNF α treatment (Figure 1 – figure supplement 1G). Taken together, these results demonstrate that Her2 inhibition leads to activation 85 86 of the NFkB pathway.

87 Given the coordinated upregulation of these NF κ B target genes, we reasoned that their 88 expression may be induced by a common upstream secreted factor acting in an autocrine manner. 89 To test this, we collected conditioned media from primary tumor cells grown in the absence of dox 90 for 2 days. This conditioned media was supplemented with dox to maintain Her2 expression and 91 added to naïve primary tumor cells. Treatment with conditioned media led to a time-dependent 92 upregulation of the pro-inflammatory chemokine CCL5 (Figure 1D). One common upstream 93 mediator of this cytokine response is tumor necrosis factor alpha (TNF α), and we found that TNF α 94 expression is increased between 10-fold and 100-fold following Her2 downregulation (Figure 1E). 95 To test whether this is sufficient to activate downstream signaling pathways, we examined 96 activation of the NFkB pathway following treatment with conditioned media from cells following 97 Her2 downregulation. Indeed, we found that treatment of naïve cells with Her2-off (-dox)

98 conditioned media led to rapid, robust, and prolonged activation of the NF κ B pathway as assessed 99 by phosphorylation of p65 (Figure 1F). Importantly, Her2 levels remained high in these target cells 100 (Figure 1 – figure supplement 1H), indicating that Her2-off (–dox) conditioned media can activate 101 the NFkB pathway even in the presence of Her2 signaling. In contrast, conditioned media from 102 Her2-on (+dox) cells had no effect on p65 phosphorylation (Figure 1 – figure supplement 11). 103 Finally, we tested whether the induction of chemokine genes following Her2 downregulation was 104 dependent upon the NF κ B pathway by treating cells with the IKK inhibitor, IKK16. We found that 105 blocking IKK activity blunted the induction of all chemokine genes following dox withdrawal 106 (Figure 1G). Taken together, these results suggest that Her2 downregulation leads to the induction 107 of a pro-inflammatory gene expression program, likely driven by autocrine-acting $TNF\alpha$ and 108 mediated through the IKK-NFkB pathway.

109 Immune cell infiltration during tumor regression and residual disease

110 Her2 downregulation in Her2-driven tumors in vivo induces apoptosis and growth arrest, 111 ultimately leading to tumor regression (Moody, 2002). However, a small population of tumor cells 112 can survive Her2 downregulation and persist for up to 6 months before resuming growth to form 113 recurrent tumors. These residual tumors can be identified histologically (Figure 2A). Many of the 114 cytokines and chemokines induced shortly after Her2 downregulation function as chemoattractants 115 for various immune cells (Binnewies et al., 2018; López et al., 2017). This led us to speculate that 116 Her2 downregulation in vivo may promote infiltration of immune cells into the tumor. We 117 therefore asked whether the immune cell composition of tumors changed during tumor regression 118 and in residual tumors. CD45 staining showed that leukocyte infiltration increased dramatically 119 following Her2 downregulation as compared to primary tumors (Figure 2B-C, Figure 2 – figure 120 supplement 1A). Surprisingly, leukocytes remained high in residual tumors (Figure 2D, Figure 2

121 - figure supplement 1A). Masson's trichrome staining revealed prominent collagen deposition in 122 residual tumors (Figure 2D), consistent with a desmoplastic response in residual tumors. Staining 123 for the macrophage marker F4/80 showed a dramatic increase in macrophage abundance during 124 tumor regression (Figure 2C, Figure 2 – figure supplement 1A), and macrophage levels remained 125 elevated in residual tumors (Figure 2D, Figure 2 – figure supplement 1A). CD3 staining showed 126 increased T cell infiltration in regressing and residual tumors (Figure 2 – figure supplement 1A,B). 127 Taken together, these results indicate that Her2 downregulation leads to the infiltration of CD45+ 128 leukocytes, and specifically F4/80+ macrophages. Residual tumors contain high numbers of 129 macrophages and abundant collagen deposition, consistent with a desmoplastic response.

130 Cytokine profiling of residual tumors

131 Immune cells can influence tumor cell survival and function (Flores-Borja et al., 2016; 132 Pollard, 2004). The large number of immune cells present in residual tumors suggests that these 133 cells may function to regulate the behavior of residual tumor cells. To begin to address this, we 134 sought to identify secreted factors that are expressed in residual tumors. Residual tumor cells in 135 the autochthonous MTB; TAN model are unlabeled and are diffusely scattered throughout the 136 mammary gland, precluding their isolation. Therefore, we used an orthotopic model in which 137 residual tumors can be easily isolated. In this model, primary Her2-driven tumors are digested, 138 cultured, and infected with GFP. Cells are then injected into the mammary fat pad of recipient 139 mice on dox to generate an orthotopic primary tumor. Following dox withdrawal, the fluorescently 140 labeled residual tumors can be easily microdissected (Figure 2 – figure supplement 1C). We first 141 confirmed that the orthotopic model exhibited similar patterns of immune cell infiltration as the 142 autochthonous model. Indeed, we found that macrophage staining increased dramatically during

tumor regression and in residual tumors (Figure 2 – figure supplement 1D-F), suggesting the
 orthotopic model is appropriate for identifying secreted proteins present in these residual tumors.

We generated a cohort of orthotopic primary tumors (n=4) and residual tumors at 28 days 145 146 (n=6) and 56 days (n=6) following dox withdrawal. Residual tumors were microdissected using a 147 fluorescent dissecting microscope. We then made protein lysates from all samples and measured 148 the expression of cytokines and chemokines using antibody-based protein arrays. Four primary 149 tumors and four 28-day residual tumors were profiled using a commercially available cytokine 150 array, which measures the expression of 20 secreted factors. We then used a second commercially 151 available cytokine array, which measures 40 cytokines and chemokines, to measure cytokine 152 expression in the whole cohort of tumors. This analysis identified 8 cytokines that were 153 upregulated in residual tumors as compared to primary tumors (Figure 3A; fold change ≥ 2 , p ≤ 0.1 , 154 Figure 3 – source data), including CCL5, osteoprotegerin (OPG), and Vascular cell adhesion 155 protein 1 (VCAM-1) (Figure 3B). Interestingly, VCAM-1 has been shown to regulate breast cancer 156 dormancy (Lu et al., 2011), while OPG can regulate the survival of breast cancer cells (Neville-157 Webbe et al., 2004).

158 We next asked whether any cytokines were both induced acutely following Her2 159 downregulation and remained elevated in residual tumors. We found that only two cytokines, 160 CCL5 and OPG, fulfilled these criteria. Given that OPG has previously been associated with 161 dormancy, we focused our attention on CCL5. We then wanted to determine if CCL5 expression 162 was elevated in human residual breast tumors following treatment. We analyzed a gene expression 163 dataset of residual breast tumors that remain following neoadjuvant targeted therapy. A number of 164 secreted factors were upregulated in residual tumors as compared to primary tumors, and CCL5 165 was one of the most significantly upregulated cytokines in this group (Figure 3C-D and Figure 3

- figure supplement 1A-M). To confirm these results, we examined an independent gene
expression data set from breast cancer patients treated with neoadjuvant chemotherapy. We found
that CCL5 expression was also increased in residual tumors in this dataset (Figure 3 – figure
supplement 1N). These results suggest that CCL5 upregulation is a common feature of residual
tumors cells that survive both conventional and targeted therapy in mice and humans, suggesting
it may be functionally important in mediating the survival of these cells.

172 CCL5 expression promotes recurrence following Her2 downregulation

173 We next wanted to directly assess whether CCL5 plays a functional role in regulating 174 residual cell survival or recurrence. We first used an ELISA to measure CCL5 levels in orthotopic 175 primary tumors, residual tumors, and recurrent tumors. CCL5 expression was elevated in residual 176 tumors, confirming results from the cytokine array, and increased further in recurrent tumors 177 (Figure 4A). We next engineered primary tumor cells to overexpress CCL5 or GFP as a control 178 (Figure 4B) and used these cells in an orthotopic recurrence assay to test the effect of CCL5 179 expression on tumor recurrence. Control or CCL5-expressing cells were injected orthotopically 180 into recipient mice on doxycycline to maintain Her2 expression. Primary tumors formed with 181 similar kinetics following injection of control and CCL5-expressing cells, indicating that CCL5 182 expression had no effect on the growth of primary tumors (data not shown). Following primary 183 tumor formation, mice were removed from dox to induce Her2 downregulation and tumor 184 regression. Mice with residual tumors were palpated biweekly to monitor the formation of 185 recurrent tumors. Tumors expressing CCL5 recurred significantly earlier than control tumors, 186 indicating that CCL5 expression is sufficient to accelerate tumor recurrence (Figure 4C; p=0.023; 187 HR=2.14).

188 We next asked if tumor-derived CCL5 is necessary for recurrence. To this end, we used 189 CRISPR-Cas9 to knock out CCL5 in primary tumor cells (Figure 4D), and tested the effect of 190 CCL5 knockout on recurrence using the orthotopic recurrence assay described above. The growth 191 of CCL5 knockout tumors was not different from control tumors expressing a non-targeting 192 sgRNA (data not shown). Mice were removed from dox, and the latency of recurrence between 193 control and CCL5 knockout tumors was compared. We found that CCL5 knockout had no effect 194 on the latency of recurrence (Figure 4E). Taken together, these results suggest that CCL5 195 expression is sufficient to accelerate recurrence, but tumor-derived CCL5 is not necessary for 196 recurrence following Her2 downregulation.

197

198 CCL5 promotes macrophage infiltration in residual tumors

199 CCL5 is a chemoattractant for various cell types, including T cells, B cells, eosinophils, 200 basophils, neutrophils, macrophages, and fibroblasts (Dembic, 2015; Lacy, 2017; Lee et al., 2017). 201 We observe an increase in CCL5 levels during tumor regression and in residual tumors that is 202 concomitant with immune cell infiltration. We therefore reasoned that the effect of CCL5 203 overexpression on recurrence may be mediated through its ability to recruit one or more of these 204 cell types to residual lesions and recurrent tumors. CCL5 can signal through multiple receptors, 205 including CCR1, CCR3, and CCR5, but it predominately acts through CCR5 (Soria and Ben-206 Baruch, 2008). We therefore examined CCR5 expression on various immune and stromal cells in 207 primary tumors (+dox), regressing tumors (5 days -dox), residual tumors (69 days -dox), and 208 recurrent tumors by flow cytometry. As expected, Her2 was downregulated following dox 209 withdrawal in all tumors (Figure 5 – figure supplement 1A). For each cell type, we measured the 210 median fluorescence intensity (MFI) of CCR5 staining in CCR5+ cells. Interestingly, the level of

211 CCR5 expressed on macrophages increased in residual tumors (Figure 5A and Figure 5 – figure 212 supplement 2). In contrast, CCR5 expression on CD4+ T cells CD8+ T cells increased in regressing 213 tumors, but returned to baseline in residual tumors (Figure 5B and C, Figure 5 – figure supplement 214 2). Similar to macrophages, the expression of CCR5 on fibroblasts was elevated in residual tumors 215 (Figure 5D, Figure 5 – figure supplement 2). We were also interested in examining CCR5 216 expression on CD45- tumor cells. We observed a slight increase in CCR5 expression in residual 217 tumor cells, but otherwise there was no change in CCR5 expression on these cells (Figure 5E). To 218 directly compare the expression of CCR5 in macrophages and tumor cells, we sorted these two 219 populations from primary, regressing, residual, and recurrent tumors from MTB;TAN mice and 220 performed qPCR analysis. CCR5 was expressed at higher levels on macrophages than tumor cells 221 at each stage, and its expression was especially high on residual tumor macrophages (Figure 5 -222 figure supplement 1B). Overall, these results identify several cell types – notably macrophages 223 and fibroblasts – that express high levels of CCR5 and so are poised to respond to CCL5 in residual 224 tumors.

225 To determine whether these cell types are recruited by CCL5 in residual tumors, we 226 generated primary and residual tumors overexpressing CCL5 and analyzed the abundance of 227 macrophages and fibroblasts by flow cytometry. Fibroblast levels were not significantly different 228 between control and CCL5-expressing tumors (Figure 5F, Figure 5 – figure supplement 1C). In 229 contrast, CCL5-expressing tumors exhibited a modest but consistent increase in macrophage 230 infiltration (Figure 5G, Figure 5 – figure supplement 1D). Taken together, these results suggest 231 that CCL5 expression in residual tumors can recruit CCR5-positive macrophages, and suggest that 232 CCL5 may subsequently signal through CCR5 on these cells to modulate macrophage function.

233 Macrophages express and secrete collagen and collagen deposition factors

234 We next considered the possibility that CCL5 recruitment of macrophages to residual 235 tumors may promote recurrence through macrophage-tumor cell crosstalk. To address this, we 236 sorted CD45+/CD11b+/F4/80+ macrophages from primary, residual and recurrent tumors from 237 the autochthonous MTB;TAN model by fluorescence activated cell sorting (FACS), and then 238 isolated RNA from the sorted cell populations for RNAseq. Residual tumor-associated 239 macrophages did not yield sufficient RNA for RNAseq, but we were able to sequence RNA from 240 primary, regressing, and recurrent tumor-associated macrophages (TAMs). Examination of 241 differentially expressed genes between primary and recurrent TAMs suggested that FACS-sorted 242 TAMs may have been partially contaminated with tumor cells. For instance, we detected Her2 243 expression at high levels in primary TAMs and low levels in recurrent TAMs. Therefore, we used 244 a gene expression dataset of primary and recurrent tumor cells cultured in vitro to filter the TAM 245 expression list (Figure 6 – source data 1). After filtering, we were left with approximately 200 246 genes that were differentially expressed between primary and recurrent tumor macrophages 247 (Figure 6A, Figure 6 – source data 2). Interestingly, genes encoding fibrillar collagen and collagen 248 deposition proteins were more highly expressed in the recurrent TAMs than the primary TAMs or 249 regressing tumor TAMs (Figure 6B). These genes include Collagen alpha-1(V) chain (COL5A1), 250 Collagen type XXIV alpha 1 (COL24A1), Procollagen C-endopeptidase enhancer 1 (PCOLCE), 251 and Asporin (ASPN). COL5A1 and COL24A1 encode fibrillar collagens, PCOLCE encodes a 252 glycoprotein that binds and drives the cleavage of type 1 fibrillar procollagen, and ASPN encodes 253 a protein that binds to fibrillar collagens to regulate mineralization. We next sought to validate 254 these findings by performing qPCR analysis on primary, regressing, residual, and recurrent TAMs. 255 This analysis showed that the expression of these genes progressively increased during tumor 256 regression, residual disease, and recurrence (Figure 6C). Additionally, qPCR on RNA isolated

257 from bulk tumors showed higher expression of COL5A1 and COL24A1 in recurrent tumors, while 258 a subset of recurrent tumors had high expression of ASPN and PCOLCE (Figure 6D). Consistent 259 with this, Masson's trichrome staining showed increased collagen deposition in residual and 260 recurrent tumors (Figure 6E, middle and bottom). In order to see if similar gene expression patterns 261 are observed in residual disease in breast cancer patients, we examined gene expression data from 262 residual tumors after neoadjuvant targeted therapy. Indeed, expression of these four collagen genes 263 increased in residual tumors following therapy (Figure 6 – figure supplement 1A). Finally, we 264 asked whether CCL5 regulates collagen deposition by comparing collagen levels in control and 265 CCL5-expressing recurrent tumors. While control recurrent tumors had uniform levels of collagen 266 deposition (Figure 6F and Figure 6 – figure supplement 1B-C), a subset of CCL5-expressing 267 tumors had very high levels of collagen deposition (Figure 6F and Figure 6 – figure supplement 268 1B-C). Taken together, these results suggest that CCL5 promotes macrophage infiltration and 269 collagen deposition. Given the importance of collagen for regulating tumor cell function, this may 270 be one mechanism by which CCL5 expression accelerates recurrence. This is reminiscent of 271 findings in colorectal cancer, where collagen deposition can be mediated in part through CCR2+ 272 macrophages, and depletion of these macrophages inhibits tumor growth (Afik et al., 2016).

273

274 **DISCUSSION**

The long-term survival of residual tumor cells following therapy is a major obstacle to obtaining cures in breast cancer. Understanding the pathways that promote residual cell survival – and that induce the reactivation of these cells to generate recurrent tumors – is critical for designing therapies to prevent breast cancer relapse. There has been extensive focus on tumor cell-intrinsic pathways that allow cells to survive therapy (Holohan et al., 2013). However, the role of tumor

cell-extrinsic factors, including the tumor microenvironment, in regulating the survival andrecurrence of residual cells has not been extensively explored.

282 Here we used a conditional mouse model to investigate how interactions between tumor 283 cells and the tumor microenvironment change during tumor regression, residual disease, and 284 recurrence, and in turn how the microenvironment regulates tumor recurrence. We found that Her2 285 downregulation led to induction of a pro-inflammatory gene expression program comprising a 286 number of chemokines and cytokines, including CCL5. This program was mediated by autocrine 287 TNF α and dependent upon IKK/NF κ B signaling. Notably, a recent study identified a similar gene 288 expression program in EGFR-mutant lung cancer following treatment with EGFR inhibitors (Gong 289 et al., 2018). Consistent with this pro-inflammatory gene expression program, we observed 290 differences in immune and stromal cell infiltration during tumor regression. Both adaptive (CD4+ 291 and CD8+ T cells) and innate (macrophages) immune cells were recruited to regressing tumors. 292 The residual tumor microenvironment is markedly different from that of primary tumors, with high 293 numbers of macrophages and fibroblasts, abundant collagen deposition, and differential expression 294 of a suite of cytokines, including CCL5. Functionally, CCL5 overexpression promotes 295 macrophage recruitment, collagen deposition, and promotes tumor recurrence. These results 296 identify CCL5 as a critical regulator of crosstalk between residual tumor cells and the residual 297 tumor microenvironment that promotes tumor recurrence.

A number of studies have found that Her2 signaling directly activates the NF κ B pathway, and that this is functionally important for tumor growth (Liu et al., 2009). Consistent with this, we observed basal levels of p65 phosphorylation in primary tumor cells. Surprisingly, we found that Her2 inhibition further activates the NF κ B pathway, and that this occurs through an autocrine pathway that is likely mediated by increased TNF α expression. Hyperactivation of the NF κ B

15

303 pathway in turn leads to the production of a number of cytokines and chemokines which may 304 contribute to the recruitment of immune cells. These findings are consistent with prior work 305 showing that the NF κ B pathway is required for macrophage recruitment in a similar Her2-driven 306 mouse model (Liu et al., 2010). Our findings add to these previous studies by showing that Her2 307 inhibition leads to hyperactivation of the NF κ B pathway and increased macrophage recruitment.

308 CCL5 has been shown to play an important role in many facets of tumor progression, such 309 as invasion, metastasis, neoangiogenesis, and immune cell infiltration (Aldinucci and Colombatti, 310 2014). In glioblastoma, CCL5 upregulation has been correlated with recurrence in post-treatment 311 tumors (Hudson et al., 2018). In triple-negative breast cancer, CCL5 expression has also been 312 correlated with residual tumor size and tumor infiltrating lymphocytes after neoadjuvant 313 chemotherapy (Araujo et al., 2018). However, CCL5 has not previously been implicated in residual 314 cell survival or recurrence in Her2+ or hormone receptor positive breast cancer. By analyzing gene 315 expression datasets from breast cancer patients treated with neoadjuvant targeted or chemotherapy 316 (Creighton et al., 2009; Tempfer, 2011), we show here that CCL5 expression is elevated in residual 317 tumor cells that survive therapy. A notable observation in our study is that while CCL5 expression 318 promoted recurrence (Figure 4C), knockout of CCL5 in tumor cells did not delay recurrence 319 (Figure 4E). This suggests that CCL5 may be at least partially redundant with other chemokines, 320 such as CCL2 and CXCL1 and 2, in recruiting macrophages to promote recurrence.

Mechanistically, we show that CCL5 acts to recruit CCR5+ macrophages to residual tumors, consistent with its known role as a chemoattractant factor for macrophages (Mantovani et al. 2017). RNAseq analysis of primary and recurrent TAMs suggested that recurrent TAMs have high expression of genes encoding fibrillar collagen and proteins required for collagen deposition. qPCR analysis indicated that residual TAMs shared this gene expression program. Consistent with

16

326 this, collagen deposition is high in residual and recurrent tumors, and CCL5 expression promotes 327 collagen deposition. Collagen deposition is traditionally thought to be driven by fibroblasts in the 328 microenvironment (Thannickal, 2012). However, a recent report showed that macrophages are 329 responsible for collagen deposition in a mouse model of colorectal cancer (Afik et al., 2016). 330 Collagen deposition is important for tumor progression and invasiveness (Provenzano et al., 2008). 331 Collagen bundles can potentiate cell migration and increase tissue stiffness, and enzymes which 332 crosslink collagens are often upregulated in breast cancer and are correlated with a poor prognosis 333 (Lu et al., 2012). It is possible that collagen deposition may promote the survival or proliferation 334 of residual tumor cells, and that this mediates the effect of CCL5 on tumor recurrence.

335 The findings reported here suggest that efforts to block CCL5-driven macrophage 336 infiltration and subsequent collagen deposition may have therapeutic benefit. Possible therapies 337 include the use of Maraviroc, a CCR5 antagonist (Velasco-Velazquez et al., 2012), and agents that 338 block macrophage infiltration or function, such as the CSF-1R inhibitor PLX3397 (DeNardo et al., 339 2011; Strachan et al., 2014; Zhu et al., 2014). It is also possible that, because CCL5 is sufficient 340 but not necessary for tumor recurrence, it would be preferable to block the induction of the pro-341 inflammatory program that is induced following Her2 downregulation using agents targeting 342 TNF α or the NF κ B pathway.

It is important to note that while our studies focus on the function of CCL5 in recruiting CCR5+ macrophages, breast cancer cells themselves can also express CCR5. Indeed, previous studies have found that CCR5 acts in tumor cells to promote stem cell expansion and metastasis in breast cancer (Jiao et al., 2018; Velasco-Velazquez et al., 2012). Although in the current study we find that in residual tumors CCR5 is expressed at higher levels in macrophages than on tumor cells, it is possible that tumor cell-expressed CCR5 may mediate at least some of the effects of

- 349 CCL5 on tumor recurrence. Future work with mice lacking CCR5 on specific cell types will clarify
- 350 the relative important of CCR5 on macrophages and tumor cells.
- 351 The survival and recurrence of residual tumor cells is a critical clinical problem in breast
- 352 cancer. The results identified here show that interactions between residual tumor cells and their
- 353 microenvironment are critical for recurrent tumor formation. Targeting tumor cell-
- 354 microenvironment interactions may hold promise for preventing recurrent breast cancer.
- 355

356 MATERIALS AND METHODS

357 Key resources table

Reage nt type (specie s) or resour		Souce or		
ce	Designation	reference	Identifiers	Additional Information
Recom			Plasmid #	
binant			17447	
DNA	pLenti CMV		RRID:Addge	Campeau et al PLoS One. 2009
reagent	GFP Neo	Addgene	ne_17447	Aug 6;4(8):e6529
Recom			Plasmid #	
binant			52962	
DNA	lentiCas9-		RRID:Addge	Sanjana et al Nat Methods. 2014
reagent	Blast	Addgene	ne_52962	Aug;11(8):783-4
Recom			Plasmid #	
binant			52963	
DNA	lentiGuide-		RRID:Addge	Sanjana et al Nat Methods. 2014
reagent	Puro	Addgene	ne_52963	Aug;11(8):783-4
Recom			Plasmid #	
binant			12260	
DNA			RRID:Addge	Trono Lab Packing and Envelope
reagent	psPAX2	Addgene	ne_12260	Plasmids
Recom			Plasmid#	
binant			12259	
DNA			RRID:Addge	Trono Lab Packing and Envelope
reagent	pMD2.G	Addgene	ne_12259	Plasmids

Cell				
line			Cat# CRL-	
(<i>M</i> .		American Type	1658	
muscul		Culture	RRID:CVCL	
us)	NIH-3T3	Collection	0594	
Cell	1,111 0 10			
line				
(<i>M</i> .				
muscul				
us)	54074	This paper		Derived from MTB;TAN model
Cell		11		
line				
(<i>M</i> .				
muscul				
us)	99142	This paper		Derived from MTB;TAN model
Cell		1 1	Cat# CRL-	, ,
line (H.		American Type	3213	
Sapiens		Culture	RRID:CVCL	
)	293T Ampho	Collection	H716	
Cell	•		Cat# CRL-	
line (H.		American Type	3214	
Sapiens		Culture	RRID:CVCL	
)	293T Eco	Collection	_H717	
	Rabbit			
	monoclonal		D14E12	
Antibo	anti-NFkB		RRID:AB_1	
dy	p65	Cell Signaling	0859369	1:1000 (WB)
	Rabbit			
	monoclonal		93H1	
Antibo	anti-p-NFκB		RRID:AB_1	
dy	p65	Cell Signaling	0827881	1:1000 (WB)
	Mouse		TU-02	
Antibo	monoclonal		RRID:AB 6	
dy	anti-Tubulin	Santa Cruz	28408	1:1000 (WB)
uy				1.1000 (11D)
			Cat# 7074	
Antibo	Goat anti-		RRID:AB_2	
dy	rabbit HRP	Cell Signaling	099233	1:5000 (WB)
			Cat# 7076	
Antibo	Goat anti-		RRID:AB 3	
dy	mouse HRP	Cell Signaling	30924	1:5000 (WB)
-*)		BB		
	Goat anti-	T .0	Cat# A21076	
Antibo	rabbit Alexa	Life	RRID:AB_1	1.5000 (117)
dy	Flour 680	Technologies	41386	1:5000 (WB)

1			Cat# 926-	
	IRDYE		32210	
Antibo	800CW Goat		RRID:AB 6	
dy	anti-mouse	LI-COR	21842	1:5000 (WB)
uy	Rat	LICOK	21042	1.5000 (WD)
	monoclonal			
	anti-			
	CD45R/B22	Invitrogen/eBios	RA3-6B2	
Antibo	0, APC	cience	RRID:AB 4	
dy	conjugated	(Carlsbad, CA)	69395	1:50 (FC)
uy	Hamster		07575	1.50 (1 C)
	monoclonal			
	anti-CD49b,		ΗΜα2	
Antibo	AF488		RRID:AB 4	
dy	conjugated	BioLegend	92851	1:200 (FC)
uy	Hamster	DioLegena	92631	1.200 (FC)
	monoclonal			
			1-Mar	
Antiha	anti-FcεRIα,			
Antibo	PE	Dialacand	RRID:AB_1	1.50 (EC)
dy	conjugated	BioLegend	626104	1:50 (FC)
	Rat			
	monoclonal		E50 2440	
A (1	anti-Siglec-		E50-2440	
Antibo	F/CD170, PE	מת	RRID:AB_1	1 200 (EC)
dy	conjugated	BD	0896143	1:200 (FC)
	Rat			
	monoclonal			
	anti-		4.0.4.5	
A (*1	PDGFRa/CD	и :/ / D:	APA5	
Antibo	140a, PE	Invitrogen/eBios	RRID:AB_6	1.100 (EQ)
dy	conjugated	cience	57615	1:100 (FC)
	Rat			
	monoclonal			
	anti-CD45,		30-F11	
Antibo	PECy5		RRID:AB_3	
dy	conjugated	BD	94612	1:200 (FC)
	Mouse			
	monoclonal			
	anti-CD45,		30-F11	
Antibo	APC		RRID:AB_1	
dy	conjugated	BD	645215	1:200 (FC)
	Rat anti-		30- F11	
Antibo	CD45, V50		RRID:AB 1	
dy	conjugated	BD	645275	1:200 (FC)
uy	vonjugutou		015215	1.200 (1 0)

1	Rat		1	1
	monoclonal		T45 0240	
Antiba	anti-F4/80,		T45-2342	
Antibo	AF647	חח	RRID:AB_2	1.50 (EC)
dy	conjugated	BD	744474	1:50 (FC)
	Rat			
	monoclonal			
	anti-CD11b,		M1/70	
Antibo	PE		RRID:AB_3	
dy	conjugated	BD	94775	1:50 (FC)
	Rat			
	monoclonal			
	anti-CD11b,		M1/70	
Antibo	PECy7		RRID:AB_2	
dy	conjugated	BD	033994	1:100 (FC)
	Rat			
	monoclonal			
	anti-Ly6G,		1A8	
Antibo	APC		RRID:AB_1	
dy	conjugated	BD	727560	1:200 (FC)
	Hamster			
	monoclonal			
	anti-CD3e,		145-2C11	
Antibo	PE		RRID:AB 3	
dy	conjugated	BD	94460	1:100 (FC)
	Rat			
	monoclonal			
	anti-CD4,		GK1.5	
Antibo	APCC7y		RRID:AB_3	
dy	conjugated	BD	94331	1:100 (FC)
	Rat			
	monoclonal			
	anti-CD8a,		53-6.7	
Antibo	APC		RRID:AB 3	
dy	conjugated	BD	98527	1:200 (FC)
	Rat			()
	monoclonal			
	anti-		2.4G2	
Antibo	CD16/CD32		RRID:AB 3	
dy	Fc Blocker	BD	94659	1:50 (FC)
	Rat		21007	
	monoclonal			
	anti-			
	CCR5/CD19		C34-3448	
Antibo	5, BV421		RRID:AB 2	
dy	conjugated	BD	741677	1:100 (FC)
uy	conjugateu	עם	/ 10//	1.100 (10)

Antibo dy	Mouse monoclonal anti- Cytokertin 8	Troma 1, Brulet, P., Kemler, R. Institut Pasteur, Paris, France	Troma 1 RRID:AB_5 31826	1:50 (IHC)
Antibo dy	Rat monoclonal anti-CD45	BD Biosciences	30-F11 RRID:AB_3 94606	1:200 (IHC)
Antibo dy	Rabbit monoclonal anti-CD3	Themo	SP7 RRID:AB_1 956722	1:100 (IHC)
Antibo dy	Rat monoclonal anti-F4/80	Bio-Rad	Cl:A3-1 RRID:AB_1 102558	1:1000 (IHC)
Peptide , recomb inant	ΤΝΓα,	Distant	0-1# 575202	10 mg/mJ
protein Comm ercial assay	Trichrome	BioLegend	Cat# 575202	<u>10 ng/mL</u>
or kit Comm ercial assay or kit	stain Vectastain ABC Kit (Pabbit IaC)	Abcam Vector Labs	ab150686 Cat# PK- 6101	
Comm ercial assay or kit	(Rabbit IgG) Vectastain ABC Kit (Rat IgG)	Vector Labs	Cat# PK- 4004	
Comm ercial assay or kit	RNeasy Mini Kit	Qiagen	Qiagen:7410 6	
Comm ercial assay or kit	QIAshredder	Qiagen	Qiagen:7965 6	
Comm erical assay or kit	Quantibody Mouse Cytokine Array Q1	RayBiotech	Cat# QAM- CYT-1-1	
Comm ercial	Quantibody Mouse	RayBiotech	Cat# QAM- CYT-4	

assay or kit	Cytokine Array Q4			
Chemic	Tilluy Q I			
al				
compo				
und,	IKK16	Selleckchem	Cat# S2882	100mM
drug Chemic	IKK10	Selleckchem	Cal# 52882	100nM
al				
compo	Linofostamin	Life	Cat#	
und,	Lipofectamin e 2000		11668019	60 ul per reaction
drug Chemic	e 2000	Technologies	11008019	60 μL per reaction
al				
compo				
und,	Polybrene	Sigma	Cat# 107689	6 ug/mI
drug Chemic	rorybrene	Sigma	Cat# 10/089	6 μg/mL
al				
compo und,	2x Cell Lysis		Cat# AA-	
drug	Buffer	RayBiotech	LYS	
urug	Luminata	RayDioteen		
Chemic	Classico/Cre			
al	scendo		Cat#WBLU	
compo	Western		C0500 Cat#	
und,	HRP		WBLUR050	
drug	Substrate	Millipore	0	
Chemic	Substrate	winipore	0	
al				
compo			Cat#	
und,			D43020-	2 mg/kg <i>in vivo</i> and 2 μg/mL <i>in</i>
drug	Doxycycline	RPI	100.0	vitro
urug	Doxycyclinc		100.0	VIII 0
				Forward:
Sequen				TAACCTCGAGATGAAGATC
ce-			CCL5 cDNA	TCTGCAGCTG, Reverse:
based	RT-PCR		into pK1	TAACGCGGCCGCCAGGGTC
reagent	primers	This paper	plasmid	AGAATCAAGAAACC
	-	¥ ¥	-	Forward:
Sequen			CCL5 cDNA	TAACTCTAGAATGAAGATC
ce-			into pLenti	TCTGCAGCTG, Reverse:
based	RT-PCR		CMV	TAACGTCGACCAGGGTCAG
reagent	primers	This paper	plasmid	AATCAAGAAACC

1 1			1	
				CCL5_1
				(TGTAGAAATACTCCTTGAC
Saguar				G), CCL5_2
Sequen				(TACTCCTTGACGTGGGCAC
ce-			Tanadina	G), CCL5_3
based		T1.:	Targeting	(TGCAGAGGGCGGCTGCAGT
reagent	gRNAs	This paper	CCL5	G)
Sequen				
ce-			N. 0120242	
based		T1	Mm0130242	
reagent	CCL5	Thermo	7_m1	
Sequen				
ce-				
based			Mm0420746	
reagent	CXCL1	Thermo	0_m1	
Sequen				
ce-				
based			Mm0043645	
reagent	CXCL2	Thermo	0_m1	
Sequen				
ce-				
based			Mm0043645	
reagent	CXCL5	Thermo	1_g1	
Sequen				
ce-				
based			Mm0044124	
reagent	CCL2	Thermo	2_m1	
Sequen				
ce-				
based			Mm0261958	
reagent	Actin	Thermo	0_g1	
Sequen				
ce-				
based			Mm0044594	
reagent	ASPN	Thermo	5_m1	
Sequen				
ce-				
based			Mm0047660	
reagent	PCOLCE	Thermo	8 m1	
Sequen			-	
ce-				
based			Mm0048929	
reagent	COL5A1	Thermo	9 m1	
Sequen			Mm0132374	
-	COL24A1	Thermo		
ce-	COL24AI	Thermo	4_m1	

based reagent				
Softwa				
re,		GraphPad Prism		
algorith	GraphPad	(https://graphpa	RRID:SCR_	
m	Prism	d.com)	002798	Version 8
Softwa				
re,				
algorith		SAS Institute		
m	JMP Pro	Inc., Cary, NC		
Softwa				
re,				
algorith			RRID:SCR_	
m	FlowJo	TreeStar	008520	
Softwa				
re,		Fiji		Schindelin, J.; Arganda-Carreras,
algorith		(http://fiji.nih.go	RRID:SCR_	I. & Frise, E. et al. (2012) Nature
m	Fiji	$\mathbf{v}/$	002285	methods 9(7):676-682

358 WB = Western blot, FC = flow cytometry, IHC = immunohistochemistry

359 Orthotopic recurrence assays

360 Orthotopic tumor recurrence assays were performed as described (Alvarez et al. 2013). 361 Briefly, cohorts of 6-week old recipient mice (nu/nu or TAN) on doxycycline were injected 362 bilaterally in the #4 inguinal mammary fat pad with 1×10^6 primary tumor cells (expressing either 363 a control sgRNA, a sgRNA targeting CCL5, CCL5 cDNA, or GFP cDNA). Once tumors reached 364 5 mm (2-3 weeks), doxycycline was removed to initiate oncogene down-regulation and tumor 365 regression. Mice were palpated biweekly to monitor tumor recurrence, and sacrificed when 366 recurrent tumors reached 10 mm. Differences in recurrence-free survival between control and 367 experimental cohorts were compared using Kaplan-Meier survival curves (L et al., 1958) and 368 evaluated by the p-value from a log-rank test and the hazard ratio from the Cox proportional hazard 369 regression, as described previously (Alvarez et al., 2013).

370 Power calculations were used to determine cohort size for each in vivo experiment.
371 Briefly, in order to detect a 2.5-fold difference in recurrence-free survival between control and

experimental groups, given a median recurrence-free survival of 60 days for the control group and
a 300-day follow-up, we estimated we would need to enroll 22 tumors per group (80% power,
p<0.05). We enrolled extra mice in each cohort to account for tumor take rates and unexpected
mortality. Final cohort sizes were: GFP tumors, 17 mice (34 tumors); CCL5 tumors, 18 mice (36
tumors); sgControl tumors, 20 mice (40 tumors); sgCCL5 tumors, 20 mice (40 tumors).

377 Tissue culture and reagents

378 Cell lines derived from primary MTB; TAN tumors were grown as previously described in 379 media containing 2 µg/ml dox (Alvarez et al., 2013). For conditioned media experiments, primary 380 tumor cell lines were plated on 10-cm plates. 24 hours later, media was changed to media without 381 dox, and conditioned media was collected one or two days later. Media was centrifuged to remove 382 cells, supplemented with 2 µg/ml dox, and applied to naïve primary tumor cells. Cells treated with 383 conditioned media were harvested one or two days later for qPCR or Western blot analysis. For 384 dox withdrawal experiments, primary tumor cell lines were plated 10-cm plates. 24 hours later, 385 media was changed to media without dox and cells were collected one or two days later for qPCR 386 or Western blot analysis. IKK16 (Selleckchem, Houston, TX) was used at 100 nM, TNFa 387 (BioLegend, San Diego, CA) was used at 10 ng/ml.

Primary cells derived from MTB;TAN tumors (54074 and 99142 cells) were generated by our lab, are used at early passages, and as a result have not been authenticated. NIH3T3 cells were tested by the Duke Cell Culture Facility for mycoplasma contamination and tested negative. The facility was not able to perform STR authentication on these mouse cells.

392

393 Flow cytometry

394	Tumors were harvested and digested as previously described (Mabe et al., 2018). Cells
395	were aliquoted at 1x10 ⁶ cells per 5 mL falcon tube. CD16/CD32 Fc Block antibody was added for
396	10 min at 4°C (2 μ L/1x10 ⁶ cells). Tumors were then stained with antibody cocktails listed below
397	for 30 min at 4°C, and then washed 3 times with FACs buffer (BD Biosciences, Billerica, MA).

		Fluoroph			Diluti
Cell Type	Antibody	ore	Clone	Vendor	on
	CD45R/B22		RA3-	Invitrogen/eBioscience	
B Cell	0	APC	6B2	(Carlsbad, CA)	1:50
Basophil	CD49b	AF488	ΗΜα2	BioLegend	1:200
			MAR-		
Basophil	FcεRIα	PE	1	BioLegend	1:50
	Siglec-		E50-		
Eosinophil	F/CD170	PE	2440	BD	1:200
	PDGFRa/CD				
Fibroblast	140a	PE	APA5	Invitrogen/eBioscience	1:100
Leukocyte	CD45	PECy5	30-F11	BD	1:200
Leukocyte	CD45	APC	30-F11	BD	1:200
Leukocyte	CD45	V450	30-F11	BD	1:200
			T45-		
Macrophage	F4/80	AF647	2342	BD	1:50
Monocyte/Granu					
locyte	CD11b	PE	M1/70	BD	1:50
Monocyte/Granu					
locyte	CD11b	PECy7	M1/70	BD	1:100
Neutrophil	Ly6G	APC	1A8	BD	1:200
			145-		
T Cell	CD3e	PE	2C11	BD	1:100
T Cell	CD4	APCCy7	GK1.5	BD	1:100
T Cell	CD8a	APC	53-6.7	BD	1:200
-	Fc Blocker	-	2.4G2	BD	1:50
	CCR5/CD19		C34-		
-	5	BV421	3448	BD	1:100

398

Cells were analyzed using a FACSCanto analyzer (BD Biosciences) and data were analyzed using
FlowJo software (TreeStar, Ashland, OR). Gating of the CCR5-high population was determined
by using a fluorescence minus one (FMO; cells stained with antibodies for cell type markers,
lacking the CCR5 antibody) histogram in the fluorescence channel for the CCR5 antibody as a

403 negative control. The FMO negative control histogram was plotted with a positive control of the
404 single stain (cells stained only with CCR5 antibody) from the same tumor. Percent of CCR5+ cells
405 were gated according to the positive control.

406 **qPCR**

407 RNA was isolated from tumors and cells using RNeasy columns (Qiagen, Hilden,

408 Germany). 1 µg of RNA was reversed transcribed using cDNA synthesis reagents (Promega,

409 Madison, WI). qPCR was performed using 6-carboxyfluorescein labeled TaqMan probes

410 (Thermo, Waltham, MA): CCL5 (Mm01302427_m1), CXCL1 (Mm04207460_m1), CXCL2

411 (Mm00436450_m1), CXCL5 (Mm00436451_g1), CCL2 (Mm00441242_m1), Actin

412 (Mm02619580_g1), ASPN (Mm00445945_m1), PCOLCE (Mm00476608_m1), COL5A1

413 (Mm00489299_m1), COL24A1 (Mm01323744_m1), and read on a Bio-Rad (Hercules, CA)

414 CFX qPCR machine.

415 Western blotting and cytokine arrays

416 Western blotting was performed as described (Alvarez et al. 2013) using the following 417 antibodies: NFkB p65 (D14E12, Cell Signaling, Danvers, MA), p-NFkB p65 (93H1, Cell 418 Signaling), and tubulin (TU-02, Santa Cruz, Dallas, TX), all at a 1:1000 dilution. Secondary 419 antibodies conjugated to Alexa Flour 680 (Life Technologies, Carlsbad, CA) or 800 (LI-COR 420 Biosciences, Lincoln, NE) were detected with the Odyssey detection system (LI-COR 421 Biosciences). For p-p65 detection, secondary antibodies conjugated to HRP were used and blots 422 were developed using Classico or Crescendo reagent (Millipore, Burlington, MA) and exposed to 423 film (VWR, Radnor, PA). Secondary antibodies were used at a 1:5000 dilution.

424 For cytokine array analysis, tumor lysates were made in 2X lysis buffer (RayBiotech,
425 Norcross, GA) and diluted to 50 μg per 100 μL in diluent provided. Tumor lysates and standards

were run on both Quantibody Mouse Cytokine Array Q1 and Q4 (RayBiotech). Slides werescanned and quantified by RayBiotech.

428 Plasmids and CRISPR/Cas9

429 pLenti CMV GFP Puro was purchased from Addgene (Watertown, MA).

430 A CCL5 cDNA encoding the full-length mouse protein was amplified by RT-PCR from

431 recurrent MTB;TAN tumor cells and cloned into the retroviral expression vector pK1 using the

432 following primers: Forward: TAACCTCGAGATGAAGATCTCTGCAGCTG, Reverse:

433 TAACGCGGCCGCCAGGGTCAGAATCAAGAAACC.

A CCL5 cDNA encoding the full-length mouse protein was amplified by RT-PCR from
recurrent MTB;TAN tumor cells and cloned into the lentiviral expression vector pLenti CMV
using the following primers: Forward: TAACTCTAGAATGAAGATCTCTGCAGCTG, Reverse:
TAACGTCGACCAGGGTCAGAATCAAGAAACC.

438 CCL5 CRISPR sgRNAs: CCL5_1 (TGTAGAAATACTCCTTGACG), CCL5_2
439 (TACTCCTTGACGTGGGCACG), CCL5_3 (TGCAGAGGGCGGCTGCAGTG). A small guide
440 against AAVS was used as control. sgRNAs were cloned into Lentiguide puro (Sanjana et al.
441 2014). Cas9 infection was with lentiguide Cas9 blast (Sanjana et al. 2014).

Retrovirus was produced by transfecting the packaging lines 293T Ampho and 293T Eco
with the retroviral construct pK1 empty or CCL5 using Lipofectamine 2000. Retroviral
supernatant was collected 48 hours post-transfection, filtered, and used to transduce cells in the
presence of 6 μg/mL polybrene (Sigma, St. Louis, MO).

Lentivirus was produced by transfecting 293T cells with the packaging plasmids psPAX2
and pMD2.G and lentiviral construct pLenti CMV GFP or CCL5 using Lipofectamine 2000.

Lentiviral supernatant was collected 48 hours post-transfection, filtered, and used to transduce
cells in the presence of 6 μg/mL polybrene (Sigma).

450 **RNA sequencing**

451 RNA was isolated from tumors or tumor cells using RNeasy columns (Qiagen). For TAM 452 sequencing, macrophages were isolated by FACS using the antibody panel described above, and 453 RNA was isolated using RNeasy columns (Qiagen). RNA was sequenced using the Illumina HiSeq 454 4000 libraries and sequencing platform with 50 base pair single end reads by the Duke GCB 455 Sequencing and Genomic Technologies Shared Resource (Durham, NC). Sequencing data have 456 been deposited in SRA as PRJNA506006 for cell line data and PRJNA505845 for macrophage 457 data.

458 Human breast cancer microarray data

Publicly available microarray data from human primary and residual breast cancer datasets
GSE10281 and GSE21974 and their corresponding clinical annotation were downloaded,
converted to log2 scale, and median centered. Heatmaps were created using R (Team, 2013).

462 Immunohistochemistry and staining

463 Tumor sections were fixed in 10% normal formalin for 16 hours, then washed twice with
464 PBS and transferred to 70% ethanol for storage. Stored tumor sections were paraffin imbedded
465 and cut on the microtome in 5 μm sections. Sections were stained using a regressive H&E protocol,
466 immunohistochemistry, or Masson's Trichrome.

The regressive H&E protocol is as follows: dewax and rehydrate slides. Incubate slides in
Harris Modified Hematoxylin with Acetic Acid (Fisher, Hampton, NH) for 5 min. Incubate in
Eosin (Sigma) for 1:30 min. Then dehydrate slides and mount slides with permount and coverslip.
Let dry overnight.

471 For cytokeratin 8 staining (Troma 1, Brulet, P., Kemler, R. Institut Pasteur, Paris, France) 472 immunohistochemistry slides were dewaxed and rehydrated as above. Slides were boiled in 473 antigen retrieval buffer (1X in ddH₂O) for 5 minutes and allowed to cool. Slides were washed in 474 PBS and then incubated in 0.3% H₂O₂. Slides were washed, blocked and stained according to the 475 protocol from the rabbit secondary Vectastain ABC kit (Vector Labs, Burlingame, CA). Primary 476 antibody was used at a dilution of 1:50. CD45 (30-F11, BD Biosciences, 1:200), CD3 (SP7, 477 Thermo, 1:100), and F4/80 (Cl:A3-1, Bio-Rad, 1:1000) staining were performed by the Duke 478 Pathology core (Durham, NC).

479 Trichrome stain was performed using a staining kit from Abcam (Cambridge, UK)480 (ab150686).

481 Quantifying IHC and Masson's Trichrome in Fiji

482 To quantify the amount of positive staining for CD3, CD45, and F4/80 and for Masson's 483 Trichrome, we used Fiji (Schindelin et al., 2012). The 'Color Deconvolution' function was used 484 to separate the colors into positive staining and hematoxylin for normalization. We then 485 converted each image to 8-bit and applied a threshold of positive staining to each image and used 486 this same threshold across all images. We then measured the pixel area of the positive staining 487 and normalized this to the hematoxylin staining for each image. For the primary tumors and 5-488 day -dox tumors, the whole image was used for quantification. For residual tumors we manually 489 selected regions-of-interest to exclude adipose tissue from the quantification.

490 Statistical reporting

For GSEA, the normalized enrichment score (NES) is reported. The normalized enrichment score accounts for differences in gene set size and in correlations between gene sets. The NES is based on all dataset permutations, to correct for multiple hypothesis testing. The nominal p value

31

494 is also reported, and is the statistical significance of the enrichment score, without adjustment for
495 gene set size or multiple hypothesis testing. A reported p value of zero (0.0) indicates an actual p496 value of less than 1/number-of-permutations. (Subramanian et al., 2005)

497 Two-tailed unpaired t-tests were used to analyze significance between primary tumor 498 samples and all other time points for qPCR, cytokine array, and flow cytometry analysis. For the 499 cytokine array, appropriate same size was calculated using JMP Pro (SAS Institute Inc., Cary, 500 NC). A standard deviation of 20% was assumed, with a power of 0.8, fold change of 2, and p-501 value (alpha) of 0.05. This power calculation indicated that a sample size of 8 (4 tumors per cohort) 502 was required. The same parameters were used for sample size calculation for flow cytometry 503 analysis of control and CCL5-expressing tumors. For recurrence free survival (RFS), statistical 504 analysis methods are listed in orthotopic recurrence assays.

505 Outliers were never excluded except for in flow cytometry experiments. Tumors that were 506 >90% CD45+ were excluded from analysis to avoid analyzing tumors with potential contamination 507 from the inguinal lymph node. For all other experiments where no power analysis was used, sample 508 size was chosen based upon previous experience (Alvarez et al., 2013).

509 **Study approval**

510 Animal care and all animal experiments were performed with the approval of and in 511 accordance with Duke University IACUC guidelines. Mice were housed under barrier conditions.

```
512 Funding
```

513 This work was funded by the National Cancer Institute (R01 CA208042 to JVA and F31 514 CA220957 to AW) and by startup funds from the Duke Cancer Institute, the Duke University 515 School of Medicine and the Whitehead Foundation (to JVA).

516 Acknowledgements

- We thank Cui Rong (Duke-NUS, Singapore) for providing technical assistance, as well as
 members of the Alvarez lab for providing assistance and helpful discussions. We thank Dr. Mike
 Cook (Duke University) and Dr. Brent Hanks (Duke University) for assistance with flow
 cytometry. We thank Dr. So Young Kim (Duke University) for reagents for the CRISPR-Cas9 cell
 lines. We also thank Dr. Donald McDonnell, Dr. Binita Das, and Dr. Ching-Yi Chang (Duke
 University) for providing assistance and reagents for flow cytometry.
- 525

526 **REFERENCES**

527

- 528 Afik, R., Zigmond, E., Vugman, M., Klepfish, M., Shimshoni, E., Pasmanik-Chor, M., Shenoy,
- A., Bassat, E., Halpern, Z., Geiger, T., *et al.* (2016). Tumor macrophages are pivotal constructors
- of tumor collagenous matrix. The Journal of experimental medicine *213*, 2315-2331.

Aldinucci, D., and Colombatti, A. (2014). The inflammatory chemokine CCL5 and cancer progression. Mediators of inflammation *2014*, 292376.

- 533 Alexander-Savino, C.V., Hayden, M.S., Richardson, C., Zhao, J., and Poligone, B. (2016).
- 534 Doxycycline is an NF- κ B inhibitor that induces apoptotic cell death in malignant T-cells.
- 535 Oncotarget 7, 75954-75967.
- 536 Alvarez, J.V., Pan, T.-c., Ruth, J., Feng, Y., Zhou, A., Pant, D., Grimley, J.S., Wandless, T.J.,
- 537 DeMichele, A., and Chodosh, L.A. (2013). Par-4 Downregulation Promotes Breast Cancer
- 538 Recurrence by Preventing Multinucleation following Targeted Therapy. Cancer cell 24, 30-44.
- 539 Araujo, J.M., Gomez, A.C., Aguilar, A., Salgado, R., Balko, J.M., Bravo, L., Doimi, F., Bretel,
- 540 D., Morante, Z., Flores, C., et al. (2018). Effect of CCL5 expression in the recruitment of
- 541 immune cells in triple negative breast cancer. In Scientific reports, pp. 4899.
- 542 Binnewies, M., Roberts, E.W., Kersten, K., Chan, V., Fearon, D.F., Merad, M., Coussens, L.M.,
- 543 Gabrilovich, D.I., Ostrand-Rosenberg, S., Hedrick, C.C., et al. (2018). Understanding the tumor
- 544 immune microenvironment (TIME) for effective therapy. Nature medicine, 1-10.
- 545 Creighton, C.J., Li, X., Landis, M., Dixon, J.M., Neumeister, V.M., Sjolund, A., Rimm, D.L.,
- 546 Wong, H., Rodriguez, A., Herschkowitz, J.I., et al. (2009). Residual breast cancers after
- 547 conventional therapy display mesenchymal as well as tumor-initiating features. Proceedings of
- the National Academy of Sciences of the United States of America *106*, 13820-13825.
- 549 Damrauer, J.S., Phelps, S.N., Amuchastegui, K., Lupo, R., Mabe, N.W., Walens, A., Kroger,
- B.R., and Alvarez, J.V. (2018). Foxo-dependent Par-4 Upregulation Prevents Long-term
- 551 Survival of Residual Cells Following PI3K–Akt Inhibition. Molecular Cancer Research.
- 552 Dembic, Z. (2015). Cytokines of the Immune System: Chemokines (Academic Press).
- 553 DeNardo, D.G., Brennan, D.J., Rexhepaj, E., Ruffell, B., Shiao, S.L., Madden, S.F., Gallagher,
- 554 W.M., Wadhwani, N., Keil, S.D., Junaid, S.A., et al. (2011). Leukocyte Complexity Predicts
- 555 Breast Cancer Survival and Functionally Regulates Response to Chemotherapy. Cancer
- 556 discovery *1*, 54-67.
- 557 Flores-Borja, F., Irshad, S., Gordon, P., Wong, F., Sheriff, I., Tutt, A., and Ng, T. (2016).
- 558 Crosstalk between Innate Lymphoid Cells and Other Immune Cells in the Tumor
- 559 Microenvironment. Journal of Immunology Research 2016, 1-14.
- 560 Gong, K., Guo, G., Gerber, D.E., Gao, B., Peyton, M., Huang, C., Minna, J.D., Hatanpaa, K.J.,
- 561 Kernstine, K., Cai, L., *et al.* (2018). TNF-driven adaptive response mediates resistance to EGFR
- inhibition in lung cancer. The Journal of clinical investigation *128*, 2500-2518.

- 563 Hata, A.N., Niederst, M.J., Archibald, H.L., Gomez-Caraballo, M., Siddiqui, F.M., Mulvey,
- H.E., Maruvka, Y.E., Ji, F., Bhang, H.-e.C., Krishnamurthy Radhakrishna, V., et al. (2016).
- 565 Tumor cells can follow distinct evolutionary paths to become resistant to epidermal growth
- 566 factor receptor inhibition. Nature medicine *22*, 262-269.
- Holohan, C., Van Schaeybroeck, S., Longley, D.B., and Johnston, P.G. (2013). Cancer drug
 resistance: an evolving paradigm. Nature Reviews Cancer 13, 714.
- Hölzel, D., Eckel, R., Emeny, R.T., and Engel, J. (2010). Distant metastases do not metastasize.
 Cancer and Metastasis Reviews 29, 737-750.
- 571 Hudson, A.L., Parker, N.R., Khong, P., Parkinson, J.F., Dwight, T., Ikin, R.J., Zhu, Y., Chen, J.,
- 572 Wheeler, H.R., and Howell, V.M. (2018). Glioblastoma Recurrence Correlates With Increased
- APE1 and Polarization Toward an Immuno-Suppressive Microenvironment. Frontiers inoncology *8*, 314-314.
- 575 Jiao, X., Velasco-Velazquez, M.A., Wang, M., Li, Z., Rui, H., Peck, A.R., Korkola, J.E., Chen,
- 576 X., Xu, S., DuHadaway, J.B., et al. (2018). CCR5 Governs DNA Damage Repair and Breast
- 577 Cancer Stem Cell Expansion. Cancer research 78, 1657-1671.
- 578 Klein, C.A. (2009). Parallel progression of primary tumours and metastases. Nature Reviews579 Cancer 9, 302.
- L, E., Kaplan, and Meier, P. (1958). Nonparametric Estimation From Incomplete Observations.
- Journal of the American Statistical Association *53*, 457-481.
- Lacy, P. (2017). Eosinophil Cytokines in Allergy. In (Academic Press), pp. 173-218.
- 583 Lee, C.-M., Peng, H.-H., Yang, P., Liou, J.-T., Liao, C.-C., and Day, Y.-J. (2017). C-C
- 584 Chemokine Ligand-5 is critical for facilitating macrophage infiltration in the early phase of liver
- 585 ischemia/reperfusion injury. Scientific Reports 7, 3698.
- Lee, R.J., Albanese, C., Fu, M., Amico, M., Lin, B., Watanabe, G., Haines, G.K., Siegel, P.M.,
- 587 Hung, M.-C., Yarden, Y., *et al.* (2000). Cyclin D1 Is Required for Transformation by Activated
- 588Neu and Is Induced through an E2F-Dependent Signaling Pathway. Molecular and cellular
- 589 biology 20, 672.
- Liu, M., Ju, X., Willmarth, N.E., Casimiro, M.C., Ojeifo, J., Sakamaki, T., Katiyar, S., Jiao, X.,
- 591 Popov, V.M., Yu, Z., et al. (2009). Nuclear factor-kappaB enhances ErbB2-induced mammary
- tumorigenesis and neoangiogenesis in vivo. The American journal of pathology *174*, 1910-1920.
- 593 Liu, M., Sakamaki, T., Casimiro, M.C., Willmarth, N.E., Quong, A.A., Ju, X., Ojeifo, J., Jiao,
- 594 X., Yeow, W.-S., Katiyar, S., *et al.* (2010). The canonical NF-kappaB pathway governs
- mammary tumorigenesis in transgenic mice and tumor stem cell expansion. Cancer research 70,10464-10473.
- 597 López, Á.G., Seoane, J.M., and Sanjuán, M.A.F. (2017). Dynamics of the cell-mediated immune 598 response to tumour growth. Phil Trans R Soc A *375*, 20160291-20160214.

- Lu, P., Weaver, V.M., and Werb, Z. (2012). The extracellular matrix: A dynamic niche in cancer progression. J Cell Biol *196*, 395-406.
- Lu, X., Mu, E., Wei, Y., Riethdorf, S., Yang, Q., Yuan, M., Yan, J., Hua, Y., Tiede, B.J., Lu, X.,
- 602 *et al.* (2011). VCAM-1 Promotes Osteolytic Expansion of Indolent Bone Micrometastasis of
- 603 Breast Cancer by Engaging $\alpha 4\beta$ 1-Positive Osteoclast Progenitors. Cancer cell 20, 701-714.
- Mabe, N.W., Fox, D.B., Lupo, R., Decker, A.E., Phelps, S.N., Thompson, J.W., and Alvarez,
- 505 J.V. (2018). Epigenetic silencing of tumor suppressor Par-4 promotes chemoresistance in
- 606 recurrent breast cancer. The Journal of clinical investigation *128*, 4413-4428.
- Meads, M.B., Gatenby, R.A., and Dalton, W.S. (2009). Environment-mediated drug resistance: a major contributor to minimal residual disease. Nature Reviews Cancer *9*, 665.
- Moody, S.E. (2002). Conditional activation of Neu in the mammary epithelium of transgenic mice results in reversible pulmonary metastasis. Cancer cell *2*, 451-461.
- 611 Neville-Webbe, H.L., Cross, N.A., Eaton, C.L., Nyambo, R., Evans, C.A., Coleman, R.E., and
- 612 Holen, I. (2004). Osteoprotegerin (OPG) produced by bone marrow stromal cells protects breast
- 613 cancer cells from TRAIL-induced apoptosis. Breast cancer research and treatment *86*, 269-279.
- 614 Pollard, J.W. (2004). Opinion: Tumour-educated macrophages promote tumour progression and 615 metastasis. Nature Reviews Cancer *4*, 71-78.
- 616 Provenzano, P.P., Inman, D.R., Eliceiri, K.W., Knittel, J.G., Yan, L., Rueden, C.T., White, J.G.,
- and Keely, P.J. (2008). Collagen density promotes mammary tumor initiation and progression.
- 618 BMC Medicine 6, 11.
- 619 Santa-Cecília, F.V., Socias, B., Ouidja, M.O., Sepulveda-Diaz, J.E., Acuña, L., Silva, R.L.,
- 620 Michel, P.P., Del-Bel, E., Cunha, T.M., and Raisman-Vozari, R. (2016). Doxycycline Suppresses
- 621 Microglial Activation by Inhibiting the p38 MAPK and NF-kB Signaling Pathways.
- 622 Neurotoxicity Research 29, 447-459.
- 623 Schindelin, J., Arganda-Carreras, I., Frise, E., Kaynig, V., Longair, M., Pietzsch, T., Preibisch,
- 624 S., Rueden, C., Saalfeld, S., Schmid, B., *et al.* (2012). Fiji: an open-source platform for
- 625 biological-image analysis. Nature methods 9, 676-682.
- 626 Sequist, L.V., Waltman, B.A., Dias-Santagata, D., Digumarthy, S., Turke, A.B., Fidias, P.,
- Bergethon, K., Shaw, A.T., Gettinger, S., Cosper, A.K., *et al.* (2011). Genotypic and histological
 evolution of lung cancers acquiring resistance to EGFR inhibitors. Science translational medicine
- 629 *3*, 75ra26-75ra26.
- 630 Sharma, S.V., Lee, D.Y., Li, B., Quinlan, M.P., Takahashi, F., Maheswaran, S., McDermott, U.,
- 631 Azizian, N., Zou, L., Fischbach, M.A., et al. (2010). A Chromatin-Mediated Reversible Drug-
- Tolerant State in Cancer Cell Subpopulations. Cell 141, 69-80.
- 633 Soria, G., and Ben-Baruch, A. (2008). The inflammatory chemokines CCL2 and CCL5 in breast 634 cancer. Cancer letters *267*, 271-285.

- 635 Sosa, M.S., Avivar-Valderas, A., Bragado, P., Wen, H.-C., and Aguirre-Ghiso, J.A. (2011).
- 636 ERK1/2 and p38 α/β Signaling in Tumor Cell Quiescence: Opportunities to Control Dormant
- 637 Residual Disease. Clinical Cancer Research 17, 5850-5857.
- 638 Strachan, D.C., Ruffell, B., Oei, Y., Bissell, M.J., Coussens, L.M., Pryer, N., and Daniel, D.
- 639 (2014). CSF1R inhibition delays cervical and mammary tumor growth in murine models by
- 640 attenuating the turnover of tumor-associated macrophages and enhancing infiltration by CD8 +T
- 641 cells. Oncoimmunology 2, e26968-26913.
- 642 Subramanian, A., Tamayo, P., Mootha, V.K., Mukherjee, S., Ebert, B.L., Gillette, M.A.,
- Paulovich, A., Pomeroy, S.L., Golub, T.R., Lander, E.S., et al. (2005). Gene set enrichment
- analysis: a knowledge-based approach for interpreting genome-wide expression profiles.
- Proceedings of the National Academy of Sciences of the United States of America *102*, 15545-15550.
- Team, R.C. (2013). R: A language and environment for statistical computing. . R Foundation for
 Statistical Computing, Vienna, Austria.
- Tempfer, C. (2011). Basal-like molecular subtype and HER4 up-regulation and response to neoadjuvant chemotherapy in breast cancer. Oncology reports.
- Thannickal, V.J. (2012). Mechanisms of pulmonary fibrosis: role of activated myofibroblasts and
 NADPH oxidase. Fibrogenesis & tissue repair 5, S23-S23.
- 653 Velasco-Velazquez, M., Jiao, X., De La Fuente, M., Pestell, T.G., Ertel, A., Lisanti, M.P., and
- Pestell, R.G. (2012). CCR5 Antagonist Blocks Metastasis of Basal Breast Cancer Cells. Cancer
 research 72, 3839-3850.
- 656 Zhu, Y., Knolhoff, B.L., Meyer, M.A., Nywening, T.M., West, B.L., Luo, J., Wang-Gillam, A.,
- 657 Goedegebuure, S.P., Linehan, D.C., and DeNardo, D.G. (2014). CSF1/CSF1R Blockade
- 658 Reprograms Tumor-Infiltrating Macrophages and Improves Response to T-cell Checkpoint
- Immunotherapy in Pancreatic Cancer Models. Cancer research 74, 5057-5069.
- 660
- 661

662 Figure Legends

663

664 Figure 1) Her2 downregulation induces an inflammatory gene expression program driven 665 by the TNFa/IKK pathway. (a) RNA-seq analysis of two independent primary Her2-driven 666 tumor cell lines in the presence of Her2 expression (+dox) or two days following Her2 667 downregulation (-dox). The heatmap shows the top 100 differentially expressed genes between 668 +dox and -dox conditions. R1 and R2 are biological replicates. (b) Gene set enrichment analysis 669 (GSEA) of RNA-seq data showing enrichment of an inflammatory response signature and a 670 TNFα/NF-κB signature in cells following Her2 downregulation. P-values and normalized 671 enrichment scores (NES) are shown. (c) Heatmap showing expression of select genes from the 672 TNF α /NF- κ B signature in the presence of Her2 expression (+dox) or following Her2 deinduction 673 (-dox). (d) aRT-PCR analysis of CCL5 expression following 1 or 2-day treatment with conditioned 674 media harvested from primary cells following Her2 downregulation. Dox was added to 675 conditioned media prior to treatment to maintain Her2 expression in target cells. Results shown 676 are representative of two independent experiments. (e) qRT-PCR of TNF α expression in primary 677 cells in the presence of Her2 expression (+dox) or 2 and 4 days following Her2 downregulation. 678 Results shown are representative of two independent experiments. (f) Primary tumor cells were 679 treated with conditioned media as described in (d), and activation of the NF- κ B pathway was 680 assessed by Western blot analysis of total and phospho-p65. Results show 3 biological replicates 681 per time point. (g) qRT-PCR analysis of the indicated genes in primary tumor cells in the presence 682 of Her2 expression (+dox) or 1 and 2 days following Her2 downregulation (-dox). At the time of 683 Her2 downregulation, cells were treated with the pan-IKK inhibitor IKK16 (100 nM) or vehicle 684 control. Results show the average of 3 biological replicates per condition.

Error bars denote mean ± SEM. Significance was determined using a two-tailed Student's t-test.

687 Figure 1 – figure supplement 1) (a) qRT-PCR analysis of Erbb2 expression in primary cells with 688 Her2 on (+dox) or Her2 off (-dox). (b) Gene set enrichment analysis (GSEA) of RNA-seq data 689 showing an E2F gene signature is enriched in cells with Her2 signaling on. P-values and 690 normalized enrichment scores (NES) are shown. (c) Western blot showing p65 phosphorylation in 691 primary tumor cells treated with the indicated concentration of Neratinib for 24 hours, or 24 hours 692 following dox withdrawal. (d-f) qRT-PCR analysis of TNFa, CCL5, and CXCL5 expression 24 693 hours after treatment with 0.1 µM Neratinib. (g) qRT-PCR analysis of CCL2, CCL5, and CXCL5 694 expression in NIH-3T3 treated with 2 µg/mL dox, 10 ng/mL TNFa, or both for 24 hours. (h) qRT-695 PCR analysis of Erbb2 expression of cells treated with -dox conditioned media with dox 696 supplementation. (i) Primary tumor cells were treated with +dox conditioned media and activation 697 of the NF- κ B pathway was assessed by Western blot analysis of total and phospho-p65. Results 698 show 2 biological replicates per time point.

699

700 Figure 2) Immune cell infiltration during tumor regression and residual disease. (a) H&E-701 stained section of a representative residual tumor from a previously tumor-bearing MTB/TAN 702 mouse. Insets show higher-magnification view of residual tumor cells (left) and staining for CK8 703 (right). (b-d) Representative images of a primary tumor (b), regressing tumor (5 days -dox) (c), 704 and residual tumor (d), stained with H&E, Masson's Trichome (MT), CD45, or F4/80. Primary 705 tumors show little collagen deposition and only modest leukocyte infiltration. Her2 706 downregulation leads to infiltration of CD45+ cells, predominantly F4/80+ macrophages. Residual 707 tumors have abundant collagen deposition and leukocyte infiltration.

708

Figure 2 – figure supplement 1) (a) CD3 staining of representative MTB;TAN primary, 5 days dox, and residual tumors. (b) Bright-field and fluorescent images of a representative GFP-labeled
orthotopic residual tumor in the context of a non-fluorescent mammary gland. (c) Quantification
of IHC and MT staining of primary, regressing, and residual tumors from the MTB;TAN model.
(d-f) F4/80 staining of representative orthotopic primary, 5 days -dox, and residual tumors showing
macrophage infiltration.

715

716 Figure 3) Differential cytokine expression in residual tumors. (a) Volcano plot showing 717 differential cytokine expression between primary and residual tumors. Antibody-based cytokine 718 arrays were used to measure cytokine expression in orthotopic primary tumors or microdissected 719 residual tumors. Cytokines that are upregulated (fold change >2, p-value <0.1) in dormant tumors 720 are in red, and downregulated cytokines (fold change <-2, p-value <0.1) are in blue. Significance 721 was determined using a two-tailed Student's t-test. (b) Quantification of CCL5, IL-13, IGFBP6, 722 VCAM-1, OPG, HGF, Resistin, and P-Selectin expression in primary tumors and residual tumors. 723 Values were derived from the cytokine arrays shown in (a). Significance was determined using a 724 two-tailed Student's t-test. (c) CCL5 expression in 18 matched pre- and post-treatment samples 725 from GSE10281. Red lines show tumors in which CCL5 expression increased following treatment 726 (>1.5-fold change), and blue lines show tumors with decreased CCL5 expression (<1.5-fold 727 change). (d) Average CCL5 expression in pre- and post-treatment samples from (e). Significance 728 was determined using a two-tailed paired Student's t-test.

729 Error bars denote mean \pm SEM.

730

731 Figure 3 -figure supplement 1) (a) Heatmap showing expression of selected cytokine and 732 chemokine genes from 18 matched human breast tumors prior to treatment, or in residual tumors 733 following neoadjuvant Letrozole treatment (GSE10281). Gene expression values were log2 734 transformed and median centered. (b-m) Average expression of CCL2, CXCL1, CXCL2, CXCL5, 735 SELE, HGF, IGFBP6, IL-13, TNFRSF11B, SELP, RETN, and VCAM-1 in 18 matched pre- and 736 post-treatment samples following neoadjuvant Letrozole treatment (GSE10281). Two-tailed 737 paired t-test was performed between pre- and post-treatment samples. (n) Average CCL5 738 expression in 25 matched pre- and post-treatment samples from human breast tumors treated with 739 neoadjuvant chemotherapy (GSE21974). Two-tailed paired t-test was performed between pre- and 740 post-treatment samples.

741

742 Figure 3 – source data) Cytokine array expression data analysis from arrays Q1 and Q4.

743

744 Figure 4) CCL5 expression promotes tumor recurrence following Her2 downregulation. (a) 745 CCL5 protein levels in orthotopic primary (n=4), residual (n=3), and recurrent (n=2) tumors as 746 determined by ELISA. (b) CCL5 protein levels in primary tumor cells engineered to express 747 CCL5. Results show the mean \pm SEM for two independent experiments. Significance was 748 determined using a two-tailed Student's t-test. (c) Recurrence-free survival for mice with control 749 tumors or tumors expressing CCL5. CCL5 expression significantly accelerated recurrence 750 (Hazards Ratio (HR) = 2.1, p = 0.02). Results are from a single experiment with 20 control tumors 751 and 21 CCL5 tumors. P-values and hazards ratios are indicated. Statistical significance was 752 determined by Mantel-Cox log rank test. (d) CCL5 expression as determined by ELISA in primary 753 tumor cells expressing a control sgRNA or a sgRNA targeting CCL5. Results show the mean \pm

SEM for a single representative experiment. (e) Recurrence-free survival of mice with control tumors or CCL5 knockout tumors. CCL5 knockout in tumor cells did not significantly delay tumor recurrence (HR =0.76, p = 0.46). Results are from a single experiment with 26 control tumors (sgControl) and 24 sgCCL5 tumors. Statistical significance was determined by Mantel-Cox log rank test.

759

760 Error bars denote mean \pm SEM.

761

762 Figure 5) CCL5 promotes macrophage infiltration in residual tumors. (a-d) Flow cytometry 763 of immune cells in primary (n=6), regressing (5 days -dox; n=3), residual (n=3), and recurrent 764 (n=3) tumors from autochthonous MTB;TAN mice. Immune cell populations analyzed include 765 CD11b+/F4/80+ macrophages (a), CD4+ T cells (b), CD8+ T cells (c), PDGFR α fibroblasts (d), 766 and tumor cells (e). Each immune cell population was divided into CCR5- or CCR5+ cells, and the median fluorescence intensity (MFI) of the CCR5+ population was calculated. (f) Flow 767 768 cytometry of CD45-/PDGFR α + fibroblasts in control residual tumors (n=4) or residual tumors 769 expressing CCL5 (n=4). (g) Flow cytometry of CD11b+/F4/80+ macrophages in control residual 770 tumors (n=4) or residual tumors expressing CCL5 (n=4).

771

First bars denote mean \pm SEM. Significance was determined using a two-tailed Student's t-test. * p < 0.05, ** p < 0.01, *** p < 0.001, **** p < 0.0001

774

Figure 5 - figure supplement 1) (a) qRT-PCR analysis of Erbb2 in primary, 5 days – dox,
residual, and recurrent tumors from the MTB;TAN model cohort used for flow cytometry analysis

of CCR5 expression. (b) qRT-PCR analysis of CCR5 on sorted tumor cells and macrophages from primary, 5 days -dox, residual, and recurrent tumors from the MTB;TAN model. (c) Flow plots of CD45-/PDGFR α + fibroblasts in control (n=4) and CCL5-expressing (n=4) residual tumors (d) Flow plots of CD11b+/F4/80+ macrophages in control (n=4) and CCL5-expressing (n=4) residual tumors.

782

Figure 5 – figure supplement 2) Histograms showing CCR5 staining in macrophages, PDGFR α fibroblasts, CD4+ T cells, CD8+ T cells, and tumor cells from primary tumors (n=6), regressing tumors (5 days -dox; n=3), residual tumors (n=3), and recurrent tumors (n=3).

786

787 Figure 6) Macrophages express collagen and collagen deposition factors. (a) RNA-seq 788 analysis of tumor associated macrophages from primary (n=3), regressing (5 days -dox; n=3), and 789 recurrent (n=3) tumors. The heatmap shows differentially expressed genes (p < 0.01, Student's t-790 test) between primary and recurrent TAMs. (b) Heatmap showing expression of specific collagen 791 genes from RNA-seq analysis in (a). (c) qRT-PCR analysis of COL5A1, ASPN, COL24A1, and 792 PCOLCE expression in the cohort in (a) along with sorted macrophages from residual tumors. ND 793 = not detected (d) qRT-PCR analysis of COL5A1, ASPN, COL24A1, and PCOLCE expression in 794 unsorted MTB;TAN primary (n=5) and recurrent (n=5) tumors. (e) Masson's trichrome staining 795 showing collagen deposition in primary (n=3), residual (n=3), and recurrent (n=3) tumors from the 796 MTB;TAN model. Collagen is stained in blue, and higher collagen staining is present in residual 797 and recurrent tumors. (f) Masson's trichrome staining in a subset of control and CCL5-expressing 798 orthotopic recurrent tumors. The entire cohort of tumors is shown in Figure 6 – figure supplement 799 1.

800

801 Error bars denote mean \pm SEM. Significance was determined using a two-tailed Student's t-test. * 802 p < 0.05, *** p < 0.001.

803

804 Figure 6 – figure supplement 1) (a) Average expression of ASPN, COL5A1, COL24A1, and

805 PCOLCE in 18 matched pre- and post-treatment samples from human breast tumors treated with

806 neoadjuvant Letrozole (GSE10281). Two-tailed paired t-test was performed between pre- and

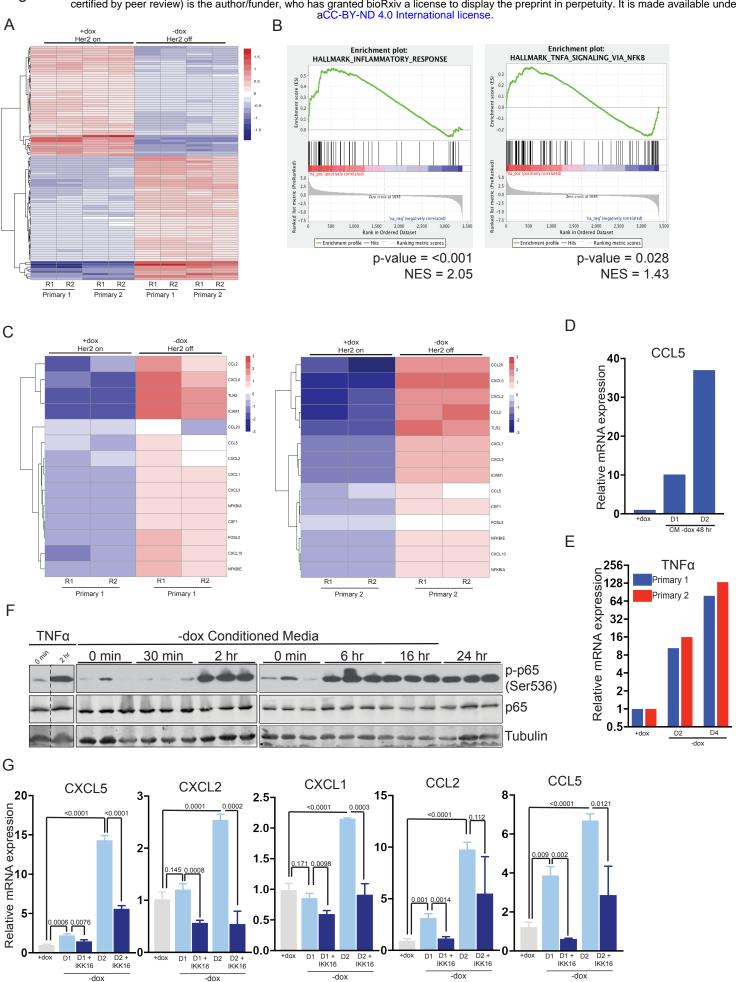
807 post-treatment samples. (b) Masson's trichrome staining showing collagen deposition in control

808 (n=4) and CCL5-expressing (n=4) recurrent tumors. (c) Quantification of (b).

809

- 810 Figure 6 source data 1) Differentially expressed genes from RNA-seq from primary and
- 811 recurrent tumor cell lines used to clear contaminates from TAM RNA-seq
- 812 Figure 6 source data 2) Candidate list of differnetially expressed genes between primary and
- 813 recurrent TAMs after filtering

814



bioRxiv preprint doi: https://doi.org/10.1101/584979; this version posted April 11, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license. Figure 1

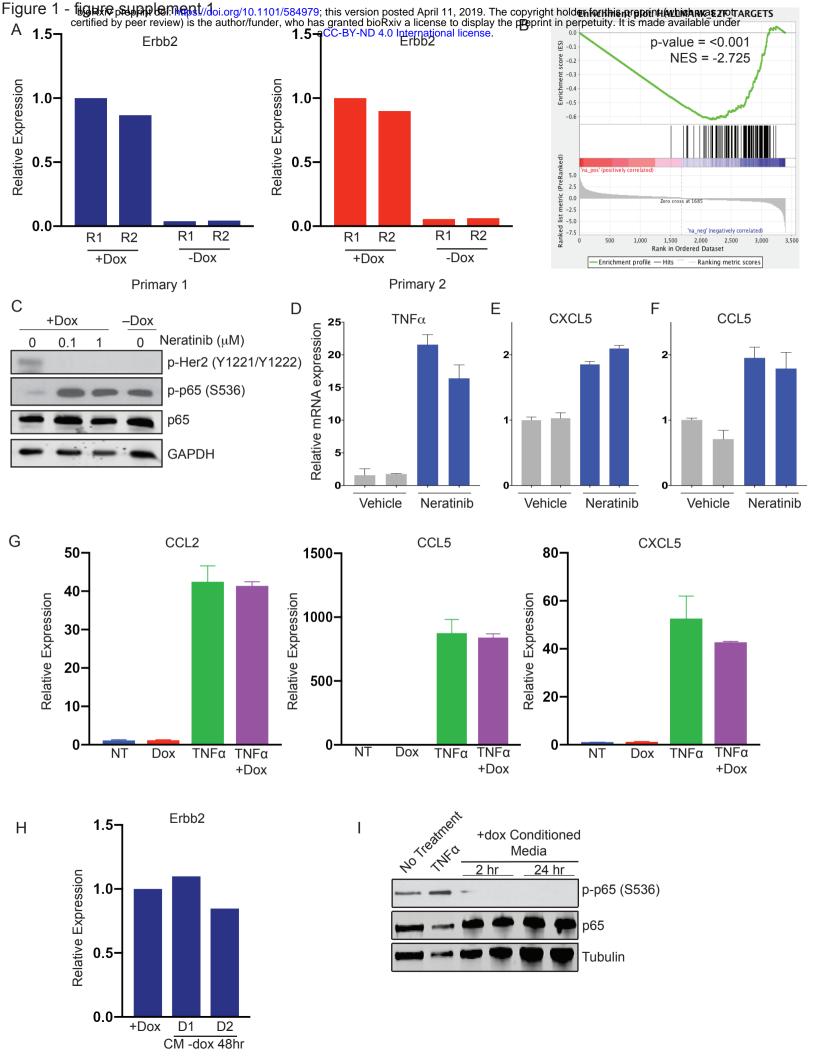
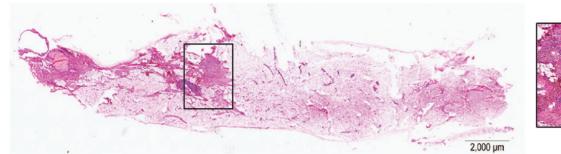


Figure 2 bioRxiv preprint doi: https://doi.org/10.1101/584979; this version posted April 11, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license. А

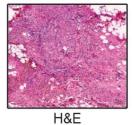


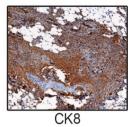
В

H&E

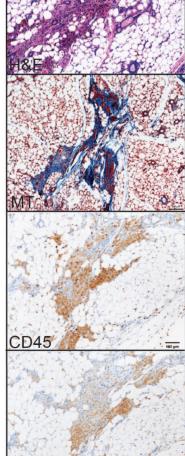
MT

F4/80

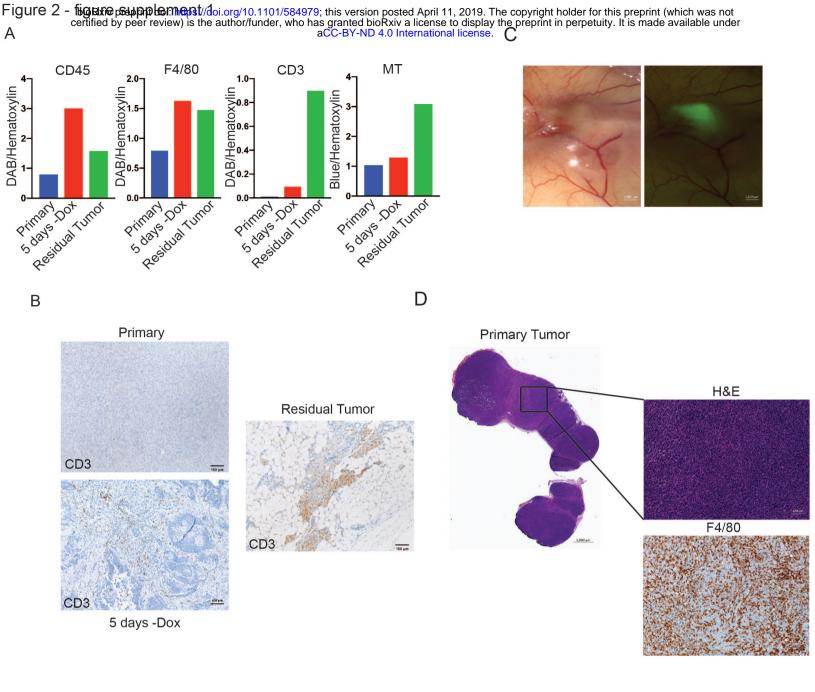


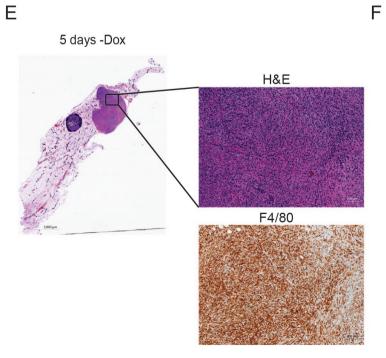


С D Primary Tumor 5 day -Dox **Residual Tumor CD45** CD45 **CD45**

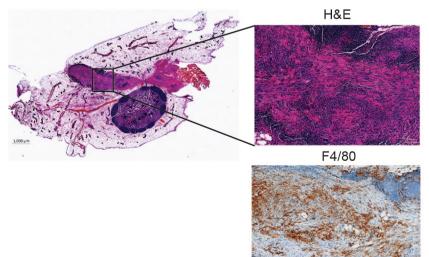


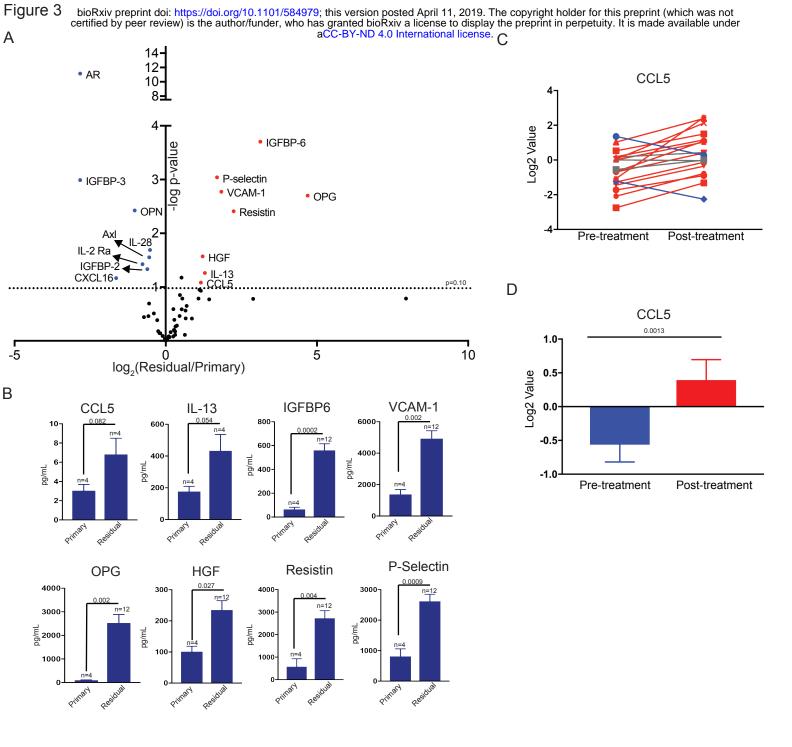
F4/80

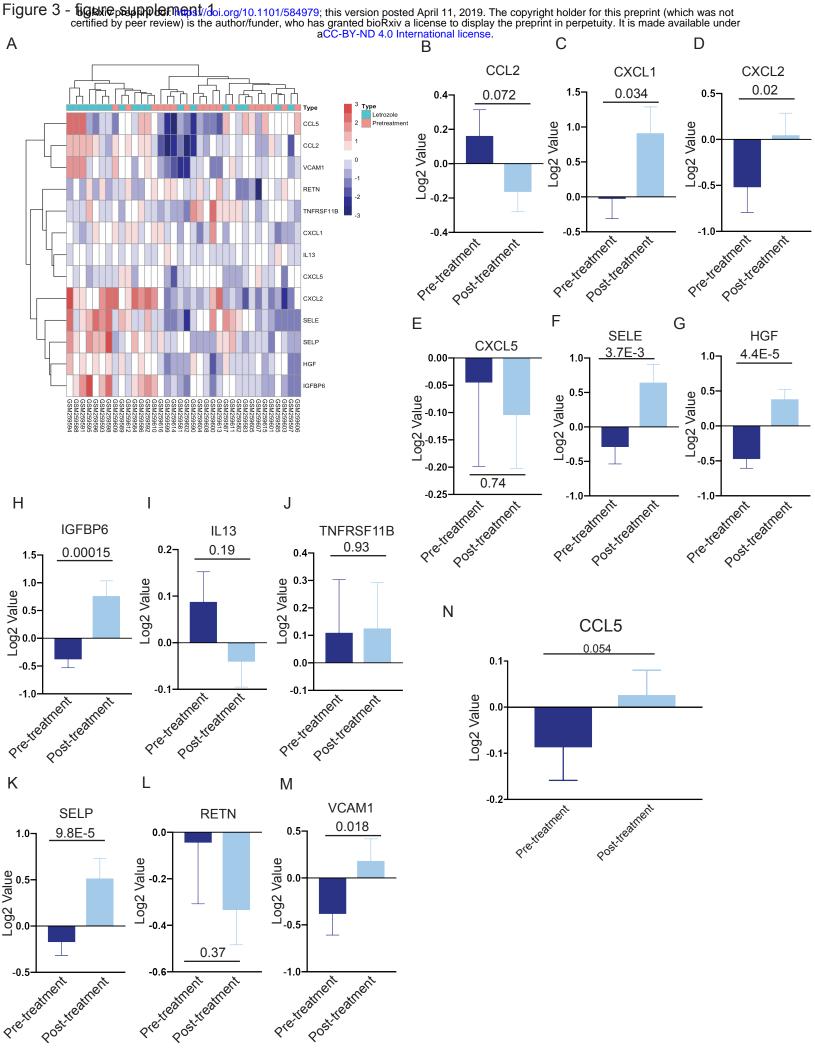


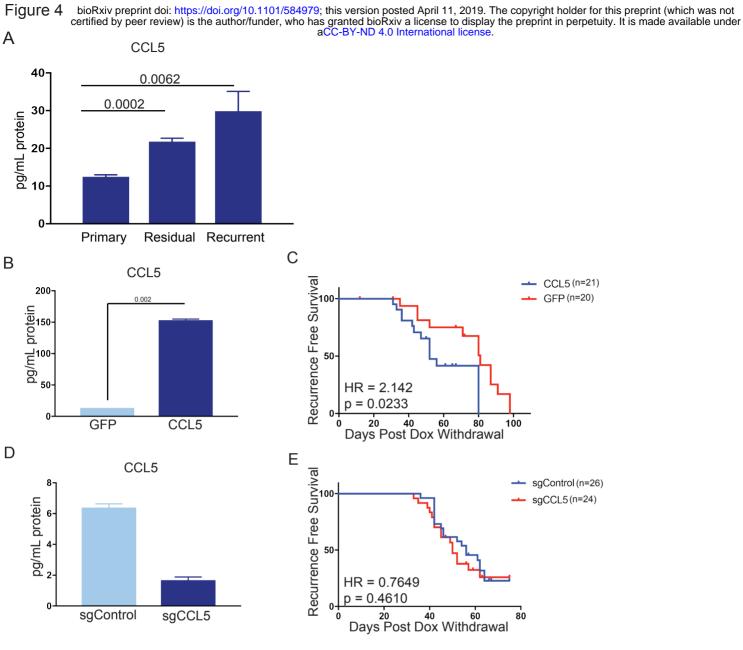


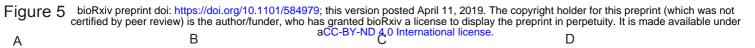
Residual Tumor

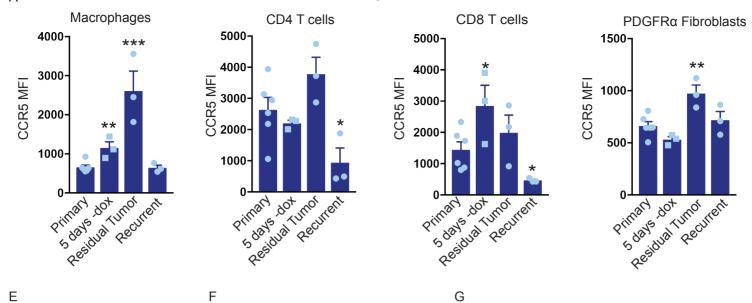


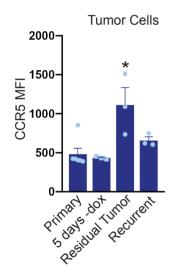


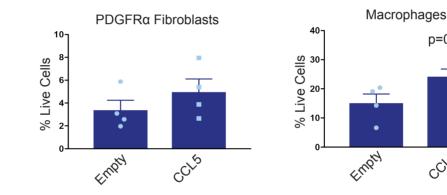








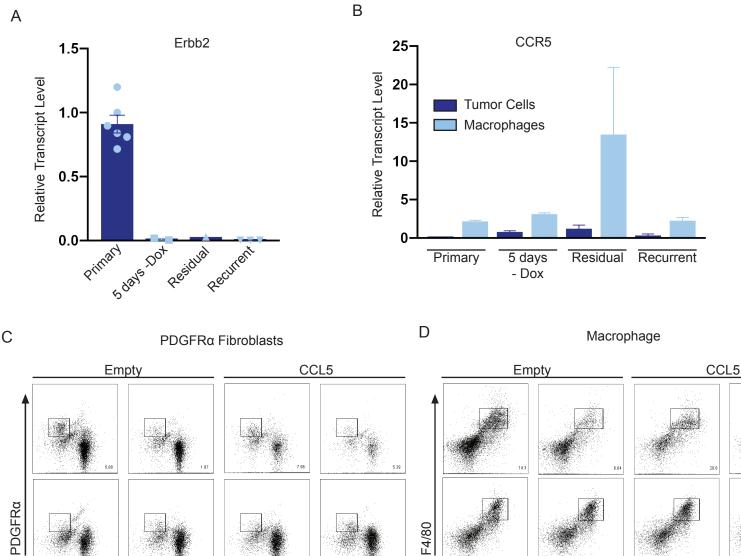




p=0.065

CCLS



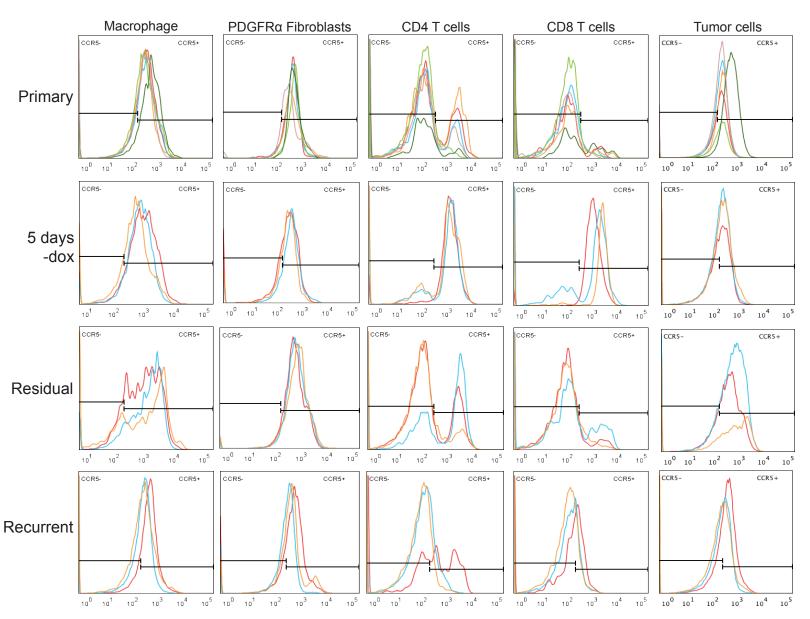


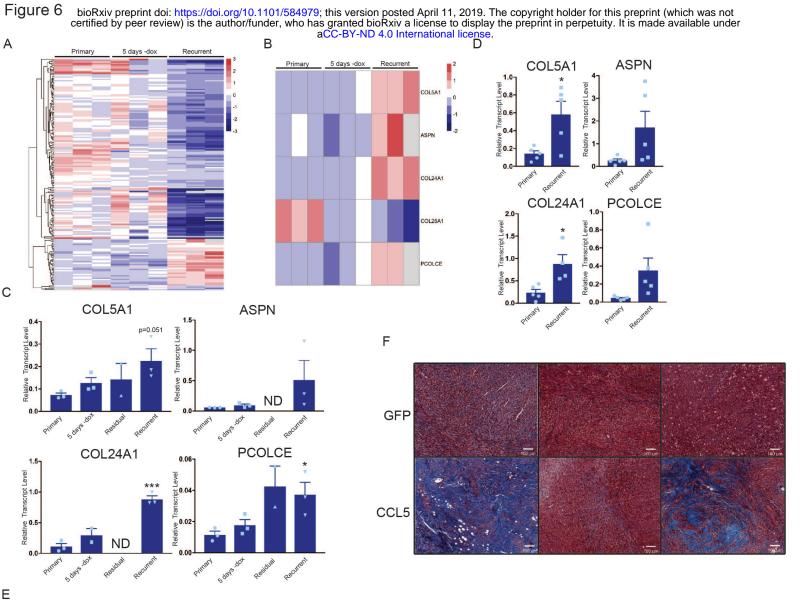
CD45

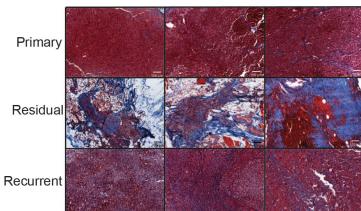
CD11b

23 1



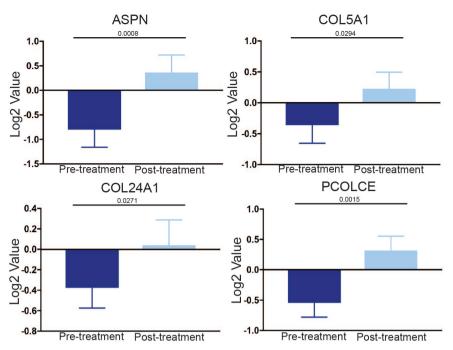






А

Figure 6 - digwi/creuipple mental.org/10.1101/584979; this version posted April 11, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license.



В

