

Climate services for arboviral diseases in the Caribbean

1 **Full title: Co-developing climate services for public health: stakeholder needs and**
2 **perceptions for the prevention and control of *Aedes*-transmitted diseases in the**
3 **Caribbean.**

4

5 **Short title: Climate services for arboviral diseases in the Caribbean**

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43 **Abstract**

44 **Background:** Small island developing states (SIDS) in the Caribbean region are
45 challenged with managing the health outcomes of a changing climate. Health and climate
46 sectors have partnered to co-develop climate services to improve the management of
47 these diseases, for example, through the development of climate-driven early warning
48 systems. The objective of this study was to identify health and climate stakeholder
49 perceptions and needs in the Caribbean, with respect to the development of climate
50 services for arboviruses (e.g. dengue, chikungunya, and Zika).

51 **Methods:** Stakeholders included public decision makers and practitioners from the
52 climate and health sectors at the regional (Caribbean) level and from the countries of
53 Dominica and Barbados. From April to June 2017, we conducted interviews (n=41),
54 surveys (n=32), and national workshops with stakeholders. Survey responses were
55 tabulated and audio recordings were transcribed and analyzed using qualitative coding to
56 identify responses by research topic, country/region, and sector.

57 **Results:** Health practitioners indicated that their jurisdiction is currently experiencing an
58 increased risk of diseases transmitted by *Ae. aegypti* due to climate variability, and most
59 anticipated that this risk will increase in the future. National health sectors reported
60 financial limitations and a lack of technical expertise in geographic information systems
61 (GIS), statistics, and modeling, which constrained their ability to implement climate
62 services for arboviruses. National climate sectors were constrained by a lack of
63 personnel. Stakeholders highlighted the need to strengthen partnerships with the private
64 sector, academia, and civil society. They identified a gap in local research on climate-
65 arbovirus linkages, which constrained the ability of the health sector to make informed

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66 decisions. Strategies to strengthen the climate-health partnership included a top-down
67 approach by engaging senior leadership, multi-lateral collaboration agreements, national
68 committees on climate and health, and shared spaces of dialogue. Mechanisms for
69 mainstreaming climate services for health operations to control arboviruses included
70 climate-health bulletins and an online GIS platform that would allow for regional data
71 sharing and the generation of spatiotemporal epidemic forecasts.

72 **Conclusions:** These findings support the creation of interdisciplinary and intersectoral
73 communities of practices and the co-design of climate services for the Caribbean public
74 health sector. By fostering the effective use of climate information within health policy,
75 research and practice, nations will have greater capacity to adapt to a changing climate.

76

77 **Keywords:** climate services, dengue fever, arbovirus, *Aedes aegypti*, public health,
78 Caribbean, small island developing states (SIDS), early warning system, co-development,
79 climate change, adaptation

80

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81 **Introduction**

82 Small island developing states (SIDS) in the Caribbean region are highly
83 susceptible to the health impacts of climate variability and long-term changes in climate
84 [1,2]. Impacts include increased risk of communicable diseases, such as mosquito-borne
85 arboviruses, and noncommunicable diseases, such as cardiovascular complications
86 associated with heat stress. SIDS face similar challenges—small populations who are
87 repeatedly exposure to extreme climate events (e.g., droughts and tropical storms),
88 limited global political power, reliance on imported goods, and difficulty preventing and
89 responding to disasters due to resource constraints [1,3,4]. Caribbean SIDS will likely
90 experience more extreme climate events in the future due to climate change, increasing
91 the social and economic burden of climate-sensitive health outcomes.

92 Dengue fever, chikungunya and Zika fever, arboviral diseases transmitted by the
93 *Aedes aegypti* mosquito, are among the top public health concerns in the Caribbean
94 region [5,6]. The Caribbean Public Health Agency (CARPHA) recently issued an
95 advisory for the possibility of a severe dengue epidemic in 2019 [7], given a rise in
96 dengue activity in Latin America, the increasing burden of arboviruses over the last
97 number of years, and the large gap in time since the last dengue epidemic in the
98 Caribbean region, which occurred in 2009. The Caribbean is the region of the Americas
99 with the highest incidence of dengue [8]. Vector control is the main public health
100 interventions to prevent and control disease outbreaks through insecticide application,
101 elimination of larval habitat sites, public education and community mobilization [9].
102 Despite these efforts, the annual number of dengue cases in the region increased from an
103 estimated 136,000 to 811,000 cases between 1990 and 2013, with case estimates adjusted

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104 to account for underreporting [8]. Novel tools and management strategies are urgently
105 needed to increase the capacity of the public health sector to prevent and respond to
106 arboviral disease outbreaks.

107 Changes in local climate can influence *Ae. aegypti* physiology and population
108 dynamics, thereby affecting disease transmission. Warmer ambient temperatures increase
109 the probability of arbovirus transmission by *Ae. aegypti*, with optimum transmission at
110 28.5°C; however, transmission is improbable in extreme heat (>34°C) [10]. Both excess
111 rainfall and drought conditions can potentially increase mosquito densities, depending on
112 the characteristics of the build environment and anthropogenic water storage [11,12]. In
113 the Caribbean region, studies have documented the effects of climate on dengue and *Ae.*
114 *aegypti* in Barbados [11,13–16], Cuba [17], Puerto Rico [18–20], Jamaica [16,21],
115 Trinidad and Tobago [13,16], and Guadeloupe [22].

116 Given the linkages between arboviruses, *Ae. aegypti*, and climate, the World
117 Health Organization and experts in the Caribbean have recommended developing
118 climate-driven early warning systems (EWS) and models to forecast arbovirus outbreaks
119 [23,24]. These tools are known as climate services -- tailored products for a specific
120 sector that allow decision makers and practitioners to plan interventions. For example, an
121 EWS for arboviruses could inform decisions about when and where to deploy public
122 health interventions to prevent an epidemic in the context of an impending climate event
123 [25]. A recent study by Lowe et al. [11] found that dengue transmission in Barbados
124 increased one month after a particularly wet month and five months after a drought event
125 was observed, and the model was able to accurately predict outbreak versus non-outbreak

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126 months. This study demonstrated the potential to develop an operational climate-driven
127 forecast model to predict arbovirus outbreaks in the eastern Caribbean.

128 The perceptions, needs, and interests of stakeholders from the health and climate
129 sectors should ideally drive the development and implementation of a forecast model
130 through an iterative engagement process with modelers and other scientists [26,27]. The
131 end-users of climate information are a diverse group of actors with distinct needs and
132 interests[28,29]. Morss et al. [28] report insightful lessons learned as scientists who
133 attempt to communicate flood risk to the public sector. They state, “Decision makers are
134 not a coherent entity, but a collection of individuals, each of whom uses different
135 information to address different goals in a unique context.” An arbovirus forecast should
136 be developed with a realistic understanding of the present-day public health response
137 capacity, which may be constrained by resources, information, prior experiences, and
138 other actors or institutions [30]. To guide this process, the Global Framework for Climate
139 Services (GFCS) was developed as the policy mechanism to support the development of
140 climate services for the health sector and other key sectors [31]. The GFCS aims for
141 stakeholder engagement between health and climate actors at all levels to promote the
142 effective use of climate information within health research, policy and practice [31].

143 Prior studies of health sector perceptions of climate have focused on the
144 perceptions of the health sector with respect to the impacts of long-term climate change
145 and climate variability. However, few studies (primarily for malaria early warning
146 systems, MEWS, e.g. [32–34]) address health sector needs and interests with respect to
147 climate-driven epidemic forecasts. Studies from the United States and Canada have
148 analyzed the perceptions and engagement of public health practitioners in the context of

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149 long-term climate change and impacts on overall health [35–39]. One study from China
150 assessed health sector perceptions of dengue and climate change [40]. In the Caribbean, a
151 study found that health providers perceived mosquito-borne disease as increasing due to
152 changing seasonal patterns [41], whereas another study found that health practitioners
153 had limited understanding of the effects of climate variability on health [42]. Climate
154 practitioners in Jamaica were generally aware of the health implications of climate
155 change for heat stress, respiratory diseases, and vector borne diseases [43].

156 The objective of this study was to identify health and climate stakeholder
157 perceptions and needs in the Caribbean, with respect to the development of climate
158 services for arboviruses. We addressed four key areas, based on the GFCS health
159 exemplar goals [31]:

- 160 (1) What are the perceptions of climate-health or climate-arbovirus linkages?
- 161 (2) Who are the key actors engaged in climate-arbovirus surveillance and control, and
162 how can communication and partnerships amongst these actors be strengthened?
- 163 (3) What are the current capabilities of the health and climate sectors to implement a
164 climate-driven arbovirus EWS, and what capacities need to be strengthened so that the
165 health sector can effectively access, understand and use climate/weather information for
166 decision-making?
- 167 (4) What climate/weather data are currently used by the health sector for arbovirus
168 control, what added value does it provide, and how can climate/weather data be
169 effectively tailored for arbovirus control operations?

170

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172 **Methods**

173 **Ethical statement**

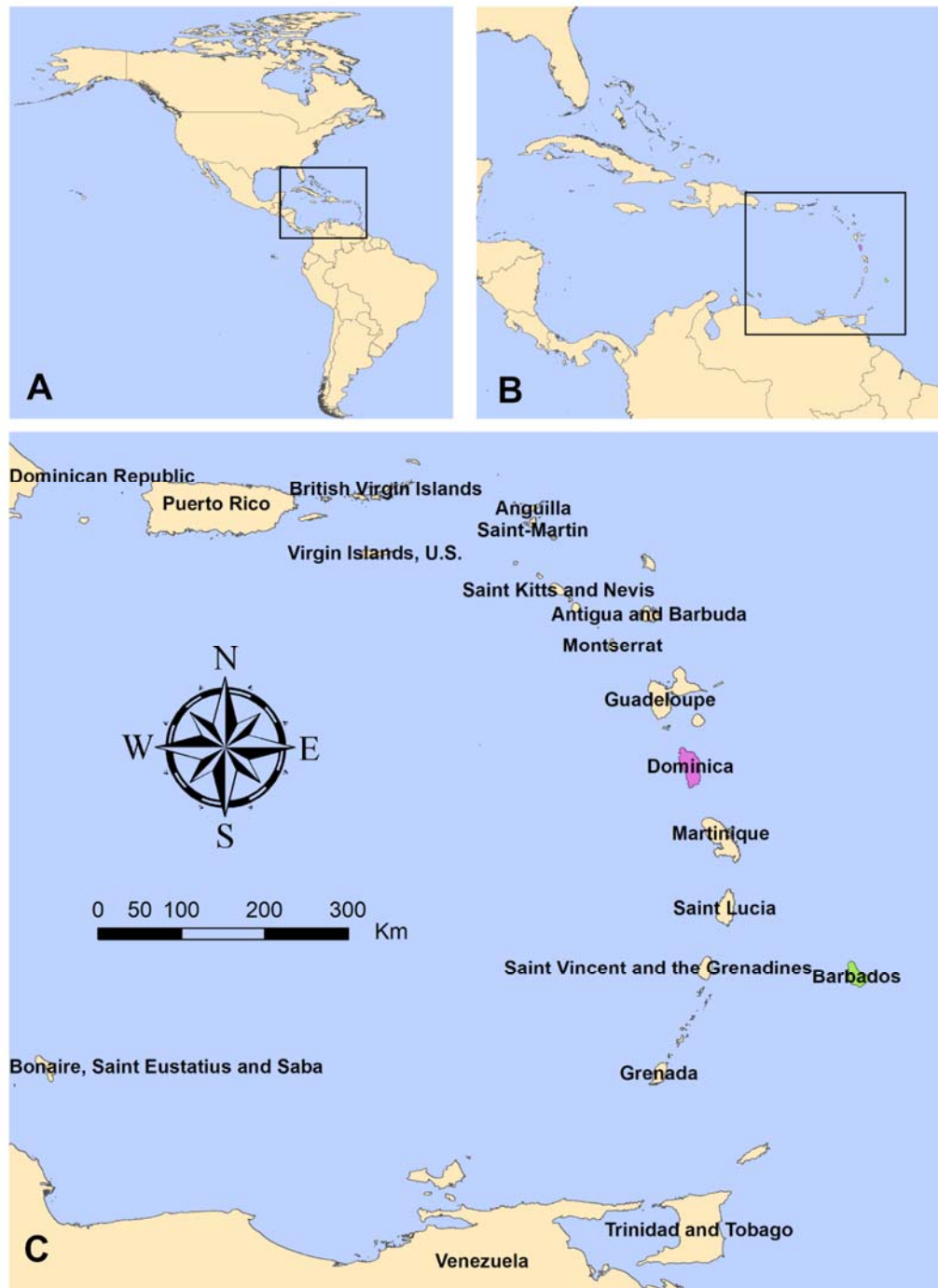
174 The study protocols were reviewed and approved (or deemed exempt) by the
175 Institutional Review Board (IRB) of the State University of New York Upstate Medical
176 University, the IRB of the University of the West Indies, Cave Hill Campus on behalf of
177 the Ministry of Health of Barbados, and the Ministry of Health and Environment of
178 Dominica. No informed consent was required, as all participants were adults (>18 years
179 of age), were public sector employees, and no identifying information was gathered.

180

181 **Study sites**

182 This study focused on the perspectives of health and climate stakeholders from
183 the countries of Barbados and Dominica (Figure 1), SIDS in the eastern Caribbean, as
184 well as regional Caribbean stakeholders. There is a high burden of arboviral diseases in
185 both countries [44–48] (Table 1). These countries were selected because of the regional
186 and national interest in building on previous projects, wherein the health sector identified
187 climate services as a top priority for the management of arboviruses.

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188

189 **Figure 1: Map of the study region**, showing A. The location of the Caribbean region
190 within the Americas, with inset B. showing the archipelago of islands making up the
191 Caribbean, and their location within Meso-America, with inset C. the location of
192 Dominica (purple) and Barbados (green) within the islands in the region. This map was
193 created using freely available country boundary data from GADM.org, rendered in
194 ArcGIS, and image files created using GIMP freeware.

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Table 1. Arboviral disease cases in Barbados and Dominica

	Barbados	Dominica
Mean annual dengue cases (2012-2016) [82]*	2,274	169
Mean annual dengue incidence (2012-2016) per 10,000 people [82]*	80.0	23.5
Total chikungunya cases since 2013 [83]	1,833 suspected 114 confirmed	3,590 suspected 173 confirmed
Total Zika cases since 2016 [46,47]	705 suspected 150 confirmed	1,263 suspected 79 confirmed

195 *Includes suspected and confirmed cases

196 Barbados (pop. 284,996; land area: 439 km²) has a service-based economy, with
 197 tourism accounting for 12% of the gross domestic produce (GDP). However, tourism is a
 198 water-intensive sector, and droughts threaten to reduce the already limited freshwater
 199 resources [49]. From 2011-2015, Barbados was selected as the country in the Western
 200 hemisphere for the WHO project on climate change adaptations strategies for human
 201 health, funded by the Global Environment Facility (GEF) Special Climate Change Fund
 202 (SCCF) [50]. The Ministry of Health is responsible for arbovirus and vector surveillance
 203 and control.

204 Dominica (pop. 73,543; land area: 750 km²) is characterized by abundant
 205 freshwater resources, forest and rugged terrain. Eco-tourism is becoming increasingly
 206 significant to its economy. Dominica was selected as the health exemplar for the GFCS,
 207 resulting in a national consultation on climate and health vulnerability in 2015-2016 that
 208 included vector borne diseases (VBDs), food safety, and water borne diseases [51]. The

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209 country was devastated by Hurricane Maria in September 2017, a category 5 hurricane
210 that damaged 90% of buildings, resulting in USD 1.3 billion in damages, the equivalent
211 of 224% of Dominica's GDP in 2016 [52]. This study was conducted in the months prior
212 to Hurricane Maria. The Ministry of Health and Environment is responsible for arbovirus
213 and vector surveillance and control.

214 The national health agencies of both countries are supported by the Caribbean
215 Public Health Agency (CARPHA) and the Pan American Health Organization (PAHO),
216 the regional arm of the WHO. Each country has its own national meteorological and
217 hydrological service (NMHS) supported by the Caribbean Institute for Meteorology and
218 Hydrology, the technical arm of the Caribbean Meteorological Organization (CMO).
219 Details regarding the mandates and capabilities of the public health and climate national
220 and regional organizations, with respect to arbovirus and vector surveillance and control,
221 and climate monitoring and forecasting, are provided in S1 Text.

222

223 **Surveys and interviews**

224 We collected data from key stakeholders from the climate and health sectors
225 spanning senior leadership, managers, and expert practitioners. Stakeholders from the
226 health sector were engaged in arbovirus epidemiology and vector control, or
227 environmental health, at national and regional (Caribbean) agencies. Stakeholders from
228 the climate sector were individuals involved in the development of climate services for
229 the Caribbean region and managers/practitioners from NMHSs. Interviewees were
230 identified by local collaborators and through snowball methodology, whereby
231 interviewees were asked to identify 2-3 additional stakeholders. We determined that we

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232 had effectively sampled all key stakeholders when no new names were identified; this
233 was feasible given the relatively small size of the climate and health sectors in the study
234 area.

235 A survey instrument was developed for health sector stakeholders. We asked
236 questions regarding basic demographic information, their perceptions of climate
237 variability and arbovirus risk factors, perceptions of the public health sector response to
238 climate variability, current use of climate information, how they prefer to interact with
239 and receive EWS information, the current strengths and weaknesses of their department
240 with respect to the implementation of a arbovirus EWS, and their training needs. In the
241 survey, we defined climate variability as, “short-term changes in climate that occurs over
242 months, to seasons, to years. This variability is the result of natural, large-scale features
243 of the climate, often related to El Niño or La Niña events. Examples include floods,
244 multi-year or seasonal droughts, heat waves, hurricanes or tropical storms.” Questions
245 were informed by a prior large-scale survey of health practitioner perceptions of climate
246 change impacts on health conducted in the United States, called “Are We Ready?” [36–
247 38], as well as studies by Paterson et al. [39] and Gould and Rudolf [35].

248 Printed surveys were distributed to health sector stakeholders at national vector
249 control, environmental health, and epidemiology offices, as well as those who
250 participated in national workshops on the development of climate services for arboviruses
251 in Barbados and Dominica in April 2017. The workshop in Dominica was organized by
252 the CIMH and the Ministry of Health and Environment (6 health sector participants). The
253 workshop in Barbados was organized by the PAHO and the CIMH (~21 health sector

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254 participants). Survey responses were entered into an online digital database using
255 Qualtrics and responses were tabulated.

256 An interview instrument was developed for stakeholders from the climate and
257 health sectors. Questions in the interview and survey were similar so that we could
258 triangulate and validate the responses. We also asked which organizations they had
259 partnered with to manage vector borne diseases, which organizations they would like to
260 partner with, how climate and health fit within their current institutional
261 priorities/mandates/competencies, and what strategies would stimulate collaboration
262 between the climate and health sectors. In interviews with program directors, we asked
263 additional questions about available climate and arbovirus/vector data, as well as
264 arbovirus and vector surveillance and control strategies, and climate monitoring and
265 forecasting (see institutional competencies in S1 Text).

266 Project investigators interviewed stakeholders from the climate and health sectors
267 through in-person meetings or via Skype in April and May 2017. Interviews were audio
268 recorded following permission from interviewees. Recordings were transcribed and
269 coded by project investigators to identify responses by research topic, country/region and
270 sector [53,54].

271 During the Barbados national workshop, we conducted an exercise where health
272 (n=~21) and climate sector (n=6) participants were divided into small groups that
273 included representatives from both sectors. Groups were asked to respond to different
274 forecast scenarios (2 week, 3 month, and 1 year forecasts of *Aedes aegypti* larval indices
275 and dengue incidence). They were asked to identify the actions that they would take in
276 response to alerts at each time scale, and they discussed the utility of a vector versus

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277 disease forecast. As with interviews, responses were audio recorded, transcribed, and
278 coded.

279 The survey, interview, and workshop instruments were reviewed and tested by
280 local collaborators, as well as the research team, prior to implementation. Instruments are
281 available in S2 Text and S3 Text.

282

283 **Results**

284 We surveyed 32 individuals from the health sector and interviewed 41 individuals
285 from the climate (n=10) and health (n=31) sectors. Respondent demographics are shown
286 in Table 2. Several individuals participated in both interviews and surveys; however, the
287 exact number is unknown since identifiable information was not collected from surveys.

Table 2. Demographics of survey and interview participants

	Survey	Interview
Responses	% (n)	% (n)
Total respondents	32	41
Female	72% (23)	56% (23)
Male	28% (9)	44% (18)
<i>Jurisdiction</i>		
Barbados	63% (20)	37% (15)
Dominica	31% (10)	41% (17)
Regional	6% (2)	15% (6)
<i>Sector</i>		
Health sector	100% (32)	76% (31)
Climate sector	**	24% (10)

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<i>Range Age</i>		
18 - 30	9% (3)	*
31 - 40	25% (8)	*
41 -50	31% (10)	*
51-65	25% (8)	*
> 65	3% (1)	*
No response	6% (2)	*
<i>Level of Education</i>		
Associate's degree	16% (5)	0% (0)
Bachelor's degree	22% (7)	2% (1)
Master's degree	59% (19)	20% (8)
MD or PhD degree	0% (0)	15% (6)
No response	3% (1)	61%(25)
<i>Time working in sector</i>		
1- 5 years	3% (1)	0% (0)
6 -11 years	13.5% (4)	2% (1)
12- 15 years	16% (5)	5% (2)
> 15 years	62.5% (20)	20% (8)
No response	6% (2)	76% (31)

288 *Data not gathered

289 **Only individuals from the health sector were surveyed

290

291 **(1) What are the perceptions of climate-health or climate-arbovirus linkages?**

292 *Perceptions of climate variability and health impacts.* In surveys, health
 293 practitioners were asked to respond to a series of statements about the effects of climate
 294 variability on health in their jurisdiction and their ability to respond to these effects
 295 (Likert scale, from strongly disagree to strongly agree, Table 3). Most agreed that their

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296 jurisdiction is experiencing an increased risk of diseases transmitted by *Ae. aegypti* due to
 297 climate variability and that the risk will increase in the future. Survey respondents were
 298 worried about the effects of climate variability on health, and they agreed that this is an
 299 urgent problem in their jurisdiction. Although two thirds agreed that there are options or
 300 solutions to reduce the effects of climate variability on health, they disagreed that they
 301 had sufficient resources and expertise assess the impacts of climate variability on health
 302 and to protect residents in their jurisdiction.

303

304 **Table 3. Perceptions of climate variability impacts on health reported by survey**

305 **respondents.** Results shown as % (n). This most common response per question is

306 highlighted in bold. Adapted from [36–38].

Questions	No response	Don't know	Disagree*	Neither agree nor disagree	Agree*
My jurisdiction is currently experiencing one or more serious public health problems as a result of climate variability.	6% (2)	3% (1)	13% (4)	9% (3)	69% (22)
My jurisdiction is currently experiencing an increased risk of diseases transmitted by <i>Aedes aegypti</i> due to climate variability.	3% (1)	6% (2)	3% (1)	9% (3)	78% (25)
In the next 20 years, my jurisdiction will experience increasing risk of diseases transmitted by <i>Aedes aegypti</i> due to climate variability.	3% (1)	13% (4)	3% (1)	0 (0)	81% (26)

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I am worried about the impact of					
climate variability on the health and well-being of people in my jurisdiction	3% (1)	0% (0)	0% (0)	3% (1)	94% (30)
<hr/>					
The effects of climate variability on the health of people in my jurisdiction is an urgent problem	3% (1)	3% (1)	0% (0)	13% (4)	81% (26)
<hr/>					
There are options/solutions to reduce the effects of climate variability and to improve the health of people in my jurisdiction	3% (1)	3% (1)	16% (5)	13% (4)	66% (21)
<hr/>					
The people in my jurisdiction are worried about the effects of climate variability on their health and wellbeing.	6% (2)	3% (1)	22% (7)	13% (4)	56% (18)
<hr/>					
My health department currently has ample expertise to assess the potential public health impacts associated with climate variability that could occur in my jurisdiction	3% (1)	0% (0)	41% (13)	19% (6)	38% (12)
<hr/>					
Dealing with the public health effects of climate variability is an important priority for my health department	6% (2)	0% (0)	13% (4)	22% (7)	59% (19)
<hr/>					
I am knowledgeable about the potential public health impacts of climate variability.	3% (1)	0% (0)	16% (5)	3% (1)	78% (25)
<hr/>					
The other relevant senior managers in my health department are	13% (4)	3% (1)	19% (6)	13% (4)	53% (17)
<hr/>					

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knowledgeable about the potential

public health impacts of climate

variability.

My health department currently has

ample expertise to create an effective
plan to protect local residents from the
health impacts of climate variability

6% (2) 6% (2) **34% (11)** 22% (7) 31% (10)

My health department currently has

sufficient resources to effectively
protect local residents from the health
impacts of climate variability

9% (3) 6% (2) **57% (18)** 19% (6) 9% (3)

My health department is able to

effectively communicate the health
impacts of climate variability to local
communities

9% (3) 0% (0) 31% (10) 19% (6) **41% (13)**

307 *Agree and strongly agree were combined into one category, as were disagree and strongly disagree

308

309 *Climate and non-climate risk factors for Aedes-transmitted diseases.* Survey

310 respondents were asked to rank climate and non-climate risk factors for epidemics of

311 diseases transmitted by *Ae. aegypti* (Table 4). Non-climate risk factors were identified as

312 more important overall than climate risk factors. The most important non-climate risk

313 factors, in order of importance, were the introduction of new viruses to susceptible

314 populations, water storage behavior, and insecticide resistance in mosquitoes. The most

315 important climate risk factors, in order of importance, were heavy rainfall and drought

316 conditions. The least important risk factors were warm air temperatures, El Niño or La

317 Niña events, and economic barriers to mosquito control by households.

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318 **Table 4. Factors that trigger epidemics of diseases transmitted by *Aedes aegypti***
 319 **reported by survey respondents.** Results shown as % (n), listed in order of most to least
 320 important.

Categories	No response	Slightly important	Moderately important	Important	Very Important
Introduction of a new virus to a susceptible population	0 (0)	0 (0)	0 (0)	9.4 (3)	90.6 (29)
Water storage behavior	3.1 (1)	0 (0)	0 (0)	15.6 (5)	81.3 (26)
Insecticide resistant mosquitoes	0 (0)	6.3 (2)	6.3 (2)	18.8 (6)	68.8 (22)
Heavy rainfall	0 (0)	3.1 (1)	6.3 (2)	46.9 (15)	43.8 (14)
Human movement	0 (0)	3.1 (1)	6.3 (2)	46.9 (15)	43.8 (14)
Insufficient staff/resources for vector control	0 (0)	0 (0)	12.5 (4)	43.8 (14)	43.8 (14)
Lack of community knowledge and awareness	0 (0)	3.1 (1)	15.6 (5)	37.5 (12)	43.8 (14)
Limited community engagement/mobilization	0 (0)	3.1 (1)	6.3 (2)	56.3 (18)	34.4 (11)
Drought conditions	3.1 (1)	31.3 (10)	9.4 (3)	25 (8)	31.3 (10)
High-risk housing conditions	9.4 (3)	12.5 (4)	21.9 (7)	25 (8)	31.3 (10)
Low risk perception by communities	3.1 (1)	3.1 (1)	12.5 (4)	50 (16)	31.3 (10)
Economic barriers to mosquito control by households (e.g., cost of screens or insecticide)	0 (0)	9.4 (3)	31.3 (10)	34.4 (11)	25 (8)
El Niño or La Niña events	3.1 (1)	6.3 (2)	18.8 (6)	50 (16)	21.9 (7)
Warmer air temperatures	6.3 (2)	25 (8)	18.8 (6)	31.3 (10)	18.8 (6)

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322 Interviewees were also asked to discuss climate and non-climate risk factors for
323 arbovirus epidemics. They indicated that frequent (re)-introduction of viruses and vectors
324 was associated with human movement between the islands due to trade and tourism. In
325 Dominica, interviewees also identified human movement between rural and urban areas
326 as a risk factor.

327 Interviewees identified the onset of the hot, rainy/wet season as a risk factor for
328 arbovirus transmission, although they indicated that the linkages between rainfall and
329 dengue fever have become less apparent due to water storage practices. Two interviewees
330 highlighted this contradiction,

331 "If the rain falls very heavily, within two weeks expect to have an increase in
332 number of cases. It's always associated with rainfall" (Health Sector, Barbados).

333 "With these droughts, there doesn't seem to be, in the last few years, a real
334 dengue season" (Health Stakeholder, Barbados).

335 In Barbados, interviewees indicated that household water storage was associated
336 with drought conditions and the resulting water scarcity. Another risk factor was the
337 national legislation requiring that all new buildings greater than 1500 square feet have
338 rainwater storage receptacles as a drought adaptation strategy; however, the receptacles
339 have become mosquito larval habitat. Interviewees indicated that the improper
340 management of public utilities and infrastructure (e.g., telephone junction boxes, manhole
341 covers, public wells, drains) had resulted in cryptic mosquito larval habitats that were
342 difficult to find and treat during the wet season.

343 In Dominica, interviewees commented that water storage had increased following
344 Tropical Storm Erika (2015). The storm damaged the piped water systems and people

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345 began storing freshwater in 55-gallon drums around the home. This behavior continued
346 despite repairs to water systems. One interviewee described the effects of Erika,
347 "After the Tropical Storm Erika, everything just got a little more vulnerable than
348 it used to be... it was just one downpour of rain that caused all of the destruction"
349 (Health Stakeholder, Dominica)

350 Interviewees from Dominica noted that *Ae. aegypti* had expanded its range into higher
351 elevation areas, where the mosquito had not been present historically.

352 *Other effects of climate on health.* Interviewees were asked to identify other ways
353 that climate affected health in their jurisdiction. They identified a wide range of
354 interrelated health effects associated with climate, including increased risk of morbidity
355 due to the interaction of heat stress and diabetes associated with hotter days and nights,
356 leptospirosis (*Leptospira* sp.) associated with flooding, and communicable diseases
357 associated with relocation and crowding of people in shelters following tropical storms.
358 They indicated that malnutrition was associated with droughts that reduced crop yields
359 and warming ocean temperatures that caused fish kills. Respiratory problems (e.g.,
360 asthma) were associated with dry weather, dust and air pollution. Factors unique to
361 Barbados included hypertension due to sea level rise and salt-water intrusion in the
362 groundwater supply, reduced hygiene and *Pseudomonas* infections due to water scarcity
363 and storage, skin cancer due to UV exposure, and water-borne diseases (e.g.,
364 gastroenteritis, *Salmonella*) associated with flooding. Factors unique to Dominica
365 included loss of lives due to landslides associated with tropical storms, gastroenteritis
366 associated with dry weather, and mental health morbidity in the elderly and other

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367 vulnerable populations who are relocated after tropical storms. One informant described
368 the complex web of causality associated with the effects of climate on health,

369 "[During droughts] people are not able to go to their farms, they don't have food
370 and their nutrition suffers. They don't have income... they cannot get their
371 medications... So its just the rippling effect" (Health Stakeholder, Dominica).

372 Interviewees stressed the need to strengthen the evidence base linking climate and
373 health in their jurisdictions. They recognized that most of these linkages were anecdotal
374 or hypothetical, since there have been few local studies on climate and health, as
375 summarized by one interviewee,

376 "So we have *not been able* to make a direct link between those diseases and
377 climate variability and change; however, we know that there has been an increase
378 as a result of climate variability... The data to make that linkage... is not really
379 always available" (Health Stakeholder, Dominica).

380 Interviewees from the Barbados NMHS indicated that they had limited experience with
381 climate research, and that this was an area that they were interested in expanding.

382 National-level health sector interviewees displayed a high level of field experience and
383 local knowledge, but they indicated that they had little knowledge of empirical studies
384 that could inform their decision-making and planning processes. As stated by one
385 interviewee,

386 "We want more evidence-based decision-making. We want data... That's priority
387 #1... to get the evidence." (Health Stakeholder, Regional)

388 Interviewees recommended conducting case studies, or demonstration projects, in the
389 region to generate local evidence on climate-health linkages. They suggested focusing

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390 these investigations and interventions at the medium-term climate variability timescale
391 (e.g., seasonal variation, year-to-year variation in extreme climate events), rather than the
392 long-term climate change time scale.

393

394 **(2) Who are the key actors engaged in climate-arbovirus surveillance and control,**
395 **and how to strengthen communication and partnerships amongst these actors?**

396 *Partnerships.* Interviewees identified diverse national and international agencies
397 and funders engaged in climate-arbovirus surveillance and control (Figure 2). The key
398 regional institutions were the PAHO, the CARPHA, and the CIMH. The Red Cross was
399 the most frequently mentioned non-governmental organization (NGO). The health sectors
400 engage periodically with their respective NMHS on specific projects; however, there are
401 no formal collaborations. Understanding and mitigating the effects of climate on health
402 are relatively high priorities in the health sector, but climate and health is not yet a
403 mandate (S1 Text). Similarly, the NMHS do not have a mandate to work on climate and
404 health. As a result, it has been difficult to allocate resources (e.g., personnel, funding) to
405 this area. Interviewees indicated that the key partnerships to be strengthened were the
406 private sector (tourism, vector control companies, media), academic institutions, and civil
407 society organizations.

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408

409 **Figure 2. Stakeholder analysis.** Organizations (in black) that work with the health sector

410 in Barbados and Dominica on issues related to vector control and climate services for

411 health. Organizations in red were identified as needing a stronger relationship with the

412 health sector.

413

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414 *Collaboration strategies.* Interviewees identified six strategies to strengthen the
415 communication and partnerships amongst these actors. First, they highlighted the
416 importance of an integrated approach to the development of climate services for health
417 spanning research, operations, a platform for data and knowledge sharing, outreach,
418 awareness raising, education, an in-country response, and mitigation plans and policies.
419 Second, interviewees emphasized the importance of engaging senior leaders from the
420 health sector to raise the profile of climate and health on the health agenda, and to ensure
421 that actions are driven from the top-down. Third, they highlighted the importance of
422 formal collaboration agreements amongst climate, health, and other sectors, similar to the
423 multi-lateral agreements recently signed amongst the CIMH, the CARPHA and other
424 regional Caribbean agencies. Interviewees indicated that collaboration agreements would
425 allow them to co-develop and co-deliver climate services for the health sector.
426 Interviewees perceived that collaboration agreements signaled a strong commitment from
427 institution directors and an understanding of mutual benefit. Fourth, they suggested that
428 national committees on climate and health be established to specify the work that would
429 be done jointly, the roles of each partner, a timeline for an operational plan, and standard
430 operating procedures (SOPs) with a framework for communication, data sharing, and
431 reporting guidelines. Fifth, interviewees indicated the importance of creating shared
432 spaces for dialogue between the climate and health sectors, such as regional and national
433 climate and health forums. An interviewee from the climate sector stated,
434 “Just sitting with people in the sectors makes such a big difference... Understand
435 them, what drives them, what are their needs? Because we might think they need
436 something they don’t... Sometimes it’s about forgetting yourself and putting

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437 yourself in the other person’s shoes to really figure out what the need is about.

438 That’s true engagement” (Climate Stakeholder, Regional).

439 This engagement would help to build functional working relationships and increase the
440 trust among people in both sectors, allowing sectoral stakeholders to learn about the
441 needs and perspectives of the other, what information can be shared, and the resources
442 available to help each other. One interviewee stated,

443 “Once we build the trust, then we build the network, then we can see what the
444 willingness to collect, to centralize, to digitize, and to share the data really is”
445 (Climate Stakeholder, Regional).

446 For example, interviewees suggested that the MoH could partner with their NMHS to
447 ensure that new weather stations are placed in areas that are strategic for the surveillance
448 of arboviruses. Representatives from the NMHS could participate in the regular
449 epidemiological surveillance meetings of the MoH. Last but not least, interviewees
450 suggested that climate services for health be framed as a national development priority, a
451 strategy that would increase buy-in from decision makers and funding from international
452 development agencies. One informant stated,

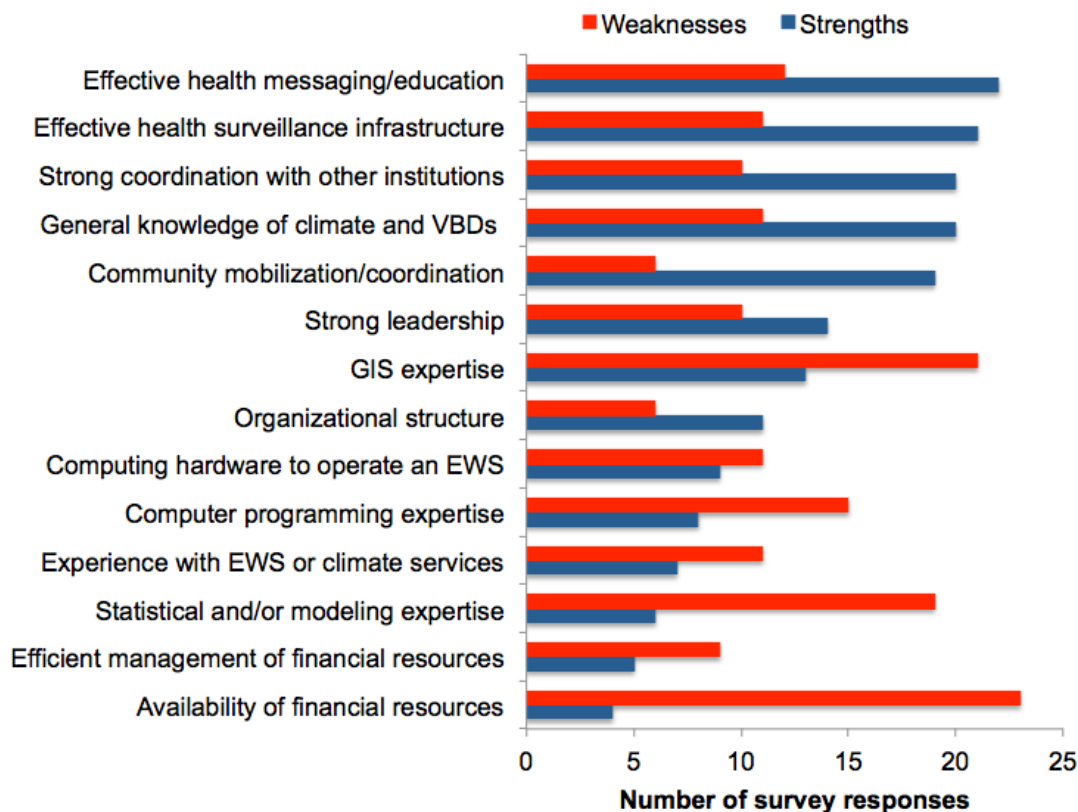
453 “I think people will embrace climate and health... [it is] a real sustainable
454 development goal... Health has always been a critical sector” (Climate
455 Stakeholder, Regional).

456

457 **(3) What are the current capabilities of the health and climate sectors to implement**
458 **a climate-driven arbovirus EWS? What capacities need to be strengthened?**

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459 Health sector survey respondents were asked to identify the strengths and
460 weaknesses of their institution with respect to the implementation of an arbovirus EWS
461 (Figure 3). The top strengths were effective public health messaging to communities,
462 effective health surveillance infrastructure, knowledge of the effects of climate on vector
463 borne diseases, strong coordination with other institutions, and community mobilization.
464 The top weaknesses, or areas to be strengthened, were the availability of financial
465 resources and expertise in geographic information systems (GIS), statistics, modeling,
466 and computer programming (S4 Table for software currently used in health departments
467 and S5 Table for preferred training activities).



468

469 **Figure 3. Perceptions of the strengths and weaknesses of the health sector with**
470 **respect to the capacity to implement an EWS for *Aedes aegypti* transmitted diseases.**

471 EWS = early warning system, GIS = geographic information system, VBDs = vector
472 borne diseases

473

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474 Interviewees highlighted the need to train, nurture, and retain a cohort of
475 practitioners with expertise in climate and health. Health sector interviewees emphasized
476 the need to increase their skills in modeling and data analysis through technical
477 workshops on how to use climate information, data, models and other tools to predict
478 epidemics. They identified the need for training on climate and health linkages, greater
479 understanding of climate services for health, how to use climate services for health during
480 emergencies/disasters, and how to communicate the effects of climate on health to local
481 communities. They suggested that training activities be practical and interactive, such as
482 workshops where multisectoral teams respond to simulations of epidemic warnings.

483 Health sector interviewees also expressed an urgent need for training on
484 geographic information systems (GIS). They found that GIS was a highly effective tool
485 that allowed them to use field data to make informed decisions, and to communicate risk
486 information back to the public.

487 “It is so much easier, better, to use maps when you are doing presentations.
488 Especially if you are doing something with the public where you can actually
489 show them their community and say, ‘There you have breeding sites. There is
490 where you have the problem.’ And they can actually see it. You can actually show
491 it to them.” (Health Stakeholder, Dominica).

492 In Dominica, interviewees highlighted the need for vector control specialists in the MoH,
493 since their environmental health officers are responsible for a broad portfolio of activities
494 and are trained as generalists. Interviewees from both countries highlighted the need for
495 better data collection and storage practices in the health sector in order to create high-
496 quality, long-term datasets.

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497 NMHS (national climate sector) interviewees indicated that they had limited
498 capacity to implement climate services for health. Interviewees from Barbados and
499 Dominica indicated the need for additional personnel, as well as a better understanding of
500 health sector end-user needs Interviewees from the Dominica NMHS indicated that they
501 had difficulty reaching the meteorological stations to download data due to the complex
502 topography of the country. They identified the need for basic resources to increase their
503 monitoring and forecasting capacities, including a staff meteorologist, adequate
504 transportation to and from meteorological stations, financial resources, instrumentation,
505 and improved security to prevent vandalism the meteorological stations. They stated,
506 “We use our personal vehicles, but some of the areas are a bit challenging, and we
507 are two females, so sometimes ...depending on where we are going, we need
508 somebody else to go with us, for security" (Climate Stakeholder, Dominica).
509 Health sector interviewees from Dominica suggested that their technicians could be
510 trained to download data from meteorological stations to support the local NMHS.

511

512 **(4) What climate/weather data are currently used by the health sector for arbovirus**
513 **control, what is the added value, and how can climate/weather data be effectively**
514 **mainstreamed for arbovirus control operations?**

515 *Use of climate information.* Health sector survey respondents were asked about
516 their current use of climate information (S6 Table). Two thirds of respondents indicated
517 they had received general information on the effects of climate on vector borne diseases,
518 and half of the respondents confirmed that climate information was used for some level
519 of planning for disease and vector control interventions. They were unsure as to whether

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520 an EWS for arboviruses existed in their jurisdiction, but most indicated that climate
521 information was not part of existing epidemiological warning systems.

522 Interviewees confirmed that the arbovirus alert systems in Dominica and
523 Barbados were based solely on epidemiological surveillance. The health sector and
524 PAHO issue an alert when the number of reported cases surpasses a pre-determined
525 threshold established by the historical average for the same week or month (see Lowe et
526 al. [11] for details). Interviewees indicated that the current system did not provide
527 sufficient lead-time to effectively reduce the threat of an epidemic.

528 Interviewees described the current use of climate information for arbovirus
529 control. The health sector considers wet/dry seasons and extreme climate events when
530 planning vector control programs, for example, by increasing larviciding efforts at the
531 onset of the wet season or increasing community campaigns on safe water-storage during
532 droughts. Occasionally the health sector requests climate/weather information from their
533 NMHS and the data are generally shared as Excel files. However, they do not formally
534 incorporate climate information, such as seasonal climate forecasts, into their planning
535 process. Overall, climate information was reported to play a minor role in decision-
536 making, which was instead driven by policies, regulations, and specific competencies of
537 the organizations.

538 *Forecast scenarios.* At the national workshop in Barbados, health and climate
539 stakeholders were asked to identify the interventions they would implement if they were
540 provided with short (2 week), medium (3 month) and long-term (1 year) forecasts of
541 vector abundance and dengue incidence (S3 Text). They unanimously stated that disease
542 incidence forecasts would be more effective than vector forecasts in garnering the

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543 political attention necessary to mobilize resources to implement preventative
544 interventions. With a short-term forecast, the health sector would increase education,
545 community mobilization, and larval source reduction, especially in known hotspots. With
546 a medium-term forecast, the health sector would be better able to plan with stakeholders,
547 mobilize the field team, look at trends, and create bulletins for community mobilization.
548 With a long-term forecast the health sector could better lobby with health sector
549 leadership and the Minister of Finance for the needed financial support, allowing for
550 more effective budgeting. They would be able to monitor and evaluate interventions and
551 conduct a needs assessment to inform planning. They would also be able to procure
552 diagnostic reagents and supplies for the national reference laboratory, a process that can
553 take up to 6 months. Although the workshop participants identified meaningful
554 interventions at each timescale, they preferred the medium-term (3-month) forecast as
555 indicated in the following,

556 “A year can feel like a long time away. With 3 months, there will be a sense of
557 urgency and you can do meaningful activities, although there might not be new
558 resources” (Health Stakeholder, Barbados).

559 *Added value of climate services.* Health sector interviewees highlighted the ways
560 in which climate services would improve their planning for arbovirus interventions. By
561 integrating climate and/or disease forecasts into their seasonal and annual planning
562 processes, they felt they could be proactive and more effective at preventing outbreaks, as
563 described by this interviewee,

564 "We know we have *Aedes*, we know we have the threat, but its only when
565 outbreaks happen, we start scrambling around to do things. So I think if we can

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566 put mechanisms in place, long in advance, then we can have more success in
567 dealing with outbreaks. Or we can even prevent outbreaks" (Health Stakeholder,
568 Dominica)

569 Interviewees indicated that climate services have to provide reliable information early
570 enough such that the health sector can target control efforts in high-risk areas during
571 certain times of the year. This would result in a more efficient use of limited financial and
572 human resources, as described by this interviewee,

573 "When you know that there is an impending threat, you would come up with
574 specific activities that you would conduct. It doesn't necessarily mean that those
575 activities would be at a higher cost, but you can be more specific... It will be
576 easier for us to respond to an impending threat, instead of running around"
577 (Health Stakeholder, Dominica).

578 Interviewees indicated that forecasts of disease risk could be used to inform hospitals
579 about staffing needs, stocking of medicines and laboratory diagnostic reagents, and the
580 development of targeted educational materials for the public. They suggested that
581 warnings be communicated to the public through social media and other outlets to
582 motivate community mobilization for preventative practices. Interviewees indicated that
583 they would feel more motivated and inspired in their day-to-day work if they could see
584 how the data that they collect was being used to inform decision-making.

585 *Mainstreaming climate services.* Health sector survey respondents were asked
586 how they would prefer to receive information from an arbovirus EWS. The top responses
587 were: a climate and health bulletin (91%), an interactive GIS platform (66%) and internal
588 meetings within their departments (59%) (S7 Table).

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589 Interviewees were also asked to identify climate services that would improve their
590 day-to-day work related to arboviruses. Health sector interviewees confirmed that they
591 were interested in utilizing the Caribbean Health Climatic Bulletin launched in 2017 by
592 the CIMH, the CARPHA and the PAHO. The bulletin qualitatively summarizes potential
593 health impacts for a 3-month period based on seasonal climate forecasts
594 (<https://rcc.cimh.edu.bb/health-bulletin-archive/>). Health sector interviewees also
595 reiterated that a GIS platform would allow them to integrate and analyze real-time
596 information on disease epidemiology, entomology, and climate. They could use this
597 platform to produce risk maps showing the spatial distribution of mosquito vectors and
598 disease risk in relation to rainfall, temperature, and other climate information. They
599 suggested that these forecasts be converted into spatiotemporal alerts using a color-
600 coding scheme. Other ideas for climate services included the use of wind speed and wind
601 direction forecasts to inform insecticide fogging operations. One informant summarized
602 the health sector needs in the following,

603 “We need it [climate services] packaged in such a way that the health professional
604 would understand. Pick it up, and look at it, and understand it” (Health
605 Stakeholder, Dominica).

606 "Decision makers at the policy level are not healthcare providers. They are
607 administrators, they are politicians, and we need to help them. We need to feed
608 them [decision makers] with the kind of information they can understand, and [so]
609 they can feel comfortable making decisions" (Climate and health workshop
610 participant, Barbados).

611

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612 **Discussion**

613 Small island developing states (SIDS) in the Caribbean region are amongst the
614 most vulnerable countries in the world to climate change [1,2]. The effects of a changing
615 climate include increased frequency and severity of droughts and increased frequency of
616 tropical storms and hurricanes. Extreme climate events affect directly or indirectly most
617 dimensions of people's well-being, including mental and physical health, food, housing,
618 freshwater, and livelihoods. Huang et al [55] state that public health sector adaptation to
619 climate change should consist of both adaptive-capacity building and implementation of
620 adaptation actions. A climate-driven arbovirus EWS is a key adaptation action. However,
621 our study confirms that this will only be possible if current capacities are increased in the
622 Caribbean region. Prior reports have noted the embryonic status of the application of
623 climate science in the Caribbean health sector [24] and an assessment of on the capacity
624 of the network of Caribbean NMHSs to deliver climate services that found that only one
625 NMHS offered specialized climate information services for the health sector [56].

626 Global research on climate services has identified characteristics or conditions to
627 develop a usable science that can be mainstreamed for public health operations. The first
628 phase is to establish an enabling environment for partnership with different stakeholders.
629 This is done by identifying the common priorities, needs for research, and by building
630 necessary capacities for understanding among climate and public health stakeholders and
631 researchers [57,58]. In this study we identified some of the challenges involved in
632 initiating a successful process of joint collaboration between the climate and public health
633 sectors. This partnership is critical to ensure commitment and ownership by different
634 stakeholders and end-users as climate services are developed.

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635 In this study, climate services for health, specifically for *Ae. aegypti*-transmitted
636 arboviral diseases, were considered because high policy level organizations
637 (PAHO/WHO, CIMH, CARPHA) are encouraging the application of the GFCS. The
638 WHO recently developed a guide for the operational development of climate resilience
639 water [59] and health systems [60]. This initiative acts in synergy with the GFCS and
640 describes how existing systems can include climate information in their operations to
641 reduce the impacts of climate change on human health. We found that the sustainability
642 of these initiatives will require the political will to establish climate services for health as
643 a mandate in the NMHS and public health sectors, allowing them to work in
644 interdisciplinary and intersectoral teams. The benefits of these decisions could extend to
645 other government agencies and the private sector, such as the Ministry of Environment or
646 Ministry of Tourism, as well as regional universities, research centers, and sustainable
647 development agencies. A clear opportunity was the “Third Global Conference on Health
648 and Climate: Special Focus on SIDS,” which was held in Grenada in October 2018. The
649 meeting convened Caribbean Ministers of Health, Ministers of Environment,
650 representatives from UN agencies and other key stakeholders to develop an Action Plan
651 on Health and Climate Change for the Caribbean [61].

652 We found that the national climate sectors (NMHSs), in particular, identified
653 capacity challenges when asked about engaging in work on climate and health. We also
654 found that the regional climate stakeholders were more experienced with climate services
655 for health than local NMHS stakeholders. Prior assessments of the NMHSs in the
656 Caribbean also noted that there is limited technical capacity of local level staff, especially
657 on smaller islands like Barbados and Dominica [56]. In recent research, representatives

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658 from the NMHSs recommended transitioning from a designation of NMHS to become
659 “National Climate Services Centers (NCSC),” which would facilitate the development of
660 climate services at the national level [56].

661 Despite major advances in climate science and climate-health research globally,
662 our results confirm that climate information is neither routinely applied nor used in
663 planning interventions in Barbados or Dominica. To date, there has been limited success
664 in developing operational climate services for dengue, although several studies have
665 demonstrated the potential [17,62–68]. One of the most promising examples of a climate-
666 driven dengue forecast model framework was recently described by Lowe et al. for
667 Barbados [11]. Distributed lag nonlinear models [69] were coupled with a Bayesian
668 hierarchical mixed model [66] to quantify the nonlinear and delayed impacts of climate
669 factors, such as drought and extreme rainfall, on dengue risk in Barbados from 1999 to
670 2016. The study found that drought periods followed by a combination of warm and wet
671 weather several months later could provide optimum conditions for imminent dengue
672 outbreaks. The developed model successfully predicted a high probability of dengue
673 outbreaks versus non-outbreaks in most years, with improved performance during El
674 Niño years. However, model performance in 2015-2016 was compromised by the lack of
675 data on the emergence of chikungunya and Zika in the region in the prior years. Seasonal
676 climate forecasts routinely produced by the Caribbean Institute for Meteorology and
677 Hydrology could be incorporated in the model framework as an early warning tool. This
678 could help the health sector to plan interventions that mitigate the impact of mosquito-
679 borne disease epidemics in the region up to three months in advance.

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680 The results of this study highlight the need for local research on climate-health
681 linkages, particularly at the climate variability time scale. In some cases, policy makers
682 and practitioners may be better able to plan interventions and to allocate resources at this
683 intermediate season-to-season timescale, as compared to the long-term climate change
684 timescale. Forecasts of extreme climate events can inform Disaster Risk Reduction
685 (DRR) interventions as well as health interventions for the most vulnerable groups.
686 Local climate-health research would engender collaborative scientific articles with co-
687 authors from the climate and health sectors, thus facilitating data sharing, building trust,
688 and fomenting a culture of research on climate and health. Development of data sharing
689 protocols between the climate and health sectors is a priority given the sensitivity of
690 sharing health information.

691 Health sector stakeholders demonstrated concern, awareness and a high-level
692 understanding of the impacts of climate variability on arboviruses and health in general.
693 Prior research in the Caribbean [42] found that there was limited knowledge about
694 climate and health linkages amongst nurses and doctors in private and public sectors.
695 However, this study focused on health sector practitioners and decision makers engaged
696 in environmental health and vector borne diseases, which may account for their greater
697 awareness and concern. More recent studies in the Caribbean confirm a relatively high
698 level of awareness and concern amongst health-care providers [41], similar to studies in
699 the U.S. [36,38]. Several capacity building initiatives undertaken by regional institutions
700 such as CARPHA and PAHO have likely contributed to higher levels of climate-health
701 awareness over time. However, our findings suggest that the public health sector does not
702 feel ready to develop and implement an EWS or other adaptation measures due to limited

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703 institutional capacity (resources and expertise), as found in prior studies in the U.S. and
704 Canada [35–39,55].

705 With respect to expertise, we identified a demand for basic training to increase
706 technical knowledge on climate and health linkages. There is the opportunity to create
707 capacity building programs focused on climate and health, which would support the
708 creation of a cohort of practitioners, decision makers, and researchers who are specialized
709 in this area, thus providing long-term sustainability for a program on climate and health.
710 Interviewees proposed that joint training activities across the climate and health sectors
711 would increase knowledge while strengthening the intersectoral partnership. An
712 interdisciplinary approach is needed for successful implementation of climate services for
713 health.

714 Our findings suggest that technical skills are most needed in the health sector,
715 including GIS, statistics, modeling and computer programming. The health sector
716 currently uses basic tools for disease mapping. It is important to assess local user-needs
717 in order to develop tailored visualizations that are useful and relevant. This is an
718 opportunity for co-production with health and other sectors such as urban planning,
719 disaster risk management, city utilities and services. Developing targeted training in GIS
720 that is driven by user-needs will help in visualization and data analysis at the local level.
721 Additionally, there is the opportunity to develop a fuller range of user-friendly
722 tools/instruments that can be applied by the health sector without specialized expertise in
723 their routine data and reporting activities. The operational co-production of tools and
724 products, such as the quarterly Caribbean Health Climatic Bulletin by the CARPHA, the
725 PAHO and the CIMH, is a noteworthy first step. The bulletin includes qualitative expert

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726 statements on probable health risks associated with seasonal climate forecasts (3 months
727 ahead). However, there is significant scope for the development of the next generation of
728 climate services that focus on quantitative probabilistic forecasts of disease risk [24].
729 Overall, the appropriate involvement of stakeholders is a key element to identify users'
730 needs, to develop users' capacities and to exploit existing capabilities.

731 *National-level opportunities.* With respect to financial capacity, the Caribbean
732 climate and health sectors are beginning to work together to attract the resources needed
733 to increase local capacities to develop climate services for the health sector. Stakeholders
734 recommended framing climate services for health as a national development priority,
735 thereby attracting funding from international development agencies. A high-level policy
736 goal may enhance the partnership between climate and health government institutions.
737 For example, the Sustainable Development Goals (SDG), and the Paris Climate
738 Agreement are international policies that have common priorities and objectives: good
739 health and wellbeing (Objective 3), climate action (Objective13), and partnership for the
740 goals (Objective 17) [70,71]. The Paris Agreement recognizes the need to strengthen the
741 global response to the threat of climate change and to significantly reduce the risks of
742 climate change (Article 2.1), including the risk to human health [72]. Thus it is critical to
743 develop national policy interactions within the SDGs, to avoid policymakers and public
744 health planners operating in silos [55,73].

745 The National Development Plan, National Adaptation Plan for Climate Change,
746 and National Disaster Management Plans are policy documents being developed by most
747 countries in the Caribbean. The PAHO has also led recent efforts to develop Health
748 National Adaptation Plans focused on climate resilient health systems for Caribbean

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749 SIDS [73]. These documents provide a policy mechanism to establish lines of
750 intersectoral and interdisciplinary work, which can include the development of climate
751 services for health. Climate change adaptation/mitigation measures are part of the
752 National Determined Contributions (NDCs) that nations develop under the Paris
753 Agreement. Many of those measures can generate co-benefits or added value for the
754 health sector; co-benefits are the additional benefits that result when nations act to control
755 climate change [35]. For example, adaptation efforts aimed at improving water
756 management could also reduce the burden of water-borne or vector-borne diseases [59].
757 However, specific measures need to be identified, monitored and evaluated to be included
758 into the country National Determined Contributions (NDCs) to be reported to the United
759 Nations Framework Convention on Climate Change (UNFCCC). This approach also
760 offer the possibility to access funding from the Green Climate Fund (GCF) in priority
761 sectors such as health, food, water security, and livelihoods of people and communities.
762 For example, the GCF recently approved (March 2018) and co-funded a 5 year project,
763 “Water Sector Resilience Nexus for Sustainability in Barbados” [74].

764 Beyond the climate and health sectors, we identified a complex web of
765 institutional actors who can engage strategically in the development of climate services
766 for health including: a) Water agencies and their climate change and SDG goals related to
767 water supply, and water quality (drinking and wastewater) because of their potential links
768 to vector- and water-borne diseases, b) disaster risk management agencies that deal with
769 hydroclimatic risks that impact vulnerable populations and key infrastructure, c) tourism,
770 to protect visitor health, as well as considering human mobility a critical factor for
771 disease transmission, d) private sector vector control companies, e) community based

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772 organizations, and f) academic partners, such as the University of West Indies.
773 Identifying priorities and gaps in specific information would strengthen the partnership
774 amongst the sectors, making more effective the development of climate services for
775 human health beyond the ministries and offices of public health [75,76].

776 *Regional-level opportunities.* In its role as the Regional Climate Centre (RCC),
777 the CIMH leads the implementation of the GFCS in the Caribbean. In its thrust to
778 develop sector-specific climate information, the CIMH has pursued an interdisciplinary
779 team approach that leverages the synergies offered by lead technical institutions who are
780 intimately familiar with their national, regional and sectoral contexts, and can
781 consistently invest in the co-production of user-driven climate early warning information
782 [56,77]. The Consortium of Regional Sectoral Early Warning Information Systems across
783 Climate Timescales (EWISACTs) Coordination Partners is an inter-institutional alliance
784 for climate resilience that in its form and function reflects good practice that prioritizes
785 cross-sectoral, interagency models of climate service delivery over those that follow a
786 silo-ed ‘build it and they would come’ approach [24]. As of 2015, the CIMH has actively
787 worked on an emerging, multi-pronged health-climate portfolio in collaboration with
788 national and regional partners such as Ministries of Health, NMHSs, the CARPHA, the
789 PAHO, and other international, interdisciplinary research partners [24]. New and
790 emerging research is being conducted to investigate the linkages between climate and
791 vector borne diseases, heat and health, as well as, Saharan dust and health [24]. Work is
792 also being done in climate and agriculture, which supports health and nutrition.

793 The Caribbean Community (CARICOM), a group of 20 Caribbean countries, has
794 mandated the Caribbean Community Climate Change Centre to mainstream climate

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795 change adaptation strategies into the sustainable development agendas (UNESCO, 2017).
796 For the Caribbean Region, regional perspectives and considerations are relevant for all
797 the countries [78,79]. Successful projects and tools developed in pilot projects, as done in
798 Barbados [11], can be replicated in similar setting in other countries. A demonstration of
799 the benefits of climate services for arbovirus interventions in one country can be used as
800 a model for other productive sectors (tourism, water supply, disaster risk management),
801 and other countries in the region. Regional institutions should work in cooperation to
802 build technical capacities and resilient communities across the region. This is already
803 happening through the Sectoral EWISACTS portfolio and the work of its multi-
804 institutional Consortium, is increasing expertise and awareness of users and providers.
805 CIMH plans to strengthen their RCC platform for engaging stakeholders to share lessons
806 and promote awareness of climate services based on user-needs for all sectors.

807

808 **Limitations**

809 When comparing the results of this study to prior studies on health sector
810 perceptions of climate, one key difference is that our study focused on people working
811 with arboviruses, environmental health, and climate, whereas other studies focused on
812 health-care providers or public health professionals in general. However, given the
813 relatively small size of the health sector in Barbados and Dominica, we interacted with
814 most senior leadership in interviews and national consultations, in particular those
815 involved with overall management of the public health sector, epidemiological programs,
816 environment, climate change and health. Although we did consider a regional
817 perspective, the results of this study may not be generalizable to all of the Caribbean.

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818 Country-level studies should be conducted to capture the nuances of local governance
819 structures, disease epidemiology, and climate.

820 Our results were skewed towards the health sector perspective rather than the
821 climate sector, given that more health sector stakeholders were interviewed, and only
822 health sector stakeholders were surveyed. In part, this reflected that there were many
823 more people working in the national health sectors than in the national climate sectors.
824 On the climate side, our results were skewed towards the regional perspective, given that
825 regional stakeholders had more experience with climate services for health.

826

827 **Conclusion**

828 The results of this study provide recommendations to enhance an interdisciplinary
829 dialogue and partnership within an active community of practitioners, decision makers,
830 and scientists [31,80]. This study contributes to a broader effort to work collaboratively
831 with regional and national health and climate stakeholders in the Caribbean to develop
832 decision support models to predict arbovirus risk and to design effective warning and
833 intervention strategies [24].

834 One of the key conclusions of this assessment is the need to strengthen the
835 provider-user interface, as currently there is only limited consideration of the products
836 needed by health sector users. Climate services for health can only become operational
837 with the will and support of the climate and health sector institutions. At the same time,
838 it is necessary to create appropriate ‘communities of practices’ and to emphasize the co-
839 design of climate services products [81]. Final recommendations include:

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- 840 1. To continue to assess local stakeholder needs and perspectives to support the
841 development of climate services for health.
- 842 2. To establish a Memorandum of Understanding (MoU) or Letter of Intent (LOI)
843 between the climate and health sectors, particularly at the national level, with a
844 focus on the development of climate services [77].
- 845 3. Strengthening the capacity of NMHS through their designation as National
846 Climate Services Centers (NCSC) [56], allowing them to build capacity around
847 the basic and operative aspects of climate services and to collaborate with health
848 sector partners to promote climate services for health.
- 849 4. National Adaptation Plans for Climate Change, including recent regional efforts
850 to create Health National Adaptation Plans, may be an opportunity to include a
851 policy or mandate for climate in the health sector, and may be an opportunity to
852 strengthen climate services, applying long-term scenarios for planning in health
853 and other sectors.
- 854 5. To strengthen health sector engagement in the region through annual forums
855 focused on climate services and capacity building tailored to the health sector.
856 This could build on existing regional climate meetings like the bi-annual
857 Caribbean Climate Outlook Forum convened by the CIMH.
- 858 6. To implement the WHO operational framework for building climate resilient
859 health systems [60], and to implement other mechanisms to integrate climate data,
860 information and knowledge with multiple health and non-health data sources to
861 support decision making.

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862 7. To improve technical GIS and modeling capabilities, and to develop locally
863 relevant tailored tools for non-experts, which can be used to inform decisions and
864 decision-making processes.

865

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875

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877 **Supporting information legends**

878 **S1 Text. Climate and Health Sector Mandates and Competencies.** This document
879 describes the mandates and competencies of regional (Caribbean) and national (Barbados
880 and Dominica) climate and health sectors with respect to arbovirus and vector
881 surveillance and control, and climate monitoring and forecasting. Information was
882 gathered through face-to-face interviews with key stakeholders.

883 **S2 Text. Interview and survey instruments.** This document contains (1) questions
884 about climate information for arboviral control, used in interviews with climate and
885 health decision makers, managers and expert practitioners, (2) interview questions
886 regarding climate and health data, (3) survey for health sector decision makers, managers,
887 and expert practitioners.

888 **S3 Text. Forecast scenarios discussed in the Barbados stakeholder workshop.** This
889 activity was conducted at a national consultation at the PAHO in Bridgetown, Barbados,
890 in April 2017, with 27 representatives from the national Ministry of Health (MoH) of
891 Barbados, the Barbados Meteorological Services, the CIMH, and the PAHO. Participants
892 were divided into small groups that included representatives from climate and health
893 sectors. Groups were asked to respond to different forecast scenarios (2 week, 3 month,
894 and 1 year forecasts of *Aedes aegypti* larval indices and dengue incidence). They were
895 asked to identify the actions that they would take in response to alerts at each time scale,
896 and they discussed the utility of a vector versus disease forecast. Results were identified
897 by coding the transcripts of audio recordings.

898 **S4 Table. Types of software currently used in health departments.** Results from
899 surveys are shown as % (n).

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900 **S5 Table. Preferred training activities identified by health sector survey**

901 **respondents.** Results from surveys are shown as % (n).

902 **S6 Table. Current use of climate information and early warning systems reported**

903 **by survey respondents.** Results shown as % (n).

904 **S7 Table. Preferred way of receiving information from an early warning system that**

905 **predicts arbovirus epidemics.** Results shown as % (n).

906

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