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# A Comparative Assessment of Visceral Leishmaniasis Burden in Two Eco-epidemiologically Different Countries, India and Sudan

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# 12 Abstract

The two hyper-endemic regions for Visceral Leishmaniasis (VL) in the world are located in India and 13 Sudan. These two countries account for more than half of the world's VL burden. The regional risk fac-14tors associated with VL vary drastically per region. A mathematical model of VL transmission dynamics 15 is introduced and parametrized to quantify risk of VL infection in India and Sudan via a careful analysis 16of VL prevalence level and the control reproductive number,  $\mathcal{R}_C$ , a metric often used to characterize the 17degree of endemicity. Parameters, associated with VL-epidemiology for India and Sudan, are estimated 18 using data from health departmental reports, clinical trials, field studies, and surveys in order to assess 19 potential differences between the hyper-endemic regions of India and Sudan. The estimated value of 20reproduction number for India is found to be 60% higher than that of Sudan ( $\mathcal{R}_C(India) = 2.1$  and 21  $\mathcal{R}_C(Sudan) = 1.3$ ). It is observed that the  $\mathcal{R}_C$  is most sensitive to the average biting rate and vector-22human transmission rates irrespective of regional differences. The treatment rate is found to be the most 23sensitive parameter to VL prevalence in humans for both India and Sudan. Although the unexplained 24higher incidence of VL in India needs to be carefully monitored during long-term empirical follow-up, the 25 risk factors associated with vectors are identified as more critical to dynamics of VL than factors related 26to humans through this modeling study. 2728

Keywords: Dynamical system, Kala-azar, Sensitivity Analysis, Risk Factors, Reproduction Number,
 Mathematical Model

# **31** Author Summary

The Visceral Leishmaniasis (VL) is a neglected tropical disease, primarily endemic in five countries, with 32 India and Sudan having the highest burden. The risk factors associated with VL are either unknown in 33 some regions or vary drastically among empirical studies. In this study, we collect VL-related data from 34 multiple sources for the two different countries, India and Sudan, and use techniques from mathematical 35 modeling to understand factors that may be critical in the spread and control of VL. The results suggest 36 that the risk factors associated with disease progression are important in explaining high VL prevalence 37 in both the countries. However, the likelihood of disease outbreak in India is much higher than that 38 in Sudan and the probability of transmission between human and sandfly populations vary significantly 39 between the two. The results have implications towards VL elimination and may require a review of 40 current control priorities. 41

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# 42 1 Introduction

Leishmaniasis Globally: Leishmaniasis is a family of infectious diseases caused by an intracellular 43 protozoan parasite of the genus *Leishmania* [80]. A diverse and complex pathogen, Leishmania can be 44 transmitted to humans through the bite of one of at least 20 different species of female sand flies of the 45subfamily Phlebotomus [17, 42]. Individuals living with Leishmaniasis may exhibit one of the four clinical 46 syndromes; cutaneous, mucocutaneous, diffuse cutaneous, and visceral Leishmaniasis. [17, 77]. Visceral 47 48 Leishmaniasis (VL, also known as Kala-Azar (KA) in Hindi) is considered the most severe form of the disease because death is inevitable if untreated. In fact, there are significant distinctions that have been 49observed even in the dynamics of VL from one region to another. VL is most often caused by species 50 of the Leishmania donovani complex with Leishmania donovani sensu sticto circulating in the Indian 51subcontinent, Leishamania donovani sensu lato in East Africa, Leishmania infantum primarily found 52 around the Mediterranean, in the Middle East, and rest of the Africa and Asia and Leishmania chagasi 53 in the Americas [70]. There are marked differences between parasite species infections, for example, in 54terms of epidemiology, clinical features and responses to treatment. 55

Epidemiology of VL: Leishmania donovani (L. donovani) infects VL in most affected regions, with 56 each year there is an estimated 500,000 new cases and approximately 50,000 recorded deaths worldwide 57[19]. Researchers estimate that roughly 12 million people are infected with *Leishmania* parasites, at a 58 given time, among the 350 million individuals at risk [4, 61]. However, these statistics might be changing 59 with recent WHO's efforts in eliminating VL from some parts of the world. VL is endemic in at least 60 88 tropical and subtropical countries around the world with more than 90% of new cases generated in 61 Bangladesh, Brazil, India, Nepal, and Sudan [32, 74]. In 2010, the state of Bihar in India reported an 62 average of 270,000 new cases per year with an incidence rate of 21 cases per 1000 [42]. Twenty one 63 districts out of Bihar's 38 districts are most affected from VL. The most recent (2014) report estimates 64 that there are between 200,000 and 400,000 annual cases of VL in the five most affected countries, with 65 India supporting between 146,700 to 282,000 cases per year and Sudan between 15,700 and 30,300 cases 66 per year [9]. In Sudan, VL is endemic in southern, central, and eastern parts of the country, with most 67 cases being reported from state of Gedaref (near the Ethiopian border) [49]. VL primarily affects low 68 69 socio-economic and marginalized communities [57]. Geographic hot spots for infection are characterized by factors that include the average length of the sand flies life cycle, the abundance of parasite reservoirs, 70and human behaviors to infection [32, 74]. In this study, we aim to identify factors associated with VL 71burden in the two most affected countries in the world, India and Sudan. 72

**Risk Factors of VL in India and Sudan:** In India, the sand fly species *Phlebotomus Argentipes* 73 is primarily responsible for transmitting the L. donovani parasite [67]. In Indian state of Bihar, annual 74 patterns of VL incidence are assumed to be driven by ecological and social factors including distinct 75 seasonality in sand fly population, lack of health care resources, extreme poverty, frequent flooding 76 resulting in food shortages, and malnutrition [6, 57]. In Sudan, Phlebotomus Orientalis is the dominant 77 sandfly vector associated with anthroponotic L. donovani transmission. [28, 31, 38, 39, 72, 86]. Typically, 78 P. Orientalis is considered a forest species and its abundance is frequently associated with the presence 79 of the savanna woodland tree species Acacia Seyal and Balanites aegyptiaca and deeply cracked vertisols 80 (black cotton soil) [28, 29]. Primary risk factors for VL infection in Sudan include genetic factors (e.g., 81 some indigenous individuals may be more susceptible [6]), age, ethnicity, the consequences of poverty, 82 movements of people facing civil war, and political instability which is accompanied by labour migrations 83 for economic security reasons [6, 15, 68]. 84

Interventions in India and Sudan: In Bihar, where 90% of India's VL cases occur, aggressive attempts at improving vector control programs via the distribution of insecticide-treated bed nets and insecticide spraying are being carried out [6]. India's Kala-azar Elimination Programs (KAEP) aims at reducing VL morbidity are tied into government-funded VL diagnosis and drug treatment programs. Pentavalent antimonial drugs, wherever it is effective, purchased by the public sector are barely sufficient to cover half of the infected patients [5, 57]. Limited drug availability and drug resistance are growing

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91 problems in East Africa, particularly in Sudan, where antimonials are still the primary method of VL 92 medical treatment. The poor must travel long distances to gain access to drugs and, consequently, the 93 effectiveness of intervention policies are limited. Infected Sudanese often must wait extended periods of 94 time before receiving minimal medical care [62].

VL Mathematical Modeling Studies: In 1988, Dye and Wolpert introduced what it appears to 95 be the first Anthroponotic VL deterministic model for capturing the temporal dynamics of this disease. 96 Their model was used to explain the observed VL inter-epidemic periods between 1875 and 1950 in 97 Assam, India. Following this work, Dye, C. (1992, 1996) assessed the impact of control measures on VL 98 patterns in endemic areas using appropriately modified models [23–25]. These studies concluded that 99 dramatic upswing in VL cases in the past may be attributed to "intrinsic" factors related primarily to 100 disease epidemiology in humans and vectors and not to "extrinsic" processes. Mathematical models are 101 typically developed to capture VL transmission dynamics in a population. Using such model, a threshold 102quantity for infection, the reproductive number  $(\mathcal{R}_H)$ , is often computed for understanding the dynamics 103of the disease.  $\mathcal{R}_H$  is used to measure the disease's ability to colonize a naive population or to identify 104 the degree of endemicity in the presence of intervention. In general, model analysis suggests disease 105 persistence when  $\mathcal{R}_H > 1$  and eventual disease extinction when  $\mathcal{R}_H < 1$  [84]. 106

Focus of this Study: In the hyper–endemic regions of India and Sudan, it is believed that  $\mathcal{R}_{H}^{i}(\theta_{h}) >$ 107  $\mathcal{R}_{H}^{s}(\theta_{h}) > 1$ , where  $\mathcal{R}_{H}^{i}(\theta_{h})$ , the control reproductive number, for India is greater than  $\mathcal{R}_{H}^{s}(\theta_{h})$  of Sudan 108 at their respective rate of treatment,  $\theta_h$ . This modeling study focuses exclusively on the transmission 109dynamics and control of VL in India and Sudan while proving or refuting the belief on differences in their 110 estimated reproduction numbers. Since VL is hyper-endemic in these regions for long time, a model with 111 112established treatment regimes is considered the "status quo" an important assumption, since untreated individuals die relatively quickly. Parameters (transmission rates, death rates, etc.) are estimated us-113ing novel simple methods where current treatment  $(\theta_h)$  is always present. Consequently, the process of 114 invasion (ability of VL to invade a population) is addressed under current treatment policies. There-115fore, the reproductive number includes treatment rate,  $\theta_h$ , as part of the initial set-up where detailed 116 infection data is absent (technically it cannot be called the "basic" reproductive number). A comparative 117 study of the VL situation in India and Sudan is carried out via a model derived metrics parameterized 118using estimates derived from published clinical trials data and published national reports. Uncertainty 119and sensitivity analyses are then carried out to identify key risk factors and use them to evaluate the 120effectiveness of intervention programs in the two "worst-affected" VL-regions of the world. In summary, 121the goals of this study are: (i) identify relevant data from field/clinical studies needed to estimate model 122123parameters of a dynamic model, (ii) develop procedures to estimate distributions of quantities for which data are unavailable, (iii) evaluate risk associated with VL in India and Sudan, and (iv) compare and 124contrast the risk factors between India and Sudan. The details of the analysis are depicted in the Figure 1251. 126

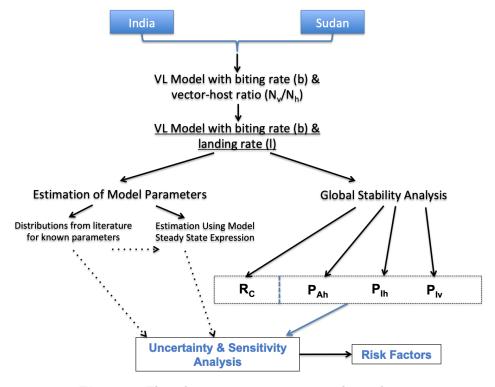


Figure 1. Flow chart representing steps in the analysis.

# 127 2 Methods

# 128 2.1 Model formulation and assumptions

The Leishmania donovani transmission cycle is anthroponotic and takes place from human to human 129 via the bite of an infective female phlebotomine Sandfly. A mathematical model of the transmission 130dynamics of VL infection is used here where the interacting host  $(N_h(t))$  and vector  $(N_v(t))$  populations 131 are assumed to mix homogeneously. The flow chart representing disease progression and transmission 132is shown in Figure 2. The human population is subdivided into susceptible individuals  $(S_h(t))$ , asymp-133 tomatic individuals  $(A_h(t))$ , infectious individuals with clinical VL infection  $(I_h(t))$ , individuals under 134 treatment  $(T_h(t))$ , and recovered-immune to reinfection individuals  $(R_h(t))$ ;  $N_h \equiv S_h + A_h + I_h + T_h + R_h$ . 135 The sandfly population is assumed to be divided into susceptible  $(S_v(t))$  and infectious  $(I_v(t))$  vectors 136with  $N_v \equiv S_v + I_v$ . 137

138 The model system is given as:

$$\frac{dS_h}{dt} = \Lambda_h - \lambda_{vh}S_h - \mu_hS_h$$

$$\frac{dA_h}{dt} = \lambda_{vh}S_h - (\phi_h + \mu_h)A_h$$

$$\frac{dI_h}{dt} = \phi_hA_h - (\theta_h + \mu_h)I_h$$
(1)
$$\frac{dS_v}{dt} = \Lambda_v - \lambda_{hv}S_v - \mu_vS_v$$
(2)
$$\frac{dT_h}{dt} = \theta_hI_h - (\gamma_h + \mu_h)T_h$$

$$\frac{dI_v}{dt} = \lambda_{hv}S_v - \mu_vI_v$$

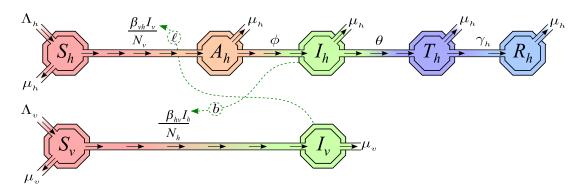


Figure 2. A schematic representation of the mathematical modeling framework consisting of interacting human  $(N_h)$  and Sandfly  $(N_v)$  populations. Arrows represent transition between different infection stages in the two populations.

Parameter	Definition	Units
b	Average number of bites per sandfly	$day^{-1}$
$\beta_{vh}$	Transmission probability when infected sandflies bite susceptible human	Dimensionless
$\beta_{hv}$	Transmission probability when susceptible Sandfly bite infected humans	Dimensionless
$\gamma_h$	Per capita treatment-induced recovery rate from VL infection	$day^{-1}$
$\Lambda_h$	Human recruitment rate	$N_h \times day^{-1}$
$\Lambda_v$	sandflies daily rate of becoming adults	$N_v \times day^{-1}$
$\mu_h$	Human daily per capita natural mortality rate	$day^{-1}$
$\mu_v$	Adult Sandfly daily per capita mortality rate	$day^{-1}$
$\phi_h$	Per capita development rate of clinical symptoms of VL infection	$day^{-1}$
$ heta_h$	Per capita treatment rate of infectious humans	$day^{-1}$
$m_{v:h}$	Ratio of sandflies to humans $\left(N_v^*/N_h^*\right)$	Dimensionless
$\ell$	sandfly landing rate on a human	$day^{-1}$

Table 1. The parameters for the VL model and their dimensions

Disease-induced mortality is not included because, due to institutionalized treatment, deaths from VL are negligible. For simplicity, the human population is assumed to be constant.  $\Lambda_h$  denotes the recruitment rate into the susceptible population, and  $\mu_h$  denotes the per-capita death rate. Because  $N_h$  approaches  $\frac{\Lambda_h}{\mu_h}$  when t approaches  $\infty$ , we assume, without loss of generality, that  $N_h = \frac{\Lambda_h}{\mu_h}$  [16]. A susceptible individual acquires the L. Donovani parasite following an effective contact with an infectious sandfly. The rate  $\lambda_{vh}$ , the force of infection on humans, is given by

$$\lambda_{vh} = b\beta_{vh} \frac{N_v}{N_h} \frac{I_v}{N_v} = b\beta_{vh} m_{v:h} \frac{I_v}{N_v},\tag{3}$$

where the right-hand expression (Equation 3) is given by the product of the per-vector daily biting rate of sandflies (b), the VL infection transmission probability, given a bite from an infected sandfly to human  $(\beta_{vh})$ , the average number of sandflies per humans  $m_{v:h}$ , and the proportion of infectious sandflies in the vector population ( $I_v/N_v$ ). It is assumed that all newly VL-infected humans go through an asymptomatic (symptomless) stage ( $A_h$ ). After an asymptomatic period of several months, humans develop clinical symptoms at the per capita rate  $\phi_h$ , moving to the infectious class  $I_h$ . During the infectious period, humans will seek VL treatment at the per capita rate  $\theta_h$ , proper treatment leads to recovery at the per capita rate  $\gamma_h$  (recovered individuals gain lifelong immunity). Newly emerging adult female sandflies are recruited into the susceptible population at rate  $\Lambda_v$  and die at the per-capita rate  $\mu_v$ . The sandfly

population is assumed constant. A susceptible sandfly is infected following an effective contact with infectious humans at the per capita rate  $\lambda_{hv}$  (force of infection on sandflies). The rate  $\lambda_{hv}$  is given by

$$\lambda_{hv} = b\beta_{hv} \frac{I_h}{N_h},\tag{4}$$

where the right-hand side is the product of: the per vector daily biting rate (b); the probability that susceptible sandflies acquire the *Leishmania* parasite while feeding on a VL-infected individuals ( $\beta_{hv}$ ); the proportion of VL infectious humans in the human population ( $I_h/N_h$ ). It is also assumed that the *Leishmania* parasite has no impact on an infected sandfly's lifespan; the sandflies' natural mortality percapita rate is the same for infected and uninfected, namely,  $\mu_v$ . See Appendix B for complete model derivation.

### 145 2.2 Biting rates

Interactions between vector biting behavior and uneven pathogen transmission potential between hosts 146may lead to difficulty in controlling infection. How vector species respond to availability of hosts is 147 highly variable and has fostered considerable interest among vector borne disease modelers for decades. 148The proportion of blood-meals taken by vectors from the host species of interest is generally assumed to 149increase directly with increasing human availability and changing levels of vector density. Hence, vector 150biting can play a significant role in the transmission process [88]. The biting rate of sandflies is typically 151a function of ambient air temperatures, humidity, wind speed, vector density and local habitat. There 152remains a couple of challenges in effectively using biting rates, namely, which is a proper functional 153response to capture biting rates in the model and how to measure it precisely from the field data. 154

To effectively use models to make reasonable definitions, models must be carefully parameterized and validated with epidemiological and entomological data. On the other hand, researchers have modeled biting rate in different ways but realistically the biting rate may vary according to the abundance of hosts and to vector preference [87]. In this study, we suggest alternative forms of transmission terms as well as use distinct data sets to estimate parameters of the two different terms (vector-to-host and host-to-vector terms).

#### 161 2.3 Incidence as a Function of the Landing Rates

This section first provides a careful derivation of incidence rates expression as a function of landing and biting rates and then use landing rate data to estimate the transmission probabilities from sandflies to humans  $(\beta_{vh})$  and humans to sandflies  $(\beta_{hv})$ .

The human incidence rate (Equation (3)) is a function of the average rate of interactions between vectors and humans, which in turn is directly proportional to the proportion of infectious sandflies  $\left(\frac{I_v^*}{N_v^*}\right)$ . Let b denote the average number of bites per sandfly per unit time and  $\rho$  the average number of bites received per human per unit time. Assuming that all sandfly bites are to humans only, we must have that the total number of bites made by all sandflies per unit of time  $(bN_v^*)$  equals the total number of bites received by all human hosts per unit of time  $(\rho N_h^*)$ . Thus, we have that

$$bN_v^* = \rho N_h^* \Rightarrow \rho = b \frac{N_v^*}{N_h^*} = b \ m_{v:h}, \quad \text{(constant by assumption)}$$
(5)

The assumption that  $\rho$  is constant is customary in the literature although there are some studies where the host vector ratio is assumed not constant over time [85]. We further assume that the average number of bites received by a human per unit time is proportional to the number of sandflies landing on an individual per unit time, that is,

$$\rho \propto \ell.$$
 (6)

 $\mathbf{6}$ 

Hence, the total number of effective landings on all humans from all sandflies per unit time is

$$\ell N_h \frac{S_v}{N_v} + \ell N_h \frac{I_v}{N_v},\tag{7}$$

where the first (second) term of (7) accounts for the total number of effective landings on all humans from 165 all susceptible (infected) sandflies per unit time. That is, the total effective landing/feeding of vectors on 166humans is a function of the total vector population, which includes both susceptible and infected vectors. 167 In epidemiology, of importance are only the two cases when landing occurs from a susceptible sandfly 168on an infected human and from an infected sandfly on a susceptible human, as they are the cases where 169landing results in transmission of VL from humans to sandflies and vice versa. In other words,  $\ell N_h$  is 170 the total number of effective landings per unit time, while  $\ell N_h \frac{I_v}{N_v}$  is the proportion of bites that result 171in infecting new hosts. Therefore,  $\ell N_h \frac{S_v}{N_v}$  is the proportion of bites that get "wasted" since they cannot 172173generate infections.

If  $\beta_{vh}$  is the per-person transmission efficiency (that is, probability that infection is successfully transmitted from vector to human given an infected bite), then the rate at which VL is transmitted to humans is

$$\lambda_{vh} = bm_{v:h}\beta_{vh}\frac{I_v}{N_v} \approx \rho\beta_{vh}\frac{I_v}{N_v} \approx \ell\beta_{vh}\frac{I_v}{N_v} \tag{8}$$

using Equations (5) and (6).

Similarly, we can derive the infection rate in the vector population generated by infected humans. If  $\bar{\ell}$  accounts for the average number of times a sandfly lands on humans per unit time, then the total number of effective landings by all sandflies on all humans is

$$\bar{\ell}N_v\frac{S_h}{N_h} + \bar{\ell}N_v\frac{A_h}{N_h} + \bar{\ell}N_v\frac{I_h}{N_h} + \bar{\ell}N_v\frac{T_h}{N_h} + \bar{\ell}N_v\frac{R_h}{N_h}.$$

It should be noted that the total  $\ell N_h = \bar{\ell} N_v$  and that, while accounting for new incidences in sandflies, we are interested in landings occurring from susceptible sandflies on infected humans only. Hence, the term

$$\bar{\ell}N_v \frac{I_h}{N_h} = \ell N_h \frac{I_h}{N_h} = \ell I_h$$

is the one that plays a role in accounting for new sandflies incidences, while the remaining terms aren't. If we let  $\beta_{hv}$  be the per-person transmission efficiency from human to vector (i.e., transmission probability per bite on infectious humans that leads to infection in a susceptible sandfly), then the total number of sandflies who acquire infection while effectively landing on infected humans per unit time is

$$\lambda_{hv}S_v = \beta_{hv}\ell I_h \frac{S_v}{N_v} = \beta_{hv}\ell \frac{I_h}{N_h} \frac{1}{m_{v:h}}S_v \approx \beta_{hv}b \frac{I_h}{N_h}S_v$$
(9)

using Equations (5) and (6).

# 176 3 Analysis

177 In this section, we derive from the model an expression for the average number of secondary infections 178 generated by an infected individual (referred here as the control reproduction number), as well as ex-

pressions for the prevalence of different types of the populations. We also discuss the procedures used for

180 estimating model parameters.

# 181 3.1 Stability Analysis

The analysis of Model (1)–(2) shows that it has two equilibriums, namely, the Disease Free Equilibrium (DFE) and Endemic Equilibrium (EE). The existence and stability of the equilibriums depends on the threshold ratio, the reproduction number, first introduced by Sir Ronald A. Ross in his 1911 seminal work on malaria [41] and it provides a measure of the risk posed by an invading disease in a population without any intervention for the disease. The control reproduction number,  $\mathcal{R}_C$ , is a similar ratio defined as the number of secondary infections caused by a single infective introduced in a primarily susceptible population (i.e.,  $N \approx S_0$ ) but in the presence of interventions [12, 20, 53, 84].

Using our model, we compute  $\mathcal{R}_C$  using the next generation operator approach [12, 13, 84], a process that requires the computation of the matrix of new infection terms, **F**, and the matrix of transition between compartments, **V**. The  $\mathcal{R}_C$  is the spectral radius of the next generation matrix,  $\rho(\mathbf{FV}^{-1})$  (see section **B.1** for derivation), in the presence of treatment program (where the treatment rate is  $\theta_h$ ) and is given by

$$\mathcal{R}_C(\theta_h) = \sqrt{\left(\frac{\phi_h}{(\mu_h + \phi_h)} \cdot \frac{\beta_{vh}\ell}{(\mu_h + \theta_h)}\right) \cdot \left(\frac{b\beta_{hv}}{\mu_v}\right)}$$
(10)

where  $\ell$  is the landing rate on a human, b is the biting rate per sandfly, and  $\beta_{vh}$  the number of infections in humans generated by one infected vector. The expression  $\frac{\phi_h}{(\mu_h + \phi_h)} \cdot \frac{\beta_{vh}\ell}{(\mu_h + \theta_h)}$  is the average number of new cases vectors generated by one infected human and  $\frac{b\beta_{hv}}{\mu_v}$  represent average number of new cases in humans produced by one infected vector. Hence,  $\mathcal{R}_C(\theta_h)$  is given by the geometric mean of two sub "reproduction" numbers

$$\mathcal{R}^{hv}\left(\theta_{h}\right) = \frac{\phi_{h}}{\left(\phi_{h}+\mu_{h}\right)} \cdot \frac{\ell\beta_{vh}}{\left(\theta_{h}+\mu_{h}\right)} \quad \text{and} \quad \mathcal{R}^{vh} = \frac{b\beta_{hv}}{\mu_{v}} \tag{11}$$

where  $\mathcal{R}^{hv}(\theta_h)$  is interpreted as the number of secondary infections caused in humans through a bite of a single typical infectious sand fly into an entirely susceptible host population in the presence of treatment program while  $\mathcal{R}^{vh}$  denotes the number of secondary infections in female sandflies caused by one newly introduced infected human.

193 Remark 3.1. The DFE of Model (1–2) always exist and is globally asymptotically stable (LAS) if 194  $\mathcal{R}_C(\theta_h) < 1$  and unstable if  $\mathcal{R}_C(\theta_h) > 1$ . (see Appendix B.4 for proof)

195 Remark 3.2. The EE exists and is globally stable only when  $\mathcal{R}_C(\theta_h) > 1$  (see Section B.4 for proof).

#### <sup>196</sup> 3.2 Robustness Analysis

197 VL has received comparatively much less attention by researchers and policy makers as compared to 198 many other tropical diseases and hence, is classified as one of the neglected diseases by WHO. There are 199 limited number of studies that collect data to study VL patterns and even fewer studies that use such 200 data in a dynamical model for evaluating control programs. In this research, we carry out a thorough 201 literature review to identify what data is available and what is missing that may be needed to understand 202 comprehensively VL dynamics for two most affected countries in the world.

Since  $\mathcal{R}_C$  plays a key role in the transmission dynamics of VL and parameters are often not precisely 203measured in India and Sudan, studying parameter sensitivity of the model outputs including on  $\mathcal{R}_{C}$ 204becomes important if we wish to identify the pressure points of the system. Uncertainty (UA) and 205206 sensitivity (SA) analyses are used here to assess the robustness in the model results as a function of uncertainty in the estimated model parameters from available data. The analyses rely on the Latin 207Hypercube Sampling (LHS) and require the computation of the Partial Rank Correlation Coefficient 208(PRCC), a sensitivity index with respect to each of the model parameters [11, 54, 55]. The LHS scheme 209includes the generation of a stratified random sampling that ensures a systematic optimal exploration 210of the feasible parameter space. In the sampling, an input parameter X with a pre-defined probability 211

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distribution function (PDF) is divided into N equiprobable subintervals. From each subintervals, a value is sampled. The N values for this parameter are randomly paired with the the corresponding N values of other parameters generated in the same way. The PRCCs is used to measure the degree of linear association between a model output and a parameter from a set of parameters, after influence of linearity from all other parameters of the set had been eliminated [54]. The calculated PRCCs and corresponding p-values value are used to rank sensitivity of the parameters to the output variable. The PRCC value of each imput parameter is considered statistically significant, with p-value< 0.05, if PRCC > |0.3|.

Multiple data sources and reports were considered to obtain point estimates for each of the model 219parameters for which precise value was not obtained. Using the point estimates, a theoretical distri-220 221 bution is fitted to available data for corresponding parameter and random samples were generated via distribution. We assess the impact of variation in model parameters on estimates, as well as the level 222 of influence, of each, on estimates of  $\mathcal{R}_C$  and the country specific prevalence. We develop approaches 223using our dynamic model to estimate country specific (India and Sudan) parameters for which data was 224unavailable and performed parametric uncertainty and sensitivity analysis on model based metrics that 225defines risk based on four different definitions: (i)  $\mathcal{R}_C$ , (ii) prevalence of asymptomatic humans, (iii) 226 227 prevalence of symptomatic humans, or (iv) prevalence of infectious vectors.

# 228 4 Results

# 229 4.1 Parameter Estimation

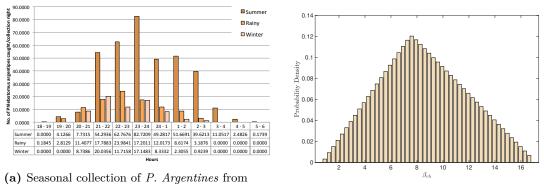
Model parameter estimates, and their ranges, and distributions were obtained for India and Sudan using 230prevalence data, published literature, and methodology developed in sections below [22, 27, 51, 77, 86]. In 231the case of the species of *Phlebotomus sandflies*, most of the parameter estimates were taken from data 232collected via field studies in parasitology and ecology literature [22, 27, 51]. We provide details of all pa-233 rameter estimates in Section C of Appendix and a summarize them in Table 2. We estimated parameters, 234235for which precise data could not be obtained for India and Sudan, via our two developed approaches. In the next Section 4.1.2 we give a detailed discussion and procedure for estimating transmission probabilities 236of the model for both countries. 237

#### 238 4.1.1 Landing rate

239The nocturnal activities of various sandfly species start at around 6:00 pm - 9:00 pm, peaks between the hours of 11:00 pm - 1:00 am and ends between the hours of 3:00 pm and 6:00 am. A rapid rise 240to a maximum pick and then a sharp decline observed in data from various field studies suggest the 241 probability distribution for biting and landing rate would best be fitted with a triangular distribution. 242243However, most data represented more closely to landing rates and hence, in this section we show the fits of landing rate distribution. From the fitted data for each respective countries, the shape parameters 244245 (min, max, and mode) for the triangular distribution for landing rate was estimated from the sandflies trap data (see Figures 3a and 3b for P. Argentines and Figures 4a and 4b for P. Orientalis). 246

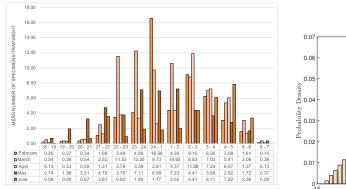
#### 247 4.1.2 Approaches for Estimating the Transmission Probabilities

Lack of active surveillance, effective case identification and case management results in under-reporting of cases and uncertainties in epidemiological parameter. A survey of the literature on mathematical studies on VL dynamics revealed that estimates obtained for the transmission probabilities for VL are often based on corresponding estimates for malaria, dengue and other well-studied vector-borne diseases. Consequently, borrowing of parameter estimates from other established vector-borne models can contribute epistemic uncertainties in the epidemic threshold and underestimate or overestimate model predictions. To understand the impact of these uncertainties on parameter estimates, we used ranges for parameters

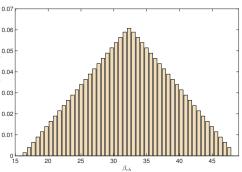


sampling sites in Bihar, India; female sandflies per (b) Estimated distribution of landing rate for house per night using CDC Light Traps from [45] India using collection data

**Figure 3.** Collected data of *P. Argentines* was first averaged over seasons and then fitted to the triangular distribution to estimate parameters of the distribution representing landing rate. The mean and 95% Confidence Interval for landing rate distribution are given in Table 2.



(a) Monthly collections of *P. Orientalis* specimens/trap/night from sampling sites in neighboring country of Sudan, Ethopia [33]



(b) Distribution estimated using trap data from northern Ethiopia

**Figure 4.** Collected data of *P. Orientalis* was first averaged over months and then fitted to the triangular distribution to estimate parameters of the distribution representing landing rate. The mean and 95% Confidence Interval for landing rate distribution are given in Table 2.

for which we can obtain data with relatively high certainty and mathematical methods to estimate the parameters representing transmission probabilities required in our model. Two novel approaches, that uses endemic prevalence from the model, were designed to estimate the transmission probabilities as described in the next two sub-sections. Note, the unique endemic equilibrium of the model is stable (as shown in the Section 3) and is given by

$$E^{*} = (S_{h}^{*}, A_{h}^{*}, I_{h}^{*}, T_{h}^{*}, R_{h}^{*}; S_{v}^{*}, I_{v}^{*}) \\ = \left(\frac{\Lambda_{h}}{\mu_{h}} \frac{(\mathcal{R}^{2} + \beta_{vh}) \beta_{vh}}{\mathcal{R}_{C}^{2} (\ell\beta_{vh} + \mu_{h})}, \frac{\Lambda_{h} (\mathcal{R}_{C}^{2} - 1) G_{2} \mu_{v}}{b\phi_{h} (\ell \beta_{vh} + \mu_{h}) \beta_{hv}}, \frac{A_{h}^{*} \phi_{h}}{G_{2}}, \frac{A_{h}^{*} \phi_{h}}{G_{2}G_{3}}, \frac{A_{h}^{*} \phi_{h} \theta_{h} \gamma_{h}}{G_{2}G_{3} \mu_{h}}; \frac{\Lambda_{h} \Lambda_{v}}{S_{h}^{*} \mu_{h} \mu_{v} \mathcal{R}_{C}^{2}}, \frac{\mu_{h} \beta_{hv} b I_{h}^{*} S_{v}^{*}}{\mu_{v} \Lambda_{h}}\right) \\ = \left(\frac{\Lambda_{h}}{2} \left(\frac{\mathcal{R}_{v}^{2} + \beta_{vh}}{\mathcal{R}_{C}^{2} (\ell\beta_{vh} + \mu_{h})}, \frac{\Lambda_{h} (\mathcal{R}_{C}^{2} - 1) G_{2} \mu_{v}}{\theta_{v} \Lambda_{h}}, \frac{A_{h}^{*} \phi_{h}}{G_{2}G_{3}}, \frac{A_{h}^{*} \phi_{h} \theta_{h} \gamma_{h}}{G_{2}G_{3} \mu_{h}}; \frac{\Lambda_{h} \Lambda_{v}}{S_{h}^{*} \mu_{h} \mu_{v} \mathcal{R}_{C}^{2}}, \frac{\mu_{h} \beta_{hv} b I_{h}^{*} S_{v}^{*}}{\mu_{v} \Lambda_{h}}\right) \\ = \left(\frac{\Lambda_{h}}{2} \left(\frac{\mathcal{R}_{v}^{2} + \beta_{vh}}{\mathcal{R}_{C}^{2} (\ell\beta_{vh} + \mu_{h})}, \frac{\Lambda_{h} (\mathcal{R}_{C}^{2} - 1) G_{2} \mu_{v}}{\theta_{v} \Lambda_{h}}, \frac{A_{h}^{*} \phi_{h}}{G_{2}G_{3}}, \frac{A_{h}^{*} \phi_{h} \theta_{h} \gamma_{h}}{G_{2}G_{3} \mu_{h}}; \frac{\Lambda_{h} \Lambda_{v}}{S_{h}^{*} \mu_{h} \mu_{v} \mathcal{R}_{C}^{2}}, \frac{\mu_{h} \beta_{hv} b I_{h}^{*} S_{v}^{*}}{\mu_{v} \Lambda_{h}}\right)$$

11

where  $G_1 = \phi_h + \mu_h$ ,  $G_2 = \theta_h + \mu_h$ , and  $G_3 = \gamma_h + \mu_h$ . The explicit expressions of the infected components of the endemic equilibrium are

$$A_{h}^{*} = \frac{b\ell \Lambda_{h}\Lambda_{v}\beta_{hv}\beta_{vh}\phi_{h} - G_{1}G_{2}N_{h}N_{v}\mu_{h}\mu_{v}^{2}}{\beta_{hv}\phi_{h}\left(\ell \Lambda_{v}\beta_{vh} + N_{v}\mu_{h}\mu_{v}\right)G_{1}b} \ge 0$$

$$(12)$$

$$T_{h}^{*} = \frac{b\ell \Lambda_{h}\Lambda_{v}\beta_{hv}\beta_{vh}\phi_{h} - G_{1}G_{2}N_{h}N_{v}\mu_{h}\mu_{v}^{2}}{\beta_{hv}\left(\ell \Lambda_{v}\beta_{vh} + N_{v}\mu_{h}\mu_{v}\right)G_{2}G_{1}b} \ge 0$$

$$(13)$$

$$I_v^* = \frac{b\ell \Lambda_h \Lambda_v \beta_{hv} \beta_{vh} \phi_h - G_1 G_2 N_h N_v \mu_h {\mu_v}^2}{\ell \beta_{vh} (b\Lambda_h \beta_{hv} \phi_h + G_1 G_2 N_h \mu_v) \mu_v} \ge 0$$
(14)

Since VL is endemic in both India and Sudan, we use these expression to obtain prevalences and thereby use them to estimate transmission probabilities (i.e.  $\beta_{vh}, \beta_{vh}$ ). We assume  $\Lambda_h = \mu_h N_h$  and  $\Lambda_v = \mu_v N_v$ and hence, the host and vector populations becomes constant. The prevalences in humans and sandflies populations are given by

$$\mathcal{P}_{\mathcal{I}_{h}} = \frac{I_{h}^{*}}{N_{h}^{*}} = \frac{\left(\ell \, b\varphi \, \beta_{hv} \beta_{vh} - G_{1} G_{2} \mu_{v}\right) \mu_{h}}{\beta_{hv} \left(\ell \, \beta_{vh} + \mu_{h}\right) G_{2} G_{1} b} \quad (15a) \qquad \mathcal{P}_{\mathcal{I}_{v}} = \frac{I_{v}^{*}}{N_{v}^{*}} = \frac{\left(\ell \, b\varphi \, \beta_{hv} \beta_{vh} - G_{1} G_{2} \mu_{v}\right) \mu_{h}}{\ell \beta_{vh} \left(b\varphi \beta_{hv} \mu_{h} + G_{1} G_{2} \mu_{v}\right)} \quad (15b)$$

**Approach 1:** Fixing all model parameters for which data was available and assuming that humans and vectors prevalences are known, we obtain simultaneous equations in  $\beta_{hv}$  and  $\beta_{vh}$  using Equations (15a) and (15b). Solving the simultaneous equations, we get

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$$\beta_{hv} = \frac{\mu_v \mathcal{P}_{\mathcal{I}_v}}{b \mathcal{P}_{\mathcal{I}_h} \left(1 - \mathcal{P}_{\mathcal{I}_v}\right)} \tag{16a} \qquad \beta_{vh} = \frac{G_1 G_2 \mathcal{P}_{\mathcal{I}_h} \mu_h}{\ell \mathcal{P}_{\mathcal{I}_v} \left(\phi \,\mu_h - G_1 G_2 \mathcal{P}_{\mathcal{I}_h}\right)} \tag{16b}$$

The equations (16a) and (16b) along with the estimates of other model parameters and known sample host and vector prevalences are used to obtain estimates of the transmission probabilities. The estimated distributions using the this approach are given in Figure 5.

**Approach 2:** In this approach, we rely on estimates of  $\mathcal{R}_C$  from modeling studies in literature to estimate the transmission probabilities for the two countries. Using Equation (10), the expressions (15a) and (15b) for the prevalences can be rewritten in terms of  $\mathcal{R}_C$  as follows:

$$\mathcal{P}_{\mathcal{I}_h} = \frac{\left(\mathcal{R}_C^2 - 1\right)\mu_v\mu_h}{\beta_{hv}\left(\ell\beta_{vh} + \mu_h\right)b} \tag{17a} \qquad \mathcal{P}_{\mathcal{I}_v} = \frac{\left(\mathcal{R}_C^2 - 1\right)\mu_h}{\beta_{vh}\left(\mathcal{R}_C^2 + \ell\right)} \tag{17b}$$

**267** Isolating  $\beta_{vh}$  and  $\beta_{hv}$  from (17a) and (17b) we obtain,

$$\beta_{hv} = \frac{\mu_v \mathcal{P}_{\mathcal{I}_v} \left( \mathcal{R}_C - 1 \right) \left( \mathcal{R}_C + 1 \right) \left( \mathcal{R}_C^2 + \ell \right)}{\mathcal{P}_{\mathcal{I}_h} \left( \left( \mathcal{R}_C^2 + \mathcal{P}_{\mathcal{I}_h} - 1 \right) \ell + \mathcal{P}_{\mathcal{I}_v} \mathcal{R}_C^2 \right) b} \quad (18a) \qquad \qquad \beta_{vh} = \frac{\left( \mathcal{R}_C^2 - 1 \right) \mu_h}{\mathcal{P}_{\mathcal{I}_v} \left( \mathcal{R}_C^2 + \ell \right)} \tag{18b}$$

270 The estimated distributions using the this approach are given in Figure 5.

## 4.2 Parameter uncertainty and sensitivity analyses

Parameter uncertainty and sensitivity analyses are performed on two different quantities: the reproduction number ( $\mathcal{R}_{C_I}$  for India and  $\mathcal{R}_{C_S}$  for Sudan) and the Prevalence of the infected populations ( $\mathcal{P}_{\mathcal{A}_h}$ ,  $\mathcal{P}_{\mathcal{I}_h}$ , and  $\mathcal{P}_{\mathcal{I}_v}$ ). These analyses are used to assess which of the eight input parameters (b,  $\ell$ ,  $\beta_{hv}$ ,  $\beta_{vh}$ ,  $\mu_h$ ,  $\mu_v$ ,  $\phi_h$ , and  $\theta_h$ ) are most significant to estimating disease patterns.

# 276 4.2.1 On $\mathcal{R}_C$

Uncertainty and sensitivity analyses on the control reproduction number  $\mathcal{R}_C$  (the outcome variable) assess critical parameters to disease dynamics. We fit a parametric probability density function (PDF) for each

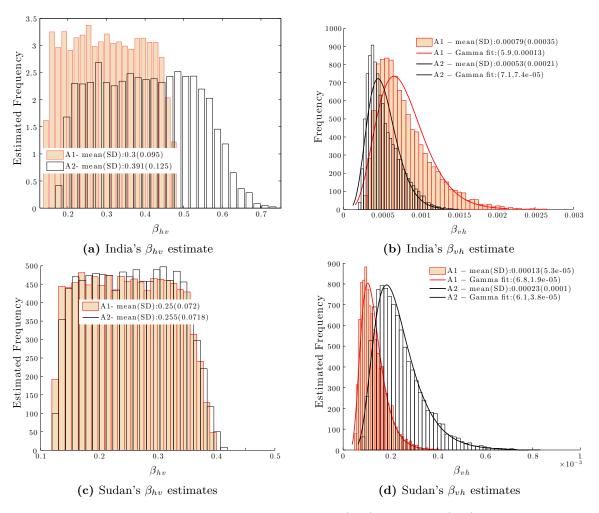


Figure 5. Estimated distribution of  $\beta_{vh}$  and  $\beta_{hv}$  for India (a–b) and Sudan (c–d), respectively. A1 (A2) represents the distribution obtained using Approach 1 (Approach 2). A visual comparison of the fitted gamma distribution together with the model obtained estimated transmission probabilities,  $\beta_{vh}$ .

of the eight parameters to respective available data. In the case of the parameters  $b, \mu_v, m_{h:v}, \beta_{vh}$ 279 $\beta_{hv}$ ,  $\mu_h$ , and  $\mu_v$  a uniform distribution was generated since the minimum and maximum point estimates 280 were only found in the literature. The parameters,  $\phi_h$ , and  $\theta_h$  were assigned a gamma distribution as 281 estimated in previous study based on inverse problem approach (Mubayi et al. [57]). For each of the eight 282 parameters with assigned probability distributions, sample sizes of 10,000 values were randomly generated 283over ten independent realizations. Using LHS technique, in each of the realizations we paired randomly 284 the first N samples of the first column (samples of first parameter) with N samples from the second 285column (samples of second parameter). After all eight parameters were paired without replacement; an 286287 LHS matrix was generated with rows and columns corresponding to parameters and entries of the LHS samples. Each row of parameters in the LHS matrix were considered to be random inputs variables for 288 generating one value of  $\mathcal{R}_C$  using Equation (10). Thus,  $N \times p$  LHS matrix (where p represents number 289 of parameters on which  $\mathcal{R}_C$  depends) results in N samples for  $\mathcal{R}_C$  in each realization. 290

After 10 realizations, the mean  $(\mu)$  of point estimates of  $\mathcal{R}_C$ , standard error  $(\sigma)$  of  $\mathcal{R}_C$ , and the probabilities that  $\mathcal{R}_C$  estimates fall below and above the threshold value one for India and Sudan were

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293 collected (Table ??). The mean estimated value for  $\mathcal{R}_C$  for India was found to be approximately 2.11, which greater than the corresponding mean estimated value of 1.30 for Sudan. The fact that India has 294the highest estimated incidence in the world (146,700 to 282,800 per year) roughly twice of that in Sudan 295having the highest in Africa (15,700 to 30,300 per year) [5,6], is not enough unless we are able to re-scale 296 them appropriately, to make any conclusions on that largest differences in  $\mathcal{R}_{C}$ -values. These estimates 297of  $\mathcal{R}_C$  confirm the current VL status in India and Sudan. The difference in the magnitude of  $\mathcal{R}_C$  may be 298attributable to the fact that India carries a much greater burden of all new VL cases (almost more than 29950%) worldwide. 300

Statistical analysis on the differences in the means of  $\mathcal{R}_C$  for India and Sudan was carried out using a t-test with  $H_0: M_I = M_S$  against  $H_1: M_I \neq M_S$  where the mean of  $\mathcal{R}_C$  for India was denoted as  $M_I$ and for Sudan  $M_S$ . The analysis suggested rejection of null hypothesis (Table 4), that is, the obtained point estimates of  $\mathcal{R}_C$  between India and Sudan are statistically different. Now that we have concluded that  $\mathcal{R}_C$  for India is indeed significantly higher than that the one for Sudan using model generated  $\mathcal{R}_C$ , re-scaled by population size, we proceed to determine what are the parameters that if modified generates the larger change in  $\mathcal{R}_C$ .

The PRCCs were calculated for each country in order to quantify sensitivity of model parameters on the  $\mathcal{R}_C$  estimates. We observe the sign and the magnitude of the PRCC values for each parameter above the line  $y = \pm 0.3$  for each respective country.

311 4.2.2 On endemic prevalences  $(\mathcal{P}_{\mathcal{A}_h}, \mathcal{P}_{\mathcal{I}_h}, \text{ and } \mathcal{P}_{\mathcal{I}_v})$ 

Parameter uncertainty and sensitivity analyses are also performed on the Prevalence of the infected populations  $(\mathcal{P}_{\mathcal{A}_h}, \mathcal{P}_{\mathcal{I}_h}, \text{ and } \mathcal{P}_{\mathcal{I}_v})$ . These analysis are used to assess which of the same eight input parameters  $(b, \ell, \beta_{hv}, \beta_{vh}, \mu_h, \mu_v, \phi_h, \text{ and } \theta_h)$  are most significant to estimating endemic prevalences.

As described in the Section 4.2.1 on  $R_C$ , the similar sensitivity and uncertainty analysis procedure was carried out on the endemic prevalences for both the countries. However, higher number of samples (50,000) for each parameter were obtained. The first 10,000 sample-sets (out of the 50,000) that resulted in  $\mathcal{R}_C > 1$  (condition for existence of the endemic prevalence) were eventually used in the analysis. This is because that endemic equilibrium only exists and stable when  $\mathcal{R}_C > 1$ .

# 320 4.3 Assessment for India

#### 321 4.3.1 Uncertainty and Sensitivity Analysis on $\mathcal{R}_{C_I}$

The estimated distribution of  $\mathcal{R}_{C_I}$  from uncertainty analysis, is shown in Figure 7a. The mean estimate of  $\mathcal{R}_{C_I}$  for India is found to be 2.05 with a standard deviation of 1.09. The sensitivity analysis of  $\mathcal{R}_{C_I}$ provides the ranking of parameters based on their influence on  $\mathcal{R}_{C_I}$  (Figure 7e). In decreasing order of influence, the parameter ranking was  $\theta_h$ , being the most sensitive parameter, followed by b,  $\ell$ ,  $\beta_{vh}$ ,  $\beta_{hv}$ , and the least sensitive parameters are  $\phi_h$  followed by  $\mu_v$ .

#### 327 4.3.2 Uncertainty and Sensitivity Analysis on the three Endemic Prevalences

The estimated distributions of prevalence  $(\mathcal{P}_{\mathcal{A}_h}, \mathcal{P}_{\mathcal{I}_h}, \text{ and } \mathcal{P}_{\mathcal{I}_v})$  are shown in Figure 7b–7d. The mean 328 estimate of  $\mathcal{P}_{\mathcal{A}_h}$  was found to be 0.0045 with a standard deviation of 0.0019. The parameter  $\phi_h$  was 329 found to be the most influential parameter on the prevalence of asymptomatic,  $\mathcal{P}_{\mathcal{A}_h}$ . The remaining 330 parameters in descending order of magnitude of PRCC were,  $\theta_h$ ,  $\ell$ ,  $\beta_{vh}$ , and  $\beta_{hv}$ , with  $\mu_v$  and  $\mu_h$  being 331 least sensitive parameters to  $\mathcal{P}_{\mathcal{A}_h}$ . The sensitivity analysis performed on  $\mathcal{P}_{\mathcal{I}_h}$  reveal that the treatment 332 rate,  $\theta_h$  is the most influential parameter for changing disease prevalence. The mean estimates of vector 333 prevalence were found to be 0.0526 with a with a standard deviation of 0.0432. From our sensitivity 334 analysis of  $\mathcal{P}_{\mathcal{I}_v}$  we observe in Table 7 and Figure 7h, that there are four most influential parameters. 335These parameters in decreasing order of ranks, are  $\theta_h$ ,  $\beta_{vh}$ , b and  $\mu_v$ . 336

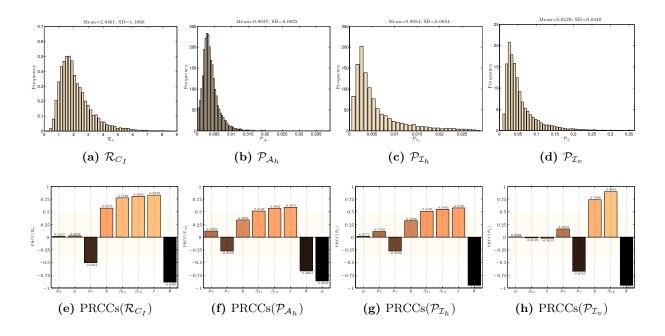


Figure 7. Results for India: Uncertainty in the Reproduction Number (Subfigure 7a) and the Prevalence (Subfigures 7b -7d) of Asymtomatics, Infectious Humans and Infectious Sandflies, respectively. Tornado plot showing partial rank correlation coefficients (PRCCs) of the Reproduction number (7e) and the Prevalence (7f -7h) in Asymtomatics, Infectious Humans and Infectious Sandflies, respectively.

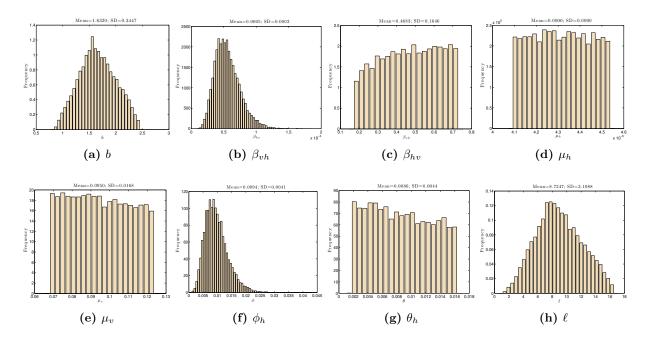
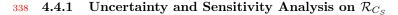


Figure 6. Parameter distributions conditional on  $\mathcal{R}_C > 1$  for India obtained from uncertainty analysis of the prevalence

# 337 4.4 Assessment for Sudan



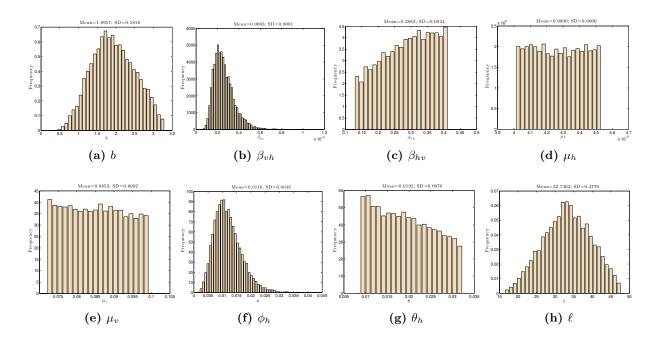
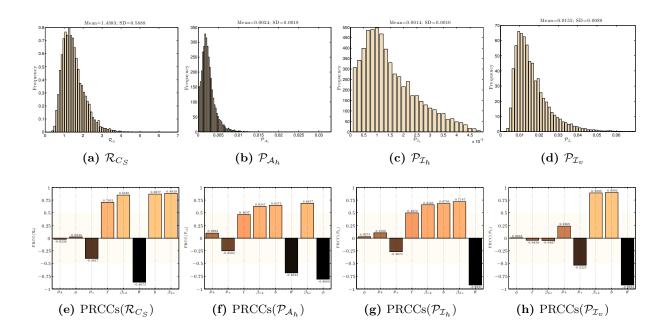


Figure 8. Estimated distributions of the model parameters conditional on  $\mathcal{R}_{C_S} > 1$  for Sudan obtained from uncertainty analysis of the prevalence

The result of uncertainty analysis on  $\mathcal{R}_{C_S}$  is shown in Figure 9a, where the mean estimate of  $\mathcal{R}_{C_S}$  is 1.43, and the standard deviation is 0.6. From the Table 8 and Figure 9a we observe  $\beta_{hv}$ , b,  $\theta_h$ ,  $\beta_{vh}$ ,  $\ell$ , and  $\mu_v$ are most sensitive (in order of ranking) to  $\mathcal{R}_{C_S}$ . The first negatively correlated parameter was  $\theta_h$  which indicated that treatment is effective for controlling infection, followed by  $\mu_v$  which may relate to the impact of vector related control programs. The top two most positive parameters (i.e., positive PRCC) were  $\beta_{hv}$  and b, which indicates that sandflies parameters may play a significant role in the estimation of  $\mathcal{R}_{C_S}$ .

#### 346 4.4.2 Uncertainty and Sensitivity Analysis on the Endemic Infected Prevalence

For the asymptomatic prevalence,  $\mathcal{P}_{\mathcal{A}_h}$ , we estimated a mean prevalence of 0.0024 with a standard 347 deviation of 0.0018. Results of uncertainty analysis is shown for Sudan in Figure 9b. From Table 8 and 348 Figure 9f, we observe that the prevalence of asymptomatic population is negatively correlated but most 349 sensitive to  $\phi_h$ , followed by the parameters  $\beta_{hv}$ ,  $\theta_h$ ,  $\theta_h$ ,  $\beta_{vh}$ , and  $\ell$ . The natural death rates,  $\mu_v$ , and 350 $\mu_h$ , in humans and sand flies, respectively were the least sensitive input parameters to the prevalence 351 of asymptomatic humans. From our uncertainty analysis on  $\mathcal{P}_{\mathcal{I}_h}$  (Figure 9c), we found the average 352 prevalence estimate to be 0.0014 with a standard deviation of 0.0010. The results of our sensitivity 353 analysis, summarize in Table 8 and displayed in Figure 9g shows that the treatment rate of infectious 354humans,  $\theta_h$ , is the most influential parameter in determining prevalence level of clinical infection in 355 humans. The infection related parameters,  $\beta_{hv}$ , b,  $\beta_{vh}$  and  $\ell$ , also plays a dominant role in disease 356persistence, but less than  $\theta_h$ . Finally, the result of uncertainty analysis on the prevalence of infection 357 in sand flies,  $\mathcal{P}_{\mathcal{I}_v}$ , shown in Figure 9d. The estimated sample mean of  $\mathcal{P}_{\mathcal{I}_v}$  is 0.0155 with a standard 358



**Figure 9.** Results for Sudan: Uncertainty of the Reproduction Number (Subfigure 9a) and the Prevalence (Subfigures 9b -9d) of Asymtomatics, Infectious Humans and Infectious Sandflies, respectively. Tornado plot showing partial rank correlation coefficients (PRCCs) of the Reproduction Number (Subfigure 9e) and the Prevalence (Subfigures 9f -9h) of Asymtomatics, Infectious Humans and Infectious Sandflies, respectively.

deviation of 0.0088. Our analysis identified the parameters sensitivity to changes in  $\mathcal{P}_{\mathcal{I}_{v}}$  (Table 8 and

Figure 9h). The result shows that the treatment rate,  $\theta_h$  is the most dominant parameter followed by b,

361  $\beta_{vh}$ , and  $\mu_v$ . The less influential parameters on  $\mathcal{P}_{\mathcal{I}_v}$  are  $\mu_h$ ,  $\ell$ ,  $\phi_h$ , and  $\beta_{vh}$ .

# 362 4.5 Comparative Assessment of VL in India and Sudan

Parameter estimates were obtained either from the literature or estimated from field data, and were used for an evaluation of country-specific risks. The risk was quantified to study differences and similarities in VL disease burden in India and Sudan. In this section, we conduct comparative (between two countries) assessment by studying impact of change in parameter estimations on VL disease burden in these two countries when risk is measured either in terms of  $\mathcal{R}_C$  or prevalence of infection. The assessment was based on uncertainty and sensitivity analyses.

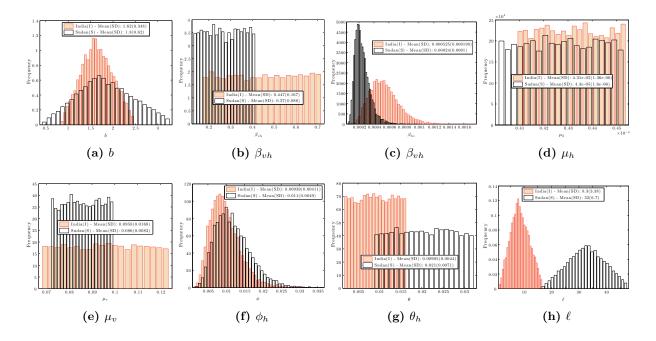


Figure 10. A comparison of initially assigned distributions in Table 6 for model parameters (a) b, (b)  $\beta_{vh}$ , (c)  $\beta_{hv}$ , (d)  $\mu_h$ , (e)  $\mu_v$ , (f)  $\phi_h$ , (g)  $\theta_h$  and (h)  $\ell$  used in the sensitivity and uncertainty analyses for the Indian and Sudan populations

#### 369 4.5.1 Comparison when risk is defined based on reproduction number

The observed difference in the mean estimate of  $\mathcal{R}_{C_I}$  ( $\approx 2.0$ ) and  $\mathcal{R}_{C_S}$  ( $\approx 1.4$ ) could be because India 370 has much higher levels of endemicity (almost more than 40%) as compared to Sudan. Statistical test was 371 carried out to identify if there exist any significant differences in the estimated means of  $\mathcal{R}_C$  for India and 372Sudan (t-test with  $H_0: \mu(\mathcal{R}_{C_s}) = \mu(\mathcal{R}_{C_I})$  against  $H_1: \mu(\mathcal{R}_{C_s}) \neq \mu(\mathcal{R}_{C_I})$  where the  $\mu$  represents mean 373 of  $\mathcal{R}_{C_I}$  and  $\mathcal{R}_{C_S}$ ). The analysis suggested rejection of null hypothesis (Table 9), that is, the obtained 374 point estimates of  $\mathcal{R}_C$  between India and Sudan are different. We also performed Kolmogorov-Smirnov 375 test between empirical distributions of  $\mathcal{R}_C$  for the two countries and found that empirical distributions 376are not the same. 377



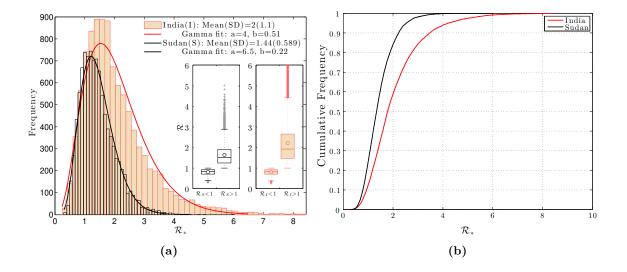


Figure 11. (a) The comparison between estimated distributions of  $\mathcal{R}_C$  for India and Sudan. The box plot compares the mean( $\circ$ ), median, minimum, and maximum of  $\mathcal{R}_C$  estimates for both countries. It is found that the gamma, is a best-fitted distribution for the samples from the uncertainty analysis. Table 2 summarizes the parameter fitting for the gamma distribution for both countries. (b) The empirical cumulative distributions of the  $\mathcal{R}_C$ s for India and Sudan

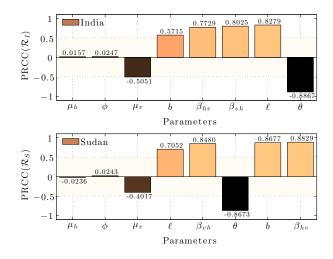


Figure 12. Tornado diagrams of partial rank correlation coefficients, indicating the importance of all eight input parameters that influence the threshold quantity  $\mathcal{R}_C$ . Figure shows a comparison of sensitivity indices for India and Sudan. In both regions, the parameters that have PRCC > 0 indicates an increasing influence on  $\mathcal{R}_C$  values and those having PRCC < 0 will decrease  $\mathcal{R}_C$  values.

The outcome of the sensitivity analysis (shown in Table 10 and Figures 12; in order of magnitude) highlights difference in influence of parameters for India and Sudan. In Figures 12 we observe the sign and the magnitude of the PRCC values for each parameter. We observe that all parameter, (namely, b,  $\ell$ ,  $\beta_{hv}$ ,  $\beta_{vh}$ , and  $\theta_h$ ) are the most important parameters of  $\mathcal{R}_C$  for both countries. The parameters b,  $\ell$ ,  $\beta_{vh}$ , and  $\beta_{hv}$  with positive PRCC values indicate positive impact on  $\mathcal{R}_C$  for both countries. The parameter

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 $\theta_h$  plays a negative role on the estimation of  $\mathcal{R}_C$ , that is, one unit increase in  $\theta_h$  will result in one unit decrease in  $\mathcal{R}_C$  estimate.

# 385 4.5.2 Comparative assessment if risk is based on different prevalences

**Point Prevalence of Asymptomatic**  $(\mathcal{P}_{\mathcal{A}_h})$ : Although the level of  $\mathcal{P}_{\mathcal{A}_h}$ , can be determined by how 386 much  $\mathcal{R}_{C}$  is greater than unity, it is useful to understand the risk posed by an asymptomatic individuals 387 during intensive control. We show that there is a significant difference between the point prevalence 388 of asymptomatic for India (Mean(SD)=0.0037 (0.003)) and Sudan (Mean(SD)=0.0024 (0.002)). There 389 is also a significant statistical difference between the  $\mathcal{P}_{\mathcal{A}_{h}}$ -distribution of the two countries (two-sample 390 Kolmogorov–Smirnov test, p < 0.050). Combining the results in section 4.3.2 and 4.4.2 we compare the 391 results of sensitivity analysis on  $\mathcal{P}_{\mathcal{A}_h}$  for both countries. We observe from Figure 13c that the most 392 sensitive parameter to both countries in descending order are  $\phi_h$ ,  $\theta_h$ ,  $\ell$ ,  $\beta_{vh}$ ,  $\beta_{hv}$ , and b and the least 393 sensitive parameter in common to both regions are  $\mu_v$  and  $\mu_h$ . From Table 11 and Figure 13c we observed 394that the two countries differ in order of the parameter ranking with the most sensitive parameter being, 395  $\phi_h$ . In descending order they are as follows: for India, we have  $\theta_h$ ,  $\ell$ ,  $\beta_{vh}$ ,  $\beta_{hv}$ , and b and for Sudan, we 396 have  $\beta_{vh}$ ,  $\theta_h$ , b,  $\beta_{hv}$ , and  $\ell$ . 397

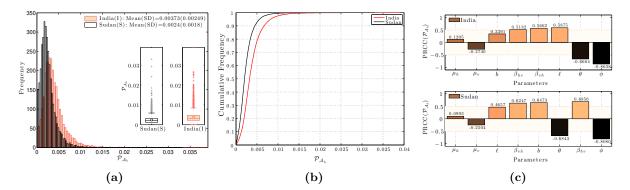


Figure 13. Comparison of uncertainty and sensitivity analysis results on the equilibrium prevalence of asymtomatics humans ( $\mathcal{P}_{\mathcal{I}_h}$ ): (a) Frequency distributions for contributions, (b) empirical cumulative distributions, and (c) tornado diagrams of partial rank correlation coefficients

In	dia		Sudan				
Parameter	$\operatorname{PRCC}(\mathcal{P}_{\mathcal{A}_h})$	Rank	Parameter	$\operatorname{PRCC}(\mathcal{P}_{\mathcal{A}_h})$			
$\phi_h$	-0.8638	1	$\phi_h$	-0.8080			
$ heta_h$	-0.6664	2	$\beta_{hv}$	0.6856			
$\ell$	0.5875	3	$ heta_h$	-0.6843			
$\beta_{vh}$	0.5662	4	b	0.6473			
$\beta_{hv}$	0.5132	5	$\beta_{vh}$	0.6247			
b	0.3391	6	$\ell$	0.4657			
$\mu_v$	$-0.2740^{*}$	7	$\mu_v$	$-0.2504^{*}$			
$\mu_h$	$0.1205^{*}$	8	$\mu_h$	$0.0993^{*}$			

**Table 11.** A comparison of the partial rank correlation coefficients for input parameters of the output value  $(\mathcal{P}_{\mathcal{A}_h})$ . Where (\*) denotes p < 0.01. for India and Sudan.

398 Point Prevalence of Infectious humans  $(\mathcal{P}_{\mathcal{I}_h})$ : The results showed that there is a significant

differences between the point prevalence of Infected humans for India (Mean(SD)=0.0053 (0.005)) and 399 Sudan (Mean(SD)=0.0014 (0.001)). Using p-value< 0.05, the two-sample Kolmogorov-Smirnov test, 400 suggests statistically significant difference between the distributions corresponding to two countries (see 401 Figure 14a - 14b). Sensitivity analysis shows that  $\mathcal{P}_{\mathcal{I}_h}$  is most sensitive to  $\theta_h$ ,  $\ell$ , b,  $\beta_{vh}$ , and  $\beta_{hv}$  and 402least sensitive to  $\mu_h$ ,  $\mu_v$  and  $\phi_h$  for both countries (Table 12 and Figure 14c). The treatment rate, the 403first most sensitive parameter, and  $\mu_v$ ,  $\mu_h$  and  $\phi_h$  in same decreasing order of influence, are common 404parameters for both countries. For India, parameters ranking in descending order is  $\ell$ ,  $\beta_{vh}$ ,  $\beta_{hv}$  and b 405whereas for Sudan the order of parameters is  $\beta_{vh}$ , b,  $\beta_{hv}$ , and  $\ell$ . 406

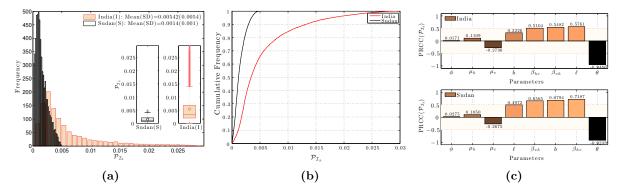
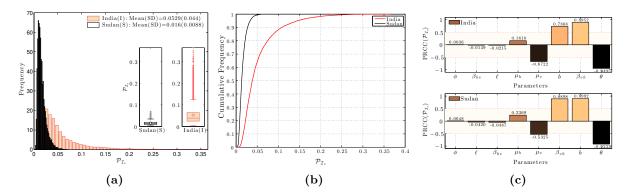


Figure 14. Comparison of result from uncertainty and sensitivity analysis results on the equilibrium prevalence of infected humans ( $\mathcal{P}_{\mathcal{I}_h}$ ): (a) Frequency distributions for contributions, (b) empirical cumulative distributions, and (c) tornado diagrams of partial rank correlation coefficients.

In	dia		Sudan				
Parameter	$\operatorname{PRCC}(\mathcal{P}_{\mathcal{I}_h})$	Rank	Parameter	$\operatorname{PRCC}(\mathcal{P}_{\mathcal{I}_h})$			
$\theta_h$	-0.9486	1	$ heta_h$	-0.9235			
$\ell$	0.5761	2	$\beta_{hv}$	0.7187			
$\beta_{vh}$	0.5482	3	b	0.6794			
$\beta_{hv}$	0.5104	4	$\beta_{vh}$	0.6565			
b	0.3226	5	$\ell$	0.4972			
$\mu_v$	$-0.2736^{*}$	6	$\mu_v$	$-0.2675^{*}$			
$\mu_h$	$0.1109^{*}$	7	$\mu_h$	$0.1050^{*}$			
$\phi_h$	$0.0171^{*}$	8	$\phi_h$	$0.0275^{*}$			

**Table 12.** A comparison of the partial rank correlation coefficients for input parameters of the output value  $(\mathcal{P}_{\mathcal{I}_h})$ . Where (\*) denotes p < 0.01. for India and Sudan.

Point Prevalence of of Infected sandflies  $(\mathcal{P}_{\mathcal{I}_{\mathcal{V}}})$ : We showed that there is also a significant differ-407 ence between the point prevalence in infected sand flies for India (Mean(SD)=0.0519 (0.042)) and Sudan 408 (Mean(SD)=0.016 (0.009)), however, there is no statistical difference between the two distributions (Fig-409ure 15a - 15b using two-sample Kolmogorov–Smirnov test, p < 0.05). Parameters b,  $\theta_h$ ,  $\mu_v$ , and  $\beta_{vh}$ 410 were most sensitive to the prevalence of infection in sand flies,  $\mathcal{P}_{I_n}$ , for both countries (see Table 13 and 411 Figure 15c). Between the two parameters,  $\beta_{hv}$  (b) is relatively more sensitive for India (Sudan). The 412 least important parameter were  $\mu_h$ ,  $\ell$ ,  $\phi_h$ , and  $\beta_{hv}$  with the exception that the ranks of  $\ell$  and  $\beta_{hv}$  are 413 414 different.



**Figure 15.** Comparison of uncertainty and sensitivity analysis results on the equilibrium prevalence of infected sandfies  $(\mathcal{P}_{\mathcal{I}_v})$ : (a) Frequency distributions for contributions, (b) empirical cumulative distributions, and (c) tornado diagrams of partial rank correlation coefficients.

In	dia		Sudan			
Parameter	$\operatorname{PRCC}(\mathcal{P}_{\mathcal{I}_v})$	Rank	Parameter	$\operatorname{PRCC}(\mathcal{P}_{\mathcal{I}_v})$		
$\theta_h$	-0.9495	1	$ heta_h$	-0.9233		
$\beta_{vh}$	0.8952	2	b	0.8992		
b	0.7368	3	$\beta_{vh}$	0.8898		
$\mu_v$	-0.6722	4	$\mu_v$	-0.5325		
$\mu_h$	$0.1618^{*}$	5	$\mu_h$	$0.2369^{*}$		
$\ell$	$-0.0215^{*}$	6	$\beta_{hv}$	$-0.0487^{*}$		
$\beta_{hv}$	$-0.0149^{*}$	7	$\ell$	$-0.0420^{*}$		
$\phi_h$	$0.0036^{*}$	8	$\phi_h$	0.0048*		

**Table 13.** A comparison of the partial rank correlation coefficients for input parameters of the output value  $(\mathcal{P}_{\mathcal{I}_v})$ . Where (\*) denotes p < 0.01. for India and Sudan.

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Parameter	Defination	Units	Mean (ranges)	References	Mean (ranges)	References
	Human Population		India's Estimates	mates	Sudan's Estimates	imates
$\beta_{vh}$	Transmission probability of the parasite from infected sandflies to susceptible hu- Dimensionless mans	Dimensionless	0.0694 $(0.0266-0.1652)$	Estimated	0.0012 $(0.0007-0.002)$	Estimated
$^{q}\phi$	Per capita development rate of clinical symptoms of VL infection	$day^{-1}$	0.00975 $(0.006-0.0167)$	[17, 60, 63, 79, 83]	0.0098 $(0.0042-0.0167)$	[14,17,34,37]
чη	Human daily per capita natural mortality rate	$day^{-1}$	4.302e-5 (4.08e-5-4.55e-5)	Estimated (see C)	4.3e-5 (4e-5-4.54e-5)	Estimated (see C)
$\mathcal{P}_{\mathcal{I}_h}$	Point prevalence in humans	number	(0.002378 - 0.002692)	[92]	(0.0006 - 0.0013)	Estimated (see C)
$ heta_h$	Per capita treatment rate for VL	$day^{-1}$	$\begin{array}{c} 0.0351(0.0067-\ 0.0597) \end{array}$	[1, 7, 8]	0.0143 $(0.0027-0.0408)$	$\begin{bmatrix} 3, 18, 46, 56, 59, 73 \end{bmatrix}$
	Sand fly Population		P. Argentipes	ipes	P. orientalis	alis
$\beta_{hv}$	Transmission probability of the parasite from an infected human to susceptible Dimensionless 0.025 (0.013–0.063) sandfly	Dimensionless	$0.025\ (0.013-0.063)$	[77, 78]	0.1275 $(0.0640-0.1706)$	Estimated
p	Biting rate of sand flies	$day^{-1}$	$\begin{array}{c} 0.7997 \\ (0.1667{-}2.083) \end{array}$	[22, 51]	$\frac{1.6208}{(0.35-3.3583)}$	[27]
J	Sandfly Landing rate	$day^{-1}$	$6.21 \ (3.47 - 9.9)$	[45]	$32\;(15.7{-}48.3)$	[27]
$\mu_v$	Adult sand fly daily per capita mortality rate	$day^{-1}$	$0.0833 \ (0.0667 - 0.1)$	$\begin{matrix} [44, 50, 65, \\ 75, 77 \end{matrix}]$	$0.0857\ (0.1-0.0714)$	[32, 38]
$\mathcal{P}_{\mathcal{I}_v}$	Point prevalence in sandflies	number	(0.0085 - 0.0284)	[82]	(0.019 - 0.05)	[32]

	India			Sudan				
Parameters	Min	Mean	Max	Min	Mean	Max		
Fixed								
b	-	2.08	-	-	1.6208	-		
$\mu_h$	-	4.54e-5	54e-5 -		4.3e-5	-		
$\mu_v$	-	0.0833	-	-	0.0857	-		
$\phi_h$	-	0.00975	-	-	0.0098	-		
$\theta_h$	-	0.0083	-	-	0.0143	-		
Varied								
$\mathcal{P}_h$	0.0024	-	0.0027	0.0013	-	0.0015		
$\mathcal{P}_v$	0.0054	-	0.0157	0.054	-	0.037		
$\ell$	8.68	12.15	17	15.7	32	48.3		
$\beta_{hv}$	0.025	0.012	0.038	0.0032	0.0167	0.041		
$\mathcal{R}_C$	1.3	2.0	2.1	1.1	1.3	1.5		
Estimates us	ing Appr	oach 1						
$\beta_{vh}$	0.21	0.44	0.7	0.24	0.53	0.95		
$\beta_{hv}$	0.00015	0.00035	0.00091	0.00013	0.00042	0.0012		
Estimates us	ing Appr	oach 2						
$\beta_{vh}$	0.19	0.4	0.64	0.2	0.41	0.68		
$\beta_{hv}$	4.9e-05	0.00013	0.0004	3.6e-05	0.00011	0.00037		

**Table 3.** Summary of estimates of the transmission probabilities,  $\beta_{hv}$  and  $\beta_{vh}$ , using the two approaches with mean and ranges for other parameters (Table 2) for India and Sudan were fixed.

Country	$R_C$ estimated values					
India	$M_I$ : Mean(SD)	2.11 (1.6)				
Sudan	$M_S$ : Mean(SD)	1.31 (0.79)				
	95% CI of $ M_I - M_S $	(0.77, 0.84)				
	T-test $H_0:  M_I - M_S  = 0$					
	1-0050	P-value < 0.05				
		tstat(Value of the test statistic): 146.0191				
	Test statistic	Degrees of freedom of the test : 19998				

**Table 4.** Mean  $R_C$  estimates and results of statistical test for testing differences of  $R_C$  between India and Sudan

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Similarity 8	& Differences		
Critical risk factors for the VL dynamics in	Treatment rate is critical for controlling		
both countries are: (i) Sandfly biting rates	outbreaks in India but less important in case		
and (ii) Transmission rates between vector	of controlling outbreaks in Sudan.		
and human host			
Infection transmission related (mean estimates)	for India are higher than that of Sudan)		
India [mean (std)]	<b>Sudan</b> [mean (std)]		
$\beta_{vh} = 0.45 \ (0.17)$	$\beta_{vh} = 0.27 \ (0.09)$		
$\beta_{hv} = 0.0005 \ (0.0002)$	$\beta_{hv} = 0.0002 \ (0.0001)$		
$\mathcal{R}_C = 2.1 \ (1.1)$	$\mathcal{R}_C = 1.3 \ (0.6)$		
$P\left(\mathcal{R}_C > 1\right) = 0.73$	$P\left(\mathcal{R}_C > 1\right) = 0.58$		
Sandfly ecology related (mean estimates for Sud	an are higher than that of India)		
India [P. Argentipes]	<b>Sudan</b> [P. Orientalis]		
b = 1.6 per day (Avg. biting rate)	b = 1.8 per day		
$\ell = 8.3$ per day (Avg. landing rate)	$\ell = 32.0 \text{ per day}$		
$1/\mu_v = 10.0$ days (Avg. adult life span)	$1/\mu_v = 11.1 \text{ days}$		

 Table 5. Comparing and contrasting risk factors that are critical to VL dynamics between India and Sudan.

Parameter	India	Sudan
b	$\mathcal{T}(0.8, 1.6, 2.5)$	$\mathcal{T}(0.35, 1.8, 3.4)$
$\ell$	$\mathcal{T}(0.55, 8.3, 17)$	$\mathcal{T}(16, 32, 48)$
$\phi_h$	$\mathcal{G}(5.5470, 0.0021)$	$\mathcal{G}(5.2727, 0.0018)$
$\beta_{vh}$	$\mathcal{G}(7, 7.5e-05)$	G(6.3, 3.7e - 05)
$\beta_{hv}$	$\mathcal{U}(0.16, 0.73)$	$\mathcal{U}(0.12, 0.42)$
$ heta_h$	$\mathcal{U}(0.0014, 0.0167)$	$\mathcal{U}(0.0082, 0.0329)$
$\mu_h$	$\mathcal{U}(4.1e-5, 4.5e-5)$	$\mathcal{U}\left(4e-5, 4.5e-5\right)$
$\mu_v$	$\mathcal{U}(0.0667, 0.1250)$	$\mathcal{U}(0.071, 0.1)$

**Table 6.** Estimated parametric distribution of the model parameters for India and Sudan. The notations are  $\rightarrow$  Triangular:  $\mathcal{T}(min, mode, max)$ ; Gamma:  $\mathcal{G}(shape, scale)$ ; Uniform:  $\mathcal{U}(min, max)$ .

Output	$\mathcal{R}_C$	I	$\mathcal{P}_{\mathcal{A}_h}$	5	$\mathcal{P}_{\mathcal{I}_h}$			$\mathcal{P}_{\mathcal{I}_s}$	,
Rank	Parameter	PRCC	Parameter	PRCC	Parameter	PRCC		Parameter	PRCC
1	$\theta_h$	-0.89	$\phi_h$	-0.86	$ heta_h$	-0.95		$\theta_h$	-0.95
2	l	0.83	$\theta_h$	-0.67	l	0.58		$\beta_{vh}$	0.9
3	$\beta_{vh}$	0.8	l	0.59	$\beta_{vh}$	0.55	_	b	0.74
4	$\beta_{hv}$	0.77	$\beta_{vh}$	0.57	$\beta_{hv}$	0.51		$\mu_v$	-0.67
5	b	0.57	$\beta_{hv}$	0.51	b	0.32		$\mu_h$	0.16*
6	$\mu_v$	-0.51	b	0.34	$\mu_v$	-0.27 *		l	$-0.021^{*}$
7	$\phi_h$	0.025*	$\mu_v$	-0.27 *	$\mu_h$	0.11*		$\beta_{hv}$	$-0.015^{*}$
8	$\mu_h$	0.016*	$\mu_h$	0.12*	$\phi_h$	$0.017^{*}$		$\phi_h$	0.0036*

**Table 7.** Shows the PRCCs by rank of importance for the input parameters of the output value  $\mathcal{R}_C$ ,  $\mathcal{P}_{\mathcal{A}_h}$ ,  $\mathcal{P}_{\mathcal{I}_h}$ , and  $\mathcal{P}_{\mathcal{I}_v}$  for India. (\*) denotes PRCCs that are non-significant.

Output	$\mathcal{R}_{C_S}$		$\mathcal{P}_{\mathcal{A}_h}$		$\mathcal{P}_{\mathcal{I}_h}$		$\mathcal{P}_{\mathcal{I}_v}$	
Rank	Parameter	PRCC	Parameter	PRCC	Parameter	PRCC	Parameter	PRCC
1	$\beta_{hv}$	0.88	$\phi_h$	-0.81	$\theta_h$	-0.92	$\theta_h$	-0.92
2	b	0.87	$\beta_{hv}$	0.69	$\beta_{hv}$	0.72	b	0.9
3	$\theta_h$	-0.87	$\theta_h$	-0.68	b	0.68	$\beta_{vh}$	0.89
4	$\beta_{vh}$	0.85	b	0.65	$\beta_{vh}$	0.66	$\mu_v$	-0.53
5	l	0.71	$\beta_{vh}$	0.62	l	0.5	$\mu_h$	0.24
6	$\mu_v$	-0.4	l	0.47	$\mu_v$	-0.27 *	$\beta_{hv}$	$-0.049^{*}$
7	$\phi_h$	0.024*	$\mu_v$	-0.25 *	$\mu_h$	0.1*	l	$-0.042^{*}$
8	$\mu_h$	$-0.024^{*}$	$\mu_h$	0.099*	$\phi_h$	0.028*	$\phi_h$	0.0048*

**Table 8.** The PRCCs by rank of importance for the input parameters of the output values of  $\mathcal{R}_{C_S}$ ,  $\mathcal{P}_{\mathcal{A}_h}$ ,  $\mathcal{P}_{\mathcal{I}_h}$ , and  $\mathcal{P}_{\mathcal{I}_v}$  for Sudan. (\*) denotes p < 0.01.

Output	Ind	India(I)		Sudan(S)		Comparison between India(I) and Sudan(S)			
	ma					2-Sample-t-test			K–S test
	Mean	SD	Mean	SD		t-statistic	95% CI		KS-statistic
$\mathcal{R}_C$	2	1.1	1.44	0.559		48.673	(0.5853, 0.6344)		0.2721
$\overline{\mathcal{P}_{\mathcal{A}_h}}$	0.00444	0.0019	0.0024	0.0018		44.06	(0.0013, 0.0014)		0.3007
$\mathcal{P}_{\mathcal{I}_h}$	0.00534	0.00537	0.0014	0.001		72.689	(0.0039, 0.0041)		0.4904
$\mathcal{P}_{\mathcal{I}_v}$	0.0519	0.0424	0.016	0.0088		83.271	(0.0365, 0.0382)		0.6211

**Table 9.** Statistical estimates of quantities,  $\mathcal{R}_C$ ,  $\mathcal{P}_{\mathcal{A}_h}$ ,  $\mathcal{P}_{\mathcal{I}_h}$ , and  $\mathcal{P}_{\mathcal{I}_v}$ , for VL in Sudan and India using the 2 sample t-test and two-sample Kolmogorov–Smirnov test. All analysis were found to be significant, i.e. p < 0.05.

In	dia		Sudan			
Parameter	$\operatorname{PRCC}(\mathcal{R}_C)$	Rank	Parameter	$\operatorname{PRCC}(\mathcal{R}_C)$		
$\theta_h$	-0.8867	1	$\beta_{hv}$	0.8829		
$\ell$	0.8279	2	b	0.8677		
$\beta_{vh}$	0.8025	3	$ heta_h$	-0.8673		
$\beta_{hv}$	0.7729	4	$\beta_{vh}$	0.8480		
b	0.5715	5	$\ell$	0.7052		
$\mu_v$	-0.5051	6	$\mu_v$	-0.4017		
$\phi_h$	$0.0247^{*}$	7	$\phi_h$	$0.0243^{*}$		
$\mu_h$	$0.0157^{*}$	8	$\mu_h$	-0.0236*		

**Table 10.** A comparison of the partial rank correlation coefficients for input parameters of the output value  $(\mathcal{R}_C)$ , where (\*) denotes p < 0.01. for India and Sudan.

#### 26

# $_{415}$ 5 Discussion

The regional risk factors associated with VL are complex and ambiguous. In face of this uncertainty 416 systematic evaluation of ongoing VL control programs is essential but it remains challenging, as appro-417 priate measures of long-term success (where success correspond primarily to no locally acquired cases) 418 with response to changing environmental and political platforms are needed. The objectives of this 419 systematic mathematical analysis is to identify and classify risk factors for India and Sudan using the 420best available field evidence and data. It will help in determining the gaps in existing knowledge and 421 control and optimally allocating limited resources of the regions. Literature searches were carried out 422 using public health databases, cross sectional and cohort studies, government reports, and information 423from patients at Rajendra Memorial Institute of Medical Sciences. Due to the limited longitudinal data 424 425 and no publications with information on comparisons between regions, consistent results could not be found and hence uncertainty and sensitivity analysis help was taken to magnify and identify the missing 426piece. Most data studies in the literature did not describe information on the criteria of selection of 427participants in sufficient detail, controlled for confounding variables, or used only one diagnostic test as 428proof of infection, hence in this study we used multiple data sets to obtained ranges of the parameters. 429

This is the first study to best of our knowledge that review and make use of extensive collection of 430available data on epidemiological and ecological parameters to understand the dynamics of the Visceral 431 Leishmaniasis (VL) and identify risk factors in India and Sudan using mathematical modeling approach. 432The study compares and contrasts quantities from two nations where the disease is endemic and spread 433 via the same VL parasite species and hosts. The sources of the data were used to estimate parameters 434 and uncertainty and sensitivity analysis was conducted on the model's outcome. Parameter estimates 435were restricted specifically to India and Sudan to measure the current level of endemicity of VL in both 436 nations. The dynamics of the model depends on the VL basic and control reproductive numbers ( $\mathcal{R}_0$ ) and 437  $(\mathcal{R})$ , which measures the likelihood and severity of an outbreak. The estimated value of the VL control 438reproductive number is found to be twice for India(2.1) as compared with Sudan(1.3). Uncertainty 439analysis on the  $\mathcal{R}_C$  also showed that there were eight parameters (see Table 2) that should be taken 440 into consideration when assessing the uncertainty associated with the risk of increasing levels of VL. The 441 442 parameter sensitivity analysis  $\mathcal{R}_C$  suggests that the biting rate, the average number of vectors per person in a given day, the probability of infection transmission between vector and humans, and the treatment 443 rate were the most influential parameters in the complex disease transmission cycle between sand flies 444 and humans for both countries. However, the order of parameter sensitivity differ between India and 445Sudan. The biting rate, of the P. Argentipes in India and the P. Orientalis in Sudan were also shown to 446 be the highest contributing factor to the disease's severity. Hence, controls reducing the biting rate may 447 be the most effective in controlling VL. 448

In India the *P. Argentipes* is the main sand fly species responsible for transmission of VL to human 449populations. During1960's the man-biting rate of sand flies was significantly reduced from DDT spraying 450applications that were employed in the malaria eradication campaign and designed to kill mosquito 451vectors. This campaign reduced the number of VL cases during this period (1962-1963.) showing no new 452prorated cases. It was observed that soon after the DTT spraying campaign stopped the number of VL 453cases were elevated to higher epidemic levels [5]. High treatment rate is also found to be a critical factor 454in impacting the dynamics of VL but primarily in India. However, we assumed effective treatment for all 455individuals in the model and did not consider efficacy and toxicity of available drugs. These assumptions 456 may influence our findings. 457

This is an attempt to understand the collective impact of some risk factors contributing to the VL burden in two distinct geographical regions. The results are based on model's parameter estimates collected and estimated from the available VL data reports. The study was limited to the particular regions of interest as well as to the time period in which data are obtained to estimate some of the parameter estimates from literature were established. As with similar studies, this research also had some limitations. For instance the data used came from various sub-regions and during different time

periods and therefore may not be a representative of the country. However, the study clearly identifies
the type of data that are relevant and needs to be collected for thoroughly understanding of VL dynamics.
In our future research, we plan to provide elaborate analytical methods for the estimation of partially
observed data (usually temporal incidence data) for the two developing countries.

# **468** Author Contributions

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474 on parameter estimation.

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# 753 Supporting information

# 754 A Complete Model Derivation

The dynamics of *Leishmania donovani* transmission in humans and sandflies are modeled by the system of equations given by model (1)-(2) in which the force of infection is modeled by Equation C. Newly infected but not yet infectious individuals move into the asymptomatic population (sub-clinical infection, exposed to VL but not yet infectious), who may exit the system through natural death or through progress to clinical VL. The change in  $A_h$  population is

$$\frac{dA_h}{dt} = \lambda_{vh}S_h - (\phi_h + \mu_h)A_h.$$

The asymptomatic can then progress to a VL clinical symptoms stage  $(I_h)$  at the rate  $\phi_h$ :

$$\frac{dI_h}{dt} = \phi_h A_h - (\mu_h + \theta_h) I_h,$$

where  $\theta_h$  is the per-capita treatment rate and  $\mu_h$  is the per-capita departure rate. The infectious individuals with clinical symptoms may enter treatment  $(T_h)$  at the rate  $\theta_h$ . Through successful treatment,

<sup>763</sup> individuals recover at the rate  $\gamma_h$ , and hence

$$\frac{dT_h}{dt} = \theta_h I_h - (\gamma_h + \mu_h) T_h.$$

The population of recovered individuals from VL  $(R_h)$  is increased following successful treatment, leading

to permanent immunity into the  $R_h$  class (at the rate  $\gamma_h$ ). The population is decreased by natural death

and is given by

$$\frac{dR_h}{dt} = \gamma_h T_h - \mu_h R_h$$

The population of new female sandflies  $(S_v)$  is increased by an adult recruitment rate  $(\lambda_v)$  and decrease by natural mortality  $(\mu_v)$ . The vector in this population can acquire the *L. Donovani parasite* from an infectious human at a rate  $\lambda_v$  and is modeled by Equation 4. The change in the susceptible population is described by

$$\frac{dS_v}{dt} = \Lambda_v - \lambda_{hv}S_v - \mu_v S_v$$

The population of infected female sandflies is generated at the per-capita rate  $\lambda_{hv}$  and diminished by the natural death rate  $\mu_v$ . Thus,

$$\frac{dI_v}{dt} = \lambda_{hv}S_v - \mu_v$$

# 773 B Details of the Analytical Results of VL Model

# 774 B.1 Derivation of the Control Reproductive Number

For simplification, we let  $G_1 = \phi_h + \mu_h$ ,  $G_2 = \theta_h + \mu_h$  and  $G_3 = \gamma_h + \mu_h$ . Considering the infected subpopulations  $I_h(t)$ ,  $A_h(t)$ , and  $I_v(t)$ , we let  $\mathcal{F}$  be the rate of new infections into the infected compartments and  $\mathcal{V}$  be the rate of exit of humans into infected compartments:

$$\frac{d}{dt} \begin{bmatrix} A_h \\ I_h \\ I_v \end{bmatrix} = \mathcal{F} - \mathcal{V} = \begin{bmatrix} \frac{bm_{v:h}\beta_{vh}I_vS_h}{N_v} \\ 0 \\ \frac{b\beta_{hv}I_hS_v}{N_h} \end{bmatrix} - \begin{bmatrix} (\phi_h + \mu_h)A_h \\ -\phi_hA_h + (\theta_h + \mu_h)I_h \\ \mu_vI_v \end{bmatrix}.$$
(19)

We apply the next generation operator method presented in [84], where  $\mathcal{F}$  is considered to be the vector of rates of inflow of new infections in each compartment and  $\mathcal{V} = \mathcal{V}^+ + \mathcal{V}^-$  is the vector of rates transfer rates of individuals into and out of the infective compartments by all other processes. Taking the Jacobian matrix of each vector with respect to each of the infectious classes and evaluating at  $E_0 = (\Lambda_h/\mu_h, 0, 0, 0, 0, \Lambda_v/\mu_v, 0)$  gives

$$\mathbf{F} = \begin{bmatrix} 0 & 0 & b \, m_{v:h} \beta_{vh} \\ 0 & 0 & 0 \\ 0 & \frac{b \beta_{hv} \Lambda_v \mu_h}{\Lambda_h \mu_v} & 0 \end{bmatrix} \quad \text{and} \quad \mathbf{V} = \begin{bmatrix} G_1 & 0 & 0 \\ -\phi_h & G_2 & 0 \\ 0 & 0 & \mu_v \end{bmatrix}.$$
(20)

780 Computing  $\mathbf{FV}^{-1}$ , we obtain

$$\mathbf{F}\mathbf{V}^{-1} = \begin{bmatrix} 0 & 0 & \frac{b \, m_{v:h} \beta_{vh}}{\mu_v} \\ 0 & 0 & 0 \\ \frac{b \beta_{hv} \Lambda_v \mu_h \phi_h}{\mu_v \Lambda_h G_1 G_2} & \frac{b \beta_{hv} \Lambda_v \mu_h}{\mu_v \Lambda_h G_2} & 0 \end{bmatrix}.$$
 (21)

Taking the spectral radius of the next generation matrix operator,  $\rho(\mathbf{FV}^{-1})$ , gives

$$\mathcal{R}_C = \rho\left(\mathbf{F}\mathbf{V}^{-1}\right) = \sqrt{\frac{b\beta_{hv}}{\mu_v}} \cdot \frac{b\beta_{vh}\phi_h}{\left(\phi_h + \mu_h\right)\left(\theta_h + \mu_h\right)} \cdot m_{v:h}.$$
(22)

# 782 B.2 Positivity and Boundedness of Solutions

Since this model is of epidemiological relevance, all its associated parameters are non-negative. Further, the following non-negativity result holds. The state variables of the model (1) are non-negative for all time, so solutions are positively invariant in  $\Omega = \Omega_h \times \Omega_v$ , where

$$\Omega_h = \left\{ (S_h, A_h, I_h, T_h, R_h) \in \mathbb{R}^5_+ : S_h + A_h + I_h + T_h + R_h \le \frac{\Lambda_h}{\mu_h} \right\},$$
  
$$\Omega_v = \left\{ (S_v, I_v) \in \mathbb{R}^2_+ : S_v + I_v \le \frac{\Lambda_v}{\mu_v} \right\}.$$

*Remark* B.1. If all initial conditions start in region  $\Omega = \Omega_h \times \Omega_v$ , then all corresponding solutions ( $S_h, A_h, I_h, T_h, R_h, S_v, I_v$ )' are non-negative for all t > 0, where ' means vector transpose.

*Proof.* Because this model is of epidemiological relevance, we first show that the region  $\Omega$  is positively invariant in  $\mathbb{R}^7_+$ , with respect to the system (1) and (2). It is easy to see that  $\dot{S}_h |_{S_h=0} > 0, \dot{A}_h |_{A_h=0} >$  $0, \dot{I}_h |_{I_h=0} > 0, \dot{T}_h |_{T_h=0} > 0, \dot{R}_h |_{R_h=0} > 0, \dot{S}_v |_{S_v=0} > 0, \dot{I}_v |_{I_V=0} > 0$ . Hence, all trajectories point to inside the region  $\Omega$  (where the dot means derivative with respect to time). Also, the time derivative along all solutions of (1) is

$$\frac{dN_h}{dt} = \Lambda_h - N_h \mu_h \leq \Lambda_h - N_h \mu_h.$$

It is clear that  $dN_h/dt < 0$  if  $N_h > \Lambda_h/\mu_h$ . Hence, on applying a (comparison) theorem from Birkhoff and Rota ([10]) on differential inequality, we get

$$0 \le N_h(t) \le \frac{\Lambda_h}{\mu_h} + \left(N_h(0) - \frac{\Lambda_h}{\mu_h}\right) e^{-\mu_h t}.$$

37

When  $t \to \infty$ , then  $N_h < \Lambda_h/\mu_h$ . Thus, for initial conditions  $N_h(0) < \Lambda_h/\mu_h$ , we have  $N_h(t) < \Lambda_h/\mu_h$ . Similarly, let  $(S_v, I_v) \in \mathbb{R}^2_+$  be the solution with non-negative initial solution. Taking the time derivative along the sum of all solutions curves of model (2) gives

$$\frac{N_v}{dt} = \Lambda_v - N_h \mu_h \leq \Lambda_v - N_h \mu_h.$$

798 By differential inequality theorem in [10], we find

$$0 \le N_v(t) \le \frac{\Lambda_v}{\mu_v} + \left(N_v(0) - \frac{\Lambda_v}{\mu_v}\right) e^{-\mu_v t},$$

where  $N_v(0)$  represents the initial sandfly population at the initial phase of the disease. As  $t \to \infty$ , the inequality becomes

$$0 \le \lim_{t \to \infty} N_v\left(t\right) \le \frac{\Lambda_v}{\mu_v}.$$

In particular, we have  $N_v(t) < \Lambda_v/\mu_v$  if  $N_v(0) < \Lambda_v/\mu_v$ . Hence the region  $\Omega$  is positively invariant. Furthermore, if we start with initial conditions  $N_h(0) > \Lambda_h/\mu_h$  and  $N_v(0) > \Lambda_v/\mu_v$ , then either the solutions enter  $\Omega$  in finite time or  $N_h(t) \to \Lambda_h/\mu_h$  and  $N_v(t) \to \Lambda_v/\mu_v$ , as  $t \to \infty$ .

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Hence, for the model (1–2), the compact set  $\Omega$  is a positively invariant and absorbing set that attracts all solutions of model (1–2) starting in  $\mathbb{R}^7_+$ .

### 807 B.3 Stability Analysis of the Disease-Free Equilibrium Point (DFE)

# 808 B.3.1 Local stability of the Endemic Equilibrium (DFE)

*Remark* B.2. The disease-free equilibrium point,  $E_0$ , of model system 1-2 is locally asymptotically stable (LAS) if  $\mathcal{R}_C < 1$ , and unstable if  $\mathcal{R}_C > 1$ .

*Proof.* Linearization at DFE gives

$$J(E_0) = \begin{bmatrix} -\mu_h & 0 & 0 & 0 & 0 & -b\beta_{vh} \\ 0 & -G_1 & 0 & 0 & 0 & b\beta_{vh} \\ 0 & \phi_h & -G_2 & 0 & 0 & 0 \\ 0 & 0 & \theta_h & -G_3 & 0 & 0 \\ 0 & 0 & 0 & \gamma_h & -\mu_h & 0 & 0 \\ 0 & 0 & -\frac{b\beta_{hv}\Lambda_v\mu_h}{\mu_v\Lambda_h} & 0 & 0 & -\mu_v & 0 \\ 0 & 0 & \frac{b\beta_{hv}\Lambda_v\mu_h}{\mu_v\Lambda_h} & 0 & 0 & 0 & -\mu_v \end{bmatrix}$$
(23)

The characteristic polynomial of the Jacobian matrix  $J(E_0)$  is given by

$$P(\lambda) = (\lambda + \mu_v) (\lambda + \mu_h)^2 (\lambda + G_3) (\lambda^3 + h_2 \lambda^2 + h_1 \lambda + h_0)$$
(24)

where  $h_0 = \mu_v (\phi_h + \mu_h) (\theta_h + \mu_h) (1 - \mathcal{R}_C^2)$ ,  $h_1 = (G_1 + G_2)\mu_v + G_1G_2$  and  $h_2 = G_2 + G_1 + \mu_v$ . We observe that four eigenvalues for this polynomial have negative real parts, and are given by  $\lambda =$ 

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 $\{-\mu_v, -G_3, -\mu_h, -\mu_h\}$  with geometric multiplicity of two. The remaining expression is a cubic polyno-813 mial,  $P(\lambda) = \lambda^3 + h_2\lambda^2 + h_1\lambda + h_0$ . Applying the Routh-Hurwitz criteria [43], we find the conditions for 814all eigenvalues to have negative real parts, that is  $H_1 = h_1 > 0$ ,  $H_2 = h_0 > 0$ , and  $H_3 = h_2 h_1 - h_0 > 0$ . 815Thus by Routh-Hurwitz criteria,  $E_0$  is locally asymptotically stable for  $\mathcal{R}_C < 1$  and is unstable for 816  $\mathcal{R}_C > 1.$ 817 

#### Global Stability of the Disease-free Equilibrium (DFE) 819 **B.3.2**

*Remark* B.3. The disease-free equilibrium  $E_0 = \left(\frac{\Lambda_h}{\mu_h}, 0, 0, 0, 0, \frac{\Lambda_v}{\mu_v}, 0\right)$  of model system 1- 2 is globally asymptotically stable in  $\Omega$  whenever  $\mathcal{R}_C < 1$  and unstable if  $\mathcal{R}_C > 1$ . 820 821

*Proof.* Consider a candidate Lyapunov function defined in  $\Omega$ ,

$$\mathcal{L}(t) = L_1 \left( S_h - S_h^* - S_h^* \log\left(\frac{S_h}{S_h^*}\right) \right) + L_2 A_h + L_3 I_h + L_4 \left( S_v - S_v^* - S_v^* \log\left(\frac{S_v}{S_v^*}\right) \right) + L_5 I_v$$
(25)

where the constants  $L_i$ , i = 1...5 are taken to be  $L_1 = L_2 = \mu_h \mathcal{R}_C^2$ ,  $L_3 = \frac{L_2}{\phi_h}$ , and  $L_4 = L_5 = \frac{\mu_v \mathcal{R}_C^2}{b\beta_{vh}}$ . The function  $\mathcal{L}$  is positive definite, in the sense that it vanishes only at the disease-free equilibrium while 822 823 otherwise it is positive in  $\Omega$ . Moreover, taking the time derivative of the function in (25) along solutions 824 of system 1-2 and then substituting the expression for the derivatives, gives 825

$$\mathcal{L} = L_1 \left( 1 - \frac{S_h^*}{S_h} \right) \left( \Lambda_v - \frac{b\beta v h I_v S_h}{N_h^*} - \mu_h S_v \right) + L_2 \left( \frac{b\beta_{vh} I_v S_h}{N_h^*} - G_1 A_h \right) + L_3 \left( \phi_h A_h - G_2 I_h \right)$$

$$+ L_4 \left( 1 - \frac{S_v^*}{S_v} \right) \left( \Lambda_v - \frac{b\beta_{hv} S_v I_h}{N_h^*} - \mu_v S_v \right) + L_5 \left( \frac{b\beta_{hv} S_v I_h}{N_h^*} - \mu_v I_v \right)$$

$$(26)$$

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Substituting the  $L_i$  constants in equation 26 and then grouping and collecting terms, gives

$$\dot{\mathcal{L}} = \mu_h \mathcal{R}_C^2 \left( 2 - \frac{S_h}{S_h^*} - \frac{S_h^*}{S_h} \right) + \frac{\mu_v \mathcal{R}_C^2}{b\beta_{vh}} \left( 2 - \frac{S_v}{S_v^*} - \frac{S_v^*}{S_v} \right) + \left( \mathcal{R}_C^2 - 1 \right) \frac{\mu_v}{\phi_h} \left( G_1 \mathcal{R}_C^2 G_2 K_h + b\beta_{vh} K_v \phi_h \right).$$
(27)

The first two terms are negative, as the arithmetic mean is greater than or equal to the geometrical mean. 827

However, the third term is negative for values of  $\mathcal{R}_C < 1$ . Therefore, by Lyapunov-LaSalle asymptotic 828 stability [52], the disease-free equilibrium  $E_0$  is globally asymptotically stable if  $\mathcal{R}_C < 1$  for all t > 0. 829

#### **B.4** Stability Analysis of the Endemic Equilibrium Point, $E^*$ 830

As a result of no disease deaths, observeD in Figure ??, the existence of a DFE and an Endemic Equilib-831 rium (EE) that depends on  $\mathcal{R}_C$ . In this section, we show the local and global stability of the EE when 832  $\mathcal{R}_C^*$  become 1. 833

Remark B.4. If  $\mathcal{R}_C > 1$ , then the unique positive endemic equilibrium(EE),  $E^*$ , for Model system 834 equations 1-2 is locally asymptotically stable. 835

*Proof.* The EE of the Model system equations 1-2 is given by  $E^*$ . The Jacobian matrix at EE gives by 836

$$J(E^*) = \begin{bmatrix} -b\beta_{vh}I_v^* - \mu_h & 0 & 0 & 0 & 0 & 0 & -b\beta_{vh}S^* \\ b\beta_{vh}I_v^* & -G_1 & 0 & 0 & 0 & 0 & b\beta_{vh}S^* \\ 0 & \phi_h & -G_3 & 0 & 0 & 0 \\ 0 & 0 & \theta_h & -G_3 & 0 & 0 & 0 \\ 0 & 0 & 0 & \gamma_h & -\mu_h & 0 & 0 \\ 0 & 0 & -b\beta_{hv}S_v^* & 0 & 0 & -b\beta_{hv}I_h^* - \mu_v & 0 \\ 0 & 0 & b\beta_{hv}S_v^* & 0 & 0 & b\beta_{hv}b\beta_{hv}I_h^* & -\mu_v \end{bmatrix}.$$

It's characteristic polynomial is given by

$$P(\lambda) = (\lambda + \mu_h) (\lambda + G_3) (\mu_v + \lambda) (\lambda^4 + h_3 \lambda^3 + h_2 \lambda^2 + h_1 \lambda + h_0),$$

837 where

$$\begin{split} h_{3} =& b\beta_{vh}I_{v}^{*} + b\beta_{hv}I_{h}^{*} + G_{2} + G_{1} + \mu_{v} + \mu_{h}, \\ h_{2} =& b^{2}\beta_{hv}I_{h}^{*}\beta_{vh}I_{v}^{*} + b\beta_{hv}I_{h}^{*}\mu_{h} + \mu_{v}b\beta_{vh}I_{v}^{*} + G_{2}b\beta_{vh}I_{v}^{*} + b\beta_{hv}I_{h}^{*}G_{2} \\ &+ G_{1}b\beta_{vh}I_{v}^{*} + b\beta_{hv}I_{h}^{*}G_{1} + G_{1}\mu_{h} + \mu_{v}\mu_{h} + G_{2}\mu_{h} + \mu_{v}G_{2} + \mu_{v}G_{1} + G_{2}G_{1}, \\ h_{1} =& \frac{\phi_{h},\beta_{hv}b\Big(\beta_{vh}\mu_{h}\beta_{hv}\phi_{h}(G_{1}+G_{2})b^{2} + ((G_{2}+\mu_{v})G_{1}+\mu_{v}G_{2})G_{1}G_{2}\beta_{vh}b + \mu_{h}G_{2}^{2}G_{1}^{2}\Big)\mu_{h}}{G_{1}(G_{2}G_{1}\mu_{v}+\mu_{h}b\beta_{hv}\phi_{h})G_{2}} \\ &+ \frac{G_{1}G_{2}\mu_{v}\mu_{h}\left(\mathcal{R}_{C}^{2}-1\right)}{b\beta_{vh}+\mu_{h}}, \\ h_{0} =& \mu_{v}\mu_{h}G_{1}G_{2}\left(\mathcal{R}_{C}^{2}-1\right). \end{split}$$

838 We observe that the characteristic polynomial  $P(\lambda)$  can be factored to roots  $\lambda = -\mu_h, -\mu_v, -G_3$  and 839  $\overline{P}(\lambda) = (\lambda^4 + h_3\lambda^3 + h_2\lambda^2 + h_1\lambda + h_0)$ . Applying the Routh-Hurwitz conditions:  $h_i > 0$ , (i = 0, ..., 4), 840  $h_1h_2 - h_0h_3 > 0$ , and  $h_1h_2h_3 > h_1 + h_0h_3^2$ , we find that

$$\begin{split} h_{1}h_{2} - h_{0}h_{3} &= I_{h}I_{v}\beta_{vh}\beta_{hv}\left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)b^{3} + \left[\left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)^{2}G_{1} + \left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)^{2}G_{2} \\ &+ I_{v}^{2}\mu_{v}\beta_{vh}^{2} + 2I_{h}I_{v}\beta_{hv}\left(\mu_{h} + \mu_{v}\right)\beta_{vh} + I_{h}^{2}\mu_{h}\beta_{hv}^{2}\right] \cdot b^{2} \\ &+ \left[\left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)G_{1}^{2} + 2\left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)\left(G_{2} + \mu_{h} + \mu_{v}\right)G_{1} + \left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)G_{2}^{2} \\ &+ 2\left(\mu_{h} + \mu_{v}\right)\left(I_{h}\beta_{hv} + I_{v}\beta_{vh}\right)G_{2} + 2I_{v}\left(\mu_{h} + 1/2\mu_{v}\right)\mu_{v}\beta_{vh} + I_{h}\mu_{h}\beta_{hv}\left(\mu_{h} + 2\mu_{v}\right)\right] \cdot b \\ &+ \left(\left(G_{2} + \mu_{h} + \mu_{v}\right)G_{1} + \left(\mu_{h} + \mu_{v}\right)\left(G_{2} + \mu_{h}\right)\right)\left(G_{1} + G_{2} + \mu_{v}\right) > 0 \end{split}$$

$$\begin{split} h_{1}h_{2}h_{3} - h_{1}^{2} + h_{0}h_{3}^{2} &= \begin{bmatrix} \beta_{hv}I_{h}I_{v}\beta_{vh}\left(G_{1}+G_{2}\right)b^{2} + \\ &+ \left(\left((I_{h}\beta_{hv}+I_{v}\beta_{vh}\right)G_{2}+\mu_{h}\beta_{hv}I_{h}+\mu_{v}\beta_{vh}I_{v}\right)G_{1}+G_{2}\left(\mu_{h}\beta_{hv}I_{h}+\mu_{v}\beta_{vh}I_{v}\right)\right)a \\ &+ \mu_{h}\left(\left(G_{2}+\mu_{v}\right)G_{1}+\mu_{v}G_{2}\right)\end{bmatrix} \cdot \begin{bmatrix} \left(b^{2}I_{h}I_{v}\beta_{vh}\beta_{hv} + \\ &+ \left((I_{h}\beta_{hv}+I_{v}\beta_{vh})G_{1}+(I_{h}\beta_{hv}+I_{v}\beta_{vh})G_{2}+\mu_{h}\beta_{hv}I_{h}+\mu_{v}\beta_{vh}I_{v}\right)b \\ &+ \left(G_{2}+\mu_{h}+\mu_{v}\right)G_{1}+\left(\mu_{h}+\mu_{v}\right)G_{2}+\mu_{h}\mu_{v}\right) \\ &- \beta_{hv}I_{h}I_{v}\beta_{vh}\left(G_{1}+G_{2}\right)b^{2} \\ &- \left(\left((I_{h}\beta_{hv}+I_{v}\beta_{vh})G_{2}+\mu_{h}\beta_{hv}I_{h}+\mu_{v}\beta_{vh}I_{v}\right)G_{1}+G_{2}\left(\mu_{h}\beta_{hv}I_{h}+\mu_{v}\beta_{vh}I_{v}\right)\right)b \\ &- \mu_{h}\left(\left(G_{2}+\mu_{v}\right)G_{1}+\mu_{v}G_{2}\right)\right] \\ &- \left(b\left(I_{h}\beta_{hv}+I_{v}\beta_{h}\right)+G_{1}+G_{2}+\mu_{h}+\mu_{v}\right)^{2}bG_{1}G_{2}\left(aI_{h}I_{v}\beta_{vh}\beta_{hv}+\mu_{h}\beta_{v}I_{h}+\mu_{v}\beta_{vh}I_{v}\right) \\ &> 0 \end{split}$$

hold when  $\mathcal{R}_C > 1$ . Thus, the endemic equilibrium,  $E^*$ , is locally asymptotically stable because all eigenvalues of the septic polynomial have all negative real parts for  $\mathcal{R}_C > 1$ .

#### 843 B.4.1 Global stability of the Endemic Equilibrium (EE)

*Remark* B.5. If  $\mathcal{R}_C > 1$ , then the unique positive endemic equilibrium,  $E^*$ , for Model (1–2) is globally asymptotically stable.

*Proof.* Consider a candidate Lyapunov function defined in  $\Omega$ ,

$$\mathcal{L}(t) = L_1 \left[ S_h - S_h^* - S_h^* \log\left(\frac{S_h}{S_h^*}\right) \right] + L_2 \left[ A_h - A_h^* - A_h^* \log\left(\frac{A_h}{A_h^*}\right) \right] + L_3 \left[ I_h - I_h^* - I_h^* \log\left(\frac{I_h}{I_h^*}\right) \right] + L_4 \left[ S_v - S_v^* - S_v^* \log\left(\frac{S_v}{S_v^*}\right) \right] + L_5 \left[ I_v - I_v^* - I_v^* \log\left(\frac{I_v}{I_v^*}\right) \right],$$
(28)

where the constants  $L_i, i = 1...5$  are given by  $L_1 = L_2 = \frac{N_h^*}{b\beta_{vh}I_v^*S_h^*}, L_3 = \frac{1}{\phi_h A_h^*}$ , and  $L_4 = L_5 = \frac{N_h^*}{b\beta_{hv}S_v^*I_h^*}$ . Taking the time derivative of the Lyapunov function in (28) along solutions of system 1–2 and then substituting the expression for the derivatives gives

$$\dot{\mathcal{L}} = L_1 \left( 1 - \frac{S_h^*}{S_h} \right) \left( \Lambda_h - \frac{b\beta v h I_v S_h}{N_h^*} - \mu_h S_v \right) + L_2 \left( 1 - \frac{A_h^*}{A_h} \right) \left( \frac{b\beta_{vh} I_v S_h}{N_h^*} - G_1 A_h \right) + L_3 \left( 1 - \frac{I_h^*}{I_h} \right) \left( \phi_h A_h - G_2 I_h \right) + L_4 \left( 1 - \frac{S_v^*}{S_v} \right) \left( \Lambda_v - \frac{b\beta_{hv} S_v I_h}{N_h^*} - \mu_v S_v \right) + L_5 \left( 1 - \frac{I_v^*}{I_v} \right) \left( \frac{b\beta_{hv} S_v I_h}{N_h^*} - \mu_v I_v \right).$$

$$(29)$$

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Substituting the  $L_i$  in 29 and performing some algebra gives

$$\dot{\mathcal{L}} = \frac{\mu_h N_h^*}{b\beta_{vh} I_v^* S_h^*} \left( 2 - \frac{S_h}{S_h^*} - \frac{S_h^*}{S_h} \right) + \frac{\mu_h N_h^*}{b\beta_{hv} S_v^* I_h^*} \left( 2 - \frac{S_v}{S_v} - \frac{S_v^*}{S_v} \right) \\ + 5 - \frac{S_v^*}{S_v} - \frac{I_v^* S_v I_h}{S_v^* I_h^* I_v} - \frac{S_h^*}{S_h} - \frac{A_h^* I_v S_h}{I_v^* S_h^* A_h} - \frac{I_h^* A_h}{A_h^* I_h}$$
(30)

The first two terms in parenthesis and the remaining expression are negative, as the arithmetic mean is greater than or equal to the geometrical mean. Therefore, by LaSalle's Invariable Principle [52], the endemic equilibrium point  $E^*$  is globally asymptotically stable in  $\Omega$  for  $\mathcal{R}_0 > 1$  for all t > 0.

# <sup>849</sup> C Estimating Model Parameters

After extensive searching of the literature, annual reports, and census data, ecological and epidemiological parameter ranges for the respective human and sandfly populations in India and Sudan were gathered and estimated. See Table 2 for a summary of these estimates.

- b: The per-capita daily biting rate on humans by female Phlebotomus sandflies species differ by geo graphical region.
- P. Argentipes (India): On average, the biting rate of a sandfly on a human per night was estimated to be 0.85 *per day* and range from 0.2 to 2.5 per day [51]. More current studies found a mean estimates biting density per day to be 0.7997 with a range of 0.1667 to 2.0833 per day [22]. From these studies, we calculated the mean number of bites on a human to be 0.7997 with a range of 0.1667 to 2.083 bites per human.
- P. Orientalis (Sudan): In a field investigations conducted by Elnaiem, et al., the average bites *per man-night* was estimated to range from 23.7 to 40.3 for no bed net and 4.2 to 9.6 for those
  using untreated bed nets over a period of 12 nights [27]. In both studies, an average of 32 bites
  per man-night was established over a period of 12 nights. In our model we took the average
  biting rate to be 1.6208 per man-night with a range of 0.35 to 3.3583 per man-night.
- <sup>865</sup>  $\beta_{hv}$ : The transmission probability that an uninfected sandfly acquires a VL parasite from an infectious <sup>866</sup> human.
- India Parameter estimates were taken from a recent modeling study on VL in India by Stauch A,
  et al. [77, 78]. From these, we took the mean transmission potential to be 0.025 with a range
  between 0.013 and 0.063.
- **Sudan** We use the infection rate for sandflies, using an equation from our model to estimate  $\beta_{hv}$ . We first solve for  $\beta_{hv}$  in this expression and use average infection rates of 9.6% [72], 8.6% [39] and 6.9%, and 3.6% [30] and the average biting rates in Table 2. The average transmission potential in human for *P. Orientalis* was estimated to be 0.1275 with a range of 0.0640 to 0.1706.
- 875  $\beta_{vh}$ : The transmission probability, is the probability that a VL-infectious sandfly transmits to a human.

India Parameter estimates were generated by solving for  $\beta_{vh}$  in our  $\mathcal{R}_C$  expression

$$\beta_{vh} = \frac{\mathcal{R}_C^2 \mu_v \left(\mu_h + \theta_h\right) \left(\phi_h + \mu_h\right)}{\beta_{hv} \phi_h b^2 m_{V:h}} \tag{31}$$

and then pairing samples of known values in Table 2 together with an estimated  $\mathcal{R}_C$  value of 2.01 by Mubayi, et al. (2010 [57]). From this calculation, the mean transmission coefficients were estimated as 0.0694 with a range of 0.0266–0.1652.

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**Sudan** A similar approach from India was taken and applied to Sudan using know parameter estimates from Table 2 and an estimated  $\mathcal{R}_C$  value of 1.3 from ELmojtaba, et al, 2010 [26]. The calculations yield an average estimate for  $\beta_{vh}$  as 0.0012 with a range of 0.0007–0.0020.

- 882  $\mu_v$ : The per-capita daily mortality rate of an adult sandfly, taken as 1/ (life expectancy of sandflies)
- 883 P. Argentipes (India) The mortality for this species of sandfly varies between 0.125 to 0.1 [75] 884 and 0.0667 to 0.1 [65] per day. Some studies established the average lifespan to be, 0.0833 per 885 day [44,50] and 0.091 per day [77]. For this species, the per-capita mortality rate was averaged 886 out from these studies to be  $\mu_v = 0.0833$  per day with a range of 0.0667 to 0.1 per day.
- 887 **P. Orientalis (Sudan)** The adult life span of this species has not been well studied. In one 888 extensive study, the whole life cycle range was 48–60 days [38]. From this study, the combine 889 time of the four (4) different developmental larval stages and the pupation stage gives a range 890 of 40 to 56 days. So, the life span of adult sandflies ranges from 10 to 14 days and average 12 891 days. For this species, the per-capita mortality rate was averaged out to be,  $\mu_v = 0.0857$  per 892 day and ranges from 0.1 to 0.0714 per day.
- *l*: The human landing rate of an adult female sandflies was used as a approximate measure of the human 893 biting rate. Before the late 1990s, the human landing catches (HLC), was a common way for 894 measuring the human landing rate of Phlebotomine sandflies. However, for ethical reasons, this 895 method is less commonly used and has been replaced with the use of human baits and Centers for 896 Disease Control light traps (CDCLT) to attract female sandflies. In a comparison study, Dilger, E. 897 (2013) investigated the relationship between the number of sandflies caught by HLC and CDCLT 898 upon humans and showed that CDCLT are appropriate for estimating the number of sandflies 899 visiting humans [21]. Various comparatives on HLC and CDCLT were used as measured to establish 900 an appropriate parameter range for the human landing rate. 901
- P. Argentipes (India) In this study conducted by Joshi B, et al. (2009) [45] on the collection of
   *P. Argentines* per house per night using CDC LT, we took the mean number of landing 12.15
   with a range of 8.68 to17.
- P. Orientalis (Sudan) From a studies conducted on the effectiveness of impregnated bed net on the landing/bite of female *P. Orientalis* human volunteers by Elnaiem et al. (1999, 2011), we took the mean number of human landing rate to be 32 landing/human/per day with a range 15.7 to 48.3 landing/human/per day [27, 32].
- 909  $\mu_h$ : For both India and Sudan, the average life expectancy at birth in a year was collected from multiple 910 censored data sources. Using these sources, we estimate the per-person/day natural death rate as 911 (average life expectancy  $\times 365$ )<sup>-1</sup>. For each of these respective regions, the mean and range of the 912 natural death rates was estimated to be:
- 913India From the mean data from multiple survey sites, we found the per-capita natural death rate914to be 4.55e-5 (Census of India, 2001), 4.28e-5 (hetv.org, 2012), 4.08e-5 (cia.gov, 2010), 4.33e-5915(WHO, 2012), and 4.27e-5 (un.org, 2012). Combining the estimates of these various value gave916a mean death rate of per human/day and range of 4.05e-5 to 5.03e-5 per human/day.
- 917Sudan Similarly from India, the per-capita natural death rate was found to be 4.55e-5 (Coutinho,9182005), 4.38e 5 (cia.gov, 2012), 4.49e 5 (unicef.org, 2012), 4.09e 5 (WHO, 2012) and9194.54e-5 (un.org, 2012). The mean death rate of 4.3e 5 per human/day and range of 4.e 5920to 4.54e 5 per human/day.
- 921  $\phi_h$ : The per-capita rate of progression of humans from the asymptomatic state to the infectious state 922 here is taken at incubation of VL before becoming symptomatic. The incubating period is known 923 to vary from weeks to years among different individuals.

- India The day<sup>-1</sup> asymptomatic rate has been estimated to be  $0.0086 \text{ (day}^{-1})$  [79], 0.0055 [60, 83]924 and range between  $0.0055 - -0.0164 \text{ (day}^{-1})$  [17] and  $0.0167 \text{ to } 0.0083 \text{ (day}^{-1})$  [63]. We 925consider these estimates and took the asymptomatic rate incubating period,  $\phi_h$ , to be 0.00975 926  $(day^{-1})$  with a range of 0.006–0.0167  $(day^{-1})$ . 927 **Sudan** For this region, the day<sup>-1</sup> asymptomatic rates ranges were estimated to be 0.0083 to 0.01667 928  $(day^{-1})$  [34], 0.0055 to 0.0164  $(day^{-1})$  [14, 17], and specific mean rates are give in 0.0167 929  $(day^{-1})$  with a rang of 0.0111 to 0.0042  $(day^{-1})$  [37]. The asymptomatic rate incubating 930 period, taken as an average of all these studies was taken to be  $\phi_h = 0.0098 \ (\text{day}^{-1})$  and range 931 from 0.0042 to 0.0167 (day<sup>-1</sup>). 932  $\theta_{b}$ : Treatment rate from VL here is defined as the mean duration of illness before seeking treatment in 933 some treatment fertility. 934 India Current estimates for treatment were found to be 1.996 (who2007), 4 months (0.5–19 months) 935 [2], 4 months [7], and 3.5 [8]. From these study we took the mean estimated treatment rate 936 per day was  $\theta_h = 0.0351 \text{ (day}^{-1})$  with a range of 0.0067 to 0.0597 (day<sup>-1</sup>). 937 Sudan The estimated mean rates per person/day varied from 0.0164 [18, 59], 0.0130, 0.0055 [3], 938 0.0108 (0.0027 - 0.0408) [46], (0.0033 - 0.0235) [56] and a range of 0.0111 - 0.0056 in [73]. We took 939 the mean estimate for  $\theta_h$  as 0.014275 (day<sup>-1</sup>) with a range of 0.0027 to 0.0408 (day<sup>-1</sup>). 940  $\Lambda_h$ : The per-capita recruitment rates is defined as the sum per-capita birth rate and per-capita net 941 migration rate of the population. 942**India** To estimate the per-capita recruitment rate, we use demographic data on population size. 943 birth rate, and migration from CIA World Factbook. The average estimated recruitment rate 944 was calculated as the sum of the birth rate and net immigration per day and is given by 8.3e-5 945 persons per day, ranging from 7.67e-5 to 9.22e-5 persons per day. 946 Sudan Similar to the estimation for India, the average estimated recruitment was 1.27e-4 persons 947 per day, with a range of 1.1e-4 to 1.35e-4 persons per day. 948  $\Lambda_{\nu}$ : The per-capita daily adult sandfly recruitment rate of female phebotomus sandfly. Seasonality plays 949 a role in the abundance of the sandfly population in each geographical region. Few studies have 950 established an average recruitment rate for sandflies to  $0.02128 \times N_h$  per day [75] and 0.299 per 951 day [47]. For our model, we consider the recruitment rate for both species to be  $\Lambda_v = 0.1601$  per 952 day and range from 0.0213 to 0.299 per day. 953  $P_{I_h}$ : Prevalence for VL in humans is defined as the proportion of people with the disease at a given point 954in time. 955 India To estimate the per day prevalence, a study based on Serodiagnostic Test in Madhepura 956District of Bihar, India, was considered by Srivastava N, et al., 2014 [76]. From this study, we 957 use the annual prevalence per 10000 of 26.92 in 2010 and 23.78 in 2011 together with the total 958 population of Madhepura assumed to be at risk to estimate the per person per day prevalence. 959 The prevalence range was estimated to be between 0.0013 to 0.0015 persons per day. 960 Sudan A Survey study by Khalil et al. 2000 [48], gave the prevalence of active disease a range 961 from 40 to 80 per 1000. Using these estimates, together with reported estimates of the at risk 962 population in Pigott et al., 2014 [66], a rough estimate of the daily prevalence range of 0.0006 963 to 0.0013 persons per was generated for Sudan's population. 964  $P_{I_{n}}$ : Prevalence for VL in sandflies is defined as the proportion of sandflies with VL at a given point in 965
- 966 time.

	Point Prevalence of sandflies						
Species	Min	Max	Mean	Reference			
P. argentipes	0.0085	0.0284	-	[82]			
	0.005	0.05	-	[58]			
	0.007	0.02	-	[64, 69, 71, 81]			
P. orientalis	0.019	0.05	-	[32]			
	0.0054	0.037	0.0157	[40]			
	0.035	0.071	-	[30]			

**Table 14.** Point Prevalence Estimates for VL in India and Sudan for Host and Vector From VariousSample-Based Field Studies.

967  $\mathcal{R}_C$ : Estimated ranges for both countries were taken from previous mathematical and modeling studies.

969 Sudan  $\mathcal{R}_{C_S}$  was estimated to be  $1.3 \pm 0.25$  [26]

	India								
Year	$\mu_v$	$\mu_h$	$N_h$	$\Lambda_h = \mu_h N_h$	$N_v = m_{v:h} N_h$	$\Lambda_v = \mu_v N_v$			
2000	0.0833	4.41e-5	1042261758	45937	5492719465	392337105			
2001	0.0833	4.38e-5	1059500888	46402	5583569680	398826406			
2002	0.0833	4.35e-5	1076705723	46861	5674239160	405302797			
2003	0.0833	4.33e-5	1093786762	47311	5764256236	411732588			
2004	0.0833	4.30e-5	1110626108	47750	5852999589	418071399			
2005	0.0833	4.27e-5	1127143548	48178	5940046498	424289036			
2006	0.0833	4.25e-5	1143289350	48597	6025134875	430366777			
2007	0.0833	4.23e-5	1159095250	49011	6108431968	436316569			
2008	0.0833	4.21e-5	1174662334	49425	6190470500	442176464			
2009	0.0833	4.19e-5	1190138069	49847	6272027624	448001973			
2010	0.0833	4.17e-5	1205624648	50280	6353641895	453831564			
2011	0.0833	4.15e-5	1221156319	50723	6435493801	459678129			
2012	0.0833	4.14e-5	1236686732	51173	6517339078	465524220			
2013	0.0833	4.12e-5	1252139596	51621	6598775671	471341119			
Min		4.42e-5	1042261758	45937	5492719465	392337105			
	Mean	4.55e-5	1149486935	48794	6057796146	432699725			
	Max	4.73e-5	1252139596	51621	6598775671	471341119			

**Table 15.** Estimate for Parameters  $\Lambda_h$  and  $\Lambda_h$  Using Mean Estimates for India in Table 2 and World Bank's Demographic Estimates in [35]

	-
4	h

	Sudan									
Year	$\mu_v$	$\mu_h$	$N_h$	$\Lambda_h = \mu_h N_h$	$N_v = m_{v:h} N_h$	$\Lambda_v = \mu_v N_v$				
2000	0.0857	4.73e-5	27729798	1310	146136035	10438288				
2001	0.0857	4.70e-5	28434810	1335	149851449	10703675				
2002	0.0857	4.67e-5	29186427	1362	153812470	10986605				
2003	0.0857	4.63e-5	29973979	1389	157962869	11283062				
2004	0.0857	4.60e-5	30778572	1417	162203074	11585934				
2005	0.0857	4.57e-5	31585871	1444	166457540	11889824				
2006	0.0857	4.54e-5	32397535	1472	170735009	12195358				
2007	0.0857	4.52e-5	33218250	1500	175060178	12504298				
2008	0.0857	4.49e-5	34040065	1529	179391143	12813653				
2009	0.0857	4.47e-5	34853178	1559	183676248	13119732				
2010	0.0857	4.46e-5	35652002	1589	187886051	13420432				
2011	0.0857	4.44e-5	36430923	1618	191990964	13713640				
2012	0.0857	4.43e-5	37195349	1647	196019489	14001392				
2013	0.0857	4.42e-5	37964306	1676	200071893	14290849				
	Min	4.42e-5	27729798	1310	146136035	10438288				
	Mean	4.55e-5	32817219	1489	172946744	12353339				
	Max	4.73e-5	37964306	1676	200071893	14290849				

**Table 16.** Estimate for Parameters  $\Lambda_h$  and  $\Lambda_h$  Using Mean Estimates for Sudan in Table 2 and World Bank's Demographic Estimates in [36]