

1 **Patterning of the vertebrate head in time and space by BMP signalling**

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27 **Abstract**

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29 How head patterning is regulated in vertebrates is yet to be understood. In this study, we
30 show that frog embryos injected with Noggin at different blastula and gastrula stages had
31 their head development sequentially arrested at different positions. When timed BMP
32 inhibition was applied to BMP-overexpressing embryos, the expression of five genes: *xcg-1*
33 (a marker of the cement gland, which is the front-most structure in the frog embryo), *six3* (a
34 forebrain marker), *otx2* (a forebrain and mid-brain marker), *gbx2* (an anterior hindbrain
35 marker) and *hoxd1* (a posterior hindbrain marker) were sequentially fixed. These results
36 suggest that timed interactions between BMP and anti-BMP are involved in patterning the
37 vertebrate head progressively in time and space. Since the above genes are not expressed
38 sequentially, there may be a BMP dependent gene sequence during head patterning that can
39 be arrested by BMP inhibition and regulate the specification of positional values in the head.

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53 **Introduction**

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55 During early development, the vertebrate embryo is patterned from anterior to posterior in a
56 temporally progressive manner (Nieuwkoop 1952; Eyal-Giladi 1954; Gamse & Sive 2000;
57 Gamse & Sive 2001; Stern *et al.* 2006): anterior tissues are specified early, and more
58 posterior tissues are determined progressively later. Whereas coordination between temporal
59 and spatial control of anterior-posterior (A-P) patterning is evident, a thorough understanding
60 of the underlying mechanisms is still lacking in vertebrates.

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62 In frog, there is evidence for a BMP/anti-BMP dependent time-space translation mechanism
63 that regulates trunk-tail patterning by *Hox* genes (Wacker *et al.* 2004a; Durston & Zhu 2015).
64 In this mechanism, *Hox* genes are sequentially activated in a high BMP region of the
65 mesoderm (non-organiser mesoderm) (Wacker *et al.* 2004b), where their expression is
66 dynamic and unstable. As the mesoderm involutes during gastrulation, *Hox* expressing cells
67 are successively exposed to signals from the Spemann organiser, resulting in the *Hox*
68 sequence being fixed at different points along the forming axis. In this way, the timing
69 information encoded by *Hox* genes is translated into a spatial pattern. The putative organiser
70 signals that stabilise *Hox* codes are BMP antagonists, e.g. Noggin (Smith & Harland 1992)
71 and Chordin (Sasai *et al.* 1994), because these mimic the function of the organiser in
72 dorsalising the embryo (Smith *et al.* 1993; Khokha *et al.* 2005), inducing secondary axes
73 (Spemann & Mangold 1924; Sasai *et al.* 1994; Fang *et al.* 2000), and rescuing A-P axes in
74 ventralised embryos (Sasai *et al.* 1994; Wacker *et al.* 2004a). Notably, timed Noggin
75 treatments in ventralised embryos not only rescue the A-P axis, but also the spatial pattern of

76 *Hox* gene expression (Wacker *et al.* 2004a). This conclusion is further supported by a recent
77 study in chick, which reported the fixation of *Hox* codes in explanted posterior primitive
78 streak (containing high BMP mesoderm) by Noggin treatments (Dias *et al.* 2014). Together,
79 these findings suggest that BMP signalling is involved in patterning the trunk-tail part of the
80 axis by (directly or indirectly) regulating *Hox* gene expression.

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82 In the rescue experiments mentioned above (Sasai *et al.* 1994; Wacker *et al.* 2004a), Noggin
83 and Chordin treatments can also rescue the head part of the axis, suggesting that BMP
84 signalling may also be involved in patterning the head. Using heat-shock inducible *chordin*
85 transgene lines (Tg (*hsp70:chd*)), Hashiguchi *et al.* have shown in zebrafish that the
86 expression of *six3* (a forebrain marker) (Kobayashi *et al.* 1998), *otx2* (a forebrain and mid-
87 brain marker) (Li *et al.* 1994; Mori *et al.* 1994), *gbx1* (the counterpart of *Xenopus gbx2*; a
88 rostral hindbrain marker) (Rhinn *et al.* 2003), and *hoxb1b* (a caudal hindbrain marker)
89 (Alexandre *et al.* 1996) are sequentially induced by timed anti-BMP treatment from mid-
90 blastula to early gastrula stages (Hashiguchi & Mullins 2013). This is consistent with the
91 observations that timed Noggin injections in ventralised embryos rescued different portions
92 of the A-P axis in frog (Wacker *et al.* 2004a), and that progressively later anti-BMP (Chordin)
93 treatments resulted in progressively more posterior axis defects in zebrafish (Tucker *et al.*
94 2008). These findings raise an interesting question: is BMP signalling involved in
95 progressively patterning the head (brain) region of the embryo?

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97 In deuterostomes, the front-most portion of the A-P axis is not the head, but the extreme
98 anterior domain (EAD), a region wherein ectoderm and endoderm directly juxtapose in the
99 early embryo (Jacox *et al.* 2014). In frog, this region gives rise to three organs, the cement
100 gland (CG), the primary mouth, and the anterior pituitary gland (Dickinson & Sive 2007).

101 Among them, the cement gland is an ectodermal organ that lies anterior to any neural tissue
102 (Sive *et al.* 1989). The formation of CG can be affected by perturbations of the development
103 of dorsal mesoderm (the Spemann organiser) (Scharf & Gerhart 1983; Kao & Elinson 1988),
104 suggesting a requirement for organiser signals in the formation of this anterior-most structure.
105 It would therefore be interesting to see if CG formation is also regulated by BMP signalling.

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107 To test the role of BMP signalling in head patterning, we did timed anti-BMP treatments in
108 both wild-type (WT) and ventralised frog embryos. This resulted in sequential arrest (in WT
109 embryos) or rescue (in ventralised embryos) of head and EAD patterning at different values,
110 suggesting that a timing mechanism, which is BMP dependent and can be converted into
111 spatial patterns by anti-BMP signals, may be involved in patterning the vertebrate head-EAD.

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113 **Results and discussion**

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115 **Timed anti-BMP treatment arrests head patterning at different positions**

116 To examine the role of BMP signalling in head formation, we injected Noggin protein, an
117 antagonist of BMP (Smith & Harland 1992; Zimmerman *et al.* 1996), into the blastocoel of
118 the embryo at stage 8, 9, 10, 10.5 and 11 (from blastula to gastrula stage) (Fig 1). Embryos
119 injected with Noggin at stage 8 formed a ball of tissue with a large cement gland. Embryos
120 injected at stage 9 also showed a blob of tissue, but the cement gland was much smaller.
121 When Noggin was injected at st.10, a visible, short head (half head) was formed in the
122 embryo. Injection of Noggin at st.10.5 and 11 resulted in the formation of more posterior
123 structures, truncating head formation at the hindbrain and neck region, respectively.
124 Therefore, sequentially later Noggin treatments arrested head and EAD formation at more
125 and more posterior positions, suggesting that the EAD and head are patterned gradually in a

126 timed fashion. In zebrafish, sequential BMP inhibition results in axial defects at progressively
127 more posterior positions: later anti-BMP treatment affects posterior positions but not anterior
128 positions (Tucker *et al.* 2008). Together, these results suggest that the process involved in
129 head-tail patterning can be stopped sequentially by timed BMP inhibition.

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131 **Timed anti-BMP treatment in ventralised embryos rescued different portions of the**
132 **head**

133 The above observations led us to postulate that the “head timer” is BMP-dependent and can
134 be sequentially fixed by BMP inhibition, resulting in positional values being sequentially
135 specified. To test this, we did timed anti-BMP treatment in ventralised frog embryos (high
136 BMP) (Fig. 2).

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138 In *Xenopus*, ventralisation can be achieved by *BMP* overexpression (Dale *et al.* 1992; Jones
139 *et al.* 1992; Clement *et al.* 1995; Schmidt *et al.* 1995), while dorsalisation can be achieved by
140 BMP inhibition (Smith *et al.* 1993; Zhu *et al.* 2017). In our experiments, injection of the frog
141 embryo with 2ng *bmp4* resulted in complete ventralisation, showing a blob of tissue that had
142 no axis (Fig S1). When the embryo was injected with the same amount of *smad6*, an
143 inhibitory Smad that can interfere with BMP pathway (Imamura *et al.* 1997; Hata *et al.* 1998;
144 Goto *et al.* 2007), however, it displayed a dorsalised phenotype (Fig S1). We then did anti-
145 BMP treatments in BMP4-ventralised embryos at different stages using a Smad6GR
146 construct (Marom *et al.* 2005). Timed activation of this GR construct with dexamethazone
147 induced timed BMP pathway inhibition by *smad6* activation. Timed Smad6 treatment fixed
148 five anterior markers sequentially: it strongly fixed *xcg-1* at stage 8, *six3* at stage 8 and 9,
149 *otx2* at stage 9 and 10, *gbx2* at stage 10 and 10.5, and *hoxd1* at stage 10.5 (Fig. 3). The

150 sequential fixation of these genes fits well with the timing observed in Fig 1, suggesting that
151 the head-EAD is progressively patterned by timed BMP/anti-BMP actions.

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153 It is worth noting that, both in Hashiguchi's study and in this study, the last and most
154 posterior component of the head gene sequence is *hox1: hoxb1* and *hoxd1*, respectively. Since
155 *hox1* is the earliest, most anterior component of a previously elucidated *Hox* time-space
156 sequence, the spatial arrangement of these early induced head genes is clearly complementary
157 to and continuous with the later, more posterior *Hox* gene sequence. It is also clearly
158 continuous with a yet earlier, more anterior EAD sequence. In short, a BMP-anti BMP time-
159 space sequence covers the whole A-P axis.

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161 **The timing of A-P markers is disrupted in *smad6*-injected embryos**

162 During trunk patterning, collinearity causes *Hox* genes to be expressed in a 3' to 5' order, and
163 that more 3' genes are expressed earlier and more anteriorly than/to more 5' ones (Lewis
164 1978; Duboule & Dolle 1989; Graham *et al.* 1989). The temporally collinear expression of
165 *Hox* genes has been proposed to serve as a timer, which can be interpreted and translated into
166 spatial information (Wacker *et al.* 2004a). Since the anterior genes are sequentially fixed
167 earlier than *Hox* genes by anti-BMP treatment, it is natural to think that these genes are also
168 expressed in a temporal sequence, which could complement the *Hox* sequence to constitute
169 an integrative timer. In addition, the very early induction of *xcg1*, Fig. 2 indicates that the
170 EAD is timed very early in the same sequence as the head. However, although these genes
171 showed a spatial sequence of expression along the A-P axis (Fig S2), the endogenous timed
172 activation of these genes did not strictly correspond to their spatial order along the A-P axis
173 (Fig 3). For example, *six-3* demarcates the most anterior border of the developing neural plate
174 (Oliver *et al.* 1995), but it was expressed at the end of gastrulation, much later than the other

175 genes. The expression domain of *gbx2* is anterior to that of *hoxd1*, but it was also not
176 expressed earlier than *hoxd1*. Moreover, unlike Hox genes, which are expressed in ventral
177 and lateral mesoderm during gastrulation, the earliest expression of *six3* and *otx2* was located
178 at the dorsal side of the embryo. The expression kinetics and expression locations of these
179 genes therefore make them less likely to be “timer genes” or “fixation genes” themselves (at
180 least not all of them are). They are presumably downstream of the timer. Even so, however,
181 their timing was disrupted by *smad6* injection (injection at 2-cell or 4-cell stage, *Smd6*
182 remaining available till much later) (Fig 3). For example, the expression of *six3* in *smad6*-
183 injected embryos was advanced to stage 11.5 from stage 12. Expression of *gbx2* and *hoxd1*
184 was significantly reduced and only detectable from stage 11.5, whereas their expression in
185 WT embryos was observed earlier (at stage 10.5). These results are unsurprising. The anterior
186 *six3* would be induced (evidently prematurely) by this early BMP inhibition. *gbx2* and *hoxd1*
187 would be inhibited and are evidently delayed because the axial time and position has been
188 fixed at early/anterior by this early anti-BMP treatment. Although these anterior genes may
189 only serve as positional markers, the time of their expression is also of crucial importance.
190 For example, *gbx2* shows a significant effect on head development when ectopically
191 expressed at stage 9 and 10. The effect gets less drastic when it is expressed at later stages,
192 e.g. stage 12 and 13 (Tour *et al.* 2002). Therefore, these results further emphasise the
193 importance of BMP signalling in regulating head patterning.

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195 **Hypothesis for head patterning by BMP signalling**

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197 The results in this study suggest two aspects of head patterning. First, the vertebrate head and
198 EAD are patterned in a temporally progressive manner: the EAD is patterned first, followed
199 by the patterning of the forebrain, the midbrain, the hindbrain and the neck. Second, BMP

200 signalling is involved in patterning the head in time and space, which can be seen from the
201 progressive arrest of head and EAD formation by timed anti-BMP treatments (Fig 1) and
202 from sequential fixation of anterior marker genes (*xcg-1*, *six3*, *otx2*, *gbx2*, and *hoxd1*) by anti-
203 BMP (Fig 2). However, the molecular mechanism, by which BMP signalling regulates head-
204 EAD patterning, is not yet clear. It is likely a similar mechanism to that which patterns the
205 trunk-tail part of the axis is involved (Wacker *et al.* 2004a; Durston & Zhu 2015) (Fig 4). A
206 key component of this mechanism is a BMP dependent timer, which is temporal gene
207 sequence. The existence of such a timer has been demonstrated in gastrula NOM mesoderm
208 for the *Xenopus Hox* sequence. During trunk-tail patterning, the timer is likely to be regulated
209 by *Hox* genes (Wacker *et al.* 2004a; Durston & Zhu 2015). The timer genes involved in head-
210 EAD patterning and the location of the timer, however, are so far unknown. Some of the
211 anterior head makers (e.g. *six3*, *gbx2*) that we examined in our study presumably do not
212 regulate timing or fixation because they were not sequentially expressed endogenously (Fig
213 3). Since these genes could be fixed sequentially by anti-BMP treatments, a possible
214 explanation is that sequential states of the currently unknown “head timer” are fixed
215 sequentially by anti-BMP signals and these then regulate the expression of anterior marker
216 genes and hence progressive patterning of the head-EAD. In conclusion, the results in study
217 argue for a vital role for BMP/anti-BMP in patterning the vertebrate head in time and space.
218 We postulate that a ventral BMP dependent timer sequentially exposes and dorsal anti BMP
219 sequentially fixes an early-anterior to late-posterior sequence of A-P states, starting in the
220 most anterior EAD part of the axis and then going on to an A-P sequence of states in the head
221 before ending in an A-P *Hox* sequence in the trunk and tail part of the axis (Fig 4).

222

223 **Experimental procedures**

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225 **Microinjection**

226 Frog embryos were harvested from naturally mated females and staged according to
227 Nieuwkoop and Faber (Nieuwkoop & Faber 1994). For timed anti-BMP treatment in wild-
228 type embryos, 200nL 0.1µg/µL human noggin protein (Sigma H6416) was injected into the
229 blastocoel of embryos at stage 8, 9,10, 10.5 and 11. The embryos were then cultured to stage
230 28 for taking pictures. A similar approach has been used by others (Cooke & Smith 1989;
231 Wacker *et al.* 2004a). mRNA for injection was transcribed with mMessage mMachine Kit
232 (Ambion, Life technologies, AM1340) from the following plasmids after linearization at the
233 appropriate restriction sites: pSP64T-BMP4 (for *BMP4* RNA) (Nishimatsu *et al.* 1992) and
234 pCS2-hSmad6GR (for *smad6GR* RNA) (Marom *et al.* 2005). To induce full ventralisation,
235 about 2ng *BMP4* RNA was injected to each embryo at 2-cell or 4-cell stage and cultured to
236 stage 26. Timed anti-BMP treatment in BMP-ventralised embryos was achieved by combined
237 injection of 2ng *BMP4* RNA and 2ng *smad6GR* RNA at 2-cell or 4-cell stage. The embryos
238 were then treated with 10 µM dexamethasone for 2 hours at desired stages and cultured to
239 stage 26.

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241 **Whole mount in situ hybridization**

242 When reached desired stages, embryos were fixed overnight in MEMFA at 4°C. After
243 dehydration in 100% methanol, they were stored in methanol at -20°C until use. Whole
244 mount in situ hybridization (WISH) was performed as previously described (Wacker *et al.*,
245 2004a). The probes for in situ hybridization were synthesized from the following plasmids
246 after linearization: pVZ1-xcg1 (for *xcg-1* probe) (Gammill & Sive 2000), pBSSK-Six3 (for
247 *six3* probe) (Kenyon *et al.* 1999), pBluescript-KS-xotx2 (for *otx2* probe) (Blitz & Cho 1995),
248 pXgbx-2 (for *gbx-2* probe) (von Bubnoff *et al.* 1996), and pBluescript SK-xHoxLab1 (for
249 *hoxd1* probe) (Sive & Cheng 1991).

250

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254 Andreazzoli for the *six-3* probe; Dr K Cho for the *otx-2* probe; and Dr D Kimelman for the
255 *gbx-2* probe.

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387

388 **Figure Legends**

389

390 **Fig 1. Timed Noggin-injection in WE embryos resulted in progressive arrest of head**
391 **patterning.** 200nl 1ng/ μ L noggin was injected into the blastocoel of the embryo at different
392 stages. Anterior is to the left and dorsal is up. Black arrows point to the position of the
393 cement gland.

394

395 **Fig 2. Timed anti-BMP treatment in ventralised embryos led to sequential fixation of**
396 **anterior genes.** The expression of *xcg-1*, *six3*, *otx2*, *gbx2*, and *hoxd1* in *bmp4*-injected
397 embryos that were subjected to Smad6 treatment at different stages.

398

399 **Fig 3. The expression of *six3*, *otx2*, *gbx2* and *hoxd1* at different stages in wild-type and**
400 ***smad6*-injected embryos.** Embryos are vegetal views with dorsal to the top, *smad6* injected
401 at 2-cell or 4-cell stage.

402

403 **Fig 4. Hypothesis for head patterning by BMP signalling.** A currently unknown, BMP-
404 dependent timer is running during head patterning. The timer can be fixed by anti-BMP
405 signals and regulate the expression of anterior genes: *xcg-1*, *six3*, *otx2*, *gbx2*, and *hoxd1*,
406 resulting in the head being progressively patterned. Please note that the markers *six3* and
407 *xcg1* are more ventrally placed than the rest. This is because the front end of the early A-P
408 axis bends around ventrally to face backward like the handle of a walking stick (Durstun
409 2015).

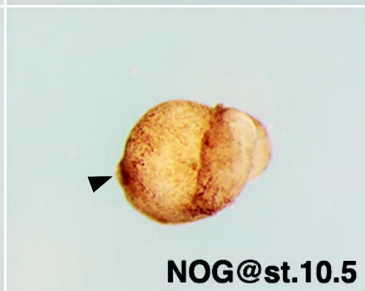
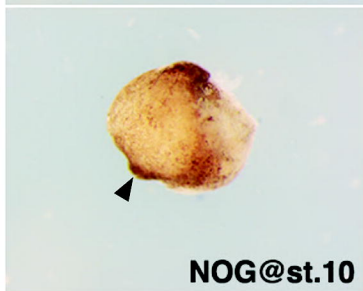
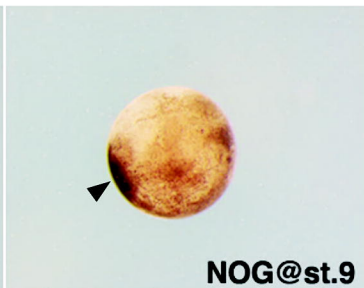
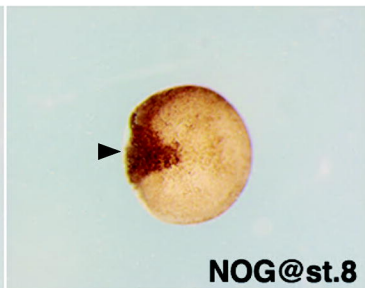
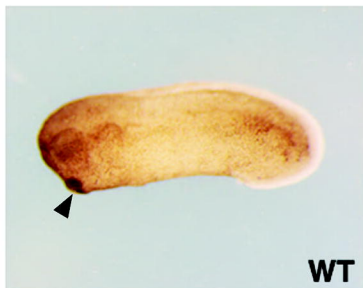
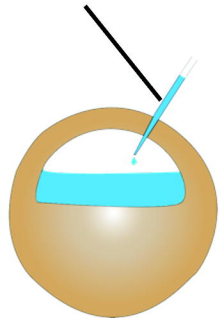
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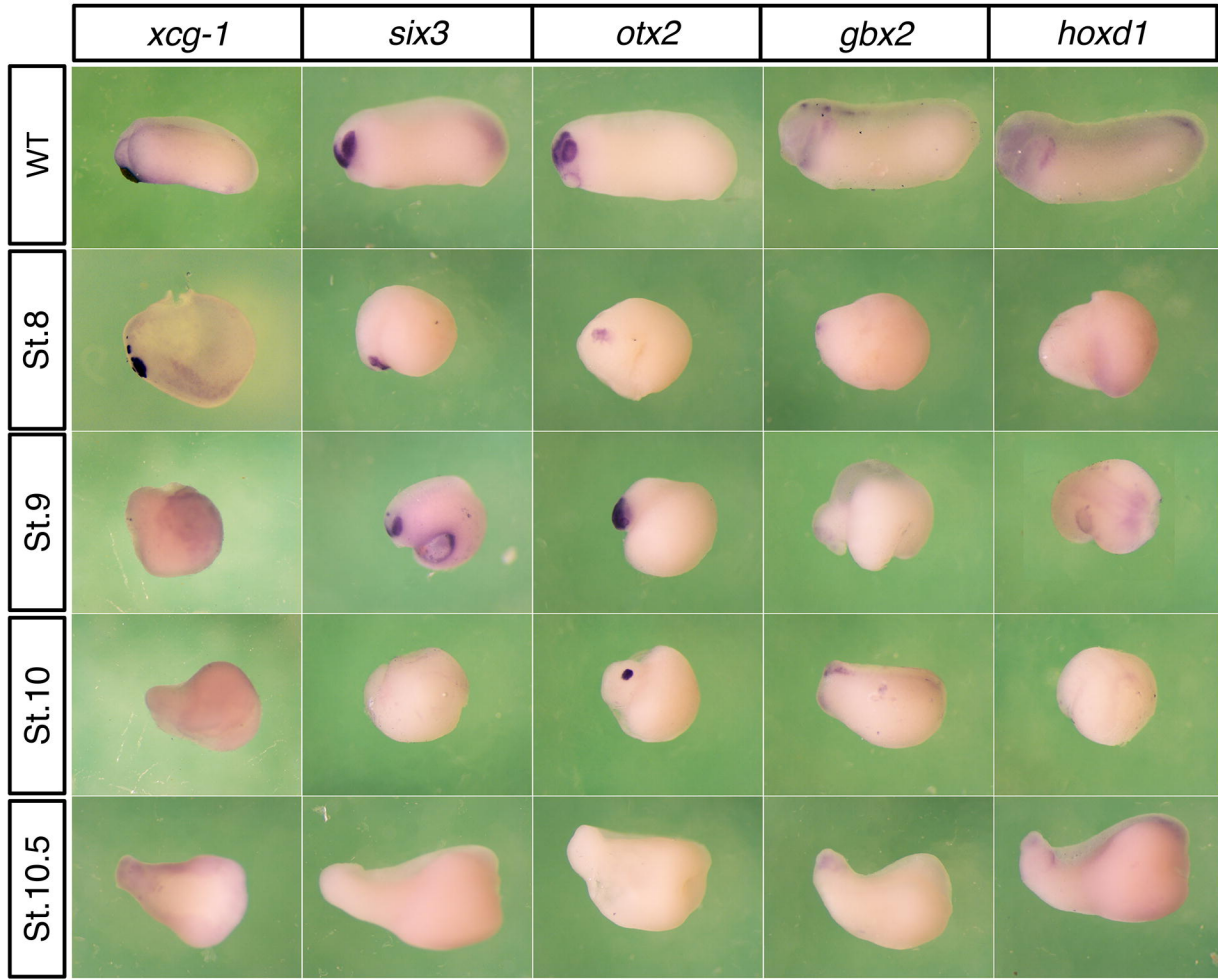
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**Noggin
Protein**



Bmp4+smad6GR
DEX added @



Wild type

six3

otx2

gbx2

hoxd1

st.8

st.9

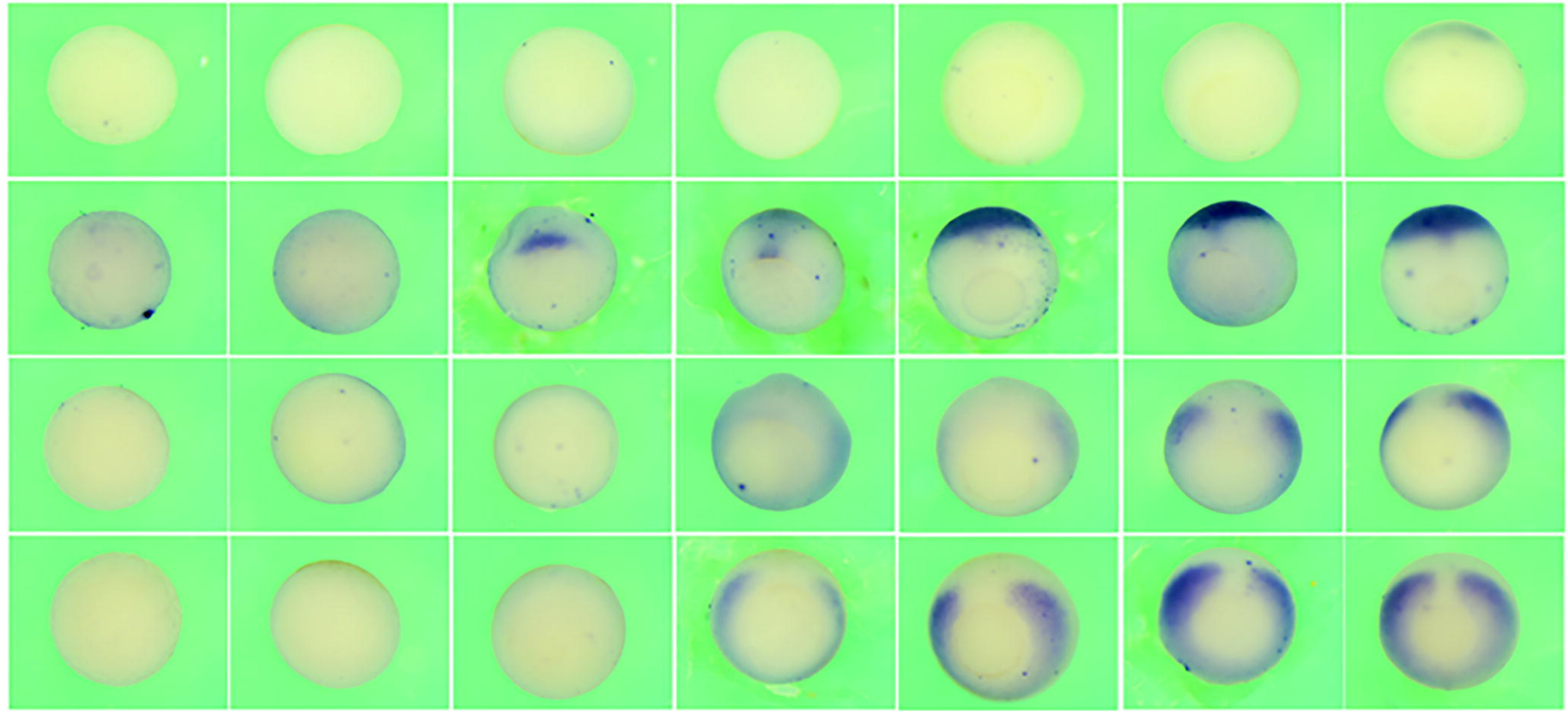
st.10

st.10.5

st.11

st.11.5

st.12



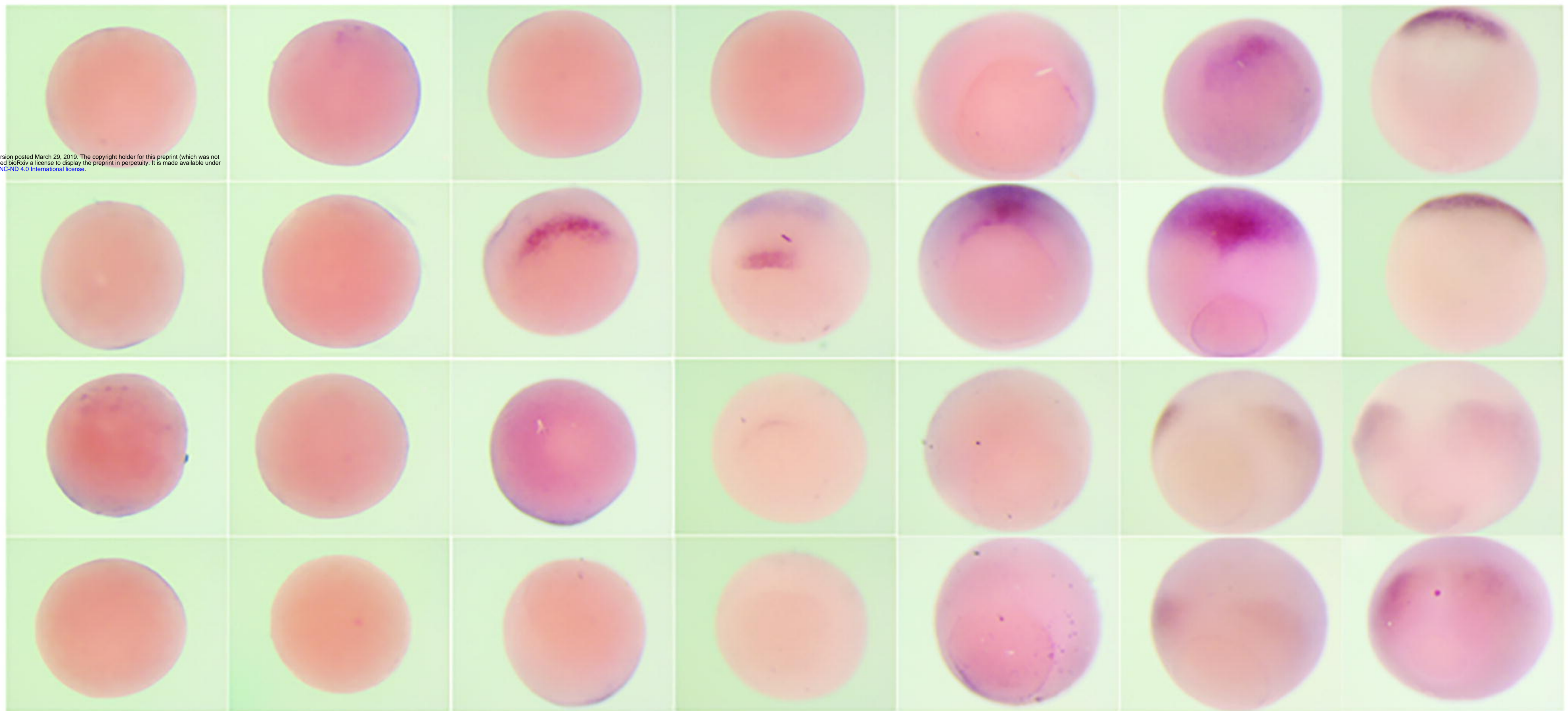
smad6 injected

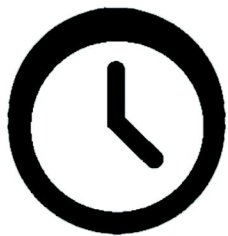
six3

otx2

gbx2

hoxd1



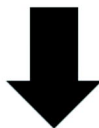


**BMP-dependent
Timer**

Stabilise



Anti-BMP Signals



A-P markers:

xcg-1, *six3*,
otx2, *gbx2*

