1   Multi-Trait Improvement by Predicting Genetic Correlations in Breeding Crosses

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16   ABSTRACT

The many quantitative traits of interest to plant breeders are often genetically correlated, which

can complicate progress from selection. Improving multiple traits may be enhanced by

identifying parent combinations – an important breeding step – that will deliver more favorable

genetic correlations (r_G). Modeling the segregation of genomewide markers with estimated

effects may be one method of predicting r_G in a cross, but this approach remains untested. Our

objectives were to: (i) use simulations to assess the accuracy of genomewide predictions of r_G

and the long-term response to selection when selecting crosses on the basis of such predictions;

and (ii) empirically measure the ability to predict genetic correlations using data from a barley

(*Hordeum vulgare* L.) breeding program. Using simulations, we found that the accuracy to

predict r_G was generally moderate and influenced by trait heritability, population size, and

genetic correlation architecture (i.e. pleiotropy or linkage disequilibrium). Among 26 barley

breeding populations, the empirical prediction accuracy of r_G was low (-0.012) to moderate

(0.42), depending on trait complexity. Within a simulated plant breeding program employing

indirect selection, choosing crosses based on predicted r_G increased multi-trait genetic gain by

11-27% compared to selection on the predicted cross mean. Importantly, when the starting

genetic correlation was negative, such cross selection mitigated or prevented an unfavorable

response in the trait under indirect selection. Prioritizing crosses based on predicted genetic

correlation can be a feasible and effective method of improving unfavorably correlated traits in

breeding programs.

                                    INTRODUCTION

Quantitative traits often exhibit complex relationships with one another, with ramifications for

disease epidemiology, evolutionary processes, and plant and animal improvement. These

relationships may manifest as genetic correlations, which can be caused by shared genetic

influence (i.e. pleiotropy) or the non-random association of alleles (i.e. linkage disequilibrium)

(Lynch and Walsh 1998). Investigations in quantitative genetics commonly assume that many

loci of small effect govern traits [i.e. "infinitesimal model" (Fisher 1919)]. This suggest that a

large proportion of the genome should contribute to phenotypic variation, a hypothesis that has

been supported by recent genome-wide analyses of complex traits (Mackay 2010; Boyle *et al.*

2017). If true for multiple complex traits, a natural corollary follows that pleiotropy or close

linkage of trait-specific genes is widespread. Recent studies attempting to identify quantitative

trait loci (QTL) influencing multiple traits using dense genomewide markers have provided

support for this idea, reporting extensive pleiotropy or strong genetic correlations (Korte *et al.*

2012; Lee *et al.* 2012; Bulik-Sullivan *et al.* 2015; Schaid *et al.* 2016; Deng and Pan 2017).

50   Plant breeders routinely select on multiple traits, but progress can be complicated by

genetic correlations. If two traits are favorably correlated, selection can simultaneously improve

both by tandem selection, indirect selection, or a trait index (Bernardo 2010). Unfavorable

correlations, meanwhile, are common and often the bane of the breeder. In crop improvement,

notorious examples include grain yield and grain protein content in wheat (*Triticum aestivum* L.;

Simmonds 1995), grain yield and plant height in maize (*Zea mays* L.; Chi *et al.* 1969), and seed

protein and oil content in soybean (*Glycine max* L.; Bandillo *et al.* 2015). The directions of such

correlations imply an unfavorable response in one trait when selecting on another (Falconer and

Mackay 1996), and the underlying cause will impact the prospects of long-term improvement.

Selection on traits with shared, antagonistic genetic influence is functionally constrained, but

correlations induced by linkage disequilibrium are transient and can be disrupted by

recombination (Falconer and Mackay 1996; Lynch and Walsh 1998).

Genomewide selection has become popular among plant breeders as a method of

predicting the merit of unphenotyped individuals using genomewide markers and a phenotyped

training population (Meuwissen *et al.* 2001). Typical prediction models are univariate (i.e. one

trait), but multivariate models have recently been explored as a means of borrowing information

from genetically correlated traits and improving the prediction accuracy of both traits (Calus and

Veerkamp 2011; Jia and Jannink 2012). Selection on multiple traits using predicted breeding

values would proceed as if using phenotypic values, relying on procedures such as tandem

selection, independent culling levels, or the construction of a trait index (Bernardo 2010), with

most studies of multi-trait genomewide selection using the latter (Combs and Bernardo 2013;

Beyene *et al.* 2015; Sleper and Bernardo 2018; Tiede and Smith 2018).

These models and selection methods implicitly assume that the breeding population has

already been developed from selected parents. Therefore, the genetic variance of each trait, and

the genetic correlation between traits, both of which determine the direct or correlated response

to selection (Falconer and Mackay 1996), are fixed parameters of the population. In addition to

more accurate selection within an established population, multi-trait genetic gain could be

increased by developing better populations through deliberate selection of parent combinations

with a more ideal mean, larger genetic variance, and more favorable genetic correlation.

Typically, breeders select parents using the expected population mean, which can reliably be

predicted as the mean of the two parents (Bernardo 2010). Recently developed methods that rely

on *in silico* simulations (Bernardo 2014; Mohammadi *et al.* 2015) or deterministic equations

(Zhong and Jannink 2007; Lehermeier *et al.* 2017; Osthushenrich *et al.* 2017) have been

proposed to predict the genetic variance in a potential cross. These procedures model the

expected segregation of genomewide markers with estimated effects, and early validation

experiments suggest that such procedures may be useful (Lian *et al.* 2015; Tiede *et al.* 2015;

Osthushenrich *et al.* 2017; Neyhart and Smith 2019).

Predictions of the population mean and genetic variance could be used to discriminate

among potential crosses on the basis of the expected mean of selected progeny in those crosses.

This can be quantified by the usefulness criterion (Schnell and Utz 1975), or the superior

progeny mean (Zhong and Jannink 2007). The superior progeny mean assumes selection on a

single trait, yet if two traits are genetically correlated, a response to selection would also be

expected in a second trait. This "correlated progeny mean," as we will refer to it, could be used

to further distinguish ideal crosses as long as the genetic correlation is known or can be

predicted. Though much research has focused on predicting the genetic variance in breeding

crosses (e.g. Souza and Sorrells 1991; Bohn *et al.* 1999; Utz *et al.* 2001), little work has

addressed predicting the genetic correlation. The simulation approach codified by Mohammadi *et*

al. (2015) generates such predictions, but their accuracy and utility remain unexplored, and the

use of simulations can be computationally burdensome for a large number of potential crosses.

The ideal selection of crosses to simultaneously improve multiple traits has been the

focus of recent research. Allier *et al.* (2019) presented theory and a deterministic equation to

predict the genetic correlation between two traits in multi- or bi-parental populations. While they

applied this equation to the case of parental contribution (treated as a quantitative trait) correlated

with an agronomic trait of interest, the theory could be generalized to two or more traits in the

traditional sense. Additionally, Akdemir *et al.* (2019) applied a multi-objective optimized

breeding strategy in simulations to select parent combinations and improve two unfavorably

correlated traits. This approach solves the multiple objective optimization problem of

maximizing the genetic gain of two or more traits while constraining inbreeding. Their

maximization objective accounts for both the predicted mean and genetic variance of a cross, but

does not consider the predicted genetic correlation between traits. In theory, such information

could be included in this optimization framework, as long as predictions are accurate.

The objectives of this study were to (i) use simulations to assess the accuracy of

genomewide predictions of genetic correlations and the long-term response to selection when

selecting crosses on the basis of superior/correlated progeny means; and (ii) empirically measure

the ability to predict genetic correlations using data from a barley (*Hordeum vulgare* L.)

breeding program.

                    METHODS AND MATERIALS

Theory

Below, we first outline a deterministic prediction of the genetic variance of a single trait and the

correlation between traits in a recombinant inbred line (RIL) population assuming two fully

inbred parents, bi-allelic QTL, and no dominance or epistasis. This derivation follows the

notation presented in Zhong and Jannink (2007); others have determined equations for the

expected genetic variance in bi-parental populations of intermediate selfing generations

(Lehermeier *et al.* 2017) or multi-parent populations (Allier *et al.* 2019), and this derivation

could be applied to such circumstances. We then use these predictions to determine the superior

progeny mean and correlated progeny mean for a cross.

127   Suppose that $L_{(k)}$ QTL influence the kth quantitative trait, and in the mth cross $L_{m(k)}$ QTL

128   are segregating for that trait (where $L_{m(k)} \leq L_{(k)}$). The expected genetic variance in the cross is the

129   sum of the variance of each locus plus the covariance between pairs of loci. As noted in Zhong

130   and Jannink (2007), the genetic variance in cross m is

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$$\sigma_{G(m)}^2 = \sum_{i=1}^{L_m} \alpha_i^2 + 2 \sum_{i<j} \frac{1 - 2c_{ij}}{1 + 2c_{ij}} \alpha_i \alpha_j, \tag{1}$$

where α_i and α_j are the allele substitution effects of the ith and jth loci, respectively and c_{ij} is

the recombination fraction between the ith and jth loci. As expected, loci that are genetically

unlinked (i.e. independent, $c_{ij} = 0.5$) will have a covariance of 0. The covariance can be

generalized across coupling and repulsion phase linkage by setting the allele substitution effects

$+\alpha_i$ and $+\alpha_j$ to those of the first parent and $-\alpha_i$ and $-\alpha_j$ to those of the second parent (Zhong

and Jannink 2007).

The single-trait covariance term in Equation (1) can be modified to calculate the expected

genetic covariance between two traits, 1 and 2:

$$\sigma_{G(1,2)(m)} = \sum_{i=1}^{L_{m(1)}} \sum_{j=1}^{L_{m(2)}} \frac{1 - 2c_{i(1)j(2)}}{1 + 2c_{i(1)j(2)}} \alpha_{i(1)} \alpha_{j(2)}, \tag{2}$$

141   where $c_{i(1)j(2)}$ is the recombination fraction between the ith locus of trait 1 and the jth locus of

142   trait 2, $\alpha_{i(1)}$ is the allele substitution effect of the ith locus of trait 1 and $\alpha_{j(2)}$ is the allele

143   substitution effect of the jth locus of trait 2. Using the expected genetic variance of each trait

144   calculated from Equation (1) and the expected covariance between traits from Equation (2), the

145   expected genetic correlation is

$$r_{G(1,2)(m)} = \frac{\sigma_{G(1,2)(m)}}{\sqrt{\sigma_{G(1)(m)}^2 \sigma_{G(2)(m)}^2}}. \tag{3}$$

147   With estimates of the genetic variance for two traits and the genetic correlation between

148   the traits, we can rely on established theory to estimate the superior progeny mean ($\mu_{sp(m)}$) and

149   correlated progeny mean in a cross. For trait 1, assumed under direct selection, the superior

150   progeny mean is

$$\mu_{sp(1)(m)} = \mu_{(1)(m)} + k_{sp} \sigma_{G(1)(m)}, \tag{4}$$

where $\mu_{(1)(m)}$ is the expected mean of trait 1 in the cross (estimated as the mean breeding value

of the parents) and k_{sp} is the standardized selection coefficient. It is worth noting that the

deviation from $\mu_{(1)(m)}$ in Equation (4) is the same as the direct response to selection, $R_{(1)} =$

$k_{sp}\sigma_{G(1)}$, when the heritability is 1. The correlated response of the second trait, after selection on

the first, is $R_{(2)}^C = k_{sp} r_{G(1,2)} \sigma_{G(2)}$, which, when expressed as a deviation from the expected mean

of the second trait, becomes the correlated progeny mean:

$$158 \qquad \mu_{sp(2)(m)}^{C} = \mu_{(2)(m)} + k_{sp} r_{G(1,2)} \sigma_{G(2)(m)}. \qquad (5)$$

As with phenotypic values of two traits in a population, estimates of the superior progeny mean

and correlated progeny mean could be used to select crosses that maximize the genetic gain for

both traits, through independent culling levels or index selection (Bernardo 2010).

The equations above assume that the loci under consideration are the true QTL

influencing the quantitative traits. Since the effects of such QTL are usually unknown, the

estimated effects of genomewide markers in linkage disequilibrium with QTL can be used to

make predictions (Meuwissen *et al.* 2001). This is the basis of *in silico* methods to predict

genetic variance and genetic correlation, such as the R package *PopVar* (Mohammadi *et al.*

2015). The advantage of the deterministic equations is computational speed (about 130-fold

faster, data not shown), with a high or perfect correlation between predicted values (Figure S1).

Simulations

We conducted two simulations to assess the utility of predicting the genetic correlation in a

breeding cross. Our simulations were based on observed marker genotypes of 1,570 North

American two-row spring barley lines genotyped with 3,072 single nucleotide polymorphism

(SNP) markers (Close *et al.* 2009), with genetic positions according to a consensus linkage map

(Muñoz-Amatriaín *et al.* 2011); all data was obtained from the Triticeae Toolbox

(https://triticeaetoolbox.org/barley/; Blake *et al.* 2016). We used empirical marker data to initiate

our simulations in order to reflect the observed patterns of LD within North American barley

breeding lines (Jannink 2010). Marker genotypes were arbitrarily coded as -1, 0, 1, where -1 was

homozygous for the second allele, 0 was heterozygous, and 1 was homozygous for the first

allele. After removing monomorphic and redundant SNPs (identical genotype calls and genetic

positions), and SNPs and lines with more than 10% missing data, we were left with a marker

matrix of 1,565 lines and 2,309 SNPs. We set the few heterozygous genotypes to missing and

imputed missing calls using the mode across each SNP. The genetic map positions of completely

coincident SNPs (i.e. due to low genetic resolution) were jittered by adding a small value (1 $\times$

10^{-6} cM). These data were used to define the genetic architecture of the simulated quantitative

traits and form the initial pool from which to establish a base training population.

Simulation 1 – Accuracy of predicting genetic correlations

In the first simulation experiment, we assessed the conditions influencing prediction accuracy of

genetic correlations. We perturbed the heritabilities of two traits, the architecture defining the

traits (i.e. number of QTL) and genetic correlation, the initial genetic correlation, and the

base/training population size (Table 1). Simulations were initiated by drawing 200 SNP markers

to act as QTL. For each trait, $100 - L$ QTL were assigned an effect of 0, where L was the

effective number of QTL (30 or 100). QTL effects were defined by a geometric series, as

proposed by Lande and Thompson (1990): for the kth QTL, the value of the favorable

homozygote was a^k, the value of the heterozygote was 0, and the value of the unfavorable

homozygote was $-a^k$, where $a = (1 - L)/(1 + L)$. The first allele at each QTL was randomly

assigned to be favorable or unfavorable and larger values were considered favorable for both

traits. This randomization was performed independently for each trait.

Genetic correlations were generated according to three different architecture types:

pleiotropy, tight linkage, or loose linkage. For simplicity, we assumed that the genetic

architecture was governed entirely by one of the types. Under pleiotropy, the sampled QTL

effects were first stored in an $L \times 2$ matrix, **A**. The desired genetic correlation in the base

population ($r_{G(0)}$) was achieved by multiplying matrix **A** by the Choleski decomposition of the

variance-covariance matrix **Σ**, which contained 1 on the diagonal and $r_{G(0)}$ on the off-diagonal.

This resulted in a set of QTL with pleiotropic effects that varied in both magnitude and sign for

the two traits. Under tight linkage and loose linkage, each SNP sampled to be an effective QTL

for the first trait was paired with another SNP that was sampled – with restrictions – to be an

effective QTL for the second trait. For tight linkage, this second SNP was restricted to within 5

cM of the first SNP, and for loose linkage, this second SNP was restricted to between 25 cM and

35 cM of the first SNP. The QTL effects were again stored in the $L \times 2$ matrix **A**, where each

row was a pair of QTL, and subsequently adjusted as above. Effects of QTL influencing the

second trait were then multiplied by matrix **R**, which contained estimates of linkage

disequilibrium (measured as the pairwise correlation, r, between genotype states in the base

population) between QTL influencing the first trait and QTL influencing the second trait. This

adjustment resulted in base genetic correlations that approximately matched the target, $r_{G(0)}$

(Figure S2).

The base/training population was first generated by randomly sampling N_{TP} individuals

from the simulation starting material. For each trait, the genotypic value of an individual was

calculated as the sum of the QTL allele effects carried by that individual, and the genetic

variance was calculated as the variance among genotypic values. Phenotypic values were

simulated by adding independent normally distributed deviations to the genotypic values to

achieve a starting entry-mean heritability of h_p^2 (Table 1) with no residual covariance between

traits. Individuals were assumed to be phenotyped in three environments with one replication,

and the mean phenotypic value was used for genomewide prediction. Marker effects were

predicted using the univariate model:

$$y_{ip} = \mu_p + \sum_{m=1}^{M} x_{im} u_{mp} + \epsilon_{ip}, \tag{6}$$

where y_{ip} was the phenotypic mean of the ith individual for the pth trait, μ_p was the population

mean for the pth trait, x_{im} was the allelic state of the mth marker in the ith individual (i.e. -1, 0,

or 1), u_{mp} was the predicted effect of the mth marker for the pth trait, and ϵ_{ip} was the associated

error. We used two models to predict marker effects: ridge-regression best linear unbiased

prediction (RR-BLUP) and BayesCπ (Habier *et al.* 2011). Potential crosses were generated by

randomly sampling 50 pairs of individuals from the base population. We predicted the genetic

correlation ($\hat{r}_{G(1,2)}$) for each potential cross using Equations (1), (2), and (3), where α was

substituted with u_{mp}. The expected genetic correlation ($r_{G(1,2)}$) was similarly computed but

instead using the known QTL effects instead of the predicted marker effects. Prediction accuracy

was defined as the correlation between the predicted and expected genetic correlations. As a

comparison, we also assessed predictions of the trait-specific mean ($\hat{\mu}_{(p)}$) and genetic variance

($\hat{\sigma}^2_{G(p)}$) in each cross. Each condition of this simulation was replicated 100 times.

Simulation 2 – Correlated response to selection

We conducted a second simulation experiment to measure the long-term response of two

correlated traits under different cross selection strategies. The range of perturbed parameters was

smaller than in the first simulation (Table 1), though simulations were initiated as described

above. We assumed that a breeder wanted to simultaneously increase the genotypic value of two

quantitative traits through indirect selection, therefore positive genetic correlations were

favorable. The base/training population was created by randomly sampling $N_{TP} = 600$ individuals

from the simulation starting material. Informed by the results of the first simulation (see below),

we used only the simpler RR-BLUP model to predict marker effects. Potential parents for the

first breeding cycle were selected by determining the best 30 individuals in training population

based on the predicted genotypic values of the first trait (the primary trait under direct selection).

We predicted the mean, genetic variance, and genetic correlation for all possible non-reciprocal

crosses between the potential parents. We then calculated the superior progeny mean of the first

trait and the correlated progeny mean of the second trait using the predicted parameters and

Equations (4) and (5) with a standardized selection coefficient of $k_{sp} = 2.06$ (i.e. selection of the

best 5%).

Twenty crosses were selected based on i) equal-weight sum of the normalized predicted

superior progeny mean of the first trait and predicted correlated response in the second trait

$\left(\hat{\mu}_{sp}^{I} = \hat{\mu}_{sp(1)} + \hat{\mu}_{sp(2)}^{C}\right)$, ii) the predicted cross mean of the primary trait $\left(\hat{\mu}_{(1)}\right)$, or iii) random

selection. We will subsequently refer to the non-random cross selection methods by the

abbreviations CPM (correlated/superior progeny mean) or FM (family, or cross, mean). From the

selected crosses, families of 50 recombinant inbred lines were simulated using the *qtl* R package

(Broman *et al.* 2003). Recombination events were sampled according to the genetic map

(Muñoz-Amatriaín *et al.* 2011), with the assumption of no crossover interference or mutation.

This resulted in a pool of 1,000 selection candidates. Finally, 50 potential parents for the next

breeding cycle were chosen from these candidates using predicted genotypic values of the first

trait. We simulated 10 cycles of recurrent selection (outlined in Figure 1), during which marker

effect estimates remained unchanged. Along with the standardized selection response for each

trait, we also tracked the genetic variance of each trait, the genetic correlation between traits, the

frequency of favorable, unfavorable, and antagonistic (i.e. two alleles with opposite effect) QTL

haplotypes, and the proportion of QTL with fixed alleles. Simulations were replicated 250 times,

and we report the mean and 95% approximate confidence interval for each measured variable.

Empirical validation

To empirically validate predictions of genetic correlations, we used phenotypic and genotypic

data from a barley breeding program. The details of data generation are described elsewhere

(Neyhart and Smith 2019), but we include a brief overview below. A training population (TP) of

175 two-row spring barley lines was genotyped with 6,361 SNP markers and phenotyped in four

location-year environments for heading date (a proxy for flowering time), Fusarium head blight

(FHB) severity (caused by the fungal pathogen *Fusarium graminearum* Schwabe), and plant

height. To estimate the genetic correlation between pairs of traits in the TP, we regressed the

phenotypic observations of two traits, $\boldsymbol{y}_p = \{y_{ijp}\}$, on individuals (genotypes), environments,

and their interaction, where i indexes genotypes, j environments, and p traits. We fitted a bi-

variate mixed model:

$$\boldsymbol{y}_p = \mathbf{1}\mu_p + \boldsymbol{t}_p + \boldsymbol{g}_p + (\boldsymbol{gt})_p + \boldsymbol{\epsilon}_p, \tag{7}$$

where μ_p is the population mean, $\boldsymbol{t}_p = \{t_{jp}\}$ the fixed environmental effect, $\boldsymbol{g}_p = \{g_{ip}\}$ the

random effect of genotypes, $(\boldsymbol{gt})_p = \{(gt)_{ijp}\}$ the random interaction effect of genotypes and

environment, and $\boldsymbol{\epsilon}_p = \{\epsilon_{ijp}\}$ the associated error. The distribution of random effects was

assumed to be multivariate normal such that: $\boldsymbol{g} \sim MVN(0, \boldsymbol{G} \otimes \boldsymbol{\Sigma})$, $\boldsymbol{gt} \sim$

$MVN\big(0, \big(\boldsymbol{Z}_g \boldsymbol{G} \boldsymbol{Z}_g' \circ \boldsymbol{Z}_t \boldsymbol{Z}_t'\big) \otimes \boldsymbol{\Omega}\big)$, and $\boldsymbol{\epsilon} \sim MVN(0, \boldsymbol{I} \otimes \boldsymbol{R})$, where $\boldsymbol{G}$ is the realized genomic

relationship matrix, $\boldsymbol{Z}_g$ is an incidence matrix for individuals, $\boldsymbol{Z}_t$ is an incidence matrix for

environments, $\boldsymbol{I}$ is an identity matrix, $\otimes$ denotes the Kronecker product between matrices and $\circ$

denotes the Hadamard product between matrices. The genotype, genotype-environment

interaction, and residuals covariance structures are

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$$\boldsymbol{\Sigma} = \begin{bmatrix} \sigma_{G(1)}^2 & \sigma_{G(1,2)} \\ \sigma_{G(1,2)} & \sigma_{G(2)}^2 \end{bmatrix},$$

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$$\boldsymbol{\Omega} = \begin{bmatrix} \sigma^2_{gt(1)} & \sigma_{gt(1,2)} \\ \sigma_{gt(1,2)} & \sigma^2_{gt(2)} \end{bmatrix},$$

293 and

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$$\boldsymbol{R} = \begin{bmatrix} \sigma^2_{\epsilon(1)} & \sigma_{\epsilon(1,2)} \\ \sigma_{\epsilon(1,2)} & \sigma^2_{\epsilon(2)} \end{bmatrix}.$$

Models were fitted using the R package *sommer* (Covarrubias-Pazaran 2016) and the genetic

correlation was estimated from elements in $\boldsymbol{\Sigma}$ using Equation (3).

Marker effects were estimated from marker genotypes and phenotypic best linear

unbiased estimates (BLUES; i.e. genotypic means from a model accounting for genotype,

environment, and the interaction) of the TP using the univariate model in Equation (6). Among

all potential non-reciprocal crosses between 813 offspring of the TP ($n = 330{,}078$), we used

estimated marker effects and the R package *PopVar* to predict the genetic correlation for each

pair of traits. (Predictions were generated early in the study using this package, so for

consistency we used those values, and not those generated using the deterministic equations

above.) Twenty-six crosses were made based on the predictions, producing "validation families"

ranging from 28 to 160 F_5 lines. The criteria for selecting crosses are described in Neyhart and

Smith (2019) and rested primarily on predictions of genetic variance for several traits relevant

for the breeding program. Validation families were phenotyped for the same three traits in 2 or 4

environments. Observations of heading date and plant height were recorded for all families, but

due to logistical constraints of the inoculated disease nursery, only 14 families were phenotyped

for FHB severity.

Validation family phenotypes were used to estimate the observed genetic correlation in

each family. We fitted a model modified from Equation (7):

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$$\boldsymbol{y}_p = \mathbf{1}\mu_p + \boldsymbol{g}_p + \boldsymbol{\epsilon}_p, \tag{8}$$

where $\boldsymbol{y}_p = \{y_{ip}\}$ was the BLUE of genotypes in a validation family and other terms as

described above. The distribution of random genotypic effects was assumed multivariate normal

such that $\boldsymbol{g} \sim MVN(0, \boldsymbol{I} \otimes \boldsymbol{\Sigma})$, where the genomic relationship matrix in Equation (7) was

replaced by an identity matrix, since validation families were ungenotyped equally related within

a family. Predictive ability was measured as the correlation between predicted and estimated

genetic correlations across validation families, and the significance of this coefficient was tested

using 1,000 bootstrapping replicates. Note that predictive ability is calculated by comparing

predictions with phenotype-based observations, whereas prediction accuracy compares

predictions with the true genotypic parameter (unobservable in our empirical experiment).

Data availability

Marker data for initiating the simulations and all data used in the empirical validation experiment

is available from the Triticeae Toolbox (T3; https://triticeaetoolbox.org/barley/). All simulations

and analyses were performed in R (v. 3.5.1; R Core Team, 2018) and relevant scripts are located

in the GitHub repository https://github.com/UMN-BarleyOatSilphium/GenCorPrediction.

Instructions are included in this repository for downloading data from T3. Supplementary figures

and tables are available through Figshare.

 RESULTS

Factors influencing predictions of genetic correlation

In our simulation, prediction accuracy for the genetic correlation in potential crosses was most

influenced by trait heritability, training population size (N_{TP}), and genetic architecture. We

provide a cross-section of results in Figure 2, and all results for the first simulation are displayed

in Figure S3 and Table S1. Accuracy increased additively as a function of the heritability of both

simulated traits, but only reached a maximum of about 0.81 under the most ideal conditions

(Figure S3, Table S1). On average, accuracy increased by about 1.5-fold when moving from N_{TP}

= 150 to N_{TP} = 600. We generally did not observe a pattern of diminishing returns when

increasing N_{TP}, though some evidence of that pattern was present under the tight linkage

architecture (Figure 2).

Between all correlation architectures, tight linkage resulted in the highest prediction

accuracy, followed by loose linkage and then pleiotropy. The difference in accuracy under tight

linkage versus loose linkage was on average 0.071 (17%) and this difference under loose linkage

versus pleiotropy was 0.017 (6.2%). With tight linkage and loose linkage genetic architectures,

accuracy was slightly higher when 100 versus 30 QTL influenced both traits (a difference of

about 0.04, or 10%), but the reverse was true under pleiotropy, where the accuracy was about 8%

lower (a difference of about 0.03) with more QTL (Figure 2, Table S1). Interestingly, an

interaction was apparent between the genetic architecture and the prediction model. Under

pleiotropy and tight linkage, there was a slight advantage to using the BayesCπ model over RR-

BLUP, particularly when 30 QTL influenced each trait. This difference was quite slim, however,

with a boost to accuracy of only about 0.015 (3%).

The family mean and genetic variance of each trait in potential crosses were almost

always predicted with greater accuracy than the genetic correlation (Figure 3, Table S1). In

general, the family mean was predicted most accurately, followed by the genetic variance and the

genetic correlation. (The genetic variance of one trait was occasionally predicted more accurately

than the family mean of another trait, but only if the heritability of the first trait was much less

than the second.) This trend was consistent across training population sizes, prediction models,

and genetic architectures. The average (and range in) prediction accuracy was 0.87 (0.64, 1.0) for

the family mean, 0.66 (0.34, 0.96) for the genetic variance, and 0.48 (0.18, 0.81) for the genetic

correlation.

Empirical validation of predicted genetic correlations

We used genomewide markers and phenotypic data to empirically estimate the genetic

correlation for three pairs of quantitative traits in our 175-line training population (TP). The

genetic correlation was -0.84 between *Fusarium* head blight (FHB) severity and heading date, -

0.44 between FHB severity and plant height, and 0.48 between heading date and plant height

(Table 2). These estimates were reflected in predictions of the cross mean and genetic correlation

of 330,078 potential crosses (Figure 4, Table 2). The average predicted genetic correlation

among the potential crosses was -0.54 for FHB severity and heading date, -0.26 for FHB severity

and plant height, and 0.24 for heading date and plant height. Though the genetic correlations

between FHB severity and both heading date and plant height were unfavorable (earlier-

flowering, shorter, and disease resistant plants are desirable), predictions implied that progress

could be made by selecting populations with more favorable genetic correlations. For instance,

more than 2,400 (0.73%) potential crosses were predicted to have a favorable (i.e. positive)

correlation between FHB severity and heading date.

The mean (and range) of estimated genetic correlations among the validation families

was -0.18 (-0.72, 0.58) for FHB severity and heading date, -0.038 (-0.67, 0.64) for FHB severity

and plant height, and -0.13 (-0.64, 0.69) for heading date and plant height (Table 3). Estimates of

predictive ability for genetic correlations ranged from -0.012 to 0.41 (Table 3). We could only

validate predictions of the correlation between heading date and plant height, where all 26

validation families (VF) were phenotyped. The predictive abilities for remaining trait

combinations were not significantly different from zero ($P > 0.05$; bootstrapping). The ability to

predict the genetic correlation appeared to coincide with the heritability of both traits; the entry-

mean heritability in the TP (and in the VF) was 0.45 (0.11) for FHB severity, 0.96 (0.78) for

heading date, and 0.52 (0.74) for plant height (Neyhart and Smith 2019).

Long-term response with different cross selection strategies

Our second simulation showed that the genetic gain for two correlated quantitative traits was

impacted by the base genetic correlation, the genetic architecture, and the strategy to select

crosses (Figure 5). We found little difference in the outcome when the heritability of the second

trait was 0.6; therefore, we highlight results when the heritability of the second trait was 0.3, a

more realistic situation for indirect selection (Bernardo 2010). When measuring progress via a

trait index (Figure 5A), we found that selecting crosses based on the predicted correlated

superior progeny mean (CPM) resulted in a greater response than selection on the predicted cross

mean (FM) or by random selection. Under all genetic architectures, the advantage of imposing

non-random cross selection became clear after 1 breeding cycle. Subsequently, after 3 cycles,

selecting crosses based on CPM resulting in higher gain than by selecting based on FM. Only

after 9 – 10 cycles did random cross selection achieve equivalent or superior genetic gain

compared with FM selection, though it never outperformed selection using CPM.

Gain from selection, and marginal differences between selection methods, depended on

the genetic architecture and correlation. The final genetic gain was, on average, less when the

genetic correlation was negative. With pleiotropic architecture, the reduction in genetic gain

from a correlation of 0.5 to -0.5 was more severe (a roughly 100% decrease) than with tight or

loose linkage architectures (a roughly 20% decrease). After 10 cycles, the marginal genetic

response (based on an index) when using CPM versus FM cross selection ranged from 0.30

(11%), with tight linkage architecture and positive correlation, to 0.53 (27%), with loose linkage

architecture and negative correlation.

When considering traits individually, we found that much of the advantage of selecting

crosses on CPM was realized in the correlated response of the second trait (Figure 5B). Selection

on CPM or FM yielded similar responses in the first trait, except under pleiotropic architecture

and a positive genetic correlation. Again, random cross selection led to the lowest response,

though in some cases it became equivalent to selection on CPM or FM after 10 breeding cycles.

Genetic gain was consistent for the primary trait, with a plateau reached after 6 – 8 cycles of

selection. Conversely, we observed a rapid plateauing in the genetic gain of the second trait

when the architecture was not pleiotropic. Here, when the genetic correlation was positive, the

correlated response in the second trait was also positive, as expected, with a greater response

under CPM selection. When the genetic correlation was negative, FM or random cross selection

led to a negative response in the second trait, which was prevented under CPM selection (Figure

5B). Under pleiotropic conditions, the correlated response in the second trait matched

expectations given the genetic correlation; however, CPM selection increased the positive

response with positive correlation and mitigated the negative response with negative correlation.

As expected, the genetic variance for both traits decreased over cycles of selection

(Figure 6A), and by cycle 10, most had been exhausted. Although genetic variance for the first

trait declined similarly under varying architectures, the loss of variance for the second trait was

more precipitous when the architecture was defined by linkage versus pleiotropy. Under the

latter, genetic variance for the second trait was reduced at a rate comparable to the first trait

(Figure 6A). We found that cross selection by FM always led to the most rapid reduction of

genetic variance, while this decay was slower when selecting on CPM and slower yet with

random mating. This ranking among selection methods was very apparent for the first trait (and

for the second trait under pleiotropic architecture), but marginal differences were much less for

the second trait.

The genetic correlation in the breeding population consistently declined in absolute value,

moving towards zero under all simulated conditions (Figure 6B). The genetic architecture

impacted the rate of change, with the most rapid movement under loose linkage, followed by

tight linkage and then pleiotropy, as expected. The correlation initially became more negative

when selection was imposed on the base population (i.e. cycle 0 to cycle 1). This change was

much larger when the base correlation ($r_{G(0)}$) was positive; indeed, under non-pleiotropic

architecture, the genetic correlation became near-zero, or negative, after 1 cycle of selection.

Conversely, when $r_{G(0)}$ was negative, the genetic correlation moved more steadily towards 0.

When $r_{G(0)}$ was negative, we found that selecting crosses on CPM usually led to a more negative

genetic correlation than FM or random selection, particularly in the first 5 breeding cycles.

Changes in haplotype frequency were greatest when loose linkage defined the correlation

architecture, followed by tight linkage and pleiotropy (Figure 7A). The change in haplotype

frequencies was more limited with negative $r_{G(0)}$, particularly under pleiotropic architecture.

Selecting crosses on CPM led to a significantly higher increase in the frequency of favorable

haplotypes and a corresponding decrease in the frequency of unfavorable haplotypes. Further,

with non-pleiotropic architecture we observed a greater reduction in the frequency of

antagonistic haplotypes when selecting crosses by CPM than other methods. As expected, the

frequency of antagonistic haplotypes did not change when the architecture was defined by

pleiotropy (Figure 7A). Selection increasingly drove QTL to fixation (Figure 7B), but the rate of

fixation was uneven for different cross selection methods. Choosing crosses on FM led to the

highest fixation rate, followed by CPM and then random mating. There was a slightly higher

fixation rate with positive genetic correlation than with negative genetic correlation, and the

fixation rates for QTL influencing the first trait or second trait were roughly equivalent.

456   DISCUSSION

Predictions of genetic correlations are feasible with reliable training data

Our first simulation measured the prediction accuracy of genetic correlations as a function of

training population (TP) size, trait heritability, prediction model, and genetic architecture. Our

results implicated the usual suspects driving genomewide prediction accuracy for individual

traits. Increasing the TP size and the heritability of both traits improved accuracy, an expected

result given the importance of these parameters (Daetwyler *et al.* 2008; Wimmer *et al.* 2013).

The impact of genetic architecture was curious. Genetic correlations caused by loose linkage or

pleiotropy led to lower prediction accuracies compared to architecture defined by tight linkage

(Figure 2). We hypothesize that the same phenomenon, albeit with opposite effect, is

responsible. Genomewide prediction models (i.e. RRBLUP or BayesCπ) assume that many more

markers than true QTL have non-zero effect on both traits. Our approach to predicting genetic

correlations relies on the recombination and segregation of these markers, implying that all

contribute to variability in genetic variance and covariance, while only true QTL generate this

variability. With pleiotropy, this would manifest as a downward bias in the covariance between

traits, as we predict a greater possibility of recombination between markers than what is possible

for the true QTL. Under loose linkage, an opposite, upward bias in covariance would be

expected, as the many markers with uneven trait effects would be predicted to co-segregate more

often than what is possible for the true QTL. Indeed, when we calculated the average bias of the

predicted genetic correlations, we observed a roughly 30% upward bias under loose linkage and

an opposite 30% downward bias under pleiotropy (Figure S4). Additionally, though the bias in

predicting genetic covariance was always negative, it was less so under loose linkage than under

pleiotropy. This bias, particularly if uneven across predicted crosses, could lead to the observed

loss in accuracy. Practically, the impact of genetic architecture may be less important, since

architecture is generally immutable and the effect on prediction accuracy is small (Figure 2).

Though prediction accuracies were not appreciably different between models, it is worth

mentioning potential causes and impacts. With fewer QTL, we observed higher prediction

accuracies under the BayesCπ model, an unsurprising result given the known advantage of such

models with heterogenous contributions of SNPs to total variance (Daetwyler *et al.* 2010);

however, as expected, differences between models were smaller with architectures defined by

more QTL. Although not observed in our simulations, we might expect the genetic diversity in

the training population to play a role in prediction accuracy. Lower diversity, defined by lower

effective population sizes and fewer distinct haplotype blocks, will lead to a spreading of the

variance across few sets of markers in high LD (Daetwyler *et al.* 2008, 2010). This spreading

would also exacerbate the observed upward bias under loose linkage architecture and downward

bias under pleiotropic architecture. Further, the larger haplotype blocks accompanying lower

diversity would cloud differences in predictions between tight linkage and loose linkage

architectures. Predictions of genetic correlations in barley and other inbreeding crops may be

particularly sensitive to this problem, given the long-range persistence of LD observed in these

species (Hamblin *et al.* 2010; Chao *et al.* 2011).

Differences in the accuracy to predict the three parameters of a potential cross (i.e. mean,

genetic variance, and genetic correlation) are attributable to the nature of each statistic and have

practical implications. Greater accuracy when predicting the cross mean versus genetic variance

was predicted by theory (Zhong and Jannink 2007) and has been observed empirically (Adeyemo

and Bernardo 2019; Neyhart and Smith 2019); this trend is expected because the genetic

variance, a second-order statistic, will be more adversely impacted by error in marker effect

estimates. Similarly, the accuracy of the genetic correlation, a ratio of second-order statistics

with large sampling variance (Robertson 1959), will be even more adversely affected. Even at

large TP sizes, the predictions of the genetic correlation were only as accurate as those of the

genetic variance at modest TP size and never as accurate as those of the cross mean (Figure 3).

Practically, this suggests that very large TPs are needed for such predictions to be useful, a

prospect that may be prohibitive for a plant breeder. Further research could be directed towards

applying Bayesian approaches, such as the "posterior mean variance" method of Lehermeier *et*

al. (2017), to improve the accuracy and bias of predicted genetic correlations, particularly at

small TP sizes.

Selecting crosses using predicted genetic correlations increases multi-trait response

Under a simulated breeding program focused on multi-trait recurrent indirect selection, we

showed that the long-term genetic gain for two traits was greatest when crosses were selected on

predicted correlated/superior progeny means (CPM). This was true under all conditions of

genetic architecture, trait heritability, and base genetic correlation ($r_{G(0)}$) (Figure 5). Further,

cross selection based on CPM was superior to selection on the predicted cross mean (FM) or

random mating, standard choices in programs using "best-by-best" breeding for cultivar

development or in recurrent selection (Bernardo 2010).

The greater multi-trait selection response achieved under CPM cross selection can be

attributed to many drivers, including genetic variance and correlation, haplotype and allele

frequencies, and linkage disequilibrium (LD). We discuss their impacts below. Compared to FM,

selection on CPM led to a higher maintenance of genetic variance for both traits (Figure 6A).

When selecting on CPM, particularly at the relatively high selection intensity used in our

simulation ($i = 0.05$; $k_{sp} = 2.06$), more weight is given to the predicted genetic variance versus the

predicted mean (Equations 4 and 5). Segregation of QTL is explicitly driving the prediction of

genetic variance in our approach, and an emphasis on variance may keep small or moderate-

effect QTL at intermediate frequency, at which variance is maximized (Lynch and Walsh 1998).

Therefore, when selecting on CPM, we might expect a short-term sacrifice of genetic gain for

long-term benefit. Indeed, we note a small deficit in selection response in the first two breeding

cycles relative to FM selection, particularly for the trait under direct selection (Figure 5B).

Selecting crosses using FM likely emphasizes the rapid increase in frequency of beneficial

alleles at large and moderate-effect QTL, leading to fixation of unfavorable small-effect QTL

due to drift or linkage (Figure 7B). Practically, the maintenance of genetic variance under CPM

selection suggests that genetic gain may be sustained beyond 10 breeding cycles (Figure 5).

Changes in LD and haplotype frequencies are likely driving the movement towards zero

of the genetic correlation in the breeding population. After the first breeding cycle, we observed

a sharp trend towards, or persistence of, more negative genetic correlations (Figure 6B). This

could be the product of negative covariance generated due to LD (Felsenstein 1965; Bulmer

1971; Falconer and Mackay 1996) and the simultaneous fixation of favorable haplotypes or QTL

and maintenance of antagonistic haplotypes or QTL at intermediate frequency (Bennett and

Swiger 1980; Falconer and Mackay 1996). Though genetic correlations became similar at later

cycles (Figure 6B), the less rapid movement towards zero of genetic correlations when selecting

crosses using CPM is curious. This pattern, more apparent with positive $r_{G(0)}$, may be due to

similar forces influencing the genetic variance. Selection on CPM combined with stronger

selection intensity weighs the predicted genetic correlation and genetic variance of each trait

(Equations 4 and 5). As above, this would value the maintenance of segregating QTL in the

population, in agreement with our observations (Figure 7B). Co-segregating QTL for both traits

would impact the genetic covariance to a greater degree than the genetic variances (Bohren *et al.*

1966; Villanueva and Kennedy 1990), leading to the observed differences in genetic correlation

(Figure 6B). We would expect the linkage maintaining covariance in the short-term to be broken

down by recombination, which may help explain why the genetic correlation moved more

rapidly towards zero under the loose linkage genetic architecture (Figure 6B).

The general trends in genetic correlation over cycles can be explained by the genetic

architecture. With pleiotropy, the movement towards – or maintenance of – a negative

correlation is due to the fixation of favorable QTL and presence of antagonistic QTL. Absent

pleiotropy, the correlation is due entirely to LD (Lande 1984; Lynch and Walsh 1998), which is

degraded by recombination, eventually moving the genetic correlation towards zero (Villanueva

and Kennedy 1990). Though our results confirm this under the loose linkage and tight linkage

architecture (Figure 6B), the final genetic correlation is slightly negative, and more so with tight

linkage. This is likely due to fixation of antagonistic QTL haplotypes, which, particularly when

tightly linked, can effectively act as pleiotropic loci (Lande 1984) and are subject to the same

competing forces mentioned earlier.

Our approach to cross selection for simultaneously improvement of multiple traits is one

of several that have recently been proposed. Selection using CPM takes advantage of predictions

of the genetic correlation between two traits (Equations 4 and 5). In a similar vein, Allier *et al.*

(2019) proposed selecting crosses that were predicted to maximize the response for a trait under

direct selection and produce the most favorable correlated response in parental contribution

(treated as the indirect trait). Their method extends predictions of genetic correlations to three-

and four-way crosses, a generalization beyond our described approach for biparental populations.

Instead of predicting genetic correlations, a multi-trait index could be constructed and crosses

could be selected on the basis of the superior progeny mean of that index (Yao *et al.* 2018).

Though this simplifies the number of parameters to be predicted (a single genetic variance versus

many pairwise correlations), it uses information differently from the CPM method. Finally,

predictions of genetic correlations or correlated responses could enhance multi-objective cross

optimization procedures (Akdemir *et al.* 2019).

The results of our simulation bode well for implementation in a breeding program.

Notably, we observed that the advantage of selecting crosses on CPM was apparent even when

the genetic correlation was negative (i.e. unfavorable). This condition is often encountered by

breeders and would typically discourage the use of indirect selection (Bernardo 2010); however,

we demonstrated that CPM cross selection can mitigate any negative response in the trait under

indirect selection when the genetic correlation is negative.

Application in a breeding program

To demonstrate its feasibility under more realistic conditions, we generated predictions of

genetic correlations among populations in a barley breeding program. For two pairs of traits with

moderately or strongly unfavorable correlations, we identified many crosses with favorable

predicted correlations (Figure 4, Table 2), suggesting that specific crosses could be targeted to

improve multiple traits simultaneously. This would rely on accurately discriminating among

crosses, and we attempted to validate predictions of genetic correlations using empirical data of

breeding populations.

Though we were only able to validate predictions for one pair of traits (Table 3), we

observed that predictive ability seemed to be associated with the heritability of both traits, in

agreement with the results from our first simulation. Of course, trait heritability may influence

accuracy beyond unreliable marker effect estimates. With less heritable traits, the correlation

among environmental effects is expected to have a greater influence on the phenotypic

correlation (Lynch and Walsh 1998). It is not difficult to imagine how shared environment could

influence the observed correlations. For instance, environmental stresses might stunt the growth

of plants and promote earlier flowering, creating a positive correlation between these traits.

Additionally, plants that flower later or are taller may avoid the soil-borne *F. graminearum*

inoculum, potentially leading to artificial negative correlations between the traits. The results of

our simulations and empirical experiment confirm that, as in any other implementation of

genomewide selection, reliable phenotypic data is paramount.

The modest size of our TP ($n = 175$) likely constrained prediction accuracy, as suggested

in our first simulation (Figure 2). Previous genomewide selection research, including those

focused on barley, suggest a pattern of diminishing returns when predicting line means with

ever-larger TPs (Lorenz *et al.* 2012; Sallam *et al.* 2015). A breeding program using a smaller TP,

perhaps as a resource-saving measure, may be ill-equipped to utilize predictions of genetic

correlations. The size of our TP was a function of the early stage of implementing genomewide

selection in the breeding program, and we might expect that as more individuals are phenotyped

and genotyped, the size of the training dataset will become more satisfactory.

Fortunately, the barrier for a breeder to incorporate predictions of genetic correlations is

low. First, the data required for predictions (phenotypes, marker genotypes, and a genetic map)

are commonly available in many breeding programs. Second, it is relatively inexpensive, in both

time and computing power, to generate such predictions. Thus, it is possible that this procedure

can be an additional tool for breeders to make decisions. We note, however, that validating

predictions of genetic correlations requires phenotypic data on many large families, leading to

population sizes that are generally unrealistic for a breeding program (Bernardo 2010). It is

likely that these predictions, if implemented, will not be routinely validated, unlike genomewide

predictions of genotypic means, and this lack of feedback may prove discouraging for breeders.

Nevertheless, further work is necessary to demonstrate empirically the utility of selecting crosses

informed by predictions of genetic correlations, with emphasis on the response to selection for

two potentially unfavorably correlated traits.

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Figure 1. In the recurrent selection simulation (Simulation 2), a training population (TP) was sampled and used to predict genomewide marker effects. In the first cycle, potential parents were identified using direct selection on predicted genotypic values (PGVs) of the first trait. Crosses were selected by one of three methods and were used to simulate selection candidates. Potential parents of the next cycle were determined using direct selection on the first trait. Ten breeding cycles were simulated. Any processes that relied on the predicted marker effects are noted with a blue/grey box.

808 Figure 2. The prediction accuracy of the genetic correlation in a cross increased with larger training populations
809 and greater trait heritability. Accuracy was also influenced by genetic correlation architecture (loose linkage, tight
810 linkage, or pleiotropy), prediction model [BayesCπ (solid) or RRBLUP (dashed)], and number of QTL [30 (navy) or
811 100 (orange)]. Lines denote the mean of 100 simulation replicates, and ribbons denote a 95% confidence interval.
812 Results are restricted to a base genetic correlation of 0.5. (See Figure S3 and Table S1 for complete results.)
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Figure 3. Parameters of a cross were predicted with varying degrees of accuracy in our simulation. Predictions of the cross mean ($\hat{\mu}$, blue) were most accurate, followed by genetic variance ($\hat{\sigma}_G^2$, orange) and genetic correlation ($\hat{r}_G$, red); this ranking was consistent across trait heritabilities, training population size, and genetic correlation architecture (loose linkage, tight linkage, or pleiotropy). Lines denote the mean of 100 simulation replicates, and ribbons denote a 95% confidence interval. Results are restricted to a base genetic correlation of 0.5, RRBLUP prediction model, and 100 QTL. (See Table S1 for complete results.)

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827 Figure 4. In the barley breeding population used to empirically validate predictions, the relationship between the
828 predicted cross means ($\hat{\mu}$) of three pairs of traits for n = 330,078 potential crosses mirrored the overall distribution
829 of predicted genetic correlations ($\hat{r}_G$), shaded from red (negative) to blue (positive). FHB, Fusarium head blight.
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834   Figure 5. Selecting crosses on the predicted correlated/superior progeny mean (CPM, orange) led to a greater long-
835   term response (in units of genetic standard deviations) compared to selection on the predicted family mean (FM),
836   blue) or by random selection (grey). This was true for a two-trait index (**A**) and both traits individually (**B**) across
837   three correlation architectures and two base genetic correlations ($r_{G(0)}$). Lines denote the mean of 250 simulation
838   replicates, and the ribbon denotes a 95% confidence interval.
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Figure 6. Genetic variances (**A**) and the genetic correlation (**B**) in the simulated indirect selection breeding program
were influenced by the cross selection method (family mean, FM, blue; correlated/superior progeny mean, CPM,
orange; random, grey), genetic architecture, and base genetic correlation ($r_{G(0)}$). All components declined in
absolute value over cycles of selection, but the rate depended on the cross selection method and genetic
architecture. Lines denote the mean of 250 simulation replicates, and the ribbon denotes a 95% confidence
interval.

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Figure 7. The change in frequency of two-trait quantitative trait locus (QTL) haplotypes and proportion of fixed QTL
depended on the cross selection method genetic architecture, and base genetic correlation ($r_{G(0)}$). (**A**) The increase
in frequency of favorable (solid) haplotypes and decrease in frequency of unfavorable (dashed) and antagonistic
(dotted) haplotypes was always greater when selecting crosses on the correlated/superior progeny mean (CPM,
orange). (**B**) The rate of QTL fixation for both trait 1 (solid) and trait 2 (dotted) was always greatest with cross
selection on the family mean (FM, blue), followed by CPM and random mating (grey). Lines denote the mean of
250 simulation replicates, and the ribbon denotes a 95% confidence interval.

                    TABLE CAPTIONS

Table 1. In our two simulation experiments, we modified the heritability (h^2) and number of quantitative trait loci (N_{QTL}) of two traits, the starting genetic correlation ($r_{G(0)}$), correlation architecture, size of a training population (N_{TP}), and model used to predicted genomewide marker effects.

Simulation experiment	h^2	N_{QTL}	$r_{G(0)}$	Correlation architecture	N_{TP}	Model
Experiment 1 (prediction accuracy)	Trait 1: 0.3, 0.6, 1 Trait 2: 0.3, 0.6, 1	30, 100	-0.5, 0, 0.5	Pleiotropy Tight linkage Loose linkage	150, 300, 450, 600	RR-BLUP BayesCπ
Experiment 2 (long-term response)	Trait 1: 0.6 Trait 2: 0.3, 0.6	100	-0.5, 0.5	Pleiotropy Tight linkage Loose linkage	600	RR-BLUP

Table 2. Estimated genetic correlation in the empirical barley training population ($\hat{r}_{G(TP)}$) and the mean and range of the predicted genetic correlations among 330,078 potential crosses ($\hat{r}_{g(PC)}$) for three pairs of quantitative traits

Trait 1	Trait 2	$\hat{r}_{G(TP)}$	Mean (range) of $\hat{r}_{g(PC)}$
FHB[a] Severity	Heading Date	-0.84	-0.54 (-0.94, 0.52)
FHB Severity	Plant Height	-0.44	-0.26 (-0.86, 0.63)
Heading Date	Plant Height	0.48	0.24 (-0.73, 0.87)

[a]Fusarium head blight

Table 3. Number of phenotyped validation families, mean (and range) of observed genetic correlations, and the predictive ability, measured as the correlation between the predicted ($\hat{r}_g$) and observed (r_G) genetic correlation, for each of three pairs of traits. A 95% confidence interval for the predictive ability was estimated from 1,000 bootstrapping replicates.

Trait 1	Trait 2	N_f[b]	Mean (range) of r_G	$cor(\hat{r}_g, r_G)$
FHB[a] Severity	Heading Date	14	-0.18 (-0.72, 0.58)	0.24 (-0.30, 0.70)
FHB Severity	Plant Height	14	-0.038 (-0.67, 0.64)	-0.012 (-0.53, 0.59)
Heading Date	Plant Height	26	-0.13 (-0.64, 0.69)	0.41* (0.024, 0.71)

[a]Fusarium head blight
[b]Number of families used to compute predictive ability
*Significant at $P < 0.05$