

Overwinter survival of juvenile red squirrels

1 **Territory acquisition mediates the influence of predators and climate on juvenile red** 2 **squirrel survival**

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17 **Abstract**

- 18 1) Juvenile survival to first breeding is a key life history stage. Survival through this period
19 can be particularly challenging when it coincides with harsh environmental conditions
20 like winter climate or food scarcity, and so cohort survival can be highly variable.
21 However, the small size and dispersive nature of juveniles makes studying their survival
22 difficult.
- 23 2) In territorial species, a key life history event is the acquisition of a territory. A territory is
24 expected to enhance survival, but how it does so, possibly through mediating mortality, is
25 not often identified. We tested how the timing of territory acquisition influenced the
26 survival of juvenile North American red squirrels *Tamiasciurus hudsonicus*, hereafter red
27 squirrels, and how the timing of this event mediated sources of mortality. We
28 hypothesized that securing a territory prior to the caching season would reduce juvenile
29 susceptibility to predation or climatic factors over winter.
- 30 3) Using 27 years of data on the survival of individually-marked juvenile red squirrels, we
31 tested how the timing of territory acquisition influenced survival, whether the population
32 density of red squirrel predators and mean temperature over winter were related to
33 individual survival probability, and if territory ownership mediated these effects.
- 34 4) Juvenile survival was lower in years of high predator abundance and in colder winters.
35 Autumn territory owners were less susceptible to lynx *Lynx canadensis*, and possibly
36 mustelid *Mustela* and *Martes* spp., predation. Autumn territory owners had lower survival
37 in colder winters, while non-owners had higher survival in cold winters.
- 38 5) Our results show how the timing of a life history event like territory acquisition can
39 directly affect survival and also mediate the effects of biotic and abiotic factors later in

40 life. This engenders a better understanding of the fitness consequences of the timing of
41 key life history events.

42

43 **Key words:** juvenile survival, life history timing, lynx, mustelid, predation, red squirrel,
44 *Tamiasciurus*, territory

45

46 **Introduction**

47 Survival as a juvenile, when individuals are no longer completely dependent on the parent but
48 not yet sexually mature, is a crucial life history stage for all taxa (Ferguson & Fox, 1984;
49 Gaillard, Festa-Bianchet, & Yoccoz, 1998; Searcy & Sponaugle, 2001). A large component of
50 reproductive success is surviving to sexual maturity, hence juvenile survival can be a key
51 determinant of lifetime fitness, and so variation in survival can dictate population dynamics
52 (McAdam, Boutin, Sykes, & Humphries, 2007; Oli & Dobson, 2003). For example, rates of
53 juvenile survival in large herbivores are highly variable year-to-year, and despite the fact that
54 they do not determine population growth rates, they may be the key determinant of population
55 dynamics (Gaillard, Festa-Bianchet, Yoccoz, Loison, & Toïgo, 2000). Understanding the causes
56 of variation in juvenile survival and the selection this facilitates therefore shapes how we expect
57 populations to change over time.

58 The time period between juvenile independence and first breeding poses particular
59 challenges to survival as juvenile mortality is often high during this period. Climatic factors can
60 have strong effects on survival of juveniles (Fuller, Stebbins, & Dyke, 1969; Schorr, Lukacs, &
61 Florant, 2009) through a combination of limited food availability and increased thermoregulatory
62 costs (Jackson, Trayhurn, & Speakman, 2001; Rödel et al., 2004), particularly over winter. Due

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63 to their small size and lack of experience, as well as their dispersive nature, juveniles can also be
64 particularly vulnerable to predation (Garrett & Franklin, 1988; Rödel et al., 2015). Various
65 behavioural and physiological responses such as adjusting metabolic rate (Wunder, Dobkin, &
66 Gettinger, 1977), reducing activity (Merritt, 1986), or food caching (Morrison, Pelchat, Donahue,
67 & Hik, 2009) can mitigate this risk. Understanding how these mediating traits alter juvenile
68 survival is necessary to understand how selection shapes phenotypes.

69 The acquisition of a territory is a key life history event that can mediate sources of
70 mortality in some species, by providing access to space, refuges and food stores. Timing of life
71 history stages, such as birth or hatching (Rodríguez, van Noordwijk, Álvarez, & Barba, 2016), or
72 developmental rate (van der Jeugd & Larsson, 1998) can have strong effects on survival at later
73 life stages (O'Connor, Norris, Crossin, & Cooke, 2014). Territory acquisition is one such event:
74 predation risk is elevated while searching for territories (Larsen & Boutin, 1994), and territory
75 ownership also leads to increased food availability, particularly in food caching species. Earlier
76 acquisition of a territory can, therefore, improve the probability of survival by reducing these
77 risks sooner in life. It is well known that acquiring a territory provides benefits (reviewed in:
78 Carpenter, 1987; e.g. Whitham, 1986). However, despite the potential importance of
79 understanding how the timing of territory acquisition modifies juvenile survival and mediates
80 sources of mortality, documenting the consequences for mortality risks of the timing of territory
81 acquisition has not occurred, in part due to the difficulty in collecting such data.

82 North American red squirrels *Tamiasciurus hudsonicus* are an ideal organism to study
83 how the timing of territory acquisition influences survival and mediates sources of juvenile
84 mortality. Red squirrels in Yukon, Canada defend exclusive individual territories with a central
85 cache of white spruce *Picea glauca* cones, their primary food source (Boutin & Schweiger, 1988;

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86 Fletcher et al., 2013). Holding a territory with a cache of food is considered necessary for red
87 squirrels in these populations to survive over winter (Larsen & Boutin, 1994; Smith, 1968), as
88 cached resources are essential for annual survival and reproduction (Fletcher et al., 2013;
89 LaMontagne et al., 2013). White spruce cones ripen in early August and caching begins shortly
90 thereafter and finishes at the end of September (Fletcher et al., 2010). Those juveniles with
91 territories before this ripening begins are able to take advantage of that year's cone crop and
92 increase their hoard size, whereas those that settle on territories later in the season can only take
93 over what is left from the previous owner (Fisher et al., 2019) and have no opportunity to secure
94 further resources before winter.

95 Juvenile annual over winter mortality is high, with an average of 73.6 % not surviving
96 their first winter (McAdam et al., 2007), but this is highly variable annually (57 - 97 %)
97 (McAdam & Boutin, 2003). Annual adult mortality in this population is low (20 % for two year
98 old females; this steadily increases with age), thus much of the variation in lifetime reproductive
99 success is linked to juvenile over winter mortality (McAdam et al., 2007). Acquiring a territory is
100 therefore a key life history event. However, the main causes of juvenile mortality, and how they
101 are influenced by the timing of territory acquisition, are not known.

102 Observational studies, while relatively limited, have identified lynx *Lynx canadensis*
103 (Stuart-Smith & Boutin, 1995), goshawks *Accipiter gentilis* (Larsen & Boutin, 1994), and
104 mustelids (Kerr & Descamps, 2008; O'Donoghue, Boutin, Hofer, & Boonstra, 2001) as predators
105 of juvenile red squirrels (Goheen & Swihart, 2005; Haines et al., 2018; Smith, 1968; Steele,
106 1998). Owning a territory, and thus having access to nests or tunnels, could act as spatial refugia
107 and reduce vulnerability to predators (Cowlshaw, 1997; Everett & Ruiz, 1993). Furthermore, red
108 squirrels with smaller caches have lower over winter survival (LaMontagne et al., 2013; Larivée,

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109 Boutin, Speakman, McAdam, & Humphries, 2010), suggesting that resource limitation is a
110 source of over winter mortality. Owning a territory, and so regular use of nests, would provide
111 thermal refugia during low temperatures (Greenwood & Harvey, 1982; Studd, Boutin, McAdam,
112 Krebs, & Humphries, 2015). It therefore seems that a territory could both directly influence
113 survival and change the suite of selection pressures that act on a juvenile red squirrel.

114 We aimed to better understand how territory acquisition affects juvenile over winter
115 survival and mediates sources of mortality. To do so we used 27 years of longitudinal data to
116 assess how holding a territory before autumn influences survival and the susceptibility of a
117 juvenile to predation or low temperatures over winter.

118 Our first hypothesis was that earlier territory acquisition would give higher over winter
119 survival compared to later territory acquisition (Berteaux & Boutin, 2000). We further
120 hypothesized that cold temperatures and predators pose a mortality risk, so that over winter
121 survival of juveniles would be lower in colder winters and when predators are abundant. Our key
122 hypothesis is that timing of territory acquisition would moderate these effects, so that juveniles
123 obtaining territories before autumn are less susceptible to predators (e.g. Cowlshaw 1997) and
124 adverse weather (e.g. Greenwood and Harvey 1982) over winter.

125

126 **Materials and Methods**

127 *Data collection*

128 Our study was part of the Kluane Red Squirrel Project, an ongoing long-term study of a wild
129 population of North American red squirrels within Champagne and Aishihik First Nations
130 traditional territory along the Alaska Highway in southwestern Yukon, Canada (61° N, 138° W).
131 We collected data from two study areas (~ 40 hectares each) separated by the Alaska Highway

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132 from 1989 to 2015. We conducted population censuses biannually in May (spring) and August
133 (autumn) to identify all individuals and assign territory ownership. We assigned territory
134 ownership based on territorial vocalisations ("rattling"; Lair, 1990) and behavioural observations.
135 We also identified living individuals that did not own territories in autumn through trapping and
136 behavioural observations. Adult red squirrels rarely relocate, other than through bequeathals by
137 mothers where all or a part of her territory is given to offspring (Berteaux & Boutin, 2000;
138 around 19 % of females do this each year; Lane et al., 2015; Larsen & Boutin, 1994a). Average
139 juvenile dispersal distance is short (mean = 92 to 102 m; Berteaux & Boutin, 2000; Cooper et al.,
140 2017) relative to the size of our study areas. Juveniles born on the edge of our study areas do not
141 have lower apparent survival than those born in the core, suggesting that dispersal outside our
142 study areas does not bias our mortality estimates (T. D. Kerr, Boutin, LaMontagne, McAdam, &
143 Humphries, 2007; McAdam et al., 2007).

144 We used Tomahawk traps (Tomahawk Live Trap Co., Tomahawk, Wisconsin, U.S.A.)
145 baited with peanut butter on or near each individual's midden to trap them. When handled for the
146 first time, each individual was given numbered ear tags (Monel #1; 5 digits) with a unique
147 combination of coloured wires or pipe cleaners to facilitate future identification without
148 handling. We recorded body mass, sex, and reproductive status at each capture. We radio-
149 collared reproductive females (model PD-2C, 4 g, Holohil Systems Limited, Carp, Ontario,
150 Canada) to find nests. Females typically give birth to three pups (range: one – seven; Humphries
151 & Boutin, 2000) in the spring (median birth date: 23 April). We removed juveniles from the nest
152 after birth, and a second time at ~25 days old, to record litter size, pup mass, and sex, and to tag
153 them. Growth rate (g/day) was calculated as the linear increase in mass between the nest entries.
154 We calculated growth rates only for juveniles that weighed less than 50 g at the first nest entry

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155 and less than 100 g at the second nest entry (to ensure approximate linearity of the growth curve;
156 McAdam & Boutin, 2003), and only for juveniles where the two weight measures were >5 days
157 apart. Juveniles emerge from the nest around 42-50 days old and wean around 70 days (Larsen &
158 Boutin, 1994). We considered juveniles surviving to the spring following the year of their birth
159 to have recruited into the population. This research was approved by the University of Guelph
160 Animal Care Committee (AUP 1807), the University of Alberta Animal Care and Use
161 Committee for Biosciences and the University of Michigan Institutional Animal Care and Use
162 Committee (PRO00007805).

163

164 *Predator and temperature data collection*

165 Our available temperature and predator data are annual, regional measures, so for this analysis
166 we treated all juveniles born in the same year as experiencing the same conditions. We obtained
167 monthly temperature records from Environment Canada's online historical weather database for
168 the Haines Junction weather station (Climate ID 2100630, 60.77° N, 137.57° W), approximately
169 35 km SE of our study area. We used mean temperature over winter, as we expected that climate
170 would primarily influence over winter survival by increasing thermoregulatory costs as opposed
171 to extreme weather events or precipitation. We averaged the monthly temperatures from October
172 of a juvenile's birth year to the following March to obtain an annual average winter temperature.

173 We considered potential mammalian predators: mustelids (short-tailed weasel *Mustela*
174 *erminea*, least weasel *M. nivalis*, and marten *Martes americana*) and lynx *Lynx canadensis*. We
175 obtained abundance data for predators and their alternate prey from population monitoring in our
176 region, first as part of the Kluane Boreal Forest Ecosystem Project (Krebs, 2001), and after 1996
177 as part of the Community Ecological Monitoring Program (available at

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178 <http://www.zoology.ubc.ca/~krebs/kluane.html>). Repeated track counts along set transects over
179 winter provided an estimate of species abundance as the mean number of tracks per 100 km of
180 transect. We used the sum of short-tailed weasel, least weasel, and marten tracks as the total
181 mustelid abundance for each year. The population densities of snowshoe hares *Lepus americanus*
182 and red-backed voles *Myodes rutilus* were estimated with live trapping and mark-recapture,
183 providing measures of alternate prey availability for these predators. These combinations were
184 chosen as lynx are known hare specialists (O'Donoghue, Boutin, Krebs, Murray, & Hofer,
185 1998), while weasels (the majority of the mustelids) are known vole specialists (Boonstra &
186 Krebs, 2006), and both populations follow the cycles of their preferred prey (Boutin et al. 1995).
187 While birds of prey such as goshawks *A. gentilis* are known predators of red squirrels (Larsen &
188 Boutin, 1994), we were not able to include them in our analysis as population counts over time
189 are not available. Such birds of prey primarily prey on snowshoe hares, and so their population
190 sizes typically track those of the hares, as lynx population sizes do (Boutin et al. 1995). Therefore,
191 the effect of lynx abundance may somewhat represent the overall effect of snowshoe hare
192 predators on red squirrels.

193

194 *Statistical analyses of survival*

195 We used a binomial mixed effects model to test how predation and temperature interacted with
196 autumn territory ownership to affect juvenile survival over winter. From 1989 to 2015, our
197 analysis considered whether those juveniles that survived to the beginning of August (n = 1305
198 squirrels) were still alive the following spring.

199 We included several factors previously shown to affect juvenile survival in our system
200 (Descamps, Boutin, Berteaux, & Gaillard, 2008): these included squirrel population density

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201 (number of adults within a set 38 ha area; this area was consistent over the entire study period),
202 spruce cone availability (annual index of cones produced on a consistent subset of trees on each
203 study area; see: LaMontagne et al., 2005), a fixed effect of study area to account for any
204 differences between the two study areas, birth date, growth rate, and sex. Growth rate, birth date,
205 adult population density, and cone availability were standardized as z-scores for each study area
206 in each year. This improves model convergence and interpretability of regression coefficients
207 (Schielzeth, 2010).

208 For our main question – does territory ownership mediate how predators and climate
209 affect survival – we included territory ownership in autumn as a binary predictor with
210 temperature and predator abundance as numeric predictors, and fit interactions between autumn
211 ownership and each of temperature, lynx, and mustelid abundances separately. We included
212 separate interactions between the abundance of lynx and snowshoe hares, and mustelids and
213 voles, so the effect of predators on red squirrels depended on the availability of preferred prey.
214 Temperature and species abundances were standardized as z-scores across years. Finally, we
215 included random effects of litter identity and year to account for variation in survival due to
216 sibling and maternal interactions, as well as otherwise unaccounted for annual variation.

217 We also fitted a separate model with interactions of juvenile birth date and growth rate
218 with predators and temperature, to determine whether these traits influence these sources of
219 mortality. We present these results in the supporting information (Table S1): we found no
220 evidence of predator abundance or temperature over winter acting as agents of selection on either
221 of these traits.

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222 We conducted all statistical analyses using R version 3.3.3 (R Core Team 2017), with the
223 packages lme4 (version 1.1-19; Bates et al., 2015), and lmerTest (version 2.0-33; Kuznetsova,
224 Brockhoff, & Christensen 2016). Reported estimates are means \pm SE.

225

226 **Results**

227 *Over winter survival*

228 Among juveniles alive in August between 1989 – 2015, an average of 60 % survived to the
229 following spring, but this was highly variable annually (21.4 – 94.1 %; Table 1). Juvenile
230 survival was higher with increased cone availability ($\beta = 0.38 \pm 0.11$, $z = 3.45$, $P < 0.001$; Table
231 2) and years of lower adult population density ($\beta = -0.69 \pm 0.15$, $z = -4.45$, $P < 0.001$). Female
232 juveniles were more likely to survive over winter than males ($\beta = 0.49 \pm 0.16$, $z = 3.1$, $P =$
233 0.002), as were juveniles with higher growth rates ($\beta = 0.22 \pm 0.10$, $z = 2.13$, $P = 0.033$). Birth
234 date had no effect on over winter survival ($\beta = -0.01 \pm 0.09$, $z = -0.08$, $P = 0.936$), nor were there
235 any differences between study areas ($\beta = 0.19 \pm 0.18$, $z = 1.06$, $P = 0.289$). The random effect of
236 litter ID explained a significant amount of variation ($\sigma^2 = 0.665$; likelihood ratio test $X^2 = 7.867$,
237 $df = 20$, $P = 0.005$), but the random year effect did not contribute significantly to the model.

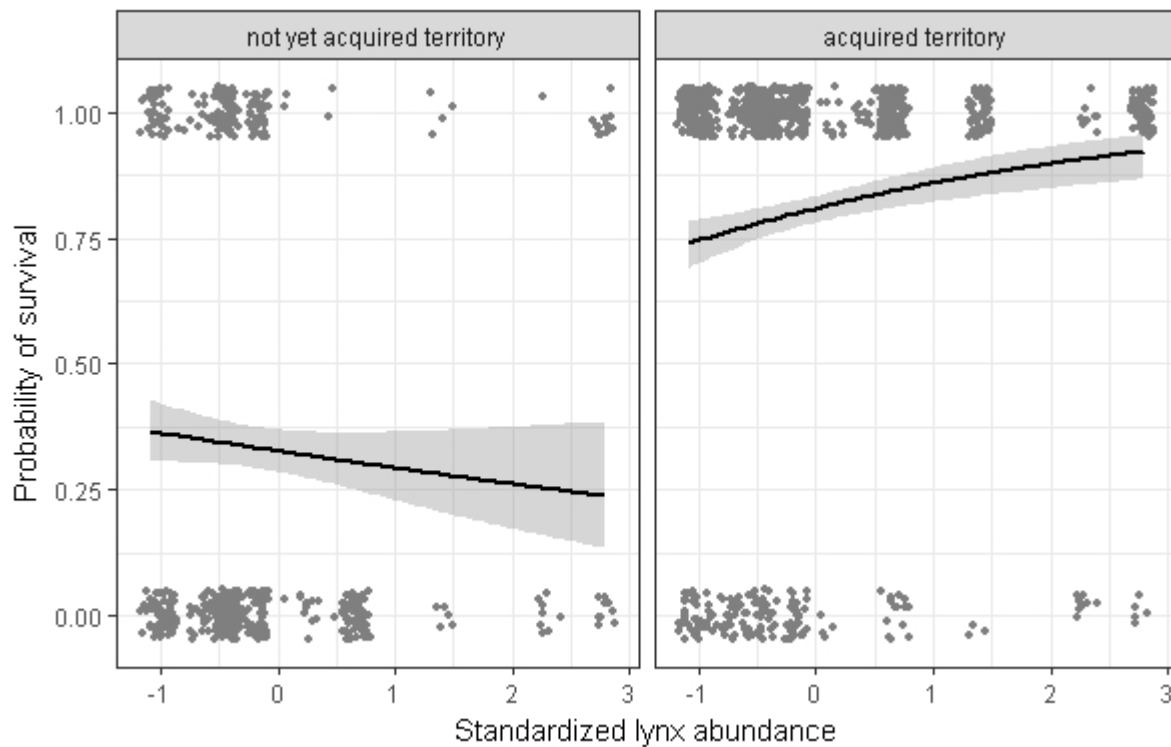
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239 *Territory ownership and over winter survival*

240 Sixty-one percent of juveniles alive in August owned a territory, and these juveniles were more
241 likely (79 %) to survive over winter than those who did not (33 %; $\beta = 2.78 \pm 0.23$, $z = 12.06$, P
242 < 0.001). Juveniles without territories in August were less likely to survive in years of high lynx
243 ($\beta = -0.68 \pm 0.28$, $z = -2.41$, $P = 0.016$) and mustelid ($\beta = -0.38 \pm 0.14$, $z = -2.70$, $P = 0.007$)
244 abundance. There was a significant interaction between lynx abundance and territory ownership

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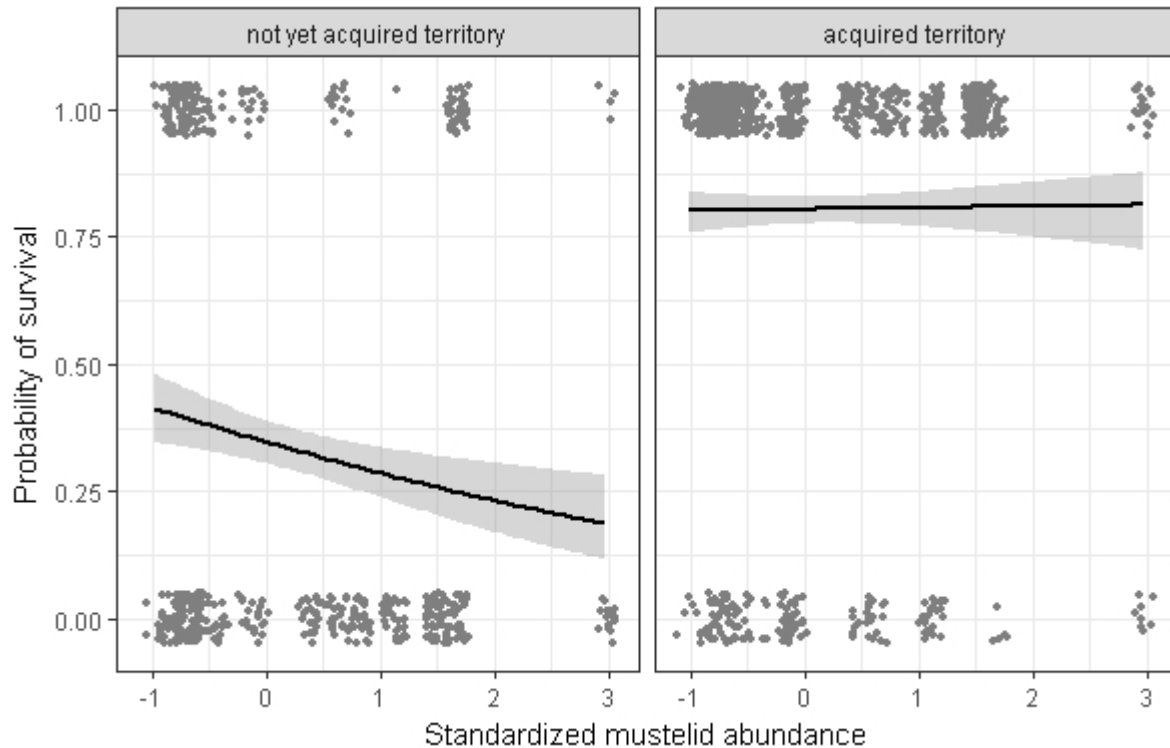
245 ($\beta = 0.99 \pm 0.23$, $z = 4.22$, $P < 0.001$; Fig 1); increased lynx abundance had no effect on the over
246 winter survival of juveniles that held territories by autumn. The mustelid – owner interaction was
247 not significant, but in the same direction as for lynx, with mustelid abundance having a weaker
248 effect on territory owners ($\beta = 0.31 \pm 0.18$, $z = 1.75$, $P = 0.080$; Fig 2). The effects of predators
249 on juvenile survival did not depend on the abundance of alternate prey (lynx x hare $P = 0.187$;
250 mustelid x vole $P = 0.203$), although both the hare ($\beta = 0.40 \pm 0.20$, $z = 1.99$, $P = 0.046$) and vole
251 ($\beta = -0.59 \pm 0.13$, $z = -4.57$, $P < 0.001$) main effects were significant.



252
253 Figure 1. Over winter survival of juveniles ($n = 1305$) that had or had not acquired a territory by
254 autumn. Juveniles without territories had lower survival when lynx were abundant (non-owners:
255 $\beta = -0.68 \pm 0.28$, $z = -2.41$, $P = 0.016$), whereas the survival of juveniles with territories was
256 unaffected by lynx abundance (owners: $\beta = 0.31 \pm 0.21$, $z = 1.49$, $P = 0.14$; interaction $\beta = 0.99$
257 ± 0.23 , $z = 4.22$, $P < 0.001$).

258

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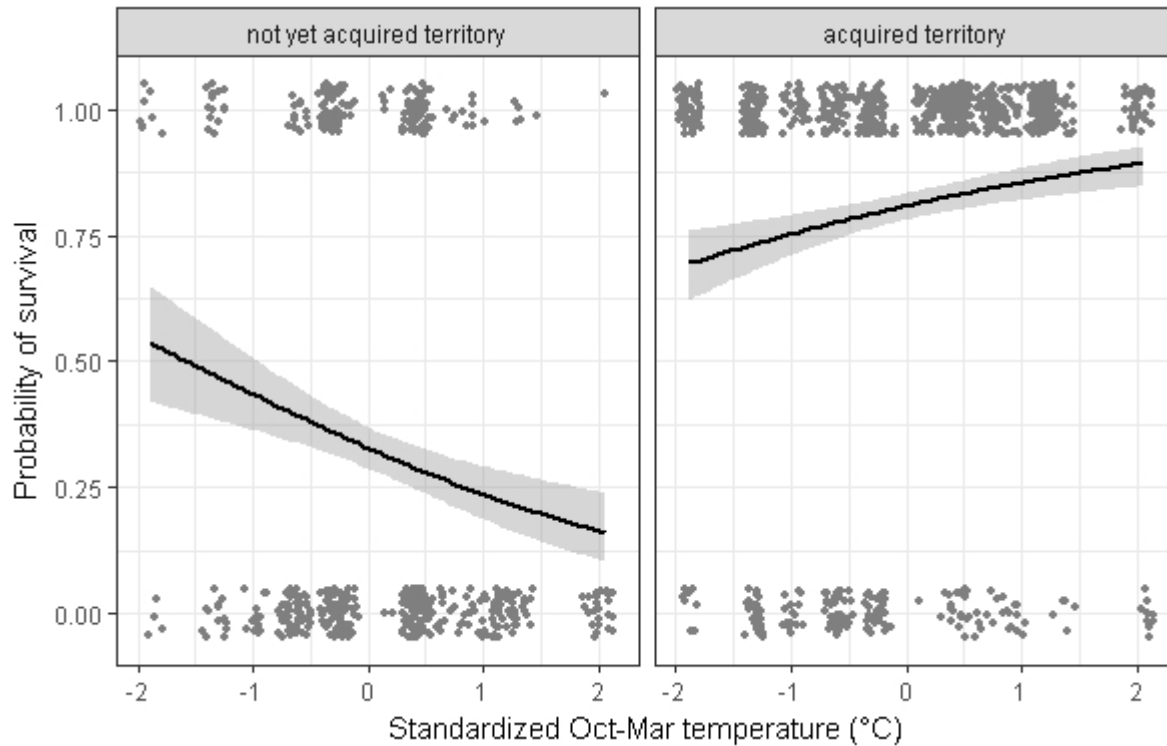
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260 Figure 2. Over winter survival of juveniles ($n = 1305$) that had or had not acquired a territory by
261 autumn was lower when mustelids were abundant. Juveniles without territories by autumn were
262 somewhat more affected by mustelid abundance (non-owners: $\beta = -0.38 \pm 0.14$, $z = -2.70$, $P =$
263 0.007) than territory owners (owners: $\beta = -0.07 \pm 0.14$, $z = -0.49$, $P = 0.624$; interaction $\beta = 0.31$
264 ± 0.18 , $z = 1.75$, $P = 0.080$).

265

266 Temperature had opposing effects on survival for juveniles with and without territories by
267 autumn (Fig 3). Juveniles without territories by autumn were less likely to survive warm winters
268 ($\beta = -0.35 \pm 0.18$, $z = -1.99$, $P = 0.047$), but this effect reversed for autumn territory owners
269 (interaction $\beta = 1.11 \pm 0.21$, $z = 5.31$, $P < 0.001$), which were more likely to survive warm
270 winters ($\beta = 0.76 \pm 0.13$, $z = 5.31$, $P < 0.001$).

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271

272 Figure 3. Over winter survival of juveniles ($n = 1305$) that had or had not acquired a territory by
273 autumn. Autumn territory owners survived better in warmer years (owners: $\beta = 0.76 \pm 0.13$, $z =$
274 5.87 , $P < 0.001$), whereas warmer winters increased mortality of juveniles without territories at
275 this time (non-owners: $\beta = -0.35 \pm 0.18$, $z = -1.99$, $P = 0.047$; interaction $\beta: = 1.11 \pm 0.21$, $z =$
276 5.31 , $P < 0.001$).

277

278 Discussion

279

280 Juveniles that acquired territories earlier in the year were far more likely to survive the winter
281 than those that had not yet found a territory before autumn. Average survival of juveniles that
282 acquired territories before the start of cone caching (79 %) was comparable to survival of early-
283 life adults in this population (80 %; McAdam et al., 2007). Juveniles without territories by

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284 autumn had much lower survival (33 %), as they may have never acquired a territory and so
285 perished or acquired one late but lost the opportunity to cache resources in it, and so did not have
286 a large enough stockpile of resources to survive over winter. Their lower estimated survival is
287 unlikely to be because the juveniles without a territory had in fact moved off our study area, as
288 survival is equal between juveniles originating from the centre of the study area and those at the
289 edge (T. D. Kerr et al., 2007).

290 Territory ownership also affected how susceptible juveniles were to predators and
291 weather over winter. Juveniles without territories by autumn were more susceptible to predators
292 than those that had already settled. Territory ownership provides access to arboreal nests, midden
293 tunnels, and increased familiarity with the local habitat (Clarke et al., 1993). Juveniles without
294 territories by autumn may be travelling more through potentially high-risk environments as they
295 forage for food or search for territories over winter, thereby increasing their vulnerability to
296 predators (Garrett & Franklin, 1988; Metzgar, 1967). Higher rates of litter loss in red squirrels
297 during years of high mustelid abundance (Studd et al., 2015) suggests that mustelids can access
298 red squirrel nests (and likely tunnels) whereas lynx may be more effectively deterred by these
299 structures. This may explain why the relationship between mustelid abundance and survival was
300 not influenced as strongly by territory acquisition as was the relationship between lynx
301 abundance and survival.

302 Survival of juveniles without a territory was higher in colder winters, with the opposite
303 being true for juveniles holding a territory by autumn. We predicted that cold winters would lead
304 to higher over winter mortality of territory owners, and we expected this to be magnified for non-
305 territory owners, not reversed. There are some situations in which colder winters lead to higher
306 survival, such as hibernating species (bats *Chalinolobus tuberculatus*; Pryde, O'Donnell, &

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307 Barker, 2005; jumping mice *Zapus hudsonicus preblei*; Schorr, Lukacs, & Florent, 2009) where
308 this is thought to be due to less frequent arousal from hibernation (Humphries, Thomas, &
309 Speakman, 2002). Red squirrels are non-hibernating, so this mechanism cannot explain why non-
310 territory owners would benefit from colder winters.

311 We can suggest two alternative (but not mutually exclusive) explanations for why
312 juveniles that acquired a territory late would have higher survival over winter. First, in colder
313 years the incidence of nest-sharing among non-territory owners might be higher. Nest sharing,
314 typically between kin, occurs in 19 % of female territory owners in this system, and is more
315 common in colder winters (Williams et al., 2013). Juveniles without territories in autumn may be
316 more likely to share nests with fellow non-territory owners, and this may improve their survival
317 relative to juveniles with territories in autumn. Second, higher mortality of territory owners in
318 colder winters creates vacancies, which may allow juveniles without territories by autumn a
319 greater opportunity to claim a territory with plentiful cached food, enhancing survival (Dunham,
320 Warner, & Lawson, 1995). This would give them relatively improved survival compared to
321 warmer years where few territory owners would die. Which, if either, of these mechanisms
322 accounts for the differential effect of winter temperatures remains to be tested.

323 We found both lynx and mustelid abundances were negatively associated with juvenile
324 over winter survival. Previous work found that predation does not exert a strong influence on red
325 squirrel populations in the boreal forest (Boonstra, Boutin, et al., 2001). However, in this study,
326 the effects of annual lynx and mustelid abundance on juvenile survival (-0.68 and -0.32 for those
327 without territories by autumn) were comparable in strength to the effect of cone availability
328 (0.38), which is the primary driver of red squirrel population dynamics (LaMontagne et al.,
329 2013). The relatively strong effects of predators on over winter survival in this study might

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330 appear contradictory to previous findings, but two distinctions can be made. First, overall
331 population size and individual probability of survival are not directly comparable. While red
332 squirrel population size may be dictated by the availability of food and territories, predation
333 could still affect *which* individuals survive (“compensatory predation”; Errington, 1946).
334 Second, this study was concerned with over winter survival of only juveniles, and predator
335 population size had the strongest effect on the 39 % of juveniles that did not have territories by
336 autumn. The probability of survival of these juveniles is already low, so variation in survival in
337 this subset is not likely to have a large impact on the total population size.

338 We predicted that the effects of lynx and mustelid population sizes on juvenile survival
339 would be mediated by the availability of their alternate prey. We did not find a significant
340 interaction of either predator–prey pairing on red squirrel survival. One potential explanation for
341 this could be that predator populations closely track their prey. For example, there were few
342 years in our dataset with high predator and low prey densities with which to evaluate these
343 interactions. Additionally, we grouped three mustelid species together, and they may respond
344 differently to vole abundance. Furthermore, although lynx switch from hares to red squirrels
345 when the former are rare (O’Donoghue, Boutin, Krebs, Zuleta, et al., 1998), lynx and mustelids
346 may predate on juvenile red squirrels opportunistically if juveniles are more susceptible to
347 predation regardless of alternate prey availability. Juveniles without territories by autumn could
348 be more susceptible to this, if it occurs.

349 We did not anticipate that the population sizes of voles and hares would have significant
350 effects themselves on over winter survival of juvenile red squirrels. High hare abundance was
351 associated with increased juvenile survival, while years with high vole abundances had lower
352 juvenile survival. Red squirrels will opportunistically predate on snowshoe hare leverets in the

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353 spring and summer (O'Donoghue, 1994), but this additional food source should not have a strong
354 effect over winter. Voles are not in strong competition with juveniles for resources, given red
355 squirrels access arboreal food sources unavailable to voles, and red-backed voles are broad
356 omnivores, feeding on vegetation, fungi, and arthropods (Boonstra, Krebs, Gilbert, & Schweiger,
357 2001). These species' population densities may covary with another factor that influences
358 juvenile survival not included in our analysis, but what this factor might be remains unclear.

359 In our survival model, juveniles with higher growth rates were more likely to survive to
360 spring, but birth date had no effect. Previous work in this population has observed strong
361 selection on both birth date and growth rate in annual survival of juveniles (Dantzer et al., 2013;
362 Fisher et al., 2017; McAdam & Boutin, 2003; Williams, Lane, Humphries, McAdam, & Boutin,
363 2014). In preliminary models not including territory ownership, there was a significant effect of
364 birth date on over winter survival. Once accounting for territory ownership, birth date stopped
365 being important. This implies that early born juveniles are likely to acquire a territory sooner, but
366 there are no further benefits of birth date for survival over winter. Both earlier birth dates and
367 higher growth rates are thought to be beneficial in territory acquisition, but there was still an
368 effect of growth rate on over winter survival after accounting for territory ownership.
369 Furthermore, larger juveniles in the autumn are more likely to survive to spring (Larivée et al.
370 2010). Among juveniles for which we have body mass measurements in August ($n = 757$),
371 juveniles with higher relative growth rates were larger ($\beta = 7.95 \pm 1.61$, $t = 4.93$, $P < 0.001$), but
372 earlier birth dates also had a significant effect on body mass in August ($\beta = -8.89 \pm 1.25$, $t = -$
373 7.11 , $P < 0.001$) so this does not explain why growth rate provides further benefits over winter
374 but birth date does not. Presumably, growth rate may be associated with other life history and

375 behavioural traits (Biro & Stamps, 2008; Réale et al., 2010; Stamps, 2007) that could affect over
376 winter survival.

377

378 *Conclusions*

379 We have identified how the timing of a life history event – territory acquisition – influences
380 juvenile survival, and how it mediates biotic and abiotic factors that influence survival. This
381 gives us insight into how one trait can affect the opportunity for selection on others, and
382 therefore the routes through which organisms can arrive at “fit” phenotypes. We encourage more
383 researchers to study key life stages such as the juvenile period, when survival can be highly
384 variable and so the opportunity for selection high, to better understand how traits are selected in
385 populations. As this study was primarily concerned with over winter dynamics, investigations of
386 juveniles during territory prospection and before settlement, and which traits or conditions are
387 associated with territorial acquisition, would be informative in further explaining the
388 mechanisms behind some of the patterns we observed.

389

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400 **Author contributions**

401 JH and DF conceived the ideas and conducted the analyses; JH, DF, and ARM led the writing of
402 the manuscript; SB, BD, JL, and AGM managed long term data collection and revised initial
403 drafts and analyses. All authors contributed critically to the drafts and gave final approval for
404 publication.

405 **Data accessibility**

406 Data used to evaluate juvenile over winter survival, along with code to recreate analyses and
407 figures, will be made available on Dryad upon publication.

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607 **Tables**

608 Table 1. Probability of over winter survival for juvenile red squirrels alive in August 1989 –
609 2015 ($n = 1305$), with adult density for each year (individuals/ha) and number of juveniles alive
610 in autumn (cohort size).

Year	Adult population density (individuals/ha)	Autumn cohort size	Juvenile survival (%)
1989	1.25	6	66.7
1990	1.30	13	61.5
1991	1.18	28	85.7
1992	1.31	46	30.4
1993	1.23	121	71.1
1994	2.20	28	21.4
1995	1.60	75	82.7
1996	1.88	15	60.0
1997	1.86	51	94.1
1998	2.14	78	82.1
1999	3.93	25	36.0
2000	2.56	24	58.3
2001	1.84	56	51.8
2002	1.63	49	51.0
2003	1.22	34	70.6
2004	1.02	44	61.4
2005	1.05	98	66.3
2006	2.02	47	46.8
2007	1.40	72	55.6
2008	1.40	30	43.3
2009	0.94	44	50.0
2010	0.73	100	66.0
2011	1.75	32	81.3
2012	1.86	50	46.0
2013	1.73	54	63.0

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2014	1.57	150	71.3
2015	3.15	18	44.4
Average \pm SE	1.69 \pm 0.13	51 \pm 6.6	60.0 \pm 3.4

611

612

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613 Table 2. Mixed effects binomial model of juveniles red squirrel over winter survival ($n = 1305$),
 614 testing whether territory ownership by autumn mediates effects of predators and temperature on
 615 over winter survival, including random effects of litter ID and year (conditional $R^2 = 0.44$).

Term	Estimate \pm SE	z	P
Std. density	-0.69 \pm 0.15	-4.45	< 0.001
Std. cones	0.38 \pm 0.11	3.45	< 0.001
Std. growth rate	0.22 \pm 0.10	2.13	0.033
Std. birth date	-0.01 \pm 0.09	-0.08	0.936
Grid (SU)	0.19 \pm 0.18	1.06	0.289
Sex (male)	-0.49 \pm 0.16	-3.1	0.002
Autumn owner (yes)	2.78 \pm 0.23	12.06	< 0.001
Std. lynx	-0.68 \pm 0.28	-2.41	0.016
Std. hares	0.40 \pm 0.20	1.99	0.046
Std. mustelid	-0.38 \pm 0.14	-2.7	0.007
Std. voles	-0.59 \pm 0.13	-4.57	< 0.001
Std. temperature	-0.35 \pm 0.18	-1.99	0.047
Std. lynx : Std. hares	0.12 \pm 0.09	1.32	0.187
Std. mustelid : Std. voles	0.14 \pm 0.11	1.27	0.203
Autumn owner (yes) : Std. lynx	0.99 \pm 0.23	4.22	< 0.001
Autumn owner (yes) : Std. mustelid	0.31 \pm 0.18	1.75	0.080
Autumn owner (yes) : Std. temperature	1.11 \pm 0.21	5.31	< 0.001
Random effects	Variance		
Litter ID	0.665		
Year	0.000		

616