Gene expression heterogeneity during brain development and aging: temporal

changes and functional consequences

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Abstract

Cells in largely non-mitotic tissues such as the brain are prone to stochastic (epi-)genetic alterations

that may cause increased variability between cells and individuals over time. Although increased inter-

individual heterogeneity in gene expression was previously reported, whether this process starts during

development or if it is restricted to the aging period has not yet been studied. The regulatory dynamics

and functional significance of putative aging-related heterogeneity are also unknown. Here we address

these by a meta-analysis of 19 transcriptome datasets from diverse human brain regions. We observed

a significant increase in inter-individual heterogeneity during aging (20+ years) compared to postnatal

development (0 to 20 years). Increased heterogeneity during aging was consistent among different

brain regions at the gene level and associated with lifespan regulation and neuronal functions. Overall,

our results show that increased expression heterogeneity is a characteristic of aging human brain, and

may influence aging-related changes in brain functions.

Keywords: aging, development, gene expression, transcriptome, heterogeneity, human, brain

Aging is a complex process characterized by a gradual decline in maintenance and repair mechanisms,
accompanied by an increase in genetic and epigenetic mutations, and oxidative damage to nucleic
acids, protein and lipids[1,2]. The human brain experiences dramatic structural and functional changes in
the course of aging. These include decline in gray matter and white matter volumes[3], increase in axonal
bouton dynamics[4] and reduced synaptic plasticity, all processes that may be associated with decline in
cognitive functions[5]. Changes during brain aging are suggested to be a result of stochastic processes,
unlike changes associated with postnatal neuronal development that are known to be primarily
controlled by adaptive regulatory processes[6–8]. The molecular mechanisms underlying age-related
alteration of regulatory processes and eventually leading to aging-related phenotypes, however, are
little understood.

Over the past decade, a number of transcriptome studies focusing on age-related changes in human
brain gene expression profiles were published[2,9–12]. These studies report aging-related differential
expression patterns in many functions, including synaptic functions, energy metabolism, inflammation,
stress response, and DNA repair. By analyzing age-related change in gene expression profiles in
diverse brain regions, we previously showed that for many genes, gene expression changes occur in
opposite directions during postnatal development (pre-20 years of age) and aging (post-20 years of
age), which may be associated with aging-related phenotypes in healthy brain aging[13]. While different
brain regions are associated with specific, and often independent, gene expression profiles[9,10,12], these
studies also show that age-related alteration of gene expression profiles during aging is a widespread
effect across different brain regions.

One of the suggested effects of aging is increased variability between individuals and somatic cells,
which has been previously reported by several studies. Some of these studies find an increase in age-
related heterogeneity in heart, lung and white blood cells of mice[14–16], *Caenorhabditis elegans*[17], and
human twins[18]. A study analysing microarray datasets from different tissues of humans and rats also
reported an increase in age-related heterogeneity in expression as a general trend[19], although this study
found no significant consistency across datasets, nor any significant enrichment in functional gene
groups. That said, the generality of increase in expression heterogeneity remains unresolved. For
instance, Viñuela et al. find more decrease than an increase in heterogeneity in human twins[20] and
Ximerakis et al. show the direction of the heterogeneity change depends on cell type in aging mice
brain[21]. Using GTEx data covering different brain regions (20 to 70 years of age), Brinkmeyer-Langford
et al. identify a set of differentially variable genes between age groups, but they do not observe
increased heterogeneity at old age[22]. Meanwhile, another study performing single-cell RNA sequencing
of human pancreatic cells, identifies an increase in transcriptional heterogeneity and somatic mutations
with age[23]. A meta-analysis also suggested more shared expression patterns during development than
in aging, implying an increase in inter-individual variability[13]. Likewise, a prefrontal cortex transcriptome

analysis we recently conducted revealed a weak increase in age-dependent heterogeneity at the gene,

transcriptome and pathway levels, irrespective of the preprocessing methods[24].

Whether age-related increase in heterogeneity is a universal phenomenon thus remains contentious.

Furthermore, where it can be detected, whether this is a time-dependent process that starts at the

beginning of life or whether this increase and its functional consequences are only seen after

developmental processes are completed, have not yet been explored. In this study, we retrieved

transcriptome data from independent studies covering the whole lifespan, including data from diverse

brain regions, and conducted a comprehensive analysis to identify the prevalence of age-related

heterogeneity changes in human brain aging compared with those observed during postnatal

development. We confirmed that increased age-related heterogeneity is a consistent trend in the human

brain transcriptome during aging but not during development, and it is associated with the pathways

and biological functions that are related to longevity and neuronal function.

Results

To investigate how heterogeneity in gene expression changes with age, we used 19 published

microarray datasets from three independent studies. Datasets included 1,010 samples from 17 different

brain regions of 298 individuals whose ages ranged from 0 to 98 years (Supplementary Table S1, Fig.

S1). In order to analyze the age-related change in gene expression heterogeneity during aging

compared to the change in development, we divided datasets into two subsets as development (0 to

20 years of age, n = 441) and aging (20 to 98 years of age, n = 569). We used the age of 20 to separate

pre-adulthood and adulthood based on commonly used age intervals in earlier studies (see Methods).

For the analysis, we focused only on the genes for which we have a measurement across all datasets

(n = 11,137).

Age-related change in gene expression levels

To quantify age-related changes in gene expression, we used a linear model between gene expression

levels and age (see Methods, Supplementary Fig. S2). We transformed the ages to the fourth root scale

before fitting the model as it provides relatively uniform distribution of sample ages across the lifespan,

but we also confirmed that different age scales yield quantitatively similar results (see Supplementary

Fig. S3). We quantified expression change of each gene in aging and development periods separately

and considered regression coefficients from the linear model (β values) as a measure of age-related

expression change (Supplementary Fig. S4).

Figure 1. *Age-related change in gene expression during postnatal development and aging. (a) Spearman*
correlations among age-related expression changes (β values) across datasets. The color of the squares indicates
if the correlation between the corresponding pair of datasets (across β values of 11,137 common genes) is positive
(red) or negative (blue), while darker color specifies a stronger correlation. Diagonal values were removed in order
to enhance visuality. Annotation rows and columns indicate data source, brain region and period of each dataset.
Hierarchical clustering was performed for each period separately (color of the dendrogram indicates periods) to
determine the order of datasets. (b) Principal component analysis (PCA) of age-related expression changes during
aging and development. The analysis was performed on age-related expression change values of 11,137 common
genes among all 38 datasets. The values of the first principal component on the x-axis and second principal
component on the y-axis were drawn, where the values in the parenthesis indicate the variation explained by the
corresponding principal component. Median Euclidean pairwise distances among development and aging datasets
calculated using PC1 and PC2 were annotated on the figure. Different shapes show different data sources and
colors show development (dark orange) and aging (blue) (c) Number of significant (FDR corrected p < 0.05) gene
expression changes in development (left panel) and aging (right panel). The x-axis shows the number of genes in
the log scale. The color of the bars shows the direction of change, decrease (steel gray), and increase (orange).
The exact number of genes are also displayed on the plot.

We first analyzed similarity in age-related expression changes across datasets by calculating pairwise Spearman's correlation coefficients among the β values (Figure 1a). Both development (median correlation coefficient = 0.56, permutation test $p < 0.001$, Supplementary Fig. S5) and aging datasets (median correlation coefficient = 0.43, permutation test $p = 0.003$, Supplementary Fig. S5) showed moderate correlation with the datasets within the same period. Although the difference between dataset correlations within development and aging datasets was not significant (permutation test $p = 0.1$, Supplementary Fig. S6), weaker consistency during aging may reflect the stochastic nature of aging, causing increased heterogeneity between aging datasets.

The principal component analysis (PCA) of age-related expression changes (β) revealed distinct clusters of development and aging datasets (Figure 1b). Moreover, aging datasets were more dispersed than development datasets (median pairwise Euclidean distances between PC1 and PC2 were 77 for aging and 21 for development), which may again reflect stochasticity in gene expression change during aging and can indicate more heterogeneity among different brain regions or datasets during aging than in development.

We next identified genes showing significant age-related expression change (FDR-corrected $p < 0.05$), for development and aging datasets separately (Figure 1c). Development datasets showed more significant changes compared to aging (permutation test $p = 0.003$, Supplementary Fig. S6), which may again indicate higher expression variability among individuals during aging. The direction of change in development was mostly positive (14 datasets with more positive and 5 with more negative), whereas in aging datasets, we observed more genes with a decrease in expression level (13 datasets with more genes decreasing expression and 5 with no significant change, and 1 with an equal number of positive and negative changes).

Age-related change in gene expression heterogeneity
To assess age-related change in heterogeneity, we obtained the unexplained variance (residuals) from the linear models used to calculate the change in gene expression level. For each gene in each dataset, we separately calculated Spearman's correlation coefficient (ρ) between the absolute value of residuals and age, irrespective of whether the gene shows a significant change in expression (see Methods, Supplementary Fig. S2). We considered ρ values as a measure of heterogeneity change, where positive values mean an increase in heterogeneity with age. We also repeated this approach using loess regression instead of a linear model between expression level and age, and found high correspondence between ρ values based on linear and loess regression models (Supplementary Fig. S7). Still, loess regression was more sensitive to the changes in sample sizes and parameters and we therefore continued downstream analyses with the ρ estimates based on the residuals from the linear model.

We next asked if datasets show similar ρ, *i.e.* age-related changes in heterogeneity, by calculating
pairwise Spearman's correlation between pairs of datasets, across shared genes (Figure 2a). Unlike
the correlations among expression level changes, ρ values did not show a higher consistency during
development. In fact, although the difference is not significant (permutation test p = 0.2, Supplementary
Fig. S6), the median value of the correlation coefficients was higher in aging (median correlation
coefficient = 0.21, permutation test p = 0.24, Supplementary Fig. S5), than in development (median
correlation coefficient = 0.11, permutation test p = 0.25, Supplementary Fig. S5).

A principal component analysis (PCA) showed that, like expression change, heterogeneity change with
age can also differentiate aging datasets from development (Figure 2b). Similar to the pairwise
correlations (Figure 2a), aging datasets clustered more closely than development datasets (median
pairwise Euclidean distances between PC1 and PC2 are 41 and 44 for aging and development,
respectively). Both observations imply more similar changes in heterogeneity during aging.

Using the p-values from Spearman's correlation between age and the absolute value of residuals for
each gene, we then investigated the genes showing a significant change in heterogeneity during aging
and development (FDR corrected p-value < 0.05). We found almost no significant change in
heterogeneity during development, except for the Colantuoni2011 dataset, for which we have high
statistical power due to its large sample size. In aging datasets, on the other hand, we observed more
genes with significant changes in heterogeneity (permutation test p = 0.06, Supplementary Fig. S6) and
the majority of the genes with significant changes in heterogeneity tended to increase in heterogeneity
(Figure 2c). However, the genes showing a significant change did not overlap across aging datasets
(Supplementary Fig. S8).

Nevertheless, our analyses indicated relatively more consistent heterogeneity changes among datasets
in aging compared to development, implying that heterogeneity change could be a characteristic linked
to aging (see Discussion).

Figure 2. Age-related change in gene expression heterogeneity during development and aging. The procedures are similar to those in Figure 1, except, age-related heterogeneity changes (ρ values) were used instead of expression changes (β values). (a) Spearman correlations among age-related heterogeneity changes (ρ values) across datasets. (b) Principal component analysis (PCA) of heterogeneity change with age. (c) The number of genes showing significant heterogeneity change in aging and development.

Consistent increase in heterogeneity during aging

As our previous analyses suggested age-related changes in heterogeneity can differentiate development from aging and show more similarity during aging, we sought to characterize the genes displaying such changes. Since the significance of the changes is highly dependent on the sample size, instead of focusing on significant genes identified within individual datasets, we leveraged upon the availability of multiple datasets and focused on their shared trends, capturing weak but reproducible trends across multiple datasets. Consequently, we used the level of consistency in age-related heterogeneity change across datasets to sort genes.

Figure 3. (a) Boxplots, showing distributions of age-related heterogeneity changes (ρ values) of 11,1137 common
genes for each dataset and period separately. The dotted red line (vertical line at x = 0) reflects no change in
heterogeneity. The difference between median heterogeneity change in aging and development is given as a bar
plot on the right panel. Datasets are ordered by the differences in median heterogeneity changes in aging and
development. (b) The relationship between expression and heterogeneity change with age. Spearman correlation
analysis was performed between age-related expression changes (β values) and age-related heterogeneity
changes (ρ values) of 11,137 common genes, separately for each dataset. The dotted gray line at y = 0 reflects no
correlation between expression and heterogeneity. (c) Expected and observed consistency in the heterogeneity
change across datasets in development and aging. There is a significant shift toward heterogeneity increase in
aging (permutation test $p < 10^{-7}$) (lower panel), while there is no significant consistency in either direction in
development (upper panel). The expected distribution is constructed using a permutation scheme that accounts
for the dependence among datasets and is more stringent than random permutations (see Supplementary Fig. S10
for details).

We first examined profiles of age-related heterogeneity change in aging and development. Among
aging datasets 18/19 showed more increase than decrease in heterogeneity with age (median $\rho > 0$,
i.e. higher numbers of genes with increase), while the median heterogeneity change in one dataset was
zero. In development, on the other hand, only 5/19 datasets showed more increase in heterogeneity,

while the remaining 14/19 datasets showed more decrease with age (median $\rho < 0$) (Figure 3a). The
age-related change in heterogeneity during aging was significantly higher than during development
(permutation test $p < 0.001$, Supplementary Fig. S6). We also checked if there is a relationship between
changes in heterogeneity during development and during aging (*e.g.* if those genes that decrease in
heterogeneity tend to increase in heterogeneity during aging) but did not find any significant trend
(Supplementary Fig. S9).

A potential explanation why we see different patterns of heterogeneity change with age in development
and aging could be the accompanying changes in the expression levels, as it is challenging to remove
dependence between the mean and variance. To address this possibility, we first calculated
Spearman's correlation coefficient between the changes in heterogeneity (ρ values) and expression
(β values), for each dataset. Overall, all datasets had values close to zero, suggesting the association
is not strong. Surprisingly, we saw an opposing profile for development and aging; while the change in
heterogeneity and expression were positively correlated in development, they showed a negative
correlation in aging (Figure 3b).

Having observed both a tendency to increase and a higher consistency in heterogeneity change during
aging, we asked which genes show consistent increase in heterogeneity across datasets in aging and
development. We therefore calculated the number of datasets with an increase in heterogeneity during
development and aging for each gene (Figure 3c). To calculate significance and expected consistency,
while controlling for dataset dependence, we performed 1,000 random permutations of individuals' ages
and re-calculated the heterogeneity changes (see Methods). In development, there was no significant
consistency in heterogeneity change in either increase or decrease. During aging, however, there was
a significant signal of consistent heterogeneity increase, *i.e.* more genes showed consistent
heterogeneity increase across aging datasets than randomly expected (Figure 3c, lower panel). We
identified 147 common genes with a significant increase in heterogeneity across all aging datasets
(permutation test $p < 0.001$, Supplementary Table S2). Based on our permutations, we estimated that
84/147 genes could be expected to have consistent increase just by chance, suggesting only ~40%
true positives. In development, in contrast, there was no significant consistency in heterogeneity change
in either direction (increase or decrease). Nevertheless, comparing the consistency in aging and
development, there was an apparent shift towards a consistent increase in aging – even if we cannot
confidently report the genes that become significantly more heterogeneous with age across multiple
datasets.

Heterogeneity Trajectories
We next asked if there are specific patterns of heterogeneity change, *e.g.* increase only after a certain
age. We used the genes with a consistent increase in heterogeneity with age during aging ($n = 147$) to
explore the trajectories of heterogeneity change (Figure 4). Genes grouped with k-means clustering

revealed three main patterns of heterogeneity increase (Supplementary Table S2): i) genes in clusters
3 and 7 show noisy but *a steady increase* throughout aging, ii) genes in clusters 4, 5 and 8 show
increase in early aging but a later slight decrease, revealing a reversal (up-down) pattern, and iii) the
remaining genes in cluster 1, 2 and 6 *increase in heterogeneity dramatically after the age of 60*. We
next asked if these genes have any consistent heterogeneity change pattern in development
(Supplementary Fig. S11), but most of the clusters showed no or only weak age-related changes during
development. We also analyzed the accompanying changes in mean expression levels for these
clusters. Except for cluster 1, which shows a decrease in expression level at around the age of 60 and
then shows a dramatic increase, all clusters show a steady scaled mean expression level at around
zero, *i.e.* different genes in a cluster show different patterns (Supplementary Fig. S12).
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270   We further tested the genes showing dramatic heterogeneity increase after the age of 60 (clusters 1, 2
271   and 6) for association with Alzheimer's Disease, as the disease incidence increases after 60[25] as well;
272   however, we found no evidence for such an association (see Supplementary Fig. S13).
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276   ***Figure 4.*** *Clusters of genes showing a consistent heterogeneity increase in aging (n = 147). Clustering was*
277   *performed based on patterns of the change in heterogeneity, using the k-means clustering method (see Methods).*
278   *The x- and y-axes show age and heterogeneity levels, respectively. Mean heterogeneity change for the genes in*
279   *each cluster was drawn by spline curves. The colors and line-types of curves specify different brain regions and*
280   *data sources, respectively.*
281
Functional analysis
To examine the functional associations of heterogeneity changes with age, we performed gene set
enrichment analysis using KEGG pathways[26], Gene Ontology (GO) categories[27,28], Disease Ontology

(DO) categories[29], Reactome pathways[30], transcription factor (TF) targets (TRANSFAC)[31], and miRNA

targets (MiRTarBase)[32]. Specifically, we rank-ordered genes based on the number of datasets that

show a consistent increase in heterogeneity and asked if the extremes of this distribution are associated

with the gene sets that we analyzed. There was no significant enrichment for any of the functional

categories and pathways for the consistent changes in development. The significantly enriched KEGG

pathways for the genes that become consistently heterogeneous during aging included multiple KEGG

pathways known to be relevant for aging, including the longevity regulating pathway, autophagy[33],

mTOR signaling[34] and FoxO signaling[35] (Figure 5a). Among the pathways with a significant association

(listed in Figure 5a), only protein digestion and absorption, primary immunodeficiency, linoleic acid

metabolism, and fat digestion and absorption pathways had negative enrichment scores, meaning

these pathways were significantly associated with the genes having the least number of datasets

showing an increase. However, it is important to note that this does not mean these pathways have a

decrease in heterogeneity as the distribution of consistent heterogeneity levels is skewed (Figure 3c,

lower panel). We also calculated if the KEGG pathways that we identified are particularly enriched in

any of the heterogeneity trajectories we identified. Although we lack the necessary power to test the

associations statistically due to small number of genes, we saw that i) group 1, which showed a stable

increase in heterogeneity, is associated more with the metabolic pathways and mRNA surveillance

pathway, ii) group 2, which showed first an increase and a slight decrease at later ages, is associated

with axon guidance, mTOR signaling, and phospholipase D signaling pathways, and iii) group 3, which

showed a dramatic increase after age of 60, is associated with autophagy, longevity regulating pathway

and FoxO signaling pathways. The full list is available as Supplementary Figure S14.

Figure 5*. Functional analysis of consistent heterogeneity changes. (a) Distribution of consistent heterogeneity*

increase for the significantly enriched KEGG pathways, in development and aging. x- and y-axes show the number

of datasets with a consistent increase and the density for each significant pathway, respectively. The dashed red

line shows x = 9.5, which is the middle point for 19 datasets, representing no tendency to increase or decrease.

Values higher than 9.5, shown with red color, indicate an increase in heterogeneity while values lower than 9.5,

The distribution of consistent heterogeneity in development and aging also showed a clear difference.
The pathway scheme for the longevity regulating pathway (Figure 5b), colored based on the number of
datasets with a consistent increase, shows how particular genes compare between development and
aging. The visualizations for all significant pathways, including the gene names are given in the
Supplementary Information. Other significantly enriched gene sets, including GO, Reactome, TF and
miRNA sets, are included as Supplementary Tables S3-10. In general, while the consistent
heterogeneity changes in development did not show any enrichment (except for miRNAs, see
Supplementary Table S10), we detected a significant enrichment for the genes that become more
heterogeneous during aging, with the exception that Disease Ontology terms were not significantly
associated with consistent changes in either development or aging. The gene sets included specific
categories such as autophagy and synaptic functions as well as broad functional categories such as
regulation of transcription and translation processes, cytoskeleton or histone modifications. We also
performed GSEA for each dataset separately and confirmed that these pathways show consistent
patterns in aging (Supplementary Figs. S15-S19). There were 30 significantly enriched transcription
factors, including *EGR* and *FOXO*, and 99 miRNAs (see Supplementary Table S8-9 for the full list). We
also asked if the genes that become more heterogenous consistently across datasets are known aging-
related genes, using the GenAge Human gene set[36], but did not find a significant association
(Supplementary Fig. S20).

It has been reported that the total number of distinct regulators of a gene (apart from its specific
regulators) is correlated with gene expression noise[37]. Accordingly, we asked if the total number of
transcription factors (TFs) or miRNAs regulating a gene might be related to the heterogeneity change
with age (Figure 6). We calculated the correlations between the total number of regulators and the
heterogeneity changes and found a mostly positive (18 / 19 for miRNA and 15 / 19 for TFs), and higher
correlation between change in heterogeneity and the number of regulators in aging ($p = 0.007$ for
miRNA and $p = 0.045$ TFs). We further tested the association while controlling for the expression
changes in development and aging since regulation of expression changes during development could
confound a relationship. However, we found that the pattern is mainly associated with the genes that
show a decrease in expression during aging, irrespective of their expression during development
(Supplementary Fig. S21).

Figure 6. Correlation between the change in heterogeneity and number of transcriptional regulators, i.e. miRNA

and transcription factors. Each point represents a dataset, and the color shows the data source. p-values are

calculated using a permutation test. The dashed line at y = 0 shows zero correlation.

We further tested if genes with a consistent heterogeneity increase in aging are more central in the

protein interaction network using STRING database[38]. Using multiple cutoffs and repeating the analysis,

we observed a higher degree of interactions for the genes with increasing heterogeneity

(Supplementary Fig. S22).

Johnson and Dong et al. previously compiled a list of traits that are age-related and have been

sufficiently tested for genome-wide associations (*n* = 39)[39]. Using the genetic associations for GWAS

Catalog traits, we tested if there are significantly enriched traits for the consistent changes in

heterogeneity during aging (Supplementary Table S11). Although there was no significant enrichment,

all these age-related terms had positive enrichment scores, *i.e.* they all tended to include genes that

consistently become more heterogeneous with age during aging.

Using cell-type specific transcriptome data generated from FACS-sorted cells in mouse brain[40], we also

analyzed if there is an association between genes that become heterogeneous with age and cell-type

specific genes, which could be expected if brain cell-type composition progressively varied among

individuals with age. Although there was an overlap with oligodendrocytes and myelinated

oligodendrocytes, there was no significant enrichment (which could be attributed to low power due to

small overlap between aging and cell-type specific expression datasets) (Supplementary Fig. S23).

Discussion

Aging is characterized by a gradual decrease in the ability to maintain homeostatic processes, which
leads to functional decline, age-related diseases, and eventually to death. This age-related
deterioration, however, is thought as not a result of expression changes in a few individual genes, but
rather as a consequence of an age-related alteration of the whole genome, which could be a result of
an accumulation of both epigenetic and genetic errors in a stochastic manner[23,41]. This stochastic nature
of aging impedes the identification of conserved age-related changes in gene expression from a single
dataset with a limited number of samples.
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In this study, we examined 19 gene expression datasets compiled from three independent studies to
identify the changes in gene expression heterogeneity with age. While all datasets have samples
representing the whole lifespan, we separated postnatal development (0 to 20 years of age) and aging
(20 to 98 years of age) by the age of 20, as this age is considered to be a turning-point in gene
expression trajectories[13]. We implemented a regression-based method and identified genes showing a
consistent change in heterogeneity with age, during development and aging separately. At the single
gene level, we did not observe significant age-related heterogeneity change in most of the datasets,
possibly due to insufficient statistical power due to small sample sizes and the subtle nature of the
phenomenon. We hence took advantage of a meta-analysis approach and focused on consistent
signals among datasets, irrespective of their effect sizes and significance. Although this approach fails
to capture patterns that are specific to individual brain regions, it identifies genes that would otherwise
not pass the significance threshold due to insufficient power. Furthermore, we demonstrated that our
method is robust to noise and confounding effects within individual datasets.
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By analyzing age-related gene expression changes, we first observed that there are more significant
and more similar changes during development than aging. Additionally, genes showing significant
change during aging tended to decrease in expression (Figure 1). These results can be explained by
the accumulation of stochastic detrimental effects during aging, leading to a decrease in expression
levels[2]. Our initial analysis of gene expression changes suggested a higher heterogeneity between
aging datasets.
403
We next focused on age-related heterogeneity change between individuals and found a significant
increase in age-related heterogeneity during aging, compared to development. Notably, increased
heterogeneity is not limited to individual brain regions, but a consistent pattern across different regions
during aging. We found that age-related heterogeneity change is more consistent among aging
datasets, which may reflect an underlying systemic mechanism. Further, a larger number of genes
showed more significant heterogeneity changes during aging than in development, and the majority of
these genes tended to have more heterogeneous expression.
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It was previously proposed that somatic mutation accumulations[2,41–43] and epigenetic regulations[44]
might be associated with transcriptome instability. While Enge et al. and Lodato et al. suggested that
genome-wide substitutions in single cells are not so common as to influence genome stability and cause
transcriptional heterogeneity at the cellular level[23,45], epigenetic mechanisms may be relevant. Although
we cannot test age-related somatic mutation accumulation and epigenetic regulation in this study, an
alternative mechanism might be related to transcriptional regulation, which is considered to be
inherently stochastic[46]. Several studies demonstrated that variation in gene expression is positively
correlated with the number of TFs controlling gene's regulation[37]. We also found that genes with a
higher number of regulators and a decrease in expression during aging become more heterogeneous.
Further, significantly enriched TFs include early growth response (*EGF*), known to be regulating the
expression of many genes involved in synaptic homeostasis and plasticity, and *FOXO* TFs, which
regulate stress resistance, metabolism, cell cycle arrest and apoptosis. Together with these studies,
our results support that transcriptional regulation may be associated with age-related heterogeneity
increase during aging and may have important functional consequences in brain aging.

We next confirmed that observed increase in heterogeneity was not a result of low statistical power
(Supplementary Fig. S1) or a technical artifact (Figure 3b, Supplementary Figs. S24-S25). Specifically,
we tested whether increased heterogeneity during aging can be a result of the mean-variance
relationship, but we found no significant effect that can confound our results. In fact, the mean-variance
relationship in development and aging showed opposing profiles. We further analyzed this by grouping
genes based on their expression in development and aging (Supplementary Fig. S24). The genes that
decrease in expression both in development and aging showed the most opposing profiles in terms of
the mean-variance relationship, which could suggest that the decrease in development are more
coordinated and well-regulated whereas the decrease in aging occurs due to stochastic errors. Another
potential confounder is the post-mortem interval (PMI), which is the time between death and sample
collection. Since we do not have this data for all datasets we analyzed, we could not account for PMI
in our model. However, using the list of genes previously suggested as associated with PMI[47], we
checked if the consistency among aging datasets could be driven by PMI. Only 2 PMI-associated genes
were among the 147 that become consistently heterogeneous, and the distribution also suggested there
is no significant relationship (Supplementary Fig. S25). We also confirmed that the increase in
heterogeneity is not caused by outlier samples in datasets (Supplementary Fig. S26) or by the confound
of sex with age (Supplementary Fig. S27).

One important limitation of our study is that we analyze microarray-based data. Since gene expression
levels measured by microarray do not reflect an absolute abundance of mRNAs, but rather are relative
expression levels, we were only able to examine relative changes in gene expression. A recent study
analyzing single-cell RNA sequencing data from the aging *Drosophila* brain identified an age-related
decline in total mRNA abundance[48]. It is also suggested that, in microarray studies, genes with lower
expression levels tend to have higher variance[49]. In this context, whether the change in heterogeneity

is a result of the total mRNA decay is an important question. As an attempt to see if the age-related
increase in heterogeneity is dependent on the technology used to generate data, we repeated the initial
analysis using RNA sequencing data for the human brain, generated by GTEx Consortium [50]
(Supplementary Figs. S28-30). Nine out of thirteen datasets displayed more increase than decrease in
heterogeneity during aging, consistent with 18/19 microarray datasets, while the remaining four
datasets showed the opposite pattern (BA24, cerebellar hemisphere, cerebellum and substantia nigra).
Unlike what we observed for the microarray datasets, the change in expression levels and
heterogeneity were strongly positively correlated (Supplementary Fig. S30). Unfortunately, average
expression levels and variation levels in RNA sequencing is challenging to disentangle. Thus, the
biological relevance of the relationship between the age-related change in expression levels and
expression heterogeneity still awaits to be studied through novel experimental and computational
approaches. Nevertheless, RNA sequencing analysis also suggests an overall increase in age-related
heterogeneity increase.

Another limitation is related to use of bulk RNA expression datasets, where each value is an average
for the tissue. While it is important to note that our results indicate increased heterogeneity between
individuals rather than cells, the fact that the brain is composed of different cell types raises the question
if increased heterogeneity may be a result of changes in brain cell-type proportions. To explore the
association between heterogeneity and cell-type specific genes, we used FACS-sorted cell type specific
transcriptome dataset from mouse brain[40]. We only had nine genes that have consistent heterogeneity
increase and are specific to one cell-type. Eight out of nine were highly expressed in oligodendrocytes,
which is consistent with the results reported in our earlier work[24]. However, we did not observe any
significant association between cell-type specific genes and heterogeneity (Supplementary Fig. S23).

Gene set enrichment analysis of the genes with increased heterogeneity with age revealed a set of
significantly enriched pathways that are known to modulate aging, including longevity regulating
pathway, autophagy, mTOR signaling pathway (Figure 5a). Furthermore, GO terms shared among
these genes include some previously identified common pathways in aging and age-related diseases
(Supplementary Figs. S16-18). We have also tested if these genes are associated with age-related
diseases through GWAS, and although not significant, we found a positive association with all age-
related traits defined in Johnson and Dong et al. Overall, these results indicate the effect of
heterogeneity on pathways that modulate aging and may reflect the significance of increased
heterogeneity in aging. Importantly, we identified genes that are enriched in terms related to neuronal
and synaptic functions, such as axon guidance, neuron to neuron synapse, postsynaptic specialization,
which may reflect the role of increased heterogeneity in synaptic dysfunction observed in the
mammalian brain, which is considered to be a major factor in age-related cognitive decline[51]. We also
observed genes that become more heterogeneous with age consistently across datasets are more
central (*i.e.* have a higher number of interactions) in a protein-protein interaction network
(Supplementary Fig. S22). Although this could mean the effect of heterogeneity could be even more

critical because it affects hub genes, another explanation is research bias that these genes are studied
more than others.
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In summary, by performing a meta-analysis of transcriptome data from diverse brain regions we found
a significant increase in gene expression heterogeneity during aging, compared to development.
Increased heterogeneity was a consistent pattern among diverse brain regions in aging, while no
significant consistency was observed across development datasets. Our results support the view of
aging as a result of stochastic molecular alterations, whilst development has a higher degree of gene
expression regulation. We also found that genes showing a consistent increase in heterogeneity during
aging are involved in pathways important for aging and neuronal function. Therefore, our results
demonstrate that increased heterogeneity is one of the characteristics of brain aging and is unlikely to
be only driven by the passage of time starting from developmental stages.
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503   **Methods**
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Dataset collection
In this study, we performed re-analysis of publicly available transcriptome datasets to test age-related
change in gene expression heterogeneity. All data collection in these previous studies were performed
in accordance with relevant guidelines, regulations and approved experimental protocols, including
informed consents for the use of samples for research from all donors or their next of kin.
Microarray datasets: Raw data used in this study were retrieved from the NCBI Gene Expression
Omnibus (GEO) from three different sources (Supplementary Table S1). All three datasets consist of
human brain gene expression data generated on microarray platforms. In total, we obtained 1017
samples from 298 individuals, spanning the whole lifespan with ages ranging from 0 to 98 years
(Supplementary Fig. S1).
RNA sequencing dataset: We used the transcriptome data generated by the GTEx Consortium (v6p)[50].
We only used the samples with a death circumstance of 1 (violent and fast deaths due to an accident)
and 2 (fast death of natural causes) on the Hardy Scale excluding individuals who died of illnesses. As
we focus only on the brain, we used all 13 brain tissue data in GTEx. We thus analyzed 623 samples
obtained from 99 individuals.
Separating datasets into development and aging datasets: To differentiate changes in gene expression
heterogeneity during aging from those during development, we used the age of 20 to separate pre-
adulthood from adulthood. It was shown that the age of 20 corresponds to the first age of reproduction
in human societies[52]. Structural changes after the age of 20 in the human brain were previously linked
to age-related phenotypes, specifically neuronal shrinkage and a decline in total length of myelinated
fibers[3]. Earlier studies examining age-related gene expression changes in different brain regions also
showed a global change in gene expression patterns after the age of 20[11,13,53]. Thus, consistent with

these studies, we separated datasets using the age of 20 into development (0 to 20 years of age, *n* =
441) and aging (20 to 98 years of age, *n* = 569).

Preprocessing

Microarray datasets: RMA correction (using the 'oligo' library in R)[54] and log2 transformation were
applied to Somel2011 and Kang2011 datasets. For the Colantuoni2011 dataset, as there was no public
R package to analyze the raw data, we used the preprocessed data deposited in GEO, which had been
loess normalized by the authors. We quantile normalized all datasets using the 'preprocessCore' library
in R[55]. Notably, our analysis focused on consistent patterns across datasets, instead of considering
significant changes within individual datasets. Since we don't expect random confounding factors to be
shared among datasets, we used quantile normalization to minimize the effects of confounders, and we
treated consistent results as potentially a biological signal. We also applied an additional correction
procedure for Somel2011 datasets, in which there was a batch effect influencing the expression levels,
as follows: for each probeset (1) calculate mean expression (M), (2) scale each batch separately (to
mean = 0, standard deviation = 1), (3) add M to each value. We excluded outliers given in
Supplementary Table S1, through a visual inspection of the first two principal components for the
probeset expression levels (same as in Dönertaş, Fuentealba Valenzuela, Partridge, & Thornton, 2018;
Dönertaş et al., 2017). We mapped probeset IDs to Ensembl gene IDs 1) using the Ensembl database,
through the 'biomaRt' library [57] in R for the Somel2011 dataset, 2) using the GPL file deposited in GEO
for Kang2011, as probeset IDs for this dataset were not complete in Ensembl, and 3) using the Entrez
gene IDs in the GPL file deposited in GEO for the Colantuoni2011 dataset and converting them into
Ensembl gene IDs using the Ensemble database, through the "biomaRt" library in R. Lastly, we scaled
expression levels for genes (to mean = 0, standard deviation = 1) using the 'scale' function in R. Age
values of individuals in each dataset were converted to the fourth root of age (in days) to have a linear
relationship between age and expression both in development and aging. However, we repeated the
analysis using different age scales and confirmed that the results were quantitatively similar
(Supplementary Fig. S3).

RNA sequencing dataset: The genes with median RPKM value of 0 were excluded from the dataset.
The RPKM values provided in the GTEx data were log2 transformed and quantile-normalized. Similar
to the microarray data, we excluded the outliers based on the visual inspection of the first and second
principal components (Supplementary Table S1). In GTEx, ages are given as 10 year intervals. We
therefore used the middle point of these age intervals to represent that individual's age.

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Age-related expression change
We used linear regression to assess the relationship between age and gene expression. The model
used in the analysis is:

$$(1) \quad Y_i = \beta_{i0} + \beta_{i1}*Age^{1/4} + \varepsilon_i$$

where Y_i is the scaled log2 expression level for the ith gene, β_{i0} is the intercept, β_{i1} is the slope, and ε_i is

the residual. We performed the analysis for each dataset (development and aging datasets separately)

and considered the β_1 value as a measure of change in expression. p-values obtained from the model

were corrected for multiple testing according to Benjamini and Hochberg procedure[58] by using 'p.adjust'

function in R.

Age-related heterogeneity change

In order to quantify the age-related change in gene expression heterogeneity, we calculated

Spearman's correlation coefficient (ρ). The correlations were calculated between the absolute values

of residuals obtained from equation (1) and the fourth root of individual age. We regarded the absolute

values of residuals as a measure of heterogeneity. Therefore, high positive correlation coefficients

suggest that heterogeneity increases with age, whereas strong negative correlation implies

heterogeneity decreases with age. p-values were calculated from the correlation analysis and corrected

for multiple testing with the Benjamini and Hochberg method using the 'p.adjust' function in R. To

compare heterogeneity changes in aging and development, we employed paired Wilcoxon test

('wilcox.test' in the R 'stats' package) in which we compared median heterogeneity changes in aging

and development dataset pairs.

Principal Component Analysis

We conducted principal component analysis on both age-related changes in expression (β) and

heterogeneity (ρ). We followed a similar procedure for both analyses, in which we used the 'prcomp'

function in R. The analysis was performed on a matrix containing β values (for the change in expression

level) and ρ values (for the change in heterogeneity), for 11,137 commonly expressed genes for all 38

development and aging datasets. In each dataset, the estimates of expression change (β) or

heterogeneity change (ρ) values were scaled for each dataset before calculating principal components.

Permutation test

We performed a permutation test, taking into account the non-independence of samples across the

Somel2011 and Kang2011 datasets, due to the fact that these datasets include multiple samples from

the same individuals for different brain regions. We first randomly permuted ages among individuals,

not samples, for 1,000 times in each data source, using the 'sample' function in R. Next, we assigned

ages of individuals to corresponding samples and calculated age-related expression and heterogeneity

change for each dataset, corresponding to different brain regions. For the tests related to the changes

in gene expression with age, we used a linear model between gene expression levels and the

randomized ages. In contrast, for the tests related to the changes in heterogeneity with age, we

measured the correlation between the randomized ages and the absolute value of residuals from the

linear model that is between expression levels and non-randomized ages for each gene. In this way,

we preserved the relationship between age and expression, and we were able to ensure that our
regression model was viable for calculating age-related heterogeneity change. Using expression and
heterogeneity change estimates calculated using permuted ages, we tested (a) if the correlation of
expression (and heterogeneity) change in aging is higher than in development datasets; (b) if the
correlations of expression (and heterogeneity) change in development and in aging datasets are
significantly higher than null expectation; (c) if the number of genes showing significant change in
expression (and heterogeneity) is significantly higher in aging than in development datasets; (d) if the
overall increase in age-related heterogeneity during aging is significantly higher than development; (e)
if the observed consistency in heterogeneity increase is significantly different from expected. All tests
using permuted ages were performed one-tailed. We also demonstrate that our permutation strategy is
more stringent than random permutations in Supplementary Figure S10, giving the distributions
calculated using both dependent permutations and random permutations.
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To test the overall correlation within development or aging datasets for the changes in expression (β)
and heterogeneity (ρ), we calculated median correlations among independent three subsets of datasets
(one Kang2011, one Somel2011 and the Colantuoni2011 dataset), taking the median value calculated
for each possible combination of independent subsets (16 x 2 x 1 = 32 combinations). Using 1,000
permutations of individuals' ages, we generated an expected distribution for the median correlation
coefficient for triples and compared these with the observed values, asking how many times we observe
a higher value. We used this approach to calculate expected median correlation among development
(and aging) datasets, because the number of independent pairwise comparisons are outnumbered by
the number of dependent pairwise comparisons, causing low statistical power.
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To further test the significance of the difference between correlations among development and aging
datasets, we calculated the median difference in correlations between aging and development datasets
for each permutation. We next constructed the null distribution of 1,000 median differences and
calculated empirical *p*-values compering the observed differences with these null distributions. Next, to
test the significance of the difference in the number of significantly changing genes between
development and aging, we calculated the difference in the number of genes showing significant
change between development and aging datasets for each permutation. Empirical *p*-values were
computed according to observed differences. Likewise, to test if the overall increase in age-related
heterogeneity during aging is significant compared to development, we computed median differences
between median heterogeneity change values of each aging and development dataset, for each
permutation, followed by an empirical *p*-value calculation to answer if the aging datasets have a higher
increase in age-related heterogeneity.
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Expected heterogeneity consistency

Expected consistency in heterogeneity change was calculated from heterogeneity change values
(ρ) measured using permuted ages. For each permutation, we first calculated the total number of genes
showing consistent heterogeneity increase for N number of datasets (N = 0, ..., 19). To test if observed
consistency significantly differed from the expected, we compared observed consistency values to the
distribution of expected numbers, by performing a one-sided test for the consistency in N number of
datasets, N = 1, …, 19.
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Clustering
We used the k-means algorithm ('kmeans' function in R) to cluster genes showing consistent
heterogeneity change (n=147) according to their heterogeneity profiles. We first took the subset of the
heterogeneity levels (absolute value of the residuals from equation (1)) to include only the genes that
show a consistent increase with age and then scaled the heterogeneity levels to the same mean and
standard deviation. Since the number of samples in each dataset is different, just running k-means on
the combined dataset would not equally represent all datasets. Thus, we first calculated the spline
curves for scaled heterogeneity levels for each gene in each dataset (using the 'smooth.spline' function
in R, with three degrees of freedom). We interpolated at 11 (the smallest sample size) equally distant
age points within each dataset. Then we used the combined interpolated values to run the k-means
algorithm with k = 8, a liberal choice, given the total number of genes being 147.
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660   To test association of the clusters with Alzheimer's Disease, we retrieved overall AD association scores
661   of the 147 consistent genes (*n* = 40) from the Open Targets Platform[59].
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Functional Analysis
We used the "clusterProfiler" package in R to run Gene Set Enrichment Analysis, using Gene Ontology
(GO) Biological Process (BP), GO Molecular Function (MF), GO Cellular Compartment (CC),
Reactome, Disease Ontology (DO), and KEGG Pathways. We performed GSEA on all gene sets with
a size between 5 and 500, and we corrected the resulting *p*-values with the Benjamini and Hochberg
correction method. To test if the genes with a consistent increase or decrease in their expression are
associated with specific functions, we used the number of datasets with a consistent increase to run
GSEA. Since we are running GSEA using number of datasets showing consistency, our data includes
many ties, potentially making the ranking ambiguous and non-robust. In order to assess how robust our
results are, we ran GSEA 1,000 times on the same data and counted how many times we observed the
same set of KEGG pathways as significant (Supplementary Table S3). The lowest number among the
pathways with a significant positive enrichment score was 962 out of 1,000 (Phospholipase D signaling
pathway). Moreover, we repeated the same analysis using the heterogeneity change levels (ρ), instead
of using the number of datasets with a consistent change, for each dataset to confirm the gene sets are
indeed associated with the increase or decrease in heterogeneity (Supplementary Figs. S15-S19). We
visualized the KEGG pathways using 'KEGGgraph' library in R and colored the genes by the number
of datasets that show an increase.

We also performed an enrichment analysis of the transcription factors and miRNA to test if specific TFs

or miRNAs regulate the genes that become more heterogeneous consistently. We collected gene-

regulator association information using the Harmonizome database[60], "MiRTarBase microRNA Targets"

(12086 genes, 596 miRNAs) and "TRANSFAC Curated Transcription Factor Targets" (13216 genes,

201 TFs) sets. We used the 'fgsea' package in R, which allows GSEA on a custom gene set. We tested

the association for each regulator with at least 10 and at most 500 targets. Moreover, we tested if the

number of regulators is associated with the change in heterogeneity. We first calculated the correlation

between heterogeneity change with age (or the number of datasets with an increase in expression

heterogeneity) and the number of TFs or miRNAs regulating that gene, for aging and development

separately. We repeated the analysis while accounting for the direction of expression changes in these

periods (*i.e.* separating genes into down-down, down-up, up-down, and up-up categories based on their

expression in development and aging, Supplementary Fig. S21). To test the difference in the

correlations between aging and development, we used 1,000 random permutations of the number of

TFs. For each permutation, we randomized the number of TFs and calculated the correlation between

heterogeneity change (or the number of datasets with an increase in heterogeneity) and the randomized

numbers. We then calculated the percentage of datasets where aging has a higher correlation than

development. Using the distribution of percentages, we tested if the observed value is expected by

chance.

Protein-protein interaction network analysis

We downloaded all human protein interaction data from the STRING database (v11)[38]. Ensembl

Peptide IDs are mapped to Ensembl Gene IDs using the "biomaRt" package in R. Here we aimed to

test whether genes showing consistent increase in heterogeneity have a different number of interactors

than other genes. For this we calculated the degree distributions for the genes that become consistently

more heterogeneous with age and all remaining genes using different cutoffs for interaction confidence

scores. In order to calculate the significance of the difference, we i) calculated the number of interactors

(degree) for each gene, ii) for 10,000 times, randomly sampled k genes from all interactome data (k =

number of genes that become heterogeneous with age across all datasets and have interaction

information in STRING database, after filtering for a cutoff), iii) calculated the median of degree for each

sample. We then calculated an empirical *p*-value by asking how many of these 10,000 samples we see

a median degree that is equivalent to or higher than our original value. The number of genes and

interactions after each cutoff are given in Supplementary Figure S22.

Cell-type specificity analysis

Using FACS-sorted cell-type specific transcriptome data from the mouse brain[40], we checked if there is

any overlap between genes that become heterogeneous with age and cell-type specific genes. We

downloaded the dataset from the GEO database (GSE9566) and preprocessed it by performing: i) RMA

correction using the 'affy' package in R[61], ii) log2 transformation, iii) quantile normalization using the

'preprocessCore' package in R[55], iv) mapping probeset IDs to first mouse genes, and then human
genes. We only included genes that have one to one orthologs in humans, after filtering out probesets
that map to multiple genes. We defined cell-type specific genes by calculating the effect size (Cohen's
D) for each gene and cell type and identifying genes that have an effect size higher than or equal to 2
as specific to that cell type. At this cutoff, there was no overlap between cell type specific gene lists. To
test for association between heterogeneity and cell type specificity, we used the Fisher's exact test
using the R 'fisher.test' function.

Code Availability

All analysis was performed using R and the code to calculate heterogeneity changes with age is available as an R package 'hetAge', documented at https://mdonertas.github.io/hetAge/. "ggplot2"[62] and "ggpubr"[63] R libraries were used for the visualization.

Data availability

We performed re-analysis of the raw data that we downloaded from the GEO database (GSE30272, GSE25219, GSE22569, GSE18069) and GTEx data portal. All results generated in this study are available as Supplementary Tables and all summary statistics are available in the BioStudies database (http://www.ebi.ac.uk/biostudies) under accession number S-BSST273.

Author Contributions

H.M.D. conceived and designed the study with the contributions from M.S., and J.M.T.. U.I. and H.M.D. analyzed the data. U.I. and H.M.D. interpreted the results and wrote the manuscript with the contributions from M.S. and J.M.T. All authors read, revised and approved the final version of this manuscript.

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Competing Interests

The authors declare no competing interests.

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