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Transcriptomic responses to warming and cooling of an Arctic tundra soil microbiome 2

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26 Abstract

27	Background: Arctic surface soils experience pronounced seasonal changes in temperature
28	and chemistry. However, it is unclear how these changes affect microbial degradation of
29	organic matter, nitrogen cycling and microbial stress responses. We combined measurements
30	of microbiome transcriptional activity, CO ₂ production, and pools of carbon and nitrogen to
31	investigate the microbial response to warming in the laboratory, from -10 °C to 2 °C, and
32	subsequent cooling, from 2 °C to -10 °C, of a high Arctic tundra soil from Svalbard, Norway.
33	Results: Gene expression was unaffected by warming from -10 °C to -2 °C and by cooling
34	from -2 °C to -10 °C, while upon freezing (2 °C to -2 °C) a defense response against oxidative
35	stress was observed. Following modest transcriptional changes one day after soil thaw, a more
36	pronounced response was observed after 17 days, involving numerous functions dominated
37	by an upregulation of genes involved in transcription, translation and chaperone activity.
38	Transcripts related to carbohydrate metabolism and degradation of complex polymers (e.g.
39	cellulose, hemicellulose and chitin) were also enhanced following 17 days of soil thaw, which
40	was accompanied by a four-fold increase in CO ₂ production. In addition, anaerobic
41	ammonium oxidation and turnover of organic nitrogen were upregulated. In contrast,
42	nitrification, denitrification and assimilatory nitrate reduction were downregulated leading to
43	an increase in the concentration of soil inorganic nitrogen.
44	Conclusion: the microorganisms showed negligible response to changes in sub-zero
45	temperatures and a delayed response to thaw, which after 17 days led to upregulation of soil
46	organic matter degradation and enhanced CO ₂ production, as well as downregulation of key
47	pathways in nitrogen cycling and a concomitant accumulation of inorganic nitrogen available
48	for plants.
10	

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- 50 Keywords: active layer permafrost, lignocellulose degradation, metatranscriptomics,
- 51 microbial stress response, nitrogen cycling, soil freezing, soil thawing

53 Background

54 Permafrost soil systems cover ~20 % of the non-glaciated land surface in the Northern 55 Hemisphere (Zhang et al., 1999). These northern soils are currently affected by larger 56 temperature increases than lower latitude soils (Duarte et al., 2012) and this is predicted to 57 continue during future climate change (Pachauri et al., 2014), especially during winter 58 (Collins et al., 2013). Due to enhanced warming, permafrost soil is thawing and the 59 seasonally thawed active layer soil on the surface has deepened over the past decades 60 (Elberling et al., 2013) and this will likely worsen in the future (Anisimov et al., 1997; 61 Lawrence & Slater, 2005). Permafrost soils contain ca. 1700 Pg organic carbon (Tarnocai, 62 2009) with the majority found in the upper soil profile (Hugelius et al., 2014). Parts of this 63 frozen carbon pool might be transformed to greenhouse gasses by a high diversity of 64 microorganisms (Jansson & Tas, 2014; Mackelprang et al., 2016) when permafrost soils thaw 65 and become active layer soils (Schuur et al., 2015). In addition, nitrogen cycling in active 66 layer soil may be affected by warming (Blok et al., 2018; Phillips et al., 2019). Most Arctic 67 terrestrial ecosystems are characterized by nitrogen limitation (Tamm, 1991; Elser et al., 2007) and generally receive low amounts of atmospheric nitrogen deposition (<2 kg N ha⁻¹ 68 69 y^{-1}) (Dentener et al., 2006). Not only transformation of soil organic matter (Chen et al., 70 2014), but also plant growth is closely linked to soil nutrient availability, and the current 71 'greening' of the Arctic (Elmendorf et al., 2012) may over time be slowed down by limited 72 plant-available soil nitrogen. Thus, the transition from permafrost to active layer soil and the 73 projected increase in active layer soil temperature in coming decades may be involved in 74 several feedbacks on global warming (Pachauri et al., 2014), notably through changes in 75 carbon and nitrogen cycling processes.

76	Active layer soils are highly dynamic environments with large annual amplitudes in
77	temperature as well as water and nutrient availability. Future increases in temperature and
78	reductions in snowfall will not only affect soil temperature and its variability/stability, but
79	also the number of freeze-thaw cycles for surface soils (Williams et al., 2015), which
80	influence microbial activity and survival (Buckeridge et al., 2013), and hence, greenhouse gas
81	emission rates (Priemé et al., 2001). Despite sub-zero temperatures, low water and nutrient
82	availability, and freeze-thaw cycles during winter, a high diversity of microorganisms can be
83	found in active layer soils (Chu et al., 2010; Tveit et al., 2013; Schostag et al., 2015).
84	Microbial activity in permafrost soil was observed down to -39 $^{\circ}$ C (Panikov et al., 2006) and
85	degradation of cellulose at -4 °C (Segura et al., 2017), while growth of bacteria isolated from
86	active layer soil was recorded at -15 °C (Mykytczuk et al., 2013) and DNA replication at -20
87	°C (Tuorto et al., 2014). Microbial activity at these low temperatures involves a wide range of
88	molecular mechanisms including stress responses (D'Amico et al., 2006; Bakermans et al.,
89	2012). Stress protection is costly to microorganisms and a trade-off exists between stress
90	response and non-stress related activities (Hõrak & Tamman, 2017). Thus, microorganisms
91	are known to downregulate many non-stress genes as part of their stress response (Horn et al.,
92	2007) and this may influence, e.g., the initiation of soil organic matter transformation and
93	cycling of inorganic nitrogen following thaw of active layer soils.
94	Although a few metagenomic studies have highlighted potential microbial metabolic
95	pathways and survival mechanisms at low temperatures in permafrost and active layer soil
96	(Yergeau et al., 2010; Mackelprang et al., 2011; Lipson et al., 2013), we still lack information
97	on actual activity patterns showing which genes are expressed under these conditions.
98	Furthermore, interpretation of DNA-based data is hampered by the persistence of extracellular
99	DNA in cold soils (Willerslev et al., 2004). Indeed, up to 40 % of DNA isolated from surface

100	soil is extracellular or from cells that are no longer intact, hence their DNA-based signal in
101	cold soils might not be correlated to actual microbial activity (Carini et al., 2016). To our
102	knowledge, only two studies have investigated gene expression in soil from cold
103	environments at sub-zero temperatures using mRNA-based techniques (Coolen & Orsi, 2015;
104	Hultman et al., 2015). Hultman et al. (2015) used a multi-omics approach and reported that
105	cold shock protein genes were transcribed at a higher abundance in the colder permafrost
106	compared to the warmer active layer. This study only involved a single time point under
107	frozen conditions, whereas (Coolen & Orsi, 2015) compared gene transcription at a single
108	time point before and one after thawing permafrost soil, revealing an enhanced transcription
109	of genes related to DNA repair functions and enhanced biofilm formation in frozen soil as
110	compared to after thawing.
111	At present, the soil microbial responses to i) temperature change at sub-zero temperature, ii)
112	thawing, and iii) freezing are not fully understood. Thus, we conducted a laboratory study
113	simulating a short Arctic spring, summer and autumn, where we investigated the microbial
114	expression of mRNA, CO ₂ production, and soil carbon and nitrogen pools at different time
115	points during warming of a frozen active layer soil followed by thawing, re-freezing and
116	cooling. We hypothesized that microbial transcription of genes involved in i) degradation of
117	soil complex organic matter (e.g. lignocellulose and chitin), ii) mineralization of soil organic
118	nitrogen, and iii) cycling of inorganic soil nitrogen, will all increase during warming,
119	especially upon soil thaw, and subsequently decrease during re-freezing. We also
120	hypothesized that transcription of genes involved in microbial stress responses increases upon
121	iv) thawing and v) freezing, and that vi) thaw-induced microbial stress responses postpone
122	transcription of non-stress related genes.
123	

124 **Results**

125 Soil parameters, CO₂ production and RNA concentration

- 126 The incubation temperature and sampling points are depicted in Fig. 1. Soil characteristics
- 127 measured prior to incubation is in Supporting Information Table S1, while data on soil
- 128 chemical parameters measured during the experiment are in Table 1. pH was within 7.4 and
- 129 7.6 during the experiment. DOC and DON declined markedly (P < 0.05) when soil
- 130 temperature was above zero, while nitrate concentration increased between $W_{2^\circ C}$ and $C_{2^\circ C}$ (P
- $131 \qquad < 0.05) \ \text{before receding to the initial level. Ammonium concentration increased between $C_{2^\circ C}$}$
- and C_{-6°C} (P < 0.05). Carbon dioxide production rates increased significantly (P < 0.05) from
- 133 $66 \pm 15 \text{ ng CO}_2 \text{ g}^{-1} \text{ dwt soil } \text{h}^{-1} \text{ (average } \pm \text{ standard error of the mean, n = 4) at W_{-6^\circ C} \text{ to } 105 \pm 105 \text{ s}^{-1} \text{ dwt soil } \text{h}^{-1} \text{ (average } \pm \text{ standard error of the mean, n = 4) at } W_{-6^\circ C} \text{ to } 105 \pm 105 \text{ s}^{-1} \text{ dwt soil } \text{ h}^{-1} \text{ (average } \pm \text{ standard error of the mean, n = 4) } \text{ at } W_{-6^\circ C} \text{ to } 105 \text{ s}^{-1} \text{ dwt soil } \text{ h}^{-1} \text{ dwt soil } \text{$
- 134 16 ng CO₂ g^{-1} dwt soil h^{-1} at $W_{2^{\circ}C}$ and 423 \pm 5 ng CO₂ g^{-1} dwt soil h^{-1} at $C_{2^{\circ}C}$. With a methane
- uptake of 9.6 pg CH₄ g⁻¹ dry soil h⁻¹ at $W_{2^{\circ}C}$, the soil changed from a net methane sink to a net
- source of methane at $W_{2^{\circ}C}$ with emission of 13.1 pg CH₄ g⁻¹ dry soil h⁻¹. Only negligible rates
- 137 of nitrous oxide emissions were observed. Total RNA concentration after DNase treatment
- 138 was similar among all samples (ANOVA with a post-hoc Tukey's HSD correction test; P >
- 139 0.05; see Schostag et al., 2019).

140

141 Sequencing results

An average (\pm standard error of the mean) of 35 ± 1.5 million reads per sample (forward and reverse) was obtained from the sequencing. After quality trimming and rRNA removal, the number of reads per sample was reduced to an average of 1.65 ± 0.08 million, representing 4.7 ± 0.12 % of the initial reads. The annotation resulted in an average match of $15,667 \pm 953$ reads per sample when aligning to the eggNOG database, $53,299 \pm 3,334$ reads per sample to CAZy database, and $13,616 \pm 793$ reads per sample to NCycDB, which represented 2.6 %,

148	8.7 % and 2.2 % of the potential mRNA reads, respectively. The stats for each step from
149	sorting, quality filtering to annotation across each sample are provided in Supporting
150	Information Table S2.

152 **Overall responses to warming and cooling**

153 The constrained analysis (BGA) grouping replicates under Monte-Carlo simulation revealed a 154 significant, non-random distribution of the groups for the eggNOG, CAZy and NCycDB data $(P < 10^{-6}$ for all three data sets), see Fig. 2. The first component of the BGA explained 74.2 % 155 156 of the variance in the eggNOG data and clearly segregated the warming from the cooling 157 samples, while the second component explained 9.1 % of the variance and separated C_{2°C} 158 from the other cooling samples (Fig. 2A). A similar separation was found for the CAZy and 159 NCycDB data where the first component explained 81.6 % and 80.4 %, respectively, of the 160 variance and clearly segregated the warming from the cooling samples, while the second 161 component explained 8.0 % and 8.8 %, respectively, of the variance and revealed separation 162 of $C_{2^{\circ}C}$ from the other cooling samples (Figs. 2B and 2C). This observation was confirmed by 163 PERMANOVA on Euclidian distance, where 53.9 %, 68.3 % and 58.8 % of the variance was 164 attributed to the treatment (warming samples versus cooling samples, $P < 10^{-6}$) in eggNOG, 165 CAZy and NCycDB profiles, respectively. The changing temperature (i.e. warming from -10 166 °C to 2 °C and cooling from 2 °C to -10 °C) did not show a significant effect, representing 6.3 167 %, 4.1 % and 5.1 % of the variance in eggNOG, CAZy and NCycDB profiles, respectively. 168 169 General transcript annotation - alignment against M5nr database and eggNOG

170 annotation

171	In the total dataset, we detected 652 annotated functions when aligning against the M5nr
172	database and annotating using eggNOG databases. Analysis of differential gene expression
173	was performed using the DESeq2 pipeline with pairwise comparisons between all the
174	samples. The pairwise comparison between the sub-zero samples during the warming or
175	cooling phases revealed only a single eggNOG function that was differentially up or
176	ddownregulated (Table 2). Thus, we decided to combine the two sets of sub-zero samples and
177	perform only three pairwise comparisons, which involved i) all sub-zero warming samples vs.
178	$W_{2^{\circ}C}$, ii) $W_{2^{\circ}C}$ vs. $C_{2^{\circ}C}$, and iii) $C_{2^{\circ}C}$ vs. all sub-zero cooling samples. A complete list of all
179	gene functions that were significantly up or downregulated at steps i-iii can be found in
180	Supporting Information Data Sheets S1–S3. When comparing all sub-zero warming samples
181	with $W_{2^\circ C}$, 20 out of 652 annotated eggNOG functions encompassing 1.4 % of the total read
182	count (TRC) of mRNA reads were significantly upregulated, while two (representing 0.77 $\%$
183	of TRC) were downregulated.
184	The largest change in expression pattern occurred during the 16 days at 2 °C. Between these
185	two sampling points, a total of 154 (5.7 % of TRC) and 41 (5.6 % of TRC) annotated
186	functions were significantly up and downregulated, respectively. When comparing $C_{2^\circ C}$ with
187	all other cooling samples 52 (2.3 % of TRC) and 11 (2.5 % of TRC) annotated functions were
188	significantly up or downregulated, respectively.
189	A selection of gene groups with significantly up or downregulated functions annotated in
190	eggNOG is presented in Fig. 3. After 16 days at 2 °C, we observed a pronounced increase in
191	transcripts involved in production of enzymes compared to the frozen state, i.e. transcripts
192	related to transcription (level 2 category K), translation (level 2 category J), and molecular
193	chaperones (level 3 categories COG0071, COG0234, COG0459, and COG0542) (Fig. 3C, D,
194	H). This pattern was also observed for cold shock proteins (level 3 category COG1272) (Fig.

195	3G), genes involve	ed in prokaryotic	motility (level 2	category N)	(Fig. 3E), as	well as the level
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- 196 2 categories B (Chromatin structure and dynamics), D (Cell cycle control, cell division,
- 197 chromosome partitioning), F (Nucleotide transport and metabolism), and U (Intracellular
- 198 trafficking, secretion, and vesicular transport), while categories L (Replication, recombination
- and repair) and V (Defense mechanisms) decreased (data not shown).
- 200 Following sample freezing, the expression of level 2 categories N (Cell motility) (Fig. 3E), B
- 201 (Chromatin structure and dynamics) and C (Energy production and conversion) decreased,
- 202 while expression of genes involved in defense against oxidative stress (level 3 categories
- 203 COG0753 [catalase] and COG0783 [DNA-binding ferritin-like protein (oxidative damage
- 204 protectant)]) increased markedly (Fig. 3F).
- 205 It should be noted that none of the transcripts annotated in the eggNOG database were related
- to key genes involved in lignocellulose degradation, inorganic nitrogen cycling or methane
- 207 cycling *i.e.* genes encoding cellulases, hemicellulases and enzymes with lignolytic activity,

208 and nifH (nitrogen fixation), amoA (nitrification), norB, norC, nirK, nirS, nosZ

- 209 (denitrification), *mrcA* (methane production), and *pmo* (methane oxidation).
- 210

Annotation of transcripts related to degradation of lignocellulose - alignment against CAZy database

- 213 When aligning against the CAZy database, we detected a total of 1648 annotated functions (a
- 214 complete list of all gene functions can be found in Supporting Information Data Sheet S4).
- 215 Transcripts assigned to CAZy Auxilliary Activity Family 1 or 2 (Levasseur et al., 2013) were
- 216 related to enzymes with lignolytic activity, e.g. laccases, manganese peroxidases and lignin
- 217 peroxidases. The abundance of these transcripts decreased following soil thawing and
- 218 freezing (Fig. 4B). Transcripts assigned to Auxilliary Activity Family 9 or 10 were encoding

219	lytic polysaccharide monooxygenases involved in lignocellulose and chitin degradation
220	(Vaaje-Kolstad et al., 2010; Johansen, 2016) [no reads were assigned to Auxilliary Activity
221	Family 11 and 13], while transcripts assigned to CAZy glycoside hydrolase (GH) families
222	GH5, GH6, GH9, GH44, GH45 and GH48 were presumed to encode cellulases, GH8, GH10,
223	GH11, GH12, GH26, GH28 and GH53 were presumed to encode hemicellulases, and GH18
224	and GH19 were presumed to encode chitinases. In contrast to the transcripts related to
225	lignolytic activity, the abundance of these four functional groups increased significantly
226	during the 16 days at 2 °C (Figs. 4A, C, D, and Supplementary Information Fig, S1).
227	
228	Annotation of transcripts related to nitrogen cycling - alignment against NCycDB
229	database
230	We detected a total of 233 annotated functions when aligning to the NCycDB database (a
231	complete list of all gene functions can be found in Supporting Information Data Sheet S5).
232	First, we investigated the response of the main soil nitrogen cycling pathways and, second, we
233	investigated key gene families involved in soil nitrogen cycling. The major response of
234	pathways related to nitrogen cycling occurred during the 16 days at 2 °C, when the relative
235	abundance of transcripts related to 'Organic degradation and synthesis' and anaerobic
236	ammonium oxidation (anammox) increased ($P < 0.05$), and transcripts related to assimilatory
237	nitrate reduction, denitrification, and nitrification decreased ($P < 0.05$) (Fig. 5). We found no
238	significant changes to transcripts assigned to nitrogen fixation. Several key nitrogen cycling
239	gene families showed a change ($P < 0.05$) in the relative abundance of transcripts during the
240	16 days at 2 °C (Fig. 6). Thus, we observed a decrease in archaeal (but not bacterial) amoA,
241	and denitrifier $narG$ and $nirK$. In addition, we observed a response at the onset of freezing
242	(between $C_{2^{\circ}C}$ and $C_{2^{\circ}C}$) of several denitrifier transcripts (representing <i>narG</i> , <i>nirK</i> , <i>nirS</i> and

247	Discussion
246	
245	16 days at 2 °C (Fig. 6C).
244	<i>pmoA</i> , <i>pmoB</i> and <i>pmoC</i> . Transcripts assigned to these genes decreased ($P < 0.05$) during the
243	<i>norB/C</i>). The NCycDB also includes genes encoding particulate methane monooxygenase,

248 To the best of our knowledge, this study was the first to investigate microbial gene expression 249 during warming and subsequent cooling of an Arctic soil at several sub-zero temperatures and 250 following freezing. Within both the warming and the cooling phase, gene expression was 251 hardly affected by temperature change under frozen conditions. Also, we observed only 252 moderate changes in gene transcription following transition between frozen (-2 °C) and 253 thawed (+2 °C) states. However, following 16 days at 2 °C, we observed major transcriptional 254 changes of genes involved in protein production, lignocellulose degradation, and nitrogen

255 cycling.

256

257 Transcription related to carbon cycling

258 We observed no changes in transcription of genes related to carbon metabolism during 259 warming from -10 °C to 2 °C. However, between $W_{2^{\circ}C}$ and $C_{2^{\circ}C}$, we found an increase in 260 transcription of genes in the eggNOG categories 'Carbohydrate transport and metabolism' 261 and 'Energy production and conversion' as well as in CAZy functions related to degradation 262 of lignocellulose and chitin. In accordance with the increase in the categories 'Carbohydrate 263 transport and metabolism' and 'Cell motility' [reflecting enhanced bacterial and archaeal 264 ability to access carbon and nutrient sources in the unfrozen soil], the concentration of 265 dissolved organic carbon (DOC) decreased during the 16 days at 2 °C. The DOC pool mainly 266 represents easily degradable and accessible organic matter. Thus, the DOC made available to

microorganisms upon soil thaw may have contributed to initiate microbial activity and may
have 'kick started' the breakdown of more complex/recalcitrant soil organic carbon (Coolen
et al., 2011).

270 Complex soil organic carbon mainly consists of residues originating from plants or fungi 271 (Clemmensen et al., 2013) and changes to the degradation of these residues in active layer 272 soils are of great concern as temperatures in the Arctic are increasing (Schuur et al., 2008). 273 We did not detect any transcripts related to degradation of plant and fungal polymers after 274 going through all the annotated functions in the eggNOG dataset. Likewise, Coolen and Orsi 275 (2015) did not detect hemicellulase, cellulase or laccase related transcripts before and after 276 thawing of permafrost soil, while in an active layer peat soil from Svalbard, Tveit et al. (2014) 277 assigned 0.02 - 0.08 % of transcripts to hemicellulase and cellulase genes. Depending on the 278 database and workflow used we were only able to assign functions to 2.6 % of the filtered 279 putative mRNA reads using the M5nr database and eggNOG annotation, while Tveit et al. 280 (2014) were able to annotate 8 - 16 % of the putative mRNA reads matching the RefSeq 281 database, and similar studies of a temperate forest soil assigned 28 % of all predicted coding 282 regions to functional categories (Žifčáková et al. 2016) and of a temperate soil 283 contaminated with copper assigned less than 9% of the reads (Jacquiod et al., 2019). 284 Our low annotation rates were likely partly due to limitations of the database when working 285 with (Arctic) soils and partly to our stringent bioinformatic pipeline filtering less abundant 286 contigs and potential non-coding RNAs. However, the pipeline adds more confidence to the 287 annotation output (Anwar et al., 2019). 288 In contrast, annotation using CAZy revealed many transcripts involved in lignocellulose 289 degradation. This was probably related to database specialization as CAZy is a well-curated

and small database (database size influences the expected number of chance high-scoring

291	segments and, hence, e-values), which uses more sensitive hidden Markov modelling for
292	annotation. The increase in transcripts assigned by CAZy to encode lytic polysaccharide
293	monooxygenase, cellulose, hemicellulose and chitinase genes at $C_{2^\circ C}$ indicates that soil thaw
294	enhances degradation of soil organic polymers of plant or fungal origin. A pronounced
295	response to thawing was associated with lytic polysaccharide monooxygenases, which are
296	produced by numerous fungi and bacteria (Johansen, 2016). They constitute the first wave of
297	attack on the most recalcitrant natural polysaccharides and initiate degradation of
298	lignocellulose (Johansen, 2016) and chitin (Vaaje-Kolstad et al., 2010). Thus, lytic
299	polysaccharide monooxygenases are secreted relatively early in the degradation process,
300	while cellulases only appear at elevated levels later in the degradation process (Navarro et al.,
301	2014).
302	The initiation of chitin, cellulose and hemicellulose gene transcription happened within 17
303	days of soil thawing and the resulting enzymes may have contributed to the observed increase
304	in CO ₂ production. The soil microorganisms degrading lignocellulose and chitin seem to be
305	able to respond to thaw within days and this response rate has implications for our
306	understanding of CO ₂ emission from tundra soils. The microbial degraders of complex soil
307	organic matter may be able to make the most of short summers and of freeze-thaw cycles that
308	result in unfrozen conditions for days or weeks during autumn, winter and spring.
309	In contrast, transcripts related to lignolytic gene activity decreased markedly upon soil thaw.
310	This was likely caused by a decrease in fungal activity as the number of fungal 18S rRNA
311	gene transcripts dropped significantly following thaw in the same experimental setup
312	(Schostag et al., 2019). Fungi play a substantial role in the degradation of lignin in soils (Boer
313	et al., 2005), including Arctic soils (Rinnan and Baath, 2009), but our experimental setup
314	involving soil homogenization may have disrupted the activity of hyphae-forming fungi with

315 lignin-degrading capabilities.

316	Transcripts of genes encoding particulate methane monooxygenase responsible for oxidation
317	of methane at low concentration also decreased markedly from $W_{2^\circ C}$ to $C_{2^\circ C}$, which coincided
318	with a shift in methane emission as the soil changed from a (small) net sink to a (small) net
319	source of methane. The bacterial taxa performing methane oxidation in soils are generally
320	slow growing (Islam et al., 2015) and we hypothesize that they were not able to outgrow the
321	intense grazing by fast-growing protozoa initiated by soil thaw (Schostag et al., 2019) [the
322	increase in the eggNOG category 'Chromatin structure and dynamics' likely reflects an
323	increase in protozoan activity] leading to a decrease in numbers of methanotrophic bacteria.
324	This may influence the ability of methanotrophic bacteria following spring thaw to oxidize
325	atmospheric methane and methane emitted from deeper soil strata when these thaw.
326	
00-	

327 Transcription related to nitrogen cycling

328 Arctic plants and soil microorganisms are often limited by nitrogen availability (Elser et al., 329 2007) and the Arctic receives low rates of atmospheric nitrogen deposition (Dentener et al., 330 2006). This makes fixation of atmospheric nitrogen by cyanobacteria, either free-living or 331 associated with mosses or lichenized fungi, the most important source of nitrogen to 332 terrestrial Arctic ecosystems (Reed et al., 2011). We observed no transcriptional changes and 333 only rather few transcripts related to nitrogen fixation. This may partly be explained by our 334 experimental procedure, which excluded soil surface- and moss-associated cyanobacteria 335 (mosses and the upper 1 cm of soil were removed) and included incubation in darkness. In 336 addition, no leguminous plants are found at the site where the soil was sampled. This leaves 337 few possibilities for nitrogen fixation in our experimental steup, being either via 338 cyanobacteria or rhizobia.

339 Similar to transcripts related to carbon cycling, nitrogen cycling transcripts revealed the most 340 pronounced changes following 17 days of thaw. The increase in transcripts involved in 341 nitrogen-related 'organic degradation and synthesis' indicates i) enhanced degradation of 342 nitrogen-containing organic matter as it coincided with the decrease in concentration of 343 dissolved organic nitrogen (Tab. 1) and the increase in carbon cycling (Figs. 3A, 4A, C, D), 344 and/or ii) enhanced synthesis of proteins and other nitrogen-containing compounds as the 345 increase also coincided with that of protein production (Figs. 3C, D). 346 The pools of dissolved organic nitrogen and inorganic nitrogen showed pronounced changes 347 during the experiment. The concentration of dissolved organic nitrogen decreased after 16 348 days at 2 °C likely due to microbial uptake and degradation leading to ammonification. 349 Ammonification in combination with decreased nitrification led to an increase in soil 350 ammonium concentration. Because transcription of genes assigned to nitrification decreased, 351 the increase in nitrate concentration likely link to the decrease in transcripts assigned to 352 nitrate-consuming processes, i.e. assimilatory nitrate reduction and denitrification. In Arctic 353 soils, the former has been suggested to be more important for microbial nitrate consumption 354 compared to denitrification (Taş et al., 2018), which was corroborated by the much larger 355 number of transcripts assigned to assimilatory nitrate reduction compared to denitrification in 356 our soil. 357 Archaeal *amoA* transcripts outnumbered bacterial *amoA* more than ten-fold when the soil 358 thawed, and this dominance of archaeal ammonia-oxidizing transcriptional activity over 359 bacterial is in accordance with other studies (Leininger et al., 2006; Alves et al., 2013; Feld et 360 al., 2015). While the number of bacterial *amoA* transcripts was stable during the experiment, 361 the number of archaeal *amoA* declined markedly following 16 days at 2 °C. The relative 362 increase of bacterial nitrifiers over archaeal nitrifiers during the short Arctic summer before

363	refreezing is likely due to the presence of released nutrients from dead organisms. In Feld et
364	al. (2015), we previously found that archaeal amoA expression benefits less from release of
365	nutrients in a soil that was fumigated compared to bacterial amoA expression. Nitrifying
366	archaea are generally slow growing organisms (Könneke et al., 2005; Tourna et al., 2011) and
367	in line with the discussion above on methane-oxidizing bacteria, we hypothesize that the
368	nitrifying archaea were not able to outgrow the intense grazing by protozoa. Ammonium
369	concentration increased substantially during the experiment and nitrifying bacteria are
370	adapted to higher ammonium concentration compared to nitrifying archaea (Martens-Habbena
371	et al., 2009). However, ammonium concentration in our samples was low in comparison to
372	other Arctic soils (e.g. Alves et al. [2013] and Osborne et al. [2016]) and apparently not
373	sufficiently high to enhance bacterial nitrifying activity following soil thaw. The decline in
374	the activity of ammonia-oxidizing archaea following thaw may lower the potential nitrogen
375	loss mediated by denitrification and leaching of nitrate and, hence, enhance availability of
376	inorganic nitrogen to plants at the onset of the plant-growing season.
377	In contrast to nitrification, the number of transcripts assigned to anaerobic ammonium
378	oxidation (anammox) increased following 16 days at 2 °C. This increase in anammox
379	transcripts is dramatic considering the slow growth rate of these organisms (Kartal et al.,
380	2013) and may be caused by increased ammonium and nitrite concentrations (the latter was
381	not measured during the experiment). Little is known about anammox in Arctic soils, and the
382	large increase in transcript numbers indicate that this process may be a hitherto overlooked
383	source of nitrogen loss from Arctic soils.
384	Taş et al. (2018) found a high abundance of nitrifying organisms and a low genomic potential
385	for denitrification across Arctic polygonal tundra soils. In contrast, we found a more than ten-

386 fold higher abundance of transcripts assigned to denitrification compared to nitrification

387	indicating a higher relative abundance of denitrification in our soil. Despite a build-up of soil
388	nitrate between $W_{2^\circ C}$ and $C_{2^\circ C}$, the number of denitrification-associated transcripts decreased.
389	This may be caused by the decrease in DOC concentration leading to enhanced competition
390	from other heterotrophic bacteria and/or by changes in soil oxygen status (which we did not
391	measure). In contrast to the other nitrogen and carbon cycle pathways, the number of
392	transcripts assigned to the different steps in the denitrification pathway changed upon re-
393	freezing (between $C_{2^{\circ}C}$ and $C_{-2^{\circ}C}$). Thus, the number of transcripts encoding nitrate and nitrite
394	reductases increased, while a decrease was observed for nitric oxide reductase transcripts.
395	Two different nitrite reductases are known, NirK encoded by <i>nirK</i> and NirS encoded by <i>nirS</i> .
396	Individual denitrifying bacteria and archaea are only known to produce one of these
397	reductases, as no denitrifying organism has been found that produces both. Strikingly, the
398	number of transcripts assigned to <i>nirK</i> decreased upon re-freezing, while the number for <i>nirS</i>
399	increased indicating an undescribed difference in the transcription of the genes encoding the
400	two nitrite reductases e.g. in response to low temperature (Holtan-Hartwig et al., 2002), low
401	water availability, and/or changes in oxygen status (Bakken et al., 2012).
402	The number of transcripts assigned to nosZ encoding the catalytic unit of nitrous oxide
403	reductase was markedly lower than the number of transcripts assigned to the genes encoding
404	the three other steps in the denitrification pathway. However, it is not possible to translate
405	transcript numbers to enzyme activity, e.g. posttranscriptional assembly of nosZ is inhibited
406	by low pH (Liu et al., 2014), and the low number of $nosZ$ transcripts did not lead to high N ₂ O
407	emission rates. Throughout the experiment, the soil showed negligible net emission rates of
408	N_2O , which is common for Arctic soils (Christensen, 1999) probably because they are poised
409	for nitrogen assimilation and not to release gaseous nitrogen compounds (Taş et al., 2018)
410	reflecting a nitrogen-poor environment.

412 **Post-thawing stress responses**

413 Only transcription of genes assigned to thirteen annotated eggNOG, one CAZy and four 414 NCycDB functions were significantly up or downregulated one day after thawing. One of the 415 initial responses to thaw was a possible stress response involving an increase in transcripts 416 related to putative molecular chaperones, i.e. chaperonin GroEL (HSP60 family), that assist 417 correct folding of proteins. In addition, the eggNOG category 'Defence mechanisms' 418 decreased between W_{2°C} and C_{2°C}. These represent a modest response to soil thaw compared 419 to other studies (Mackelprang et al., 2011; Coolen & Orsi, 2015) and probably reflects the 420 shorter time span between thawing and sampling in our experiment and our more robust 421 annotation protocol (Anwar et al., 2019). The modest response to thaw indicates a lag phase 422 longer than one day, which we initially hypothesized to be caused by the microorganisms 423 focussing on downregulating non-stress genes as part of their stress response (Horn et al., 424 2007). However, we found little evidence that the stress response related to chaperone 425 production caused a downregulation of non-stress related genes, as only five eggNOG and a 426 single NCycDB function were downregulated one day after thaw. 427 The modest stress response may enable the microorganisms to focus on non-stress functions 428 such as obtaining energy and nutrients from degradation of soil lignocellulose and chitin. 429 Thus, the enhanced production of chaperones preceded a substantial increase in transcription 430 of numerous genes following an additional 16 days at 2 °C, when 30 % of the annotated 431 eggNOG functions were either significantly up or downregulated. A large fraction of the 432 upregulated functions were related to transcription and translation, which was also observed 433 in permafrost soil thawed for 11 days at 4 °C (Coolen & Orsi, 2015). Even though ribosomal 434 numbers cannot be directly linked to an increase in microbial activity (Blazewicz et al., 2013),

435	the fourfold increase in CO_2 production rates during the 16 days at 2 °C indicates enhanced
436	microbial activity. We think that the enhanced emission of CO ₂ was not due to release of
437	previously trapped gas in ice, as the emission rate was measured 17-18 days after thaw and
438	other experiments in our lab indicate that trapped CO ₂ is emitted from frozen soil within a
439	few days of soil thaw (unpublished data).
440	The increase in transcripts related to translational activity also included molecular chaperones
441	and 'Cold shock proteins'. Chaperones have been found at high relative abundance in soil
442	metaproteomic studies (Williams et al., 2010; Zampieri et al., 2016) and up to 60 % of
443	identified proteins in an Alaskan active layer soil matched chaperones (Hultman et al., 2015).
444	In our study, 'Cold shock proteins' were not induced upon or during freezing as has been
445	observed before (Piette et al., 2011), but only following the 17-day period of stable
446	temperature above freezing. Thus, the 'Cold shock proteins' were not part of a cold shock
447	response sensu stricto, but were likely assisting protein folding as new proteins were
448	produced or the changes in environmental conditions activated previously produced proteins.
449	It has been suggested that changes in chaperone activity might be a direct microbial response
450	to environmental fluctuations that have an effect on protein stability (Feder & Hofmann,
451	1999).

453 Stress responses to re-freezing

454 Compared to permafrost soil, active layer soil contains a lower abundance of cold-shock

455 proteins and other stress response genes (Yergeau et al., 2010; Mackelprang et al., 2011;

456 Hultman et al., 2015). However, we hypothesized that re-freezing of the soil would elicit a

457 cold-shock response as psychrophilic and cold-adapted microorganisms produce a large

458 number of cold-shock proteins (De Maayer et al., 2014). The microorganisms in our soil

459 responded to freezing by upregulating genes associated with 31 different eggNOG functions 460 and downregulating genes associated with eight functions. Most of these were annotated as 461 unknown functions, but we identified a decrease in transcription of genes related to cell 462 motility upon freezing likely as a response to ice physically inhibiting prokaryotic motility. 463 Also, two of the upregulated functions were associated with defense against oxidative stress, 464 i.e. production of catalase [converting H_2O_2 to H_2O and O_2] and a DNA-binding ferritin-like 465 protein denoted as an oxidative damage protectant. Elevated transcription of genes involved 466 in production of catalases was seen in psychrophilic bacteria (Raymond-Bouchard et al., 467 2018) and genes involved in DNA repair was transcribed at higher abundance in frozen 468 compared to thawed permafrost soil (Coolen & Orsi, 2015). Aerobic and facultative anaerobic 469 microorganisms, including psychrophilic bacteria isolated from active layer soils (D'Amico et 470 al., 2006; Mykytczuk et al., 2013), produce a number of enzymes that can neutralize reactive 471 oxygen species (ROS) (Brioukhanov & Netrusov, 2007), which can damage DNA, proteins 472 and cell membranes (Cabiscol et al., 2010; Ezraty et al., 2017). Oxidative stress may be 473 enhanced at colder temperatures due to increased oxygen solubility (Weiss 1970; Sotelo et al., 474 1989) and higher enzyme activity (and hence production of ROS) initiated to adapt to reduced 475 catalytic rates (Chattopadhyay et al., 2011; De Maayer et al., 2014). In contrast, diffusion 476 rates of oxygen are lowered with decreasing temperature and ice formation effectively blocks 477 transport of oxygen.

478

479 Transcriptional response to warming or cooling at sub-zero temperatures

480 The minor transcriptional changes at sub-zero temperatures suggest that the microbial

481 communities only responded marginally to either an increase or a decrease in temperature

482 between -10 °C and -2 °C. The negligible response was not due to inactive microorganisms as

483	CO_2 production occurred at -6 $^\circ\mathrm{C}$ and microbial communities in Arctic soils were shown to
484	sustain activity and growth at similar or even lower temperatures (Panikov et al., 2006;
485	Tuorto et al., 2014). We hypothesized that the temperature change spanning 8 $^{\circ}$ C would affect
486	microbial transcriptional activity related to carbon metabolism, nitrogen cycling and stress,
487	but at sub-zero temperatures, water availability and not temperature per se has the largest
488	effect on microbial activity (Öquist et al., 2009; Tilston et al., 2010). The unfrozen water
489	content in soils is influenced by salt concentration and organic matter composition (Drotz et
490	al., 2010) while the unfrozen water content has been reported to show a small (Aanderud et
491	al., 2013) or moderate (Panikov et al., 2006; Tilston et al., 2010) response to temperature
492	changes occurring at the sub-zero temperatures employed in our experiment. We did not
493	estimate water availability in the frozen soil samples, but the changes in water availability
494	(and hence nutrient availability) between -10 °C and -2 °C may have been too small to elicit a
495	detectable transcriptional response in our experimental setup.
496	Individual bacterial mRNAs have reported lifetimes from seconds to more than an hour
497	(Condon, 2003; Deutscher, 2006), but in soil, <i>invA</i> mRNA was detected after 48 hours at 5 $^{\circ}$ C
498	while it survived less than 4 hours at 15 $^{\circ}$ C or 25 $^{\circ}$ C (Garcia et al., 2010). These experiments
499	were carried out at 5 $^{\circ}$ C or above and we have not been able to find information on the
500	turnover of soil microbial mRNA at sub-zero temperatures. However, the long lifetime of
501	invA in soil at 5 °C compared to at 15 °C and 25 °C (Garcia et al., 2010) indicates that mRNA
502	may have extended lifetime at sub-zero temperatures. Thus, the minor transcriptional changes
503	observed between -10 $^{\circ}$ C and -2 $^{\circ}$ C during warming and cooling may partly result from a slow
504	turnover of mRNA at these temperatures.
505	

506 Conclusions

507	We detected only minor changes in the transcribed genes at sub-zero temperatures. Stress
508	related transcripts, mainly defense against oxidative stress, were enhanced upon re-freezing of
509	the soil, while no stress response was observed upon thawing. The transcriptional response to
510	thawing was moderate after one day, but increased markedly after 17 days with a concomitant
511	fourfold increase in CO ₂ production. This response was dominated by an increase in
512	transcription of genes related to protein production and genes implicated in the degradation of
513	soil cellulose, hemicellulose and chitin. In contrast, nitrogen cycle pathways were
514	downregulated, except for anaerobic ammonium oxidation and degradation and synthesis of
515	organic nitrogen. These findings may have implications for our understanding and modelling
516	of carbon dioxide emission, nitrogen cycling and plant nutrient availability in Arctic soils.
517	
518	Methods
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519 520 521 522 523 524 525 526 527	Soil sample collection, preparation and incubation An active layer soil core (0 – 14 cm soil depth; 8 cm diameter) was collected April 2014 in Sassendalen, Svalbard, Norway (latitude 78.270961, longitude 17.228315), using a core catcher with a motorized hand drill. The sampling site is characterized as a dry tundra dominated by <i>Dryas octopetala</i> and <i>Cassiope tetragona</i> (Vanderpuye et al., 2002; Elvebakk, 2005) with a mean annual temperature of -6 °C and a mean annual precipitation of ~200 mm water equivalents (Ingólfsson, 2011). The core was transported to our laboratory in Copenhagen, Denmark, in a styrofoam box with cooling elements at -18 °C. A temperature logger and visual inspection of the core indicated that it did not thaw during transport. In

530 equipment were washed with ethanol. The core was cut in half and the inner core soil was

531 aseptically sampled with a sterilized bore head (16 mm diameter) from the newly exposed 532 surfaces and homogenized by smashing the soil with a hammer while in a sterile plastic bag 533 obtaining a grain size of 1 - 10 mm. For detailed description of the sample preparation, see 534 Bang-Andreasen et al. (2017). Forty replicate 2-g subsamples were placed in 5-mL Eppendorf 535 tubes and incubated in a custom-made temperature chamber based on a recirculating cooling 536 liquid, for details see Schostag et al. (2019). 537 The samples were incubated for a total period of 26 days initiated with a pre-incubation step 538 at -10 °C for 40 hours, then gradually increasing the temperature to 2 °C over five days, 539 keeping a stable temperature of 2 °C for an additional 16 days, and finally a cooling phase 540 with decreasing temperatures to -10 °C over five days. In this way, our experiment simulated 541 a short Arctic spring, summer and autumn where temperature changes happen over time. Soil 542 temperature measurements from a site in Adventdalen (ca. 10 km away) at a similar altitude 543 showed that temperature at 1 cm soil depth is below -10 °C during winter and increases from -544 10 to -2 °C within an average of 14 days during spring, which is somewhat slower than the 545 five days employed in our experimental setup. Samples were obtained every 40 hours during 546 the warming phase corresponding to -10, -6, -2 and 2 °C; henceforth denoted $W_{-10^\circ C}$, $W_{-6^\circ C}$, 547 $W_{-2^{\circ}C}$, and $W_{2^{\circ}C}$, respectively (Fig. 1). No sampling was performed for 16 days until the 548 cooling phase, during which samples were collected every 40 hours corresponding to 2, -2, -6 549 and -10 °C; denoted $C_{-10^{\circ}C}$, $C_{-6^{\circ}C}$, $C_{-2^{\circ}C}$, and $C_{2^{\circ}C}$, respectively. At each sampling point, five 550 replicate subsamples were collected and immediately snap frozen in liquid nitrogen and stored 551 at -80 °C until RNA isolation.

552

553 Soil analyses

554	Soil physiochemical parameters were analyzed in a parallel set of 2-g soil samples incubated
555	in 5-mL Eppendorf tubes as described above. These samples were incubated in separate tubes
556	enabling us to snap freeze the subsamples for RNA isolation without additional handling of
557	the soil. Due to limitations on the amount of soil that we could obtain from the soil core using
558	our soil subsampling procedure we were not able to analyze soil physiochemical parameters
559	and gas production rates at all eight time points. We selected four time points for the analysis
560	of the physiochemical parameters: $W_{-6^{\circ}C}$, $W_{2^{\circ}C}$, $C_{2^{\circ}C}$, and $C_{-6^{\circ}C}$. At each time point, a total of
561	16 g soil was collected. For analysis of water extractable nutrients, 4 g soil was shaken (120
562	rpm) in 20 mL ddH ₂ O for 10 min at 5 °C. All extracts were filtered through Whatman GF-D
563	filters (Sigma-Aldridge, Copenhagen, Denmark) and frozen at -18 °C until analysis. A subset
564	of the filtered extracts was used for pH measurements with a pH meter. Dissolved organic
565	carbon (DOC) was analyzed with a Shimadzu TOC-L CSH/CSN total organic carbon
566	analyzer (Shimadzu, Kyoto, Japan), while dissolved organic nitrogen (DON) was analyzed
567	using a FIAstar 5000 (FOSS Tecator, Höganäs, Sweden) after digesting the extracts in 2 M
568	HCl with selenium as a catalyst. Ammonium (NH_4^+) was analyzed using the indophenol blue
569	method and nitrate (NO_3^{-}) colorimetrically using the cadmium reduction method, both with a
570	FIAstar 5000 flow injection analyser (Foss, Hillerød, Denmark).
571	Carbon dioxide (CO ₂), methane (CH ₄) and nitrous oxide (N ₂ O) production rates were
572	measured by incubating 5 g soil in 50-mL serum flasks sealed with a butyl rubber stopper to
573	which we added 15 mL of ambient air (to compensate for headspace being extracted from the
574	flasks during sampling). To determine the gas production rates, 3-mL headspace samples
575	were extracted at $W_{-6^{\circ}C}$, $W_{2^{\circ}C}$, and $C_{2^{\circ}C}$, and again 24 hours later. Gas samples were
576	transferred to 3-mL Exetainer vials (LABCO, Lampeter, UK) and analyzed using an
577	autosampler (Mikrolab Aarhus, Højbjerg, Denmark) and a 7890A GC system (Agilent

578 Technologies, Glostrup, Denmark) equipped with a flame ionization detector and an electron579 capture detector.

580

581 RNA isolation and cDNA sequencing

582 RNA isolation was carried out with 2 g of soil using RNA PowerSoil Total RNA Isolation Kit

583 (Mo Bio Laboratories, Carlsbad, CA. USA) with phenol chloroform isoamyl alcohol 25:24:1

584 (Sigma-Aldrich) following the instructions of the manufacturer and resulting in 100 μ L of

585 RNA solution. DNase treatment on a 10-µL subsample was performed using DNase max (Mo

586 Bio Laboratories) following the manufacturer's instructions. The quality of DNase treated

587 RNA was analyzed with Bioanalyser 2100 (Agilent Technologies, Glostrup, Denmark) and

the quantity was estimated using Qubit 2.0 (Thermo Fisher Scientific, Life Technologies,

589 Nærum, Denmark) with Qubit RNA HS Assay Kit. One hundred ng RNA from each sample

590 were prepared for cDNA sequencing using NebNext Ultra Directional RNA Library Prep Kit

591 for Illumina (New England Biolabs, Ipswich, MA, USA) according to the manufacturer's

instructions. Samples were sequenced using Illumina Hiseq 2500, rapid mode 150 bp paired-

593 end, at the National High-throughput DNA Sequencing Centre, University of Copenhagen.

594 Thus, our protocol did not involve mRNA enrichment using rRNA subtraction methods that

595 may bias the relative abundance of different mRNA transcripts (Tveit *et al.*, 2014), and the

596 protocol did not involve amplification of the cDNA.

597

598 **Bioinformatics and statistical methods**

599 Cutadapt v. 1.9.1 (Martin, 2011) was used to trim adapters, poly-A tails, and filter reads

600 shorter than 60 nucleotides or with phred score below 20. Putative mRNA reads were

601 separated from the total pool of RNA by aligning and filtering all reads against Silva

602	SSUref119 (removing 16S and 18S rRNA reads) and Silva LSURef119 (removing 23S and
603	28S rRNA reads) reference databases (Quast et al., 2013) using SortMeRNA v.2.1 (Kopylova
604	et al., 2012) tool. Potential mRNA reads from all samples were pooled and assembled using
605	trinity v.2.0.6 (Grabherr et al., 2011). From the resulting assembled contigs, non-coding RNA
606	contigs were filtered by aligning contigs to the Rfam database v12.0 (Nawrocki et al., 2015)
607	using cmsearch v1.1.1 (significance threshold e-value $< 10^{-3}$). 72 % of the potential mRNA
608	reads were assembled in contigs. BWA (Li et al., 2009) aligner was used to map back non-
609	ribosomal RNA input sequences used for assembly to coding mRNA contigs. Due to variable
610	sample resolution we normalized contigs by filtering the ones with relative expression lower
611	than 1 % of the number of reads in the sample with least number of sequences. The open
612	reading frames (ORFs) of the contigs were predicted using Transeq from EMBOSS (Rice et
613	al., 2000) and were aligned using SWORD (Vaser et al., 2016) against the M5nr protein
614	database (Wilke et al., 2012). The output was then parsed with in-house scripts written in
615	Python where we selected the contigs with a significant e-value as base threshold. From the
616	selected pool, the best hit for each contig was selected based on a combination of e-value and
617	alignment length. For general gene annotation, M5nr aligned contigs were annotated against
618	eggNOG hierarchical database v 4.5 (Jensen et al., 2008) using in-house Python scripts. For
619	specific annotation of genes related to carbohydrate degradation, predicted ORFs were
620	aligned against CAZy (Cantarel et al., 2009) database using SWORD and annotated against
621	CAZy hierarchical annotation. Similarly, for annotation of genes related to nitrogen cycling,
622	predicted ORFs were aligned and annotated against NCycDB (Tu et al., 2019); a manually
623	created database using 100 % sequence identity. These comparisons resulted in three separate
624	abundance tables, a generalist one with eggNOG orthologs and subsequent number of reads

- from each sample, a carbohydrate-degradation specific one with CAZy enzymes, and a
- 626 nitrogen cycling specific one with NCycDB enzymes.
- 627 The beta diversity and multivariate analyses were done with the raw and non-rarefied
- 628 contingency tables using the R software version 3.0.2 (R Development Core Team 2011) with
- the vegan (Oksanen et al., 2017) and ade4 (Dray & Dufour, 2007) packages. Principal
- 630 Component Analysis (PCA) was performed after centre-scaling normalization. A pattern
- 631 search was applied to the original PCAs by grouping replicates together in order to perform a
- between-group analysis (BGA). The statistical significance of the selected grouping factor
- 633 was tested with a Monte-Carlo simulation involving 10,000 permutations. Complementing
- 634 PERMANOVA tests were performed on the Euclidean distance profiles using 10,000
- 635 permutations in order to assess the significance of the tested factors.
- To identify which eggNOG, CAZy and NCycDB genes, gene families or functional
- 637 subsystems were significantly differentially expressed at different incubation times we used
- 638 DESeq2 (Love et al., 2014) module of SarTools pipeline (Varet et al., 2016). This was done
- 639 using parametric mean-variance and independent filtering of false discoveries with
- 640 Benjamini-Hochberg procedure (P > 0.05) to adjust for type 1 error.

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653	
654	Availability of data
655	Raw sequence data were deposited in the NCBI Sequence Read Archive and are accessible
656	through accession number SRP124869.
657	
658	Authors' contributions
659	M.D.S., C.S.J. and A.P. designed the study, S.F. collected the soil, M.D.S. conducted the
660	experiment, M.Z.A. and M.D.S. performed bioinformatics and statistical analyses with help
661	from S.J., L.M., C.L. and T.M.V., M.D.S., C.S.J., M.Z.A. and A.P. interpreted the results, and
662	A.P. and M.D.S. wrote the manuscript with critical feedback from all of the coauthors.
663	
664	Ethics approval and consent to participate
665	Not applicable for this study
666	
667	Consent for publication
668	Not applicable for this study
669	
670	Competing interests
671	The authors declare that they have no competing interests.
672	

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1046 Figure legends

1047

Fig. 1 Measured incubation temperature of active layer permafrost samples shown as average
of five temperature loggers (black circles); standard error of mean ≤0.1 °C. Arrows indicate
time of sampling for RNA isolation.

1051

Fig. 2 Between-group analysis (BGA) of all functions annotated using (a) eggNOG, (b)

1053 CAZy, and (c) NCycDB databases. The figures show constrained principal component 1054 analysis (PCA) of the eggNOG, CAZy and NCycDB profiles after applying sample grouping 1055 according to replicates. Non-random distribution of the BGA grouping was tested using a 1056 Monte–Carlo simulation with 10,000 permutations ($P < 10^{-6}$ for all three databases).

1057

Fig. 3 The relative abundance of transcripts related to selected and significantly responding (*P*< 0.05) eggNOG functional groups and genes involved in, a) carbohydrate transport and
metabolism, b) energy production and conversion, c) transcription, d) translation, ribosomal
structure and biogenesis, e) cell motility, f) defense against oxidative stress, g) cold shock
proteins, and h) chaperones. Error bars indicate standard error of the mean.

Fig. 4 The relative abundance of transcripts annotated in CAZy as involved in degradation of
complex plant and fungal material by encoding, a) lytic polysaccharide monooxygenases, b)
lignolytic activity, c) hemicellulases, and d) cellulases. Error bars indicate standard error of
the mean.

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Fig. 5 The relative abundance of transcripts annotated in NCycDB as involved in nitrogen
cycling pathways, a) organic degradation and synthesis, b) assimilatory nitrate reduction, c)
nitrogen fixation, d) nitrification, e) denitrification, and f) anaerobic ammonium oxidation.

1072 Error bars indicate standard error of the mean.

1073

1074 Fig. 6 The relative abundance of transcripts annotated in NCycDB as involved in key nitrogen

1075 cycling and methane oxidation gene families, a) archaeal *amoA*, b) bacterial *amoA*, c) *pmoB*

1076 and *pmoC* combined, d) *narG*, e) *nirK*, f) *nirS*, g) *nirK* and *nirS* combined, h) *norB* and *norC*

1077 combined, and i) *nosZ*. Error bars indicate standard error of the mean.

1078	Table 1 Soil physiochemical parameters at different incubation temperatures (W: warming;
1079	C: cooling). Data are average \pm standard error of the mean, n = 5. Different superscript letters
1080	indicate that samples are significantly different (pairwise comparisons between values within
1081	each column, ANOVA with Tukey' HSD posthoc test). DOC: dissolved organic carbon;
1082	DON: dissolved organic nitrogen.

	DOC	DON	$\mathrm{NH_4}^+$	NO_3^-	рН
	$(\mu g g^{-1} dry soil)$	$(\mu g g^{-1} dry soil)$	(ng g ⁻¹ dry soil)	(ng g^{-1} dry soil)	
$W_{-6^{\circ}C}$	174±12 ^b	8.3±0.4 ^c	80±6.5 ^a	82±34 ^a	7.5±0.02 ^b
$W_{2^{\circ}C}$	156±4.6 ^b	8.9±0.3 ^c	90±9.6 ^a	88±14 ^a	7.6±0.04 ^c
$C_{2^{\circ}C}$	58±17 ^a	5.0±0.4 ^a	171±17 ^a	928±199 ^b	7.4±0.03 ^a
C _{-6°C}	69±7.4ª	6.5±0.3 ^b	552±7.4 ^b	153±35 ^a	7.4±0.00 ^{ab}

1085 Table 2 Number of eggNOG, CAZy and NCycDB gene categories significantly down or 1086 upregulated between the consecutive time points employed in the experiment. W: warming; 1087 C: cooling.

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		Downregulated			Upregulated		
		eggNOG	CAZy	NCycDB	eggNOG	CAZy	NCycDB
Warming	$W_{-10^{\circ}C}$ to $W_{-6^{\circ}C}$	1	2	0	0	0	0
	$W_{-6^\circ C}$ to $W_{-2^\circ C}$	0	0	0	0	0	0
	$W_{-2^\circ C}$ to $W_{2^\circ C}$	5	0	1	8	1	3
Stable	$W_{2^\circ C}$ to $C_{2^\circ C}$	41	62	23	154	82	76
Cooling	$C_{2^\circ C}$ to $C_{-2^\circ C}$	8	16	3	31	27	17
	$C_{-2^\circ C}$ to $C_{-6^\circ C}$	0	0	0	0	0	0
	$C_{-6^\circ C}$ to $C_{-10^\circ C}$	0	0	0	0	0	0

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1090 Supporting Information Data

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Supporting Information Figure S1 The relative abundance of transcripts assigned by CAZy
 database to glycoside hydrolase (GH) families GH18 and GH19 and presumed to be involved
 in degradation of chitin. Error bars indicate standard error of the mean.

- 1096Supporting Information Table S1 Soil physiochemical characteristics measured prior to1097incubation. Data are average \pm standard error of the mean, n = 5.
- 1099 Supporting Information Table S2 Sequence stats during bioinformatic processing.

1101Supporting Information Data Sheet S1 List of all eggNOG functions that were significantly1102up or downregulated when comparing all sub-zero warming samples with $W_{2^{\circ}C}$ and number1103of reads assigned to these functions in the individual samples.

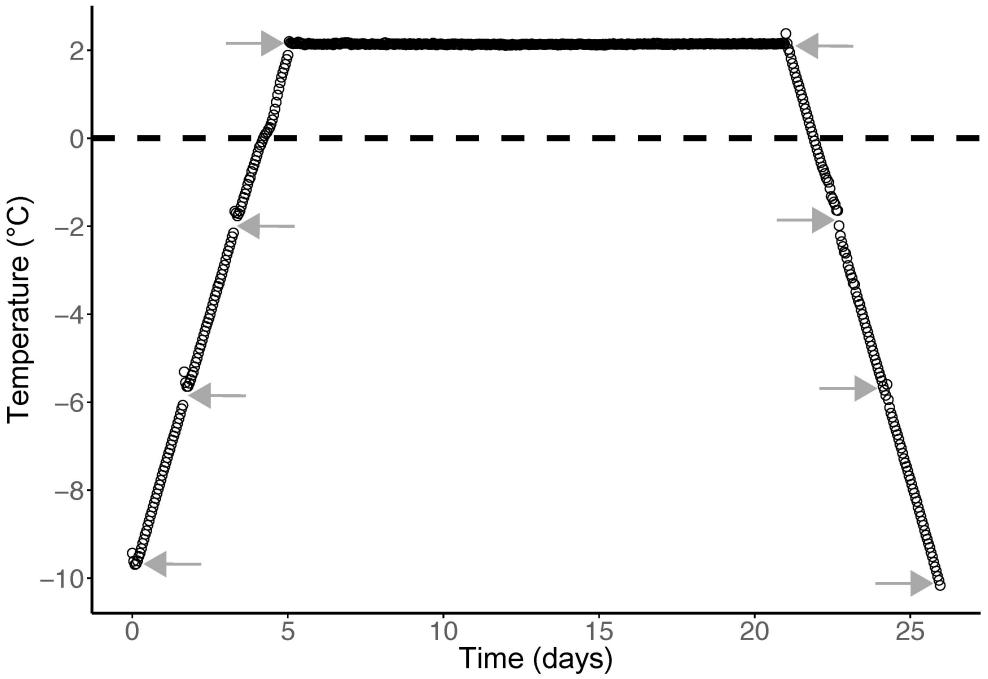
1105Supporting Information Data Sheet S2 List of all eggNOG functions that were significantly1106up or downregulated when comparing $W_{2^{\circ}C}$ with $C_{2^{\circ}C}$ and number of reads assigned to these1107functions in the individual samples.1108

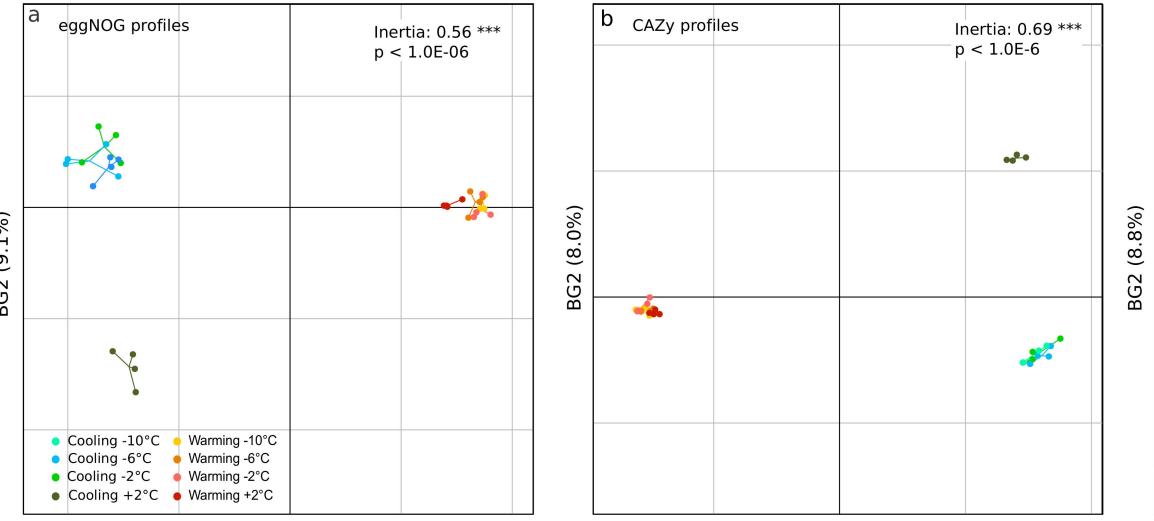
1109 **Supporting Information Data Sheet S3** List of all eggNOG functions that were significantly 1110 up or downregulated when comparing $C_{2^{\circ}C}$ with all sub-zero cooling samples and number of 1111 reads assigned to these functions in the individual samples.

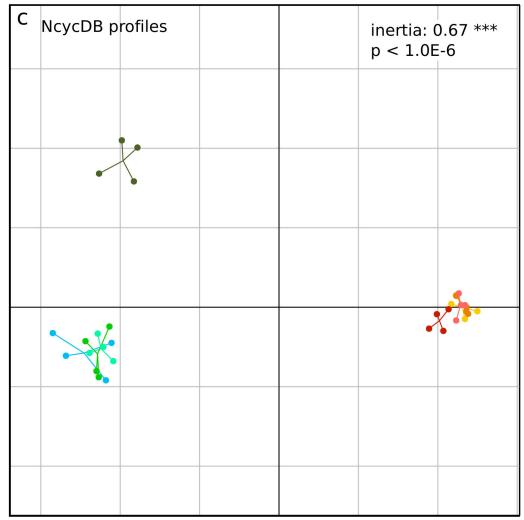
1113 Supporting Information Data Sheet S4 List of all CAZy gene functions and number of 1114 reads assigned to these functions in the individual samples.

11151116 Supporting Information Data Sheet S5 List of all NCycDB gene functions and number of

1117 reads assigned to these functions in the individual samples.

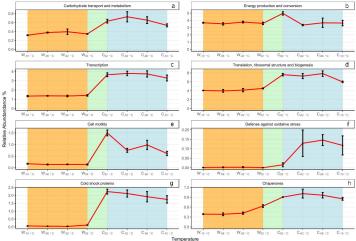


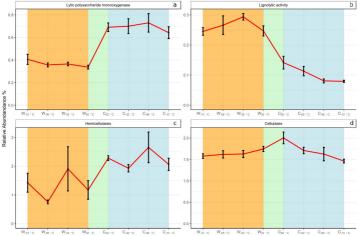




BG1 (80.4 %)

eggNOG genes and Functional Subsystems

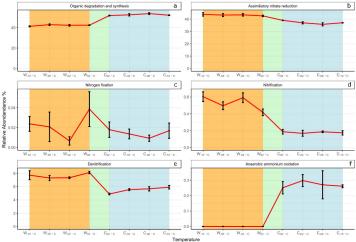




CAZy genes involved in degradation of recalcitrant material

Temperature

NCycDB nitrogen cycling pathways



NCycDB nitrogen cycling gene families

