Tumor-targeted delivery of childhood vaccine recall antigens by attenuated *Listeria* reduces pancreatic cancer

Benson Chellakkan Selvanesan[1$], Dinesh Chandra[1$], Wilber Quispe-Tintaya[1$], Arthee Jahangir[1], Ankur Patel[1], Kiran Meena[1], Rodrigo Alberto Alves Da Silva[1], Steven K Libutti[2], Ziqiang Yuan[2], Jenny Li[1], Sarah Siddiqui[1], Amanda Beck[3], Lydia Tesfa[4], Wade Koba[5], Jennifer Chuy[6], John C. McAuliffe[7], Rojin Jafari[8], David Entenberg[8,9,10], Yarong Wang[8,9,10], John Condeelis[8,9,10,11], and Claudia Gravekamp[1*].

[$]These authors contributed equally to this manuscript.

Running title: Cancer treatment through childhood vaccine recall antigens

Department of Microbiology and Immunology, [1]Albert Einstein College of Medicine, 1300 Morris Park Avenue, Bronx, NY 10461, USA

[2]Rutgers University, Cancer Institute of New Jersey, 195 Little Albany St, New Brunswick, New Jersey 08901, USA

[3]Department of Pathology, Albert Einstein College of Medicine, Michael F. Price Center, 1301 Morris Park Avenue, Room 158, Bronx, NY 10461

[4]Department of Microbiology and Immunology, Albert Einstein College of Medicine, Jack and Pearl Resnick Campus, 1300 Morris Park Avenue, Chanin Building, Room 309, Bronx, NY 10461

[5]Department of Radiology, Albert Einstein College of Medicine, MRRC, 1300 Morris Park Avenue, Bronx, NY 10461, USA

[6]Department of Medical Oncology, Montefiore/Einstein Center for Cancer Care, Department of Medical Oncology, 1695 Eastchester Road, 2nd Floor, Bronx, NY 10461, USA

[7]Department of Surgery, Montefiore Medical Center, 1521 Jarrett Place, 2nd Floor, Bronx, NY 10461, USA

[8]Department of Anatomy and Structural Biology, Albert Einstein College of Medicine, Michael F. Price Center, 1301 Morris Park Avenue.

[9]Gruss-Lipper Biophotonics Center,Albert Einstein College of Medicine, Michael F. Price Center, 1301 Morris Park Avenue.

[10]Integrated Imaging Program, Albert Einstein College of Medicine, Michael F. Price Center, 1301 Morris Park Avenue.

[11]Department of Surgery,Albert Einstein College of Medicine, Michael F. Price Center, 1301 Morris Park Avenue.

* To whom correspondence should be addressed:

Claudia Gravekamp

Albert Einstein College of Medicine

Department of Microbiology and Immunology

1300 Morris Park Avenue

Forchheimer Bldg., Room 407A

Bronx, NY 10461

Email: claudia.gravekamp@einstein.yu.edu

Phone: 718-430-4048(office)/4067 (lab)/Fax: 718-430-8711

ABSTRACT

Pancreatic ductal adenocarcinoma is highly metastatic, poorly immunogenic, and immune suppression prevents T cell activation. We developed a microbial-based immunotherapeutic concept for selective delivery of a highly immunogenic tetanus toxoid protein ($TT_{856-1313}$) as an alternative for neoantigens, into tumor cells by attenuated *Listeria monocytogenes*, and reactivation of pre-existing TT-specific memory T cells (generated during childhood) to kill infected tumor cells. Thus, TT here functions as the tumor antigen. Treatment of KPC mice with *Listeria*-TT resulted in TT accumulation inside tumor cells, and attraction of the reactivated TT-specific memory CD4 T cells. Gemcitabine (GEM) combined with *Listeria-TT* significantly improved the migration of CD4 T cells into tumors and the production of perforin and granzyme B, turning cold into immunological hot tumors. The number of CD8 T cells in the KPC tumors was 3-fold lower than that of CD4 T cells. Moreover, lymph node like structures (LNS) were observed in close contact with the pancreatic tumors exhibiting CD4 T cells (and less abundantly CD8 T cells) of all treatment groups, but most frequently in KPC mice treated with *Listeria*-TT or *Listeria*-TT+GEM. Notably, the production of granzyme B (and less of perforin) was observed in the LNS of *Listeria*-TT+GEM only. The *Listeria*-TT+GEM treatment significantly reduced pancreatic tumors and metastases by 80% in Panc-02 and KPC mouse models, with minimal side effects. Our results unveil new mechanisms of *Listeria* and GEM improving immunotherapy for PDAC.

Keywords: *Listeria monocytogenes*, childhood vaccine recall antigens, Tetanus toxoid, pancreatic cancer, pre-existing memory T cells

INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) is extremely difficult to cure. Modern systemic therapies, such as gemcitabine, provide some survival benefits[1-4], while gemcitabine and abraxane or FOLFIRINOX further modestly improve survival[5,6]. This underscores the need for additional and innovative approaches. Cancer immunotherapy with checkpoint inhibitors has shown promising results, however, less so for PDAC[7]. PDAC is poorly immunogenic because of the low mutational load and the few effective neoantigens present[8,9], and because immune suppression, particularly by myeloid-derived suppressor cells (MDSC) and tumor-associated macrophages (TAM), prevents T cell activation in the tumor microenvironment (TME)[10-12]. Moreover, evidence suggests that naïve T cells are more prone to undergo apoptosis or are less efficiently activated than memory T cells in the TME of cancer patients and tumor-bearing mice[13,14].

To address these problems we have developed a novel treatment modality using an attenuated bacterium *Listeria monocytogenes*[15] (*Listeria*) to deliver a highly immunogenic recall antigen such as tetanus toxoid (TT)(as an alternative to neoantigens) selectively into tumor cells, and to reactivate pre-existing memory T cells against TT (generated during childhood vaccinations) **(Fig. 1A)**. These TT-specific memory cells are in turn attracted to the *Listeria*-TT in the TME, where they destroy the infected now highly immunogenic tumor cells. These memory T cells circulate in the blood for life, and can be reactivated at any age. This has been shown even in patients with cancer, including pancreatic cancer[16-18]. Such an approach overcomes the need for participation of naïve T cells during cancer treatment. To further improve T cell responses against TT, *Listeria*-TT was combined with low doses of gemcitabine (GEM) to reduce immune suppressive MDSC and TAM populations.

In previous studies we have shown that, most likely through C3bi and C1q receptors[19-21], *Listeria* attracts and infects MDSC[10]. These MDSC are present in large

numbers in patients and mice with cancer[11,22]. However, the primary tumor also selectively attracts MDSC through the production of cytokines and factors[11,23], where MDSC deliver *Listeria* to the TME as a Trojan horse[10,22]. Once at the tumor site, *Listeria* spreads from MDSC into tumor cells through a cell-to-cell mechanism unique to *Listeria*[24]. *Listeria* can also infect tumor cells directly[25]. *Listeria* would be rapidly killed in healthy tissues, but is protected from immune clearance in the TME through strong immune suppression. Because of this combination of selective attraction of MDSC by bacteria and cancer with the strong immune suppression occurring in the TME but not in normal tissues, *Listeria* can selectively enter, multiply, and survive in the TME but not in normal tissues[10,22,26,27]. Based on these results we now use *Listeria* as a platform for the selective delivery of anticancer agents to the TME[22,26,28]. Of note is that this approach is completely different from the clinical trials, in which *Listeria* has been used to infect antigen-presenting cells to stimulate T cells against naturally expressed tumor antigens[29], while in our approach *Listeria* colonizes and changes the tumor microenvironment, and delivers childhood vaccine recall antigens into tumor cells.

In the current study, we tested the combination of *Listeria*-TT+GEM **(Fig. 1B)** in two mouse models of pancreatic cancer, a syngeneic Panc-02 model[30] and a transgenic KPC model[31,32]. *Listeria*-TT was administered intraperitoneally, and the biodistribution of *Listeria*-TT, as well as the production of TT in tumor cells was monitored *in vivo*. We demonstrate that the combination of *Listeria*-TT+GEM robustly attracted CD4 T cells to the TME, and improved T cell responses in correlation with a significant reduction in pancreatic cancer at early and advanced stages of both Panc-02 and KPC mouse models. This study provides insight into mechanisms of improving immunotherapy for pancreatic cancer.

RESULTS

Development and characterization of *Listeria*-TT

The *Listeria* construct used to develop *Listeria*-TT includes pGG34[33], a truncated non-cytolytic Listeriolysin O (LLO) fused to a non-toxic $TT_{856-1313}$ fragment, and a myc sequence for detection as outlined in **Fig. 2A.** Secretion of LLO-$TT_{856-1313}$ protein into the culture medium by the bacteria was detected by western blotting **(Fig. 2B).** Infection of Panc-02 tumor cells with *Listeria*-$TT_{856-1313}$ resulted in the expression of TT protein in the tumor cells **(Fig. 2C).**

Listeria-TT infection of tumor cells *in vitro* and *in vivo*

We previously showed that *Listeria* can be used as a delivery platform for anticancer agents[22,26], however TT fusion to LLO could potentially alter LLO function (LLO is required to escape the vacuole after phagocytosis[34]). To test *Listeria*-TT delivery function, we determined the infection rate of pancreatic tumor cells cultured with *Listeria*-TT in comparison to *Listeria* alone. Panc-02 tumor cells were abundantly infected in both cases **(Fig. 2D).** We also determined that *Listeria*-TT effectively killed the Panc-02 cells **(Fig. 2E)**.

TT is highly immunogenic, and might lead to faster elimination of *Listeria*-TT *in vivo* than *Listeria* alone, consequently preventing accumulation in the TME. To assess this, a single high dose of *Listeria*-TT was injected intraperitoneally (ip) into Panc-02 mice, and numbers of *Listeria*-TT were quantified in all tissues (tumors, metastases, and normal tissues) at different time points. As shown in **Fig. 2F,** *Listeria*-TT accumulated robustly in tumors and metastases, but could hardly be detected in normal tissues. Interestingly, the same dose of *Listeria* injected intravenously was nearly undetectable in tumors and metastases **(Fig. S1A and B).** This was true not only for metastases in the liver and pancreas, but also for metastases in the lungs **(Fig. S1C).**

To confirm that *Listeria*-TT was taken up *in vivo* by tumor cells within the TME of live mice, we injected Alexa-680-labeled *Listeria*-TT into the peritoneal cavity of transgenic mice whose macrophages expressed cyan fluorescent protein (CFP)[35], and which had orthotopic, Dendra-2 labeled pancreatic tumors (Panc-02-Dendra). High-resolution intravital multiphoton microscopy (IVMI) of these tumors showed individual *Listeria*-TT bacteria inside tumor cells and macrophages (**Fig. 2G**). Finally, presence of TT protein was demonstrated immunohistochemically inside tumor cells of the pancreatic ducts, and secreted in the TME of KPC mice that received *Listeria*-TT or *Listeria*-TT+GEM **(Fig. 2H).** Notably, detection of TT was much stronger in the tumors of *Listeria*-TT+GEM-treated mice than of *Listeria*-TT-treated mice**.** While GEM kills Listeria *in vitro*, more *Listeria* bacteria were detected in the tumors of *Listeria*+GEM-treated mice compared to *Listeria* alone **(Fig. 2I and J).** Since GEM reduces immune suppression, the extracellular *Listeria* will be more exposed to immune clearance which may drive *Listeria* to a safer place to escape GEM and the immune system, i.e. to the TME and inside tumor cells were *Listeria* could survive and multiply.

Listeria-TT reactivates memory T cells to TT in tumor-bearing mice

Our concept is to generate pre-existing memory T cells specific for TT prior to tumor development, to avoid the necessity of activating naïve T cells in a TME. Therefore, we generated TT-specific memory CD4 and CD8 T cells in mice through two injections of Tetanus vaccine (TTvac) prior to tumor development **(Fig. 3A).** One week after the second immunization, Panc-02 tumor cells were injected into the mammary fat pad**.** When tumors were 10 mm in diameter, the *Listeria* TT+GEM treatment was initiated as in **Fig. 1B.** After the complete treatment cycle, spleen cells were isolated from the Panc-02 tumor-bearing mice, restimulated with TT protein *in vitro*, and analyzed for memory T cell responses producing IFNγ **(Fig. 3B)**. We found that CD4 and CD8 T cells of mice

that received *Listeria* -TT or *Listeria* -TT+GEM were strongly activated by TT, but not of mice that received saline or GEM alone (Mann-Whitney p<0.05 and p<0.001), indicating the high specificity of CD4 and CD8 memory T cells responsive to the TT protein. Similar results were obtained for memory cells isolated from tumors in KPC mice **(Fig. 3C).**

To evaluate whether *Listeria*-TT+GEM could overcome immune suppression in the tumor-bearing Panc-02 mice, we also analyzed "*in vivo*" T cell responses, i.e., without *in vitro* restimulation with TT. At the end of the treatment cycle, CD4 and CD8 T cell responses (CD69, Granzyme B, Perforin, and IFNγ) were strongly improved in spleen cell populations (pooled) of mice treated with *Listeria*-TT+GEM compared to all control groups **(Table 1A, Fig. S2A and B).** Both CD4 and CD8 T cells produced high levels of granzyme B, perforin, and/or IFNγ. These responses were even stronger for CD4 T cells than for CD8 T cells. Moreover, *Listeria*-TT or GEM alone also increased the production of perforin in CD4 and CD8 T cells compared to the saline treatments. Similar results were found in KPC mice, i.e., *Listeria*-TT+GEM improved T cell responses compared to all other groups **(Table 1B).** In sum, therefore, the analysis showed that T cells were activated by TT, *Listeria*, and GEM in the tumor-bearing mice.

Listeria-TT+GEM turns "cold" tumors into immunological "hot" tumors

To confirm that *Listeria*-TT+GEM was able to overcome immune suppression in the TME, we performed RNAseq analyses of pancreatic tumors from KPC mice that had been treated with *Listeria*-TT+GEM, or untreated. As shown in **Fig. 3D**, CD4 and CD8 T cells were strongly represented in the tumors of *Listeria*-TT+GEM-treated KPC mice but not in the untreated group. Moreover, multiple granzymes as well as perforin were highly expressed in the *Listeria*-TT+GEM-treated mice, as were factors involved in tumor cell

apoptotic pathways, including multiple caspases, TNF and Fas, most likely activated by perforin and granzyme B.

We also analyzed whether T cells in orthotopic Panc-02 tumors, of mice treated with *Listeria*-TT or *Listeria*-TT+GEM were specific for TT, by ELISPOT **(Fig. 2K)** and flow cytometry **(Fig. 2L).** The numbers of TT-specific T cells producing IFNγ were 10-fold higher in the tumors of *Listeria*-TT-treated mice compared to the saline or GEM control groups, and 3 times higher than *Listeria*-TT+GEM **(Fig. 2L).**

Based on the fact that *Listeria* causes TT accumulation in the TME **(Fig. 2H)** and TT-specific memory T cells have been generated **(Fig. 3A-C),** we expect these memory T cells to be attracted to the TME. To visualize the TME and T cells in more detail, the KPC tumors were analyzed by immunohistochemistry (IHC). As shown in **Fig. 4A and E,** CD4 T cells were present in the tumors of KPC mice treated with LM-TT, but hardly at all in the tumors of saline- or GEM-treated mice. More detail about the CD4 T cells in the KPC tumors of all four treatment groups is shown in **Fig. S3A-D.** Most remarkable was that addition of GEM to the *Listeria*-TT treatment significantly enhanced the migration of CD4 T cells to the tumors of KPC mice compared to all other groups, even compared to the *Listeria*-TT group. These CD4 T cells were found in the tumor areas that expressed TT protein and CD31-positive vessels **(Fig. S4A-C).** Of note is, that CD8 T cells were sparsely present in the tumor areas **(Fig. S3E-H).**

Notably, lymph node-like structures (LNS) were frequently observed in close contact with the KPC tumors of mice treated with *Listeria*-TT or *Listeria*-TT+GEM, and less frequently in KPC mice treated with saline or GEM **(Fig. 4A)**. Higher magnification images of a LNS are shown in **Fig. S4D.** To determine whether T cells present in the LNS were functional and exhibited memory phenotype, we isolated the CD45+ lymphocytes (81.2%) from the LNS of *Listeria*-TT-treated mice, and then gated the CD4 (40.4%) or CD8 (52.0%) T cells by flow cytometry, followed by gating of the IFNγ+ CD4

(3.28%) or CD8 (2.91%) T cells **(Fig. 4B).** Within these IFNγ+ CD4 and IFNγ+ CD8 T cell populations, 54% and 55%, respectively, exhibited a memory phenotype (CD44+CD62L-).

Of clinical relevance is that perforin and granzyme B, both involved in T cell-mediated tumor cell cytolysis, were detected in both pancreatic tumors and LNS of *Listeria*-TT+GEM-treated mice, and less abundantly in *Listeria*-TT-treated mice, but not at all in tumors or LNS of saline or GEM-treated mice **(Fig 4C,D and E, Fig. S4E)**. We observed that perforin was less abundantly produced than granzyme B in general. Also, L-selectin as well as Cxc3 and chemokines Cxcl9 and Cxcl10 were highly upregulated in KPC tumors of mice treated with *Listeria*-TT+GEM compared to untreated mice **(Fig. S5A)**. These genes are known to be involved in T cell trafficking[36,37]. Also, CD40 and CD40L was highly upregulated in KPC tumors by *Listeria*-TT+GEM **(Fig. S5A).** It has been reported that CD40 engagement activates T cells and correlates with antitumor activity[38]. Together, the results indicated that *Listeria*-TT+GEM treatment altered "cold" tumors to "hot" tumors, promoting a strong influx of CD4 T cells into the KPC tumors, as well as production of perforin and granzyme B. These results are supported by the RNAseq data as discussed above, showing that immune responses in the KPC tumors were strongly activated by *Listeria*-TT+GEM **(Fig. 3D).**

Listeria-TT and GEM alter the TME

In Panc-02 tumor bearing mice, GEM reduced the blood MDSC population by 80-90%, and in primary tumors by 50%, compared to the saline group **(Fig. 3E),** and the TAM population by 67% in primary tumors compared to the saline group **(Fig. 3E).** Moreover, for the residual MDSC and TAM cells, the production in pancreatic metastases of factors involved in immune suppression, including IL-10, IL-6 and the transcription factor MARCO, was reduced in mice treated with *Listeria*-TT+GEM **(Table**

1CD, Fig. S6AB), while there was increased production by these cells of TNFα, involved in tumor cell killing, and expression of CD80, involved in T cell activation. These findings indicated that *Listeria* TT+GEM altered the TME.

Listeria-TT+GEM reduces advanced and early pancreatic cancer in KPC and Panc-02 mice

We evaluated *Listeria*-TT+GEM treatment of 3.5-5 months old KPC mice with advanced pancreatic cancer by PET scan analysis. SUVmax, a measure of the metabolic activity of tumors and metastases, provided an indicator of tumor growth. Significant reductions in SUVmax for PDAC tumors from 1.9 to 0.4, and for liver metastases from 2.1 to 0.3, were observed in the mice receiving *Listeria* TT+GEM treatment **(Fig. 5A)**. The PDAC tumors were reduced by 80% (p<0.01) and the liver metastases by 87% (p<0.01). Small changes in the SUVmax of tumors and metastases in the saline and GEM control groups were not statistically significant, nor was the 50% decrease in the LM-TT group **(Fig. 5A).** A representative example of a pancreatic KPC tumor in each group is shown in **Fig 5B.**

We also tested *Listeria*-TT+GEM therapeutically in Panc-02 mice with early and advanced pancreatic cancer. We found nearly complete elimination of early stage pancreatic cancer **(Fig. S5B and E),** and a significant reduction in primary (85%; p<0.001) and metastatic tumor burdens (89%; p<0.0001) of advanced pancreatic cancer (Fig. S5Cfor mice treated as outlined in **Fig. 1B**, compared to the saline group **(Fig. S5C and F).**

Finally, we tested *Listeria*-TT+GEM in mice with advanced orthotopically generated Panc-02 tumors and metastases. Fourteen days after injection of Panc-02 tumor cells into the pancreas, when tumors and metastases were developed, treatment with *Listeria*-TT+GEM and control groups were started as in **Fig. 1B** and continued for two

weeks. Two days after the last treatment, mice were euthanized and analyzed for tumor weight and number of metastases. A significant reduction was observed in the weight of the primary tumors (68%; $p<0.05$) and in the number of liver metastases (95%; $p<0.01$) compared to the saline group **(Fig S5D and G).**

TT contributes to eradication of pancreatic cancer

An important question is to what extent TT contributes to eradication of the pancreatic cancer. This question has been analyzed from different angels. First, we compared the effect of *Listeria*-TT with *Listeria* on advanced pancreatic cancer in different mouse tumor models (Panc-02 and KPC). Those mice that received *Listeria*-TT also received the TT vaccine prior tumor development (according **Fig. 1B**). As shown in **Fig 5C,** the number of metastases in *Listeria*-TT-treated mice was significantly lower compared to the number of metastases in mice treated with *Listeria* alone ($p<0.05$). Also the tumor weight of Listeria-TT-treated mice was significantly lower than of *Listeria*-treated mice ($p<0.05$), although this was less robust. A similar trend was observed in the transgenic KPC mice. *Listeria*-TT robustly reduced the SUVmax of tumors and metastases (50%) while hardly an effect was observed by *Listeria* alone **(Fig 5D).**

Second, we evaluated the importance of the TTvac, administered prior tumor development, in efficacy (effect on tumors and metastases), and compared the effect of TT vaccinations followed by *Listeria*-TT to *Listeria*-TT without prior TT vaccinations in the Panc-02 model. As shown in **Fig 5E,** the number of metastases and tumor weight was significantly less in the TTvac+*Listeria*-TT-treated mice compared to the mice treated with *Listeria*-TT alone ($p<0.05$).

Safety of *Listeria*-TT

A dose-limiting toxicity study (DLT) for *Listeria*-TT showed that the LD_{50} of *Listeria*-TT was 2×10^8 CFU **(Fig. S5H)**. Our treatment studies used a dosage of 10^7 CFU of *Listeria*-TT, well below the LD_{50}.

We also analyzed potential toxicity by pathological examination, 2 days after treatment of C57Bl6 mice with *Listeria*-TT+GEM**.** Though a mild increase in leukocytes was observed in the spleen, liver, and lungs, no significant pathological effects of *Listeria*-TT+GEM were observed. **(Table S1).** In addition, we compared liver functions of the treated C57Bl/6 mice. A small increase in the aminotransferase (AST) level was observed in plasma from *Listeria*-TT+GEM-treated mice compared to the saline group, while alanine aminotransferase (ALT) levels were similar between the two groups **(Table S2).**

DISCUSSION

The success of cancer immunotherapies such as checkpoint inhibitors and CAR T cells in PDAC has been underwhelming, due to low immunogenicity of the tumor, strong immune suppression at multiple levels, and inefficient activation of naïve T cells in the TME[8,9,11-14]. Moreover, low neoantigen expression levels result in poor attraction of neoantigen-specific T cells[39,40]. In this study, we demonstrated the effectiveness of a tumor-targeting attenuated *Listeria*, in combination with GEM, in treating animal models of early and advanced pancreatic cancer. Our treatment strategy offers the advantages of selective delivery of immunogenic TT protein, at high concentrations, to the TME and into tumor cells, and altering the function of macrophages and MDSC in the TME in favor of immune stimulation. TT now used as tumor antigen, could be an alternative for those patients that lack neoantigen expression. Moreover, we showed the contribution of TT to

eradicating pancreatic cancer from different angels, i.e. *Listeria*-TT was significantly more effective than *Listeria* alone, and omitting the TT vaccine prior *Listeria*-TT treatments resulted in a significant less effect on metastases and tumors compared to TTvac+*Listeria*-TT, although the effect on tumors was less robust.

We found novel effects of GEM, i.e. GEM significantly improved the migration of CD4 T cells to the KPC tumor, when added to the *Listeria*-TT treatment, which may have been the result of more *Listeria*-TT bacteria in the tumors and thus more TT protein in the tumors of mice treated with *Listeria*-TT+GEM compared to *Listeria*-TT alone. Also, GEM reduced the MDSC population which down regulates L-selectin on T cells, a gene involved in T cell trafficking. L-selectin was strongly upregulated in tumors of KPC mice treated with *Listeria*-TT+GEM.

While ELISPOT data both showed that both CD4 and CD8 T cells were activated by TT *in vitro*, in the tumors of KPC mice treated with *Listeria*-TT+GEM CD4 T cells were predominantly detected by IHC. Also, the LNS contained both CD4 and CD8 T cells, but apparently only the CD4 T cells were abundantly detected in the tumor areas. The TT vaccine is considered as an exogenous protein, activating MHC class I CD4 T cells, while Listeria-TT secretes TT inside and outside cells, and is therefore considered as endogenous and exogenous proteins, activating class I and II CD8 and CD4 T cells, respectively. Indeed, in humans the TT vaccine predominantly activated CD4 T cells.

A notable finding was the appearance of well-developed LNS in close contact with the tumors in KPC mice treated with *Listeria*-TT or *Listeria*-TT+GEM. While it is unclear whether or not these may have been the source of T cells trafficking to the tumors, perforin and granzyme B were detected in both LNS and tumors of *Listeria*-TT+GEM- and *Listeria*-TT-treated mice. The Cxcr3 receptor and chemokines Cxcl9 and Cxcl10 (interacts with Cxcr3) were also highly upregulated by *Listeria*-TT+GEM in KPC tumors,

possibly participating in T cell trafficking to the KPC tumors. Detailed studies will be required to identify the pathways of T cell migration into these tumors.

Tertiary lymph node structures in proximity to pancreatic tumors have been correlated with increased patient survival in PDAC[42]. Conversely, tumor draining lymph nodes were found to correlate with immune suppression, a more metastatic character, and poor outcome in PDAC patients[43]. Our study showed that *Listeria*-TT+GEM overcame immune suppression in the TME, as demonstrated by the RNAseq data and IHC of the KPC tumors and the functional T cells in the LNS and tumor areas producing perforin, granzyme, and IFNγ, and this correlated with a strong decrease in the number of metastases and tumor growth in mice.

Listeria-based immunotherapies for pancreatic cancer have also been reported by others. KPC mice in the early stage of PDAC that were immunized with *Listeria* ΔActA ΔInlB (LADD) engineered to express the Kras12GD mutation, combined with anti-CD25 Abs and cyclophosphamide, demonstrated delayed PanIN progression and moderately but significantly improved survival[44]. In another interesting study using the flank model, 4T1 and CT26 mice were immunized with LADD expressing AH1, also expressed by the tumors. This stimulated migration of tumor-specific CD8 T cells into tumors, reducing Tregs and converting M2 macrophages to M1[45]. In addition, mouse models of cervical, breast, and skin cancer have been successfully treated with *Listeria* expressing E7, Her2/neu, Flk-1, CD105, Mage-b, ISG15, and HMW-MAA[46].

Listeria has also been tested in clinical trials in patients with various cancers, including pancreatic cancer, showing safety and tumor-specific T cell responses, however, modestly improved survival (for a review see Forbes et al)[29]. As mentioned earlier, the concept of *Listeria* in clinical trials is completely different from our concept. *Listeria* used in the clinical trials is based on a "classical concept", i.e. the delivery of tumor-specific antigens into dendritic cells to stimulate naïve and memory T cells to

these antigens which are naturally expressed by the tumors, while our concept is based on colonizing and changing the TME by *Listeria*, and delivery of a highly immunogenic childhood vaccine antigen TT into tumor cells by Listeria, and reactivating pre-existing memory T cells to TT. This "classical concept" in clinical trials is partly the result of altering the *Listeria* (deletion of *ActA* and *InlB*) to improve safety and consequently cannot spread and/or multiply *in vivo*, and partly the result of administering *Listeria* intravenously (iv). We administer the *Listeria* in our mouse models intraperitoneally (ip). As demonstrated in this study, *Listeria* administered iv hardly reach tumors and metastases (pancreatic and breast cancer), but when administered ip *Listeria* colonizes the TME abundantly, delivers antigens in high concentration to the TME and inside tumor cells, and infects/alters MDSC and TAM. In multiple biodistribution studies in mice with pancreatic and breast cancer we found that *Listeria* was rapidly eliminated most likely by the immune system in blood[10,22,26], because the blood lacks immune suppression, while the TME is heavily immune suppressed.

Elderly cancer patients react less efficiently to vaccines than young adults[47,48], which is also true for cancer immunotherapy[49,50], mainly due to lack of naïve T cells later in life[51]. We believe that our approach of reactivating memory T cells generated during childhood overcomes the need for naïve T cells, potentially making this therapeutic strategy more effective for older patients. This may lead to new treatment modalities against pancreatic cancer where other types of therapies fail.

MATERIALS AND METHODS

Animal care

C57BL/6 male and female mice aged 3 months were obtained from Charles River. KPC mice[31] were generated in the laboratories of Drs. Gravekamp and Libutti, as described

previously[26]. C57Bl/6N-Tg(Cfms-gal4-vp16)-(UAS-eCFP)[35] were maintained in the laboratory of Dr. Condeelis. All mice were housed in the animal husbandry facility at Albert Einstein College of Medicine according to the Association and Accreditation of Laboratory Animal Care guidelines, and kept under BSL-2 conditions as required for *Listeria* treatments. All KPC mice were genotyped by Transnetyx (Cordova, TN).

Cell lines

The Panc-02 cell line with the Kras-G12D mutant allele was derived from a methylcholanthrene-induced ductal adenocarcinoma growing in a C57BL/6 female mouse[52]. Panc-02 cells were cultured in McCoy's5A medium supplemented with 10% fetal bovine serum, 2 mM glutamine, non-essential amino acids, 1 mM sodium pyruvate, and 100 U/ml Pen/Strep. The Panc-02 cell line expressing Dendra-2 was developed in the laboratory of Dr. Gravekamp. Briefly, Panc-02 cells were transfected with pDendra2C (ClonTech), and positive cells were selected using neomycin and FACS sorting. The 4T1 cell line was derived from a spontaneous mammary carcinoma in a BALB/c mouse[53]. Various 4T1 sub lines have been generated with different patterns of metastases[54], which is kindly provided to our lab by Dr. Fred Miller, Karmanos Institute, Wayne State University, Michigan, MI). The 4T1 cell line used in this study is highly aggressive, metastasizing predominantly to the mesenteric lymph nodes (MLN), and less frequently to the diaphragm, portal liver, spleen and kidneys (Gravekamp *et al*, 2008).

***Listeria* and *Listeria*-TT**

In this study, an attenuated *Listeria monocytogenes* (*Listeria*) was used as the vehicle for delivery of $TT_{856-1313}$[55] to the TME and inside tumor cells. The *Listeria* plasmid pGG-34, expressing the non-cytolytic truncated form of Listeriolysin O (LLO) under control of the

hemolysin promoter *(Phly)* and the positive regulatory factor A (prfA), with mutations to further reduce pathogenicity, have been described elsewhere[33]. *Listeria*-TT$_{856-1313}$ was developed in our laboratory **(Fig. 2A)**. Briefly, TT$_{856-1313}$[55] was cloned by PCR into pCR2.1 using primers containing XHoI and XMaI restriction sites and a myc Tag for detection of TT. (**PrF5':** CTC GAG TCA ACA CCA ATT CCA TTT; **PrR5':** CCC GGG TTA TAG ATC TTC TTC TGA AAT TAG TTT TTG TTC TGT CCA TCC TTC ATC TGT) Subsequently the pCR2.1-XHoI-TT$_{856-1313}$-Myc-XmaI and pGG34 plasmids were digested with XHoI and XMaI, and the XHoI-TT$_{856-1313}$-myc-XMaI DNA fragment was cloned into the pGG34 vector, and then electroporated into the *Listeria* background strain XFL7[33]. The *Listeria*-TT$_{856-1313}$ was characterized by DNA sequencing and by evaluating the secretion of TT$_{856-1313}$ into the culture medium **(Fig. 2A).** The TT$_{856-1313}$ fragment contains both mouse and human immunodominant T cell epitopes[41,56].

Infection of tumor cells *in vitro*

The *in vitro* infectivity of Panc-02 tumor cells was assessed as described previously[25]. Briefly, 10^6 cells/ml were infected with 10^7 CFU of *Listeria* or *Listeria* -TT for 1 hr at 37°C in culture medium, then incubated with gentamicin (50 μg/ml) for 1 hr to kill extracellular *Listeria*. Finally, cells were washed with phosphate-buffered saline (PBS), lysed in water, and serial dilutions were plated onto LB agar to quantify the infection rate the next day.

Evaluation of cell death

As described previously[25], tumor cell killing by *Listeria* or *Listeria*-TT was determined *in vitro* as follows. Panc-02 cells (3x10^3) plated in 96 well plates were infected with 10^7 CFU/well of *Listeria* or *Listeria*-TT for 3 hrs at 37°C, then gentamicin (50 μg/ml) was added. Live and dead cells were counted the next day using Trypan blue staining.

Biodistribution of *Listeria*-TT

C57Bl/6 mice were injected with $2x10^6$ tumor cells as described above, and 14 days later injected with a single large dose 10^7 CFU of *Listeria*-TT. Mice were euthanized at various time points after the injection, and metastases, tumors and normal tissues were dissected, weighed, and analyzed for CFU of *Listeria*-TT, as described previously[26].

Dose-limiting toxicity

C57Bl6 mice were injected ip with various doses of *Listeria*-TT, and survival was monitored over the next 20 days, as described previously[26].

Tumor development

Panc-02 tumor cells were injected into the mammary fat pad (peritoneal cavity model) (10^5) or orthotopically into the pancreas (10^6) of C57Bl/6 mice. When injected into the mammary fad pat, a relatively small primary tumor develops at the place of injection (peritoneal membrane) and tumor cells metastasize via the peritoneal cavity to other organs, predominantly to the pancreas and liver, and less abundantly to mesenchymal lymph nodes along the gastrointestinal tract and the diaphragm[22], but when injected into the pancreas tumor cells a primary tumor develops in the pancreas and tumor cells metastasize to the liver only[57]. In KPC mice, multiple primary tumors develop spontaneously in the pancreas, metastasizing to the liver and lungs[26,31].

Orthotopic Panc-02 model

Orthotopic Panc-02 tumors were generated in C57Bl/6 mice as described previously[58]. Briefly, mice were anesthetized with Ketamine/Xylazine (respectively 100 mg and 10 mg/Kg, ip), the hair was removed at the location of the spleen, and the skin was sterilized with betadine followed by 70% alcohol. The animal was covered with gauze

sponge surrounding the incision site. A 1 cm incision was made in the abdominal skin and muscle just lateral to the midline and directly above the spleen/pancreas to allow visualization. The spleen/pancreas was gently retracted and positioned to allow injection of 10^6 KPC tumor cells directly into the pancreas, from the tail all the way to the head of the pancreas. To prevent leakage of injected cell suspension, the injection site was tied off after tumor cell injections with dissolvable suture. The spleen/pancreas were then replaced within the abdominal cavity, and both muscle and skin layers closed with sutures. Following recovery from surgery, mice were monitored and weighed daily. A palpable tumor appeared within 2 weeks in the pancreas, and metastases in the liver began to develop within 2-4 weeks.

Protocol for treatment of Panc-02 and KPC mice with *Listeria*-TT+GEM

A detailed rationale for this treatment protocol and schematic view of all treatments are shown in **Fig. 1B.** Panc-02 mice. To generate memory T cells to TT, C57Bl/6 mice were immunized twice intramuscularly with the Tetanus vaccine (the same used for childhood vaccinations, 0.3 µg/50 µL) 1 week apart. Subsequently, Panc-02 tumor cells (10^5/100 µL) were injected into mammary fat pad. A single high dose of *Listeria*-TT (10^7 CFU) was injected ip, either 3-5 days after tumor cell injection when tumors were 1-3 mm (early pancreatic cancer) or 10-14 days after tumor cell injection when tumors were 5-10 mm (advanced pancreatic cancer). In addition, Panc-02 tumor cells (10^6/50 µL) were injected into pancreas, and 10-14 days later when tumors were 5-10 mm, a single high dose *Listeria*-TT (10^7 CFU) was injected ip. Three days later, GEM (Gemizan: Zuventus, Mombay, India) treatment was started (1.2 mg/mouse, every 3 days) and continued for 14 days (six doses in total). Concomitantly, low doses of *Listeria*-TT were administered daily for 2 weeks (14 doses in total). All mice were euthanized 2 days after the last treatment, and visually analyzed for tumor weight and the number of metastases, as

described previously[22]. <u>KPC mice</u>. KPC mice, age 3.5-5 months, received the same treatment described above, after verification of the presence of tumors and metastases by PET scan.

Intravital multiphoton imaging (IVMI)

Panc-02 tumor cells (10^6) expressing Dendra-2 were injected into the pancreas of transgenic mice [C57Bl/6N-Tg(Cfms-gal4-vp16)-(UAS-eCFP)][35] in which the macrophages were labeled with cyan fluorescent protein (CFP). Three weeks later, when a pancreatic tumor developed and on the same day as imaging, *Listeria*-TT was labeled *ex vivo* with Alexa-680 by incubation with rabbit anti-*Listeria* polyclonal antiserum (dilution 1:200) and anti-rabbit IgG-Alexa-680 (dilution 1:250). *Listeria*-TT-Alexa-680 was injected ip, and four hours later, when *Listeria*-TT had accumulated in the TME and infected tumor cells, mice were anesthetized using isoflurane. Pancreatic tumors were externalized through a small incision (~0.7 cm) through the skin and peritoneal wall, and stabilized for imaging using previously published protocols[59]. Multiphoton intravital microscopy was performed using a custom-built two-laser multiphoton microscope[60]. Tumors were imaged with a femtosecond laser set to 880 nm for excitation of CFP or the green form of Dendra-2, and an optical parametric oscillator set to 1240 nm for excitation of Alexa 680. Z-stacks to a depth of 45 μm were acquired with a 1 μm slice interval. During the course of imaging, the animal was placed in a heated chamber maintained at physiological temperature. Mice were monitored for heart rate, breathing rate, pulse distension, breath distension and oxygen saturation using a pulse oximeter (MouseSTAT, Kent Scientific).

PETscan

Positron emission tomography (PET) was performed as described previously[61]. Briefly, the effect of the *Listeria*-TT+GEM treatment on the tumor and metastases was monitored by microPET (Siemens Imaging System) prior to and post-treatment. For this purpose, mice were injected with the positron emitter [^{18}F]-labeled deoxyglucose. The uptake of ^{18}F-FDG by tumors and metastases was quantified by microPET as described previously[22]. Increased FDG uptake reflects increased metabolic activity of cancer cells, expressed as SUVmax.

Flow cytometry

Immune cells from spleens, blood, or metastases of mice were isolated as described previously[50]*.* Anti-CD3 and anti-CD8 antibodies were used to identify CD8 T cells, anti-CD3 and anti-CD4 to identify CD4 T cells, anti-CD11b and anti-Gr1 to identify MDSC, and anti-CD11b and anti-F4/80 to identify TAM. To detect intracellular cytokine production, the cells were incubated with GolgiPlug (1 µg/ml) for 6 hrs, then treated with Cytofix/Cytoperm according to the manufacturer's instructions, before staining with antibodies to intracellular cytokines, IFNγ, granzyme B, perforin, IL-6, IL-10, TNFα, and other markers such as the T cell response inhibitor MARCO, the T cell activation marker CD69, or the costimulator CD80. Appropriate isotype controls were included for each sample. 50,000-100,000 cells were acquired by scanning using a special order LSR-II Fluorescence Activated Cell Sorter system (Beckton and Dickinson), and analyzed using FlowJo 7.6 software. Cell debris and dead cells were excluded from the analysis based on scatter signals and use of Fixable Blue or Green Live/Dead Cell Stain Kit.

ELISPOT

Spleen cells were isolated from treated and control Panc-02 and KPC mice for ELISPOT (BD Biosciences, cat# 551083) analysis, as described previously[62]. To detect T cell responses to TT, 10^5 spleen cells were incubated with purified TT protein (5 µg/ml). The frequency of IFNγ-producing spleen cells was measured 72 hrs later using an ELISPOT reader (CTL Immunospot S4 analyzer). To determine the frequency of IFNγ-producing CD4 and CD8 T cells, spleen cells were depleted for CD4 and CD8 T cells using magnetic bead depletion techniques according to the manufacturer's instructions. A similar protocol was used for T cells isolated from Panc-02 orthotopic tumors.

Western blotting

Expression of TT protein in tumor cells was analyzed by western blotting as described previously[63]. Briefly, cells were lysed in RIPA buffer containing protease inhibitors, and proteins were separated on 4-12% gradient SDS-polyacrylamide gels, then electro-transferred to PVDF membrane. Membranes were incubated with rabbit anti-myc IgG, followed by horseradish peroxidase-conjugated goat anti-rabbit IgG. Detection was with a chemiluminescence detection kit. Antibody to β-actin was used to ensure equal loading.

Immunohistochemistry (IHC)

Tumors were dissected from pancreas and immediately fixed with buffered formalin, and the tissue was embedded in paraffin. Sections (5 µm) were sliced and placed on slides, then deparaffinized at 60ºC for 1 hr, followed by xylene, an ethanol gradient (100-70%), water, and PBS. Slides were then incubated for 30 min in 3% hydrogen peroxide followed by boiling in citrate buffer for 20 min. Once the slides were cooled, washed, and blocked with 5% goat serum, the sections were incubated with primary antibodies such

as anti-CD4 (1:100 dilution), anti-CD8α (1:400 dilution), anti-Perforin (1:300 dilution), anti-Granzyme (1:200 dilution), anti-CD31 (1:100 dilution) or anti-TT (1:50 dilution), followed by incubation with secondary antibody (mouse anti-goat IgG-HRP), and SignalStain® Boost IHC Detection Reagent (Cell Signaling Technology, cat# 8114S). Subsequently, the slides were incubated with 3,3'-diaminobenzidine (DAB)(Vector Laboratories, cat# SK-4100), counterstained with hematoxylin, dehydrated through an ethanol gradient (70-100%) and xylene, and mounted with Permount. The slides were scanned with a 3D Histech P250 High Capacity Slide Scanner to acquire images and quantification data. Secondary antibodies without primary antibodies were used as negative control.

RNAseq

Sample preparation for RNA seq. Total RNA was isolated from the metastasis and tumor tissues, treated with DNase, and evaluated using the Agilent Bioanalyzer. The purified high quality total RNA was submitted to the Epigenetics Shared Facility (ESF) for assay and analysis. An RNAseq assay using 25 ng of RNA was performed and validated by the ESF.

Whole-transcriptome library preparation and high-throughput RNA sequencing. ESF personnel performed rRNA-depletion using a Ribo-Zero rRNA Removal Kit, and reverse transcription using random hexamers and oligo(dT) to synthesize ds-cDNA. Libraries were prepared with adapters and barcodes for multiplex sequencing, and sequenced using the Illumina HiSeq 2500. Raw FASTQ files were trimmed for adapter sequences using quart. FASTQ file sequence quality was evaluated using FastQC[64], and read coverage determined using the RSeQC package[65].

RNAseq data analysis. We generated a total of 150 RNAseq runs. For each run we obtained at least 20 million reads, with >70% of them aligned to the mouse genome. We

used the Bowtie/Tophat/Cufflinks/Cuffdiff software suite[66-68], applying the Tuxedo protocol.

Statistics

To statistically compare the effects of *Listeria*-TT+GEM on the growth of metastases and tumors or on immune responses in the mouse models, the Mann-Whitney was applied using Prism. $*p<0.05$, $**<0.01$, $***<0.001$, $****<0.0001$. Values of $p<0.05$ were considered statistically significant.

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revision of the manuscript: B.C. Selvanesan, D. Chandra, W. Quispe-Tintaya, Z. Yuan, S.K. Libutti, C. Gravekamp. Acquisition of data (provided animals, developed *Listeria*-TT construct, acquired immunological and efficacy data, provided facilities, etc.): B.C. Selvanesan, D. Chandra, W. Quispe-Tintaya, A. Jahangir, A. Patel, K. Meena, R. Alves Da Silva, J. Li, S. Siddiqui, A. Beck, L. Tesfa, W. Koba, Z. Yuan, S.K. Libutti, C. Gravekamp. Analysis and interpretation of data (statistical analysis, biostatistics, computational analysis): B.C. Selvanesan, D. Chandra, W. Quispe-Tintaya, A. Jahangir, A. Patel, K. Meena, R. Alves Da Silva, A. Beck, L. Tesfa, W. Koba, J. Chuy, Z. Yuan, S.K. Libutti, C. Gravekamp. **Competing interests:** Dr. Gravekamp has ownership interest in a patent application filed for the *Listeria*-recall antigen concept (96700/2230). No other competing interests were disclosed by the authors. **Data and materials availability:** All data associated with this study are available in the paper or the Supplementary Materials.

Figure Captions

Fig. 1. (A) Mechanistic view of the *Listeria*-recall antigen concept. Tetanus vaccine will be used to generate memory T cells to recall antigen TT in mice prior to tumor development. Antigen-presenting cells (DC) take up and present TT protein to naïve T cells. Upon repeated exposures to TT, naïve T cells differentiate into memory T cells, which circulating in the blood for life. These memory cells are to be reactivated after appearance of the primary tumor and metastases, as follows. To deliver TT into tumor cells, mice receive a high dose of *Listeria*-TT bacteria, whose replication will lead to more TT protein in the tumor cells. Subsequently, frequent low dose immunizations with *Listeria*-TT reactivate TT-specific memory T cells. Finally, TT-specific memory T cells migrate to the tumor and kill tumor cells presenting TT epitopes. Since attenuated *Listeria* bacteria colonize the TME, they will also infect MDSC and macrophages (not

depicted). **(B) Protocol for treatment of Panc-02 and KPC mice with *Listeria*-TT+GEM.** In the Panc-02 model, mice were immunized twice with Tetanus vaccine (TTvac) to generate memory T cells. Subsequently, Panc-02 tumor cells were injected and tumors allowed to develop to the early stage of pancreatic cancer (1-3 mm) or advanced stage (5-10 mm). After an initial high ip dose of *Listeria*-TT (10^7 CFU), it accumulated and multiplied in the TME for 3 days. Low doses of GEM (1.2 mg/dose) were then given every 3 days for 14 days in order to eliminate MDSC and TAM. At this point, MDSC are no longer required to bring *Listeria*-TT to the TME. Instead, reducing MDSC and TAM populations by GEM serves to improve T cell responses. Concomitantly, daily low doses of *Listeria*-TT (10^4 CFU) reactivate TT-specific memory T cells, improved by GEM. Mice were euthanized two days after the last treatment. Panc-02 mice were analyzed for tumor weight and the number of metastases.

In the KPC model, the presence of tumors and/or metastases were first verified by PET scan before mice received the same treatments described above. The metabolic activities (SUVmax) of tumors and metastases in KPC mice were measured by PET scan. Comparisons of SUVmax pre- and post-treatment provide a measure of the growth of tumors and metastases. LM-TT = *Listeria*-TT.

Fig. 2. Development and characterization of the *Listeria*-TT vaccine. **(A)** *Listeria*-TT construct. $TT_{856-1313}$, a non-toxic fragment of the C-terminus of TT cDNA (aa position 856-1313, 52 kDa) was cloned as a fusion protein with a truncated non-cytolytic Listeriolysin O (LLO, 48 kDa) into the *Listeria* plasmid pGG34, under the control of the LLO promoter (P). A myc tag was included for detection of the TT protein. **(B)** Western blot detection of TT protein secretion using anti-myc. **Lane 1**: negative control (growth medium); **Lane 2**: supernatant of *Listeria*-TT culture; **Lane 3**: pellet of *Listeria*-TT culture. **(C)** Detection of TT protein in tumor cells. **Lane 1**: Panc-02 tumor cells; **Lane 2**:

Panc-02 tumor cells infected with *Listeria* alone; **Lane 3**: Panc-02 tumor cells infected with *Listeria*-TT. (**D**) Panc-02 tumor cells infected with *Listeria*-TT. Average of 2 independent experiments, 3 wells per group. (**E**) Panc-02 tumor cell killing by *Listeria*-TT. Averages of 3 independent experiments; 3 wells per group. (**F**) *Listeria*-TT accumulation in tumors and metastases, but not in normal tissues. A single high dose of *Listeria*-TT, 10^7 CFU/200 μL, was injected ip into Panc-02 mice, and *Listeria*-TT CFU were measured in tumor, metastatic, and normal tissues at different time points. Averages of a single experiment; n=3 mice per timepoint, n=3 tissues per organ/tumor/metastases. In (**D-F**), error bars represent SEM. (**G**) *Listeria*-TT infecting tumor cells and macrophages (MΦ) in Panc-02-dendra-2 tumors of live mice, by intravital imaging. Tumor cells are green, macrophages blue, and *Listeria*-TT red. (**H**) Immunohistochemical detection of TT protein using polyclonal anti-TT antibody in tumors of KPC mice that received *Listeria*-TT+GEM or *Listeria*-TT treatment. (**I**) Effect of GEM on *Listeria in vitro*. Average of one experiment; n=3 wells per concentration, 3 fields per well. (**J**) Effect of GEM on *Listeria in vivo*. Average of 2 independent experiments; n=3 mice per group. (**K**) ELISPOT analysis of CD45+ IFNγ-producing immune cells isolated from orthotopic Panc-02 tumors in mice treated with *Listeria*-TT+GEM. (**L**) Flow cytometry of IFNγ-producing CD4+ T cells in the CD45+ fraction, isolated from orthotopic Panc-02 tumors. In each group tumors of 5 mice were pooled. Mann-Whitney *p <0.05, **p<0.01, ***p<0.001, ****p<0.0001. LM-TT = *Listeria*-TT.

Fig. 3. Generation and recall of memory CD4 and CD8 T cell responses to TT. (A) Generation of CD4 and CD8 memory T cells to TT by immunization of Panc-02 or KPC mice with Tetanus vaccine (TTvac), prior to tumor development. (**B**) Reactivation of TT-specific memory CD4 and CD8 T cells in Panc-02 mice post tumor development through the *Listeria*-TT+GEM treatment cycle described in Fig 1B. (**C**) Reactivation of TT-specific

memory T cells in KPC mice post tumor development by the *Listeria*-TT+GEM treatment cycle. In **(A-C)**, T cells producing IFNγ were analyzed in a restimulation assay with TT protein by ELISPOT. Representative results of 2 experiments are shown; n=3 mice per group (pooled), 6 wells per group. Statistical significance by Mann-Whitney test: *p<0.05, ***p<0.001 and *****p<0.0001. **(D)** RNAseq analysis of immune responses and tumor cell death pathways in pancreatic tumors of KPC mice in response to *Listeria*-TT+GEM treatment. The heatmap was generated by unbiased hieratical clustering at the following statistical parameters. Statistical parameters for the paired-wise comparison: p<0.05. The signature contains 25 important genes. m1=mouse 1, and m2=mouse 2. **(E)** Flow cytometric analysis of changes in MDSC (CD11b+Gr1+) in blood and tumors, and TAM (CD11b+F4/80+) in tumors of Panc-02 mice in response to the *Listeria*-TT+GEM treatment cycle. Average of a single experiment of MDSC in blood with n=3 mice per group, and the average of 2 independent experiments of MDSC and TAM in tumors with n=3 mice per group. LM-TT = *Listeria*-TT.

Fig. 4. Tetanus protein attracts CD4 memory T cells to the TME. KPC mice were treated with saline, GEM, *Listeria*-TT, or *Listeria*-TT+GEM as outlined in Fig 1B. Three days after the last treatment, the pancreas with tumors including lymph node like structures (LNS) were excised and processed for CD4 and CD8 staining by IHC. **(A)** CD4 T cells in the KPC tumors and the presence of LNS were analyzed in all treatment groups. **(B)** Isolation and flow cytometry analysis of CD4 and CD8 T cells in a LNS of a mouse that received *Listeria*-TT. The CD45+ cells were gated, followed by CD3CD4 or CD3CD8 gating, followed by gating of CD3CD4 and CD3CD8 T cells producing intracellular IFNγ, followed by staining to identify T cells with memory phenotype (CD44+CD62L-). **(C)** Production of perforin and granzyme B in KPC tumors, by IHC. (d) **(D)** Production of perforin and granzyme B in KPC LNS, by IHC. **(E)** Quantification of

CD4 T cells in KPC tumors and the production of perforin and granzyme B in the KPC

tumors and LNS. CD4 T cell numbers were counted in the tumor areas of all treatment

groups. Also, the number of perforin and granzyme B-producing cells were counted in

the tumor areas and LNS of all treatment groups. In each group, four-ten fields were

measured, and the number of cells per $1mm^2$ was calculated. Results of three mice per

group were averaged. Mann-Whitney *p<0.05, ** p<0.01, ***p<0.001. LM-TT = *Listeria*-

TT. Scale bars=100µm.

Fig. 5. *Listeria***-TT+GEM robustly reduces advanced pancreatic cancer in KPC**

mice. KPC mice received the combined treatment as outlined in Fig 1B. **(A)** Effect of

treatment on advanced pancreatic cancer in KPC mice. Averages are shown for a single

experiment with 5 mice in the *Listeria*-TT+GEM group, and 2 mice in each other

treatment group. *Listeria*-TT+GEM was started at age 3–5.5 months, after tumors and

metastases were verified through PET scan. SUVmax of tumors and metastases were

measured prior to and post-treatment. **(B)** Examples for each treatment group of

pancreatic tumors in KPC mice**.** LM-TT is more effective than LM against advanced

pancreatic in Panc-02 **(C)** and KPC mice **(D)**. Both models received LM-TT or LM as

outlined in Fig 1B. The LM-TT group also received TTvac prior tumor development. **(E)**

LM-TT combined with TTvac was significantly more effective against advanced

pancreatic cancer than LM-TT without TTvac. Panc-02 mice with advanced pancreatic

cancer received the LM-TT±TTvac as outlined in Fig 1B. The results of 2 experiments

were averaged with n=8-9 mice per group, and analyzed by Mann-Whitney test *p<0.05,

**p<0.01, ***p<0.001. Error bars represent SEM. LM-TT = *Listeria*-TT.

Table Captions

Table 1A: *Listeria*-TT and GEM increases CD4 and CD8 T cell responses *in vivo* in Panc-02 mice

Table 1B: *Listeria*-TT and GEM increases CD4 and CD8 T cell responses *in vivo* in KPC mice

Table 1C: Listeria-TT and GEM reduce immune suppressive function of MDSC (CD11b+Gr1+) in metastases of Panc-02 mice

Table 1D: *Listeria*-TT and GEM reduce immune suppressive function of TAM (CD11b+F4/80+) in metastases of Panc-02 mice

SUPPLEMENTAL MATERIALS

Fig S1: Intraperitoneally but not intravenously injected *Listeria* colonizes tumors and metastases

Fig S2: Gating of CD4 and CD8 T cells in spleens of tumor-bearing Panc-02 mice treated with *Listeria*-TT and GEM, in combination or separately

Fig S3: Detail of CD4 and CD8 T cells in tumors of *Listeria*-TT+GEM-treated and control mice

Fig S4: Detail of lymph node-like structures associated with pancreatic tumors in mice

Fig S5: Effect of LM-TT+GEM treatment on expression of genes involved in T cell migration, efficacy and safety

Fig S6: Flow cytometry demonstration of MDSC and TAM in metastases of tumor-bearing Panc-02 mice

Table S1: Pathological examination of tissues in C57Bl/6 mice 2 days after the last treatment with *Listeria*-TT and Gemcitabine

Table S2: The effect of *Listeria*-TT and Gemcitabine on liver functions in C57Bl/6 mice

Additional Supplementary Information

Figure 2

Figure 3

Generation or pre-existing memory T cells to TT — **A**

Reactivation of pre-existing memory T cells to TT — **B**

Reactivation of pre-existing memory T cells to TT — **C**

KPC tumors — **D**

Tumor cell death   Immune responses

E

Figure 4

Listeria-TT+GEM robustly reduce advanced pancreatic cancer in KPC mice

A

Saline | GEM | LM-TT | LM-TT+GEM

☐ SUVmax prior treatment ☐ SUVmax post treatment

B

KPC — Saline | GEM | LM-TT | LM-TT+GEM

TT contributes to eradication of pancreatic cancer

LM vs LM-TT

C Panc-02

D KPC

TTvac vs no TTvac

E

Panc-02

Table 1A: Listeria-TT and GEM increases CD4 and CD8
T cell responses *in vivo* in Panc-02 mice

T cell responses*	Saline	GEM	LM-TT	LM-TT+GEM
CD3CD4CD69	15.5	14.2	14.2	28.5
CD3CD8CD69	16.7	15.5	15.5	33.2
CD3CD4Perforin	7.9	14.9	14.9	22.7
CD3CD8Perforin	2.1	4.8	4.9	6.2
CD3CD4Granzyme B	4.9	4.8	5.9	9.9
CD3CD8Granzyme B	1.2	1.1	1.2	2.4
CD3CD4IFNγ	2.6	2.7	3.0	5.0
CD3CD8IFNγ	0.5	0.4	0.4	0.9

* T cell responses are provided in percentages (Flow cytometry).
An example of gating T cells is shown in **Fig. S2AB**
All mice were treated as outlined in **Fig. 1B.** LM-TT = Listeria-TT
n=3 mice per group (spleen cells were pooled). Representative of 2 experiments

Table 1B: Listeria-TT and GEM increases CD4 and CD8
T cell responses *in vivo* in KPC mice

T cell responses	Saline	GEM	LM-TT	LM-TT+GEM
CD3CD4CD69	13.5	10.01	6.59	17.34
CD3CD8CD69	12.3	17.5	18.8	23.92
CD3CD4Perforin	0.26	7.90	8.22	14.27
CD3CD8Perforin	0.25	15.60	14.73	16.70
CD3CD4Granzyme B	3.1	3.5	4.82	12.17
CD3CD8Granzyme B	1.6	9.71	9.82	12.60
CD3CD4IFNγ	0.3	0.0	0.41	0.16
CD3CD8IFNγ	0.0	1.7	1.85	3.33

*T cell responses are provided in percentages (Flow cytometry).
All mice were treated as outlined in **Fig. 1B.** LM-TT = Listeria-TT
n=3 mice per group (spleen cells were pooled). Representative of 2 experiments.

Table 1C: Listeria-TT and GEM reduce immune suppressive function
of MDSC (CD11b+Gr1+) in metastases of Panc-02 mice

MDSC responses*	Saline	LM-TT	GEM	LM-TT+GEM
IL-10	3.46	2.44	2.76	1.45
IL-6	4.25	4.05	3.59	1.97
TNFα	3.64	8.42	8.49	11.6
MARCO	3.38	3.70	3.84	1.79
CD80	1.28	1.08	1.78	1.17

*MDSC responses are provided in percentages (Flow cytometry).
An example of gating the MDSC is shown in **Fig. S6A.**
All mice were treated as outlined in **Fig. 1B.** LM-TT = Listeria-TT
n=3 mice per group (CD45+ cells were pooled). This experiment was performed once.

Table 1D: Listeria-TT and GEM reduce immune suppressive function
of TAM (CD11b+F4/80+) in metastases of Panc-02 mice

TAM responses*	Saline	LM-TT	GEM	LM-TT+GEM
IL-10	1.45	0.65	1.47	0.72
IL-6	1.09	0.76	1.25	0.42
TNFα	4.78	8.73	8.85	8.04
MARCO	1.96	2.16	1.94	1.33
CD80	1.93	3.07	4.28	5.26

*TAM responses are provided in percentages (Flow cytometry).
An example of gating TAM is shown in **Fig. S6B.**
All mice were treated as outlined in **Fig. 1B.** LM-TT = Listeria-TT
n=3 mice per group (CD45+ cells were pooled). This experiment was performed once.

SUPPLEMENTARY INFORMATION

Tumor-targeted delivery of childhood vaccine recall antigens by attenuated *Listeria* reduces pancreatic cancer

Benson Chellakkan Selvanesan[1$], Dinesh Chandra[1$], Wilber Quispe-Tintaya[1$], Arthee Jahangir[1], Ankur Patel[1], Kiran Meena[1], Rodrigo Alves Da Silva[1], Steven K Libutti[2], Ziqiang Yuan[2], Jenny Li[1], Sarah Siddiqui[1], Amanda Beck[3], Lydia Tesfa[4], Wade Koba[5], Jennifer Chuy[6], John C. McAuliffe[7], Rojin Jafari[8], David Entenberg[8,9,10], Yarong Wang[8,9,10], John Condeelis[8,9,10,11], and Claudia Gravekamp[1*].

Fig. S1: Intraperitoneally but not intravenously injected *Listeria* colonizes tumors and metastases

Experiments conducted in three different mouse models showed that *Listeria* colonized the TME efficiently if injected intraperitoneally (ip), but very poorly or not at all when injected intravenously (iv). **(A)** Balb/C mice were injected into the mammary fat pad with 4T1 tumor cells, resulting in primary tumors in the peritoneal membrane and multiple metastases in the liver, pancreas and along the GI, as described previously[1]. After tumors and metastases had developed, *Listeria* (10^7 CFU) was injected ip or iv, and the number of *Listeria* bacteria was determined 1, 3, or 4 days later in blood and all organs, including tumors and metastases, as done previously[2]. **(B)** A similar experiment in the Panc-02 mouse peritoneal cavity model. Panc-02 tumor cells were injected into the mammary fat pad of Panc-02 mice, resulting in a primary tumor in the peritoneal membrane and multiple metastases in the pancreas, liver and gastrointestinal tract, as described previously[3]. Ten days later, when primary tumor was palpable at the place of injection and metastases had developed, *Listeria*-TT was administered as above. CFU in the blood, tumors, metastases and normal tissue (spleen) were determined 3 days later as described previously[3]. **(C)** A similar experiment in the 4T1 model. Injection of 4T1 tumor cells into the mammary fat pad resulted in a primary mammary tumor and metastases in the lungs within 4 weeks, *Listeria*-TT was administered, and CFU measured 3 days later, as described previously[4]. In **A-C,** n=3 mice per timepoint and multiple tissues per organ/tumor/metastases have been analyzed.

Fig. S2: Gating of CD4 and CD8 T cells in spleens of tumor-bearing Panc-02 mice treated with *Listeria*-TT and GEM, in combination or separately

Examples of gating **(A)** the CD4 T cells and **(B)** CD8 T cells isolated from spleens of treated and control mice. CD3CD4-pos cells and CD3CD8-pos cells were gated,

followed by gating of CD4 or CD8 T cells producing IFNγ, Perforin, Granzyme B, or expressing CD69. A similar gating protocol was used to analyze CD4 and CD8 T cells in spleens of KPC mice.

LM-TT = *Listeria*-TT.

Fig. S3: Detail of CD4 and CD8 T cells in tumors of *Listeria*-TT+GEM-treated and control mice

KPC mice received treatment with *Listeria*-TT+GEM, or control treatments, as outlined in **Fig 1B.** Two days after the last treatment, tumor tissues were analyzed by IHC for the presence of CD4 **(A-D)** and CD8 **(E-H)** T cells. CD8, and particularly CD4 T cells, were predominantly observed in the tumor areas of KPC mice that received *Listeria*-TT or *Listeria*-TT+GEM.

LM-TT = *Listeria*-TT.

Fig. S4: Detail of lymph node-like structures associated with pancreatic tumors in mice.

CD4 T cells **(A)** in tumor areas with TT **(B)** and CD31 **(C)** expression by IHC of KPC mice treated with *Listeria*-TT+GEM. Circles mark tumor areas. **(D)** Example of LNS in close proximity to a pancreatic tumor of a KPC mouse. In the overview of the lymphoid structure, there were well-developed follicular structures, many of which contained a pale germinal area at the center. CD4+ T cells were concentrated in the cortex and in the deep cortical zone/paracortex, where they were arranged in sheets rather than follicles. CD8+ T cells were concentrated within the deep cortical zone/paracortex. Below are views of the lymphoid structure at higher magnification, to visualize the density of CD4+ and CD8+ T cells. CD4+ cells were located mostly within the cortex, surrounding and within the follicles, and in the paracortex. CD8+ cells were primarily within the

paracortex. **(E)** Production of Granzyme B and Perforin in LNS of KPC mice treated with saline, GEM, *Listeria*-TT, or *Listeria*-TT+GEM, detected by IHC.

LM-TT = *Listeria*-TT.

Fig. S5: Effect of LM-TT+GEM treatment on expression of genes involved in T cell migration, efficacy and safety

(A) Detection of chemokines and their receptors in pancreatic tumors of *Listeria* TT+GEM-treated or untreated KPC mice by RNAseq. Results of 2 mice are shown in each group. Log2-fold increase in the group of *Listeria*-TT+GEM compared to the untreated mice. The heatmap was generated by unbiased hieratical clustering at the following statistical parameters. Statistical parameters for the paired-wise comparison: $p<0.05$. **(B)** LM-TT+GEM testing in the Panc-02 mouse peritoneal cavity model of early pancreatic cancer. Treatments began three days after tumor cell injection as outlined in Fig 1B. Mice were euthanized after treatment, and analyzed for tumor weight and the number of metastases. Two independent experiments with 5 mice per group were averaged. **(C)** LM-TT+GEM testing in the Panc-02 mouse peritoneal cavity model of advanced pancreatic cancer. Treatments began ten days after tumor cell injection as outlined in Fig 1B. Two independent experiments with 5 mice per group were averaged. **(D)** LM-TT+GEM testing in the orthotopic Panc-02 mouse model of advanced pancreatic cancer. Treatments were started 14 days after tumor cell injection as outlined in Fig 1B. Averages are shown for a single experiment with 5 mice in each group. Error bars represent standard error of the mean. Mann-Whitney *$p<0.05$, *** $p<0.01$. Examples of pancreatic metastases and tumors are shown of early pancreatic cancer in the peritoneal Panc-02 model **(E),** advanced pancreatic cancer in the peritoneal Panc-02 model **(F),** and advanced pancreatic cancer in the orthotopic Panc-02 model **(G).**

(H) Dose-limiting toxicity study of *Listeria*-TT in tumor-naive C57Bl6 mice (n=5 mice per group).

Fig. S6: Flow cytometry demonstration of MDSC and TAM in metastases of tumor-bearing Panc-02 mice.

(A) Example of gating of MDSC cells present in metastases of treated and control mice. First, live cells were gated. Subsequently, CD11bGr1-pos cells (MDSC) were gated in the live cell population, followed by the gating of Gr1-pos cells producing IL-10, IL-6, TNFα, or expressing MARCO or CD80 as indicated in the figure. **(B)** Example of gating TAMs present in metastases of treated and control mice. First, CD45+ leukocytes were gated to exclude tumor cells, followed by gating of CD11bF4/80-pos cells, followed by F4/80-pos cells producing IL-10, IL-6, TNFα, or expressing MARCO or CD80.

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Figure S2A

Figure S2B

Saline
CD4

GEM
CD4

LM-TT
CD4

LM-TT+GEM
CD4

Saline
CD8

GEM
CD8

LM-TT
CD8

LM-TT+GEM
CD8

LM-TT/Granzyme B   LNS

LM-TT+GEM/Granzyme B   LNS

Saline/Perforin — LNS

GEM/Perforin — LNS

Figure S5A-H

Figure S6A

Figure S6B

Table S1: Pathological examination of tissues in C57Bl/6 mice 2 days after the last treatment with Listeria-TT and Gemcitabine

Treatment	Saline			Listeria-TT+Gem		
Mouse	1	2	3	1	2	3
Liver						
Random foci of mixed leukocytes	1	1	1	0	1	1
Individual hepatocyte cell death	1	0	0	0	1	1
Extramedullary hematopoiesis	0	0	0	0	0	1
Hepatocellular cytoplasmic vacuolation	0	0	0	0	0	0
Foci of necrosis	0	0	0	0	0	0
Kidney						
Tubular ectasia, cortex	0	0	0	0	0	0
Tubular ectasia, medulla	0	0	0	0	0	1
Tubular epithelial cell cytoplasmic vacuolation, cortex	0	0	0	0	1	0
Tubular epithelial cell cytoplasmic vacuolation, medulla	0	0	0	0	0	0
Regenerative tubules, cortex	0	0	0	1-2	1-2	0
Regenerative tubules, medulla	0	0	0	0	0	0
Tubular proteinosis, cortex	0	0	0	0	0	0
Tubular proteinosis, medulla	0	0	0	0	0	1
Spleen						
Lymphoid hyperplasia, white pulp	2	2	2	0	0	0
Extramedullary hematopoiesis, red pulp	0	2	2	3	3	3
Lung						
Increased mixed leukocytes, alveolar septae	2-3	2-3	2-3	2-3	2-3	2-3
Perivascular/peribronchiolar lymphocytic to lymphohistiocytic infiltrates	1	0	0	1-2	0	0

The samples were graded on a scale of 0-5; 0=no significant findings, 1=minimal finding, 2=mild finding, 3=moderate finding, 4=marked finding, 5=severe finding. C57Bl/6 mice were treated with Listeria-TT and GEM or Saline as described in **Fig. 1B** of the manuscript. Two days after the last treatment mice were euthanized and analyzed for potential side effects by a Histopathological board-certified veterinary pathologist. In each group 3 mice were analyzed.

Table S2: The effect of Listeria-TT and Gemcitabine on liver functions in C57Bl/6 mice

Treatment	AST (U/L)	ALT (U/L)
Saline	107	16
Listeria-TT and GEM	137	15
Normal values	50-100	25-60

C57Bl/6 mice were treated with Listeria-TT and GEM or Saline as described in **Fig. 1B** of the manuscript. Two days after the last treatment mice were euthanized and analyzed for liver functions such as aspartate aminotransferase (AST) and alanine aminotransferase (ALT). In each group 3 mice were analyzed. The plasma samples were pooled in each group.

ADDITIONAL SUPPLEMENTARY INFORMATION

Software used for data collection

1. BD CellQuest™ Pro software (BD Bioscience, version 6.1) was used to collect the flow cytometry data.

2. Panoramic Scanner software (3D Histech, version) was used to scan the IHC slides.

3. AID ELISPOT reader software (AID Autoimmun Diagnostika GmbH, version 3.2.3) was used to scan ELISPOT plates.

4. Image lab software (Bio-Rad, version 5.2.1) was used to acquire western blot images.

5. BD FACSDiva software (BD Bioscience, version 8.0.1) was used to collect the flow cytometry data.

6. Inveon Application Workstation software (Siemens Medical Solutions, Version 2.1.272) was used to collect MicroPET data.

Software used for data analysis

1. Flowjo software (Becton Dickinson & Company, version 10.6.1) was used to analyze flow cytometry data.

2. Flowjo software (Tree Star, Inc, version 8.7) was used to analyze flow cytometry data

3. GraphPad Prism software (GraphPad software Inc, version 8.2.0) was for statistical analysis and data presentation.

4. CaseViewer software (3DHISTECH Ltd, version 2.3.0.99276) was used to view and data presentation of IHC images.

5. Inveon Research WorkStation (Siemens Molecular Imaging, Version 4.2) was used to analyze MicroPET data.

Antibodies used

Antibody	Manufacturer	Catalog #	Dilution
Myc-Tag	Cell Signaling Technology	2272	1:1000
Clostridium tetani Tetanus toxin	Invitrogen	PA1-73168	1:50
BD Difco™ Listeria O Antiserum poly serotype 1,4	BD Bioscience	223021	1:100
CD4	Cell Signaling Technology	25229	1:200
CD8	Cell Signaling Technology	98941	1:400
CD31	Cell Signaling Technology	77699	1:100

Perforin	Cell Signaling Technology	31647	1:200
Granzyme B	Cell Signaling Technology	44153	1:50
CD45; color: FITC	BD Bioscience	553080	1:50
CD3; color: PerCP-Cy5.5	BD Bioscience	560527	1:50
CD4; color: APC-Cy7	BD Bioscience	552051	1:50
CD8; color: PE-Cy7	BD Bioscience	552877	1:50
IFN-γ; color: FITC	BD Bioscience	554411	1:50
CD44; color: BV421	BD Bioscience	563970	1:50
CD62L; color: PE-CF594	BD Bioscience	562404	1:50
CD69; color: AF700	BD Bioscience	561238	1:50
Perforin; color: APC	eBioscience	17-9392-80	1:50
Granzyme B; color: PE	eBioscience	12-8898-82	1:50
IL-10; color: APC	BD Bioscience	554468	1:50
IL-6; color: FITC	eBioscience	11-7061-41	1:50
TNF-α; color: PE-cy7	BioLegend	506324	1:50
MARCO; color: PE	R&D systems	FAB2956P	1:50
CD11b; color: APC	BD Bioscience	553312	1:50
Ly-6C; color: FITC	BD Bioscience	553127	1:50
F4/80; color: APC	eBioscience	17-4801-82	1:50
Listeriolysin o (LLO)	Diatheva	REP0007	1:1000

Cell lines used

1. Panc-02 (provided by Dr. Chandan Guha, Albert Einstein College of Medicine)

2. 4T1 (provided by Dr. Fred Miller, Karmanos Cancer Institute, Wayne state University)

Laboratory animals

1. C57BL/6 (Charles River)

2. BALB/c (Charles River)

3. KPC mice (LSL-p53R172/+; LSLKrasG12D; Pdx1-Cre)(maintained at Einstein)

a. MacBlue [C57Bl/6N--Tg(Cfms--gal4--vp16)--(UAS--eCFP)] (maintained at Einstein)

All mice were housed in the animal husbandry facility at Albert Einstein College of Medicine according to the Association and Accreditation of Laboratory Animal Care guidelines, and kept under BSL-2 conditions as required for Listeria treatments.