

1 **Optimization and validation of a quadruplex real-time PCR assay**
2 **for the diagnosis of diphtheria**

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4 Edgar Badell^{1,2}, Sophie Guillot¹, Marie Tulliez¹, Marine Pascal¹, Leonardo-Gabriel Panunzi¹,
5 Samuel Rose³, David Litt³, Norman K. Fry^{3,4} and Sylvain Brisse^{1,2,#}

6 ¹Institut Pasteur, Biodiversity and Epidemiology of Bacterial Pathogens, Paris, France.

7 ²Institut Pasteur, National Reference Center for Corynebacteria of the *diphtheriae* complex,
8 Paris, France.

9 ³Respiratory and Vaccine Preventable Bacteria Reference Unit, National Infection Service,
10 Public Health England, London, UK

11 ⁴Immunisation and Countermeasures Division, National Infection Service, Public Health
12 England, London, UK

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15 **# Corresponding author:** Sylvain Brisse. Biodiversity and Epidemiology of Bacterial
16 Pathogens, Institut Pasteur, 25 rue du Docteur Roux, F-75724 Paris, France.

17 E-mail: sylvain.brisse@pasteur.fr; Phone +33 1 45 68 83 34

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19 **Running title:** Diagnostic of diphtheria by qPCR

20 **Abstract**

21

22 Diphtheria is caused by toxigenic strains of *Corynebacterium diphtheriae*, *Corynebacterium*
23 *ulcerans* and *Corynebacterium pseudotuberculosis*. For diagnostic purposes, species
24 identification and detection of toxigenic strains (diphtheria toxin (*tox*)-positive strains) is
25 typically performed using end-point PCR. A faster quadruplex real-time PCR (qPCR) was
26 recently developed (De Zoysa *et al.* J Med Microbiol. 2016 65(12):1521-1527). Here, we
27 present an improvement of the quadruplex method, in which a 16S rRNA gene target was
28 added as an internal processing control, providing confirmation of the presence of bacterial
29 DNA in the assays. This improved qPCR method was validated using 36 bacterial isolates and
30 16 clinical samples. The method allows detection of the *tox* gene and distinguishing
31 *C. diphtheriae* (including the newly described species *C. belfantii*) from *C. ulcerans* and
32 *C. pseudotuberculosis*. Complete diagnostic specificity, sensitivity and experimental
33 robustness of the method to temperature and reagent concentration variations were
34 demonstrated. The lower limit of detection for *C. diphtheriae*, *C. ulcerans* and *tox* targets was
35 1.86 genome copies per 5 μ L reaction volume. Finally, the method was successfully used on
36 two distinct qPCR technologies (LightCycler 480, Roche Diagnostics and Rotor-Gene Q,
37 Qiagen) and in two laboratories (Institut Pasteur, Paris, France and Public Health England –
38 National Infection Service, London, UK). This work describes validation of the improved
39 qPCR quadruplex method and supports its implementation for the biological diagnosis of
40 diphtheria.

41 **Introduction**

42 *Corynebacterium diphtheriae* is the main etiological agent of diphtheria, a once-common
43 acute human infection classically affecting the upper respiratory tract and occasionally the
44 skin. The severe manifestations of the disease are caused by the action of the diphtheria toxin,
45 produced by some strains of *C. diphtheriae* which carry the *tox* gene. Strains of
46 *Corynebacterium ulcerans* and more rarely *Corynebacterium pseudotuberculosis* can also be
47 toxigenic *i.e.*, be capable of secreting the toxin, and can cause infections in humans. The three
48 species are phylogenetically related and we collectively define them as the *C. diphtheriae*
49 species complex. Recently a subset of *C. diphtheriae* strains of one of the four biovars,
50 Belfanti, were recognized as forming a novel species, *C. belfantii* (1). Although this novel
51 species also belongs to the *C. diphtheriae* complex, *C. belfantii* strains generally do not carry
52 the *tox* gene (1, 2).

53 Diphtheria is a well-controlled disease in countries with high vaccination coverage. However,
54 the vaccine targets the toxin but does not prevent transmission of bacteria of the
55 *C. diphtheriae* complex, and low coverage or discontinuation of vaccination can result in a
56 rapid resurgence of diphtheria (3, 4). Further, *C. ulcerans* infections in humans have emerged
57 recently and usually involve close contacts with animals, mainly domestic cats and dogs (5,
58 6). *C. pseudotuberculosis* is primarily a veterinary pathogen that infects ungulates such as
59 sheep and goats (7), and the rare human infections with *C. pseudotuberculosis* are associated
60 with occupational risk factors (8–10). Although rarely reported, *C. diphtheriae* can also infect
61 animals such as cats, cows and horses (11–13). Identification of putative toxigenic
62 corynebacteria at species level is classically performed by biochemical phenotypic methods
63 (14–16) and more recently by matrix-assisted laser desorption/ionization time of flight
64 (MALDI-TOF) mass spectrometry (17, 18). However, phenotypic methods require strain
65 culture and isolation and are slow. In addition, the biochemical identification of
66 *C. pseudotuberculosis* and its differentiation from other corynebacteria, especially *C.*
67 *ulcerans*, can be difficult (10). Further, these methods cannot determine the toxigenic status of
68 strains.

69 Determination of the potential toxigenic status of clinical isolates is the most critical aspect of
70 diphtheria diagnosis, as it informs public health action and patient care, including possible
71 treatment by administration of antitoxin. End-point PCR assays targeting the *tox* gene were

72 developed in the 1990s (19–21) and are widely used to screen for the presence of potentially
73 toxigenic strains directly from clinical samples or from bacterial cultures. Detection of the *tox*
74 gene can also be combined with species identification PCR targets in multiplex assays (9).
75 Because non-toxinogenic toxin-bearing (NTTB) isolates were described, the detection of the
76 *tox* gene only provides presumption of toxigenicity, which can be confirmed using the Elek
77 test (15).

78 Real-time PCR (qPCR, for quantitative PCR) presents the advantages of faster data collection
79 than classical PCR, low contamination risks and high sensitivity. Several qPCR assays that
80 target the *tox* gene have been described (22–25). Recently, a quadruplex qPCR assay for
81 detection of the *tox* gene and identification of *C. diphtheriae*, *C. ulcerans* and *C.*
82 *pseudotuberculosis* by targeting their RNA polymerase β -subunit (*rpoB*) gene sequences, was
83 developed by De Zoysa *et al.* (26). For PCR diagnostic purposes, it is considered best practice
84 to include process control(s) capable of detection both extraction failure and inhibition of
85 PCR amplification (27). Whilst the De Zoysa *et al.* (21) method uses amplification of the
86 green fluorescent protein (*gfp*) gene on control DNA to test for PCR inhibition, it does not
87 include a control for extraction failure (*i.e.*, one capable of detecting the presence/absence of
88 bacterial DNA in the PCR assay).

89 Here, we aimed to address this limitation by replacing the *gfp* target gene by a universal
90 fragment of the 16S rRNA (u-16S) gene sequence to serve as internal processing control.
91 Further, we aimed to validate the improved qPCR assay directly on clinical specimens such as
92 throat swabs and pseudomembrane biopsies. Additionally, we tested the characteristics of the
93 modified quadruplex qPCR assay including specificity, sensitivity, reproducibility,
94 experimental robustness and its implementation on distinct qPCR apparatuses and in separate
95 laboratories.

96 **Materials and Methods**

97

98 **Reference strains of the *Corynebacterium diphtheriae* complex.** In experiments performed
99 at the French National Reference Center, *C. diphtheriae* strain NCTC10648 (National
100 Collection of Type Cultures, Public Health England, UK), which bears the *tox* gene (*tox*+),
101 and *C. diphtheriae* strain NCTC10356, which is *tox*-negative (*tox*-), were used as positive and
102 negative *tox* PCR controls, respectively, and as positive controls for *C. diphtheriae*
103 identification. *Corynebacterium pseudotuberculosis* strain CIP102968^T and *Corynebacterium*
104 *ulcerans* strain NCTC12077, which are both *tox*-, were used as controls for *C.*
105 *pseudotuberculosis* and *C. ulcerans* identification, respectively. In the validation experiments
106 at Public Health England, strains NCTC10648 and NCTC12077 were used as controls, as
107 previously described (26).

108

109 **Clinical isolates, strains and specimens.** Clinical isolates (n = 36), laboratory strains (n = 7)
110 and specimens (n = 16) that had been previously characterized at the French National
111 Reference Center for the Corynebacteria of the *diphtheriae* complex were included (**Table 1**).

112

113 **DNA extraction by the boiling method.**

114 DNA extraction was performed as follows. For bacterial strains, the method described by De
115 Zoysa *et al.* (21) was used. For clinical swab material, swabs were introduced into a
116 DNA/DNase/RNase free 1.5 ml Eppendorf Biopur tube (Cat. N° 0030 121.589, Eppendorf,
117 Germany) containing 500 µl of nuclease free water (Cat. N°. P119C/Promega/U.S.A). The
118 upper part of the swabs was cut using sterile scissors to allow closing of the tube. The tubes
119 were vortexed thoroughly and placed in a preheated heating block at 100°C for 15 min. The
120 swabs were then removed from tubes using sterile forceps, and the tubes centrifuged for 1 min
121 at 13,000 g to pellet cell debris. For tissue samples, a piece of ca. 1 square cm of
122 pseudomembrane was cut using sterile dissection forceps and scissors and introduced into a
123 DNase/RNase free 1.5 ml Eppendorf tube as described above. The sample was ground using a
124 sterile mini-grinder until a homogeneous suspension was obtained. The tubes were placed in a
125 preheated heating block at 100°C for 15 min and then vortexed and centrifuged for 1 min at
126 13,000 g to pellet cell debris. The collected supernatant was used as template DNA for the
127 PCR. A similar tube containing only 500 µl of nuclease-free water was included as no
128 template control (NTC) for each extraction. Following the final centrifugation step for each

129 sample type the supernatant was transferred to a new tube and used as template DNA for the
130 PCR.

131

132 **DNA extraction using the DNeasy blood and tissue Kit (Qiagen).** To extract DNA from
133 bacteria, a lysis step was added to the extraction protocol described by the manufacturer: a
134 1 μ L loopful of bacterial colonies was emulsified in 180 μ L of lysis buffer containing 20 mM
135 Tris-HCl, pH8, 2 mM EDTA, 1.2% Triton X-100, 20 mg/mL lysozyme, in a DNase/RNase
136 free 1.5 ml Eppendorf tube and incubated in a heating block at 37°C for 1 hour, with mixing
137 every 20 min. A DNase/RNase free 1.5 ml Eppendorf tube containing 180 μ L of a home-
138 made lysis buffer but no bacterial colonies was included as a NTC. Then, the manufacturer
139 protocol, modified slightly by us, was followed. In brief, 25 μ L of proteinase K and 200 μ L of
140 AL buffer were added to the preparation, vortexed for 15 sec and incubated in a heating block
141 at 56°C for 30 min. The preparation was then vortexed for *ca.* 30 sec and incubated in a
142 heating block at 72°C for 10 min. At the end of the incubation, 200 μ L of ethanol at -20°C
143 were added to the tube and vortexed for 15 sec, and the supernatant was transferred into a
144 DNeasy columns and centrifuged for 1 min at 4500 g. Five hundred microliters of AW1
145 buffer were added to the column, which was then centrifuged for 1 min at 4500 g . This step
146 was repeated after adding AW2 buffer with a centrifugation of 3 min at 6700 g. After each
147 centrifugation, the collecting tube was discarded and replaced by a new one, except for the
148 last step in which the collecting tube was replaced by a DNA/DNase/RNase-free 1.5 mL
149 Eppendorf tube. Then, 100 μ L of AE buffer, preheated to *ca.* 55°C, were carefully added to
150 the column and then centrifuged for 1 min at 4500 g. The eluate was recovered, added to the
151 top of the same column and centrifuged again for 1 min at 4500 g. Finally, the column was
152 discarded and the eluate was kept at +5°C.

153 To extract DNA from swab samples, swab tips were placed into DNA/DNase/RNase-free 1.5
154 ml Eppendorf tubes containing nuclease-free water (Promega). The swab shafts were cut with
155 a pair of sterile dissection scissors to allow closing of the tubes. Tubes were vortexed for
156 about 5 mins and swabs removed using sterile forceps. Then, the tubes were centrifuged for 5
157 min at 8000 g. The supernatants were discarded and 150 μ L of home-made lysis buffer,
158 described above, was added to each pellet. This suspension was incubated at 37°C in a
159 heating block for 1 hour. Then, the same procedure as described above for bacteria was
160 followed. At the end of the extraction, the tube was incubated for 10 min at 95°C in a heating
161 block to inactivate pathogens which could be contained in the samples.

162 To extract DNA from tissue samples, we proceeded in the same way as indicated above for
163 the boiling method from tissue samples until obtaining a homogeneous suspension, using the
164 home-made lysis buffer instead of nuclease-free water. Then, this suspension was incubated at
165 37°C in a heating block for about 1 hour, and the same procedure as described above for
166 bacteria was performed. A NTC was included in the above procedures. This NTC consisted of
167 a DNA/DNase/RNase-free 1.5 mL Eppendorf tube, which followed the same treatment as
168 clinical specimens, but in which there was no clinical specimen material.

169

170 **Primers and probes.** The primers and probes used to detect the *tox* gene and *rpoB* genes for
171 *C. diphtheriae* and *C. ulcerans/C. pseudotuberculosis* species identification were as described
172 by De Zoysa *et al.* (21). For this study we introduced a conserved fragment of the 16S rRNA
173 gene instead of the fragment of *gfp* gene (28) as the internal process control (IPC). The two
174 primers and probe (u-16S) used to detect the 16S rRNA gene were designed with the software
175 LC probe design2 (Roche). 16S rRNA sequences of known pathogenic or commensal species
176 of the respiratory tract were aligned and a final selection of primers and probe was
177 accomplished according to their universality (**Figure S1**). The sequences of primers and
178 probes are given in **Table 2**.

179

180 **Reference end-point PCR method for *tox* gene detection.** To detect the diphtheria *tox* gene,
181 we used the conventional end-point PCR method described by Hauser *et al.* (20) modified by
182 us to detect in parallel the bacterial 16S rRNA. In brief, DNA was extracted using the DNeasy
183 Blood and tissue Kit (Qiagen) as described above. Two µL of DNA suspension were used in
184 the final reaction described below. The PCR reaction was performed in a 50 µL volume
185 containing: 0.25 µL of *Taq* DNA polymerase (5U/µL; Cat. No. 18038-026, Invitrogen, USA),
186 5 µL of 10X buffer (included in the *Taq* DNA polymerase kit), 2 µL of MgCl₂ (50mM,
187 included in the *Taq* DNA polymerase kit), 5 µL of 10 µM DT1 and DT2 primers (20), 1.25
188 µL of U5 and U4a primers (**Table S1**), 10 µL of deoxynucleoside triphosphates (2 mM, Cat.
189 No. R1121, ThermoScientific, Lithuania). Thermocycling was performed on a AB 2720
190 thermocycler (Applied BioSystems, Singapore) with 1 cycle at 94°C for 3 min, followed by
191 35 cycles at 94°C for 20 s, 68 °C for 30 s, and 72 °C for 30 s and a final temperature of 15°C.
192 The amplified products were resolved by electrophoresis on 3% (w/v) agarose gels and
193 visualized by ethidium bromide staining.

194

195 **Elek test for toxin production.** Clinical isolates were tested for toxin production using
196 Elek's test modified by Engler *et al.* (15).

197

198 **Multiplex end-point PCR for species identification.** A conventional multiplex end-point
199 PCR was used to identify the isolates. This is a home-made end-point PCR adapted from
200 these described by Pacheco *et al.* 2007(29) and Pimenta *et al.* 2008 (30). Briefly, DNA was
201 extracted using the DNeasy Blood and tissue Kit, Qiagen as described above. Two μL of
202 DNA suspension were used in the final reaction described below. The PCR reaction was
203 performed in a 50 μL volume containing: 0.25 μL of *Taq* DNA polymerase (5U/ μL , Cat. No.
204 18038-026, Invitrogen, USA), 5 μL of 10X Buffer (included in the *Taq* DNA polymerase kit),
205 2 μL of MgCl_2 (50mM, included in the *Taq* DNA polymerase kit), 1 μL of each primers
206 (10 μM) (29, 30), 5 μL of deoxynucleoside triphosphates (2 mM), (ThermoScientific, Cat.
207 No. R1121, Lithuania). Thermocycling was performed on a thermocycler MJ Mini (BIO-
208 RAD, Mexico) using 1 cycle at 95°C for 5 min, and 40 cycles at 95°C for 1 min, 58°C for
209 40 s, and 72°C for 1 min 30 s. Finally, the temperature was set to 72°C for 7 min and then at
210 15°C. The amplified products were resolved by electrophoresis on 3% (w/v) agarose gels
211 and visualized by ethidium bromide staining.

212

213 **qPCR.** PCR assays were performed at the French National Reference Center, except where it
214 is explicitly stated that they were performed at Public Health England. For qPCR
215 amplification, we used the Qiagen Rotor-Gene Q (RGQ) thermocycler method as described
216 by De Zoysa *et al.* (21). Some experiments were performed in parallel on a Roche
217 LightCycler 480 II (LC480) thermocycler. Reaction mixture volumes were 20 μL in both
218 thermocyclers. Each reaction mix comprised 10 μL of 2x Rotor-Gene Multiplex PCR Master
219 Mix (Rotor-Gene Multiplex PCR Kit, catalogue no. 204774; Qiagen), 1 μL of a mix of
220 primers and probes (to give final concentrations of 0.5 mM each primer and 0.2 mM each
221 probe), 4 μL of H_2O PCR grade and 5 μL of DNA template or H_2O PCR grade. Five brands
222 of H_2O PCR grade were tested: Nuclease-free water (Cat. No. P119C, Promega, USA);
223 UltraPure™ DNase/RNase-Free distilled water (Cat. No. 10977-035, Invitrogen™, UK);
224 RNase-free water (included in the Rotor-Gene Multiplex PCR Kit, catalogue no. 204774,
225 Qiagen, Germany), nuclease-free water (Cat. No. AM9937, Ambion, USA); and H_2O PCR
226 grade (included in the Kit LightCycler® 480 Probes Master, Cat. No. 04707494001, Roche,
227 Germany).

228 The cycling conditions were identical for both thermocyclers: an initial activation at 95°C for
229 5 min, followed by 45 cycles of denaturation at 95°C for 10 s followed by the
230 hybridization/extension step at 60°C for 20 s. Acquisition of the fluorescence signal was set at
231 60°C during each cycle. The data analysis software used were Q-Rex (Qiagen) and
232 LightCycler480 SW 1.5. For the determination of the cycle thresholds (Ct) value on the RGQ,
233 the analysis options used were “Basic”, for all analyses, and “Slope correction” and/or “Take
234 off Adjustment” if curves needed to be corrected. On the LC480 the second derivative method
235 developed by Roche was used. Non-specific fluorescence from the HEX channel
236 (*C. diphtheriae* target) can appear in the ROX channel (*tox* target) because the wavelengths of
237 the two dyes are very close to each other (**Table S2**). To avoid this problem, the crosstalk
238 compensation settings on the analysis options of the RGQ were used to define the channels
239 that had to be compensated. Similarly, for the LC480, a colour compensation was performed
240 to adjust the fluorescence results of each channel (**Table S3**). In the validation experiments at
241 Public Health England, the PCRs were performed on an RGQ machine. When compared to
242 the equivalent PCR using *gfp* as the IPC, the *gfp* reagents previously described (26) were
243 used.

244

245 **Analytical sensitivity assays.** The lower limits of detection (LLOD) of the qPCR assay were
246 determined for each target at the French National Reference Center by using series of 10-fold
247 dilutions of *C. diphtheriae* NCTC103356, *C. diphtheriae* NCTC10648, *C. ulcerans*
248 NCTC12077 and *C. pseudotuberculosis* CIP102968^T DNAs at the initial concentration of 10
249 pg/μL. The online calculator page of Andrew Staroscik (<https://cels.uri.edu/gsc/cndna.html>)
250 was used to calculate the number of genome copies corresponding to the DNA quantity. In the
251 validation experiments at Public Health England, sensitivity of the qPCR assay was compared
252 when using the u-16S IPC and the *gfp* IPC (26) using 2-fold serial dilutions of *C. diphtheriae*
253 NCTC10648 and *C. ulcerans* NCTC12077 DNA between 40 and 5 genome copies/μL.

254

255 **Experimental robustness assays.** To test the robustness of the method to temperature
256 variation, we increased and decreased the temperatures of denaturation and
257 annealing/elongation steps in the PCR program by 1°C, 2°C or 3°C. To test the effect of
258 pipetting volume variation, we increased or decreased by 20% the volume of all PCR mix
259 reagents simultaneously, while keeping fixed the volume of DNA template at 5 μL.

260 **Results**

261

262 **Validation of u-16S primers and probe.** A pair of primers and a probe that were maximally
263 conserved on an alignment of 16S rRNA sequences (**Figure S1**) were defined (**Table 2**) and
264 named the u-16S target. To test the newly-designed u-16S primers and probe for use as an
265 appropriate control for bacterial DNA presence, we compared fluorescence signals obtained
266 on the LC640 channel (used as dye for the u-16S target) using either DNA from bacteria or no
267 template controls (NTCs). DNA at 10 pg/ μ L from four reference strains of the *diphtheriae*
268 complex (NCTC10356, NCTC10648, NCTC12077 and CIP102968^T) (**Table 1**) was tested on
269 both the RGQ and LC480 thermocyclers, initially in simplex PCR. Crossing thresholds (Ct)
270 were recorded in experiments in both instruments (although called crossing point, CP in the
271 Roche system, we will call them Ct here for consistency). Fluorescence signals observed with
272 bacterial DNA always had Ct values <27, whereas fluorescence signals from NTCs always
273 showed Ct values \geq 27 or higher (**Figure 1A**). This amplification signal was not expected for
274 NTCs, and we suspected a contamination of the PCR grade H₂O used, but it was observed
275 systematically, even when using different brands and batches of PCR grade H₂O. We
276 conclude that the signal is presumably due to the presence of some residual genomic bacterial
277 DNA in the qPCR mix reagents (31). The qPCR assay result on the LC640 channel was thus
278 considered negative for the NTCs if the Ct value was \geq 27, and was considered positive if the
279 Ct value was \leq 26.

280 We then tested whether the newly designed u-16S target signal interfered with the
281 amplification signals expected in the channels HEX (*C. diphtheriae*), FAM (*C. ulcerans/C.*
282 *pseudotuberculosis*) and ROX (*tox*) when used in quadruplex (4plex). We observed that
283 fluorescence signals detected in the three channels were as expected for each target (**Figure 1,**
284 **panels B-D**). Furthermore, no fluorescence signals in FAM, HEX and ROX channels were
285 detected for the NTCs. Expected amplification of all targets was observed both on the RGQ
286 and the LC480 platforms.

287

288 **Analytical sensitivity.** The LLOD for *C. diphtheriae rpoB*, *C. ulcerans rpoB* and *tox* targets
289 was 1 fg per μ L, which corresponds to 0.37 genome copies per μ L, or 1.86 genome copies per
290 5 μ L reaction. For *C. pseudotuberculosis*, the *rpoB* limit of detection was 186 genome copies
291 per reaction. The LLOD obtained with *C. pseudotuberculosis* showed a lower sensitivity with
292 the *C. ulcerans/C. pseudotuberculosis rpoB* target. Identical LLOD values were obtained on
293 both thermocyclers. Regarding the u-16S target, between the dilutions 10 fg/ μ L and 0.1 fg/ μ L

294 the Ct values were *ca.* 29 on the RGQ and *ca.* 33 on the LC480. As qPCR reagents contain
295 DNA traces, it was not possible to observe the extinction of the fluorescence signal and
296 therefore no LLOD could be determined for the u-16S target.

297

298 **Comparison of the two thermocyclers.** We observed amplification curves in both
299 thermocyclers for all targets tested. As mentioned above, LLOD were the same for all targets
300 on both platforms. We noted that Ct values obtained with the two thermocyclers were slightly
301 different (**Table 3**), but this did not impact the qualitative interpretation of the qPCR assay in
302 terms of positive or negative results. We conclude that performance of the quadruplex qPCR
303 assay on the two platforms was equivalent. For practical reasons, the subsequent experiments
304 were performed only on the RGQ.

305

306 **Analyses of strains, clinical isolates, and specimens.** A panel of 43 bacterial DNA extracts
307 from clinical isolates and strains belonging or not to the *C. diphtheriae* complex, and 16
308 clinical specimens, were analysed. This sample included 11 tox-positive isolates, among
309 which six were non-toxicogenic toxin bearing (NTTB) isolates. Fluorescence signals specific for
310 *C. diphtheriae*, *C. ulcerans/C. pseudotuberculosis* and *tox* were always observed according to
311 expectations, as defined using the conventional end-point PCR (**Table 1**). NTTB isolates were
312 also positive for *tox* gene detection by the 4plex qPCR. These results confirm that the *tox* and
313 species identification targets previously developed are correctly detected even in the presence
314 of the novel u-16S target within the 4plex assay. In addition, fluorescence signals were
315 detected for the u-16S target for all bacterial DNA extracts tested (all with Ct values ≤ 26),
316 whether or not they were in the *C. diphtheriae* complex. This confirmed that the negative
317 fluorescence signals in the channels HEX, FAM and ROX with non-*C. diphtheriae* complex
318 isolates were not due to the accidental absence of bacterial DNA.

319

320 **Comparison of two DNA extraction methods.** As amplifiable DNA is much faster to
321 prepare using the boiling method (approximately 20 minutes) than using the kit extraction
322 method (approximately 2 hours), we evaluated the boiling method as a template DNA
323 preparation method for the 4plex qPCR. The 54 samples (isolates and clinical specimens)
324 processed using this method were all positive for the u-16S channel (**Table 1**), showing that
325 amplifiable DNA was obtained in all cases. Furthermore, samples processed using the boiling
326 method were positive for all targets according to expectations based on the kit extraction
327 method. We conclude that even though the DNA concentration is lower than with the kit

328 extraction method, the boiling method can replace the kit extraction method for DNA
329 preparation for the 4plex qPCR.

330

331 **Robustness.** When increasing or decreasing the temperature of the thermocycler cycles by
332 3°C, Ct values did not vary by more than 2 cycles and no difference was observed in the
333 interpretation of the qPCR amplification results (**Fig. S2**). The variation of the reagent
334 volumes by +20% or -20% also had limited impact on the slopes and Ct values (< 2)
335 compared to normal conditions (data not shown). These tests show that the 4-plex PCR is
336 robust in the face of changes in experimental conditions.

337

338 **External validation of the qPCR.** The modified qPCR using the u-16S IPC (instead of the
339 *gfp* IPC) was validated in a second laboratory, the Respiratory and Vaccine Preventable
340 Bacteria Reference Unit at Public Health England (RVPBRU-PHE), to confirm its portability
341 and test its performance in comparison to the original method. Purified DNA from the
342 toxigenic *C. diphtheriae* strain NCTC10648 and the non-toxigenic *C. ulcerans* strain
343 NCTC12077 were tested in both versions of the qPCR at concentrations of 40, 20, 10 and 5
344 genome copies/μL in parallel over 20 runs to assess any effect on analytical sensitivity. The
345 results showed that the sensitivity of the PCRs against the *C. diphtheriae* *rpoB*,
346 *C. ulcerans/C. pseudotuberculosis* *rpoB* and the *tox* genes were essentially unaffected by
347 changing the IPC; the differences in mean Ct values generated by both versions of the assay
348 for comparative samples were less than 1 cycle (**Supplementary Table S4**). Positive results
349 with the u-16S reagents did not generate any false positives for the other three targets. Ct
350 values in the u-16S channel were all ≥ 28 cycles for NTCs (**Supplementary Table S4**; actual
351 range 28.80 – 30.11). As expected, Ct values for the *gfp* IPC were consistently between 30
352 and 32 cycles regardless of the presence of target DNA.

353 **DISCUSSION**

354

355 The quadruplex real-time PCR assay developed by De Zoysa *et al.* (26) for the identification
356 of potentially toxigenic corynebacteria was an important advance in our diagnostic
357 armamentarium. This includes an IPC consisting of a *gfp* gene target present in control DNA
358 that is added to every PCR reaction in order to detect PCR inhibition. However, this IPC
359 cannot distinguish between the analysis of a species that is not *C. diphtheriae*, *C. ulcerans* or
360 *C. pseudotuberculosis* and a false negative due to the accidental lack of bacterial target DNA.
361 However, in the theoretical case where the DNA extracted from a clinical sample was
362 erroneously not added into the PCR mix, a positive signal will still be detected in the *gfp*
363 channel. Negative results for *rpoB* and *tox* targets may lead to the wrong interpretation that no
364 genetic material of *C. diphtheriae* complex was present.

365

366 Here we introduced a target corresponding to a universal fragment of the bacterial 16S rRNA
367 gene instead of the *gfp* gene. This provides the ability to confirm the presence of bacterial
368 DNA in the sample tubes in addition to the absence of PCR inhibition. Because it covers a
369 broad range of bacteria, the fragment of u-16S is expected to be amplified if any bacterial
370 DNA was introduced in the sample. The interpretation of the absence of signal for the u-16S
371 target is that no bacterial DNA was present, or that the PCR amplification was inhibited, thus
372 invalidating the assay.

373

374 We did detect some false positive signals on the LC640 (u-16S) channel when the NTCs were
375 analysed. The cause of this is probably due to some residual genomic bacterial DNA present
376 in the qPCR mix reagents. Contamination of the *Taq* DNA polymerase may originate from its
377 production from bacterial cultures (31). As the Ct of these signals were always ≥ 27 , whereas
378 the Ct values from isolates or clinical samples were always <27 (typically between 17 and
379 20), we propose to treat 27 cycles as the background level in the u-16S channel and that any
380 runs in which Ct values for the NTCs are ≥ 27 are valid.

381

382 We found a complete concordance of the improved 4-plex method regarding analyses of
383 bacterial isolates as compared with the reference method, consistent with the results reported
384 previously (26). In addition, we demonstrated that the qPCR can be used to detect the targets
385 directly from clinical samples including pharyngeal swabs or pseudomembrane tissues. This
386 is important because faster results can be obtained by avoiding the microbial culture step,

387 which typically takes 18-24 hours. We also demonstrated that the improved 4-plex qPCR can
388 be performed using DNA extracted using the boiling method from clinical samples or isolates,
389 and that the method is robust within an important range of experimental variation of reagents
390 volumes and thermocycler temperature drift that is unexpected to be exceeded in most
391 laboratories. Moreover, the portability and performance of the modified 4plex qPCR were
392 validated on an RGQ apparatus at RVPBR-PHE. Remarkably, the differences in mean Ct
393 values between the two methods were less than 1 cycle in that laboratory. Finally, the LLOD
394 defined using both thermocyclers were identical, providing flexibility to users in the choice of
395 the thermocycler.

396

397 The use of a single target for *C. diphtheriae* and *C. belfantii* on the one hand, and of
398 *C. ulcerans* and *C. pseudotuberculosis* on the other hand, does not allow for species
399 discrimination within these pairs. *C. belfantii* can be identified by biotyping or by sequencing
400 approaches (1). In humans, *C. pseudotuberculosis* is extremely rare and associated with
401 contacts with goats or other production animals, whereas *C. ulcerans* is much more common.
402 Therefore, positivity of *C. ulcerans/C. pseudotuberculosis* target assay may be interpreted in
403 most cases as *C. ulcerans*. These two species are reliably distinguished using MALDI-TOF
404 (17).

405 In conclusion, the improved 4-plex PCR method has the biological and technical
406 characteristics required for the diagnostic of toxin gene-bearing strains of the *C. diphtheriae*
407 species complex and we therefore recommend its deployment in medical biology and
408 reference laboratories.

409

410 **Potential conflicts of interest**

411 All authors report no conflicts of interest

412

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416

417 **Previous meeting presentations**

418 The information in this work was not previously presented in any meeting.

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423

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- 531

532 **Figure legends**

533

534 **Figure 1.** Example of qPCR curves for each of the targets.

535

536

Table 1. Strains, isolates and clinical samples analyzed.

Reference strains	Species and tox status*	Universal 16S rRNA	<i>rpoB</i> C. diphtheriae	<i>rpoB</i> C. ulcerans/ C. pseudotuberculosis	tox gene	Toxin production (Elek test)	Conclusion
NCTC10356	<i>C. diphtheriae</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
NCTC10648	<i>C. diphtheriae</i> tox+	+	+	-	+	+	<i>C. diphtheriae</i> tox+
FRC0043T	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
NCTC12077	<i>C. ulcerans</i> tox-	+	-	+	-	ND	<i>C. ulcerans</i> tox-
CIP102968	<i>C. pseudotuberculosis</i> tox-	+	-	+	-	ND	<i>C. pseudotuberculosis</i> tox-
CIP A95	<i>C. pseudotuberculosis</i> tox-	+	-	+	-	ND	<i>C. pseudotuberculosis</i> tox-
CIP 52.103	<i>C. pseudotuberculosis</i> tox-	+	-	+	-	ND	<i>C. pseudotuberculosis</i> tox-
CIP 52.104	<i>C. pseudotuberculosis</i> tox-	+	-	+	-	ND	<i>C. pseudotuberculosis</i> tox-
CIP 52.97	<i>C. pseudotuberculosis</i> tox-	+	-	+	-	ND	<i>C. pseudotuberculosis</i> tox-
CIP 59.46	<i>C. pseudotuberculosis</i> tox-	+	-	+	-	ND	<i>C. pseudotuberculosis</i> tox-
NCTC764	<i>Corynebacterium striatum</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
Isolates							
00-0744	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
05-3187	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
06-4305	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0074	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0223	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0250	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0301	<i>C. belfantii</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0566	<i>C. diphtheriae</i> tox+	+	+	-	+	+	<i>C. diphtheriae</i> tox+
FRC0568	<i>C. diphtheriae</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0570	<i>C. diphtheriae</i> tox-	+	+	-	-	ND	<i>C. diphtheriae</i> tox-
FRC0018	<i>C. diphtheriae</i> tox+	+	+	-	+	+	<i>C. diphtheriae</i> tox+
FRC0076	<i>C. diphtheriae</i> tox+	+	+	-	+	-	<i>C. diphtheriae</i> tox+

FRC0101	<i>C. diphtheriae tox+</i>	+	+	-	+	-	<i>C. diphtheriae tox+</i>
FRC0114	<i>C. diphtheriae tox+</i>	+	+	-	+	-	<i>C. diphtheriae tox+</i>
FRC0365	<i>C. diphtheriae tox+</i>	+	+	-	+	-	<i>C. diphtheriae tox+</i>
FRC0011	<i>C. ulcerans tox-</i>	+	-	+	-	ND	<i>C. ulcerans tox-</i>
FRC0012	<i>C. ulcerans tox-</i>	+	-	+	-	ND	<i>C. ulcerans tox-</i>
FRC0042a	<i>C. ulcerans tox+</i>	+	-	+	+	+	<i>C. ulcerans tox+</i>
FRC0058	<i>C. ulcerans tox+</i>	+	-	+	+	-	<i>C. ulcerans tox+</i>
FRC0187	<i>C. ulcerans tox+</i>	+	+	-	+	-	<i>C. ulcerans tox+</i>
FRC0567	<i>C. ulcerans tox+</i>	+	-	+	+	+	<i>C. ulcerans tox+</i>
FRC0569	<i>C. ulcerans tox-</i>	+	-	+	-	ND	<i>C. ulcerans tox-</i>
05-770	<i>C. ulcerans tox-</i>	+	-	+	-	ND	<i>C. ulcerans tox-</i>
05-146	<i>C. ulcerans tox-</i>	+	-	+	-	ND	<i>C. ulcerans tox-</i>
UFBA C231	<i>C. pseudotuberculosis tox-</i>	+	-	+	-	ND	<i>C. pseudotuberculosis tox-</i>
UFBA C232 pld-	<i>C. pseudotuberculosis tox-</i>	+	-	+	-	ND	<i>C. pseudotuberculosis tox-</i>
FRC0041	<i>C. pseudotuberculosis tox-</i>	+	-	+	-	ND	<i>C. pseudotuberculosis tox-</i>
FRC0186	<i>C. pseudotuberculosis tox-</i>	+	-	+	-	ND	<i>C. pseudotuberculosis tox-</i>
FRC0386	<i>Corynebacterium amycolatum</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0539	<i>Corynebacterium aurimucosum</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0388	<i>Enterobacter aerogenes</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0392	<i>Enterococcus faecalis</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0413	<i>Propionibacterium avidum</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0427	<i>Bacillus clausii</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0428	<i>Streptococcus pyogenes</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0572	<i>Neisseria subflava</i>	+	-	-	-	ND	Non <i>diphtheriae</i> complex

Samples

FRC0540	Nasopharyngeal aspiration/ kit DNeasy	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-12N	Nose swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-15N	Nose swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-12T	Throat swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex

FRC0058-15T	Throat swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-06	Throat swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-07	Throat swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-08	Throat swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058-09	Throat swab/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0541	Throat swab/ kit Dneasy	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0060	Pseudomembrane/ kit Dneasy	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0064	Pseudomembrane/ kit Dneasy	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0018	Pseudomembrane/ kit Dneasy	+	+	-	+	ND	<i>C. diphtheriae</i> tox+
	Pseudomembrane/ boiling	+	+	-	+	ND	<i>C. diphtheriae</i> tox+
FRC0042a	Pseudomembrane/ kit Dneasy	+	-	+	+	ND	<i>C. ulcerans</i> tox+
	Pseudomembrane/ boiling	+	-	+	+	ND	<i>C. ulcerans</i> tox+
FRC0051	Pseudomembrane/ kit Dneasy	+	-	-	-	ND	Non <i>diphtheriae</i> complex
	Pseudomembrane/ boiling	+	-	-	-	ND	Non <i>diphtheriae</i> complex
FRC0058	Pseudomembrane/ kit Dneasy	+	-	+	+	ND	<i>C. ulcerans</i> tox+
	Pseudomembrane/ boiling	+	-	+	+	ND	<i>C. ulcerans</i> tox+

* Initial species identification was defined by end point PCR and/or MALDI-TOF and the *tox* status was defined by end point PCR.

FRC0058-12N; FRC0058-12T; FRC0058-15N; FRC0058-15T are swabs samples from nose (N) or throat (T) of contacts of patient FRC0058. For contacts from FRC0058-06 to FRC0058-09 only throat samples were taken.

ND: Not done

Table 2. Oligonucleotides sequences and expected amplicon sizes of the four gene targets

Target gene	Oligonucleotide name	Sequence (5' 3')	—	Amplicon Fragment size (bp)	Reference
<i>C. diphtheriae rpoB</i> *	dip_rpobF	CGT TCG CAA AGA TTA CGG AAC CA			
	dip_rpobR	CAC TCA GGC GTA CCA ATC AAC		97 bp	De Zoysa <i>et al</i> (2016)
	Cdip HP	HEX [§] -AGG TTC CGG GGC TTC TCG ATA TTC A-BHQ 1			
<i>C. ulcerans rpoB</i>	ulc_rpobF	TTC GCA TGG CTC ATT GGC AC			
	ulc_rpobR	TCC AGG ATG TCT TCC AGT CC		98 bp	De Zoysa <i>et al</i> (2016)
	CulcHP	FAM-CCA GCA GGA GGA GCT GGG TGA A-BHQ1			
<i>tox</i> †	toxAF	CTT TTC TTC GTA CCA CGG GAC TAA			
	toxAR	CTA TAA AAC CCT TTC CAA TCA TCG TC		117 bp	De Zoysa <i>et al</i> (2016)
	diptoxHP	ROX [¶] -AAG GTA TAC AAA AGC CAA AAT CTG GTA CACA AGG-BHQ2			
Universal 16S rRNA	16S_u_F	TGT CGT CAG CTC GTG TCG TG			
	16S_u_R	ACG TCA TCC CCA CCT TCC TC		136 bp	This study
	16S_u_HP	LC640-TCC CGC AAC GAG CGC AAC CCT T-BHQ2			

*rRNA

polymerase β -subunit-encoding gene.

†Diphtheria toxin gene.

§Hexachlorofluorescein.

||Black-hole quencher.

¶6-Carboxyl-X-rhodamine.

Table 3. Comparison of crossing thresholds (Ct) values obtained using the Rotor-Gene Q (RGQ, Qiagen) and Lightcycler 480 II (LC480, Roche)*

Wave length (target)	465-510 (<i>C. ulcerans</i>)		465-510 (<i>C. pseudotuberculosis</i>)		533-580 (<i>C. diphtheriae</i>)		533-610 (<i>tox</i>)		618-660 (<i>u16S</i> samples)		618-660 (<i>u16S</i> NTC)	
	RGQ	LC480	RGQ	LC480	RGQ	LC480	RGQ	LC480	RGQ	LC480	RGQ	LC480
Thermocycler	RGQ	LC480	RGQ	LC480	RGQ	LC480	RGQ	LC480	RGQ	LC480	RGQ	LC480
Average Ct	21	24	29	37	24	25	21	24	19	23	29	33
Standard Deviation	0.73	0.86	0.8	3.02	0.62	0.37	0.52	0.36	0.63	0.41	0.88	0.51
Range (Min-Max)	20 - 23	24 - 28	29 - 32	32 - 40	22 - 25	24 - 26	20 - 22	23 - 25	17 - 20	21 - 23	27 - 31	31 - 34
Number of tests	26	35	12	9	38	56	28	45	77	113	23	34

* The DNA of each strain was tested at 10 pg/μL

