1	Megaplasmids on the Rise: Combining Sequencing Approaches to
2	Fully Resolve a Carbapenemase-Encoding Plasmid in a Proposed
3	Novel Pseudomonas Species
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# 27 Abstract

28 Horizontal transfer of plasmids plays a pivotal role in the dissemination of antibiotic 29 resistance genes and emergence of multidrug-resistant bacteria. Sequencing of plasmids 30 is thus paramount for the success of accurate epidemiological tracking strategies in the 31 hospital setting and routine surveillance. Here, we combine Nanopore and Illumina 32 sequencing to fully assemble a carbapenemase-encoding megaplasmid carried by a 33 clinical isolate belonging to a putative novel *Pseudomonas* species. FFUP PS 41 has a 34 multidrug resistance phenotype and was initially identified as *Pseudomonas putida*, but 35 an average nucleotide identity below the cut-off for species delineation suggests a new 36 species related to the *P. putida* phylogenetic group. FFUP\_PS\_41 harbors a 498,516-bp 37 untypable megaplasmid (pJBCL41) with low similarity compared with publicly 38 available plasmids. pJBCL41 contains a full set of genes for self-transmission and genes 39 predicted to be responsible for plasmid replication, partitioning, maintenance and heavy 40 metal resistance. pJBCL41 carries a class 1 integron with the |aacA7|bla<sub>VIM-2</sub>|aacA4| 41 cassette array (In103) located within a defective Tn402-like transposon that forms part 42 of a 50,273-bp mosaic region bound by 38-bp inverted repeats typical of the Tn3 family 43 and flanked by 5-bp direct repeats. This region is composed of different elements, 44 including additional transposon fragments, five insertion sequences and a Tn3-Derived 45 Inverted-Repeat Miniature Element. The hybrid Nanopore/Illumina approach resulted in 46 contiguous assemblies and allowed us to fully resolve a carbapenemase-encoding 47 megaplasmid from *Pseudomonas* spp. The identification of novel megaplasmids will 48 shed a new light on the evolutionary effects of gene transfer and the selective forces 49 driving AR.

50 Keywords: *Pseudomonas*, megaplasmids, Nanopore, Illumina, antibiotic51 resistance

## 52 Introduction

53 Bacteria can become resistant to antibiotics through chromosomal mutations and by the 54 acquisition of resistance genes carried on mobile genetic elements, including plasmids 55 and integrative and conjugative elements [1]. Plasmids are autonomous self-replicating 56 elements that drive the horizontal transfer (HGT) of antibiotic resistance genes from cell 57 to cell by conjugation [2-5]. The mobility of a plasmid depends on the set of genes that 58 it carries, and these extrachromosomal elements may be conjugative, mobilizable or 59 non-transmissible [2, 3]. Conjugative plasmids carry all the machinery necessary for 60 self-propagation: i) a relaxase, a key protein in conjugation; ii) an origin of transfer 61 (*oriT*); iii) a set of genes encoding for the type-IV secretion system (T4SS); and iv) a 62 gene encoding a type-IV coupling protein (T4CP) [2, 3]. Mobilizable plasmids lack the 63 complete set of genes encoding the T4SS and may use the conjugative apparatus of a 64 helper plasmid present in the cell to be successfully transferred. Conjugative plasmids 65 tend to be low copy number and large, whereas mobilizable plasmids are frequently 66 high copy number and smaller (<30 kb) [2, 3]. The term megaplasmids [6] has been 67 used for very large replicons (>350 kb) which, in contrast to chromids [7], do not carry 68 essential core genes. Megaplasmids frequently have mosaic structures, carrying genetic 69 modules that originate from different ancestral sources [8]. The formation of mosaic 70 plasmids may be influenced by several factors, such as the abundance of conjugative 71 plasmids and transposons, selection pressures, incompatibility groups and the host's 72 tolerance of foreign DNA. According to the plasmid hypothesis, megaplasmids are the 73 evolutionary precursors of chromids, due to the amelioration of genomic signatures to 74 those of the chromosomal partner and the acquisition of essential genes [7].

To date, fourteen incompatibility groups (IncP-1 to IncP-14) have been characterized amongst *Pseudomonas* plasmids [9, 10]. Narrow host range plasmids comprise IncP types -2, -5, -7, -10, -12 and -13 and cannot be transferred into *Escherichia coli*. In

contrast, other groups display a broad host range, as they are also included in the typing
scheme for *Enterobacteriaceae* plasmids: IncP-1 (IncP), IncP-4 (IncQ) and IncP-6
(IncG) [9, 10]. Unlike *Enterobacteriaceae* plasmids, no replicon-based PCR typing of *Pseudomonas* plasmids has been created yet.

82 Plasmids may harbor accessory module(s) that provide adaptive advantage(s) for their 83 host, such as virulence-encoding factors and antibiotic resistance genes [9, 11-13]. 84 Sequencing of plasmids is thus paramount to the success of accurate epidemiological 85 tracking strategy in the hospital setting and routine surveillance, helping to identify 86 transmission routes and to prevent future outbreaks [14–19]. The advent of WGS has 87 enabled the *in silico* analysis of a wide array of plasmids, most of them from assembly 88 of short-read sequencing data [20–24]. However, fully resolving plasmids with short-89 read sequencing technologies remains challenging due to the presence of numerous long 90 repeated regions [25], and currently the most accurate approach to assemble these 91 plasmids is to use a combination of short-read and long-read methods [14–19, 26, 27].

Here, we combined Nanopore and Illumina sequencing to fully assemble a
carbapenemase-encoding megaplasmid carried by an isolate belonging to a putative
novel *Pseudomonas* species.

# 95 Material and Methods

#### 96 Bacterial Isolate

97 Isolate FFUP\_PS\_41 was obtained in 2008 from endotracheal tube secretions of a
98 patient with pneumonia admitted to the Neonatal/Pediatric Intensive Care unit of Centro
99 Hospitalar do Porto - Hospital de Santo António, in Porto, Portugal, as part of regular
100 surveillance of carbapenemase-producers among clinical isolates.

101 FFUP PS 41 was initially identified as *Pseudomonas putida* by VITEK-2 (bioMérieux) 102 and later re-classified by pair-wise average nucleotide identity based on BLAST+ 103 (ANIb) using **JSpeciesWS** v3.0.20 **PyANI** v0.2.7 and 104 (https://github.com/widdowquinn/pyani) [28–30]. Antimicrobial susceptibility testing 105 was conducted by standard disc diffusion and broth microdilution (for colistin) 106 methods, according to EUCAST guidelines (http://www.eucast.org/).

# 107 Whole-Plasmid Sequencing and Bioinformatics

Genomic DNA from FFUP\_PS\_41 was extracted using a QIAamp DNA Mini Kit (Qiagen, Hilden, Germany) according to the manufacturer's instructions. Sequencing libraries were prepared using Illumina Nextera and the 1D ligation library approach from Oxford Nanopore Technology (ONT). Libraries were sequenced on the Illumina HiSeq 2500 sequencer or the MinION sequencer from ONT equipped with a flowcell of chemistry type R9.4, respectively.

114 Illumina reads were verified for quality using FastQC and Trimmomatic [31, 32], while 115 MinION reads were processed with ONT's albacore v2.3.0 followed by demultiplexing 116 using porechop v0.2.3. Both datasets were then combined using the Unicycler assembly 117 pipeline [33] with a finishing step of Pilon v1.22. The assemblies were visually 118 inspected using the assembly graph tool Bandage v0.8.1 [34]. Annotation of the 119 megaplasmid was performed with Prokka v1.13 using default parameters [35]. To 120 improve annotation, we downloaded additional files of trusted proteins from NCBI RefSeq plasmids (ftp://ftp.ncbi.nih.gov/refseq/release/plasmid/), the NCBI Bacterial 121 Database 122 Antimicrobial Resistance Reference Gene (ftp://ftp.ncbi.nlm.nih.gov/pathogen/Antimicrobial resistance/) and the Antibacterial 123 124 Biocide-Metal-Resistance and Genes database (Bac-Met, 125 http://bacmet.biomedicine.gu.se/index.html). EggNOG mapper v4.5.1 and NCBI's

126 Conserved Domain Database CDSEARCH/cdd v3.16 were used for functional 127 annotation and conserved domain search of protein sequences, respectively [36-38]. 128 Inference of orthologous groups (OGs) was achieved with OrthoFinder v2.2.6 [39]. The coding sequence (CDS) annotations of the megaplasmid were visualized with Circos 129 130 v0.69-6 [40]. We used ISfinder [41] to look for insertion sequences (IS). Antimicrobial 131 resistance genes and associated mobile elements were annotated using Galileo<sup>TM</sup> AMR 132 (https://galileoamr.arcbio.com/mara/ (Arc Bio, Cambridge, MA) [42]. Plasmid copy 133 number was estimated based on coverage of the Illumina dataset. GenSkew 134 (http://genskew.csb.univie.ac.at/) was used to compute and plot nucleotide skew data to 135 predict the origin of replication.

# 136 Accession Number

137 The sequence of plasmid pJBCL41 was deposited in GenBank with accession number

138 MK496050.

# 139 Results

# 140 Antimicrobial Susceptibility and Taxonomy testing

141 Clinical isolate FFUP\_PS\_41 has a multidrug resistance (MDR) phenotype, showing 142 resistance imipenem, meropenem, ceftazidime. cefepime, to aztreonam. 143 piperacilin+tazobactam, gentamicin, tobramycin, amikacin, ciprofloxacin but remains 144 susceptible to colistin (MIC=1 mg/L). FFUP\_PS\_41 was initially identified as P. putida 145 by VITEK-2. However, it displays an ANI value below the cut-off for species 146 identification (95%) [28] when compared with the complete genome of type strains 147 belonging to the *Pseudomonas* genus, suggesting that it represents a new species related 148 to the *P. putida* phylogenetic group.

# 149 Comparative Megaplasmidomics Between pJBCL41 and Related Pseudomonas

#### 150 Plasmids

151 Using a hybrid assembly approach, we were able to fully resolve a mosaic megaplasmid 152 (named pJBCL41) carried by *Pseudomonas* sp. FFUP\_PS\_41 (Figure S1). pJBCL41 is 153 498,516 bp and a total of 608 predicted CDS were annotated (Figure 1). It has an 154 average GC content of 56.0%, which is lower than that observed for the chromosome 155 (62.6%) and the mean content for strains identified as P. putida (62.0%, according to 156 information retrieved the 08/03/2019 on on 157 https://www.ezbiocloud.net/taxon?tn=Pseudomonas%20putida).

158 NCBI's CDD calls 42.1% (256) of the predicted CDS for pJBCL41 (Table S1), 159 indicating that most genes encode proteins of unknown function. The backbone of this 160 megaplasmid harbours genes predicted to be responsible for plasmid replication, heavy 161 metal resistance and carries two predicted type-II toxin-antitoxin (TA) systems and 162 genes encoding for partition systems (Figure 1) [43]. Several genes encoding transport 163 and metabolic processes, as well as transposable elements and CDS associated with 164 transcription, regulatory, chemotaxis signal transduction and mobility functions could 165 be identified. These traits are frequently overrepresented on large plasmids (Figure 2) 166 [6, 44]. Also, pJBCL41 harbours several genes coding for the synthesis of DNA 167 precursors, which may promote replication and transcription processes to alleviate the 168 burden that this acquired element may impose on the host cell.

pJBCL41 has low nucleotide sequence identity with *Pseudomonas* megaplasmids deposited in public databases (**Table 1** and **Figure S2**). OrthoFinder assigned 59.4% of proteins encoded by pJBCL41 and the most closely-related plasmid, pQBR103 from *Pseudomonas fluorescens* [45] into 335 OGs (**Table S2**). pQBR103 was found in *Pseudomonas* populations colonizing the leaf and root surfaces of sugar beet plants growing at Wytham, United Kingdom and carried no antimicrobial resistance genes

[45]. Curiously, a blastp analysis between the proteins encoded by these megaplasmids
revealed that the average amino acid sequence identity is 72.8% among sequences
producing significant alignments.

178 Large plasmids identified among the *Pseudomonas* genus usually belong to the IncP-2 179 incompatibility [10, 21. 24]. However, IncP-2-type group the 180 stability/replication/conjugal transfer system is absent from pJBCL41 as previously 181 observed for other megaplasmids carried by different *Pseudomonas* species [46, 47]. 182 Two replication proteins could be identified here. One replicase gene is located at 183 458,679 bp on the plasmid and is close to predicted the origin of replication (Figure 184 **S3**). pJBCL41 is estimated to be present as a single copy, from read coverage vs. the 185 chromosome. Like many megaplasmids, pJBCL41 appears to possess a full set of genes for self-transmission [2, 3]. We identified a cluster of genes encoding an F-type T4SS, 186 187 encompassing i) a gene encoding a TraD homolog, an AAA+ ATPase of the pfamVirD4 188 type, known as the T4CP and which is a key protein in conjugation; ii) a gene encoding 189 a TraI relaxase homolog, which together with accessory proteins is responsible for 190 cleaving the plasmid in a site-specific manner to initiate DNA transfer and iii) a set of 191 genes (traEFGKNV homologues) coding for the mating pair formation system 192 responsible for pilus assembly and retraction (Figure 1) [2, 3, 48].

# 193 pJBCL41 Carries a Complex 50 kb Multidrug Resistance Region

The plasmid pJBCL41 carries genes typically found on IncP-2 encoding resistance to tellurite, which could allow co-selection and enrichment of bacteria with MDR plasmids [49]. It also harbours a class 1 integron with the  $|aacA7|bla_{VIM-2}|aacA4|$  cassette array (named In103 by INTEGRALL [50]) (**Figure 3**): *aacA7* confers resistance to aminoglycosides and *bla*<sub>VIM-2</sub> encodes resistance to β-lactams (including carbapenems). The *aacA4* gene cassette has a C residue at nucleotide position 329, encoding a serine associated with gentamicin resistance [51]. The same cassette array has been observed previously among isolates from Portuguese hospitals [22]. The integron is of the In4 type, with a complete 5'-CS bounded by the 25 bp inverted repeat IRi, 2,239 bp of the 3'-CS and IS6100 flanked by two fragments of the IRt end of Tn402 [9, 52]. As the region between IRi and IRt lacks *tni* transposition genes, this constitutes a Tn402-like transposon that would be defective in self-transposition.

206 This defective Tn402-like transposon is flanked by 5-bp direct repeats (5'-CTGCT-3') 207 (Figure 3), suggesting integration by transposition close to the predicted resolution 208 (res) site of a Tn3-family transposon. About 300 bp at the  $IR_{L}$  end of the transposon are 209 related (~86% identical) to TnAs1 (ISfinder), followed by a region containing a gene 210 which may encode a methyl-accepting chemotaxis protein. From the predicted 211 recombination crossover point in the res site the sequence matches TnPa40 (ISfinder). 212 This "hybrid" transposon is not flanked by characteristic 5 bp DR but the 5 bp adjacent 213 to  $IR_{I}$  (5'-AGGTA-3') are repeated 50,273 bp away, immediately adjacent to the 38 bp 214 repeat of a 1,100 bp transposon fragment ~97% identical to part of both Tn1721215 (GenBank accession no. X61367.1, [53]) and TnAs1 (Figure 3). This transposon is 216 truncated by 261 bp region that apparently corresponds to a Tn3-Derived Inverted-217 Repeat Miniature Element (designated TIME-262.1 here). TIMEs are non-autonomous 218 mobile elements commonly found in *Pseudomonas* spp. [54].

Most of the region between these transposon elements consists of a 16,782 bp segment flanked by directly oriented copies of IS*Pst3* (IS21 family). This region, except for insertion of IS*Pa82* (IS66 family) and an adjacent deletion in pJBCL41, matches several *Pseudomonas* chromosomes (e.g. *P. aeruginosa* PA7 in **Figure S4**) and different parts of it are found in plasmids in *Pseudomonas*, *Acinetobacter* and *Enterobacteriaceae*, sometimes also flanked by IS. The sequence between Tn*Pa40* and the left-hand IS*Pst3*  in pJBCL41 is a duplication of part of the 16,782 bp region, with ISPa1635 (IS4 family)
inserted, flanked by characteristic 8 bp DR, instead of ISPa82 and ends with a partial
ISPa1635. The right-hand ISPst3 truncates a transposon related to TnAs2 [55], which is
separated from TIME-261.1 by a 9,075 bp region that also matches *Pseudomonas*chromosomes and includes a putative aminoglycoside phosphotransferase gene.

Blast searches with the complete 50 kb region identified a 59 kb region in the chromosome of *P. aeruginosa* AR\_0440 (GenBank accession no. CP029148.1) that has similar ends, but lacks an integron, with an additional Tn*5393* insertion and a different region in place of the IS*Pst3*-bounded segment (**Figure S4**). This 59 kb region is flanked by 5 bp DR (5'-AATGA-3') and an uninterrupted version of the flanking sequence matches other *Pseudomonas* chromosomes.

A Tn5503-like transposon encoding a type-II TA system and two metal dependent 236 237 phosphohydrolases is also inserted in pJBCL41 [56] and is flanked by 5-bp DR (5'-238 ACTCT-3<sup>(</sup>), indicating that this element transposed independently of the 50-kb region 239 (Figure 3). It has only 10 nucleotide differences from the original Tn5503 on plasmid 240 Rms149, the archetype of *Pseudomonas* plasmid incompatibility group IncP-6 [56], and 241 additional copies of short repeats in a GC-rich region within a gene encoding an ATP-242 utilizing enzyme. An additional ISPst3, five ISPpu7 (IS21 family) and one ISPa41 (IS5 243 family) all flanked by DR of characteristic length, are also inserted in the pJBCL41 244 backbone (Figures 1 and 3).

#### 245 **Discussion**

In this study, we took advantage of a hybrid assembly approach to fully resolve and characterize a carbapenemase-encoding megaplasmid and to compare it with related *Pseudomonas* megaplasmids. The lower GC content of pJBCL41 compared with the FFUP\_PS\_41 chromosome and strains identified as *P. putida* may be related to a more

relaxed selection acting on these secondary replicons, as the maintenance of GC-rich genomes is energetically more demanding [57, 58]. Ongoing studies will help to characterize the biology and genomic signatures related to this new putative *Pseudomonas* species (Botelho *et al*, unpublished data).

254 Since secondary replicons are under strong pressure to undergo genomic reshuffling 255 [57], the observed low nucleotide sequence identity between pJBCL41 megaplasmids 256 and large *Pseudomonas* plasmids deposited in public databases might be expected. Even 257 though pJBCL41 and pQBR103 plasmids are similar in size and functionalities, there is 258 a high level of divergence between genes encoding related proteins. Indeed, it is rare to 259 identify megaplasmids with a similar nucleotide sequence in strains belonging to 260 different species within the same genus [6, 47]. These results suggest that pJBCL41 and 261 pQBR103 may share a common ancestor, but independent evolutionary trajectories 262 have led to significant diversification among related genes. The presence of different 263 replicons suggests that pJBCL41 may have resulted from co-integration of distinct 264 plasmid modules. The replication module defines plasmid copy number and plasmid 265 survival in several hosts. Low copy-number plasmids are more frequently lost, due to 266 random assortment at cell division [2, 3] and extra stability modules, such as TA and 267 partition systems, may be required to ensure that large plasmids such as pJBCL41 are 268 maintained [43, 59].

The DR flanking the 50-kb region in pJBCL41 and the related 59-kb region in the *P*. *aeruginosa* AR\_0440 chromosome could reflect insertion of each region by transposition, possibly mediated by the intact transposase and resolvase of Tn*Pa40*.

However, the size, complexity and differences the internal parts of these related regions may be more consistent with initial insertion of a simple transposon followed by further insertions, deletions and rearrangements. A similar situation is seen in plasmid pCTX-

275 M360, which carries a complete Tn2 flanked by the 5 bp DR, and the highly-related 276 pCTX-M3, in which the ends of  $Tn_2$  are present in the same position but the central part 277 of the transposon has undergone extensive rearrangements [60]. The identification of all 278 or part of the 16,782 bp segment found within the 50 kb region in pJBCL41 in other 279 locations also suggests that some of the genes it carries may encode advantageous 280 functions, but this needs further analysis. Identification of other sequences related to 281 parts of these 50-kb and 59-kb region segments may also shed light on how they have 282 arisen and evolved.

283 To sum up, we show that a hybrid Nanopore/Illumina approach is useful for producing 284 contiguous assemblies and allowed full resolution of a carbapenemase-encoding 285 *Pseudomonas* megaplasmid. The presence of this large plasmid may provide a selective 286 advantage to the host cell. However, given their size and gene content, acquisition of 287 these secondary replicons may pose a significant  $\cos [61-63]$ . The high level of gene 288 variation when compared to publicly available megaplasmids suggests that these 289 secondary replicons frequently undergo gene loss and gain though HGT. The reduced 290 purifying selection and the high prevalence of transposable elements frequently 291 observed on megaplasmids may help to explain why these elements readily acquire 292 foreign DNA [6, 57, 64]. In fact, mosaic plasmids such as pJBCL41 and the majority of 293 megaplasmids have a high proportion of mobile genetic elements [8]. The identification 294 of novel megaplasmids may shed light on the evolutionary effects of gene transfer and 295 the selective forces driving antibiotic resistance.

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# **302** Conflict of interest

303 SRP is responsible for updating the Galileo<sup>TM</sup> AMR database for Arc Bio.

## 304

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Plasmid	Мах	Total	Query	E value	Ident	Species	Genbank	Size	Year of	Source	Country
identifier	score	score	cover		(%)		accession	(bp)	isolation		
pQBR103	11073	1.06E+05	44%	0	72.99%	P. fluorescens	NC_009444.1	425094	2008	Environment	United
											Kingdom
XWY-1	10059	1.22E+05	9%	0	99.59%	P. sp.	NZ_CP026333.1	394537	2016	Environment	China
pJB37	7285	43666	17%	0	99.90%	P. aeruginosa	KY494864.1	464804	2008	Clinical	Portugal
pSY153	6131	1.41E+05	20%	0	99.85%	P. putida	KY883660.1	468170	2012	Clinical	China
pOZ176	6129	77698	19%	0	99.71%	P. aeruginosa	KC543497.1	500839	2000	Clinical	China
pBM413	5306	51713	17%	0	99.93%	P. aeruginosa	CP016215.1	423017	2012	Clinical	China
RW109	4728	41531	17%	0	71.75%	P. aeruginosa	NZ_LT969519.1	555265	NA	Industrial	NA
P19E3	4715	28494	15%	0	71.79%	P. koreensis	NZ_CP027478.1	467568	2014	Environment	Switzerland
AR439	4697	39223	16%	0	71.79%	P. aeruginosa	NZ_CP029096.1	437392	NA	Clinical	NA

501	<b>Table 1</b> . Blastn results between pJBCL41 and related megaplasmids.
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NA stands for no available data

**Figure 1.** Circular representation of genomic features of pJBCL41. The innermost circle is a histogram of the GC skew, the next a graph of GC content. The next circle displays selected regions of interest (yellow) and IS and transposons or related elements (grey). Red dots highlight genes encoding for antibiotic resistance. The next two circles represent the coding regions on the negative and positive strands colored by their functional annotation (when available). The outermost circle displays regions with high levels of identity to pQBR103 (GenBank accession no. NC\_009444.1).

509

510 Figure 2. Functional characterization of pJBCL41 and related megaplasmids. COG511 stands for Cluster of Orthologous Groups.

512

513 Figure 3. Map of resistance genes and mobile genetic elements inserted in the backbone 514 of pJBLC41. Gene cassettes are shown as blue boxes labelled with the cassette name 515 and are oriented in the 5'-CS to 3'-CS direction. IS are shown as block arrows labelled 516 with the IS name/number, with the pointed end corresponding to  $IR_R$ . TIME-261.1 and 517 fragments of Tn3-family transpospons are shown as beige boxes with 38 bp IR 518 represented by flags. The fragment annotated as "TnAs1-like" is ~97% identical to a 519 region in common between Tn1721 (GenBank accession no. X61367.1) and TnAs1 in 520 ISfinder. The fragment annotated as "TnAs2-like" is ~94% identical to TnAs2 in 521 IS finder. The integron is inserted in a proposed hybrid transposon, apparently created 522 by res-mediated recombination between a tnp region matching TnPa40 and another 523 transposon, labelled "Tn", that is ~86% identical to TnAs1 over the ~300 bp at the IR<sub>1</sub>. 524 end only. Direct repeats are shown as a pair of 'lollipops' of the same colour flanking an 525 IS or a pair of IRs (but note that the same colour may be used to indictate more than one 526 pair of DR), with sequences indicated for DR of transposons. Mobile elements are

- 527 shown to scale and numbers below dashed red lines indicate the lengths of intervening
- 528 regions in bp. This figure was constructed from diagrams generated using Galileo<sup>TM</sup>
- 529 AMR.





