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Putative cis-regulatory elements predict iron deficiency responses in Arabidopsis 38 39 roots 40 Birte Schwarz¹, Christina B. Azodi², Shin-Han Shiu^{2,3}, Petra Bauer^{1,4} 41 42 ¹Institute of Botany, Heinrich Heine University, Universitätsstr, 1, Düsseldorf, Germany 43 ²Department of Plant Biology, Michigan State University, East Lansing, MI, USA 44 45 ³Department of Computational, Mathematics, Science, and Engineering, Michigan State University, East Lansing, MI, USA 46 47 ⁴Cluster of Excellence on Plant Science (CEPLAS), Heinrich Heine University, Düsseldorf, 48 Germany 49 50 One sentence summary 51 >100 putative cis-regulatory elements robustly predict Arabidopsis root Fe deficiencyresponses in computational models, and shed light on the mechanisms of transcriptional 52 regulation. 53 54 55 Funding: This work was supported by the German Research Foundation grant through the DFG International Research Training group 1525 to P.B., a NSF Graduate Research 56 57 Fellowship to C.B.A, and by grants to S.-H.S. from the US National Science Foundation IOS-1546617, DEB-1655386, and DGE-1828149, and the US Department of Energy (DOE) Great 58 Lakes Bioenergy Research Center (DOE Office of Science BER DE-SC0018409). This work 59 received funding from Germany's Excellence Strategy, EXC 2048/1, Project ID: 390686111. 60 61 Author contributions: B.S., P.B., and S.-H.S. conceived the project; B.S., and S.-H.S. 62 designed the research plan; B.S., and C.B.A. analyzed the data; B.S. wrote the original draft; 63

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 clustering, *cis*-regulatory element, transcription factor binding motif, FIT, IDE1, coumarin

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76 Abbreviations CIS-BP Catalog of inferred sequence binding preferences 77 78 CNS Conserved non-coding sequence DAP-seq DNA affinity purification sequencing 79 Fe/-Fe Iron / Iron deficiency 80 FeS Iron-Sulfur 81 FET Fisher's exact test 82 FIT FE DEFICIENCY-INDUCED TRANSCRIPTION FACTOR 83 freq-pCRE Frequent pCRE 84 85 GO (Biological process) Gene ontology 86 GS Gold standard 87 IDE Iron Deficiency-responsive Element 88 log2FC log₂ fold-change Mugineic acid 89 MA min-pCRE Minimum set pCRE 90 PCC 91 Pearson's correlation coefficient pCRE Putative cis-regulatory element 92 PWM 93 Position weight matrix 94 RF Random Forest ΤF 95 Transcription factor TFBM Transcription factor binding motif 96 97 TSS Transcription start site Transcription termination site 98 TTS 99 Zn Zinc 100 101 102 103 104 105 106 107 108 109 110

111 Abstract

Iron (Fe) is a key cofactor in many cellular redox processes, including respiration and 112 photosynthesis. Plant Fe deficiency (-Fe) activates a complex regulatory network which 113 coordinates root Fe uptake and distribution to sink tissues, while avoiding over-accumulation 114 of Fe and other metals to toxic levels. In Arabidopsis (Arabidopsis thaliana), FIT (FER-LIKE 115 FE DEFICIENCY-INDUCED TRANSCRIPTION FACTOR), a bHLH transcription factor (TF), is 116 required for up-regulation of root Fe acquisition genes. However, other root and shoot -Fe-117 induced genes involved in Fe allocation and signaling are FIT-independent. The *cis*-regulatory 118 119 code, i.e. the cis-regulatory elements (CREs) and their combinations that regulate plant -Feresponses, remains largely elusive. Using Arabidopsis genome and transcriptome data, we 120 identified over 100 putative CREs (pCREs) that were predictive of -Fe-induced up-regulation 121 of genes in root tissue. We used large-scale in vitro TF binding data, association with FIT-122 123 dependent or FIT-independent co-expression clusters, positional bias, and evolutionary 124 conservation to assess pCRE properties and possible functions. In addition to bHLH and MYB 125 TFs, also B3, NAC, bZIP, and TCP TFs might be important regulators for -Fe responses. Our approach uncovered IDE1 (Iron Deficiency-responsive Element 1), a -Fe response CRE in 126 127 grass species, to be conserved in regulating genes for biosynthesis of Fe-chelating compounds also in Arabidopsis. Our findings provide a comprehensive source of *cis*-regulatory 128 129 information for -Fe-responsive genes, that advances our mechanistic understanding and 130 informs future efforts in engineering plants with more efficient Fe uptake or transport systems. 131

132 Introduction

The micronutrient iron (Fe) is crucial for survival of all organisms. Plants encounter Fe 133 134 deficiency (-Fe) on calcareous and alkaline soils or during developmental phases with increased sink demands. As a central component of heme and Fe-sulfur (FeS) clusters, Fe 135 acts in redox processes in plants in basically all important metabolic processes, such as the 136 respiratory and photosynthetic electron transport chains, chlorophyll biosynthesis, DNA 137 138 replication and repair, and nitrogen and sulfur assimilation. Consequently, plants react to -Fe 139 with a range of molecular, physiological and morphological adjustments, which is reflected in transcriptional alterations of more than 1000 genes in Arabidopsis (Arabidopsis thaliana) 140 (Dinneny et al., 2008; Rodríguez-Celma et al., 2013; Mai et al., 2016). In the shoots, the 141 photosynthetic machinery is remodeled, leading to visible leaf chlorosis symptoms, and 142 essential Fe-requiring processes are prioritized, which can be achieved through break-down 143 of dispensable Fe-bound proteins and Fe redistribution between organelles (Blaby-Haas and 144 145 Merchant, 2013; Balk and Schaedler, 2014; Hantzis et al., 2018). In the roots, genes controlling 146 soil Fe uptake and detoxification of other transition metal ions acquired along with Fe are upregulated. Additionally, Fe is mobilized from internal storages and distributed to Fe sinks. -Fe
also leads to changes in root architecture and root hair morphology (Brumbarova et al., 2015;
Curie and Mari, 2017; Jeong et al., 2017; Li and Lan, 2017).

To acquire soil Fe, grasses secrete mugineic acid (MA) family phytosiderophores and 150 import Fe³⁺-MA complexes into the root ("Strategy II"). In contrast, non-grass monocots and 151 dicots, such as Arabidopsis, acquire Fe via a reduction-based mechanism, in which soil Fe³⁺ 152 153 is solubilized by lowering the local pH through proton extrusion, followed by reduction to plantaccessible Fe²⁺ at the root epidermis and Fe²⁺ uptake ("Strategy I") (Marschner and Römheld, 154 155 1994). In Strategy I, secreted chelators (mainly phenylpropanoid-derived coumarins or 156 riboflavin derivatives) aid efficient Fe³⁺ solubilization and reduction (Fourcroy et al., 2014; 157 Schmid et al., 2014). Thus, Fe chelation is important during acquisition in both strategies.

158 Transcriptional control plays an important role in -Fe responses. A regulatory cascade ultimately controls a set of -Fe response genes. In both, Strategy I and II, the current cascade 159 160 model involves related subgroups of basic helix-loop-helix (bHLH) transcription factors (TFs). When rice and Arabidopsis plants experience -Fe, subgroup IVc bHLH proteins activate 161 subgroup Ib and IVb BHLH genes (Zhang et al., 2015; Liang et al., 2017). Downstream from 162 IVc bHLH TFs (ILR3/bHLH34/bHLH104/bHLH115 in Arabidopsis, PRI1 in rice), subgroup lb 163 bHLH TFs (bHLH38/39/100/101 in Arabidopsis, IRO2 in rice) and subgroup IVb bHLH TFs 164 (PYE in Arabidopsis, IRO3 in rice) regulate responses further downstream (Ogo et al., 2007; 165 Yuan et al., 2008; Long et al., 2010; Zheng et al., 2010). In addition, IVc bHLH protein levels 166 are controlled by Fe-regulated E3 ligases (Selote et al., 2015; Zhang et al., 2017). 167

Despite these conserved regulatory and functional interactions of subgroup IVc, Ib, and 168 169 IVb bHLH TFs between grass and non-grass species, it remains unclear if other regulatory 170 components between Strategy I and II are conserved. For example, in grasses, IDEF1 (IRON 171 DEFICIENCY-RESPONSIVE ELEMENT BINDING FACTOR1, ABI3VP1 subfamily of B3 TF) 172 and IDEF2 (NAC TF) coordinate -Fe responses through binding to IDE1 (Iron Deficiencyresponsive Element 1) and IDE2 (Kobayashi et al., 2007; Ogo et al., 2008). IDE1 has been 173 174 connected to induction of genes involved in Strategy II MA biosynthesis and Fe-MA uptake (Kobayashi et al., 2005; Ogo et al., 2007), However, while barley IDE1 can drive reporter gene 175 expression in tobacco in a -Fe-dependent manner and IDE1-like motifs are present in several 176 Arabidopsis -Fe response genes, a function for IDE1 has not been shown in Strategy I plants 177 (Kobayashi et al., 2003; Kobayashi et al., 2005; Kobayashi et al., 2007; Murgia et al., 2011). 178 Strategy I Fe acquisition requires the bHLH TF FIT (FER-LIKE IRON DEFICIENCY-INDUCED 179 TRANSCRIPTION FACTOR) that is absent in rice (Colangelo and Guerinot, 2004; Jakoby et 180 al., 2004), and is activated upon -Fe mainly through interaction with subgroup Ib TFs (Yuan et 181 al., 2008; Sivitz et al., 2012; Wang et al., 2013). FIT is essential for up-regulation of Fe³⁺ 182

reduction, Fe²⁺ uptake, and chelator biosynthesis and export (Colangelo and Guerinot, 2004;
Jakoby et al., 2004; Sivitz et al., 2012; Schmid et al., 2014; Mai et al., 2016).

A co-expression network built with -Fe-responsive genes gives insight into the complex 185 -Fe regulatory system in Arabidopsis (Ivanov et al., 2012). Among co-expression clusters, one 186 187 contains root-specific and FIT-dependent genes involved in Fe acquisition, while another one 188 is composed of root- and shoot-expressed FIT-independent genes. In this work, we refer to 189 robust (i.e. consistently identified in different studies) FIT-dependent and FIT-independent genes as the "gold standard" (GS) -Fe-induced genes. The concept to discriminate FIT-190 191 dependent and FIT-independent co-expression clusters has proven very informative for 192 interpreting mutant phenotypes and to place novel regulators into the -Fe response cascade 193 (e.g. Zhang et al., 2015; Liang et al., 2017; Gratz et al., 2019). FIT-independent network genes 194 mostly act in sub-cellular and long-distance transport and distribution of Fe and in Fe signaling and they include subgroup Ib BHLH genes and PYE (Ivanov et al., 2012). Only few upstream 195 196 regulators for FIT-independent gene expression have been identified yet, namely bHLH IVc TFs, controlling Ib BHLH and PYE, and PYE controlling NAS4, ZIF1 and FRO3 of the same 197 198 co-expression regulon (Long et al., 2010).

199 For most -Fe-responsive genes, including reliable marker genes (Ivanov et al., 2012; 200 Mai et al., 2016), the specific *cis*-regulatory elements (CREs) which coordinate their expression 201 are unknown. Computational approaches uncover regulatory connections on a genome-wide scale, such as through elucidating the *cis*-regulatory code, i.e. the collection of CREs and the 202 203 genes they regulate in a given regulatory context (Yáñez-Cuna et al., 2013). Putative CREs 204 (pCREs) could be identified computationally by the over-representation of sequences in the 205 promoter regions of co-regulated genes. Combining with data for TF binding motifs (TFBMs) 206 in Arabidopsis (Weirauch et al., 2014; O'Malley et al., 2016), regulatory connections can be 207 made between TFs, binding sequences, and target genes. To further improve the confidence 208 of computationally derived *cis*-regulatory code, machine learning algorithms (reviewed in Ma 209 et al., 2014) can be applied to build models with pCREs to predict gene expression or 210 transcriptional responses. These models can be evaluated by making predictions on expression of genes that are not part of the model training. Most importantly, a good model 211 212 indicates that the pCREs used are most likely important for regulating the expression/response 213 of interest. Previous work has demonstrated the suitability of machine learning for elucidating the *cis*-regulatory code of environmental stress responses in Arabidopsis (Zou et al., 2011; 214 Uygun et al., 2017). 215

To get a deeper understanding of -Fe response regulation, we elucidate the underlying *cis*-regulatory code. Because some TFs have well established roles in -Fe response, we can use these to validate our findings. We combined genome, transcriptome, and *in vitro* protein-DNA interaction data to uncover links between pCREs controlling -Fe responses and their

upstream TFs. With pCREs over-represented in promoters of co-expressed genes we modeled
 -Fe-induced up-regulation and identified over 100 informative pCREs of -Fe-responsive
 processes.

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224 Results and Discussion

225 **Overview of approach and functions of -Fe-responsive genes**

To identify root -Fe-associated CREs at a genome-wide scale, we defined root -Fe 226 227 response co-expression clusters, then we identified k-mers enriched in the promoter regions 228 of those genes, and finally we modeled -Fe response on the basis of the enriched promoter k-229 mers. An overview of our complete workflow including functional analysis of the identified 230 pCREs is shown in **Figure 1A**. Because many factors, such as the choice of data set or the measure used to define expression similarity, impact the discovery of functional connections 231 between genes (Uygun et al., 2016), we used multiple expression data combinations and 232 233 algorithms with varying parameters (see **Methods**).

-Fe-responsive genes (log₂ fold-change (log₂FC) >1 or <-1, q<0.05) were identified 234 using transcriptomic data available for six time points after an -Fe treatment in Arabidopsis 235 236 seedling roots (Dinneny et al., 2008). Enrichment analysis (Fisher's exact test (FET), q < 0.05) of biological process gene ontologies (GOs) showed that, next to Fe-related GOs (e.g. Fe 237 transport, homeostasis and FeS cluster assembly), responses to several hormones, including 238 239 auxin, ethylene, abscisic acid and jasmonic acid, were over-represented (Figure 1B). This is 240 consistent with the roles of hormones in -Fe response (Brumbarova et al., 2015) and in root 241 and root hair morphology and development (Schmidt et al., 2000), which were also enriched 242 GOs. -Fe affects the photosynthetic machinery and often correlates with oxidative stress 243 responses (Rodríguez-Celma et al., 2013), which is reflected in enrichment of GOs regarding 244 oxidative stress, photosynthesis, and primary metabolism even in roots (Figure 1B; Supplemental Figure S1). 245

246 Using multiple expression data sets to define -Fe co-expression clusters

247 We next grouped differentially regulated -Fe response genes into co-expression clusters using two approaches: k-means clustering and correlation to gold standard. K-means 248 clustering was based on the transcriptional responses to -Fe alone and combined with different 249 responses to other stress and developmental conditions (Figure 1C) (Schmid et al., 2005; 250 Kilian et al., 2007: Dinneny et al., 2008: Goda et al., 2008). Correlation-based clusters were 251 generated for each gene in our curated list of gold standard (GS) -Fe response genes (see 252 Methods; Supplemental Table S1), by selecting the differentially regulated -Fe response 253 genes with a significantly similar (Pearson's Correlation Coefficient (PCC); see **Methods**) 254 255 expression pattern to the GS gene, also using the different combinations of transcriptional data

(Figure 1C). To identify co-expression clusters with similar biological functions, we grouped 256 them according to their enriched GOs (FET, q<0.05) into "superclusters" (Figure 2A; 257 Supplemental Figure S2A; see Methods), which were defined as groups of at least 20 258 259 clusters with significantly higher similarity to each other than the average similarity of all clusters (all Mann-Whitney U, p<2.2e-16; Supplemental Figure S2B, C). While k-means 260 261 supercluster C was enriched in an Fe-related GO (cellular response to Fe, GO shared by \geq 75% 262 of co-expression clusters within each supercluster), k-means superclusters A and B shared 263 GOs related to different stress responses.

Because the current TAIR GO annotation for -Fe response-related processes does not 264 contain all -Fe-responsive genes of interest (e.g. MYB10, UGT72E1, AT3G07720, FEP3 or 265 266 NAS4, (Ivanov et al., 2012)), we also determined if k-means-generated co-expression clusters were enriched for GS genes ("GS-enriched"; FET, q<0.05; right, Figure 2A). While many of 267 268 these clusters were part of the Fe-related GO supercluster A, the GS approach allowed us to 269 identify an additional 23 Fe-related co-expression clusters that would have been overlooked by conventional GO enrichment analysis. In total, 7% of the *k*-means-generated clusters were 270 GS-enriched (Figure 2B). Applying this same analysis to the correlation-based clusters 271 (Figure 2A: Supplemental Figure S2A), we found higher levels of similarity between 272 273 correlation-based clusters compared to k-means clusters (Mann-Whitney U, p < 2.2e-16; Supplemental Figure S2D), because we pre-condition their identification on GS genes, some 274 275 of which are tightly co-regulated (Ivanov et al., 2012). Accordingly, we found that 93% were 276 GS-enriched (right, Figure 2B).

GS genes are either FIT target ("FIT-dependent") or FIT-independent Fe homeostasis 277 278 ("FIT-independent") genes, which we found reflected in our GS-enriched clusters: 71% of the 279 GS-enriched clusters were more specifically enriched for FIT-dependent (39%) and/or FITindependent genes (32%) (FET, q<0.05; bottom, Figure 2B). The remaining GS-enriched 280 clusters were enriched for both ("mixed"). Interestingly, clusters based on combined 281 expression data (i.e. data combinations (dc) 2, 3, 5a/b, 6) were more often enriched for FIT-282 dependent or FIT-independent genes, while -Fe time course data alone (dc1) produced mainly 283 mixed category clusters (Figure 2C). The utility of including spatial or developmental data (dc2, 284 285 6) to define co-expression clusters reflects that -Fe-responsive genes act at different time points and in different tissues and organs (Dinneny et al., 2008; Ivanov et al., 2012; Jeong et 286 al., 2017). Finally, genes in clusters not enriched for GS-genes ("non-enriched") tended to 287 respond to particular abiotic stresses, for example cold (Supplemental Figure S8; e.g. 288 289 clusters 937, 973) or salt (e.g. clusters 900, 915, 936, 987), whereas gene expression for GSenriched cluster genes tended to randomly oscillate under different abiotic stresses (e.g. 290 clusters 818, 835, 858, 889), which might indicate different regulatory networks and highlight 291

the usefulness of incorporating additional abiotic stress data (as in dc3, 5, 6) when defining co expression clusters that are likely co-regulated.

In summary, by using different expression data sets and clustering methods we defined 1,959 -Fe co-expression clusters, many of which were enriched for FIT-dependent and/or FITindependent GS genes. These represent possibly co-regulated functional units in Fe acquisition and Fe homeostasis processes, well-suited to identify pCREs which can explain -Fe-induced up-regulation. Genes in co-expression clusters that were enriched in -Feresponsive genes but not GS genes (non-enriched clusters) are presumably regulated by mechanisms different from GS-enriched clusters.

A machine learning approach to model regulation of -Fe responsive co-expression clusters

The machine learning algorithm Random Forest (RF) has been successfully used to 303 model stress transcriptional response using *cis*-regulatory sequences in plants (Zou et al., 304 2011; Deng et al., 2017; Uygun et al., 2017). Here, for each co-expression cluster, we used 305 pCREs (enriched *k*-mers in putative promoter sequences; see **Methods**) to build a RF model 306 that classifies genes as belonging to the cluster in guestion or as a non-responsive gene (see 307 **Methods**). The pCREs from models performing above a defined threshold (F1 \geq 0.7; see 308 309 Methods) were then considered further. Out of 1,959 co-expression clusters, 28% of the 310 models passed the performance threshold, 60% performed poorly, and for 12% no model could 311 be built due to small size (median size=2 genes; Supplemental Figure S3A, B). Poor performing models (median F1=0.62) were mostly for small clusters (median size=12) 312 (Supplemental Figure S3B; Supplemental Table S2) likely due to the lack of training data. 313 314 Nonetheless, 66 large clusters (>100 genes, median size=135) also performed poorly (median F1=0.64) – this is likely because these large clusters are too heterogeneous containing genes 315 316 with multiple regulatory codes (Uygun et al., 2016; Uygun et al., 2017), and/or are co-regulated but not at the transcriptional level (e.g. post-translationally controlled). Interestingly, of the 28% 317 of clusters with models above the threshold, only 36% were GS-enriched clusters 318 (Supplemental Figure S3A). Nonetheless, models built for GS-enriched clusters (median 319 F1=0.68) tended to perform better than models built for non-enriched clusters (median 320 F1=0.65; Mann-Whitney U, p<2.358e-09; Figure 3A-C). 321

Good model performance indicates that genes in a cluster are more likely co-regulated, and, because pCREs were used to build the model, these pCREs are likely the regulatory sequences contributing to the co-regulation. Taken together, we identified 5,639 pCREs enriched in promoters of -Fe-responsive genes that may be predictive of -Fe-induced up- or down-regulation. To further evaluate the biological relevance of pCREs, in the following sections, we assess pCREs based on their association with GS-enriched or non-enriched clusters, importance for model performance, and similarity to known TF binding sites. Known

-Fe CREs from Arabidopsis and also from grasses, for example E-/G-boxes (bHLH TF binding
 sites) and IDE1, will serve as positive controls.

331 Identifying common pCREs across co-expression clusters

332 We expect true -Fe response CREs to be: (i) important for building models with good performance in predicting -Fe response, and (ii) reliably identified in co-expression clusters 333 334 with similar gene content. Therefore, for each pCRE we calculated the proportion of clusters 335 enriched for the pCRE and its average importance rank across those clusters (Supplemental 336 **Table S3**). The importance rank of a pCRE was derived from an importance score for the pCRE in question from the trained RF models that reflects how useful a pCRE was for 337 predicting -Fe response genes in a cluster. This allowed us to get a snapshot of pattern of 338 presence and absence of important pCREs for genes correctly predicted (true positives (TP)) 339 340 in co-expression clusters with good (Figure 3D, E) and poor (Figure 3F) performance. The pCREs were enriched in between 1 (0.6%) and 56 (35%) GS-enriched clusters and in between 341 1 (0.3%) and 54 (15%) non-enriched clusters, with 173 pCREs considered frequent pCREs 342 (freq-pCREs, enriched in >5% of GS-enriched or non-enriched clusters) (Supplemental Table 343 S3). Across GS-enriched clusters, pCREs tended to have higher proportions with higher 344 345 importance ranks than across clusters that were not GS-enriched (Mann-Whitney U, p<2.2e-346 16; Figure 4A, B; Supplemental Figure S4A, B). The higher proportion of GS-enriched 347 cluster pCREs can be explained partly by the fact that those clusters are more homogenous 348 in terms of gene contents than non-enriched clusters (Mann-Whitney U, p<2.2e-16; 349 Supplemental Figure S3C).

350 We next determined whether GS-enriched clusters are regulated by a different set of 351 pCREs than non-enriched clusters. Out of the 5,639 pCREs, 15% (n=860) were unique to GSenriched clusters, while 73% (n=4109) were unique to non-enriched clusters and 12% (n=670) 352 353 were found in both GS-enriched and non-enriched clusters (inset, Figure 4A). This indicates that GS-enriched clusters and non-enriched clusters are regulated partly by different pCREs, 354 but also by a fraction of shared pCREs. However, 43% (n=286) of the 670 shared pCREs were 355 356 predominant to GS-enriched clusters (i.e. having only low proportion and low importance rank 357 in non-enriched clusters; top, Supplemental Figure S4C). This indicates that pCREs that were categorized as shared might not be equally important for regulating both GS-enriched 358 and non-enriched clusters. Interestingly, unique GS-enriched freq-pCREs represented 59% 359 (n=102) of the 173 freq-pCREs, while 35.5% (n=58) were unique non-enriched, and only 7.5% 360 (n=13) freq-pCREs were shared between GS-enriched and non-enriched clusters, indicating 361 362 that pCREs with high proportion are also the ones which seem to regulate almost exclusively 363 either GS-enriched or non-enriched cluster functions, but not both (inset; Figure 4A; bottom, 364 Supplemental Figure S4C). Furthermore, freq-pCREs tended to have higher importance 365 ranks than non-frequent pCREs (Mann-Whitney U, p<1.924e-14; Supplemental Figure S5D).

Together, this suggests that freq-pCREs could be particularly relevant for regulation of -Fe response mechanisms.

To characterize the freq-pCREs, we grouped them according to sequence similarity using pair-wise PCC distances of pCRE position weight matrices (PWM; see **Methods**). 62% (n=107) of all freq-pCREs could be placed into one of eight pCRE groups (**Figure 4C, D**; **Supplemental Figure S4E**). Freq-pCREs of the same group tended to be predictors of the same cluster category (GS-enriched/non-enriched).

In summary, we identified more than 100 -Fe pCREs that were reliably associated either exclusively to GS-enriched or non-enriched co-expression clusters or with high preference for one of the categories. Those pCREs were also ranked as important for machine learning models and might therefore be candidates for functionally relevant motifs to different responses to -Fe.

378 Similarity of -Fe pCREs to known TFBMs

379 CREs are recognized by TFs to modulate gene expression. To identify what types of TFs may bind to the identified pCREs, we examined the similarities between the -Fe pCREs 380 and known TF binding motifs (TFBMs) from two sources (see Methods). Based on threshold 381 similarities, we were able to match a specific TF and/or a specific TF family to each of the 173 382 freq-pCREs (see Methods; Figure 5A; Supplemental Figure S5). To gain an overview which 383 384 TF families might be associated with GS-enriched clusters and how specific these TF families 385 are, we asked which families contained over-represented numbers of TFs that likely bound 386 pCREs from GS-enriched and non-enriched cluster categories. We found that most TF families 387 were found with higher proportion in either GS-enriched clusters (14 TF families) or non-388 enriched clusters (12), while only four were similarly distributed between both categories (Figure 5B). 389

Most known -Fe regulators in Arabidopsis are bHLH TFs (FIT, subgroup Ib and IVc 390 bHLH proteins, PYE, e.g. (Jakoby et al., 2004; Wang et al., 2007; Long et al., 2010; Palmer et 391 al., 2013; Zhang et al., 2015)). bHLH and MYB TF families were identified, and even with 392 393 higher proportion in GS-enriched clusters than in non-enriched clusters, which is indeed consistent with their role in -Fe response regulation. Other matching TF families over-394 represented in GS-enriched clusters were bZIP (FET, q<0.05), B3, TCP and NAC. Although a 395 396 B3 TF (ABI3VP1 subfamily; IDEF1) and a NAC TF (IDEF2) are important regulators of Strategy II Fe acquisition in grasses (Kobayashi et al., 2007; Ogo et al., 2008), the role for these TF 397 398 families in Strategy I non-grass plant species has not yet been described. In contrast, ARID, WRKY (both FET, q<0.05), Homeobox, and CAMTA TF families were matched more in non-399 400 enriched than GS-enriched clusters, pointing towards roles during -Fe stress other than Fe 401 uptake or homeostasis, in which GS genes are mostly involved.

Next, freq-pCRE-TFBM matches from GS-enriched clusters served to infer specific 402 upstream regulators of -Fe-responsive modules. More than 50% freq-pCREs (60 out of 115) 403 matched TFBMs of a specific TF (Figure 5A). Of those, 29 freq-pCREs shared perfect 404 405 sequence similarity (PCC=1) to the TFBM, which were then of particular interest. From these 406 perfect matches, 23 pCREs were unique for GS-enriched clusters. Example TF candidates for these 23 cases were FUS3 (an ABI3VP1/B3 TF), bHLH104, bZIP3, 16 and 42, TCP13 (PTF1), 407 408 and FAR1 (Supplemental Table S4A). While the DAP-seq and CIS-BP TFBM databases contain binding information for many TFs, they are far from exhaustive. For example, out of 409 410 162 known Arabidopsis bHLHs (Bailey et al., 2003), only 46 were available to be included in 411 the analysis. Therefore, some TF families were likely under-represented in our analysis and 412 some top match TFBMs may not accurately reflect the binding partner for certain pCREs. Consequently, some important pCRE-TFBM matches might not be detectable at this time. 413 However, as new experimental TF binding data is collected, we might gain more biological 414 415 insight into our -Fe pCREs.

416 Inferring upstream regulators of the -Fe response

Because we believe our genome-wide approach for identifying regulatory elements 417 may shed light on areas of -Fe response that are less well understood, we next put our findings 418 419 in context with open questions in the field. For example, the ABI3VP1/B3-type TF IDEF1, a 420 key regulatory factor of -Fe responses in rice and barley roots, recognizes the CATGC core of 421 IDE1 (Kobayashi et al., 2003; Kobayashi et al., 2005; Kobayashi et al., 2007; Kobayashi et al., 422 2009; Kobayashi et al., 2010). With ten of our freq-pCREs having an IDE1 CATGC (or GCATG) 423 core and matching ABI3VP1/B3 family TFBMs, IDE1-likes were fairly dominant among the 424 freq-pCREs and unique to GS-enriched clusters (Supplemental Table S3). This strongly suggests an important function for IDE1-like motifs in Arabidopsis. Arabidopsis AFLs (B3 family 425 426 TFs ABI3/FUS3/LEC2), are the closest homologs of the rice IDEF1, and may bind to the IDE1likes. In fact, ABI3 and FUS3 bind to RY-like elements (CATGCA), regulating FeS cluster 427 subunit formation during seed maturation (Roschzttardtz et al., 2009). However, ABI3 or FUS3 428 429 functions during later developmental stages, particularly in the root during -Fe response, remain to be elucidated. Since the FUS3 TFBM matched our top most abundant IDE1-like 430 (CATGCC; **Supplemental Table S4A**), and because *FUS3* is expressed in the root epidermis 431 and in lateral root primordia during later developmental stages (Boulard et al., 2017; Tang et 432 al., 2017), FUS3 might be an IDEF1 homolog in Strategy I plants. 433

Another -Fe response-related TF in rice and barley, IDEF2, belongs to the NAC family and binds to the CA(A/C)G(T/C)(T/C/A)(T/C/A) core in IDE2 (Ogo et al., 2008). Although we did not have a perfect (PCC=1) pCRE-NAC TFBM match, we found matched NAC TFBMs slightly over-represented in GS-enriched clusters (**Figure 5B**). Furthermore, two of the top ten most abundant freq-pCREs unique to GS-enriched clusters matched NAC TFBMs (PCC>0.9),

with one freq-pCRE being highly similar to the IDE2 core (CACGCC). This indicates that IDE2like motifs might also play a role during Arabidopsis -Fe responses.

One freq-pCRE (CGTGCC) perfectly matched to a bHLH104 TFBM (Supplemental
Table S4A). bHLH104 binds to the promoters of subgroup lb *BHLH* genes *BHLH38/39/100/101* (Zhang et al., 2015; Li et al., 2016), positively regulating Fe uptake.
Consistently, we found CGTGCC in clusters containing *BHLH101* (*AT5G04150*;
Supplemental Table S2).

Other freq-pCREs matched to known TFBMs from TFs with unknown roles in -Fe 446 447 response. For example, bZIP TFBMs were significantly over-represented in GS-enriched 448 clusters, but have no known direct roles in -Fe response. However, bZIP TFs are known 449 regulators of the Zn deficiency response, which, together with the fact that one GS gene, ZIP9, is also responsive to Zn deficiency, could indicate an interdependency of Zn and Fe 450 homeostasis (Assunção et al., 2010; Sinclair et al., 2018). Furthermore, two matched TFs, 451 bZIP3 and bZIP16, are involved in ABA signaling, which is connected to -Fe response amongst 452 others by modulating root growth (Séguéla et al., 2008; Matiolli et al., 2011; Hsieh et al., 2012). 453 Possible functions of bZIP TFs in response to -Fe stress should be explored in the future. 454

TCP13 (PTF1) and FAR1 TFBMs are two more examples for perfect freq-pCRE 455 matches with yet unknown specific roles of the TFs during -Fe. although their specificity to GS-456 enriched clusters points towards important roles in regulating GS genes. TCPs are involved in 457 458 plant development, but also act in signaling of hormones that influence -Fe responses (Davière 459 et al., 2014; Brumbarova et al., 2015; Resentini et al., 2015; Nicolas and Cubas, 2016). For example, TCP20 was reported to bind to the BHLH39 promoter (Andriankaja et al., 2014), 460 461 indicating a possible connection of TCPs and -Fe responses during plant development. TCP13 462 is involved in regulating responses to light shade signals through PHYTOCHROME 463 INTERACTING FACTORS (PIFs) (Zhou et al., 2018). Interestingly, FAR1 and its homolog FHY3 also act in phytochrome-PIF signaling (Wang and Wang, 2015). Together, this suggests 464 a connection of light perception and -Fe responses mediated through these TFs, which is 465 466 consistent with the known diurnal influence on Fe uptake (Vert et al., 2003; Santi and Schmidt, 2009; Hong et al., 2013; Salomé et al., 2013). In addition, FAR1/FHY3 act in the regulation of 467 468 phosphate starvation response, together with ethylene regulator EIN3 (Liu et al., 2017), which 469 also binds FIT to promote Fe uptake (Lingam et al., 2011). Therefore, FAR1 might also regulate Fe acquisition via the ethylene pathway. 470

Finally, a perfect freq-pCRE-WRKY11 match indicates that WRKY TFs, although significantly over-represented in non-enriched clusters, are also important for regulating GSenriched clusters. WRKY11 is involved in abiotic stress tolerance in Arabidopsis (Ali et al., 2018), with no specific role known during -Fe response yet. However, WRKYs in general have already been connected to -Fe, for example as putative regulators of the coumarin transporter

gene *PDR9* (Ito and Gray, 2006) and of *PYE* (Koryachko et al., 2015). Furthermore, WRKY46
negatively regulates the vacuolar Fe importer gene *VTL1/VITL1* (Gollhofer et al., 2011;
Gollhofer et al., 2014; Yan et al., 2016). We found a WRKY TFBM (GTCAAC) in several nonenriched clusters containing down-regulated Fe-responsive genes, including the *VTL1*homolog *VTL5* (*AT3G25190*; **Supplemental Table S2**), indicating that some of the TFs
matching non-enriched cluster pCREs might act as repressors of Fe excess genes.

In summary, many pCREs commonly found among GS-enriched clusters shared significant sequence similarity with known -Fe CREs, such as IDE1, or with binding sites of known -Fe-associated TF families, such as ABI3VP1/B3, NAC, MYB and bHLH. Notably, we found evidence for IDE1-like motifs being relevant not only in Strategy II plants, but also in the Strategy I plant Arabidopsis. Our results also suggest novel associations, such as the role of bZIPs or TCPs in -Fe responses. We assessed in the next paragraph in which specific -Fe response processes pCREs of particular interest, such as IDE1-likes, might be involved in.

489 Associating important pCREs with FIT-dependent or FIT-independent functions

490 After identifying novel potential regulators in the -Fe response, we pinpointed some of 491 those which could best explain models of -Fe-responsive up-regulation and explored their 492 potential functions.

493 More than 1,500 pCREs were identified in total in GS-enriched clusters, raising the 494 question of a core set of important pCREs needed to robustly predict -Fe response in each 495 cluster. Using pCRE abundance (freq-pCREs) among GS-enriched clusters as the only criteria 496 for selecting informative motifs for those clusters could result in missing motifs simply due to 497 the fact that some co-expression clusters were more unique than others. This is supported by 498 the fact that rare pCREs still can have a high importance rank (Figure 4A), meaning that those 499 pCREs were not included in the set of freq-pCREs although they seem to be important for 500 regulating individual GS-enriched clusters. We identified the most important pCREs (defined 501 as the minimum set of pCREs; min-pCREs) by building RF models iteratively with successively 502 deleting the least important pCREs in each round (Supplemental Figure S6). Applying this 503 approach to the 159 GS-enriched clusters resulted in a collective set of 615 min-pCREs. They 504 were part of the minimum sets of between 1 (0.6%) and 48 (30%) GS-enriched clusters, with the IDE1-like CATGCC being the top most abundant min-pCRE. Together with CATGCC, two 505 506 more IDE1-like motifs, TCATGC and CCATGC, were among the top ten most abundant min-507 pCREs (Supplemental Table S3). This supports a previous computational analysis of rice promoters, in which IDE1-like was among the top scoring motifs (Kakei et al., 2013). Together 508 with our previous finding typing IDE1-like ABI3VP1/B3 TFBMs to GS-enriched clusters, it 509 510 suggests an important, yet unknown, function of IDE1-like motifs in Arabidopsis -Fe response 511 regulation. Min-pCREs matching a bHLH (CGTGAC), a MYB (TAACTA), and the IDE2-like 512 NAC TFBM (CACGCC; all Supplemental Table S4A) were also among the top ten most

abundant min-pCREs (Supplemental Table S3), further demonstrating the utility of ourapproach.

To determine in which processes min-pCREs might function during -Fe, we tested if 515 516 min-pCREs were more likely to be found in FIT-dependent or FIT-independent co-expression 517 clusters. More than 60% of the 159 GS-enriched clusters were classified as either FITdependent with a likely function in root iron acquisition (35% out of 159) or FIT-independent 518 519 with either a function in internal Fe homeostasis in shoots and roots or in -Fe response 520 regulation (28% out of 159; Figure 6A). We then calculated the proportion of min-pCREs 521 (present in ≥ 5 GS-enriched clusters) in each cluster category (**Supplemental Table S5**). Interestingly, the two IDE1-like motifs, CATGCC, CCATGC and the related ABI3VP1/B3 TFBM 522 523 matched ATGCAT, were predominantly identified in FIT-dependent clusters, but IDE2-like CACGCC had no preference for either FIT-dependent or FIT-independent clusters (Figure 524 525 **6B**). This suggests that the IDE1-like pCREs tend to be more important for FIT-dependent root Fe acquisition rather than FIT-independent Fe sensing, signaling and distribution. This is also 526 consistent with the role of grass IDE1 in Fe uptake (Kobayashi et al., 2003; Kobayashi et al., 527 528 2005). Two ARF TFBM matched min-pCREs (AACGTA/ARF16, GTCGGA/ARF2) were also 529 preferentially found in FIT-dependent clusters. ARFs are involved in auxin signaling, thereby 530 controlling - among other functions - root hair elongation (Pitts et al., 1998; Mangano et al., 531 2017; Choi et al., 2018). Different studies reported that -Fe responses can be accompanied by 532 an increase of root hair number, elongation of root hairs, deformed or short root hairs (Schmidt 533 et al., 2000; Müller and Schmidt, 2004; Dinneny et al., 2008). ARF2 and ARF16 TFs are root 534 hair growth repressors (Choi et al., 2018), which would be consistent with a short root hair phenotype and down-regulation of respective GO terms under -Fe (Dinneny et al., 2008) 535 536 (Supplemental Figure S1). During -Fe, several root hair-acting genes are co-expressed in a regulon which also contains IRT2 (Ivanov et al., 2012), indicating a possible connection of ARF 537 538 TFBM matched min-pCREs with these root hair processes.

In contrast, three bZIP TFBM matched min-pCREs, GTGGCA, CACGTC and CACTAC, 539 540 were predominantly identified in FIT-independent clusters. As described in the previous section, bZIPs are involved in ABA signaling. ABA negatively regulates FIT-dependent Fe³⁺ 541 542 reductase gene FRO2 and Fe²⁺ importer gene IRT1 (Séguéla et al., 2008). However, ABA signaling also leads to enhanced apoplastic and vacuolar Fe utilization and root to shoot 543 transport under -Fe (Séguéla et al., 2008; Lei et al., 2014). (Lei et al., 2014) propose that ABA-544 545 responsive gene regulation and Fe remobilization and transport are connected through bZIPs. 546 which is consistent with our results that bZIP TFBMs are preferentially found in FITindependent co-expression clusters of genes involved in Fe mobilization and translocation. 547 Similarly, two TCP TFBMs, GACCAC and ACCCAC, were identified almost exclusively in FIT-548 549 independent clusters, which is in agreement with TCP20 regulating BHLH39 in a FIT-

independent manner (Andriankaja et al., 2014). Finally, bHLH TFBMs were identified in all cluster categories with a preference for mixed clusters. This matches the ubiquitous nature of bHLH target motifs (E-/G-boxes), which act at many levels in the -Fe bHLH cascade. In summary, we can propose plausible roles for pCREs as TFBMs in FIT-dependent and FITindependent processes.

555 **Distribution and conservation of min-pCREs in co-expression cluster gene promoters**

556 Next, to explore if min-pCREs displayed significant positional bias in the promoter regions of co-expressed genes, we compared the observed min-pCRE frequencies in 100 bp 557 558 bins of -1000 to +500 bp and of -500 to +1000 bp flanking regions adjacent to the transcription start site (TSS) and transcription termination site (TTS), respectively, with the expected 559 frequencies from shuffled pCRE sequences (according to Uygun et al., 2017) for all 615 min-560 pCREs (Supplemental Figure S9). Furthermore, we examined the non-coding as well as the 561 coding sequences of the transcribed regions. Overall, the distributions of min-pCREs revealed 562 a slight positional bias in the promoter regions (top, Figure 6C). We investigated the 563 564 distribution plots separately for ten selected min-pCREs: FIT-dependent ABI3VP1/B3 TFBMmatched min-pCREs (containing the two IDE1-likes), the IDE2-like (NAC TFBM match), FIT-565 independent bZIP TFBM matches, and bHLH TFBM matches (Figure 6C). These min-pCREs 566 567 had significant location bias in the putative promoters up to 1000 bp upstream of the TSS. 568 Because known CREs often exhibit positional bias (Zou et al., 2011; Heyndrickx et al., 2014; 569 Yu et al., 2016), this provides additional support for these pCREs having regulatory functions 570 in Fe uptake and homeostasis. Interestingly, some of the pCREs common to mixed clusters 571 did not show position bias in any of the genomic regions tested (e.g. ABI3VP1/CTTATA and 572 MYB/TAACTA; bottom, Figure 6C), indicating that genes of such clusters are less likely to be 573 transcriptionally co-regulated.

574 Next, we sought to pinpoint the specific processes of Fe homeostasis, which these ten 575 min-pCREs might regulate. To assess this, we determined the genes containing the respective min-pCRE and counted the number of incidents in which these genes were likely regulated by 576 577 the min-pCRE (Figure 6D; Supplemental Table S6A). For example, CATGCC was found in 48 GS-enriched clusters, and 36 of those clusters (75%) included the min-pCRE-containing 578 gene MYB10, while only four of those clusters (8%) included MAPKKK16 (second row right, 579 Figure 6D). We inferred that CATGCC might be regulating predominantly processes in which 580 MYB10 is required. As an additional line of evidence for pCRE functionality, we determined if 581 582 min-pCREs overlapped with conserved noncoding sequences (CNS) of the Brassicaceae family (Haudry et al., 2013) (Supplemental Table S6B). As expected, bHLH TFBM matched 583 584 min-pCREs were identified in many gene promoters, including BHLH39/BHLH101 (direct 585 targets of bHLH IVc TFs, (Zhang et al., 2015)), NAS4 (direct PYE target, (Long et al., 2010)), 586 and IRT1, AT3G07720 and GRF11 (FIT targets; Figure 6D; (Sivitz et al., 2012; Yang et al.,

2013)). In *BHLH39/BHLH101*, *IRT1*, and *GRF11*, the respective min-pCREs overlapped with
CNS, further supporting the importance of these motifs. Interestingly, bHLH matched minpCREs were also located in CNS of *BTS* and *BTSL1*, two genes that negatively regulate Fe
uptake by marking positive regulators (e.g. bHLH IVc TFs) for degradation (Selote et al., 2015).
If *BTS* were to be regulated by bHLH proteins from the same regulatory cascade, this may
indicate a negative feedback loop.

FIT-dependent IDE1-likes CATGCC and CCATGC were located in the IRT1 promoter, 593 594 overlapping with CNS (second row, Figure 6D). Interestingly, both IDE1-likes were found in 595 several genes encoding enzymes and TFs involved in coumarin biosynthesis (CYP82C4, S8H, 596 F6'H1, MYB72/MYB10; (Kai et al., 2008; Murgia et al., 2011; Fourcroy et al., 2014; Schmid et 597 al., 2014; Zamioudis et al., 2014; Rajniak et al., 2018; Siwinska et al., 2018)). We propose that 598 IDE1 is important for synthesis of Fe chelators in response to low Fe conditions in both 599 monocots and dicots (see Kobayashi et al., 2003; Kobayashi et al., 2005). The IDE2-like min-600 pCRE was located amongst others in BTSL1 (within a CNS), BHLH39, ORG1, and a number of uncharacterized genes. While the role of IDE2 in the Strategy II Fe response has not been 601 comprehensively explored, it is known to regulate expression of OsYSL2, a phloem Fe^{2+} -602 603 nicotianamine transporter (Kobayashi et al., 2003; Ogo et al., 2008). This putative involvement 604 in phloem translocation of Fe suggests that the IDE2-like might preferably associate with FIT-605 independent gene functions. However, we could not assess the relationship between IDE2 and 606 YSLs in this analysis because YSL1/2/3 were not expressed above the log2FC>1 threshold.

607 Next, we explored the bZIP TFBM-matched min-pCREs, since they had the strongest FIT-independent preference. These min-pCREs were located in a number of genes involved 608 609 in translocation of Fe/Fe chelates or the synthesis of Fe chelators (e.g. NRAMP4, ZIF1, OPT3 610 (Languar et al., 2005; Haydon et al., 2012; Mendoza-Cózatl et al., 2014; Zhai et al., 2014)) or 611 in Fe sensing and signaling (e.g. OPT3, BTS, (Mendoza-Cózatl et al., 2014; Zhai et al., 2014; 612 Selote et al., 2015; Khan et al., 2018)). Furthermore, GTGGCA (matched to bZIP TFBM) 613 overlapped with a CNS of CGLD27 (third row, Figure 6D), which has been associated with 614 photoprotection in leaves during -Fe (Ruiz-Sola and Rodríguez-Concepción, 2012; Rodríguez-Celma et al., 2013). However its function in roots remains elusive. Taken together, our findings 615 suggest diverse roles for bZIP TFBMs, including Fe transport and adjustment of the plastid 616 617 proteome.

In summary, we identified more than 100 -Fe pCREs which, in addition to sharing significant sequence similarity to known TFBMs, were also part of the core sets of pCREs needed for robust prediction of -Fe responses of GS-enriched clusters (min-pCREs). Furthermore, they were preferentially located in promoter regions upstream of the TSS, and even in CNS' of some genes. Together, these findings indicate that these pCREs might be authentic -Fe CREs. From the biological context of the genes which are likely regulated by

some of the pCREs, we were able to greatly improve our understanding of -Fe response
regulation in Arabidopsis. For example, our work highlighted that in addition to the bHLH
TFBMs, IDE1-like motifs and bZIP TFBMs are likely involved in different responses to -Fe and
should be considered of high interest for future work.

628

629 Conclusion

We identified 5,639 pCREs enriched in promoters of co-expressed -Fe-responsive 630 631 genes that were used as features to predict -Fe-responsive regulation of root-expressed genes on a genome-wide scale. Of those, 173 reliably predicted -Fe response genes of >5% of our 632 defined co-expression clusters (freq-pCREs). Because most of those pCREs were either 633 unique to co-expression clusters enriched for our gold standard Fe acquisition and 634 homeostasis genes, or unique to co-expression clusters lacking those genes, we conclude that 635 our approach had captured motifs specifically regulating different responses during -Fe. To 636 take advantage of the publicly available in vitro TF binding information, we compared the freq-637 638 pCREs to TFBMs from two studies (Weirauch et al., 2014; O'Malley et al., 2016), and found 639 that our approach had captured known Strategy I -Fe recognition motifs for bHLH and MYB 640 proteins. Our approach also led to novel regulatory connections of bZIP, B3, NAC, and TCP 641 families to Strategy I -Fe response regulation. While bZIP and bHLH TF families are also 642 associated with high salinity stress response (Uygun et al., 2017), other high salinity stress response associated TF families (e.g. WRKY and AP2) were not common among our -Fe 643 pCREs regulating GS-enriched co-expression clusters, highlighting the usefulness of this 644 645 approach to pinpoint regulators specific to a stress condition.

We inferred possible functions of pCREs which were most important for modeling -Fe 646 responses (min-pCREs) from their enrichment in FIT-dependent or FIT-independent co-647 648 expression clusters and their location bias in promoters of particular -Fe-responsive genes 649 (Figure 6B, D). Our results provide evidence that B3 TFBM pCREs containing the IDE1 core 650 motif CATGC are linked to coumarin synthesis, indicating that the function of IDE1-like motifs to ensure supply of Fe-chelating compounds for Fe acquisition could be an evolutionarily 651 652 conserved function at least among flowering plants. While our results highlight the importance of IDE1-like motifs for Fe acquisition, it was not the only prominent -Fe pCRE. This is in contrast 653 654 to Zn deficiency, where ZDRE seems to be singularly associated with multiple Zn deficiency 655 responses (Assunção et al., 2010), and indicates that despite of overlaps of Zn and Fe 656 homeostasis control (Briat et al., 2015), their transcriptional regulation must follow different 657 mechanisms.

658 Our results support a concept in which -Fe is not regulated by only one or few regulatory 659 elements. Of the many important pCREs for -Fe response, many share significant similarity 660 with TFBMs of TF families known to undergo hetero-dimerization and protein interaction across

families, such as bHLH, MYB, bZIP, TCP, and ABI3VP1 (Bemer et al., 2017). A combinatorial 661 mechanism would dramatically increase the flexibility of transcriptional responses driven by a 662 663 set of few TFs. It might be that some pCREs not as important in our prediction models, would 664 become informative in combination, as suggested for high salinity stress response (Zou et al., 665 2011; Uygun et al., 2017). A next step would therefore be to build -Fe prediction models that explicitly account for interactions between pCREs. A limitation of our approach is that our co-666 667 expression clusters were based on ATH1 chip microarray data, the only comprehensive -Fe time course transcriptome set available to date. Some important -Fe marker genes (e.g. FRO2) 668 669 are not represented on the chip and others might not have passed our significance threshold 670 because of sensitivity issues with the microarray technology. Additionally, we restricted our 671 analysis to the promoter region 1000 bp upstream of the TSS. While this is expected to cover 672 most important *cis*-acting elements and reduce the occurrence of promoters overlapping with adjacent genes, introns as well as more distal promoter regions are known to harbor *cis*-acting 673 674 elements (Rose et al., 2008; Rose et al., 2016).

The large number of TFs known to be involved in -Fe-induced up-regulation points 675 676 towards the importance of transcriptional regulation. However -Fe responses are also heavily 677 controlled at the post-transcriptional and post-translational level (Lingam et al., 2011; Meiser et al., 2011; Sivitz et al., 2011; Selote et al., 2015; Zhang et al., 2015; Gratz et al., 2019). 678 679 Naturally, our approach cannot cover such regulatory aspects. However, it allows us to predict 680 TF families, that may act upstream of the known -Fe-responsive genes. We suggest TFs of 681 the bZIP, ABI3VP1/B3, NAC, and TCP families as upstream regulators of -Fe response in the root. Because major Fe sinks are located in the shoot, a systemic shoot-to-root signal must 682 683 exist for proper Fe supply (Vert et al., 2003; Garcia et al., 2013). Integrating shoot 684 transcriptomic data would expand our knowledge on how responses to -Fe stress are 685 coordinated at the whole-plant level.

686 In conclusion, we demonstrate that our machine learning-based approach can identify pCREs for -Fe-induced gene up-regulation. This strategy can be applied to various stresses 687 688 and developmental conditions to elucidate regulatory mechanisms, especially when cis- and/or 689 trans-acting elements were previously elusive (Zou et al., 2011; Uygun et al., 2017). We 690 provide a comprehensive source of potential -Fe response *cis*-regulators for a wide range 691 of -Fe-responsive genes. Because the identified pCREs are potentially involved in enhancing Fe uptake and translocation, they generate potential for future applications in engineering 692 plants with improved plant performance traits, e.g. higher nutritional value because of better 693 694 Fe allocation and coping with unfavorable soil conditions.

696 Methods

697 Expression data processing and generation of multiple expression data combinations

Expression data (Affymetrix ATH1) from an -Fe treatment time course experiment with 698 699 six time points and of four -Fe treated root zones (both Dinneny et al., 2008) were downloaded 700 from Gene Expression Omnibus (GEO, https://www.ncbi.nlm.nih.gov/geo/, GSE10502, 701 GSE10497) in CEL format, preprocessed, normalized and contrasted as described below. 702 AtGenExpress expression data (Affymetrix ATH1) of abiotic stresses ((Kilian et al., 2007), 703 GSE5620-5628 or TAIR-ME00325-330, only data of root samples were used), hormone 704 treatment ((Goda et al., 2008), GSE39384 or TAIR-ME00333-340, ME00343-344, ME00350-352, ME00356) and plant development ((Schmid et al., 2005), GSE5629-5634 or TAIR-705 706 ME00319) were downloaded from The Arabidopsis Information Resource (TAIR; 707 https://www.arabidopsis.org/portals/expression/microarray/ATGenExpress.jsp) preprocessed, normalized and contrasted by S. Uygun (Uygun et al., 2016) as described below. Background 708 709 correction and quantile normalization of CEL files were performed with Robust Multi-Array Average expression measure (RMA) using the Bioconductor affy package (Gautier et al., 710 2004). The log₂ fold-change (log₂FC) in expression was calculated for all data sets except 711 developmental data by pairwise comparison of treatment and control experiments for each 712 713 treatment and time point. Contrast matrices and linear model fits were created using R and the 714 Bioconductor LIMMA package (Ritchie et al., 2015; Phipson et al., 2016). Because 715 developmental stages have no control treatment, absolute normalized fluorescence intensity 716 values were used. The p-values for log2FC or fluorescence intensities were corrected for 717 multiple testing (adjusted p-values=q) using the BH method (Benjamini and Hochberg, 1995). 718 Genes were regarded as -Fe responsive if $abs(log2FC) \ge 1$, and q < 0.05 at least at one -Fe 719 treatment time point or in at least one -Fe treated root zone. -Fe deficiency time course data 720 was combined with -Fe root zone expression data or ATGenExpress datasets in different combinations (Figure 1C) either including only genes up-regulated ("up") or all genes up- or 721 down- regulated ("up & down") in ≥ 1 -Fe time point or root zone. This resulted in 12 different 722 723 expression data combinations.

724 **Co-expression clustering using** *k***-means**

To cluster genes with similar expression pattern, *k*-means clustering (Hartigan and Wong, 1979) was applied using the Euclidean distance as the similarity measure. Because *k*means returns a local optimum solution depending on the number of clusters (*k*) created and the random selection of genes as initial "means", the outcome varies with run (i.e. nondeterministic). Therefore, different *k* (25, 30, 35, 40, 50, 70, 80, 100) were tested and the clustering was repeated up to four times. We build machine learning models (see below) with all clusters generated from expression data combinations (DC) 1, 2, 3 and 5 (**Figure 1C**). To

prevent confusion, we point out that the total number of *k*-means-generated clusters used to build models represents several repeated clustering events of always the same two sets of -Fe-responsive genes (up; up & down, see above). The clustering events differ in the DC which was used and in the *k*. Two DC were excluded from the analysis: DC 4 produced identical clusters as DC 1, which were therefore not considered. DC 6 contained different measuring units (log2FC and absolute normalized fluorescence intensity), and could not be handled by the *k*-means algorithm.

739 **Co-expression clustering by correlation with GS genes**

740 To generate co-expression clusters based on gold standard (GS) genes (Supplemental Table S1), each GS gene was used as a query to identify genes with similar 741 742 expression patterns. Briefly, for each expression data combination (DC; Figure 1C), the PCC 743 was calculated between the query gene and each gene in DC using SciPy (http://www.scipy.org, (Jones et al., 2001)). Similar to (Uygun et al., 2016), a random 744 background PCC was calculated representing the null distribution of expression correlation by 745 calculating the PCC of 10,000 randomly selected gene pairs in DC and the 95th percentile of 746 PCCs was tried as the threshold for classifying a pair of genes as significantly correlated. For 747 748 some DC (mostly those containing only up-regulated -Fe responsive genes), we allowed a 749 significance threshold below 90% down to 45%, because the PCC between random Fe 750 responsive genes was already very high. On the other hand, when >50 genes were considered 751 significantly correlated, the threshold was raised above 95% to 99% to hone in on genes most 752 likely to be co-regulated. In addition, we generated a second version of clusters with >50 753 genes, containing only the 10 genes with highest PCC. We build machine learning models 754 (see below) with both versions and further used the results from the better performing version only. Percentiles used for each PCC-generated cluster are given in Supplemental Table S2. 755 Two DC were excluded from the analysis: DC 4 (up), because the resulting clusters were 756 757 identical to those generated from DC 1 (up), and DC 6 (up & down), because developmental data seemed to have a disproportional influence on the PCC with the result that even -Fe up-758 759 and down-regulated gene pairs were identified as strongly correlating. As in the k-means 760 clustering, the total number of PCC-generated clusters used for modeling represents repeated 761 clustering events of the same two sets of -Fe-responsive genes (as described above).

762 **The co-expression clusters: GO and GS/FIT-dependent/FIT-independent gene** 763 **enrichment and GO/gene content similarity**

Gene ontology (GO) associations for A. thaliana were downloaded from TAIR 764 765 (ftp://ftp.arabidopsis.org/home/tair/Ontologies/Gene_Ontology/ (Berardini et al., 2004)). 766 Biological process (BP) GO annotations were downloaded from GO (http://purl.obolibrary.org/obo/go.obo) and parsed for BP information. Enrichment of GO terms 767

in genes that were significantly differentially regulated (q<0.05, abs(log2FC)≥1) in the -Fe time course data set was determined with a Fisher's exact test (FET, http://www.scipy.org, (Jones et al., 2001)), and *p*-values were corrected for multiple testing (=q) using the "qvalue" function in R (Storey, 2002) (**Supplemental Table S7**).

772 All co-expression clusters were tested for enrichment of GO terms as described above. 773 The similarity of enriched GOs between co-expression clusters was assessed using the 774 Jaccard Index (JI), or the intersection of GOs divided by the union of the GOs, where JI=1 if the exact same GOs were enriched in both co-expression clusters. Co-expression clusters 775 776 were grouped by hierarchical clustering using the JI with the UPGMA method in the R cluster package (Maechler et al., 2017). Groups containing >20 co-expression clusters and having a 777 778 within-mean JI that was significantly higher than the mean JI of all clusters were defined as 779 "superclusters". Biological functions of superclusters were defined through GOs shared by \geq 75% (*k*-means clustering) or \geq 90% (GS gene correlation; PCC) of the clusters. Similarly, 780 781 FET with *p*-value correction for multiple testing was used to identify co-expression clusters 782 enriched for (A) -Fe GS genes, (B) FIT-dependent genes, and/or (C) FIT-independent genes.

K-mer enrichment and identification of pCREs predictive of -Fe response using Random Forest (pCRE identification pipeline)

785 Promoter sequences 1 kb upstream from the transcription start site (TSS) were 786 downloaded TAIR from (ftp://ftp.arabidopsis.org/home/tair/Sequences/blast datasets/TAIR10 blastsets/upstream se 787 quences/TAIR10_upstream_1000_20101104). A list of all possible 6-mers of A, T, C, G was 788 generated with the Python itertools function and the Biopython Bio.Seg module 789 790 (http://biopython.org/ wiki/Biopython, (Cock et al., 2009)). Only one 6-mer for each reverse 791 complement pair was kept (resulting in 2,080 6-mers). Genes were considered -Fe non-792 responsive if they were not significantly differentially expressed (abs(log2 FC) <0.4) during any 793 time point during the -Fe time course experiment or in any -Fe treated root zone or in four 794 additional -Fe treatment experiments ((Li and Schmidt, 2010): GSE16964, (Long et al., 2010): 795 GSE21443, (Schuler et al., 2011): GSE24348, (Sivitz et al., 2012): GSE40076). The four 796 additional data sets were downloaded in CEL format from GEO and processed as described 797 in the first section of the **Methods** part.

Potentially meaningful *cis*-regulatory elements for -Fe response were identified in two steps, where we first looked for enriched *k*-mers in the promoters of -Fe responsive genes and then determined how well the enriched *k*-mers predicted -Fe response using machine learning. The code for this analysis is available on GitHub (https://github.com/ShiuLab/MotifDiscovery, https://github.com/ShiuLab/ML_Pipeline). For the first step, the promoter sequences of the genes in co-expression clusters (positive set) were searched for enriched 6-mers in comparison to promoter sequences of non-responsive genes (negative set). These enriched 6-mers were elongated by one base and tested again for enrichment. This process was repeated until no longer *k*-mer was more enriched than the shorter *k*-mer. Enrichment was calculated using a one-sided FET (p<0.01).

For the second step, to determine which sets of enriched k-mers were predictive of -Fe 808 809 response, we generated features based on presence or absence of each enriched k-mer and used these features to build machine learning models using the Random Forest (RF) algorithm 810 811 (Pedregosa et al., 2011). To avoid building biased models, 50 models were generated for each co-expression cluster by randomly drawing from the negative set to generate balanced (i.e. 812 size positive set equals size of negative set) input datasets. A 10-fold cross-validation 813 814 approach was used to train and test the models. Briefly, the balanced datasets were divided 815 randomly into ten even groups with a 1:1 ratio of positive to negative class genes. The model was trained on the 1-9 folds and applied to the 10th (and successively trained on 1-8+10 and 816 applied to the 9th, etc.). This cross-validation scheme was repeated ten times. Each RF model 817 818 was made up of 500 decision trees, each trained on a random subset of enriched k-mers and of training set genes. The final model performance is represented by the mean F1 score (i.e. 819 F-measure) across all 50 balanced models. The F1 score is the harmonic mean of precision 820 (P=TP/(FP+TP)) and recall (R=TP/(FN+TP)), where TP=true positive, FP=false positive, and 821 822 FN=false negative. Only co-expression clusters for which the enriched k-mers were deemed as good predictors (F1≥0.7) were used in the downstream analysis. 823

Predictive *k*-mers (then referred to as putative *cis*-regulatory elements, pCREs) were ranked by importance. The importance score is based on the Gini Index, which is a measure of node purity, where important pCREs separate positive from negative class genes well and low ranked pCREs are less informative. To determine how well the models predicted specific -Fe responsive genes, we calculated the percent of times each gene was correctly predicted (TP) out of the 50 balanced replicates.

830 pCRE sequence similarity

To assess sequence similarities between the 173 pCREs that were frequently identified 831 (in >5%; freq-pCREs) in GS-enriched or non-enriched co-expression clusters with good model 832 performance (F1≥0.7), sequence dissimilarity of pCRE position weight matrices (PWMs) was 833 834 calculated by pair-wise PCC distance and a distance matrix was generated using the TAMO 835 package (Gordon et al., 2005). Freq-pCREs were grouped by hierarchical clustering of the 836 PCC distance matrix using the UPGMA method in the R cluster package (Maechler et al., 837 2017), and visualized in a dendrogram (Supplemental Figure S4E). Due to group-wise 838 averaging of PCC distances during hierarchical clustering, the algorithm produced skewed PCC distances of some similar pCRE pairs. Therefore, freq-pCRE clusters were additionally 839 visualized as a network, in which freq-pCREs with PCC distance=0 (identical freq-pCREs or 840 subsets of each other) were connected with black bold edges and freq-pCREs with PCC 841

distance≤0.22 were connected with light gray edges (Figure 4C). Highly interconnected nodes
were arranged in groups. The network was created using the Cytoscape software (Shannon
et al., 2003). To show a consensus of freq-pCREs within a network group, freq-pCRE
sequences were aligned using ClustalX (Larkin et al., 2007) with default parameters and a
sequence logo was created with weblogo (https://weblogo.berkeley.edu/logo.cgi).

847 Identification of most informative pCREs (min-pCREs) by non-linear regression

The most informative pCREs of a co-expression cluster were defined as the minimum 848 set of pCREs (min-pCREs) needed for RF models without sacrificing performance. To identify 849 min-pCREs, for each GS-enriched co-expression cluster, the pCREs used as features were 850 851 step-wise reduced, with the least important pCREs deleted at each step. First, for pCREs that 852 were subsets of each other (PCC distance=0), the lower ranked one was removed. Then, from this list of pCREs and for successively shorter lists of pCREs (n=40, 30, 25, 20, 15, 12, 10, 8, 853 854 6, 5, 4, 3, 2, 1), 10 replicates of RF models were trained on balanced datasets. F1 scores were 855 plotted against the number of pCREs (x) and a non-linear regression curve was fitted to the 856 data points. An exponential recovery function

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$$F1(x) = a(1 - e^{(-nx)})$$

was found to best describe the data behavior. Starting values for variables a and n were
approximated by fitting a linear model to the logarithmic transformation of the function. The set
of pCREs with the highest F1 closest to the inflection point of the regression curve was defined
as min-pCRE set (example in Supplemental Figure S6).

862 pCRE similarity to TFBMs

In vitro binding data of Arabidopsis TFs to genomic DNA (DNA Affinity Purification 863 Sequencing, DAP-seq, (O'Malley et al., 2016)) and TF binding data based on protein-binding 864 microarray data or the TRANSFAC data base (Catalog of Inferred Sequence Binding 865 Preferences, CIS-BP, (Weirauch et al., 2014)) were used (Supplemental Table S4B), with 866 DAP-seq TFBMs used over CIS-BP TFBMs when the TF was present in both databases. 867 PWMs of pCREs were compared to PWMs of TFBMs using PCC and the pCREs were 868 869 classified as similar to (A) a specific TF, (B) a TF family, or (C) to TFs generally, based on the 870 degree of similarity to their best matching TFBM (Uygun et al., 2017). A pCRE was similar to a specific TFBM (A) if the PCC between the pCRE and the TFBM was ≥95th percentile of 871 872 PCCs between that TFBM and TFBMs from the same TF family. Alternatively a pCRE was 873 similar to TFBMs from a TF family (B) if the PCC between the pCRE and a TFBM from that family was ≥95th percentile of PCCs between TFBMs from that family and TFBMs from other 874 875 TF families. Finally, a pCRE was similar to TFBMs (C) if the PCC between the pCRE and any 876 known TFBM was \geq 95th percentile of PCCs between TFBMs and randomly generated 6-mers. For 95th percentile PCC thresholds see **Supplemental Table S4C**. To determine if pCREs 877

similar to specific TF families were enriched in GS-enriched versus non-enriched coexpression clusters, the percentage of pCREs similar to TFBMs (significance level A or B) from each TF family was calculated for each co-expression cluster category. Then, FET with multiple testing correction ($q \le 0.05$) was used to determine if GS-enriched co-expression clusters were enriched for TF families compared to non-GS-enriched co-expression clusters and vice versa.

884 **Positional distribution of pCREs**

To determine the positional distribution of the min-pCREs for each GS-enriched co-885 expression cluster, min-pCREs were converted to PWMs adjusted to the Arabidopsis 886 background AT (0.33) and CG (0.17) content using the TAMO package (Gordon et al., 2005) 887 and mapped to the promoter sequences ranging from 1000 bp upstream to 500 bp downstream 888 of the transcription start site (1000-TSS-500), using Motility (http://cartwheel. caltech.edu). For 889 890 comparison, min-pCRE PWMs were also mapped to exons and introns, respectively, and to 891 the region 500 bp upstream and 1000 bp downstream of the transcription termination site (500-892 TTS-1000). Arabidopsis sequences downloaded from TAIR were (ftp://ftp.arabidopsis.org/Sequences/blast_datasets/TAIR10_blastsets/). 893 Positional 894 distributions were calculated as described in (Uygun et al., 2017). In brief, min-pCREs were 895 mapped to 100 bp bins of 1000-TSS-500 and 500-TTS-1000 and to whole exons and introns. 896 For comparison, min-pCREs were mapped to randomized versions of the sequences. 897 Randomization was performed within each 100 bp bin and in each exon or intron, respectively, in order to maintain nucleotide composition and therefore GC content. Positional distribution 898 899 was calculated as log2FC of number of observed mappings divided by number of randomly 900 expected mappings (log2FC(observed/expected)).

901 pCRE coordinate overlap with CNS coordinates

902 All 615 min-pCRE PWMs were mapped to the putative promoter region (1 kb upstream of TSS) of -Fe response genes (described above). The min-pCRE coordinates were then 903 904 compared to the coordinates reported as conserved non-coding sequences (CNS) across nine 905 species in the Brassicaceae family (Haudry et al., 2013) downloaded from the UCSC **Bioinformatics** website 906 Genomics 907 (http://mustang.biol.mcgill.ca:8885/download/A.thaliana/gff/AT CNS.gff; Supplemental 908 Table S6B).

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911 Supplemental Material

- 912 The following supporting material is available as three supplemental PDF files (1:
- 913 Supplemental_Figures_S1-S7_Supplemental_Table_S1_Supplemental_literature; 2:
- 914 Supplemental_Figure_S8; 3: Supplemental_Figure_S9), and as supplemental Excel
- 915 spreadsheet (Supplemental_Table_S2-S7_spreadsheet).
- 916

917 **Supplemental Figure S1.** Complete GO enrichment analysis of -Fe-responsive genes.

- Supplemental Figure S2. GO terms and -Fe GS gene enrichments of the defined coexpression clusters containing up-/down-regulated genes, and mean similarity within
 designated superclusters of up-regulated and up-/down-regulated genes.
- 921 Supplemental Figure S3. Co-expression cluster RF model performance of cluster category
 922 (GS-enriched and non-enriched) and cluster size.
- 923 Supplemental Figure S4. Comparison of the pCRE abundance and importance in GS-
- 924 enriched clusters vs. non-enriched clusters and hierarchical clustering of freq-pCRE 925 sequences.
- 926 **Supplemental Figure S5.** Significance of sequence similarity for freq-pCRE from non-927 enriched clusters and the best matching known TFBM.
- 928 **Supplemental Figure S6.** Example of a non-linear regression curve to determine the minimum
- 929 set of pCREs for a co-expression cluster.
- 930 Supplemental Figure S7. High-resolution image of Figure 6.
- 931 Supplemental Figure S8. Expression plots of all well-performing co-expression clusters.
- 932 **Supplemental Figure S9.** Positional distribution plots of all 615 min-pCREs.
- 933 **Supplemental Table S1.** Robust -Fe-responsive GS genes (FIT-dependent/FIT-934 independent).
- 935 **Supplemental Table S2.** Detailed information of all generated co-expression clusters: input
- 936 expression data combinations, algorithm and parameters used for clustering, enrichment of
- GS genes, FIT-dependent/FIT-independent/both genes, F1 score, gene content, all identified
 pCREs, min-pCREs.
- Supplemental Table S3. List of all pCREs (n=5,639) identified in well-performing clusters (GS enriched and non-enriched).
- 941 Supplemental Table S4. A: List of most relevant pCREs (freq-pCREs and min-pCREs) and
- 942 their similarity to DAP-seq and CIS-BP TFBMs. B: DAP-seq and CIS-BP TFBMs used in this
- study. **C:** TF family 95th percentiles of within, between and random PCC thresholds determining
- 944 the pCRE-TFBM similarity.
- 945 Supplemental Table S5. Association of min-pCREs to FIT-dependent, FIT-independent or
 946 both cluster categories.

947 Supplemental Table S6. A: List of all 615 min-pCREs with total counts of GS-enriched
948 clusters and genes having the min-pCRE. B: Overlap of min-pCRE coordinates with
949 Brassicaceae conserved non-coding sequences (CNS).

950 **Supplemental Table S7.** *p*- and *q*-values of complete GO enrichment analysis of -Fe-951 responsive genes (**Supplemental Figure S1**).

952

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963

964 Figure Legends

965 **Figure 1. -Fe pCRE identification workflow and transcriptomic data.**

966 A: pCRE identification workflow. B: Heatmap of enrichment (FET, q<0.05) of selected GO terms in genes that were significantly up- (red) or down-regulated (blue) (q<0.05) at ≥ 1 of 6 967 time points in -Fe-treated roots of 6 d-old seedlings (Dinneny et al., 2008). Differential 968 regulation was defined as log₂ fold-change (log2FC) >1 or <-1 (treatment vs. control). GOs are 969 sorted by category, and expression patterns of genes corresponding to Fe-related GOs are 970 971 shown below the heatmap. Yellow genes indicate -Fe GS genes. C: Transcriptomic data 972 combinations which were used for clustering of co-expressed genes. Gray filled boxes in columns depict (top) expression data used in the combination and (bottom) if up (up-regulated 973 only) or up & down (up- and down-regulated) genes were included. ¹(Dinneny et al., 2008), 974 975 2 (Kilian et al., 2007), 3 (Goda et al., 2008), 4 (Schmid et al., 2005), *Tested with (5a) and without (5b) genotoxic stress data, (5b) input only up-regulated genes. 976

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Figure 2. Characterization of the defined co-expression clusters by GO terms, -Fe GS gene content and FIT-dependent/FIT-independent gene content.

A: Heatmap of GO similarity between co-expression clusters from *k*-means clustering (top,
 n=985 clusters) and GS gene correlation (PCC; bottom, n=238), containing up-regulated

genes (Figure 1C; up- and down-regulated genes: Supplemental Figure S2A). Clusters were 982 grouped by hierarchical clustering and superclusters (A-F) were defined as groups of >20 983 984 clusters that have a within-mean Jaccard Index significantly higher than the mean Jaccard 985 Index of all clusters. Enriched GO terms shared by \geq 75% (*k*-means) and \geq 90% (PCC) of the 986 clusters in each supercluster are shown (left). Co-expression clusters enriched for -Fe GS 987 genes are designated (yellow, right). B: Proportions of all k-means (top left) and PCC (top 988 right) co-expression clusters in which -Fe GS genes are significantly over-represented (yellow). Of those (bottom), the proportion enriched for FIT-dependent genes (FIT, blue), FIT-989 independent genes (non-FIT, red) or for both (mixed, gray) was calculated. C: Proportion of 990 FIT, non-FIT, and mixed clusters found using each expression data combination (as in Figure 991 992 1C). 1: -Fe time course, 2: time course + root zones, 3: time course + abiotic stresses, 4: time 993 course + hormone treatments, 5a: time course + abiotic stresses + hormones, 5b: as 5a, 994 genotoxic stress deleted, 6: time course + abiotic stresses + developmental data. *PCC 995 clusters only. All enrichment analyses: FET, q < 0.05.

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997 Figure 3. Performance of -Fe response RF prediction models.

- 998 A: F1 scores of all GS-enriched clusters (n=495). Inset: Proportions of well-performing 999 (F1≥0.7) and poorly performing clusters among the GS-enriched clusters. B: F1 scores of all 1000 non-enriched clusters (n=1,240). Inset: Proportions of well-performing and poorly performing 1001 clusters among the non-enriched clusters. C: Mean F1 score distributions of all GS-enriched 1002 clusters (yellow) and non-enriched clusters (gray). Statistical analysis: Mann-Whitney U (**** 1003 p<2.358e-09). **D-F**: Example GS-enriched co-expression clusters with good (**D**, **E**; cluster IDs: 493, 823) and bad (F; cluster ID: 1297) model performance. (Left) Expression (log, fold-1004 1005 change: log2FC) profile of all genes in the co-expression cluster. (Center) Percent of times 1006 across RF replicates each gene was correctly predicted as -Fe-responsive (true positive (TP); 1007 black=100%, white=0%). (Right) pCREs sorted by importance rank (top ranked pCRE on the 1008 left) with heatmap designating when pCRE was present (gray) or absent (white) in a gene's promoter. T: -Fe treatment time course. R: -Fe-treated root zones 1-4. F1 score: harmonic 1009 mean of precision and recall, with 1=perfect prediction and 0.5=random guessing. Cluster IDs 1010 1011 and details: Supplemental Table S2.
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1013 Figure 4. Analysis of pCREs predictive of -Fe co-expression clusters.

A: Proportion of GS-enriched (yellow) and non-enriched (gray) clusters in which each pCRE (total n=5,639) was identified (y-axis) and mean importance rank (1=most important) of that pCRE in those clusters (x-axis). **Inset**: Numbers of unique and shared pCREs of GS-enriched and non-enriched cluster categories. **Upper**: all 5,639 pCREs. **Lower**: pCREs identified in >5% of GS-enriched or non-enriched clusters (n=173; freq-pCREs). **B:** Frequency of normalized 1019 mean importance ranks across all pCREs in GS-enriched (yellow) and non-enriched (gray) 1020 clusters. C: Cytoscape network of the 173 freq-pCREs based on sequence similarity, where 1021 similar pCREs (nodes) are connected by edges representing pair-wise correlation (PCC) distance of freq-pCRE PWMs. Bold black edges: distance=0. Light gray edges: distance 1022 1023 \leq 0.22. Highly interconnected freq-pCREs were arranged in groups and numbered. 1024 Hierarchical clustering representation of PCC distances: Supplemental Figure S5E. Yellow 1025 filled: freq-pCRE unique for GS-enriched clusters, gray filled: freq-pCRE unique for nonenriched clusters, not filled: shared freq-pCRE. D: PWMs of merged freq-pCREs from the 1026 1027 same group (as in 4C).

1028

1029 Figure 5. Similarity of freq-pCREs to *in vitro* TFBMs.

A: Significance of sequence similarity for freq-pCREs from GS-enriched clusters and the best 1030 matching known TFBM. Bars represent 95th percentile (PCC) significance thresholds for within 1031 TF family (red, pCRE sequence is more similar to a specific TFBM than other TFBMs from the 1032 same family), between TF families (light blue, pCRE sequence is more similar to a TFBM in a 1033 1034 TF family than TFBMs from other TF families), or random (dark blue, pCRE sequence is more similar to a TFBM from a family than random 6-mers). Similarity of freq-pCREs from non-1035 enriched clusters to TFBMs: Supplemental Figure S5. B: Proportion of TF family TFBMs 1036 (representing freq-pCRE matches meeting at least "between" threshold) in GS-enriched 1037 1038 clusters (x-axis) and non-enriched clusters (y-axis). TFBM matches significantly over-1039 represented (FET, q < 0.05) in the GS-enriched or non-enriched cluster category are depicted in red and marked with "X". Dashed line marks theoretical position for TF family TFBMs with 1040 the same proportion in both categories. 1041

1042

1043 Figure 6. Characteristics of the most informative pCREs (min-pCREs).

A: Proportion of GS-enriched co-expression clusters enriched for FIT-dependent genes (FIT, 1044 1045 blue), FIT-independent genes (non-FIT, red) or both (mixed, gray). B: Ternary plot including min-pCREs identified in >3% (n=5) GS-enriched clusters. Position of the min-pCREs 1046 corresponds to the normalized proportions of FIT, non-FIT, and mixed clusters in which the 1047 1048 min-pCRE was identified. Bubble size corresponds to the overall proportion of GS-enriched clusters with min-pCRE. Labeled min-pCREs are shown in 6C, D or mentioned in the main 1049 text. C: Positional bias of all (mean with standard deviation; top) and selected min-pCREs 1050 (below) in the putative promoter region (1st column), all introns (In) and all exons (Ex) (2nd 1051 **column**; mean with standard deviation), and in the putative non-coding region (**3rd column**). 1052 1st and 3rd column: position distributions in all co-expression clusters with min-pCRE (gray 1053 areas) with mean distribution (red line). TFBM matches (PCC) for each min-pCRE are shown 1054 (4th column) and min-pCREs are sorted by TF family. log2(obs/exp): log2 of the number of 1055

observed (obs) min-pCRE occurrences divided by the number of min-pCRE occurrences in randomized sequences (expected, exp). **D**: Genes which might be regulated by the selected min-pCREs. Count: number of GS-enriched clusters in which the min-pCRE was identified and which included the respective gene having the min-pCRE in its promoter. Dashed line: total number of GS-enriched clusters with the min-pCRE. Genes in which the min-pCRE overlaps with a CNS are designated with black bars. A high-resolution image is available as **Supplemental Figure S7**.

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Figure 1. -Fe pCRE identification workflow and transcriptomic data.

A: pCRE identification workflow. **B:** Heatmap of enrichment (FET, q<0.05) of selected GO terms in genes that were significantly up- (red) or down-regulated (blue) (q<0.05) at ≥1 of 6 time points in -Fe-treated roots of 6 d-old seedlings (Dinneny et al., 2008). Differential regulation was defined as log_2 fold-change (log_2FC) >1 or <-1 (treatment vs. control). GOs are sorted by category, and expression patterns of genes corresponding to Fe-related GOs are shown below the heatmap. Yellow genes indicate -Fe GS genes. **C:** Transcriptomic data combinations which were used for clustering of co-expressed genes. Gray filled boxes in columns depict (**top**) expression data used in the combination and (**bottom**) if up (up-regulated only) or up & down (up- and down-regulated) genes were included. ¹(Dinneny et al., 2008), ²(Kilian et al., 2007), ³(Goda et al., 2008), ⁴(Schmid et al., 2005), *Tested with (5a) and without (5b) genotoxic stress data, (5b) input only up-regulated genes.



Figure 2. Characterization of the defined co-expression clusters by GO terms, -Fe GS gene content and FITdependent/FIT-independent gene content.

A: Heatmap of GO similarity between co-expression clusters from *k*-means clustering (top, n=985 clusters) and GS gene correlation (PCC; **bottom**, n=238), containing up-regulated genes (**Figure 1C**; up- and down-regulated genes: **Supplemental Figure S2A**). Clusters were grouped by hierarchical clustering and superclusters (A-F) were defined as groups of >20 clusters that have a within-mean Jaccard Index significantly higher than the mean Jaccard Index of all clusters. Enriched GO terms shared by \geq 75% (*k*-means) and \geq 90% (PCC) of the clusters in each supercluster are shown (**left**). Co-expression clusters enriched for -Fe GS genes are designated (yellow, **right**). **B**: Proportions of all *k*-means (**top left**) and PCC (**top right**) co-expression clusters in which -Fe GS genes are significantly over-represented (yellow). Of those (**bottom**), the proportion enriched for FIT-dependent genes (FIT, blue), FIT-independent genes (non-FIT, red) or for both (mixed, gray) was calculated. **C**: Proportion of enrichment categories FIT, non-FIT, and mixed clusters found using each expression data combination (as in **Figure 1C**). 1: -Fe time course, 2: time course + root zones, 3: time course + abiotic stresses, 4: time course + hormone treatments, 5a: time course + abiotic stresses + hormones, 5b: as 5a, genotoxic stress deleted, 6: time course + abiotic stresses + developmental data. *PCC clusters only. All enrichment analyses: FET, *q*<0.05.



Figure 3. Performance of -Fe response RF prediction models.

A: F1 scores of all GS-enriched clusters (n=495). **Inset**: Proportions of well-performing (F1 \ge 0.7) and poorly performing clusters among the GS-enriched clusters. **B:** F1 scores of all non-enriched clusters (n=1,240). **Inset**: Proportions of well-performing and poorly performing clusters among the non-enriched clusters. **C:** Mean F1 score distributions of all GS-enriched clusters (yellow) and non-enriched clusters (gray). Statistical analysis: Mann-Whitney U (**** *p*<2.358e-09). **D- F**: Example GS-enriched co-expression clusters with good (**D**, **E**; cluster IDs: 493, 823) and bad (**F**; cluster ID: 1297) model performance. (**Left**) Expression (log₂ fold-change: log2FC) profile of all genes in the co-expression cluster. (**Center**) Percent of times across RF replicates each gene was correctly predicted as -Fe-responsive (true positive (TP); black=100%, white=0%). (**Right**) pCREs sorted by importance rank (top ranked pCRE on the left) with heatmap designating when pCRE was present (gray) or absent (white) in a gene's promoter. T: -Fe treatment time course. R: -Fe-treated root zones 1-4. F1 score: harmonic mean of precision and recall, with 1=perfect prediction and 0.5=random guessing. Cluster IDs and details: **Supplemental Table S2**.



Figure 4. Analysis of pCREs predictive of -Fe co-expression clusters.

A: Proportion of GS-enriched (yellow) and non-enriched (gray) clusters in which each pCRE (total n=5,639) was identified (y-axis) and mean importance rank (1=most important) of that pCRE in those clusters (x-axis). **Inset**: Numbers of unique and shared pCREs of GS-enriched and non-enriched cluster categories. **Upper**: all 5,639 pCREs. **Lower**: pCREs identified in >5% of GS-enriched or non-enriched clusters (n=173; freq-pCREs). **B:** Frequency of normalized mean importance ranks across all pCREs in GS-enriched (yellow) and non-enriched (gray) clusters. **C:** Cytoscape network of the 173 freq-pCREs based on sequence similarity, where similar pCREs (nodes) are connected by edges representing pair-wise correlation (PCC) distance of freq-pCRE PWMs. Bold black edges: distance=0. Light gray edges: distance ≤ 0.22 . Highly interconnected freq-pCREs were arranged in groups and numbered. Hierarchical clustering representation of PCC distances: **Supplemental Figure S5E**. Yellow filled: freq-pCRE unique for GS-enriched clusters, not filled: shared freq-pCRE. **D:** PWMs of merged freq-pCREs from the same group (as in 4C).



Figure 5. Similarity of freq-pCREs to in vitro TFBMs.

A: Significance of sequence similarity for freq-pCREs from GS-enriched clusters and the best matching known TFBM. Bars represent 95th percentile (PCC) significance thresholds for within TF family (red, pCRE sequence is more similar to a specific TFBM than other TFBMs from the same family), between TF families (light blue, pCRE sequence is more similar to a TFBM in a TF family than TFBMs from other TF families), or random (dark blue, pCRE sequence is more similar to a TFBM from a family than random 6-mers). Similarity of freq-pCREs from non-enriched clusters to TFBMs: **Supplemental Figure S5**. **B**: Proportion of TF family TFBMs (representing freq-pCRE matches meeting at least "between" threshold) in GS-enriched clusters (x-axis) and non-enriched clusters (y-axis). TFBM matches significantly over-represented (FET, *q*<0.05) in the GS-enriched or non-enriched cluster category are depicted in red and marked with "X". Dashed line marks theoretical position for TF family TFBMs with the same proportion in both categories.



Figure 6. Characteristics of the most informative pCREs (min-pCREs).

A: Proportion of GS-enriched co-expression clusters enriched for FIT-dependent genes (FIT, blue), FIT-independent genes (non-FIT, red) or both (mixed, gray). **B:** Ternary plot including min-pCREs identified in >3% (n=5) GS-enriched clusters. Position of the min-pCREs corresponds to the normalized proportions of FIT, non-FIT, and mixed clusters in which the min-pCRE was identified. Bubble size corresponds to the overall proportion of GS-enriched clusters with min-pCRE. Labeled min-pCREs are shown in 6C, D or mentioned in the main text. **C:** Positional bias of all (mean with standard deviation; **top**) and selected min-pCREs (**below**) in the putative promoter region (**1**st **column**), all introns (In) and all exons (Ex) (**2**nd **column**; mean with standard deviation), and in the putative non-coding region (**3**rd **column**). **1**st and **3**rd column: position distributions in all co-expression clusters with min-pCRE (gray areas) with mean distribution (red line). TFBM matches (PCC) for each min-pCRE are shown (**4**th **column**) and min-pCREs are sorted by TF family. log2(obs/exp): log₂ of the number of observed (obs) min-pCRE occurrences divided by the number of min-pCRE. Count number of GS-enriched clusters in which the min-pCRE in its promoter. Dashed line: total number of GS-enriched clusters with the min-pCRE. Genes in which the min-pCRE in its promoter. Dashed line: total number of GS-enriched clusters with the min-pCRE. Genes in which the min-pCRE overlaps with a CNS are designated with black bars. A high-resolution image is available as **Supplemental Figure S7**.