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4	Measurement of ocular transmission in living human eyes with a double-pass system
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29 Abstract

- 30 Purpose: To develop a methodology based on a double-pass system to obtain useful information about the transmission
- 31 of ocular media, performing noninvasive measures *in vivo*.
- 32 Methods: This noninvasive procedure consists of recording double-pass images at different voltages of a laser diode
- 33 of 780 nm and the determination of the scattering in an area between 25 and 35 arc minutes of each image.
- 34 Results: Ocular scattering showed a linear behavior respect to the voltage of the laser and the slope of the linear fit was
- 35 proportional to the transmittance squared of the media evaluated. The relationship between the ocular light scattering
- 36 of the images and the transmittance values of several filters located into an artificial eye was used as a calibration
- 37 function. The measurements performed in a group of ten subjects with ages between 25 and 45 years old presented a
- 38 mean direct transmittance of the whole eye including retina of 42.7 %, which agrees with the bibliography. No
- 39 differences between dark eyes and light eyes were found.
- 40 Conclusion: We have developed a method to determine the transmittance of the human eye in vivo for a wavelength
- 41 of 780 nm using the double-pass method, commonly used for the determination of the optical quality of an eye.
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43 Introduction

Knowledge of transmission of the eye can be useful both for clinical applications where it is important to estimate the amount of light actually reaching the photoreceptors and in the field of illumination where it is often necessary to characterize visual stimuli not only with luminance, which represents the light arriving the eyes but also with retinal illuminance, which includes in its rigorous definition the transmittance of the eye [1].

48 Boettner and Wolter, as well as Geeraets and Berry, have measured the direct and total transmittance of 49 isolated components of the ocular media, including the cornea, aqueous, lens and vitreous, but without including retina; 50 using enucleated eyes of human donors and rhesus monkey [2-4]. They considered a wide range of wavelengths 51 including visible, near ultraviolet (UV) and near infrared. Those results were confirmed by Alpern et al. with in vivo 52 measurements performed on subjects with structural abnormalities in the fundus of the eye (chorioretinal coloboma). 53 That condition allowed the determination of the amount of light transmitted by the eye, through a direct comparison 54 of the component reflected in the sclera of a light beam with a reference [5]. Dillon et al. proposed an invasive method 55 for measuring the absorption spectrum of the anterior segment of the intact eye. The true spectrum of light that is 56 transmitted to the retina was calculated for four different species that are commonly used in animal model experiments 57 [6]. Van Norren and Vos have estimated the spectral transmittance of the eye as the measured difference in the scotopic 58 visual sensitivity of two wavelengths with the same rhodopsin absorbance [7]. Many other studies of the human ocular 59 media were limited to a single component of the eve, usually cornea o lens. In 2007, van de Kraats and van Norren 60 proposed empirical equations to estimate changes in ocular transmittance as a function of eye age [8]. Based on existing 61 data and these equations, the International Commission on Illumination (CIE) established the transmission of the 62 standard observer for UV, visible and infrared which can be used as a reference [9]. Currently, there is no simple 63 procedure for measuring the transmittance of the whole eye of a healthy subject.

The purpose of this work is to take advantage of the double-pass technique to estimate in a direct way the transmittance of the eye at a given wavelength. The double-pass method is a simple, fast, safe, and non-invasive technique to obtain information about the energy that enters the eye, crosses the ocular media, reflects in the fundus and returns. This technique is based on imaging a point source on the retina, and then recording the reflected light through a CCD camera [10]. The acquired image is the autocorrelation of the point spread function (PSF) of the eye [11], which describes how the optical system behaves against a point light source [12].

70 It is known that in the retina, light is reflected by different layers and a significant portion of the light reflected 71 by the background comes from the choroid and is dependent on pigmentation and wavelength [13,14]. In a double-72 pass system, the imaging formation approximates that of a confocal system, so that most of the light coming from the 73 deeper layers not conjugated to the camera are spreading, adding a background signal to the recorded image. Thus, the 74 double-pass image shows a very narrow peak mounted on a fairly wide tail [15]. Scattering affects both the peak and 75 the wide-angle part of the PSF, unlike aberrations, which are limited to the very narrow-angle part of the PSF [16-18]. 76 Several authors showed that it is possible to obtain information about intraocular scattering by analyzing the peripheral 77 zone of the double-pass image up to 20 arcminutes [19-21].

Our goal is to develop a methodology based on a double-pass system to measure the transmission of ocular media, performing noninvasive measures *in vivo*. In this work, we propose a simple method to determine the direct transmittance of the eye including the retina, based on the acquisition and analysis of double-pass images.

81

82 Material and Methods

83 Experimental setup

84 A scheme of the optical system mounted is shown in Fig 1. A point source (O) from a 780 nm laser diode 85 (MC7850CPWR-SMF) coupled by single-mode optical fiber to a collimator lens L1 (f = 15 mm) is projected onto the 86 retina (O'). After reflecting on the retina and a double pass through the ocular media, a CCD camera records the double-87 pass image (O"). The diaphragm PE ($\emptyset = 2 \text{ mm}$) is conjugated to the pupil plane of the eve and acts as the effective 88 input pupil of the system. After being reflected by beam splitter BS1 and mirror M1, the beam passes through the 89 Badal system formed by the lenses L2-L3 (f = 200 mm) and by the mirrors M2-M3 that regulate beam vergence, 90 allowing correction of refractive errors such as myopia or hyperopia of the eye before performing the measurement. 91 After reflection in mirror M4, the eve forms the image of the point source on the retina. A second diaphragm PS ($\emptyset =$ 92 4 mm), located after the beam splitter (BS2), is also conjugated to the pupil of the eye and acts as the effective exit 93 pupil (provided the natural pupil of the eye is greater than PS). Then a lens L4 (f = 100 mm) forms the double-pass 94 retinal image in a CCD camera (UI-2220ME-M, 8 bits, 768x576 pixels) integrating light from the retina during the set 95 exposure time. The subject's head is placed in a chin rest which allows the correct centering and control of natural 96 pupil with respect to the artificial one. A camera CMOS (UI-1221LE-M-GL) and the lens L5 (f = 50 mm) are mounted 97 to ease this process. The fixation of the eve is facilitated using a fixation target (FT) located at the optical infinity by 98 the lens L6 (f = 35 mm).

99

100 Fig 1. Schematic representation of the double-pass setup mounted for this work. See text for further details.

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To eliminate back reflections from lenses L2 and L3, these elements were tilted slightly, so that the specular reflection at the interfaces of these lenses was deflected off the field picked up by the CCD sensor. The corneal reflex and the lens reflex (Purkinje images) are not observed in the double-pass image captured, due to a small decentering of the measuring beam with respect to the pupil of the subject. Other diffuse reflections are reduced by light traps (not shown in Fig 1). Finally, there are still spurious reflexes that are integrated by the sensor during the recording of the images. However, these are considered during postprocessing by subtracting the captured background when removing the eye.

Methodological proposal

110	The proposed procedure to determine the transmission of the ocular media consists of recording a series of		
111	double-pass images, each taken at a different intensity of the laser diode, which was controlled by a supply voltage		
112	from 0 mV to 4000 mV. The intensity in each record was increased from low values, where the maximum of the imag		
113	did not saturate, until values high enough to obtain images with the greater gray level possible in the more periphera		
114	pixels. In the capture performed at each intensity an image of the background was also obtained, which was the		
115	subtracted from the corresponding double-pass image. The centroid of each image was calculated and, taking this		
116	position as a center, the radial profile was obtained (averaged of pixels at different radii); from which the gray level		
117	of an area between 25 and 35 arc minutes were averaged. This average, which we will call hereafter double-pas		
118	scattering (DPS), was determined for each image taken with different levels of the voltage of the laser (LV).		
119	If the low order aberrations are corrected during the acquisition, then the central part of the double-pass PSF		
120	is affected by higher order aberrations and light scatter. However, the area of the PSF analyzed in our work is on		
121	affected by light diffused in the fundus and light scattered in the ocular media.		
122	In Fig 2, DPS values are plotted as a function of LV and a linear relationship between them is observed. A		
123	straight line can be fitted to the data using least squares.		
124			
125	Fig 2. A typical curve of double-pass scattering (DPS) as a function of the laser voltage. DPS was computed as a		
126	mean of the grey levels in a ring of 25 to 35 arc minute of the double-pass image.		
127			
128	Our hypothesis was that the scattering increases proportionally with the intensity of the laser diode, not		
129	affecting the slope. Moreover, as the light has to cross the ocular media twice, we expect the slope to be proportional		
130	to the transmission squared of the eye.		
131	Measurements in an artificial eye with filters		
132	To test the proposed methodology, measurements were made with an artificial eye built in the laboratory		
133	consisting of two lenses simulating the cornea ($f = 25 \text{ mm}$) and the lens ($f = 50 \text{ mm}$), plus a black diffuser screen		
134	located in the focal plane of the lens system acting as a reflective retina. In order to simulate eyes with several		

transmissions, neutral filters of different optical density (0.1, 0.3, and 0.5) were placed in front of the artificial eye.

136 Additionally, measurements with photography effect filters (BPM1, BPM2, and C3020) that have been shown to be

137 very suitable to simulate the same type of scattering produced by a cataract eye were performed [22,23].

138 Previously, these filters were characterized by their direct transmittance and those with transmission values 139 that allowed to achieve laser energy levels comparable to those used in double-pass measurements in real eyes were 140 selected. The transmittance measurement was performed using the 780 nm laser as an illuminator and a sensor (E2V, 141 Spindler & Hover) to record the amount of luminous flux emitted by the source as well as the amount of light passing 142 through each filter. We placed the detector further from the filter and used an aperture before the detector which 143 subtended an angle at the filter of 1°. Thus, the sensor detected only that part of the transmitted radiation which exited 144 the filter within a one-degree angle collinear with the incident radiation. From this data, we computed the direct 145 transmittance of the filter. This component is also called the focusable transmittance and is important for understanding 146 the deposition of laser energy on the retina. 147 Measured transmittances at 780 nm in each of the filters used to obtain the calibration curve with the artificial

- eye are shown in Table 1.
- 149

150 Table 1. Direct Transmission Measurements

Filter	Measured Transmission
ND 0.01	97 %
ND 0.1	88 %
ND 0.3	56 %
ND 0.5	34 %
BPM1	68 %
BPM2	59 %
C3020	85 %

151

152 In Fig 3 are presented the profiles of a set of double-pass images taken in the artificial eye without any filter.

153 As the voltage of the laser increases, the central area of the curve saturates and a measurable variation in the peripheral

154 zone (the skirt of the curve) can be observed.

155

Fig 3. Double-pass image profiles obtained in the artificial eye without any filter. The curves correspond to four
different voltage applied to the laser diode (620, 1110, 1620, and 2130 mV).

158

In Fig 4 are plotted the DPS values as a function of laser voltage for each of the considered filters, as well as for the artificial eye without a filter. Straight lines were fitted for each condition and the slopes were obtained. As the transmission of the filters increases, the slopes are ordered from lowest to highest, which leads us to call them ocular

- transmission index (OTI). Plotting the relationship between OTI and the direct transmittance measured at each filter,
- 163 a quadratic curve (Fig 5) was obtained and then used to determine the transmission of the ocular media in subjects.
- 164
- 165 Fig 4. DPS as a function of laser voltage obtained in the artificial eye with and without filters. Three photography
- 166 filters (BPM1, BPM2, and C3020) and three neutral density filters (ND 0.1, ND 0.2, and ND 0.3) were used along
- 167 with the artificial eye. The straight lines correspond to the linear fit performed.
- Fig 5. Ocular transmission index (OTI) as a function of the transmittance measured in the filters. OTI values are
 the slopes of the straight lines in Fig 4.
- 170
- 171 From the curve fitted to the data plotted in Fig 5 can be deduced the following expression to estimate the172 direct transmission of ocular media (*T*) as a function of the OTI:

173
$$T = \left(\frac{OTI}{0.00932}\right)^{1/2}.$$
 (1)

174

175 **Reflectance analysis**

The energy captured in the double-pass image could also depend on the fundus reflectance of the human eye or the reflective surface of the artificial eye. To analyze the effect of this factor on the measurement, double-pass images of the artificial eye with different reflective surfaces (white paper and black paper) were taken. The results presented in Fig 6 (a) show that although very different profiles of double-pass images are obtained in each condition, there are no significant changes in the peripheral zone of the curves (note that the vertical axis is in logarithmic scale), which can also be seen in Fig 6 (b) where the fitted curves do not change when the reflectance in the artificial eye is modified (independent sample t-test was used for comparing means in each condition, P-value > 0.05 in all cases).

183

Fig 6. Analysis of the effect of reflectance on the measurement of OTL (a) Double-pass image profile obtained for two different papers used as artificial retinas (black and white) measured at the same laser voltage. (b) Curves fitted to the OTI data vs. transmittance for two papers used. Conditions measured are the three neutral filters (ND 0.1, ND 0.3, and ND 0.5) and the artificial eye without a filter. The solid line corresponds to black paper and dashed line to white paper.

189			
190	In order to analyze the OTI independence regarding the variation of the reflectance between different retinas,		
191	five subjects were evaluated. The set of curves were obtained considering the same intensity of the laser provided by		
192	a voltage of 1400 mV.		
193	Fig 7 shows the double-pass image profiles determined in this group of subjects. Values in the zone between		
194	25 and 35 minutes of arc vary around a gray level of 1.0 ± 0.3 for all the subjects, which verifies the hypothesis abo		
195	the independence of the OTI respect to the reflectance of the retina.		
196			
197	Fig 7. Double-pass images profiles determined in five subjects with the same laser voltage.		
198			
199	Measurements in volunteers		
200	Once the method was tested on the artificial eye with different filters and the calibration curve was determined		
201	(Fig 5), double-pass images were recorded in eyes of ten volunteers aged 25-45 years (Group A). Seven of the subjects		
202	were classified as dark-eyed (four dark brown and three light brown) and three as light-eyed (blue), according to a		
203	classification by simple iris observation [24]. Depending on the characteristics of the eye, intensities between 1000		
204	and 4000 mV were used and at least five DPS were measured in each subject to ensure a good fit. In each case, the		
205	dominant eye was chosen to perform the measurement.		

Additional measurements were made in another group of five volunteers (Group B) to evaluate the range of low transmittance values. For this set of measurements, neutral density filters (0.01, 0.1, and 0.3) were placed in front of the eye of each subject and then the OTI slope was obtained for each condition. For all measurements, the exposure levels were never greater than the maximum permissible exposure (14.45 W/m²) which was established by the current standard regulating the use of laser radiation in living tissue [25].

211 Ethical considerations

Ethical approval for this study was obtained from the Comité de Ética en Investigación (CEI) of the
Universidad Nacional de Tucumán and Consejo Nacional de Investigaciones Científicas y Técnicas (RESOLUCION
N° 26/2018). All the subjects were informed about the object of the study, and a written informed consent was obtained,
following the tenets of the Declaration of Helsinki.

216

217 **Results**

218	In Fig 8 are presented DPS data as a function of laser voltage for Group A, and the straight lines fitted in all	
219	the subjects. All the data show a good linear fit, with an R ² always greater than 0.85.	
220		
221	Fig 8. Double-pass scattering expressed in terms of grey level as a function of the power supply voltage of the	
222	laser diode. For each subject, the fitted straight line and the coefficient of determination are shown.	
223		
224	Table 2 presents the OTI values determined in each subject and the direct transmittance value computed from	
225	Eq 1.	
226		
227	Table 2. Ocular transmission index and direct transmission of the eye for each subject of Group A.	

Subject (iris color)	ΟΤΙ	Direct transmittance of the eye %
NF (dark)	0.00194	45.6
MPM (dark)	0.00151	40.3
OUP (dark)	0.00235	50.2
GLC (dark)	0.00183	44.3
DO (dark)	0.00128	37.1
FB (dark)	0.00169	42.6
APC (dark)	0.00173	43.1
LI (light)	0.00150	40.1
ES (light)	0.00178	43.7
DC (light)	0.00149	40.0

228 Pigmentation (iris color) is shown in parentheses.

- 229 OTI, ocular transmission index.
- 230

The obtained values ranged from 37 % to 50 %, with a mean value of 42.7 ± 3.6 % (Mean \pm SD) for the sample considered. The mean value for subjects with light eyes was 41.3 ± 2.1 % (Mean \pm SD), whereas for subjects

with dark eyes the mean was 43.3 ± 4.1 % (Mean \pm SD). There is no significant difference in the transmittance found

234 for these groups (P-value = 0.335).

Fig 9 (a) shows the DPS values for the four conditions evaluated in one of the subjects of the Group B (CT

without a filter, CT + ND 0.01, CT + ND 0.1, and CT + ND 0.3) and the performed fit. From the slopes of these lines,

the transmittance of each condition (eye or eye plus ND filter) was estimated using Eq 1 (measured transmission). The

transmittance of each condition can be predicted (computed transmission) by multiplying the known transmittance of
each filter (Table 1) by the transmittance measured in the eye without filters. All the subjects from Group B showed a
similar pattern of results.

241

Fig 9. Measured transmission vs predicted transmission. (a) DPS as a function of laser voltage obtained in the subject CT with different neutral filters and the condition without a filter. (b) Direct transmission measured in each filter along with the eye for the five volunteers vs the computed transmission. Error bars show the relative error with respect to the expected value.

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Fig 9 (b) shows the transmittance values measured in the five subjects with three filters, as a function of the
computed transmittance. The high correlation showed in Fig 9 (b) was expected and adds consistency to our results.
However, it can be seen that errors grow for low transmittances (relative errors become 15% for transmittances of 0.2).

251 **Discussion**

252 This is the first article proposing a methodology to measure the *in vivo* direct transmittance of the whole eve, 253 that is, including the retina. Boettner reported 54 % for direct transmittance at 780 nm in eyes corresponding to a 254 similar range of age to that used in our work [3]. This value corresponds to the transmission of pre-retinal media 255 (cornea, aqueous humor, lens, and vitreous). Moreover, Boettner's work shows a measure of the transmittance of rhesus monkey retina, which is considered anatomically similar to that of the human eye, of 80 % for a wavelength of 800 256 257 nm, which means a total transmittance of 43 %. Our results, based on double-pass measurements that take the image 258 reflected in the inner layers of the retina, provide an estimate of the direct transmittance of the eye including retina of 259 42.7 %. There is no significant difference between our result and those reported in previous works (P-value = 0.675). 260 As with other optical quality measurements derived from the double-pass method [26], our measurement of 261 transmittance is affected by uncorrected low-order aberrations (defocus and astigmatism). In our measurements, the 262 refractive errors of the subjects were corrected with the spherical equivalent by means of the Badal system, therefore, 263 in some subjects, there could be some uncorrected astigmatism effect that adds error to the measure.

Between each capture, from the beginning of the experiment until the end a few minutes pass, therefore, eye movements were inevitable. This would cause the laser to strike a different area of the retina with a slightly different reflectance, however, those variations did not produce changes on the measured slope. In that sense, the behavior of the eye was similar to that presented by the artificial eye (Fig 6).

Ginis et al. [24] have reported differences in a small sample in the straylight measured in subjects with light eyes and dark eyes. Ginis's measurements show that diffuse light from the fundus contributes significantly to the total straylight for wavelengths longer than 600 nm, especially in the measurements made for small-angles of the PSF (30 arc minutes). In our study, no significant differences were found between the transmittances measured in subjects with different pigmentation.

According to Fig 9, the technique described in this work can be used for a wide range, however, because low values of transmission have a significant error the method would not be suitable for this range. For this, the present characteristic of the sensor limits the scope of the proposed method due to the low energy levels that reach it in the evaluated region. However, since we are only interested in the energy reaching this area and not in the whole PSF, single-pixel sensors could be used instead of a CCD to increase the dynamic range and capture much lower levels.

The transmittance values found to correspond to the wavelength of 780 nm and it is possible to determine the transmittance for other wavelengths using the same method, considering the modification in the sensor previously mentioned. In addition, a double-pass system with a polychromatic source has been developed in recent years [27] and the spectral transmittance of the human eye could be also determined based on the same configuration and the procedure described here. The spectral transmittance can be useful for specific applications such as in the study of intrinsically photosensitive retinal ganglion cells using a five-primary photostimulator, where it is necessary to characterize the individual differences in pre-receptoral filtering in each subject [28,29].

285

286 **Conclusion**

We have developed a procedure to determine the transmittance of the human eye *in vivo* for a wavelength of 780 nm using the double-pass method, commonly used for the determination of the optical quality of an eye. The process requires taking double-pass images with different laser beam intensities and determining the slope of the

- straight line fitted to the obtained data. From this value and using a calibration equation we describe here; the direct
- transmittance of the eye is obtained.
- 292

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