Machine Source Localization of *Tursiops truncatus* Whistle-like Sounds in a Reverberant Aquatic Environment

SF Woodward[1]*, D Reiss[2], MO Magnasco[1]

1 Laboratory of Integrative Neuroscience, Center for Studies in Physics and Biology, The Rockefeller University, New York, NY, United States
2 Department of Psychology, Hunter College, City University of New York, New York, NY, United States

* sf.woodward@gmail.com

Abstract

Most research into bottlenose dolphins' (*Tursiops truncatus'*) capacity for communication has centered on tonal calls termed *whistles*, in particular individually distinctive contact calls referred to as *signature whistles*. While "non-signature" whistles exist, and may be important components of bottlenose dolphins' communicative repertoire, they have not been studied extensively. This is in part due to the difficulty of attributing whistles to specific individuals, a challenge that has limited the study of not only non-signature whistles but the study of general acoustic exchanges among socializing dolphins. In this paper, we propose the first machine-learning-based approach to identifying the source locations of semi-stationary, tonal, whistle-like sounds in a highly reverberant space, specifically a half-cylindrical dolphin pool. We deliver time-of-flight and normalized cross-correlation measurements to a random forest model for high-feature-volume classification and feature selection, and subsequently deliver the selected features into linear discriminant analysis, linear and quadratic Support Vector Machine (SVM), and Gaussian process models. In our 14-point setup, we achieve perfect classification accuracy and high (MAD of 0.66 m, IQR = 0.34 - 1.57) regression accuracy with fewer than 10,000 features. The regression models yielded better accuracy than the established Steered-Response (SRP) method when all training data were used, and comparable accuracy - even when interpolating at several meters - in the lateral directions when deprived of training data at testing sites; our methods additionally boast improved computation time and the potential for superior accuracy in all domains with more training data.

Introduction

Dolphin communication research is in an active period of growth. Many researchers expect to find significant communicative capacity in dolphins given their complex social structure [1–3], advanced cognition including the capacity for mirror self-recognition [4], culturally transmitted tool-use and other behaviors [5], varied and adaptive foraging strategies [6], and their capacity for metacognition [7]. Moreover, given dolphins' well-studied acoustic sensitivity and echolocation ability [8–10], some researchers have speculated that dolphin vocal communication might share properties with human languages [11–13]. However, there is an insufficiency of work in this area to make substantive comparisons.

Among most dolphin species, a particular tonal class of call, termed the *whistle*, has been identified as socially important. In particular, for the common bottlenose dolphin, *Tursiops truncatus* – arguably the focal species of most dolphin cognitive and communication research – research has focused on *signature whistles*, individually distinctive whistles [14–16] that may convey an individual's identity to conspecifics [15, 17] and that can be mimicked, potentially to gain conspecifics' attention [18].

Signature whistle studies aside, most studies of bottlenose dolphin calls concern group-wide repertoires of whistles and other, pulse-form call types [19–23]; there is a paucity of studies that seek to examine individual repertoires of non-signature whistles or the phenomenon of non-signature acoustic exchanges among dolphins. Regarding the latter, difficulties with sound attribution at best allow for sparse sampling of exchanges [17, 24]. Nevertheless, such studies constitute a logical prerequisite to an understanding of the communicative potential of whistles.

The scarcity of such studies can be explained in part by a methodological limitation in the way in which dolphin sounds are recorded. In particular, no established method exists for recording the whistles of an entire social group of dolphins so as to reliably attribute the signals to specific dolphins. The general problem of sound attribution, which is encountered in almost every area of communication research, is typically approached in one of two ways: (1) by attaching transducers to all potential sound sources, in which case the source identities of sounds can usually be obtained by discarding all but the highest-amplitude sounds in each source-distinctive recorder, or (2), by using a fixed array (or arrays) of transducers, a physics-based algorithm for identifying the physical origin of each sound, and cameras that monitor the physical locations of all potential sources for matching.

While notable progress has been made implementing attached transducers (or tags) to identify the sources of dolphin whistles [25–27], shortfalls include the need to manually tag every member of the group under consideration, the tendency of tags to fall off, and the tags' inherent lack of convenient means for visualizing caller behavior. Most significant to research with captive dolphins, the use of tags can conflict with best husbandry practices (e.g., due to risk of skin irritation, of ingestion) and be forbidden, as is the case at the National Aquarium. At such locations, less invasive means of sound attribution are necessary. Unfortunately, a robust implementation of the array/camera approach to dolphin whistles has not been achieved, though it has been achieved for more tractable dolphin clicks [28]. In the context of whistles in reverberant environments, authors have noted the complications introduced by multipath effects – resulting from the combination of sounds received from both the sound source and acoustically reflective boundaries – to standard signal processing techniques. These complications generally arise from the overlap of original and reflected sounds that confound standard, whole-signal methods of obtaining time-of-flight differences. Standard techniques have at best obtained modest results in relatively irregular, low-reverberation environments where they have been evaluated [29–32]. In unpublished work, we have achieved similar results. One method of improving a standard signal processing tool for reverberant conditions, the cross-correlation, has been proposed without rigorous demonstration and has not been reproduced [33]. Among all previous methods we have identified those falling under the umbrella of Steered-Response Power (SRP) most effective. In short, these methods rely on maximizing the sum of cross-correlations between all pairs of hydrophone signals with respect to sets of time shifts between signals/hydrophones, each set corresponding to a hypothetical source location in the pool [34]; hypothetical source locations may correspond to equally-spaced grid points in the suspect zone, or be iteratively chosen in a more efficient fashion [35]. Rebecca E. Thomas et al. [36] have demonstrated the use of such

a method with reasonable success (used for about 40% recall of caller identity) [36].

We propose the first machine-learning-based solution to the problem of localizing whistle-like sounds in a highly reverberant environment, a half-cylindrical concrete dolphin pool, located at the National Aquarium in Baltimore, Maryland. We apply it to a broad variety of artificial tonal whistle-like sounds that vary over a range of values within a universally recognized parameter space for characterizing dolphin sounds, for a limited number of sampling points. We first show that a nonlinear random forest "classification model" (i.e., a predictor of categorical group membership) succeeds at correctly assigning each test sound to one of a limited number of possible locations, later show that a linear classification model achieves similar results, and finally show that a regression model can assign test sounds to points in continuous space with dolphin-length accuracy depending on training circumstances (discussed below). The latter two models rely on feature sets containing fewer than 10,000 features to locate single whistles. We also implement an SRP method for comparison.

Materials and methods

Sample Set

All data were obtained from equipment deployed at the Dolphin Discovery exhibit of the National Aquarium in Baltimore, Maryland. The exhibit's 110-ft-diameter (33.5 m) cylindrical pool is subdivided into one approximate half cylinder, termed the *exhibit pool* (EP), as well three smaller holding pools, by thick concrete walls and 6 ft x 4.25 ft (1.83 m x 1.30 m) perforated wooden gates; all pools are acoustically linked. The data were obtained from the EP, when the seven resident dolphins were in the holding pools; their natural sounds were present in recordings.

To ensure that the sound samples used for classification were not previously distorted by multipath phenomena (i.e., were not pre-recorded), were obtained in sufficient quantity at several precise, known locations inside the EP, and were representative of the approximate "whistle space" for *Tursiops truncatus*, we chose to use computer-generated whistle-like sounds that would be played over an underwater Lubbell LL916H speaker.

We generated 128 unique sounds (with analysis done on 127) to fill the available time. To be acoustically similar to actual *T. truncatus* whistles, these sounds were to be "tonal" – describable as smooth functions in time-frequency space, excluding harmonics – and to be defined by parameters and parameter ranges, given in Table 1, representative of those used and observed by field researchers in characterizing bottlenose whistles [37, 38]. To construct a waveform to be played, we began with an instantaneous frequency, $f(t)$, that described a goal time-frequency (or spectrographic) trace, for instance the trace shown in Fig 1. For simplicity, and consistent with the parameters typically used to describe dolphin whistles, we approximated dolphin whistles as sinusoidal traces in spectrographic space – thus $f(t)$ was always a sinusoid. Based on the standard definition of the instantaneous frequency as $f(t) = \frac{1}{2\pi} \frac{d\Phi(t)}{dt}$, we obtained the phase $\Phi(t)$ by integration of $f(t)$ with respect to time. The phase could be straightforwardly transformed into a playable waveform $y(t)$ as $y(t) = A(t)sin(\Phi(t))$, where $A(t)$ represented a piecewise function that modulated the intensity of the signal at different times (the beginning and end of a signal were gradually increased to full intensity and decreased to zero, respectively, as functions of the "Power Onset/Decay Rate," and the absolute beginning occurred at a peak or trough of the sinusoid $f(t)$ according to "Phase Start"). Alternatively, the phase derived for $f(t)$ could be transformed with a heuristic into a waveform corresponding to a slightly modified version of $f(t)$, specifically a quasi-sinusoid with "sharpened" peaks and approximate

Table 1. Parameters of training set sinusoids.

Parameter	Value Set
Duration (sec)	[0.3, 1]
Number of Cycles	[1, 2]
Center Frequency (Hz)	[6000, 10500]
Cycle Amplitude (Hz)	[2000, 5000]
Phase Start (rad)	$[-\frac{\pi}{2}, \frac{\pi}{2}]$
Power Onset/Decay Rate *	[0.1, 0.25]

* Values indicate fraction of signal length over which a sin^2 rise/falls occurs.

whistle-harmonic-like traces higher in frequency than the fundamental. This heuristic is $y(t) = A(t)\frac{arcsin(m \cdot sin(\Phi(t)))}{arcsin(\Phi(t))}$, where m is a nonzero parameter less than 1 that simultaneously affects the "sharpness" of the peaks and the number of harmonics; we used a value of 0.8, corresponding to approximately two harmonics.

Waveforms were played in Matlab through a MOTU 8M audio interface at calibrated volumes and a sampling rate of 192 kHz. An example of pre-speaker output is given in Fig 1.

Fig 1. Spectrogram of an artificial whistle. Displayed is a standard, 1024-bin, Hamming-window spectrogram of one of the 128 whistle-like sounds that were generated (and here sampled) at 192 kHz; frequency resolution of the plot is 187.5 Hz (smoothing added). Note that the spectrogram was constructed from the unplayed source signal. In this case, Duration = 1 second, Number of Cycles = 2, Center Frequency = 10500 Hertz, Cycle Amplitude = 2000 Hertz, Phase Start = -π/2.

The 128 sounds were played at each of 14 locations within the EP; they corresponded to 7 unique positions on the water surface on a 3 x 5 cross, at 6 ft (1.83 m) and 18 ft (5.49 m) deep. Approximate surface positions are shown in Fig 2; the difference between adjacent horizontal and vertical positions was 10-15 ft (3.05-4.57 m). Precise positions are provided with the data, linked below. The LL916H speaker was suspended by rope

from a custom flotation device and moved across the pool surface by four additional ropes extending from the device to research assistants standing on ladders poolside. Importantly, the speaker was permitted to sway from its center point by approximately 1/3 m (as much as 1 m) in arbitrary direction during calibration. These assistants also used handheld Bosch 225 ft (68.58 m) Laser Measure devices to determine the device's distance from their reference points (several measurements were taken for each location), and through a least-squares trilateration procedure [39] the device location could always be placed on a Cartesian coordinate system common with the hydrophones. Each sound in a 128-sound run was played after a 2-second delay as well as a 0.25-second, 2-kHz tone, that allowed for the creation of a second set of time-stamps in order to compensate for clock drift during the automated signal extraction.

Fig 2. Pool grid point and hydrophone array layout. The National Aquarium Exhibit Pool (EP) is shown, as visualized by the central overhead AXIS P1435-LE camera. Circled in red are the approximate surface projections of the fourteen points (each of the seven points represents a pair) at which sounds were played. Circled in yellow are the four hydrophone arrays, each containing four hydrophones; they are numbered 1-4 from left to right.

Recording System

Acoustic and visual data were obtained from a custom audiovisual system consisting of 16 hydrophones (SQ-26-08's from Cetacean Research Technology, with approximately flat frequency responses between 200 and 25,000 Hz) split among 4 semi-permanent, tamper-resistant arrays and 5 overhead cameras – for the purpose of this study, only one central AXIS P1435-LE camera, managed by Xeoma surveillance software, was used. The four arrays were spaced approximately equally around the half-circle boundary of the EP (a "splay" configuration). The physical coordinates of all individual hydrophones were obtained by making underwater tape-measure measurements as well as above-water laser-rangefinder measurements; various calibrations were performed that are outside the scope of the present paper. Audio recordings were collected at 192 kHz by two networked MOTU 8M audio interfaces into the Audacity AUP sound format, to avoid the size limitations of standard audio formats. The same two audio interfaces were involved in playing the sounds. Standard passive system operation was

managed by Matlab scripts recording to contiguous WAV files; for consistency Matlab   149
was also used for most data management and handling. Data are available at   150
https://doi.org/10.6084/m9.figshare.7956212.   151

Classification and Regression   152

1,605 recorded tones were successfully extracted to individual 2-second-long, 16-channel   153
WAV's that were approximately but not precisely aligned in time. Each tone was   154
labeled with a number designating at which of 14 pool locations it was played. A   155
random 10% of sounds were set aside for final testing, with sinusoids and   156
quasi-sinusoids with the same parameters grouped together given their close similarity.   157
    Each sound was initially digested into 1,333,877 continuous, numerical features (or   158
variables), together composing the so-called feature set.   159
    The first 120 features were *time-difference-of-arrivals* (TDOA's) obtained using the   160
Generalized Cross-Correlation Phase Transform (GCC-PHAT) method [40], which in   161
currently unpublished work we found to be most successful among correlation-based   162
methods for obtaining whistle TDOA's. Briefly, a TDOA is the time difference between   163
the appearance of a signal (such as a whistle) in one sensor (such as a hydrophone)   164
versus another. Possession of a signal's TDOA's for all pairs of 4 sensors (with known   165
geometry) in 3-dimensional space is theoretically, but often not practically, sufficient to   166
calculate the exact source position of that signal from geometry [41]. While we establish   167
elsewhere that the 120 TDOA's (i.e., one TDOA for each distinct pair of our 16   168
hydrophones, excluding self-pairs) obtained from GCC-PHAT are practically not   169
sufficient to calculate the exact source position of our signals using a standard   170
geometric technique that accommodates more than four sensors, Spherical   171
Interpolation [42, 43], we suspected that these TDOA's might still contain information   172
helpful to a machine learning model.   173
    The next 6601 x 136 features consisted of elements from standard, normalized   174
circular cross-correlations [44]: for each unique pair of the 16 hydrophones (including   175
self-pairs), 136 in total, we computed the standard circular cross-correlation of a   176
whistle's two audio snippets. While each correlation series was initially 384,000 elements   177
long (192,000 samples/second x 2 seconds), we only kept the central 6601 elements from   178
each, corresponding to a time-shift range of approximately ± 17 milliseconds; based on   179
geometry, the first incidence of any sound originating from inside the pool must have   180
arrived within 17 milliseconds between any two sensors (conservatively), meaning   181
cross-correlation elements corresponding to greater delays could be expected to be less   182
helpful to in-pool source location prediction.   183
    Lastly, we included 27,126 x 16 discrete Fourier transform elements (one set for each   184
of 16 hydrophones, for frequencies from 0 Hz to 27,126 Hz). However, preliminary   185
analysis found these features to be unhelpful to classification. Thus, they were   186
discarded from the feature set, leaving 897,871 features.   187
    Possessing the above feature set for each whistle, each "labeled" by the whistle   188
source location and coordinates, we constructed predictive models on the 90% of   189
whistles made available for model construction (or training). We began with multiclass   190
classification [45], training models to predict which of the 14 possible locations a novel   191
whistle originated from. Given our limited computational resources, our feature set   192
remained too large to accommodate most classifiers. A notable exception was the   193
Breiman random forest [46, 47], which was suitable not only for classification – being a   194
powerful nonlinear multiclass classifier with built-in resistance to overfitting – but for   195
feature reduction (i.e., the process of shrinking feature set size while minimizing loss of   196
classification accuracy), via the permuted variable delta error metric. The permuted   197
variable delta error roughly describes the increase in classification error when a   198
particular feature is effectively randomized, providing a measure of that feature's   199

importance in classification. We grew a Breiman random forest composed of CART ₂₀₀
decision trees [48] on the training data; each tree was sequentially trained on a random ₂₀₁
subset of ~75% of the training samples using a random $\tilde{}\sqrt{897,871}$ feature subset (as ₂₀₂
per standard practice). Out-of-bag (OOB) error, referring to the classification error on ₂₀₃
samples not randomly chosen for the training subset, was used for validation. While an ₂₀₄
introduction to machine learning is beyond the scope of this paper, these and ₂₀₅
subsequent techniques are standard with reader-friendly documentation available at ₂₀₆
such sources as MathWorks (`https://www.mathworks.com`) and sciikit-learn ₂₀₇
(`https://scikit-learn.org/stable/`), to which we direct interested readers. ₂₀₈

We subsequently used permuted variable delta error as a measure of feature ₂₀₉
importance, both to examine the selected features for physical significance – recall that ₂₁₀
cross-correlation element features correspond to pairs of sensors – and to obtain a ₂₁₁
reduced feature set appropriate for training additional models. The reduced feature set ₂₁₂
included the 6,788 features with nonzero permuted variable delta error. On the reduced ₂₁₃
feature set, we considered a basic CART decision tree [45, 48], a linear and quadratic ₂₁₄
Support Vector Machine (SVM) [45, 49], and linear discriminant analysis [50]. ₂₁₅

We also considered Gaussian process regression (also termed *kriging*) [51, 52] – a ₂₁₆
nontraditional, nonparametric method of regression that could accommodate our ₂₁₇
under-constrained data. Whereas the purpose of our classification models was to predict ₂₁₈
from which of 14 possible points a sound originated, the purpose of our regression ₂₁₉
models was to predict the three Cartesian coordinates from which the sound originated. ₂₂₀
Localization by regression was performed two ways. In the first way, all training sounds ₂₂₁
were used to generate three models (one per dimension) for predicting the coordinates ₂₂₂
of all test sounds. In the second way, training sounds from all but one grid point were ₂₂₃
used to generate models for predicting the coordinates of test sounds from the excluded ₂₂₄
point; the process was repeated for all grid points, the results aggregated. While we ₂₂₅
were doubtful of our models' ability to precisely interpolate at distances of several ₂₂₆
meters from 14 points, this test was envisioned to show that reduced-feature-set models ₂₂₇
are capable of a degree of spatial interpolation. ₂₂₈

For comparison, we employed a Steered-Response Power approach [34] to localizing ₂₂₉
the sounds in the test set, using standard methodology together with what details were ₂₃₀
made available by Thomas et al. [36]. For a hypothetical sound originating from each of ₂₃₁
every point of a 6" (15.25 cm) virtual gridding of the pool, we calculated the theoretical ₂₃₂
differences in the time of arrival for our 16 hydrophones. Shifting the 16 signals of a ₂₃₃
received whistle in our test set by each of every set of differences, then cross-correlating ₂₃₄
the shifted signals and summing the results along both axes, the predicted source ₂₃₅
location corresponded to the grid point that produced the largest value thus computed. ₂₃₆
We calculated the speed of sound from the Del Grosso equation [53]; the pool salinity ₂₃₇
was 31.5 ppt and temperature 26.04 °C. As an aside, we attempted to replace the ₂₃₈
standard cross-correlation in the prior calculation with the Generalized Cross ₂₃₉
Correlation with Phase Transform [40], which constitutes the SRP-PHAT technique for ₂₄₀
sound localization discussed in [34], but quickly found the results to be inferior. ₂₄₁

Lastly, we built a minimum-feature (or parsimonious) decision tree classifier on all ₂₄₂
training data. We then investigated the minimum feature set that the model selected ₂₄₃
for physical significance, by mapping features' importance (again, using a random ₂₄₄
forest's permuted variable delta error) back to the sensor and array pairs from which ₂₄₅
they were derived. ₂₄₆

Results ₂₄₇

The random forest classification model trained on the full feature set, as described above, ₂₄₈
reached 100.0% OOB accuracy at a size of approximately 180 trees. We continued ₂₄₉

training to 300 trees, and evaluated the resulting model on the test set: 100.0% accuracy   250
was achieved, with 6,788 features possessing permuted variable delta error greater than   251
0 (based on OOB evaluations). Note that, given the stochastic construction of the   252
random forest, these features did not represent a unique set or superset of sufficient   253
features for obtaining 100.0% test accuracy. When we considered which array pairs the   254
6,778 TDOA and cross-correlation features represented, we found that all pairs of the   255
four hydrophone arrays were represented with no significant preference.   256

We trained several more models on the reduced, 6,788-item feature set, including a   257
basic decision tree, a linear and quadratic SVM, and linear discriminant analysis, using   258
10-fold cross-validation. The quadratic SVM as well as linear discriminant analysis   259
achieved 100.0% cross-validation and 100.0% test accuracy, the basic decision tree   260
achieved 96.90% cross-validation and 97.75% test accuracy (95% CI [97.06 - 98.44]), and   261
the linear SVM achieved 100.0% cross-validation accuracy and 99.44% test accuracy   262
(95% CI [98.34 - 100.0]). Confidence intervals reflect Wilson scores.   263

Fig 3. Predictions of test sound coordinates by Gaussian process regressors. The half-cylindrical National Aquarium EP is depicted. Large unfilled circles indicate the true coordinates of the test sounds; each has a unique color. Small filled circles indicate the test sound coordinates predicted by Gaussian process regression, colors matching their respective true coordinates.

Again using the reduced feature set, we performed Gaussian process regression   264
(kriging) to predict test sound coordinates, generating one model for each Cartesian axis.   265
A random subset of predicted coordinates are plotted in Fig 3. The calculated   266
Euclidean (straight-line) error or median absolute deviation (MAD) was 0.66 m (IQR =   267
0.34 - 1.57); along the "EP Front" axis MAD was 0.19 m (IQR = 0.07 - 0.39), along the   268
"EP Side" axis MAD was 0.13 m (IQR = 0.05 - 0.34), and along the "EP Wall" axis   269
MAD was 0.52 m (IQR = 0.16 - 1.18).   270

When the Gaussian process regression models were generated to predict the   271
coordinates of test sounds on grid points from which they received no training data   272
(three models were generated for each grid point, generated from training data from all   273
other grid points), Euclidean MAD was 3.37 m (IQR = 2.85 - 3.75); along the "EP   274
Front" axis MAD was 0.56 m (IQR = 0.26 - 1.03), along the "EP Side" axis MAD was   275
0.50 m (IQR = 0.17 - 1.62), and along the "EP Wall" axis MAD was 2.73 m (IQR =   276
2.14 - 3.39).   277

Fig 4. Multi bar graphs displaying the histogrammed localization error (absolute deviation) for three whistle localization methods. Both straight-line (Euclidean) error and error along each of three component Cartesian axes are displayed. (a) Localization error for Gaussian process regression, training on all training whistles and predicting coordinates of all test whistles. (b) Localization error for Gaussian process regression, using models deprived of training data from grid points of evaluated test sounds. (c) Localization error for SRP on test whistles.

For the SRP method applied to the test sounds, Euclidean MAD was 1.56 m (IQR = 0.73 - 2.48); MAD along the "EP Front" axis was 0.43 m (IQR = 0.18 - 0.73), MAD along the "EP Side" axis was 0.52 m (IQR = 0.21 - 0.98), and MAD along the "EP Wall" axis was 0.91 m (IQR = 0.15 - 1.98).

The histogrammed localization errors (absolute deviations) for all the above predictions are displayed in Fig 4. Anderson-Darling tests rejected the null hypotheses that the sets of errors were drawn from normal distributions (5% significance level), and we therefore proceeded with non-parametric statistics. A Kruskal-Wallis test on the error sets' mean ranks determined the sets did not originate from the same distribution (5% significance level); results for Dunn's post-hoc comparisons of individual pairs are given in Fig 5.

Lastly, we trained a single, minimum-feature decision tree (a so-called parsimonious model) on the full training set. The severe feature reduction left 22 features. While the decision tree achieved only 96.63% accuracy (95% CI [93.98 - 99.28]) on the test set, a random forest trained on the same features achieved 98.88% test accuracy (95% CI [97.73 - 100.0]). Thus, we considered this feature set both sufficient and sparse enough to meaningfully ask whether classification is making use of features derived from a spatially mixed set of hydrophone and hydrophone array pairs, consistent with a geometric approach to sound source localization. The permuted variable delta error was summed across hydrophone and hydrophone array pairs, which is visualized in Fig 6. Overall, we note that, directly or indirectly, features representing all pairs of hydrophone arrays are utilized for classification.

Fig 5. Mean ranks of test sound localization error. The mean rank for each test protocol and axis of localization, computed ahead of a Kruskal-Wallis test (discussed in the text), are shown with 95% CI's. Comparing every pair of groups using post-hoc Dunn's tests with an overall significance threshold of 0.05, we confirmed that interval overlaps visualized here reflect an inability to reject the null hypothesis that the underlying samples are drawn from the same distribution; similarly, interval non-overlaps visualized here reflect rejection of the null hypothesis that the underlying samples are drawn from the same distribution. Note, "S GR" (Standard Gaussian regression) refers to Gaussian regression performed with training data from grid locations of test sounds made available for model building; "LO GR" (Leave-out Gaussian regression) refers to Gaussian regression performed with training data for grid locations of test sounds excluded from model building.

Discussion

We provide a proof of concept that sound source localization of semi-stationary, bottlenose-whistle-like-sounds can be achieved implicitly as a classification task and explicitly as a regression task in a standard, highly reverberant, half-cylindrical captive dolphin enclosure. Moreover, for the same conditions we showed that, for the localization of whistles originating near training-set sounds (within ~1/3 m, the speaker sway range), Gaussian regression outperforms a standard Steered-Response Power (SRP) approach to whistle localization. Localizing in the lateral directions, which is often sufficient for distinguishing among potential sound sources based on overhead imaging, Gaussian process models prompted to predict test sound coordinates at novel grid points (potentially interpolating over several meters) did so with similar accuracy to SRP.

The data consisted of 16 independent recordings (from four four-hydrophone arrays) of 127 unique bottlenose-dolphin-whistle-like sounds played at 14 positions in the dolphin exhibit pool (EP) at the National Aquarium, semi-randomly divided into "training" and "test" sets for model building and evaluation, respectively. First, we showed that a random forest classifier with fewer than 200 trees can achieve 100% testing accuracy at the task of predicting from which of the 14 locations a sound originated, using 6,788 of 897,871 available features, including TDOA's obtained from GCC-PHAT as well as normalized cross-correlations from all pairs of sensors. We then showed that linear discriminant analysis and a quadratic SVM can achieve the same classification accuracy on the reduced, 6,788-feature set. If the linear model in

(a) (b)

(c) (d)

Fig 6. Cross-hydrophone and cross-hydrophone-array feature importances for the parsimonious random forest. Feature importance values for a parsimonious, 22-feature random forest model, summed across corresponding hydrophone pairs and averaged across corresponding hydrophone array pairs. (a) Cross-hydrophone importances for cross-correlation features. Hydrophones belonging to common panels (1-4, 5-8, 9-12, 13-16) are grouped by red boxes. (b) Cross-hydrophone-array importances for cross-correlation features. Panels are numbered 1-4 from left-to-right in Fig 2. (c) Cross-hydrophone importances for TDOA features. (d) Cross-hydrophone-array importances for TDOA features.

particular were to remain accurate when trained on a finer grid of training/testing   321
points (finer by about two fold, which would reduce the distance between grid points to   322
approximately the length of a mature bottlenose dolphin), it would constitute a simple   323
and computationally efficient method of locating the origin of tonal sounds in a   324
reverberant environment.   325

Although it remains unclear to what extent sounds originating off-grid are classified   326
to the most logical (i.e., nearest) grid points, we note that our classifiers' success was   327
achieved despite the approximately 1/3 m drift of the speaker during play-time; this   328
together with the success of regression may indicate a degree of smoothness in the   329
classifiers' decision-making. Also, that a linear classifier, which by definition cannot   330
support nonlinear decision making, suffices for this task on features that are generally   331
expected to vary continuously in value across space (TDOA's, cross-correlations) is   332

reassuring. Nevertheless, this question does warrant further investigation, perhaps using faster-moving sound sources – an investigation of this nature should also be performed to better evaluate the method's ability to localize sounds produced by dolphins.

We more suitably addressed the question of off-grid prediction using Gaussian process regression to predict the coordinates of the test sounds. This method was also quite successful when trained on the full training data set, achieving test MAD of 0.66 m (IQR = 0.34 - 1.57) – less than the expected length of an adult common bottlenose dolphin [54]. In order to better assess the regression models' capacity for interpolation, we evaluated the regression models' performance on test sounds for which no training sounds from the same grid points were used for model generation. While the regressors' overall performance on novel points was not satisfactory, admitting error larger than average dolphin length (MAD of 3.37 m, IQR = 2.85 - 3.75), when we decomposed the error along three Cartesian axes ("EP Front" MAD of 0.56 m with IQR = 0.26 - 1.03, "EP Side" MAD of 0.50 m with IQR = 0.17 - 1.62, and "EP Wall" MAD of 2.73 m with IQR = 2.14 - 3.39), we found that the overall prediction error was significantly dominated (referring to Fig 5) by localization error in the direction of pool depth. This is significant because sounds from only two distinct pool depths were obtained, which is intuitively unsuitable for interpolation. We think it is reasonable to suggest interpolation in this direction would improve with finer sampling. Moreover, we note that MAD for interpolation in the other two directions was less than average adult dolphin body length.

For comparison, we localized the test sounds using a standard SRP approach that has met success elsewhere, particularly in Thomas et al. [36]. Referring to Fig 5, Gaussian regression significantly outperformed SRP when all training data were used. When the regression models were deprived of training data from grid points of evaluated test sounds, SRP performed better overall and along the "EP Wall" (i.e., depth) axis; there was no significant performance difference along the other two component axes. While this suggests that with the current training data Gaussian regression does not outperform SRP in three dimensions when prompted to interpolate at longer distances, it also suggests the interpolation models are capable of comparable accuracy in the lateral directions; the same might be expected in the vertical direction were more than two depth points available for interpolation. Moreover, the results suggest that Gaussian regression can perform just as well as SRP for localizing sounds across the pool surface (i.e., disregarding depth), which is often sufficient for distinguishing among potential sound sources based on overhead imaging (as in Thomas et al.).

Lastly, we showed that an extremely sparse, 22-item feature set that lends itself to relatively strong classification accuracy includes time-of-flight comparisons from all four pairs of arrays. As sound amplitude information was removed in the process of feature creation, this suggests that the classification and regression methods discussed here implicitly use time-of-arrival information for classification from four maximally spaced sensors, consistent with a naive analytic-geometric approach to sound source localization. However, the inner logic of the models ultimately remains unknown.

These methods must be still be evaluated on whistles originating from dolphins. Not only do dolphins move faster than our speaker, but they possess different acoustical directional properties, and their whistles potentially fall outside of the "whistle space" represented by our artificial whistles. Regarding movement speed, we are hopeful because the past success of the Thomas et al. SRP method on real dolphin whistles suggests that whistle cross-correlations, which both methods rely on, retain information sufficient for localization even when the source moves at dolphin speeds. Regarding whistle directionality, though the directionality of real whistles strictly differs from that of our playback whistles, it has been observed that the "fundamental" or lowest-frequency trace (the focus of our method) of real whistles is approximately

omnidirectional [55]. Lastly, regarding whistles existing outside of our artificial "whistle ₃₈₅
space," we note that dolphin groups of this size have been shown to possess fewer than ₃₈₆
100 unique call types [21] , which suggests the possiblity of crafting an all-encompassing ₃₈₇
feature set. A final consideration is whether this method is suitable for whistles from ₃₈₈
more than one source overlapping in time, e.g., after separation by filtering; while these ₃₈₉
instances have been rare at the National Aquarium, they would likely relevant to this ₃₉₀
method's overall success in the wild. ₃₉₁

We feel this study offers a valid argument that machine learning methods are ₃₉₂
promising for solving the problem of bottlenose whistle localization in highly ₃₉₃
reverberant aquaria, where tag-based solutions to whistle attribution are not feasible. ₃₉₄
We offer evidence to suggest that these methods might be capable of greater accuracy ₃₉₅
than SRP methods given adequate training data, coming at smaller computational ₃₉₆
expense – with the consideration of as few as 6,778 values per whistle. While we caution ₃₉₇
that these methods still must be evaluated on whistles originating from real dolphins, ₃₉₈
we opine that our results are encouraging and warrant further research. ₃₉₉

Acknowledgments ₄₀₀

We thank the National Aquarium for participating in this study, as well the National ₄₀₁
Science Foundation (Awards 1530544, 1607280), the Eric and Wendy Schmidt Fund for ₄₀₂
Strategic Innovation, and the Rockefeller University for funding. While regrettably we ₄₀₃
cannot name everyone, we also thank the approximately two dozen people at the ₄₀₄
National Aquarium, the Rockefeller University, and Hunter College for assisting with ₄₀₅
various aspects of the project. ₄₀₆

References

1. Shirihai H, Jarrett B. Whales, Dolphins, and Other Marine Mammals of the
   World. Princeton and Oxford: Princeton University Press; 2006.

2. Connor RC, Heithaus MR, Barre LM. Complex social structure, alliance stability
   and mating access in a bottlenose dolphin 'super-alliance'. Proceedings of the
   Royal Society B: Biological Sciences. 2001;268(1464):263–267.

3. Krutzen M, Sherwin WB, Connor RC, Barre LM, Van de Casteele T, Mann J,
   et al. Contrasting relatedness patterns in bottlenose dolphins (Tursiops sp.) with
   different alliance strategies. Proceedings of the Royal Society B: Biological
   Sciences. 2003;270(1514):497–502.

4. Reiss D, Marino L. Mirror self-recognition in the bottlenose dolphin: A case of
   cognitive convergence. Proceedings of the National Academy of Sciences.
   2001;98(10):5937–5942.

5. Krutzen M, Mann J, Heithaus MR, Connor RC, Bejder L, Sherwin WB. Cultural
   transmission of tool use in bottlenose dolphins. Proceedings of the National
   Academy of Sciences. 2005;102(25):8939–8943.

6. Sargeant BL, Mann J, Berggren P, Krutzen M. Specialization and development of
   beach hunting, a rare foraging behavior, by wild bottlenose dolphins (Tursiop
   sp.). Canadian Journal of Zoology. 2005;83(11):1400–1410.

7. Smith JD. Inaugurating the Study of Animal Metacognition. International
   Journal of Comparative Psychology. 2010;23(3):401–413.

8. Au WWL, Moore PWB, Pawloski D. Echolocation transmitting beam of the Atlantic bottlenose dolphin. The Journal of the Acoustical Society of America. 1986;80:688–691.

9. Johnson CS. Discussion. In: Busnel RG, editor. Animal Sonar Systems Biology and Bionics. Jouy-en-Josas, France; 1967. p. 384–398.

10. Au WWL. Echolocation in Dolphins. In: Hearing by Whales and Dolphins. New York City, New York: Springer; 2000.

11. Lilly JC, Miller AM. Vocal Exchanges between Dolphins. Science. 1961;134(3493):1873–1876.

12. Dreher JJ. Linguistic Considerations of Porpoise Sounds. The Journal of the Acoustical Society of America. 1961;33(12):1799–1800.

13. McCowan B, Hanser SF, Doyle LR. Quantitative tools for comparing animal communication systems: information theory applied to bottlenose dolphin whistle repertoires. Animal Behaviour. 1998;57(2):409–419.

14. Caldwell MC, Caldwell DK. Individualized Whistle Contours in Bottlenosed Dolphins (*Tursiops truncatus*). Nature. 1965;207(1):434–435.

15. Caldwell MC, Caldwell DK, Tyack PL. Review of the signature-whistle-hypothesis for the Atlantic bottlenose dolphin. In: Leatherwood S, Reeves RR, editors. The Bottlenose Dolphin. San Diego; 1990. p. 199–234.

16. Sayigh LS, Esch HC, Wells RS, Janik VM. Facts about signature whistles of bottlenose dolphins, *Tursiops truncatus*. Animal Behaviour. 2007;74(6):1631–1642.

17. Janik VM, Sayigh LS. Communication in bottlenose dolphins: 50 years of signature whistle research. Journal of Comparative Physiology A. 2013;199(6):479–489.

18. Tyack PL. Whistle Repertoires of Two Bottlenosed Dolphins, *Tursiops truncatus*: Mimicry of Signature Whistles? Behavioral Ecology and Sociobiology. 1986;18(4):251–257.

19. McCowan B. A New Quantitative Technique for Categorizing Whistles Using Simulated Signals and Whistles from Captive Bottlenose Dolphins (Delphinidae, *Tursiops truncatus*). Ethology. 1995;100:177–193.

20. McCowan B, Reiss D. Whistle Contour Development in Captive-Born Infant Dolphins (*Tursiops truncatus*): Role of Learning. Journal of Comparative Psychology. 1995;109(3):242–260.

21. McCowan B, Reiss D. Quantitative Comparison of Whistle Repertoires from Captive Adult Bottlenose Dolphins (Delphinidae, *Tursiops truncatus*): a Re-evaluation of the Signature Whistle Hypothesis. Ethology. 1995;100:194–209.

22. McCowan B, Reiss D, Gubbins C. Social familiarity influences whistle acoustic structure in adult female bottlenose dolphins (*Tursiops truncatus*). Aquatic Mammals. 1998;24(1):27–40.

23. McCowan B, Reiss D. The fallacy of 'signature whistles' in bottlenose dolphins: a comparative perspective of 'signature information' in animal vocalizations. Animal Behaviour. 2001;62(6):1151–1162.

24. Janik VM, King SL, Sayigh LS, Wells RS. Identifying signature whistles from recordings of groups of unrestrained bottlenose dolphins (*Tursiops truncatus*). Marine Mammal Science. 2013;29(1):109–122.

25. Tyack PL. An optical telemetry device to identify which dolphin produces a sound. The Journal of the Acoustical Society of America. 1985;78(5):1892–1895.

26. Watwood SL, Owen ECG, Tyack PL, Wells RS. Signature whistle use by temporarily restrained and free-swimming bottlenose dolphins, Tursiops truncatus. Animal Behaviour. 2005;69(6):1373–1386.

27. Akamatsu T, Wang D, Wang K, Naito Y. A method for individual identification of echolocation signals in free-ranging finless porpoises carrying data loggers. The Journal of the Acoustical Society of America. 2000;108(3):1353–5.

28. Watkins WA, Schevill WE. Listening to Hawaiian Spinner Porpoises, *Stenella* Cf. *Longirostris*, with a Three-Dimensional Hydrophone Array. Journal of Mammalogy. 1974;55(2):319–328.

29. Bell BM, Ewart TE. Separating Multipaths by Global Optimization of a Multidimensional Matched Filter. IEEE Transactions on Acoustic, Speech, and Signal Processing. 1986;ASSP-34(5):1029–1036.

30. Freitag LE, Tyack PL. Passive acoustic localization of the Atlantic bottlenose dolphin using whistles and echolocation clicks. The Journal of the Acoustical Society of America. 1993;93(4):2197–2205.

31. Janik VM, Thompson M. A Two-Dimensional Acoustic Localization System for Marine Mammals. Marine Mammal Science. 2000;16(2):437–447.

32. López-Rivas RM, Bazúa-Durán C. Who is whistling? Localizing and identifying phonating dolphins in captivity. Applied Acoustics. 2010;71(11):1057–1062.

33. Spiesberger JL. Linking auto- and cross-correlation functions with correlation equations: Application to estimating the relative travel times and amplitudes of multipath. The Journal of the Acoustical Society of America. 1998;104(1):300–312.

34. DiBiase JH. A High-Accuracy, Low-Latency Technique for Talker Localization in Reverberant Environments Using Microphone Arrays; 2000.

35. Do H, Silverman HF, Yu Y. A Real-Time SRP-PHAT Source Location Implementation Using Stochastic Region Contract (SRC) on a Large-Aperture Array. Proc ICASSP-2007. 2007; p. I–121 – I–124.

36. Thomas RE, Fristrup KM, Tyack PL. Linking the sounds of dolphins to their locations and behavior using video and multichannel acoustic recordings. The Journal of the Acoustical Society of America. 2002;112(4):1692–1701.

37. Steiner WW. Species-Specific Differences in Pure Tonal Whistle Vocalizations of Five Western North Atlantic Dolphin Species. Behavioral Ecology and Sociobiology. 1981;9(4):241–246.

38. Rendell LE, Matthews JN, Gill A, Gordon JCD, MacDonald DW. Quantitative analysis of tonal calls from five odontocete species, examining interspecific and intraspecific variation. Journal of Zoology, London. 1999;249:403–410.

39. Navidi W, Jr WSM, undefined, Hereman W. Statistical methods in surveying by trilateration. Computational Statistics & Data Analysis. 1998;27:209–227.

40. Knapp CH, Carter C. The Generalized Correlation Method for Estimation of Time Delay. IEEE Transactions on Acoustic, Speech, and Signal Processing. 1976;24(4):320–327.

41. Li X, Deng ZD, Rauchenstein LT, Carlson TJ. Source-localization algorithms and applications using time of arrival and time difference of arrival measurements. Review of Scientific Instruments. 2016;87(4):041502–13.

42. Smith JO, Abel JS. The Spherical Interpolation Method of Source Localization. IEEE Journal of Oceanic Engineering. 1987;OE-12(1):246–252.

43. Zimmer WMX. Passive Acoustic Monitoring of Cetaceans. Cambridge: Cambridge University Press; 2011.

44. Oppenheim AV, Schafer RW, Buck JR. Discrete-Time Signal Processing. Upper Saddler River, NJ; 1999.

45. Kotsiantis SB, Zaharakis ID, Pintelas PE. Machine learning: a review of classification and combining techniques. Artificial Intelligence Review. 2006;26:159–190. doi:10.1007/s10462-007-9052-3.

46. Breiman L. Random Forests. Machine Learning. 2001;45(1):5–32.

47. Belgiu M, Drăguţ L. Random forest in remote sensing: A review of applications and future directions. ISPRS Journal of Photogrammetry and Remote Sensing. 2016;114:24–31. doi:10.1016/j.isprsjprs.2016.01.011.

48. Breiman L, Friedman J, Stone CJ, Olshen RA. Classification and Regression Trees. Monterey, CA: Wadsworth & Brooks/Cole Advanced Books & Software; 1984.

49. Cortes C, Vapnik V. Support-vector networks. Machine Learning. 1995;20:273–297. doi:10.1007/bf00994018.

50. Fisher RA. The use of Multiple Measurements in Taxonomic Problems. Annals of Eugenics. 1936;7:179–188. doi:10.1111/j.1469-1809.1936.tb02137.x.

51. Wahba G. Spline Models for Observational Data; 1990.

52. Williams CKI. Prediction with Gaussian Processes: From Linear Regression to Linear Prediction and Beyond. In: Jordan MI, editor. Learning in Graphical Models. NATO ASI Series (Series D: Behavioural and Social Sciences). vol. 89. Springer, Dordrecht; 1998.

53. Del Grosso VA. New equation for the speed of sound in natural waters (with comparisons to other equations). The Journal of the Acoustical Society of America. 1974;56(4):1084–1091.

54. Fernandez S, Hohn AA. Age, growth, and calving season of bottlenose dolphins, Tursiops truncatus, off coastal Texas. Fishery Bulletin - National Oceanic and Atmospheric Administration. 1998;(96):357–365.

55. Branstetter BK, Moore PW, Finneran JJ, Tormey MN, Aihara H. Directional properties of bottlenose dolphin (Tursiops truncatus) clicks, burst-pulse, and whistle sounds. The Journal of the Acoustical Society of America. 2012;131(2):1613–1621.