

1 **On the efficacy of restoration in stream networks: comments, critiques, and prospective**
2 **recommendations**

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13 **Abstract**

14 Swan and Brown (2017) recently addressed the effects of restoration on stream
15 communities under the meta-community framework. Using a combination of headwater and
16 mainstem streams, Swan and Brown (2017) evaluated how position within a stream network
17 affected the outcome of restoration on invertebrate communities. Ostensibly, their hypotheses
18 were partially supported as restoration had stronger effects in headwater streams: invertebrate
19 taxonomic richness was increased and temporal variability decreased in restored reaches;
20 however, these results were not consistent upon closer scrutiny for both the original paper (Swan
21 and Brown 2017) and the later erratum (Swan and Brown 2018). Here, I provide a secondary
22 analysis of the data, with hypotheses and interpretations in the context of stream, meta-
23 community, and restoration ecology. Swan and Brown (2017, 2018) evaluated the effect of
24 restoration on sites receiving various combinations of in-channel manipulation and riparian
25 reforestation treatments. Given the difference in the relative importance of environmental
26 filtering and dispersal between headwaters and mainstems and the structure of river networks, I
27 contend that different restoration treatments have differential effects between headwaters and
28 mainstems. I hypothesized in-channel manipulations would have more consistent effects between
29 headwaters and mainstems compared to riparian reforestation, and I used this hypothesis to guide
30 site selection in the re-analysis. I then compared results from the re-analysis to those presented
31 by Swan and Brown (2017, 2018). I did not find any effects of restoration on local diversity,
32 spatial dissimilarity, or temporal variability, let alone differential effects of restoration between
33 headwaters and mainstems; these results are contrary Swan and Brown (2017, 2018), who
34 reported that restoration increased taxonomic richness, increased spatial dissimilarity, and
35 decreased temporal variability in restored headwater streams. I demonstrate further that the

36 statistical tests conducted by Swan and Brown (2017, 2018) were invalid and, therefore,
37 recommend the use of the results presented here. More broadly, I suggest, in agreement with
38 Swan and Brown (2017, 2018) and a growing body of research, that river and stream restoration
39 will likely have greater success if a regional approach is taken to designing and implementing
40 restoration projects.

41 *Keywords:* biodiversity, community ecology, freshwater ecology, metacommunity theory, open
42 science, restoration ecology

43 **Introduction**

44 In a recent study, Swan and Brown (2017) evaluated how restoration affected community
45 diversity in streams through the use of metacommunity theory. Under this framework, local
46 effects are associated with species' niches while regional effects are more associated with
47 dispersal (Leibold et al. 2004). In the context of stream networks, headwaters are isolated
48 patches more likely to be impacted by niches and environmental characteristics and mainstems
49 are well-connected more likely to be affected by dispersal (Heino et al. 2003, Leibold et al. 2004,
50 Grant et al. 2007, Altermatt 2013, Heino 2013). Restoration of stream habitats was therefore
51 expected to have a greater impact on communities in headwaters relative to mainstems (Swan
52 and Brown 2017).

53 Although Swan and Brown (2017, 2018) noted that restoration techniques can vary in
54 intrusiveness on stream ecosystems, they did not account for this in their experimental design
55 and statistical analyses. Restored streams in their study received various combinations of bank
56 stabilization, in-channel manipulation, and riparian reforestation (i.e. tree planting) treatments,
57 and these treatments were not applied in a consistent or systematic manner (Swan and Brown
58 2017: Table 2). Swan and Brown (2017) did not set a restoration criterion for site inclusion in
59 their study, instead including all sites regardless of the combination of applied restoration
60 treatments. I suggest that this oversight leads to unnecessary assumptions about the efficacy of
61 restoration by assuming the effects of all treatment combinations are equivalent, and this issue
62 could have been partially resolved a priori by hypothesizing how each treatment would affect
63 headwater and mainstem streams and then setting requirements for site inclusion in the analyses.

64 I contend that the various restoration treatments differ not only in their overall effects but
65 also if the treatment is applied in headwater or mainstem streams, and, for these reasons, criteria

66 for site selection could be set. I suggest that bank stabilization and in-channel manipulation
67 treatments are more likely to have stronger and consistent effects in both headwaters and
68 mainstems (Muotka and Syrjänen 2007, Miller et al. 2010), while riparian reforestation would
69 likely have stronger effects in headwater compared to mainstem streams (Vannote et al. 1980,
70 Rosi-Marshall and Wallace 2002). A similar argument was made by Swan and Brown, though it
71 was not explicitly noted until the erratum (Swan and Brown 2018). Bank stabilization and in-
72 channel manipulation can increase bed stability and substrate availability and diversity in both
73 headwater and mainstem streams (Muotka and Syrjänen 2007, Miller et al. 2010), however, the
74 effects of riparian reforestation could act on a gradient from headwaters to mainstems. For
75 example, leaf litter is an important source of habitat and nutrients in headwaters but less so in
76 mainstems (Vannote et al. 1980, Rosi-Marshall and Wallace 2002). Additionally, the utility of
77 riparian reforestation on reducing nutrient inputs notwithstanding (Collins et al. 2013), the
78 effects of riparian reforestation could be stronger in headwater streams because they are isolated
79 systems, whereas mainstem streams receive flows of water, nutrients, and organisms from many
80 tributaries (Vannote et al. 1980). Effectively, mainstems are dependent on other tributaries and
81 any local restoration effects via riparian reforestation could be overwhelmed by incoming flows
82 from unrestored streams (Wahl et al. 2013).

83 Here, I present a re-analysis of the data provided by Swan and Brown (2017, 2018). I
84 hypothesized that stream-channel manipulations would have a more consistent effect between
85 headwaters and mainstems relative to the effect of riparian reforestation, with stronger effects of
86 restoration in headwaters relative to mainstems; I used this hypothesis to guide and inform site
87 selection in my re-analysis. I required sites in the re-analysis to have received both the bank
88 stabilization and in-channel manipulations treatments (hereafter “revised” sites), although sites

89 receiving riparian reforestation were also included if they received both the bank stabilization
90 and in-channel manipulations treatments. I also re-analyzed the full data (hereafter “full” sites) to
91 determine if any differences, or lack thereof, between the full and revised sites analyses could be
92 attributed to increased variation in the revised sites due to decreased sample size. Finally, I
93 compare the interpretation and conclusions from my re-analysis to those in Swan and Brown
94 (2017) and the erratum (Swan and Brown 2018).

95 **Methods**

96 *Sampling Design*

97 Swan and Brown (2017) conducted their study in 5 headwater and 8 mainstem streams in
98 Baltimore County, Maryland, U.S.A. Each stream had a paired structure, where restored and
99 adjacent, unrestored reaches were sampled; restored and adjacent reaches were separated by < 10
100 m. The sampling design was explicitly constructed to permit comparisons between paired
101 restored-adjacent reaches in each of the focal streams. Each of the 13 focal streams was sampled
102 quarterly in 2011 (spring, summer, and fall) and 2012 (winter; Swan and Brown 2017). The full
103 sites in the re-analysis included these 13 focal streams, while the revised sites included 9 streams
104 (4 headwaters and 5 mainstems). In the revised sites subset, 7 of the 9 sites received all three
105 restoration treatments (i.e. bank stabilization, in-channel manipulation, and riparian
106 reforestation); 2 of the 4 headwaters and all 5 mainstems received all restoration treatments. In
107 contrast, 7 of the 13 streams in the full sites received all three restoration treatments: 2 of the 4
108 headwaters and 5 of the 8 mainstems received all restoration treatments (Table 1).

109 *Statistical Analyses*

110 I generally followed the analyses as written by Swan and Brown (2017), with
111 modifications made when necessary. The three community response variables were local

112 diversity, spatial dissimilarity, and temporal variation. Local diversity was calculated as
113 taxonomic richness (i.e. number of different taxa present) and taxonomic diversity (i.e.
114 Shannon's diversity) and compared using an analysis of variance (ANOVA). The model was
115 constructed to examine the individual effects of reach (restored or adjacent), order (headwater or
116 mainstem), and season (spring, summer, fall, and winter) and all two- and three-way interactions,
117 with individual ANOVAs for richness and diversity; I also fit the full and reduced taxonomic
118 richness models proposed in the erratum (Swan and Brown 2018) as a separate set of ANOVAs.
119 Spatial dissimilarity between communities in restored and adjacent reaches for each order-by-
120 season combination was quantified using the modified Gower index (Anderson et al. 2006) with
121 a logarithm with a base of 5 on an untransformed abundance matrix. Values of the modified
122 Gower dissimilarities were then compared using an ANOVA with the individual effects of
123 season and order as well as their interaction. Temporal variability was measured as the
124 multivariate dispersion (i.e. mean distance to the centroid) of repeated samples for each stream-
125 by-reach-by-order combination (Anderson et al. 2006). Distances were calculated in principal
126 coordinates space after Bray-Curtis dissimilarity was performed on the untransformed abundance
127 matrix. Temporal variability values were then compared using an ANOVA with the individual
128 effects of order and reach and their interaction. All ANOVAs were performed for both the full
129 and revised sites, with stream identity fitted as a random effect in each ANOVA; all ANOVAs
130 were fitted by restricted maximum likelihood.

131 Exploratory data analysis was conducted prior to any model fitting to determine if the
132 data met test assumptions (Zuur et al. 2010). For the full sites analyses, numerical summaries
133 demonstrated an unbalanced design, with equal representation of restored and unrestored reaches
134 but a large disparity in the number of samples between headwaters and mainstems for each of the

135 taxonomic richness and diversity (headwater $n = 38$, mainstem $n = 62$), spatial dissimilarity
136 (headwater $n = 19$, mainstem = 31), and temporal variation (headwater $n = 10$, mainstem $n = 16$)
137 analyses. Additionally, the assumption of homogeneity of variance was violated for the
138 taxonomic richness and diversity and the spatial dissimilarity analyses. The unbalanced design
139 was greatly reduced for the revised sites analyses: taxonomic richness and diversity (headwater n
140 = 30, mainstem $n = 40$), spatial dissimilarity (headwater $n = 15$, mainstem = 20), and temporal
141 variation (headwater $n = 8$, mainstem $n = 10$); however, the assumption of homogeneity of
142 variance was still violated. To better meet the assumption of equal variance, taxonomic richness
143 was \ln -transformed, taxonomic diversity was square root-transformed, and spatial dissimilarity
144 was \ln -transformed for all analyses. Along with using transformations to response variables to
145 better meet model assumptions, I used Type III sums of squares for evaluating main and
146 interactive effects of factors included in the ANOVA. Swan and Brown (2017, 2018) used Type
147 I sums of squares, which are inadequate for unbalanced and multi-factor designs with
148 interactions between or among factors (Shaw and Mitchell-Olds 1993, Quinn and Keough 2002).
149 Type III sums of squares are more appropriate than Type I sums of squares because: (1) tests of
150 main effects are unweighted and unaffected by sample size; and (2) main effects are calculated
151 after accounting for other main effects and interactions in the model, particularly when
152 interactions are presented or hypothesized (Quinn and Keough 2002).

153 Model assumptions were inspected graphically, and significance was considered at $P <$
154 0.050. I removed the spring sample from the restored reach of site 227 from analyses because
155 there was no corresponding sample from the adjacent reach, which would have precluded paired
156 comparisons of restored-adjacent sites; however, I did not remove any sites prior to fitting the
157 full and reduced model ANOVAs set by Swan and Brown (2018). Additionally, the only

158 difference between re-analysis of the full and reduced ANOVAs set by Swan and Brown (2018)
159 was the use of Type III sums of squares instead of Type I sums of squares. Untransformed values
160 of variables are presented in the results and figures. All analyses were conducted using R version
161 3.5.3 (R Core Team 2019) with the nlme (version 3.1-139, Pinheiro et al. 2019) and vegan
162 (version 2.5-4, Oksanen et al. 2019) packages; data and R code are deposited in the figshare
163 repository ([10.6084/m9.figshare.6448010](https://doi.org/10.6084/m9.figshare.6448010)). Given I made necessary modifications to the analyses
164 written by Swan and Brown (2017, 2018), later comparisons between the re-analysis presented
165 here and the results presented by Swan and Brown (2017, 2018) will only be in terms of
166 statistical and ecological interpretation and not exact values of test statistics. Additionally, as the
167 bank stabilization and in-channel manipulations were the treatments of interest for the re-
168 analysis, later discussion of restoration will be restricted to these treatments (hereafter “channel
169 manipulations”) unless riparian reforestation is explicitly stated. Due to the restrictions of the
170 study design and re-analysis, riparian reforestation was a confounding treatment as bank
171 stabilization and in-channel manipulations were the focal treatments.

172 To facilitate discussion among the initial study (Swan and Brown 2017), erratum (Swan
173 and Brown 2018), and this re-analysis, effect sizes were calculated for each factor and interaction
174 in the ANOVA models. Local diversity and temporal variability effect sizes were calculated for
175 the erratum (Swan and Brown 2018) and full and reduced models in this re-analysis. Spatial
176 dissimilarity effect sizes were calculated for the initial study (Swan and Brown 2017) and the full
177 and reduced models in this re-analysis; effect sizes were not calculated for local diversity and
178 temporal variability of the initial study (Swan and Brown 2017) as results were later corrected in
179 the erratum (Swan and Brown 2018), and it would be illogical to make comparisons to
180 deprecated analyses. All effect sizes were calculated as partial η^2 (Cohen 1973):

$$\eta = \frac{df_{between} \times F}{df_{between} \times F + df_{within}}$$

181 where $df_{between}$ is the degrees of freedom associated with the factor or interaction, F is the F
182 statistic associated with the factor or interaction, and df_{within} is the degrees of freedom associated
183 with the residual error. Effect sizes were classified as small = 0.01, medium = 0.06, and large =
184 0.14 (Cohen 1973).

185 **Results & Discussion**

186 There were no main or interactive effects of season, order, or reach on taxonomic
187 richness for either the full or revised sites analyses (Table 2, Figure 1). The full model of
188 taxonomic richness proposed in the erratum (Swan and Brown 2018) did not show any main or
189 interactive effects of season, order, or reach (Table 3); however, the reduced model of taxonomic
190 richness demonstrated an interaction between order and season ($F_{3, 80} = 4.105$, $P = 0.009$) and
191 significant main effects of season ($F_{3, 80} = 4.358$, $P = 0.007$) and reach ($F_{1, 80} = 4.844$, $P = 0.031$).
192 In contrast to taxonomic richness, taxonomic diversity varied by season for the full ($F_{3, 80} =$
193 12.267 , $P < 0.001$) and revised ($F_{3, 80} = 10.999$, $P < 0.001$) sites (Table 2, Figure 2). There were
194 no further main or interactive effects of season, order, or reach on taxonomic diversity for either
195 the full or revised sites (Table 2, Figure 2). Spatial dissimilarity did not vary by any of the main
196 or interactive effects of season and order for both the full and revised sites (Table 1, Figure 3).
197 Additionally, temporal variation did not vary by the main effects of or interaction between reach
198 and order for the full and revised sites (Table 2, Figure 4).

199 Analyses by Swan and Brown (2017, 2018) overestimated some effect sizes for the local
200 diversity and spatial dissimilarity analyses (Table 4). Large effect sizes were observed for season
201 in the local diversity ($\eta^2 = 0.1405$) and spatial dissimilarity analyses ($\eta^2 = 0.1817$) by Swan and
202 Brown (2017, 2018), but there was a medium effect size for season for the full ($\eta^2 = 0.0756$) and

203 revised ($\eta^2 = 0.0826$) sites in the re-analysis of local diversity. A medium effect size for season
204 was observed for the full sites ($\eta^2 = 0.0999$) and a small effect size was observed for the revised
205 sites ($\eta^2 = 0.0599$) for the spatial dissimilarity analyses. Similar effect sizes for order for the
206 local diversity analyses were observed for the Swan and Brown (2017, 2018) analyses ($\eta^2 =$
207 0.1255) and the full sites ($\eta^2 = 0.1143$) analysis, but the revised sites analysis had a negligible
208 effect size for order ($\eta^2 = 0.0006$). Noticeably, equivalent and small effect sizes of reach were
209 observed for the local diversity analyses across in the re-analysis but not in the original study
210 (Swan and Brown 2017, 2018; Table 4). In contrast to local diversity and spatial dissimilarity,
211 Swan and Brown (2017, 2018) frequently underestimated effect sizes for the temporal variability
212 analyses (Table 3). A medium effect size for order ($\eta^2 = 0.1203$) and large effect sizes for reach
213 and the order-by-reach interaction were observed in the revised sites analysis (reach $\eta^2 = 0.2779$,
214 order-by-reach $\eta^2 = 0.4026$), with the largest effect size from all analyses and community
215 diversity metrics derived from the order-by-reach interaction in the revised sites analysis ($\eta^2 =$
216 0.4026). Although this effect was not statistically significant ($p = 0.066$), it suggests that channel
217 manipulation treatments could have an effect that is dependent on network position, but the
218 statistical power was insufficient.

219 Differences in significant main effects or interactions within the full and revised sites in
220 the re-analysis did not seem to be the result of increased variation in the revised sites. In fact,
221 variance, as measured by 95% confidence intervals, was either similar or even reduced for each
222 of local diversity, spatial dissimilarity, and temporal variability for the revised sites compared to
223 the full sites (Figures 1-4). In general, local diversity more frequently increased in headwaters
224 relative to mainstems (Figure 5), and this trend was consistent for both the full (Figure 5A and
225 5B) and revised (Figure 5C and 5D) sites. Taken together, it is unlikely that that revised sites

226 analysis was unable to detect effects due to increased variation and more likely due to reduced
227 statistical power associated with a smaller sample size or the true lack of an effect of channel
228 manipulations on different facets of biodiversity in this system.

229 *Effectiveness of Local Restoration*

230 I hypothesized that channel manipulations would have stronger effects in headwaters
231 relative to mainstems. As there were no significant effects of channel manipulations on any of
232 the community metrics between headwaters and mainstems, this hypothesis could be invalid or,
233 at a minimum, revised and re-tested in channel manipulations experiments. I was unable to
234 directly test this hypothesis because I was re-analyzing data from a previous study and the
235 experimental design precluded any test to isolate the effects; however, the hypothesis was
236 intended to guide criteria for site selection and reduce variation in restoration treatments among
237 sites and not to necessarily or strictly compare the effects channel manipulations and riparian
238 reforestation treatments on biodiversity in restored streams. Despite these limitations, there is
239 some evidence of a large effect in the revised sites on temporal variation in community
240 composition, with this effect dependent on network position. The re-analysis was lacking
241 sufficient statistical power to combine statistical significance with ecological relevance,
242 potentially due to inconsistencies in applied restoration treatments. Only the mainstem stream
243 group received all channel manipulation and riparian reforestation treatments, while the riparian
244 reforestation treatment was applied to half headwater stream group (2/4 streams; Table 1). As
245 headwater streams are strongly linked to allochthonous inputs and leaf litter subsidies from the
246 riparian forest for community structure (Wallace et al. 1997), the lack of riparian reforestation to
247 all streams in the headwater group could have reduced any observable recovery of biodiversity.
248 More consistent application of all restoration treatments across both headwater and mainstem

249 streams could provide the conditions for more effective restoration and recovery of stream
250 ecosystems, measured by local diversity, spatial dissimilarity, and temporal variation. Based on
251 the evidence from this re-analysis, further evaluation of this interactive effect of restoration and
252 network position on temporal variability could be an efficacious avenue for bridging
253 metacommunity ecology and restoration efforts in rivers and streams and increasing positive
254 biodiversity outcomes.

255 Regarding evidence for stream-channel manipulations and other treatments for effective
256 restoration, previous research suggests local habitat manipulations are ineffective for structuring
257 communities and increasing biodiversity (Palmer et al. 2010). An emerging hypothesis is that
258 local factors, such as habitat complexity and water quality, are overwhelmed by regional factors,
259 such as dispersal and position within the larger network (Heino 2013, Tonkin et al. 2014). Given
260 channel manipulations did not have a statistically-significant effect on any diversity measure of
261 communities in either headwaters or mainstems and that the majority of effect sizes were small-
262 to-medium (Table 3), this could suggest either channel manipulations were either wholly
263 inadequate for both headwaters and mainstems or that the larger network and regional species
264 pool were already degraded (Sundermann et al. 2011), overwhelming any mitigating effects of
265 channel manipulations.

266 *Restoration Ecology & Experimental Design*

267 Restoration of the streams was done in isolation of the study design and prior to data
268 collection, resulting in variation in the types of treatments applied to the streams (Swan and
269 Brown 2017). Although Swan and Brown (2017) noted this limitation of their study, they did not
270 acknowledge they could have better controlled for this variation by setting strict criteria for site
271 selection and inclusion, which informed my hypothesis and was the foundation for my re-

272 analysis. This concern was briefly acknowledged in the erratum (Swan and Brown 2018), where
273 the data quality control process removed sites if they only received riparian reforestation
274 treatments without at least one of either the bank stabilization or in-channel manipulation
275 treatments; however, Swan and Brown (2017, 2018) proceeded to analyze data from sites
276 receiving any resulting combination of restoration treatments, despite suggesting that in-stream
277 modification treatments would have stronger effects on communities relative to riparian
278 reforestation (Swan and Brown 2018). Setting a more stringent criterion for site inclusion, as was
279 done in this re-analysis of the revised sites, would have reduced the variation in the applied
280 restoration treatments and provided a more balanced experimental design.

281 The inconsistent application of restoration treatments prohibited a robust evaluation that
282 could have been possible with a factorial experiment; therefore, the singular and interactive
283 effects of the restoration treatments in the study system remain untested. This further complicates
284 the indiscriminate usage of “restoration” by Swan and Brown (2017, 2018) as the underlying
285 mechanism of restoration on the stream invertebrate communities remains an unknown quantity.
286 Identifying how individual and combinations of restoration treatments affect stream communities
287 would provide valuable insight for maximizing the effectiveness of restoration efforts. In the
288 absence of this knowledge, reducing the variation in which restoration treatments were applied to
289 the streams, as done with revised sites analysis, arguably would have been a better avenue.
290 Additionally, restoration treatments were not applied to all sites at the same time, which could
291 allow for further confounding variation among sites. Previous research has demonstrated mixed
292 results of the effects of time since restoration on biodiversity responses (Miller et al. 2010,
293 Orzetti et al. 2010, Louhi et al. 2011), but it is hypothesized that more time allows for increased
294 colonization of restored habitats and for restoration treatments (e.g. riparian reforestation) to

295 have an impact on the system (Lake et al. 2007, Palmer et al. 2014). Knowledge of the effects of
296 restoration treatments, both in isolation and in combination, and incorporating time since
297 restoration in evaluations of community responses to restoration would likely improve future
298 studies and experiments.

299 *Statistical Inconsistencies*

300 Channel manipulations were not found to have a significant effect on local diversity,
301 spatial dissimilarity, or temporal variability of stream invertebrate communities between paired
302 restored and unrestored reaches in headwaters and mainstems. These results presented here, not
303 exact values of test statistics but in terms of interpretation, contradict the results presented in the
304 original paper (Swan and Brown 2017) and in the erratum (Swan and Brown 2018; Table 3).
305 This is concerning, as any data management and analytical errors in the original paper were
306 supposedly resolved in the erratum (Swan and Brown 2018); however, the discrepancies can be
307 partially explained by the erroneous reporting and implementation of statistical analyses. First,
308 and as was noted above, Swan and Brown (2017, 2018) analyzed an unbalanced design with
309 unequal variance using an ANOVA with Type I sums of squares, when transformations to
310 response variables were necessary to better meet test assumptions and Type III sums of squares
311 were more appropriate for investigating the main and interactive effects (Shaw and Mitchell-
312 Olds 1993, Quinn and Keough 2002). Second, the fitting of the random effects in the ANOVAs
313 was incorrect. Swan and Brown (2018) reported fitting stream identity as a random effect. With
314 the R code provided with the erratum (Swan and Brown 2018: Supporting Information), each
315 site-by-reach combination was fitted as a random effect, despite adjacent and restored reaches
316 being separated by < 10 m (Swan and Brown 2017). Fitting stream identity alone would have
317 been a more appropriate fitting of a random effect that reflected the non-independence of the

318 reaches at such small spatial scales, and, importantly, an accurate implementation of the written
319 methods. Additionally, with the evidence available, only the local diversity analysis had a
320 random effect fitted with the model, despite a random effect being applicable to all analyses
321 based on the experimental design. Third, removal of the restored site without a paired
322 observation is necessary for the fundamental goal of the study: comparing community diversity
323 between paired restored and adjacent reaches across headwater and mainstem streams. Without
324 removing the site, comparisons would be made to an unpaired reach, violating the experimental
325 design and central goal of the study.

326 Finally, there was disagreement between the reported analytical procedure and what was
327 actually conducted when analyzing temporal variability. Temporal variability was reportedly
328 quantified as the mean distance to the group centroid (Anderson et al. 2006) after applying a
329 Bray-Curtis dissimilarity index on an untransformed abundance matrix (Swan and Brown 2017).
330 Results presented in the erratum were actually derived from the spatial median after a Jaccard
331 index was applied to a presence-absence matrix (Swan and Brown 2018: Supporting
332 Information); in the initial study, Swan and Brown (2017) stated that a Jaccard index applied to a
333 presence-absence matrix would produce stronger results than a Bray-Curtis index applied to an
334 abundance matrix. No random effect of stream identity was fitted for this ANOVA, although it
335 would have been appropriate given the study design (Quinn and Keough 2002). Most
336 importantly, none of the changes to the analytical procedure were reported in the erratum, and
337 these alterations were only found upon evaluation of the provided R code (Swan and Brown
338 2018: Supporting Information). Without consulting the supporting information or if no R code
339 was provided, it would have been assumed the results presented in the erratum (Swan and Brown

340 2018) were derived from the analytical procedure described in the original study (Swan and
341 Brown 2017), just with the corrected dataset; this assumption would have been incorrect.

342 *Ecological Implications & Prospective Suggestions*

343 Based on my re-analysis, I have concluded that, given channel manipulations had no
344 effect on any community diversity metric, local restoration of streams can be ineffective if (1)
345 both dispersal and habitat quality are structuring biodiversity (Heino et al. 2015, Smith et al.
346 2015, Downes et al. 2017) and (2) the larger regional community is already degraded
347 (Sundermann et al. 2011). Future research projects should experimentally- and factorially-
348 manipulate different environmental factors to evaluate the relative impact and effectiveness of
349 restoration techniques, which could allow for the identification of how in-stream and riparian
350 reforestation treatments affect stream biodiversity. More broadly, restoration of individual
351 reaches of rivers and streams might be insufficient to reach objectives of restoration projects
352 (Bernhardt and Palmer 2011, Sundermann et al. 2011). Streams and rivers will likely have better
353 restoration success if a regional approach is taken (Bernhardt and Palmer 2011), whereby
354 heterogeneity among rivers and streams in ecological, geographic, and spatial context is
355 incorporated (Palmer et al. 2010, 2014, Booth et al. 2016). In this respect, I agree with Swan and
356 Brown (2017, 2018), along with previous research, that local manipulations are insufficient, and
357 a regional perspective is needed for more effective restoration in rivers and streams.

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361 **Data Accessibility**

362 Data and R code are deposited in the figshare repository ([10.6084/m9.figshare.6448010](https://doi.org/10.6084/m9.figshare.6448010)).

363 **Conflict of Interest Disclosure**

364 I am the sole author of this preprint and declare that I have no financial conflict of interest with
365 the content of this article.

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452 **Tables**

453 Table 1: Schematic of restoration treatments applied to each stream. Shaded cells represent the
 454 restoration treatments that were applied to each stream, with full sites in purple and revised sites
 455 in yellow. Sites excluded in the revised sites analysis are denoted with N/A.

Stream	Full Sites			Revised Sites		
	Bank Stabilization	In-Channel Manipulation	Riparian Reforestation	Bank Stabilization	In-Channel Manipulation	Riparian Reforestation
Headwaters						
24				N/A	N/A	N/A
191						
227						
265						
SR						
Mainstems						
18						
19						
21				N/A	N/A	N/A
179				N/A	N/A	N/A
196				N/A	N/A	N/A
222						
289						
MB						

456

457 Table 2: ANOVA results for taxonomic richness, taxonomic diversity, spatial dissimilarity, and
 458 temporal variability. Models were fitted using restricted maximum likelihood and with Type III
 459 sums of squares for estimating main and interactive effects of factors.

Source of Variation	Full Sites				Revised Sites			
	numDF	denDF	F	P	numDF	denDF	F	P
Taxonomic Richness								
Season	3	73	1.991	0.123	3	47	1.411	0.251
Order	1	11	1.419	0.259	1	7	0.004	0.951
Reach	1	73	0.730	0.396	1	47	0.398	0.531
Season x Order	3	73	1.641	0.187	3	47	0.553	0.649
Season x Reach	3	73	0.045	0.987	3	47	0.143	0.934
Order x Reach	1	73	0.308	0.581	1	47	1.375	0.247
Season x Order x Reach	3	73	0.083	0.969	3	47	0.149	0.930
Taxonomic Diversity								
Season	3	73	12.267	< 0.001	3	47	10.999	< 0.001
Order	1	11	2.073	0.178	1	7	0.253	0.630
Reach	1	73	0.088	0.768	1	47	0.085	0.772
Season x Order	3	73	2.477	0.068	3	47	1.272	0.295
Season x Reach	3	73	0.323	0.809	3	47	0.284	0.837
Order x Reach	1	73	0.139	0.710	1	47	0.917	0.343
Season x Order x Reach	3	73	0.313	0.816	3	47	0.149	0.930
Spatial Dissimilarity								
Season	3	31	1.146	0.346	3	20	0.425	0.737
Order	1	11	0.062	0.808	1	7	0.007	0.934
Season x Order	3	31	1.356	0.275	3	20	0.306	0.821
Temporal Variability								
Order	1	11	0.030	0.866	1	7	0.957	0.361
Reach	1	11	1.906	0.195	1	7	2.693	0.145
Order x Reach	1	11	2.054	0.180	1	7	4.718	0.066

460

461 Table 3: ANOVA results for the full and reduced models of taxonomic richness proposed by
462 Swan and Brown (2017, 2018). Models were fitted using restricted maximum likelihood and
463 with Type III sums of squares for estimating main and interactive effects of factors. The unpaired
464 sample was not removed from the data prior to fitting the full and reduced model ANOVAs.

Source of Variation	Full Model				Reduced Model			
	numDF	denDF	F	P	numDF	denDF	F	P
Season	3	74	2.478	0.068	3	80	4.360	0.007
Order	1	11	0.925	0.357	1	11	1.579	0.235
Reach	1	74	1.409	0.239	1	80	4.844	0.031
Season x Order	3	74	2.359	0.078	3	80	4.105	0.009
Season x Reach	3	74	0.152	0.928	N/A	N/A	N/A	N/A
Order x Reach	1	74	0.979	0.326	1	80	3.530	0.064
Season x Order x Reach	3	74	0.071	0.976	N/A	N/A	N/A	N/A

465 Note: N/A indicates a factor or interaction that was removed in the reduced model.

Table 4: Comparison of ANOVA results and effect sizes (η^2) between the initial study (Swan and Brown 2017), the erratum (Swan and Brown 2018), and if results are consistent upon re-analysis in the full (Full) or revised (Revised) sites analyses. Support is denoted as: Yes = consistent with both the initial study and the erratum; No = inconsistent with both the initial study and erratum; NC = no comparisons can be made as the factor or interaction is missing from the previous analysis. Spatial dissimilarity was not re-analyzed in the erratum, so results are only provided for the initial study. Bold values in the Supported column indicate differences in statistical significance between the initial study and/or erratum and the re-analysis.

Source of Variation	Swan and Brown 2017		Swan and Brown 2018		Full Sites		Revised Sites		Supported	
	<i>P</i>	η^2	<i>P</i>	η^2	<i>P</i>	η^2	<i>P</i>	η^2	Full	Revised
Local Diversity										
Season	NULL	NULL	< 0.001	0.1405	0.123	0.0756	0.251	0.0826	No	No
Order	NULL	NULL	0.18	0.1255	0.259	0.1143	0.951	0.0006	Yes	Yes
Reach	NULL	NULL	0.22	0.0571	0.396	0.0099	0.531	0.0084	Yes	Yes
Season x Order	NULL	NULL	0.086	0.1334	0.187	0.0632	0.649	0.0341	No	No
Season x Reach	NULL	NULL	N/A	N/A	0.987	0.0018	0.934	0.0091	NC	NC
Order x Reach	NULL	NULL	0.064	0.0423	0.581	0.0042	0.247	0.0284	Yes	Yes
Season x Order x Reach	NULL	NULL	N/A	N/A	0.969	0.0034	0.930	0.0094	NC	NC
Spatial Dissimilarity										
Season	0.015	0.1817	N/A	N/A	0.346	0.0999	0.361	0.0599	No	No
Order	0.022	0.0972	N/A	N/A	0.808	0.0056	0.145	0.0011	No	No
Season x Order	0.220	0.0796	N/A	N/A	0.275	0.1160	0.066	0.0439	Yes	Yes
Temporal Variability										
Order	NULL	NULL	0.36	0.0385	0.866	0.0027	0.361	0.1203	Yes	Yes
Reach	NULL	NULL	0.095	0.1214	0.195	0.1477	0.145	0.2779	Yes	Yes
Order x Reach	NULL	NULL	0.020	0.2234	0.180	0.1573	0.066	0.4026	No	No

Note: N/A indicates a factor or interaction that was removed in the reduced model or not analyzed by Swan and Brown (2018). NULL indicates a deprecated *p*-value and an effect size that was not calculated as the model was corrected by Swan and Brown (2018).

Figures

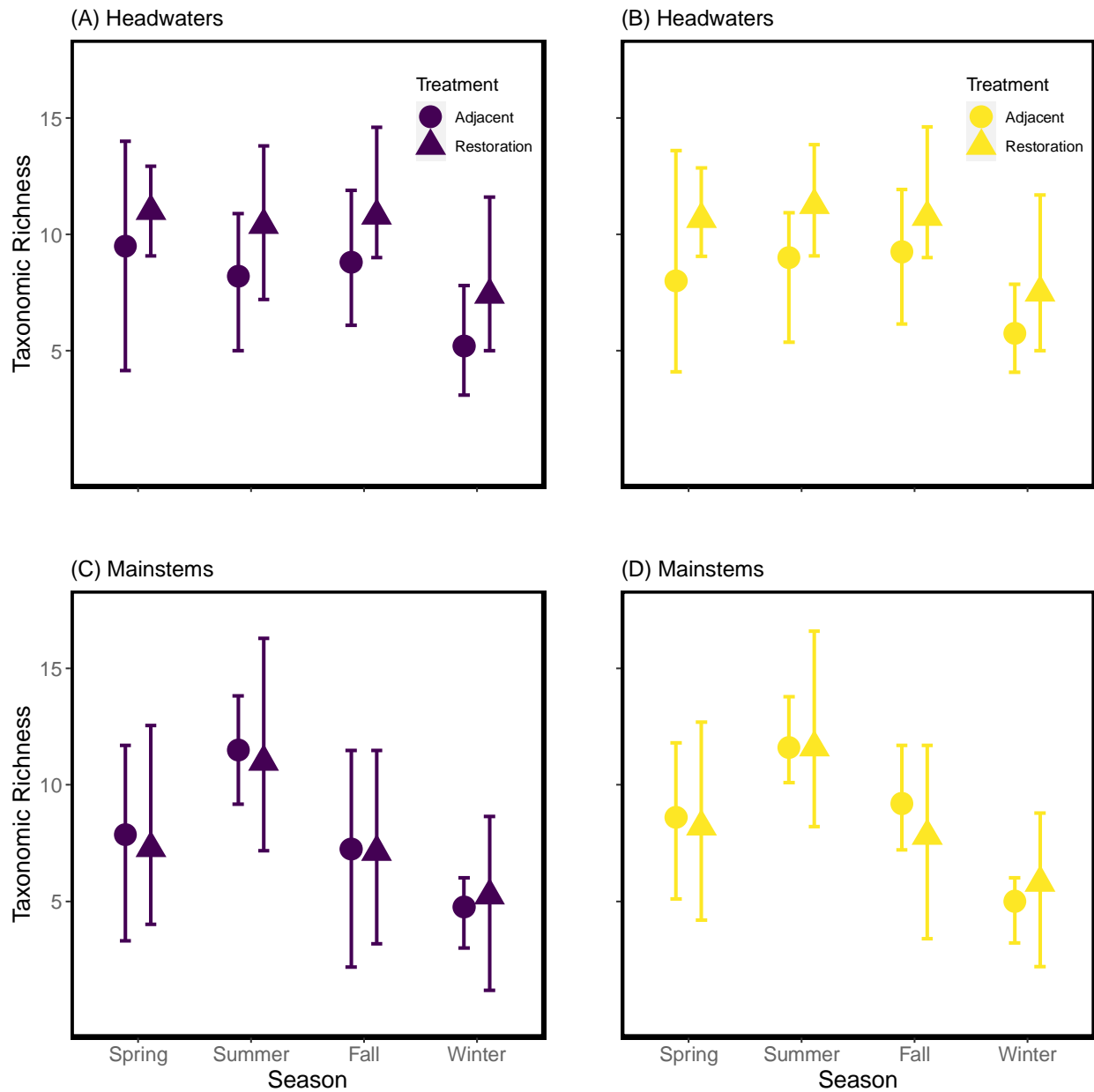


Figure 1: Plots of taxonomic richness in headwaters (A and B) and mainstems (C and D).

Taxonomic richness is reported for restored (triangles) and adjacent (circles) sites; values from the full sites are reported in purple (A and C), while those from the revised sites are reported in yellow (B and D). Points represent mean \pm 95% CI.

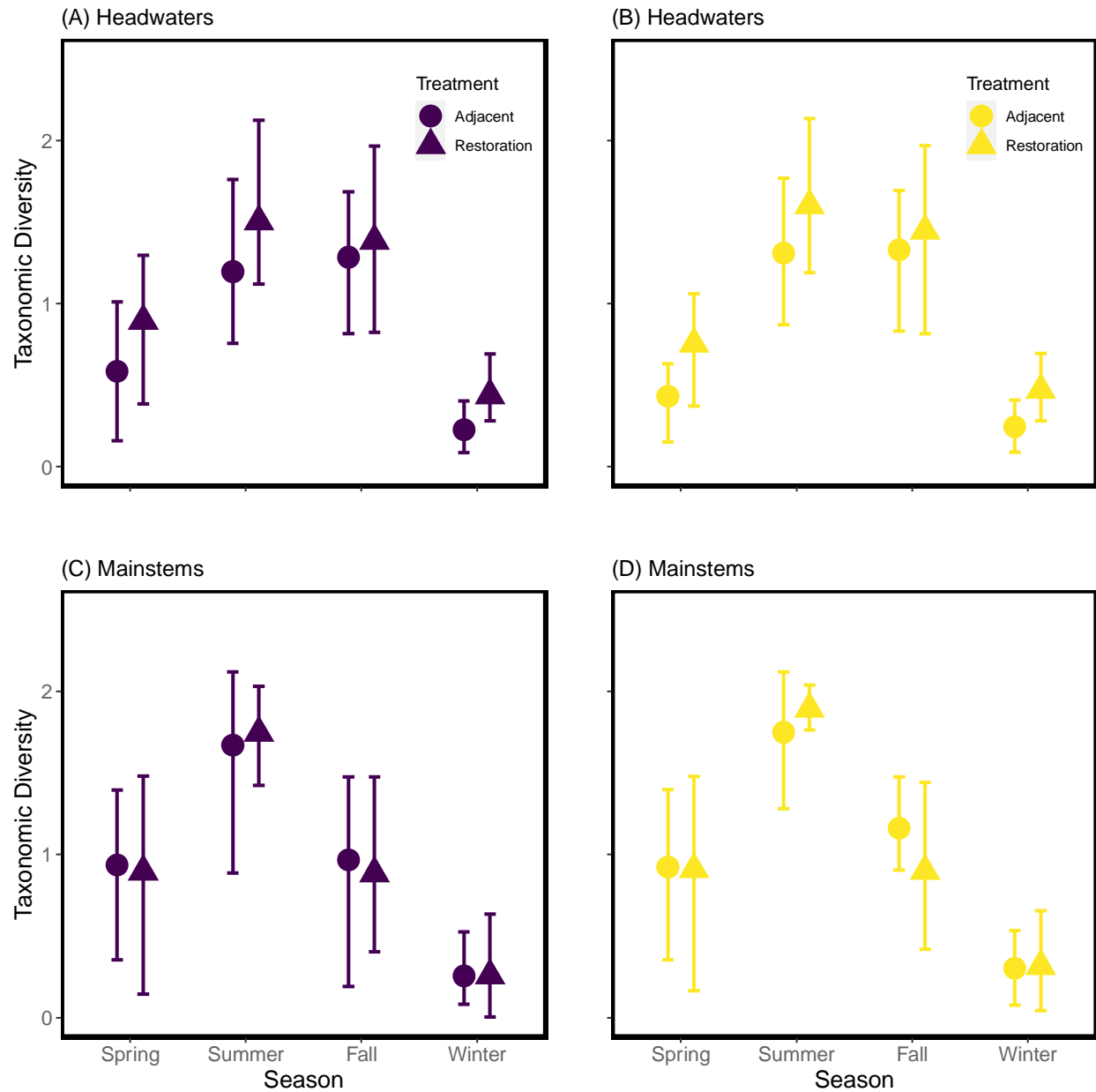


Figure 2: Plots of taxonomic diversity, calculated as Shannon's diversity, in headwaters (A and B) and mainstems (C and D). Taxonomic diversity is reported for restored (triangles) and adjacent (circles) sites; values from the full sites are reported in purple (A and C), while those from the revised sites are reported in yellow (B and D). Points represent mean \pm 95% CI.

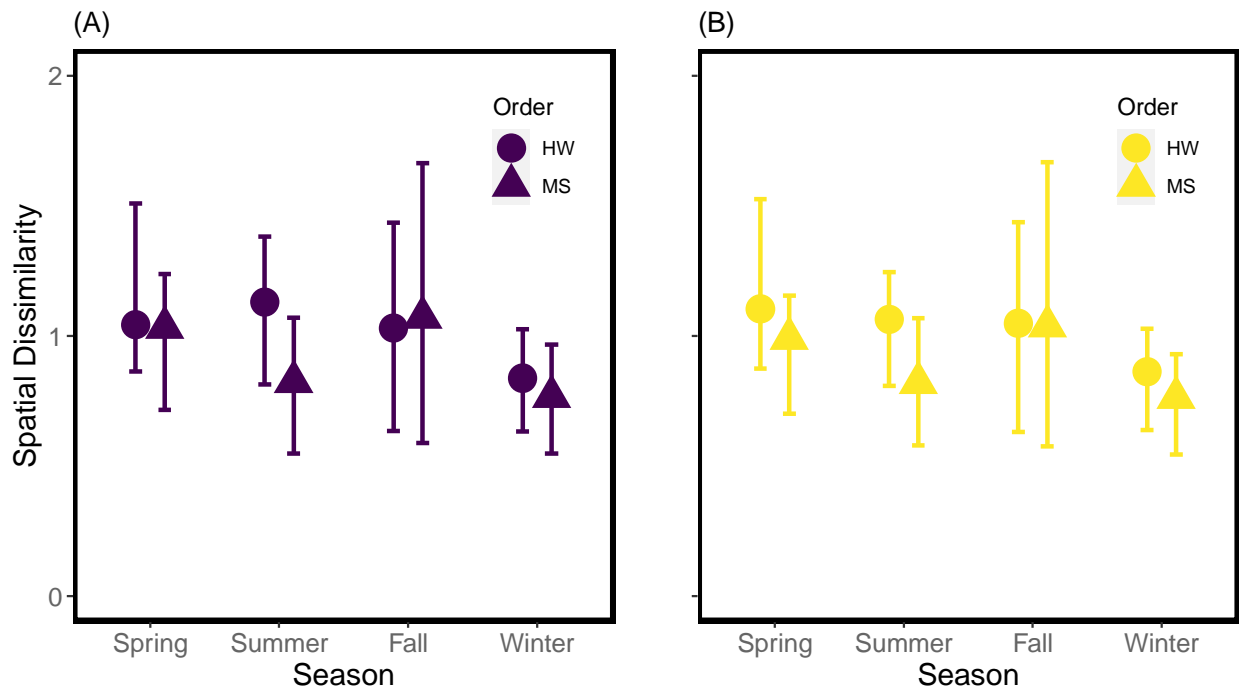


Figure 3: Plots of spatial dissimilarity between paired restored and adjacent reaches. Estimates are reported for headwaters (HW, circles) and mainstems (MS, triangles); values from the full sites are reported in purple (A), while those from the revised sites are reported in yellow (B).

Points represent mean \pm 95% CI.

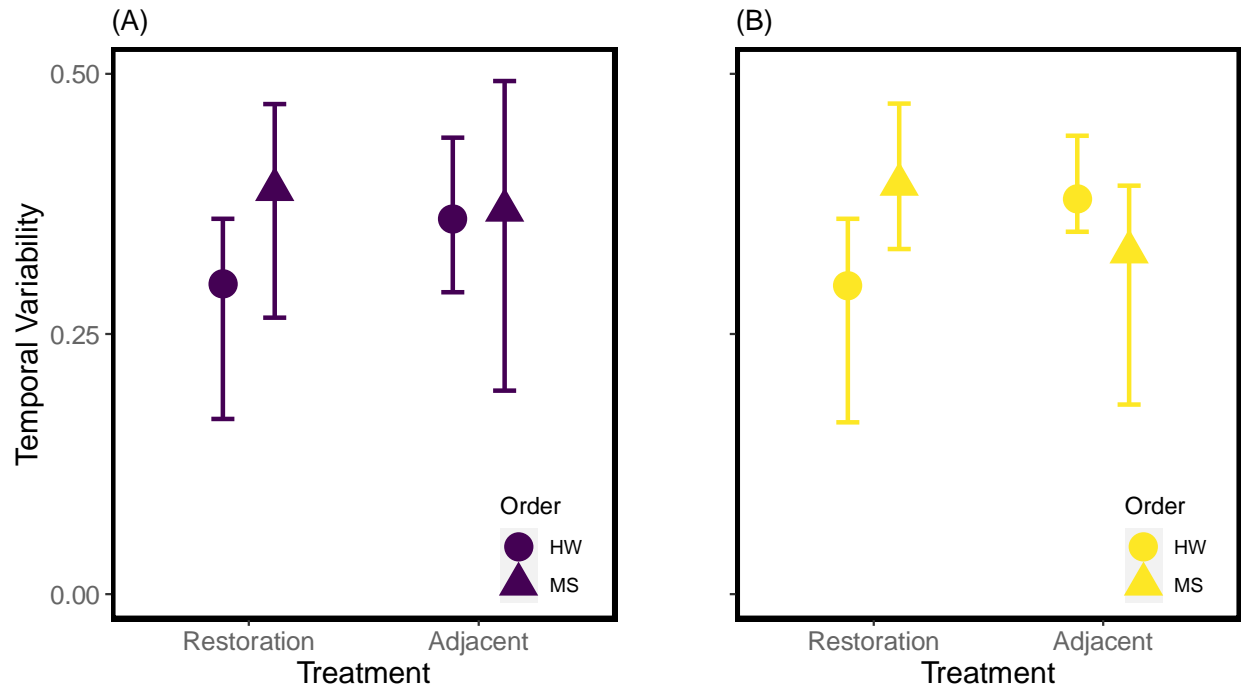


Figure 4: Plots of temporal variability. Estimates are reported for headwaters (HW, circles) and mainstems (MS, triangles); values from the full sites are reported in purple (A), while those from the revised sites are reported in yellow (B). Points represent mean \pm 95% CI.

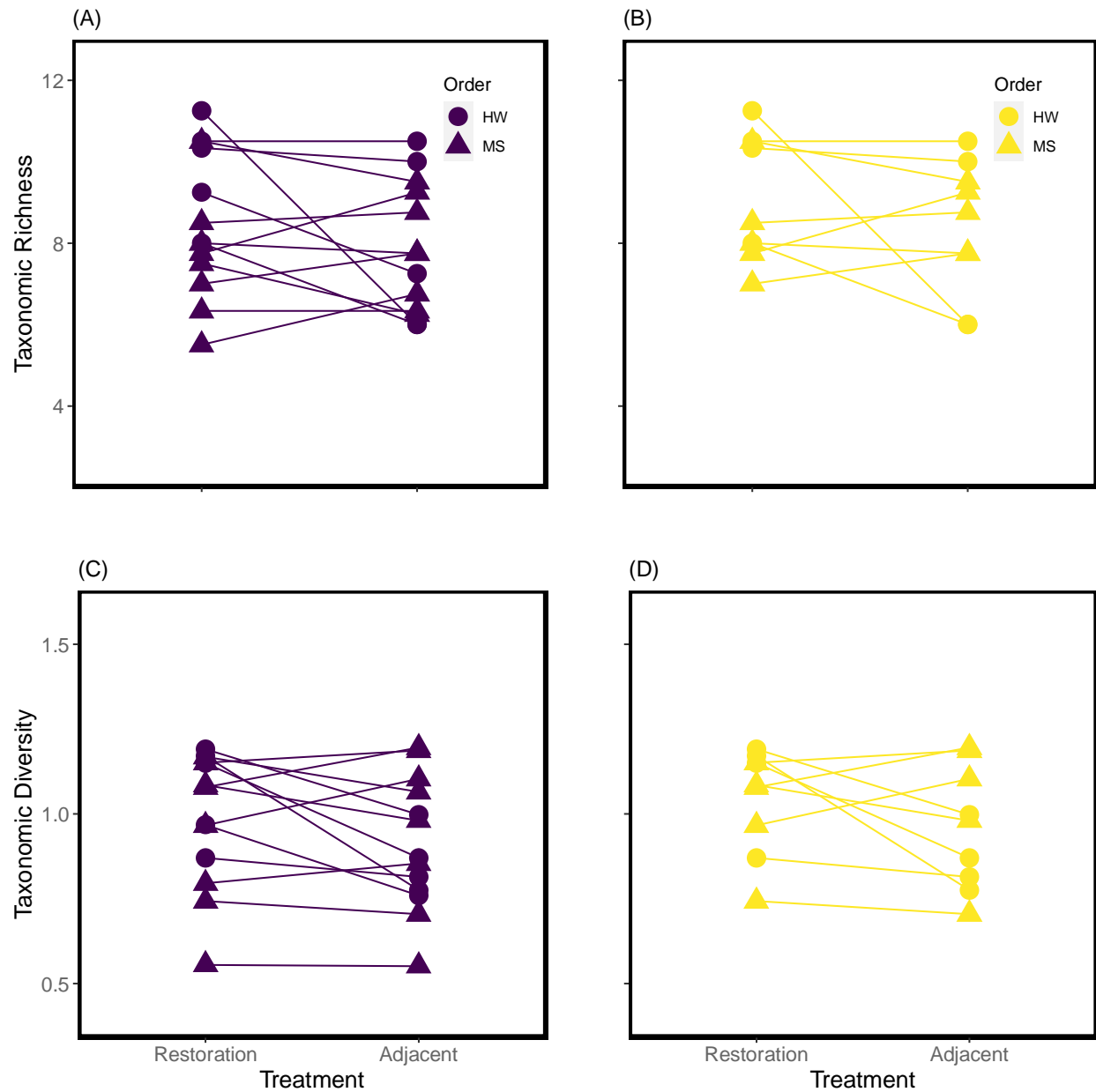


Figure 5: Plots of taxonomic richness (A and B) and diversity (C and D) for restored and adjacent reaches of each site. Lines connect values for each site. Values from the full sites are reported in purple (A and C), while values from the revised sites are reported in yellow (B and D). Points represent the mean taxonomic richness or diversity. Error bars are not presented to improve graphical clarity.