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4	Butterfly abundance declines over 20 years of systematic monitoring in Ohio, USA
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6	Tyson Wepprich <sup>1*</sup> , Jeffrey R. Adrion <sup>2</sup> , Leslie Ries <sup>3</sup> , Jerome Wiedmann <sup>4</sup> , Nick M. Haddad <sup>5</sup>
7	1. Department of Botany and Plant Pathology, Oregon State University, Corvallis, Oregon,
8	United States of America
9	2. Institute of Ecology and Evolution, University of Oregon, Eugene, Oregon, United States
10	of America
11	3. Department of Biology, Georgetown University, Washington, D.C., United States of
12	America
13	4. The Ohio Lepidopterists, Columbus, Ohio, United States of America
14	5. Department of Integrative Biology and W.K. Kellogg Biological Station, Michigan State
15	University, Hickory Corners, Michigan, United States of America
16	
17	* Corresponding author
18	Email: tyson.wepprich@oregonstate.edu (TW)

# 20 Abstract

21 Severe insect declines make headlines, but they are rarely based on systematic monitoring outside of Europe. We estimate the rate of change in total butterfly abundance and 22 23 the population trends for 81 species using 21 years of systematic monitoring in Ohio, USA. Total abundance is declining at 2% per year, resulting in a cumulative 33% reduction in butterfly 24 abundance. Three times as many species have negative population trends compared to positive 25 26 trends. The rate of total decline and the proportion of species in decline mirror those documented 27 in three comparable long-term European monitoring programs. Multiple environmental changes 28 such as climate change, habitat degradation, and agricultural practices may contribute to these declines in Ohio and shift the makeup of the butterfly community by benefiting some species 29 over others. Our analysis of life-history traits associated with population trends shows an impact 30 31 of climate change, as species with northern distributions and fewer annual generations declined 32 more rapidly. However, even common and invasive species associated with human-dominated 33 landscapes are declining, suggesting widespread environmental causes for these trends. Declines 34 in common species, although they may not be close to extinction, will have an outsized impact on the ecosystem services provided by insects. These results from the most extensive, systematic 35 insect monitoring program in North America demonstrate an ongoing defaunation in butterflies 36 37 that on an annual scale might be imperceptible, but cumulatively has reduced butterfly numbers by a third over 20 years. 38

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# 40 Introduction

41 Defaunation, or the drastic loss of animal species and declines in abundance, threatens to
42 destabilize ecosystem functioning globally (1). In comparison to studies of vertebrate

43	populations, monitoring of changes in insect diversity is more difficult and far less prevalent
44	(2,3). Despite this, a global analysis of long-term population trends across 452 species estimated
45	that insect abundance had declined 45% over 40 years (1). Recently, more extreme declines in
46	insect biomass have been observed upon resampling after 2-4 decades (4,5). Losses of total
47	biomass or total abundance across all species may be more consequential than local declines in
48	species diversity, as common insect species contribute the most to ecosystem services, such as
49	pollination (6). However, our knowledge of insect declines is skewed towards European
50	monitoring programs, including in global analyses (1). In this study, we analyze long-term,
51	region-wide trends in abundance across a diversity of species for an entire insect group in North
52	America to examine the scope of insect defaunation.
53	The best source of data to assess insect defaunation comes from large-scale, systematic
54	monitoring programs of multiple species (3). Through these efforts, trained volunteers or citizen
55	scientists have contributed much of the evidence for biotic responses to anthropogenic climate
56	warming such as changes in insect phenology and range distributions (7,8). Unlike citizen
57	science reporting of opportunistic observations or species checklists, many insect monitoring
58	programs use a systematic protocol developed specifically to track butterfly abundances through
59	time, both within and between seasons, and over large spatial scales (9). Pollard-based
60	monitoring programs, modeled after the first nationwide Butterfly Monitoring Scheme launched
61	in the United Kingdom in 1977 (UKBMS), use weekly standardized counts on fixed transects
62	(10). Their widespread adoption enables regional comparisons of insect responses to
63	environmental change or defaunation (11,12). We compare our analysis with exemplary long-
64	term monitoring schemes from Europe to test if the rate of insect declines generalizes across
65	continents.

66 The best source of abundance data for assessment of chronic insect decline, and the most prominent source of data in (1), is within the butterflies. Due to the relative ease and popularity 67 of monitoring butterflies, environmental assessments use them as an indicator taxa for the 68 69 general trajectory of biodiversity, assuming that they experience comparable pressures from land-use change, climate change, and habitat degradation as other insect taxa (13–15). Intensive 70 long-term monitoring of individual butterfly species has provided rigorous, quantitative 71 72 estimates of declines. Most prominently, the Eastern North American Monarch has declined by over 85% (16) and the Western North American Monarch by over 95% (17) over the past two 73 74 decades. Severe declines have also been observed in some of the rarest butterflies (18,19). These data from individual species of conservation concern may not represent a broader trend across 75 butterflies, which is what we aim to document in this study. 76 Volunteers, organized and trained by The Ohio Lepidopterists, have assembled the most 77 extensive dataset of systematic butterfly counts that stands alone in North America in terms of 78

the spatial extent and sampling frequency of Pollard walks (9). Three other monitoring programs

80 in the United States have documented long-term, multi-species population trends. In

81 Massachusetts, based on species lists from field trips, climate-driven community shifts explain

82 how the relative likelihood of species observations change over 18 years (20). Shapiro and

colleagues have made biweekly presence/absence observations and Pollard-based counts on 11

84 fixed transects along an elevational gradient in California over more than 45 years to document

species richness changes in response to climate and land-use, increasing abundance at a high

86 elevation site, and impacts of agricultural practices on abundance at low elevation sites (21,22).

87 Several teams have monitored declines in specialist butterflies restricted to native prairie patches

in the Midwestern states with transect or timed survey methods over 26 years (23,24). The

89	growing number of Pollard-based monitoring programs in the United States (9) has the potential
90	to track how widespread and consistent butterfly trends are across regions.

Here, we used 21 years of weekly butterfly surveys across 104 sites to assess abundance trends for butterflies in Ohio. We estimate population trends for 81 species and test for their association with life-history traits and phylogenetic relatedness. We review findings from European butterfly monitoring schemes for quantitative comparison with the rate of abundance changes in Ohio. This analysis provides evidence of widespread insect defaunation and species' declines from the most extensive, systematic monitoring program in North America.

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# 98 Materials and methods

### 99 *Study sites*

We studied butterfly population trends across the state of Ohio in the Midwestern USA. 100 Over its 116,100 km<sup>2</sup> land area, Ohio has a mosaic of habitat types due to its partially glaciated 101 102 history and its place at the confluence of Midwestern prairies, the Appalachian Mountains, and 103 the boreal forest (25). Only remnants of wetland and prairie habitat remain in the state due to human modification of the landscape. Some rare butterflies have declined due to forest 104 succession following suppression of disturbances (26). Agriculture and pastures (50%), forest 105 (30%), and urban development (10%) are the predominant land-use/land cover classes (27). 106 Monitoring sites have a Northeast to Southwest gradient in their mean annual 107 temperatures (mean 18.8°C, range from 14.0°C to 23.6°C) from interpolated daily temperatures 108 from Daymet over 1996-2016 (Thornton et al. 1997). Mean annual temperatures at these sites 109 110 grew at a linear trend of 0.3°C per decade and growing season length has increased by 60 degree-111 days (base 5°C) per decade from 1980-2016. Monitoring sites span the state but are concentrated

112	near cities (Fig 1). On average, within a radius of 2 kilometers, monitoring sites have 24%
113	cropland and pasture, 34% forest, and 30% urban land-use based on the National Land Cover
114	Dataset (29). Although not considered in this study, impervious surfaces from urban
115	development influence temperature-dependent butterfly phenology in Ohio through the urban
116	heat island effect, which may not be fully captured in these gridded temperature interpolations
117	(30).
118	
119	Fig 1: Transect locations monitored by volunteers with the Ohio Lepidopterists. Of the 147
120	sites, this analysis used the 104 sites monitored for three or more years.
121	
122	Monitoring surveys
123	Trained volunteers contributed 24,405 butterfly surveys from 1996 to 2016 as part of the
124	Ohio Lepidopterists Long-term Monitoring of Butterflies program. Volunteers surveyed on fixed
125	paths at approximately weekly intervals during the entire growing season from April through
126	October (median 23 of 30 weeks surveyed per year per site) and count every species within an
127	approximate 5-meter buffer around the observer (10). Surveys are constrained to times of good
128	weather to increase the detectability of butterflies and last a mean 85 minutes in duration. The
129	annual number of monitored sites ranged from 13 in 1996 to a maximum of 80 in 2012. We
130	limited our analysis of abundance trends to the 104 sites with three or more years of monitoring
131	data and 10 or more surveys per year at each site (Fig 1). We included observations of all sites
132	with at least 5 surveys per year in phenology models that we used to interpolate missing counts
133	before estimating abundance (31).

All 102 species with population indices estimated by phenology models contributed to the total abundance analysis. We limited species-specific analysis to 81 species with sufficient population indices for estimating trends (present at five or more sites and for 10 or more years). Species naming conventions in the monitoring program follow those used in Opler and Krizek (1984) and Iftner et al. (1992) except for combining all observations of *Celastrina ladon* (Spring Azure) and *Celastrina neglecta* (Summer Azure) as an unresolved species complex.

### 140 *Population indices*

We estimated population indices for each site x year x species by adapting methods 141 142 established for the UKBMS that account for missing surveys and butterfly phenology over the season (31,33). We used generalized additive models for each species to estimate variation in 143 counts in order to interpolate missing surveys with model predictions (31,34). To account for 144 145 seasonal, spatial, and interannual variation in species phenology, we extended the regional generalized additive model approach (12, Supplement 1) by including spatially-explicit site 146 147 locations and converting calendar dates of observations to degree-days (35), which can improve 148 butterfly phenology predictions (36). We calculated the population index by integrating over the 149 weekly counts and missing survey interpolations using the trapezoid method (31).

150 *Controlling for confounding factors* 

We accounted for differences in sampling across sites and years so that our modeled trends would capture changes in abundance rather than changes in detection probability (37). True abundance is confounded with detection probability when using counts from Pollard walks (38). Butterfly monitoring protocols that account for detection probability like distance sampling are commonly used for single-species studies (39), but untenable for scaling up to a regional program. Most analyses of Pollard walks assume no systematic change in detectability (but see 157 (40)) because counts correlate closely with true abundance estimates from distance sampling 158 (41,42). We used two covariates to account for variation in sampling and its influence on 159 population indices for each site x year (20,37,43). We tracked the mean number of species 160 reported in each survey, or list-length, which is a synthetic measure of factors influencing detectability such as weather conditions, site quality, and observer effort (20,44,45). We treated 161 the total duration of surveys in minutes as an offset in the models of population trends. Because 162 we interpolated missing surveys for the population indices, we projected what the total duration 163 would be if all 30 weeks had been surveyed at the mean duration reported for that site x year. 164 165 Sampling across the state is nonrandom because participants choose transect locations, a common practice in volunteer-based monitoring programs. Since sites generally cluster near 166 human population centers with a greater proportion of developed land-use and a lesser 167 168 proportion of agriculture, we assumed that population trends at the 104 sites across the state 169 sufficiently capture the broader statewide trends (37). Comparisons between the UKBMS volunteer-placed transects and a broader survey with stratified, random sampling show 170 171 congruence between species trends estimated from each monitoring strategy (46). **Population Trends** 172 We used generalized linear mixed models to estimate temporal trends in relative 173

abundance for 81 species from their population indices (47). We modeled population indices at
each site and year as an over-dispersed Poisson random variable with covariates on the log-link
scale.

 $log(PopulationIndex) = \beta_0 + \beta_1 \times year + \beta_2 \times listlength + log(duration) + siteID$ + yearID + siteyearID

177 We included the numeric year and mean list length for each population index as 178 covariates, which were centered to aid in model fitting and interpretation (48). We used the 179 coefficient for year ( $\beta_2$ ) as the annual trend in population indices as our main result. We 180 controlled for changes in sampling by using the total duration of surveys as a model offset, converting the dependent variable to a rate of butterflies counted per minute. Random effects of 181 individual sites and years account for spatial and temporal variation in population counts 182 183 deviating from the statewide trend. We accounted for over-dispersion in the Poisson-distributed counts with the random effect *siteyearID* for each unique observation (49). We modeled trends 184 185 in total abundance using the same modeling approach, but summed across 102 species' population indices for each site x year observation. We interpreted trends as an annual rate by 186 taking the geometric mean rate of change between the predicted abundance between two points 187 188 in time after setting the list-length covariate to its mean and excluding the random effects (47). For comparisons with other monitoring programs, we used a *p*-value threshold of 0.05 to classify 189 190 trends as positive, negative, or stable. 191 Our approach is similar to that used by the UKBMS and other European monitoring programs which use generalized linear models in TRIM software (50). One key difference is that 192 our site and annual fluctuations from the temporal trend were derived from random effects rather 193

than fixed effects, which reduces spurious detection of trends (43). Another key difference is that
TRIM does not allow for continuous covariates, which we used to account for sampling variation
instead of assuming no confounding pattern in sampling effort. To validate that our modeling
choices did not unreasonably influence the results, we used three alternative approaches: (1) a
Poisson-based generalized linear model (equation 1 without the random effect *siteyearID*); (2) a
nonlinear generalized additive mixed model with a smoothing spline replacing the linear

temporal trend (43); and (3) a TRIM model with over-dispersion and serial temporal correlation
but no sampling covariates or offsets (50). We compared similarity in the total abundance trends,
the correlation of species' trends between model alternatives, and the classification of species'
trends as positive, stable, or negative.

204 Comparison with other studies

We compare our findings to three European long-term, regional butterfly monitoring 205 206 programs with systematic Pollard walks that publish regular updates on total abundance and 207 species' trends (40,51,52). Although all programs analyzed counts with Poisson regression, we 208 had to standardize them differently depending on the data available and their modeling 209 approaches. The UKBMS reports total abundance indicators as the geometric mean of species trends from two groups: specialist and countryside species (51). We used the reported smoothed 210 211 annual index values for these indicators because the first year of monitoring is an outlier that 212 exaggerates declines (UK Biodiversity Indicators 2018, http://jncc.defra.gov.uk/page-4236). We 213 used the Dutch Butterfly Monitoring Scheme's reported cumulative annual trend in total 214 butterflies counted across all transects after correction for missing surveys (52). For the Catalan 215 Butterfly Monitoring Scheme, we extracted annual population indices from the 2015-2016 annual report (53) with WebPlotDigitizer 4.1 (54) and performed a Poisson regression over time 216 217 with annual random effects to obtain a comparable abundance trend. We converted total abundance trends into annual percent rates for comparison. We tallied the increases and 218 decreases in species' trends for each region reported by the monitoring program, without 219 220 accounting for differences in their statistical approaches.

221 Species' traits

To explore potential mechanisms that might explain species-level variation in abundance trends, we modeled the estimates of species' temporal trends ( $\beta_1$ ) as a response to life history traits (20,30). Of the 81 species, we classified 14 as migratory species and 67 as year-round residents of Ohio. We analyzed traits models both across all species and after excluding migratory species, which would have population trends driven by factors outside of Ohio. We collected traits that relate to insect responses to climate change and habitat change, as these are two primary drivers of butterfly community changes (7,20,21).

229 We tested if butterflies with traits making them more adaptive to a warming climate have 230 more positive population trends. We compared species with different range distributions, 231 assuming that species distributed in warmer, Southern regions would be more likely to increase 232 in Ohio as the climate warms. We assigned species' ranges as Southern, core, or Northern by range maps and county records (25,32). Voltinism, or the number of generations per year, 233 234 increases in warmer years and warmer regions within many species in Ohio (55), compared with 235 obligate univoltine species that do not adjust their lifecycle based on changing growing season length. We assigned voltinism observed in Ohio as univoltine, bivoltine, or multivoltine (3+ 236 generations per year) based on visualization of phenology models and (25). The life stage in 237 238 which species overwinter, obtained from (25), contributes to its ability to respond to warming with shifts in phenology (20,56). 239

We would expect more generalist species, in host plant requirements and habitat preferences, to have more positive population trends in a landscape heavily modified by human use (21,51). For host plant requirements, we gathered two traits from the literature that describe host plant category (forb, graminoid, or woody) and whether the butterfly's host plant requirements span multiple plant families or are limited to one plant family or genus (25). Mean 245 wing size from (32) was used as a surrogate of dispersal ability between habitats, which is 246 expected to increase ability to access resources in a fragmented landscape. Three of the authors 247 assigned species as wetland-dependent or human-disturbance tolerant species, which we 248 aggregated into two binary variables to test if these specialist or generalist habitat preferences 249 correlate with abundance trends. We used univariate linear models for each life history trait both for all 81 species and 250 251 with the 14 migratory species excluded. To account for the phylogenetic relatedness and the non-252 independence across species, we also used phylogenetic generalized least squares models that estimated branch length transformations with Pagel's lambda by maximum likelihood (57). The 253 phylogenetic models excluded three species without gene sequences available. 254 255 *Phylogenetic tree* 256 We obtained coding sequences for the most widely used DNA barcoding locus, the 257 mitochondrial cytochrome c oxidase subunit I gene COI-5P, from GenBank (58). For species not 258 found in GenBank, we obtained coding sequences from The Barcode of Life Data System (59). 259 When possible, we obtained sequences from multiple sampling locations in North America. Owing to the relatively small size of our multiple-species alignment—i.e. a single 260 mtDNA locus, 651 base pairs in length-we decided to take both a constrained and 261 262 unconstrained maximum likelihood approach to estimate the genealogical relationships of our samples. Some of the species from our analysis, though not all, were recently used in a more 263 comprehensive phylogenetic analysis of butterflies (60), thus prompting us to constrain the 264 265 phylogenetic backbone of our tree using family-level relationships. We report details of our workflow in Supplement 1. 266

267 Statistical analysis

268	We used R 3.5.2 for analysis (61) and share the data and our code on Dryad. We fit
269	generalized additive models with the mgcv package (34), generalized linear mixed models with
270	the <i>lme4</i> package (Bates et al. 2015), generalized additive mixed models with the <i>poptrend</i>
271	package (43), and phylogenetic generalized least squares models with the ape and caper
272	packages (63,64). Confidence intervals for the temporal trends were estimated with bootstrapped
273	model fits with the merTools and poptrend packages (43,65). For models of population trends,
274	we estimated the goodness of fit with $R^2$ developed for generalized linear mixed models that give
275	marginal and conditional $R^2$ values for the fixed effects and the fixed + random effects,
276	respectively (66,67). For trait models, we reported the adjusted $R^2$ values from the univariate
277	models.
278	
279	Results
280	The statewide relative abundance summed across all species declined at an annual rate of
281	2.0% ( $\beta_1$ = -0.020, std. err. 0.005, <i>p</i> < 0.001), accumulating a 33% decline over 1996-2016
282	(Table 1, Fig 2). Among population trends, more than three times as many species are declining
283	than increasing in abundance at our threshold of $p < 0.05$ (32 versus 9, respectively) (Table 2,
284	Fig 3 for migratory species and Fig 4 for resident species). Positive and negative species trends
285	are distributed across the phylogenetic tree (Fig A in S1 Appendix).
286	
287	Table 1: Generalized linear mixed model of total abundance across all species. The natural
288	logarithm of the total survey duration across the monitoring season was an offset in the model.
289	The model's marginal $R^2$ was 0.20 for its fixed effects and its conditional $R^2$ was 0.61 when
290	including variation in sites, years, and over-dispersion with random effects parameters.

Fixed effects	В	std.error	z statistic	p.value
Intercept	1.33	0.0506	26.4	< 0.001
Year (numeric)	-0.0203	0.00496	-4.11	< 0.001
List-length	0.104	0.00587	17.7	< 0.001
Random effects	std. dev.	# groups	_	
Random effects Site x year ID	std. dev. 0.278	# groups 1005	_	
		<b>č</b>	_	
Site x year ID	0.278	1005	_	

Table 2: Species' abundance trends over time. Trends are the coefficient of year in our generalized linear mixed models with the accompanying standard error and *p*-value for the coefficient (equation 1). We show the data available for each species' model: total number of butterflies recorded for all years, number of sites, number of years, and the number of population indices calculated for each species for use in abundance model (Site x year). Bold font indicates trends that were classified as increasing or decreasing (p < 0.05).

S	Sample size			GLMM temporal trend				
Common	Latin	Total #	Sites	Years	Site/	Trend	Std.	Р
		counted			year	coef.	error	
Aphrodite Fritillary	Speyeria aphrodite	477	9	16	131	-0.233	0.060	<0.001
Baltimore	Euphydryas phaeton	818	7	17	83	-0.224	0.071	0.002
American Copper	Lycaena phlaeas	10,255	31	21	359	-0.193	0.024	<0.001
Hoary Edge Skipper	Achalarus lyciades	291	7	19	88	-0.178	0.061	0.003
Milbert's Tortoise Shell	Nymphalis milberti	140	8	16	101	-0.174	0.065	0.008
European Skipper	Thymelicus lineola	46,549	57	21	609	-0.173	0.021	<0.001
Southern Cloudywing	Thorybes bathyllus	667	15	20	194	-0.129	0.037	<0.001
Falcate Orangetip	Anthocharis midea	756	8	18	103	-0.123	0.040	0.002
Dreamy Duskywing	Erynnis icelus	879	18	21	260	-0.120	0.024	<0.001
Swarthy Skipper	Nastra lherminier	448	7	17	78	-0.114	0.041	0.006
Tawny Emperor	Asterocampa clyton	937	27	19	308	-0.114	0.036	0.002
Leonard's Skipper	Hesperia leonardus	1,348	11	20	144	-0.110	0.025	<0.001
White M Hairstreak	Parrhasius m-album	95	7	15	110	-0.105	0.081	0.195
Northern Cloudywing	Thorybes pylades	547	16	20	210	-0.095	0.033	0.004
Coral Hairstreak	Satyrium titus	607	15	21	217	-0.094	0.025	<0.001
Juvenal's Duskywing	Erynnis juvenalis	3,838	38	21	487	-0.083	0.020	<0.001
Common Wood Nymph	Cercyonis pegala	21,603	77	21	788	-0.073	0.013	<0.001
Common Sooty Wing	Pholisora catullus	1,142	34	20	398	-0.072	0.015	<0.001
Sleepy Duskywing	Erynnis brizo	811	13	18	156	-0.071	0.032	0.027
Monarch	Danaus plexippus	46,070	104	21	1,005	-0.070	0.023	0.002
Red-spotted Purple	Limenitis arthemis	6,226	87	21	913	-0.064	0.019	<0.001
Bronze Copper	Lycaena hyllus	656	23	21	254	-0.063	0.039	0.103
Northern Broken-Dash	Wallengrenia egeremet	5,959	49	21	528	-0.062	0.018	<0.001
Tawny-edged Skipper	Polites themistocles	2,322	48	21	541	-0.058	0.016	<0.001
West Virginia White	Pieris virginiensis	214	5	16	63	-0.058	0.059	0.329

Fiery Skipper	Hylephila phyleus	3,917	57	19	646	-0.057	0.061	0.351
Meadow Fritillary	Boloria bellona	5,447	55	21	598	-0.056	0.027	0.040
Orange Sulphur	Colias eurytheme	62,160	101	21	996	-0.055	0.021	0.008
Long Dash	Polites mystic	1,317	21	21	219	-0.047	0.020	0.022
American Lady	Vanessa virginiensis	2,029	54	21	637	-0.045	0.033	0.179
Black Swallowtail	Papilio polyxenes	12,410	92	21	941	-0.044	0.015	0.004
Gray Hairstreak	Strymon melinus	2,418	49	19	587	-0.044	0.026	0.089
Painted Lady	Vanessa cardui	5,564	80	21	873	-0.042	0.054	0.440
Great Spangled Fritillary	Speyeria cybele	33,573	90	21	904	-0.041	0.020	0.047
Hobomok Skipper	Poanes hobomok	6,863	51	21	576	-0.040	0.014	0.005
Viceroy	Limenitis archippus	16,079	85	21	896	-0.039	0.016	0.014
Cabbage White	Pieris rapae	304,105	104	21	1,005	-0.038	0.010	<0.001
Hackberry Emperor	Asterocampa celtis	9,992	42	20	467	-0.037	0.010	0.033
Striped Hairstreak	Satyrium liparops	155	14	18	211	-0.028	0.017	0.682
Variegated Fritillary	Euptoieta claudia	956	17	19	204	-0.027	0.052	0.603
Little Wood Satyr	Megisto cymela	76,612	87	21	878	-0.026	0.009	0.005
American Snout Butterfly	Libytheana carinenta	1,007	36	18	418	-0.025	0.050	0.612
Hickory Hairstreak	Satyrium caryaevorum	196	12	20	170	-0.023	0.050	0.656
Mourning Cloak	Nymphalis antiopa	3,214	85	20	905	-0.021	0.018	0.256
Clouded Sulphur	Colias philodice	49,267	102	21	998	-0.014	0.014	0.286
Spicebush Swallowtail	Papilio troilus	25,322	82	21	858	-0.014	0.014	0.324
Dun Skipper	Euphyes vestris	1,684	49	21	585	-0.014	0.012	0.224
Question Mark	Polygonia interrogationis	6,564	88	21	915	-0.012	0.025	0.640
Delaware Skipper	Atrytone logan	1,086	30	21	313	-0.011	0.029	0.697
Horace's Duskywing	Erynnis horatius	2,885	31	21	376	-0.011	0.023	0.633
Eastern Tiger Swallowtail	Papilio glaucus	29,299	101	21	996	-0.010	0.015	0.483
Pearl Crescent	Phyciodes tharos	180,631	104	21	1,005	-0.010	0.014	0.461
Little Yellow	Eurema lisa	1,681	24	18	287	-0.008	0.073	0.917
Eastern Comma	Polygonia comma	6,222	92	21	944	-0.007	0.011	0.561
Giant Swallowtail	Papilio cresphontes	1,109	28	21	322	0.002	0.019	0.912
Banded Hairstreak	Satyrium calanus	1,107	36	21	468	0.004	0.031	0.896
Silver-spotted Skipper	Epargyreus clarus	54,462	102	21	996	0.005	0.012	0.672
Red Admiral	Vanessa atalanta	28,637	97	21	969	0.008	0.044	0.865
Red-banded Hairstreak	Calycopis cecrops	795	7	17	91	0.009	0.057	0.879
Crossline Skipper	Polites origenes	1,087	27	21	347	0.009	0.020	0.636
Sachem	Atalopedes campestris	1,445	19	18	231	0.013	0.058	0.823
Peck's Skipper	Polites peckius	23,702	90	21	905	0.014	0.014	0.306
Northern Eyed Brown	Satyrodes eurydice	1,342	13	21	174	0.016	0.035	0.651
Eastern Tailed Blue	Everes comyntas	56,137	99	21	974	0.016	0.010	0.113
Henry's Elfin	Callophrys henrici	330	7	17	76	0.017	0.055	0.752
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Little Glassy Wing	Pompeius verna	8,658	56	21	632	0.019	0.019	0.307
Silvery Checkerspot	Chlosyne nycteis	2,049	20	19	224	0.039	0.022	0.074
Spring/Summer Azure	Celastrina ladon/neglecta	63,947	103	21	1,002	0.047	0.021	0.022
Common Buckeye	Junonia coenia	15,771	73	19	834	0.050	0.067	0.459
Pipevine Swallowtail	Battus philenor	703	23	18	279	0.053	0.033	0.110
Least Skipper	Ancyloxypha numitor	27,506	84	21	844	0.053	0.015	<0.001
Appalachian Eyed Brown	Satyrodes appalachia	2,118	12	18	118	0.060	0.045	0.181
Zabulon Skipper	Poanes zabulon	10,960	71	21	747	0.061	0.022	0.004
Northern Pearly-Eye	Enodia anthedon	2,785	37	21	434	0.071	0.020	<0.001
Zebra Swallowtail	Eurytides marcellus	1,349	18	18	224	0.075	0.030	0.011
Cloudless Sulphur	Phoebis sennae	1,840	27	19	355	0.088	0.057	0.121
Common Checkered-Skipper	Pyrgus communis	3,089	33	18	357	0.092	0.046	0.046
Wild Indigo Duskywing	Erynnis baptisiae	15,209	51	19	570	0.106	0.020	<0.001
Harvester	Feniseca tarquinius	341	11	20	143	0.122	0.061	0.046
Sleepy Orange	Eurema nicippe	2,028	6	17	63	0.146	0.134	0.276
Gemmed Satyr	Cyllopsis gemma	1,059	6	16	81	0.228	0.052	<0.001

#### Fig 2: The statewide relative abundance of butterflies (all species aggregated) in Ohio

declined by 33% over 1996-2016. Plotted are model predictions for each year based on the
fixed effects of year (solid line) and annual random effects (dots) to show annual variation about
the trend line. Shading shows the 95% confidence interval based on bootstrapped model fits for
the temporal trend.

302

Fig 3: **Statewide trends of 14 migratory species with annual variation.** Plotted are model predictions for each year based on the fixed effects of year (solid line) and annual random effects (dots) to show annual variation about the trend line. Shading shows 95% confidence intervals based on bootstrapped model fits in the *poptrend* package (43) for the temporal trend and for the annual random effects. The first year's estimate is set to a value of 1 as a baseline for relative population changes.

309

Fig 4: **Statewide trends of 67 resident species with annual variation.** Plotted are model predictions for each year based on the fixed effects of year (solid line) and annual random effects (dots) to show annual variation about the trend line. Shading shows 95% confidence intervals based on bootstrapped model fits in the *poptrend* package (43) for the temporal trend and for the annual random effects. The first year's estimate is set to a value of 1 as a baseline for relative population changes.

316

317

Both in the total trend in abundance and in the proportion of species with declines, these results are similar to three European butterfly monitoring schemes (Table 3). Although the

- 320 longer-running programs show larger cumulative declines, the annual rate of change in total
- abundance ranges from -2.0% to -2.6% for Ohio, Catalonia, and the Netherlands. The United
- 322 Kingdom total abundance trends are split between generalist species (-0.8%) and specialist
- 323 species (-2.4%). Across monitoring programs, declining species outnumber increasing species by
- a factor of two to three (Table 3).

Table 3: Comparison of this study's results to European monitoring programs for rates of change in total abundance and classification of species trends as positive or negative. Number of sites represents those reported to contribute to the analysis, but may no longer be active. Number of butterflies counted per year is an approximation based on the most recent years of monitoring

329 described in the references.

						Species' trea	nds	
2			Counted/year	Annualized trend in total			Stable/	
Region (km <sup>2</sup> )	Years	Sites	(x 1000)	abundance (cumulative)	Positive	Negative	not signif.	Reference
United Kingdom				-0.8% (-28%) countryside				
(242,500)	41 (1976-2017)	3,164	1,700	-2.4% (-63%) specialist	11	22	24	(51)
Netherlands								
(42,508)	25 (1992-2017)	600	250	-2.0% (-40%)	11	23	13	(52)
Catalonia, Spain								
(32,108)	22 (1994-2016)	116	122	-2.6% (-44%)	15	46	5	(40,53)
Ohio, USA								
(116,100)	20 (1996-2016)	104	80	-2.0% (-33%)	9	32	40	this study

331 In general, traits associated with species' responses to climate were more important, based on the predictive ability (adjusted  $R^2$ ) of univariate models, than traits associated with 332 habitat and host plant restrictions (Fig 5, Tables A and B in S1 Appendix). Phylogenetic signal 333 334 was included for most traits' models, so we focus on the phylogenetic generalized least squares results. The Monarch (Danaus plexippus) was the only migratory species in decline, although the 335 others had erratic annual fluctuations that make trend estimation difficult (Fig 3). Species with 336 337 more northern geographic ranges were associated with more negative population trends. Univoltine species had more negative population trends than bivoltine or multivoltine species. 338 339 Overwintering stage did not have a strong effect on trend. Species eating forb host plants had negative trends on average, but there was no effect of host plant specialization on population 340 trends. Wing length, wetland habitat preference, or human-disturbed habitat preference were not 341 342 associated with trends.

343

Fig 5: Species' traits are associated with variation in the statewide trends in abundance. We plot each species' trend compared to the six most important traits for the 78 species included in the phylogenetic GLS models with full results in Table A in S1 Appendix. Squares represent the regression coefficients with 95% confidence intervals shown in lines. Dots show trend estimates for each species from Table 1 uncorrected for phylogeny, jittered for visualization.

349

Our choice of modeling approach did not change the overall evidence of defaunation. Generalized linear mixed models with Poisson-distributed errors and generalized additive mixed models estimated declines in total abundance similar in magnitude at -1.83% and -2.13% annual rates, respectively. The annual trend estimate from TRIM, without sampling covariates, was half

the magnitude at -0.96%. Species' trends had high correlations between pairwise comparisons,
but TRIM models estimated notably more positive trends compared to the other three approaches
(Table C in S1 Appendix).

357

# 358 Discussion

We show that the total butterfly abundance has declined by 33% over 20 years in Ohio. 359 This rate is faster than the global abundance trend estimated for Lepidoptera (35% over 40 years) 360 361 and corresponds more closely to the steeper declines (45% over 40 years) estimated for all 362 insects (1). The Ohio butterfly monitoring program, judged by the weekly frequency, 20-year time period, and statewide spatial extent of its surveys, is the most extensive systematic insect 363 survey in North America and comparable to three exemplary European butterfly monitoring 364 365 schemes. The annualized 2% rate of decline in this study aligns closely with trends from European butterfly monitoring, confirming the decline of the most closely monitored group of 366 367 insects in both Europe and North America (Table 3). With less known about other insect taxa, 368 butterflies provide a necessary, if imperfect, surrogate to understand the trajectory and potential 369 mechanisms behind broader insect trends (13). Extensive in both time and space, the decline in butterfly abundance reported here is the best estimate for the current rate of insect defaunation in 370 371 North America.

The proportion of butterfly species with population declines compared to population increases is similar between Ohio (negative trends three times more numerous) and European studies (negative trends 2-3 times more numerous) (Table 3). In other taxa, moths in the United Kingdom show a similar proportion of species declines (68). Long-term monitoring in protected areas, although less extensive in space, shows more positive species trends for moths in Finland

(at 67.7° latitude) and across pollinators in Spain (at 850-1750 m. elevations) (69,70). These
counterexamples show how insect communities may shift at high-latitude or high-elevation sites
with anthropogenic climate warming (21) or may persist in more remote areas. However,
butterfly monitoring in populated areas show a consistency in observed declines (Table 3) that
we argue would generalize to other landscapes dominated by human use.

382 We demonstrate abundance declines in species that are generalist, widespread, and not considered vulnerable to extinction (25,71). Although few may share concern for the most 383 widespread, invasive butterfly in the world's agricultural and urban settings (72), declines in 384 385 *Pieris rapae* could be indicative of persistent environmental stressors that would affect other 386 species as well. Generalist species that exploit human-disturbed habitat with annual rates of 387 decline of more than 5% include Lycaena phlaeas, Thymelicus lineola (non-native), Cercyonis pegala, and Colias eurytheme (Table 2, Fig 4). We would expect negative environmental 388 changes to disproportionately affect rare species prone to the demographic dangers of small 389 390 populations or specialist species that rely on a narrow range of resources or habitat (UKBMS in 391 Table 3, (24)). This pattern of species declines would lead to biotic homogenization as rarer 392 species are lost and common, disturbance-tolerant species remain (73,74). However, our study adds another example of declines in common butterfly species thought to be well-suited to 393 human-modified habitat (11,21,75). 394

The Eastern North American migratory Monarch (*Danaus plexippus*) abundance in Ohio is declining by 7% per year. The Monarch is the only declining migratory species out of 14 in our analysis. Despite disagreements about whether summer abundance trends have tracked winter colony declines (76,77), our study shows that the long-term trends correspond. However, our study's first two years have very high Monarch population indices which could be outliers

400 (Fig 3) following the two largest recorded winter population counts (16,78). With these two 401 years removed, the statewide Monarch trend is a 4% decline per year, showing that the magnitude of summer abundance trends are sensitive to the years of data included. Our results 402 403 align with a study using Illinois systematic monitoring data that shows a summer abundance 404 decline for monarchs over two decades, but only during the period from 1994-2003, not from 405 2004-2013(79). A more recent study showed no decline during the summer during 2004-2016 using a population index from NABA counts (78). The trend we document comes from the sum 406 of multiple summer breeding generations and fall migratory butterflies returning to Mexico; 407 408 estimates of abundance for these separate generations may be required to model how different 409 stages of the lifecycle contribute to the long-term decline in the winter colonies (78).

410 Our statewide analysis has potential limitations when used to evaluate individual species for potential conservation interventions or forecasts of population trajectories. Even with 411 systematic monitoring, accurate estimates of insect abundance are missing from many species—a 412 413 fifth of regularly observed species in Ohio did not meet our minimum data requirements to for us 414 to estimate trends. None of these species are considered to be of conservation concern, but this 415 also means that we would be limited in our ability to determine if their populations have reached 416 threatened status. Targeted surveys of selected species, non-adult life stages, or rarely-sampled 417 habitats can expand the monitoring to data-deficient species commonly excluded by protocols designed to monitor many species efficiently (51) and can be used to estimate demographic 418 419 responses to environmental drivers not apparent from adult butterfly counts (80). Additional 420 targeted species assessments could inform how worried we should be about the extreme population declines estimated for species observed at fewer than 10 monitoring sites (Table 2). 421 422 However, more data and more complex population models may not always lead to accurate

423 predictions for insect population trajectories (81). Rather than recommending other systematic 424 monitoring programs accumulate decades of data before assessing insect declines, we would 425 advocate sharing data across regional programs to increase statistical power, as in (11), and 426 integrating systematic monitoring with historical records and opportunistic observations to assess 427 insect vulnerability more rapidly by using all potential sources of data (82,83).

428 Insect declines have multifaceted causes, and the relative impact of these causes is still unknown (84). Although analysis of the causes of site differences in abundance or species trends 429 is beyond the scope of this study, we discuss three environmental drivers commonly associated 430 431 with global insect declines: habitat loss and fragmentation, climate change, and agricultural intensification (84,85). If species' traits are associated with population trends, then their 432 relationships may suggest which environmental changes affect population responses in species 433 sharing these traits (47,84,86). In this study, life-history traits were weakly predictive of 434 population trends, but their associations provide hypotheses that could be tested further (47). 435 Habitat loss and fragmentation 436

437 In Ohio, habitat loss and fragmentation plateaued well before butterfly monitoring started, with human population growth slowing by 1970. In common with other Midwestern 438 439 states, Ohio had already lost tallgrass prairie species, such as the Regal Fritillary (Speveria 440 *idalia*), due to habitat conversion to agriculture (25,26). Land-use has changed slowly over the course of the monitoring program; fewer than 10% of monitoring sites have had more than 2.5% 441 442 change in the surrounding (2-km radius) developed, agriculture, or forest land cover from 2001-443 2011 (29). The persistence of butterfly populations in a landscape of habitat fragments are mediated by species' traits that permit them to either move between more isolated resources or 444 445 persist in smaller, localized populations (85,87). Wing size is one life history trait associated

with dispersal ability, but it had no association with species' population trends (Tables A and B
in S1 Appendix). However, defining habitat patches by land-use classes overlooks how mobile
insect populations are bound by resources, varying across the lifecycle, rather than area (88,89).
Although there has been little wholesale habitat conversion around our study transects,
degradation of the remaining habitat could be a cause of the general decline in butterfly

451 abundance.

452 *Climate change* 

Species trends are associated with two life-history traits, voltinism and range distribution, 453 454 which suggest that the butterfly community is changing with the warming climate. Species that 455 only complete one annual generation, or univoltine species, had more negative abundance trends. This aligns with obligate univoltine species becoming less common in Massachusetts (20), but is 456 457 the opposite of the findings in Spain where multivoltine species are in steeper declines with exposure to increasingly dry summers (40). Multivoltine species may be more adaptive to annual 458 459 and spatial variation in growing season length as many have plasticity in the voltinism observed 460 within Ohio (25). For many species with flexible voltinism in Ohio, adding an extra generation 461 in warmer summers increases their annual population growth rates (55). Northern-distributed 462 species have more negative population trends compared to widely distributed or southern species. This corresponds with findings from Massachusetts and Europe that warm-adapted 463 species are replacing cool-adapted species as range distributions shift (20,90). Even though these 464 465 two traits should increase abundance for some species as the climate warms, it has not been 466 enough to prevent the overall decline in butterfly abundance.

467 Agricultural intensification

Cropland and pasture make up half of Ohio's land area, so we would expect agricultural practices to affect statewide insect abundance. One assessment of pollinator habitat suitability based on land-use, conservation reserve program acreage, and crop type estimated an increase in resources in Ohio from 1982 through 2002, followed by a stable trend (91). However, agricultural practices can decrease insect abundance with systemic insecticides, herbicide use on host plants or nectar resources, and nitrogen fertilization that alters the composition of surrounding plant communities.

In Ohio, the use of neonicotinoids rapidly increased after 2004 when they became widely 475 476 used on corn and soybeans (92,93). The mechanistic link between neonicotinoid insecticides and insect declines is established and observational studies have shown widespread impacts of their 477 use (94–96). Even though seed-coatings with neonicotinoids reduce broadcast spraying, the 478 479 mechanical planting of these seeds exposes widespread areas around farms to contaminated dust that exposes non-target plants and insects to biologically-relevant concentrations (97,98). In the 480 481 United Kingdom and California, neonicotinoids are associated with butterfly declines (22,99) 482 and hinder butterfly larval development on host plants (100). We did not design this study to test whether neonicotinoids affect butterfly abundance in Ohio. However, the observed declines 483 484 across common and generalist species, which we otherwise would expect to exploit an agricultural or human-altered landscape, would be consistent with widespread exposure to 485 insecticides. 486

487 Species that eat forbs as larvae have negative population trends (Fig 5). Both herbicide
488 use and nitrogen deposition may alter plant communities to favor grasses over forbs (101). In
489 Ohio, glyphosate use has increased linearly, and is now applied at 6 times the rate it was in 1996
490 (92,93). Milkweed losses, attributed to increased glyphosate use in the Midwest, contribute to

declines in Monarch butterfly abundance (79,80). Nitrogen increases, which may come from
fertilization or atmospheric deposition, have been linked to declines in grassland butterfly
species adapted to low-nitrogen environments (102–104) and to higher mortality during larval
development on enriched host plants (105).

495

### 496 **Conclusions**

497 Systematic, long-term surveys of butterflies provide the most rigorous estimate for the rate of insect declines. This study demonstrates that defaunation is happening in North America 498 499 similarly to Europe. In landscapes comprising natural areas amid heavy human land-use, 500 butterfly total abundance is declining at 2% per year and 2-3 times more species have population trends declining rather than increasing. Additional Pollard-based monitoring programs in North 501 502 America, listed in (9), will enable tracking insect trends over larger spatial extents as will efforts 503 to integrate data across European monitoring schemes (11). The rates for other insect groups may 504 deviate from this baseline and were previously estimated to be declining more rapidly than 505 Lepidoptera (1). Expanded monitoring and support for taxonomists are imperative for other taxa 506 and under sampled regions, like the Tropics where most insect diversity resides. Besides the 507 evaluation if butterfly trends generalize to other insects, the most urgent research needs are 508 understanding the causes of decline and testing mitigation strategies. As butterflies are the best-509 monitored insect taxa, they are the best indicator of the baseline threat to the 5.5 million insect 510 species, the most diverse group of animals on earth.

511

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785	<b>S1</b> A <sub>]</sub>	ppendix. Supplementary methods and results. Includes detailed methods for phenology
786	mode	ls and phylogenetic trees, a figure of species trends plotted on a cladogram, two tables of
787	mode	l results from the trait analysis, and a table comparing our trend estimates with three other
788	appro	aches.









