

1 **Resistance to aztreonam, in combination with a bicyclic boronate  $\beta$ -lactamase**  
2 **inhibitor in *Escherichia coli* identified following mixed culture selection.**

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11

12 **Abstract**

13 **Background**

14 Bicyclic boronates are a new and potentially important class of  $\beta$ -lactamase inhibitor,  
15 with the ability to inhibit  $\beta$ -lactamases from all molecular classes, including mobile  
16 metallo- $\beta$ -lactamases.

17 **Objective**

18 Our objective was to identify mutants resistant to the actions of the bicyclic boronate  
19 inhibitor **2**, when being used in combination with aztreonam.

20 **Methods**

21 Overnight cultures were plated on to agar containing increasing concentrations of  
22 aztreonam with a fixed 10 mg/L concentration of the inhibitor. Resistant derivatives  
23 and parent strains were analysed by whole genome sequencing and LC-MS/MS  
24 proteomics to identify mechanism of resistance.

25 **Results**

26 When using a mixed overnight culture containing one *Escherichia coli* (TEM-1, CTX-  
27 M-15, CMY-4 producer) and one *Klebsiella pneumoniae* (SHV-12, CTX-M-15, NDM-  
28 1 producer) mobilisation of an IncX3 plasmid carrying *bla*<sub>SHV-12</sub> from the *K.*  
29 *pneumoniae* into the *E. coli* generated an aztreonam/boronate resistant derivative.

30 **Conclusions**

31 High-level production of three bicyclic boronate susceptible enzymes (CMY-4, CTX-  
32 M-15, SHV-12) capable of hydrolysing aztreonam plus TEM-1, which binds the  
33 inhibitor, overcomes the fixed inhibitor dose used. This was only identified when

34 using a mixed culture for selection. It would seem prudent that to allow for  
35 coalescence of the myriad  $\beta$ -lactamase genes commonly found in bacterial  
36 populations colonising humans, this mixed culture approach should be the norm  
37 when testing the potential for generating  $\beta$ -lactamase inhibitor resistance in pre-  
38 clinical analysis.

## 39 **Introduction**

40  $\beta$ -Lactamase enzymes, which are divided into molecular classes A, B, C and D,  
41 catalyse hydrolysis of the four-membered ring in  $\beta$ -lactam antimicrobials. This  
42 renders the antimicrobial inactive, because the opened ring can no longer act as an  
43 inhibitor of peptidoglycan transpeptidases.<sup>1</sup> As  $\beta$ -lactams are the most widely used  
44 class of antimicrobial world-wide,<sup>2</sup> the dissemination of  $\beta$ -lactamases is threatening  
45 the efficacy of these drugs and is causing great concern. Indeed,  $\beta$ -lactamase  
46 activity is the most common  $\beta$ -lactam resistance mechanism in Gram-negative  
47 bacteria.<sup>1</sup>  $\beta$ -Lactamase inhibitors are therefore being used to overcome their  
48 effects.<sup>3</sup> The  $\beta$ -lactam-based class A  $\beta$ -lactamase inhibitors clavulanic acid and  
49 tazobactam are widely administered with penicillin derivatives and have had decades  
50 of clinical success. However, there are many  $\beta$ -lactamases that are not affected by  
51 these inhibitors, and there is particularly a lack of effective inhibitors for class B, zinc-  
52 dependent metallo- $\beta$ -lactamases, which cause resistance to a wide range of  $\beta$ -  
53 lactams including penicillins, cephalosporins and carbapenems.<sup>3</sup> Non- $\beta$ -lactam  
54 based  $\beta$ -lactamase inhibitors recently introduced into clinical practice are avibactam  
55 and vaborbactam, and these have a wider spectrum of activity than clavulanic acid  
56 or tazobactam, but they do not inhibit class B enzymes.<sup>3</sup> There have been several  
57 studies investigating the use of non- $\beta$ -lactam boronic acid compounds as inhibitors,  
58 as they can form analogues of the transient oxyanionic intermediate species of  $\beta$ -  
59 lactamase hydrolysis. Vaborbactam is one of these,<sup>3</sup> but Brem et al. have shown that  
60 bicyclic boronates are potent inhibitors of both serine- $\beta$ -lactamases (classes A, C  
61 and D) and the most common subclass of metallo- $\beta$ -lactamases, subclass B1.<sup>4</sup>  
62 Another bicyclic boronate cross-class  $\beta$ -lactamase inhibitor, VNRX-5133 is shortly to  
63 be entering phase 3 clinical trials.<sup>5</sup>

64 We have been attempting to identify mutants resistant to the actions of the bicyclic  
65 boronate  $\beta$ -lactamase inhibitor **2** with very limited success. We report here one  
66 successful “mutant” selection which occurred following the accidental mixing of two  
67 isolates prior to selection. This experience has helped us to (a) understand how  
68 bicyclic boronate resistance may occur, and (b) realise that selecting  $\beta$ -lactam/ $\beta$ -  
69 lactamase inhibitor resistant derivatives from mixed cultures should be a routine  
70 approach in the future.

71

## 72 **Material and Methods**

### 73 *Bacterial isolates and materials*

74 Both parent isolates were from bloodstream infections in humans and were gifts from  
75 Prof Tim Walsh, Cardiff University. Growth media were from Oxoid, chemicals were  
76 from Sigma unless otherwise stated. The bicyclic boronate inhibitor **2** was  
77 synthesized according to the literature protocol <sup>4</sup> and kindly provided by Prof. C.  
78 Schofield, University of Oxford.

### 79 *Fluorescent Hoescht (H) 33342 dye accumulation assay*

80 Envelope permeability in living bacteria was tested using a standard dye  
81 accumulation assay protocol <sup>6</sup> where the dye only fluoresces if it crosses the entire  
82 envelope and interacts with DNA. Overnight cultures in LB Broth (LB) at 37°C were  
83 used to prepare Mueller-Hinton Broth (MHB) subcultures, which were incubated at  
84 37°C until an optical density at 600 nm (OD<sub>600</sub>) reached 0.6. Cells were pelleted by  
85 centrifugation (4000 rpm, 10 min) (ALC, PK121R) and resuspended in 500  $\mu$ L of  
86 PBS. The optical densities of all suspensions were adjusted to 0.1 OD<sub>600</sub>. Aliquots of  
87 180  $\mu$ L of cell suspension were transferred to a black flat-bottomed 96-well plate  
88 (Greiner Bio-one, Stonehouse, UK). Eight technical replicates, for each strain tested,

89 were in each column of the plate. The plate was transferred to a POLARstar  
90 spectrophotometer (BMG Labtech) and incubated at 37°C. Hoescht dye (H33342,  
91 250 µM in water) was added to bacterial suspension of the plate using the plate-  
92 reader's auto-injector to give a final concentration of 25 µM per well. Excitation and  
93 emission filters were set at 355 nm and 460 nm respectively. Readings were taken in  
94 intervals (cycles) separated by 150 s. 31 cycles were run in total. A gain multiplier of  
95 1460 was used. Results were expressed as absolute values of fluorescence versus  
96 time.

### 97 *Proteomics*

98 500 µL of an overnight LB culture were transferred to 50 mL MHB and cells were  
99 grown at 37°C to 0.6 OD<sub>600</sub>. Cells were pelleted by centrifugation (10 min, 4,000×g,  
100 4°C) and resuspended in 30 mL of 30 mM Tris-HCl, pH 8 and broken by sonication  
101 using a cycle of 1 s on, 1 s off for 3 min at amplitude of 63% using a Sonics Vibracell  
102 VC-505TM (Sonics and Materials Inc., Newton, Connecticut, USA). The sonicated  
103 samples were centrifuged at 8,000 rpm (Sorval RC5B PLUS using an SS-34 rotor)  
104 for 15 min at 4°C to pellet intact cells and large cell debris. Protein in the supernatant  
105 was concentrated using an Amikon Ultra-15 centrifugal filter with an ultracel-3  
106 membrane (Merck) by centrifugation at 4000 rpm until the supernatant volume  
107 reduced to approximately 1 mL. Protein concentrations in all samples were  
108 quantified using Biorad Protein Assay Dye Reagent Concentrate according to the  
109 manufacturer's instructions. Proteins (1 µg/lane) were separated by SDS-PAGE  
110 using 11% acrylamide, 0.5% bis-acrylamide (Biorad) gels and a Biorad Min-Protein  
111 Tetracell chamber model 3000X1. Gels were resolved at 200 V until the dye front  
112 had moved approximately 1 cm into the separating gel. Proteins in all gels were  
113 stained with Instant Blue (Expedeon) for 20 min and de-stained in water. LC-MS/MS

114 data was collected as previously described.<sup>7</sup> The raw data files were processed and  
115 quantified using Proteome Discoverer software v1.4 (Thermo Scientific) and  
116 searched against bacterial genome and horizontally acquired resistance genes as  
117 described previously.<sup>8</sup>

#### 118 *Whole genome sequencing and data analysis*

119 Genomes were sequenced by MicrobesNG (<https://microbesng.uk/>) on a HiSeq 2500  
120 instrument (Illumina, San Diego, CA, USA) using 2x250 bp paired end reads. Reads  
121 were trimmed using Trimmomatic<sup>9</sup>, assembled into contigs using SPAdes 3.13.0  
122 (<http://cab.spbu.ru/software/spades/>)<sup>10</sup> and contigs were annotated using Prokka.<sup>11</sup>  
123 Plasmid replicon types, resistance genes and sequence types were determined  
124 using the PlasmidFinder,<sup>12</sup> ResFinder,<sup>13</sup> and MLST 2.0<sup>14</sup> using the Center for  
125 Genomic Epidemiology (<http://www.genomicepidemiology.org/>) platform.

126

## 127 **Results and Discussion**

128 A mixture of two human clinical isolates – one *E. coli* ST101 and one *K. pneumoniae*  
129 ST265 - was mistakenly inoculated into the same bottle containing Muller Hinton  
130 Broth and grown overnight without any antibiotic selection. The two isolates had  
131 previously been characterised separately using whole genome sequencing. **Table 1**  
132 lists the resistance gene and plasmid replicon carriage status of the isolates. One  
133 hundred microlitres of the mixed overnight culture was plated onto Muller Hinton  
134 agar containing aztreonam at increasing concentrations plus the bicyclic boronate **2**  
135 at a fixed concentration of 10 mg/L, as used previously.<sup>4</sup> Unexpectedly, profuse  
136 growth was seen on all plates up to 16 mg/L aztreonam, which is defined as resistant  
137 by CLSI. Multiple colonies were picked onto Tryptone Bile Glucuronic Agar and all

138 were confirmed to be *E. coli*. One aztreonam/inhibitor resistant derivative was  
139 selected as representative.

140 Envelope permeability assays showed that the parent *K. pneumoniae* used to make  
141 the mixed culture was less permeable than the parent *E. coli* but the resistant *E. coli*  
142 derivative behaved similarly to the parent *E. coli* (**Figure 1**). This was expected  
143 because proteomic analysis of key porin and efflux pump protein abundance showed  
144 the parent and resistant *E. coli* derivative were not significantly different (**Table 1**).

145 Whole genome sequencing revealed that the complement of  $\beta$ -lactamases and  
146 plasmid replicon types in the resistant *E. coli* derivative had increased compared with  
147 the parent; an SHV-12 encoding IncX3 plasmid had clearly moved from the *K.*  
148 *pneumoniae* parent isolate into the *E. coli* parent isolate during co-culture. This  
149 plasmid does not carry any other resistance genes not already present in the *E. coli*  
150 parent isolate (**Table 1**). Proteomics of the *E. coli* derivative confirmed that this SHV-  
151 12 was expressed at high levels, and that the abundance of the other  $\beta$ -lactamases  
152 carried by the *E. coli* parent isolate had not significantly changed in this derivative  
153 (**Table 1**). Notably, whilst IncX3 plasmids have previously been seen to carry *bla*<sub>SHV-12</sub>  
154 and *bla*<sub>NDM</sub>,<sup>15</sup> the *bla*<sub>NDM</sub> gene located in our *K. pneumoniae* parent isolate did not  
155 co-transfer with *bla*<sub>SHV-12</sub> into the *E. coli* parent isolate (**Table 1**) and it has been  
156 reported previously that *bla*<sub>SHV-12</sub> has been identified on IncX3 plasmids lacking  
157 *bla*<sub>NDM</sub> in *E. coli*.<sup>16</sup>

158 Whilst the *E. coli* parent (and resistant derivative) carry genes for *bla*<sub>TEM-1</sub> and *bla*<sub>OXA-2</sub>,  
159 only the former was detectably expressed. Whole genome sequencing confirmed  
160 that the reasons for this low-level expression are that the integron carrying *bla*<sub>OXA-2</sub> is  
161 chromosomally located (so is single copy), *bla*<sub>OXA-2</sub> is the third gene cassette in the  
162 integron (so is distant from the integron's common promoter); and the integron



163 promoter is of the weakest known designation.<sup>17</sup> Therefore, we conclude that the  
164 presence of three  $\beta$ -lactamases (CMY-4, CTX-M-15 and SHV-12) that all hydrolyse  
165 aztreonam <sup>1</sup> and that represent enzyme classes that are known to bind bicyclic  
166 boronate **2** <sup>18,19</sup> perhaps with a contribution from the resident TEM-1, which also  
167 binds the bicyclic boronate,<sup>18</sup> collectively has overcome utility of the inhibitor both by  
168 titration of the inhibitor and increased overall aztreonam hydrolysis. It is important to  
169 note, however, that the *ompF* porin gene is disrupted in our *E. coli* isolate as the  
170 result of an 8 bp insertion, leading to a frameshift and no detectable OmpF protein  
171 product (**Table 1**), and the role of reduced permeability to aztreonam or the inhibitor  
172 cannot be ruled out as a contributory factor.

173 The simple and fortuitous finding reported here has significant implications for the  
174 future of research into  $\beta$ -lactam/ $\beta$ -lactamase inhibitor resistance. It is known that  $\beta$ -  
175 lactamase hyperproduction – following gene duplication, promoter mutation, or  
176 mutations that stabilise the enzyme – can titrate out certain  $\beta$ -lactamase inhibitors in  
177  $\beta$ -lactam/ $\beta$ -lactamase inhibitor combinations (e.g. amoxicillin/clavulanate or  
178 ceftazidime/avibactam), <sup>3,20</sup> but clearly another way of increasing the abundance of  
179  $\beta$ -lactamase activity in a cell is to acquire an additional  $\beta$ -lactamase gene from a  
180 neighbouring bacterium, as we have found here. This could never be seen when  
181 testing individual isolates for their ability to generate resistant derivatives; either in  
182 the lab or using in vivo infection models. However, in the real world, whether during  
183 therapeutic use – at the site of infection in some cases, but certainly in the gut, for  
184 example – or in the environment if these chemicals are present for some reason,  
185 mixed populations of bacteria are found, increasing the potential for resistance to  
186 coalesce in one member of the population via horizontal gene transfer from the “ $\beta$ -  
187 lactamase-ome” of the population as a whole. Whilst this phenomenon of combined

188 mechanisms being necessary for resistance is not unique to  $\beta$ -lactamase inhibitors,<sup>7</sup>  
189 given their fixed concentration usage in MIC testing, it is likely to manifest itself more  
190 often due to titration effects. Another advantage of testing these mixed cultures for  
191 resistant derivatives is, therefore, that it can inform fixed concentration dosing of the  
192 inhibitor in the combination, to reduce the chance of resistance emerging in the clinic  
193 by the coalescence of resistance mechanisms found in a bacterial population.

194

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198

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203

## 204 **Transparency Declaration**

205 None to declare – All authors.

206

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261

262 **Figure Legends**

263

264 **Figure 1: The accumulation of H33342 dye over a 30 cycle (4500 s) incubation**  
265 **period by *K. pneumoniae* and *E. coli* isolates.** In each case, fluorescence of cells  
266 incubated with the dye is presented as an absolute value after each cycle. Each line  
267 shows mean data for three biological replicates with 8 technical replicates in each.  
268 Error bars define the standard error of the mean.

269

270

271 **Tables**

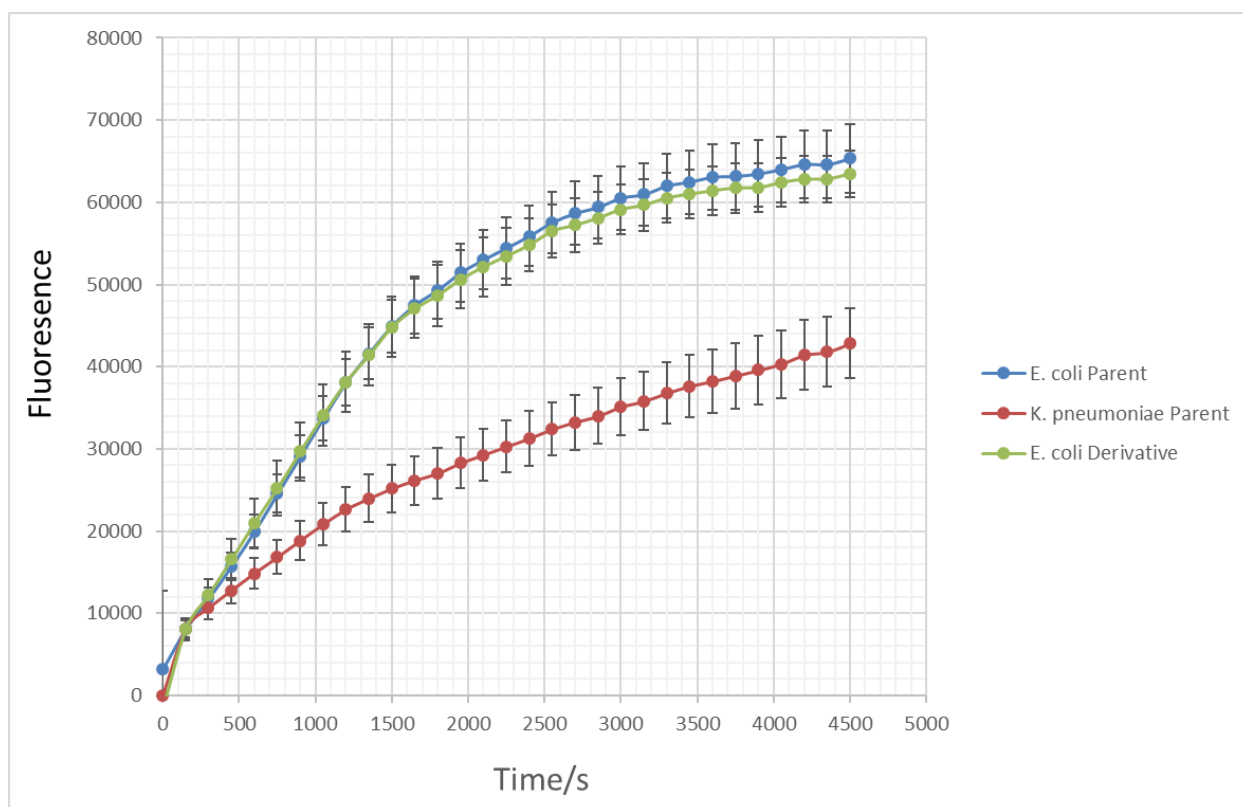
272 **Table 1.** Genotypic and Phenotypic Properties of *E. coli* and *K. pneumoniae* isolates.

Species and Sequence Type	Resistance gene complement (abundance of protein in proteome. Mean +/- SD normalised to average ribosomal protein, n=3)	Plasmid replicon complement
<i>E. coli</i> ST101 <b>(Parent)</b>	<i>aadA2</i> , <i>rmtB</i> , <i>strB</i> , <i>strA</i> , <i>armA</i> , <i>aac(3)-IIa</i> , <i>mph(E)</i> , <i>msr(E)</i> , <i>sul1</i> , <i>dfrA12</i> , <i>dfrA29</i> , <i>catA1</i>  <i>bla</i> <sub>CTX-M-15</sub> (0.36 +/- 0.07) <i>bla</i> <sub>TEM-1</sub> (0.70 +/- 0.20) <i>bla</i> <sub>OXA-2</sub> (Not Detectable) <i>bla</i> <sub>CMY-4</sub> (0.85+/- 0.22)  <i>ompF</i> (Not Detectable) <i>ompC</i> (4.48 +/- 0.78) <i>acrA</i> (0.43 +/- 0.07) <i>acrB</i> (0.14 +/- 0.06) <i>tolC</i> (0.20 +/- 0.11)	IncFII, IncA/C2, IncR IncAC[ST-1], IncF[F2:A-:B-]
<i>K. pneumoniae</i> ST625 <b>(Parent)</b>	<i>aadA2</i> , <i>aac(6')Ib-cr</i> , <i>aac(3)-IIa</i> , <i>strA</i> , <i>strB</i> , <i>rmtB</i> , <i>fosA</i> , <i>mph(A)</i> , <i>sul2</i> , <i>sul1</i> , <i>dfrA12</i> , <i>aac(6')Ib-cr</i> , <i>qnrB7</i> , <i>qnrS1</i> , <i>tet(G)</i> , <i>catB4</i> , <i>catA2</i>  <i>bla</i> <sub>SHV-12</sub> <i>bla</i> <sub>NDM-1</sub> <i>bla</i> <sub>CTX-M-15</sub> <i>bla</i> <sub>OKP-A-1</sub> <i>bla</i> <sub>OXA-1</sub>	IncX3, IncFII(pCRY), IncFIB(K), ColRNAI IncF[K-:A-:B-]
<i>E. coli</i> ST101 <b>(Aztreonam/ Boronate Resistant derivative)</b>	<i>aadA2</i> , <i>rmtB</i> , <i>strB</i> , <i>strA</i> , <i>armA</i> , <i>aac(3)-IIa</i> , <i>mph(E)</i> , <i>msr(E)</i> , <i>sul1</i> , <i>dfrA12</i> , <i>dfrA29</i> , <i>catA1</i>  <i>bla</i> <sub>SHV-12</sub> (0.30 +/- 0.11) <i>bla</i> <sub>CTX-M-15</sub> (0.31 +/- 0.07) <i>bla</i> <sub>TEM-1</sub> (0.66 +/- 0.37) <i>bla</i> <sub>OXA-2</sub> (Not Detectable) <i>bla</i> <sub>CMY-4</sub> (0.70+/- 0.26)  <i>ompF</i> (Not Detectable) <i>ompC</i> (2.95 +/- 1.63) <i>acrA</i> (0.21 +/- 0.02) <i>acrB</i> (0.11 +/- 0.04) <i>tolC</i> (0.12 +/- 0.08)	IncX3 IncFII, IncA/C2, IncR IncAC[ST-1], IncF[F2:A-:B-]

273

274 **Figure 1**

275



276