1   An *In-Silico* Investigation of Menthol Metabolism

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5   ABSTRACT

Prevalence of mentholated products for consumption has brought great importance to studies on menthol's metabolic

pathways to ensure safety, design more potent derivatives, and identify therapeutic benefits. Proposed pathways of (-)-

menthol metabolism based on metabolites found experimentally in previous works by Yamaguchi, Caldwell & Farmer,

Madyastha & Srivatsan and Hiki et al. were not in agreement. This *in silico* approach is based on the three *in vivo* studies and

aims to resolve the discrepancies. Reactions in the pathways are conjugation with glucuronic acid/sulfate, oxidation to alcohol,

aldehyde & carboxylic acid, and formation of a four-membered/five-membered ring. Gas-phase structures, standard Gibbs

energies and SMD solvation energies at B3LYP/6-311++G(d,p) level were obtained for 102 compounds in the pathways. This

study provides a more complete picture of menthol metabolism by combining information from three experimental studies and

filling missing links in previously published pathways.

15   Introduction

(-)-Menthol or 1*S*,3*R*,4*S*-menthol is a naturally occurring compound found in plants of the *Mentha* genus commonly known as

mint. It is the is the most abundant in nature among the 8 possible stereoisomers, comprising of at least 50% of peppermint

(*Mentha piperita*) oil and 70-80% of corn mint (*Mentha arvensis*) oil (1). (-)-Menthol, commonly referred to as menthol, has

characteristic minty smell and flavor and exerts a cooling sensation when applied to the skin and mucosal membranes (2). Other

isomers differ slightly in odor and physical characteristics and do not possess the cooling action (3, 4).

Menthol finds a wide range of applications from personal care products, medications, and confectionery to pesticides and

cigarettes. The popularity of the compound as a flavoring agent ranks third most important after vanilla and citrus (5), and the

annual production of menthol in India alone is in excess of 200 thousand metric tons (6). Due to its popularity, mentholated

products can be readily purchased as prescribed or over-the-counter medications as alleviators of common cold and respiratory

conditions (7), inhibitors of growth of foodborne pathogens (8), and analgesics (9).

Considering its wide range of applications, mechanisms of action of menthol were relatively unknown until recently. The cooling

sensation is a result of the activation of transient receptor potential melastatin-8 (TRPM8), an ion channel selective to

temperature, voltage, and menthol (10). Experimental evidence also show that (-)-menthol can selectively activate κ-opioid

receptors in mice and, as a result, lead to analgesic properties (9).

Chemical derivatives of menthol with enhanced activity have been successfully synthesized (11). In addition, health effects of

mentholated cigarettes is of great concern, not only because the improved taste may facilitate initiation or inhibit quitting but

also because metabolism of menthol via this route of administration has not been well studied (12, 13).

A few studies have been conducted on toxicological effects of menthol which supports the generally accepted belief that it is

safe and nontoxic. No signs of toxicity were observed in rats exposed to continuous doses of up to 800 mg/kg/day for 28 days

(5), and chronic exposure to high concentrations of menthol vapor was not reported to have toxic effects in rats (14). *In vitro*

studies on various animal tissues report deterioration of biological membranes at concentrations 0.32-0.76 mM (15). The

recommended daily intake for humans of 0-0.2 mg/kg proposed by the WHO (16) is not supported by any toxicological data but

was set to err on the side of safety knowing that higher doses taken may not have produced adverse side effects.

To the best of our knowledge, three *in vivo* studies by Yamaguchi, Caldwell & Farmer (17), Madyastha & Srivatsan (18) and Hiki

et al. (19) have identified metabolites of menthol in humans and animals. Metabolites were identified by GC/MS from the

urinary and biliary metabolites in rats treated with oral doses of 500 (17) and 800 (18) mg menthol/kg body weight. Over the

course of 48 hours, a majority of the doses were excreted in the urine and feces. A more recent randomized, double-blind,

placebo-controlled study in human by Hiki et al. (19) was conducted by directly spraying 0.8% (-)-menthol solution at escalating

doses of 10-40 mL onto the gastric mucosa. Blood and urine of the participants were sampled over a 24-hour period and

analyzed with GC/MS for menthol metabolites. In total, 72 metabolites were identified or proposed in this human study,

compared to 9 and 18 metabolites in the previous two experiments. (See supplementary information for the full list.) *In vitro*

investigation of metabolism in human liver microsomes revealed that the same key reactions in the metabolic pathway in rats

occur in the microsomes (20, 21).

FIGURE 1 Metabolic pathway of menthol in rats and in human, an adaptation from Yamaguchi, Caldwell, & Farmer (17), Madyastha & Sirvastan (18) and Hiki et

al. (19). Red, Green, and Blue texts indicate that menthol metabolites were found in both rats and human, only in rats, and only in human respectively. Gray and

Black texts indicate menthol metabolites proposed by previous experiments and by this paper respectively. Arrows to the right and arrows upward indicate

oxidation reactions $+\frac{1}{2}$ O$_2$ and $-$ H$_2$ respectively. Downward arrows indicate conjugation with sulfate. Dashed arrows indicate reactions of four-membered ring

metabolites. Diagonal arrows toward top left indicate dehydration reaction. Main pathways are shown on the left and pathways containing glucuronide

metabolites with similar possible connections are shown on the right. Lists of compounds and reactions are provided in Table 1 and Table 2, respectively.

This *in silico* investigation is based on the metabolites identified experimentally by the three *in vivo* studies (17-19). We aim to

resolve discrepancies and missing links found in these three studies by proposing more complete pathways in Figure 1 where

all 73 experimentally identified metabolites, 5 previously proposed intermediates and 24 newly proposed intermediates are

included. Possible reactions involving in the pathways are conjugation with glucuronic acid/sulfate, oxidation to alcohol,

aldehyde & carboxylic acid, and formation of a four/five-membered ring at position 3, 7, 8, 9 and 10 of the parent compound.

In this paper, we calculated Gibbs energies of reactions and associated them with the type, the position and the step of reaction

in the pathways.

Materials and methods

Gas-phase structures were calculated based on the B3LYP/6-311++G(d,p) level and were confirmed to be at minimum energy on the electronic potential energy surfaces by frequency calculations. The solvation energies in water of the gas-phase structures were calculated with the SMD model (22). The calculation of Gibbs energies in solution phase is the same as in our previous work (23, 24) where there is a special treatment for water (25-28). All quantum chemical calculations were performed using the Q-Chem 5.1 program package (29). (Shell script, spreadsheet templates, and Mathematica (30) notebook used were modified from our previous work (23, 24). All output files and other associated codes to obtain the standard Gibbs energies of the reaction are provided in the electronic supporting information). The abbreviated names for each of the metabolites in this study are as in Figure 1. For simplicity, we based the naming system of menthol metabolites on their five substitutable positions, namely position 3, 7, 8, 9 and 10. A menthol metabolite is referred to as a five-character sequence named according to its substituted functional groups at these positions with the abbreviation explained below in Table 1.

All DFT calculations were completed with no imaginary frequencies, showing that each of the structures obtained from gas-phase calculations were minima on the potential energy surfaces. The lowest energy structure of (-)-menthol is a chair conformer of hexane where all three substituent groups are in equatorial positions as shown in Table 1. This is consistent with previous computational result at B3LYP/6-31G(d,p) level (31). Benchmark calculations were also performed at MP2/6-311++G(d,p) level for metabolites along the most likely pathways in Figure 2. Reaction energies obtained from MP2 and B3LYP are in good agreement. (Coefficient of determination r^2=0.9999 and mean absolute error, MAE=2.25 kcal/mol. See Supplementary Information for details.)

Results

The present study has combined the different published metabolic pathways of menthol and offers the relative stabilities of each metabolite based on thermodynamic calculations for each step involved as reported in Figures 1 to 4. Reaction energies were computed as listed in Supplementary Information with the relevant additional reagents (oxygen, sulfate group, hydronium ion and glucuronic acid) and product (water and hydrogen) added to the scheme. They may not be the actual compound in the reactions but they serve as simple reference points for the thermodynamic calculations for reactions of interested.

91 **TABLE 1** Abbreviations for the nomenclature of menthol metabolites referred to by the present study and a list of 102
92 compounds in this study grouped by molecular formula.
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Position / Group	3	7	8	9	10	
Original form	o	e	e	e	e	
Alkane	-	e	e	e	e	
Alcohol	o	o	o	o	o	
Aldehyde	y	y	-	y	y	
Carboxylic acid	-	x	-	x	-	
Dehydration	-4D for four-membered ring formation at positions 3 and 8					
Aldol reaction	-5A for four-membered ring formation at positions 3 and 9					
Glucuronic acid	O	O,X	-	O,X,Y	-	
Sulfate group	s	-	-	-	-	

- An underlined indicates that there are *R* and *S* stereoisomers due to the substitution.
- Substitution at position 9 leads to a new chiral center if it is not the same as 10.
- Substitution at position 10 is forced to have lower or the same oxidation state for the carbon atom when compared to position 9.
- Dashes are where substitution with the functional group at that respective position cannot occur

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formula: $C_{10}H_{20}O$ (molar mass 156.26, total 1 compound)

oeeee   (in chair form)

formula: $C_{10}H_{20}O_2$ (molar mass 172.26, total 4 compounds)

ooeee   oeoee   oeeoe-*R*   oeeoe-*S*

formula: $C_{10}H_{20}O_3$ (molar mass 188.26, total 6 compounds)

ooooe   ooeoe-*R*   ooeoe-*S*   oeooe-*R*   oeooe-*S*   oeeoo

formula: $C_{10}H_{18}O_2$ (molar mass 170.25, total 4 compounds)

oyeee-*R*   oyeee-*S*   oeeye-*R*   oeeye-*S*

formula: C₁₀H₁₈O₃ (molar mass 186.25, total 28 compounds)

oxeee-*R* oxeee-*S* oeexe-*R* oeexe-*S* oyoee-*R* oyoee-*S*

oyeoe-*RR* oyeoe-*RS* oyeoe-*SR* oyeoe-*SS*

ooeye-*R* ooeye-*S* oeoye-*R* oeoye-*S* oeeyo-*R* oeeyo-*S*

ooeye-5A-*RR* ooeye-5A-*RS* ooeye-5A-*SR* ooeye-5A-*SS*

oeoye-5A-*RR* oeoye-5A-*RS* oeoye-5A-*SR* oeoye-5A-*SS*

oeeyo-5A-*RR* oeeyo-5A-*RS* oeeyo-5A-*SR* oeeyo-5A-*SS*

formula: C₁₀H₁₈O₄ (molar mass 202.25, total 2 compounds)

oxoee-*R* oxoee-*S*

formula: C₁₀H₁₆O₃ (molar mass 184.23, total 5 compounds)

oyeye-*RR* oyeye-*RS* oyeye-*SR* oyeye-*SS* oeeyy

formula: C$_{10}$H$_{18}$O (molar mass 154.25, total 1 compound)

oeoee-4D

formula: C$_{10}$H$_{18}$O$_2$ (molar mass 170.25, total 1 compound)

OH

oooee-4D

formula: C$_{10}$H$_{16}$O$_2$ (molar mass 168.23, total 2 compounds)

O   O

oyoee-4D-*R*   oyoee-4D-*S*

formula: C$_{10}$H$_{16}$O$_3$ (molar mass 184.23, total 2 compounds)

COOH   COOH

oxoee-4D-*R*   oxoee-4D-*R*

formula: C$_{10}$H$_{20}$O$_4$S (molar mass 236.33, total 1 compound)

OSO$_3$H

seeee

formula: C$_{10}$H$_{20}$O$_5$S (molar mass 252.33, total 3 compounds)

OH

OHSO$_3$ OHSO$_3$ OHSO$_3$

H   H
OH   OH

soeee   seeoe-*R*   seeoe-*S*

formula: C$_{10}$H$_{20}$O$_6$S (molar mass 268.33, total 2 compounds)

OH   OH

OHSO$_3$ OHSO$_3$

H   H
OH   OH

soeoe-*R*   soeoe-*S*

formula: C$_{16}$H$_{28}$O$_7$ (molar mass 332.39, total 1 compound)

OG

Oeeee

formula: C$_{16}$H$_{28}$O$_8$ (molar mass 348.39, total 8 compounds)

Ooeee   oOeee   Oeoee   oeOee   Oeeoe-*R*   Oeeoe-*S*   oeeOe-*R*   oeeOe-*S*

formula: C$_{16}$H$_{28}$O$_9$ (molar mass 364.39, total 6 compounds)

Ooeoe-*R*   Ooeoe-*S*   oOeoe-*R*   oOeoe-*S*   ooeOe-*R*   ooeOe-*S*

formula: C$_{16}$H$_{26}$O$_8$ (molar mass 346.37, total 4 compounds)

Oyeee-*R*   Oyeee-*S*   Oeeye-*R*   Oeeye-*S*

formula: C$_{16}$H$_{26}$O$_9$ (molar mass 362.37, total 16 compounds)

Oxeee-*R*   Oxeee-*S*   Oeexe-*R*   Oeexe-*S*   oXeee-*R*   oXeee-*S*   oeeXe-*R*

oeeXe-*S*

oOeye-5A-*RR*   oOeye-5A-*RS*   oOeye-5A-*SR*   oOeye-5A-*SS*   ooeYe-5A-*RR*   ooeYe-5A-*RS*   ooeYe-5A-*SR*   ooeYe-5A-*SS*

formula: C$_{16}$H$_{24}$O$_9$ (molar mass 360.36, total 5 compounds)

Oyeye-*RR*   Oyeye-*RS*   Oyeye-*SR*   Oyeye-*SS*   Oeeyy

Notes
- Compounds are highlighted in yellow when their *RS* designator changes from their parent compounds.
- G stands for a glucuronyl group:

97 **TABLE 2** Representative of oxidation reactions to alcohol, aldehyde and carboxylic acid, ring formation (dehydration
98 reaction and aldol reaction) and conjugation with glucuronic acid/sulfate group.

Abbreviation/explanation	Example
o1 for oxidation from alkane to alcohol	
o2 for oxidation from aldehyde to carboxylic acid	
o3 for oxidation form alcohol to aldehyde	
4D for dehydration (four-membered ring formation)	
5A for aldol reaction (five-membered ring formation)	
g for conjugation with glucuronic acid	
s for conjugation with sulfate	

99
100 **FIGURE 2** Lowest energy pathway for menthol metabolism

101 **FIGURE 3** Average standard Gibbs energies in solution phase and gas phase for oxidation from alkane to alcohol (o1), oxidation from aldehyde

102 to carboxylic acid (o2), oxidation from alcohol to aldehyde (o3), dehydration or four-membered ring formation (4D), aldol reaction or five-

103 membered ring formation (5A), conjugation with glucuronic acid (g) and conjugation with sulfate (s) at five different positions of (-)-menthol.

104 **FIGURE 4** Relative stability of 102 (-)-menthol metabolite compounds in solution phase

Discussion

The most energetically favorable metabolic pathway shown in Figure 2. It has been proposed from Figure 1 (17-19) by identifying the lowest energy metabolite from each step in solution phase (Figure 4) with additional intermediates for completion. In general, the metabolite with the lowest relative energy in a step was the starting material for the lowest energy metabolite in the next. An exception to this was the compound soeoe-*S*, the lowest energy metabolite of step 3.

- This proposed pathway is in agreement with major aspects of those published, in particular the conversion of menthol to *p*-menthane-3,8-diol (oeooe). Partly due to increased solubility, the compound oeooe and its glucuronic acid conjugates, Oeooe/oeOee, were found to be major metabolites excreted in the urine of both rats and humans. (17-19) In contrast, *p*-menthane-3,7-diol (ooeee) and p-menthane-3,9-diol (oeeoe) excreted from both rats and humans in small quantities. Figure 3 reports that oxidation from alkane to aldehyde at either position 7, 8, 9, or 10 is equally exothermic with a slight preference for position 8. Published evidence that oeoee is formed as a product of enzymatic activity (18) and this observed thermodynamic preference explain the disproportionately large amount of oeooe isolated experimentally compared to its isomers.

- Oxidation from alcohol to aldehyde is an endothermic reaction, hence metabolites containing aldehyde groups are either not detected or detected in small quantities and serve as intermediates to products of intramolecular aldol condensation to form cyclic ethers or further exothermic oxidation to carboxylic acid. In rats, no metabolites containing aldehyde groups were detected in the plasma, urine, bile, or feces. The corresponding metabolic pathways show a direct conversion from alcohol to carboxylic acid. Only the most recent study conducted by Hiki et al. (19) reported detection of aldehyde menthol glucuronides in human urine at very low levels; the corresponding pathway shows further conversion to cyclic ethers and carboxylic acid. Since oxidation is a stepwise process, Figure 2 shows this stepwise conversion from oooee to oxoee-*R*.

- Likewise, metabolites resulting from sulfate conjugation were not detected in large quantities. (17-19) As shown in Figure 3, the reaction energies of sulfation (s-3) are not very exothermic in solution phase. The difference in reaction energies for this reaction in gas phase and solution phase is explained by charged species in the reactant side which is disproportionately stabilized by the solvent when comparted to the product side.

A spreadsheet file in Supplementary Information summarizes the standard Gibbs energies of each of the reactions in the metabolic pathways described in Figure 1. The average energies of each of these types of reactions are summarized in Figure 3. The energies were clustered according to the type of reaction. According to the figure, oxidation reactions (o1 and o2, addition of $\frac{1}{2}O_2$) and conjugation with sulfate are the most exergonic and should occur easily. With an exception

of sulfation where charged species are present on the reactant side, relative ranking of average reaction energies in gas-

phase and solution-phase are consistent and is always slightly less exergonic in gas-phase. This may be explained by the

fact that oxidation tends to introduce polar functional groups whose interactions with water serve to stabilize the

compound. The most endergonic reactions are four-membered ring formation (4D) and oxidation from alcohol to

aldehyde (o3, removal of H_2). The four-membered ring formation was proposed based on experimental evidence (18)

published in 1988 and should be verified in further experiment. Difference in reaction energies due to position effect

can be mostly explained by steric hindrance (i.e. g-8 has the highest reaction energy.) and inductive effect (i.e. o-8

producing secondary alcohol is the most exergonic.). The first step from the parents compound tends to be the most

exergonic with an average at -38.6 kcal/mol and the average reaction energy decreases monotonically to around -3.1

kcal/mol at the fifth step.

Concluding remarks

In this study, gas-phase structures of menthol and its metabolites (a total of 102 compounds and 151 reactions) were

obtained by quantum calculations at B3LYP/6-311++G(d,p) level. The standard Gibbs energies of their respective

reactions in solution were calculated with the SMD solvation model and corrected for standard state conditions. The

most thermodynamically favorable pathway reported was largely in agreement with previously published experimental

results. Information obtained in this study open possibilities for further investigation of the pharmacological effects of

menthol and its metabolites. Given that oxidation pathways of menthol are energetically favorable, potency and toxicity

of these oxidized derivatives should be further investigated. Different stereoisomer of menthol as well as MD-based

approaches could also be explored in future research.

Acknowledgement

156 We appreciate helpful suggestions from Assoc. Prof. Dr. Yuthana Tantirungrotechai.

Author Contributions

158 [This is to be copied from Editorial Manager after acceptance of the manuscript.]

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165	7. Project administration:	Taweetham Limpanuparb.		
166	8. Resources:	Taweetham Limpanuparb.		
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168	10. Supervision:	Taweetham Limpanuparb.		
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Supporting information captions

All Q-CHEM output files, Wolfram Mathematica notebook, shell script and Microsoft Excel spreadsheet for the calculations of Gibbs energies of reaction are provided. Case sensitivity file system is required to open these files properly. An instruction to enable this in Windows 10 is provided in the zip file.

oeeeee

oeoee

ooeee

oooee

ooeoe-*S*

oyoee-*R*

soeoe-*S*

oxoee-*R*

oxoee-4D-*R*

OH

OH

COOH

HSO₃

OHSO₃