

1 An *In-Silico* Investigation of Menthol Metabolism

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4 ABSTRACT

5 Prevalence of mentholated products for consumption has brought great importance to studies on menthol's metabolic
6 pathways to ensure safety, design more potent derivatives, and identify therapeutic benefits. Proposed pathways of (-)-
7 menthol metabolism based on metabolites found experimentally in previous works by Yamaguchi, Caldwell & Farmer,
8 Madyastha & Srivatsan and Hiki et al. were not in agreement. This *in silico* approach is based on the three *in vivo* studies and
9 aims to resolve the discrepancies. Reactions in the pathways are conjugation with a glucuronic acid/sulfate group, oxidation to
10 alcohol, aldehyde & carboxylic acid, and formation of a four-membered/five-membered ring. Gas-phase structures, standard
11 Gibbs energies and SMD solvation energies at B3LYP/6-311++G(d,p) level were obtained for 102 compounds in the pathways.
12 This study provides a more complete picture of menthol metabolism by combining information from three experimental studies
13 and filling missing links in previously published pathways.

14 Introduction

15 (-)-Menthol or 1*S*,3*R*,4*S*-menthol is a naturally occurring compound found in plants of the *Mentha* genus commonly known as
16 mint. It is the most abundant in nature among the 8 possible stereoisomers, comprising of at least 50% of peppermint
17 (*Mentha piperita*) oil and 70-80% of corn mint (*Mentha arvensis*) oil (1). (-)-Menthol, commonly referred to as menthol, has
18 characteristic minty smell and flavor and exerts a cooling sensation when applied to the skin and mucosal membranes (2). Other
19 isomers differ slightly in odor and physical characteristics and do not possess the cooling action (3, 4).

20 Menthol finds a wide range of applications from personal care products, medications, and confectionery to pesticides and
21 cigarettes. The popularity of the compound as a flavoring agent ranks third most important after vanilla and citrus (5), and the
22 annual production of menthol in India alone is in excess of 200 thousand metric tons (6). Due to its popularity, mentholated
23 products can be readily purchased as prescribed or over-the-counter medications as alleviators of common cold and respiratory
24 conditions (7), inhibitors of growth of foodborne pathogens (8), and analgesics (9).

25 Considering its wide range of applications, mechanisms of action of menthol were relatively unknown until recently. The cooling
26 sensation is a result of the activation of transient receptor potential melastatin-8 (TRPM8), an ion channel selective to
27 temperature, voltage, and menthol (10). Experimental evidence also show that (-)-menthol can selectively activate κ -opioid
28 receptors in mice and, as a result, lead to analgesic properties (9).

29 Chemical derivatives of menthol with enhanced activity have been successfully synthesized (11). In addition, health effects of
30 mentholated cigarettes is of great concern, not only because the improved taste may facilitate initiation or inhibit quitting but
31 also because metabolism of menthol via this route of administration has not been well studied (12, 13).

32 A few studies have been conducted on toxicological effects of menthol which supports the generally accepted belief that it is
33 safe and nontoxic. No signs of toxicity were observed in rats exposed to continuous doses of up to 800 mg/kg/day for 28 days
34 (5), and chronic exposure to high concentrations of menthol vapor was not reported to have toxic effects in rats (14). *In vitro*
35 studies on various animal tissues report deterioration of biological membranes at concentrations 0.32-0.76 mM (15). The
36 recommended daily intake for humans of 0-0.2 mg/kg proposed by the WHO (16) is not supported by any toxicological data but
37 was set to err on the side of safety knowing that higher doses taken may not have produced adverse side effects.

38 To the best of our knowledge, three *in vivo* studies by Yamaguchi, Caldwell & Farmer (17), Madyastha & Srivatsan (18) and Hiki
39 et al. (19) have identified metabolites of menthol in humans and animals. Metabolites were identified by GC/MS from the
40 urinary and biliary metabolites in rats treated with oral doses of 500 (17) and 800 (18) mg menthol/kg body weight. Over the
41 course of 48 hours, a majority of the doses were excreted in the urine and feces. A more recent randomized, double-blind,
42 placebo-controlled study in human by Hiki et al. (19) was conducted by directly spraying 0.8% (-)-menthol solution at escalating
43 doses of 10-40 mL onto the gastric mucosa. Blood and urine of the participants were sampled over a 24-hour period and
44 analyzed with GC/MS for menthol metabolites. In total, 72 metabolites were identified or proposed in this human study,
45 compared to 9 and 18 metabolites in the previous two experiments. (See supplementary information for the full list.) *In vitro*
46 investigation of metabolism in human liver microsomes revealed that the same key reactions in the metabolic pathway in rats
47 occur in the microsomes (20, 21).

48

49 **FIGURE 1** Metabolic pathway of menthol in rats and in human, an adaptation from Yamaguchi, Caldwell, & Farmer (17), Madyastha & Sirvastan (18) and Hiki et
50 al. (19). Red, Green, and Blue texts indicate that menthol metabolites were found in both rats and human, only in rats, and only in human respectively. Gray and
51 Black texts indicate menthol metabolites proposed by previous experiments and by this paper respectively. Arrows to the right and arrows upward indicate
52 oxidation reactions $+\frac{1}{2}O_2$ and $-H_2$ respectively. Downward arrows indicate conjugation with a sulfate group. Dashed arrows indicate reactions of four-membered
53 ring metabolites. Diagonal arrows toward top left indicate dehydration reaction. Main pathways are shown on the left and pathways containing metabolites
54 from conjugations with a glucuronic acid with similar possible connections are shown separately on the right. The full list of compounds is provided in Table 1

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56 This *in silico* investigation is based on the metabolites identified experimentally by the three *in vivo* studies (17-19). We aim to
57 resolve discrepancies and missing links found in these three studies by proposing more complete pathways in Figure 1 where
58 all 73 experimentally identified metabolites, 5 previously proposed intermediates and 24 newly proposed intermediates are
59 included. Possible reactions involving in the pathways are conjugation with a glucuronic acid/sulfate group, oxidation to alcohol,
60 aldehyde & carboxylic acid, and formation of a four/five-membered ring at position 3, 7, 8, 9 and 10 of the parent compound.
61 In this paper, we calculated Gibbs energies of reactions and associated them with the type, the position and the step of reaction
62 in the pathways.

63 Materials and methods

64 Gas-phase structures were calculated based on the B3LYP/6-311++G(d,p) level and were confirmed to be at minimum energy
65 on the electronic potential energy surfaces by frequency calculations. The solvation energies in water of the gas-phase
66 structures were calculated with the SMD model (22). The calculation of Gibbs energies in solution phase is the same as in our
67 previous work (23, 24) where there is a special treatment for water (25-28). All quantum chemical calculations were performed
68 using the Q-Chem 5.1 program package (29). (Shell script, spreadsheet templates, and Mathematica (30) notebook used were
69 modified from our previous work (23, 24). All output files and other associated codes to obtain the standard Gibbs energies of
70 the reaction are provided in the electronic supporting information). The abbreviated names for each of the metabolites in this
71 study are as in Figure 1. For simplicity, we based the naming system of menthol metabolites on their five substitutable positions,
72 namely position 3, 7, 8, 9 and 10. A menthol metabolite is referred to as a five-character sequence named according to its
73 substituted functional groups at these positions with the abbreviation explained below in Table 1.
74 All DFT calculations were completed with no imaginary frequencies, showing that each of the structures obtained from gas-
75 phase calculations were minima on the potential energy surfaces. The lowest energy structure of (-)-menthol is a chair
76 conformer of hexane where all three substituent groups are in equatorial positions as shown in Table 1. This is consistent with
77 previous computational result at B3LYP/6-31G(d,p) level (31). Benchmark calculations were also performed at MP2/6-
78 311++G(d,p) level for metabolites along the most likely pathways in Figure 2. Reaction energies obtained from MP2 and B3LYP
79 are in good agreement. (Coefficient of determination $r^2=0.9999$ and mean absolute error, MAE=2.25 kcal/mol. See
80 Supplementary Information for details.)

81

82 Results

83 The present study has combined the different published metabolic pathways of menthol and offers the relative stabilities of
84 each metabolite based on thermodynamic calculations for each step involved as reported in Figures 1 to 4. Reaction energies
85 were computed as listed in Supplementary Information with the relevant additional reagents (oxygen, sulfate group, hydronium
86 ion and glucuronic acid) and product (water and hydrogen) added to the scheme. They may not be the actual compound in the
87 reactions but they serve as simple reference points for the thermodynamic calculations for reactions of interested.

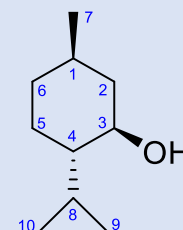
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TABLE 1 Abbreviations for the nomenclature of menthol metabolites referred to by the present study and a list of 102 compounds in this study grouped by molecular formula.

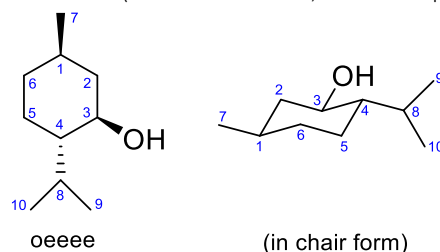
Position \ Group	3	7	8	9	10
Original form	o	e	e	e	e
Alkane	-	e	e	e	e
Alcohol	o	o	o	<u>o</u>	o
Aldehyde	y	<u>y</u>	-	<u>y</u>	y
Carboxylic acid	-	<u>x</u>	-	<u>x</u>	-
Dehydration	-4D for four-membered ring formation at positions 3 and 8				
Aldol reaction	-5A for four-membered ring formation at positions 3 and 9				
Glucuronic acid	O	<u>O,X</u>	-	<u>O,X,Y</u>	-
Sulfate group	s	-	-	-	-



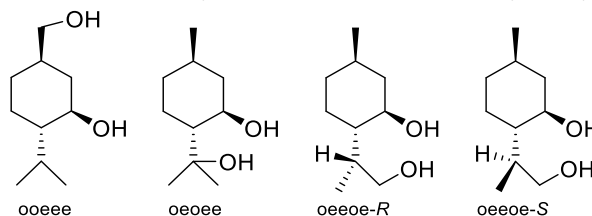
- An underlined indicates that there are *R* and *S* stereoisomers due to the substitution.
- Substitution at position 9 leads to a new chiral center if it is not the same as 10.
- Substitution at position 10 is forced to have lower or the same oxidation state for the carbon atom when compared to position 9.
- Dashes are where substitution with the functional group at that respective position cannot occur

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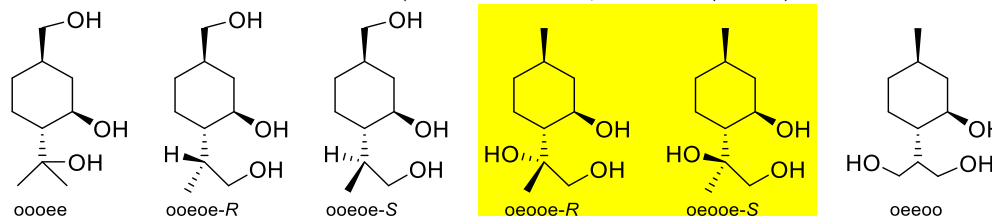
formula: C₁₀H₂₀O (molar mass 156.26, total 1 compound)



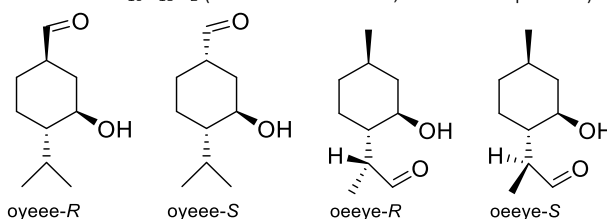
formula: C₁₀H₂₀O₂ (molar mass 172.26, total 4 compounds)



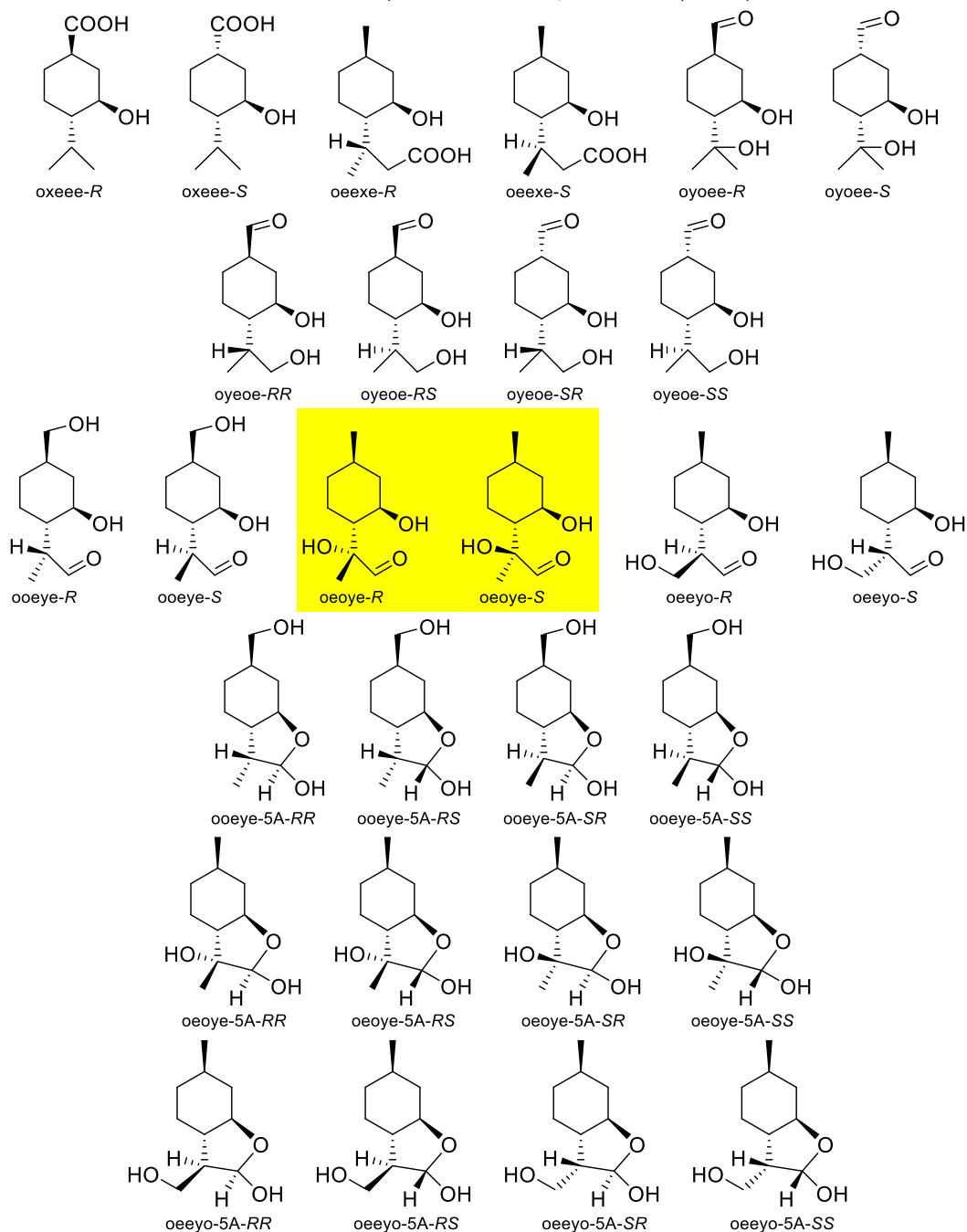
formula: C₁₀H₂₀O₃ (molar mass 188.26, total 6 compounds)



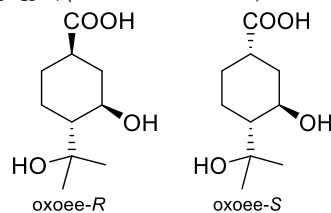
formula: C₁₀H₁₈O₂ (molar mass 170.25, total 4 compounds)



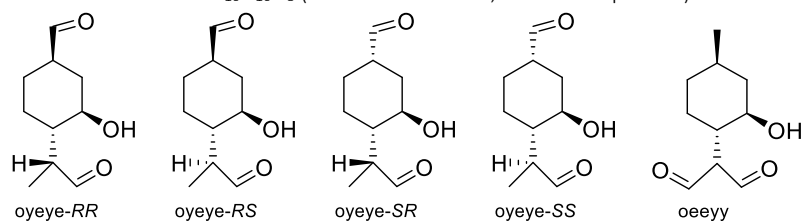
formula: $C_{10}H_{18}O_3$ (molar mass 186.25, total 28 compounds)



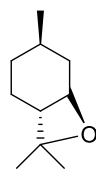
formula: $C_{10}H_{18}O_4$ (molar mass 202.25, total 2 compounds)



formula: $C_{10}H_{16}O_3$ (molar mass 184.23, total 5 compounds)

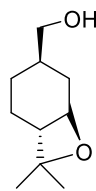


formula: C₁₀H₁₈O (molar mass 154.25, total 1 compound)



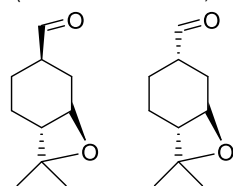
oeeee-4D

formula: C₁₀H₁₈O₂ (molar mass 170.25, total 1 compound)



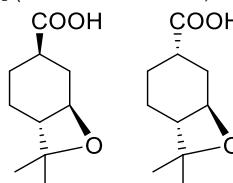
oooo-4D

formula: C₁₀H₁₆O₂ (molar mass 168.23, total 2 compounds)



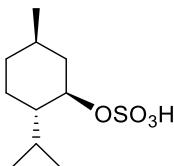
oyeee-4D-R oyeee-4D-S

formula: C₁₀H₁₆O₃ (molar mass 184.23, total 2 compounds)



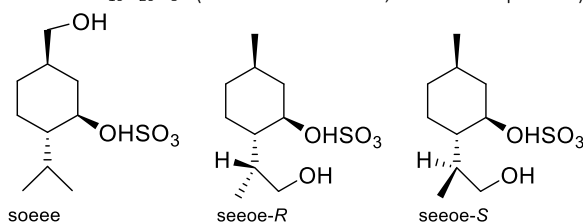
oxoeee-4D-R oxoeee-4D-S

formula: C₁₀H₂₀O₄S (molar mass 236.33, total 1 compound)



seeee

formula: C₁₀H₂₀O₅S (molar mass 252.33, total 3 compounds)

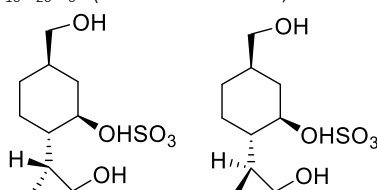


soeee

seoee-R

seoee-S

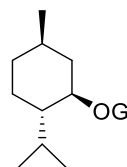
formula: C₁₀H₂₀O₆S (molar mass 268.33, total 2 compounds)



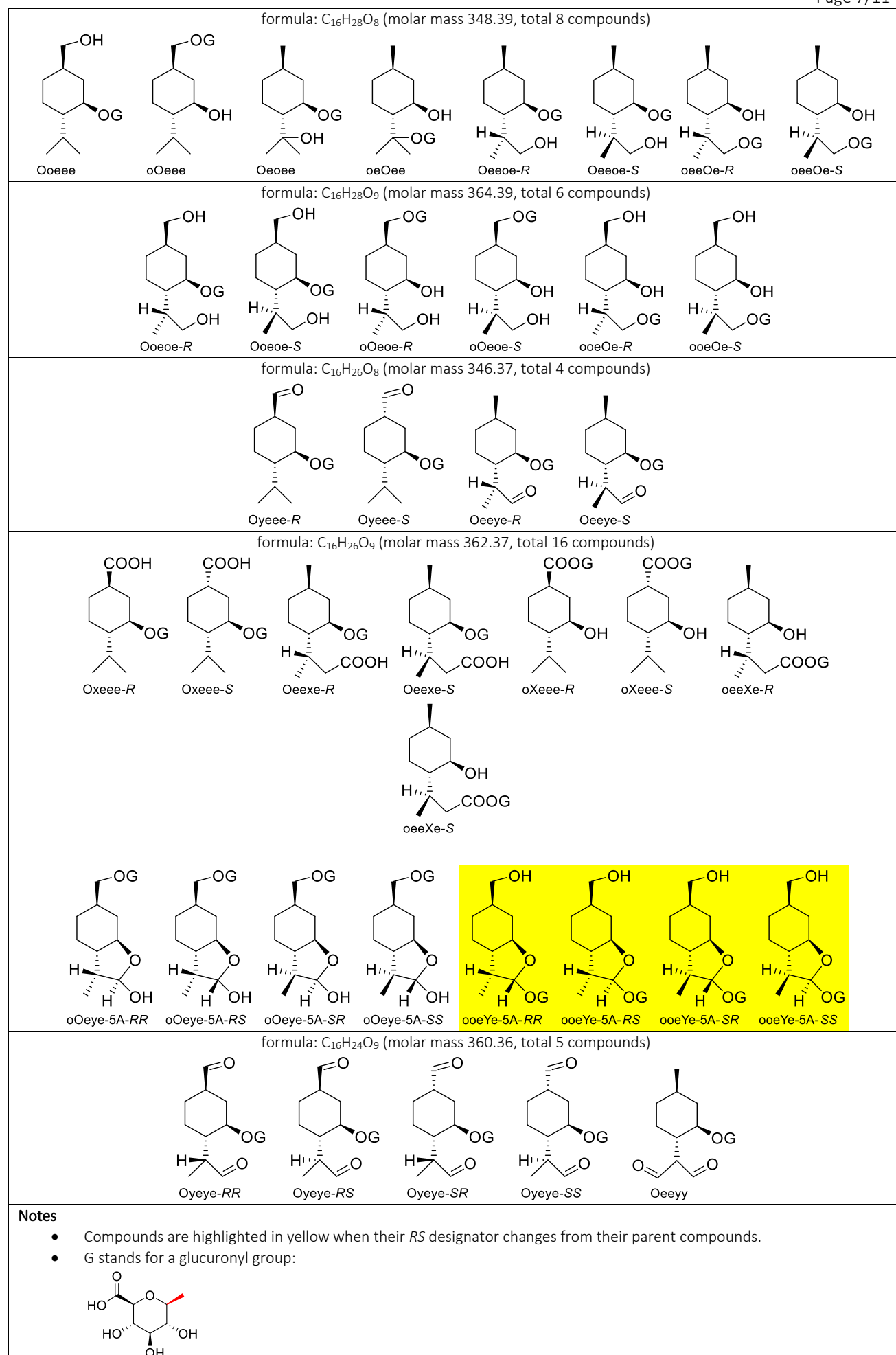
soeoe-R

soeoe-S

formula: C₁₆H₂₈O₇ (molar mass 332.39, total 1 compound)

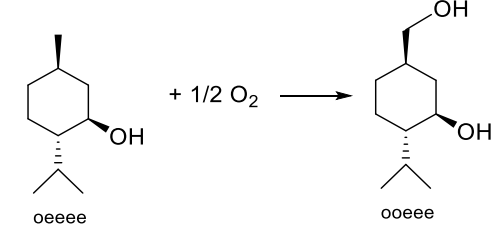
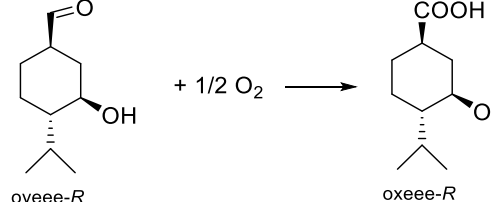
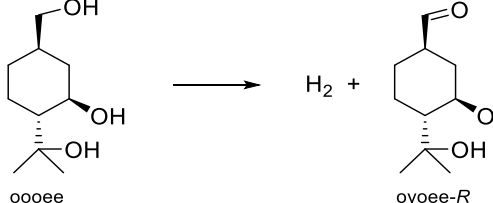
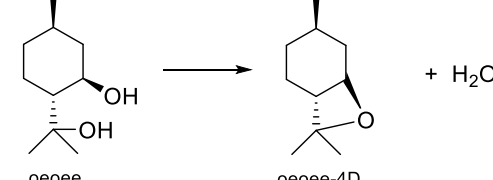
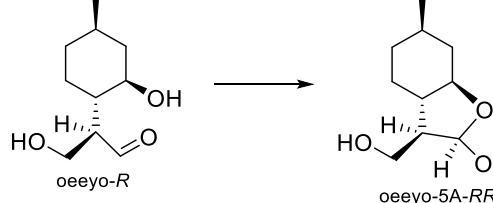
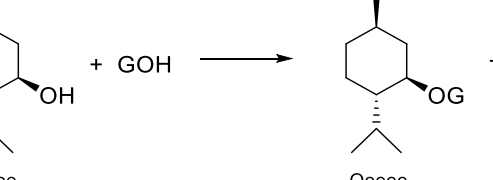
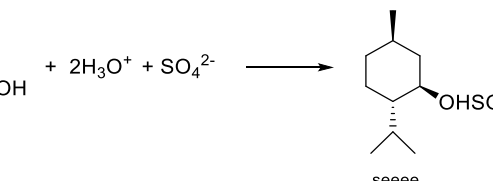


Oeeee



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TABLE 2 Representative of oxidation reactions to alcohol, aldehyde and carboxylic acid, ring formation (dehydration reaction and aldol reaction) and conjugations with a glucuronic acid/sulfate group.

Abbreviation/explanation	Example
o1 for oxidation from alkane to alcohol	
o2 for oxidation from aldehyde to carboxylic acid	
o3 for oxidation form alcohol to aldehyde	
4D for dehydration (four-membered ring formation)	
5A for aldol reaction (five-membered ring formation)	
g for conjugations with a glucuronic acid	
s for conjugations with a sulfate group	

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FIGURE 2 Lowest energy pathway for menthol metabolism

100 **FIGURE 3** Average standard Gibbs energies in solution phase and gas phase for oxidation from alkane to alcohol (o1), oxidation from aldehyde
101 to carboxylic acid (o2), oxidation from alcohol to aldehyde (o3), dehydration or four-membered ring formation (4D), aldol reaction or five-
102 membered ring formation (5A), conjugation with a glucuronic acid (g) and conjugation with a sulfate group (s) at five different positions of (-)-
103 menthol.

104 **FIGURE 4** Relative stability of 102 (-)-menthol metabolite compounds in solution phase

105 Discussion

106

107 The most energetically favorable metabolic pathway shown in Figure 2. It has been proposed from Figure 1 (17-19) by
108 identifying the lowest energy metabolite from each step in solution phase (Figure 4) with additional intermediates for
109 completion. In general, the metabolite with the lowest relative energy in a step was the starting material for the lowest
110 energy metabolite in the next. An exception to this was the compound soeoe-S, the lowest energy metabolite of step 3.

111 • This proposed pathway is in agreement with major aspects of those published, in particular the conversion
112 of menthol to *p*-menthane-3,8-diol (oeoe). Partly due to increased solubility, the compound oeoe and its
113 glucuronic acid conjugates, Oeoe/oeOee, were found to be major metabolites excreted in the urine of both
114 rats and humans. (17-19) In contrast, *p*-menthane-3,7-diol (ooeee) and *p*-menthane-3,9-diol (oeoe) excreted
115 from both rats and humans in small quantities. Figure 3 reports that oxidation from alkane to aldehyde at either
116 position 7, 8, 9, or 10 is equally exothermic with a slight preference for position 8. Published evidence that
117 oeoe is formed as a product of enzymatic activity (18) and this observed thermodynamic preference explain
118 the disproportionately large amount of oeoe isolated experimentally compared to its isomers.

119 • Oxidation from alcohol to aldehyde is an endothermic reaction, hence metabolites containing aldehyde
120 groups are either not detected or detected in small quantities and serve as intermediates to products of
121 intramolecular aldol condensation to form cyclic ethers or further exothermic oxidation to carboxylic acid. In
122 rats, no metabolites containing aldehyde groups were detected in the plasma, urine, bile, or feces. The
123 corresponding metabolic pathways show a direct conversion from alcohol to carboxylic acid. Only the most
124 recent study conducted by Hiki et al. (19) reported detection of aldehyde menthol glucuronides in human urine
125 at very low levels; the corresponding pathway shows further conversion to cyclic ethers and carboxylic acid.
126 Since oxidation is a stepwise process, Figure 2 shows this stepwise conversion from ooeee to oxoe-R.

127 • Likewise, metabolites resulting from sulfate conjugation were not detected in large quantities. (17-19) As
128 shown in Figure 3, the reaction energies of sulfation (s-3) are not very exothermic in solution phase. The
129 difference in reaction energies for this reaction in gas phase and solution phase is explained by charged species
130 in the reactant side which is disproportionately stabilized by the solvent when compared to the product side.

131

132 A spreadsheet file in Supplementary Information summarizes the standard Gibbs energies of each of the reactions in the
133 metabolic pathways described in Figure 1. The average energies of each of these types of reactions are summarized in
134 Figure 3. The energies were clustered according to the type of reaction. According to the figure, oxidation reactions (o1
135 and o2, addition of $\frac{1}{2}\text{O}_2$) and conjugation with a sulfate group are the most exergonic and should occur easily. With an

136 exception of sulfation where charged species are present on the reactant side, relative ranking of average reaction
137 energies in gas-phase and solution-phase are consistent and is always slightly less exergonic in gas-phase. This may be
138 explained by the fact that oxidation tends to introduce polar functional groups whose interactions with water serve to
139 stabilize the compound. The most endergonic reactions are four-membered ring formation (4D) and oxidation from
140 alcohol to aldehyde (o3, removal of H₂). The four-membered ring formation was proposed based on experimental
141 evidence (18) published in 1988 and should be verified in further experiment. Difference in reaction energies due to
142 position effect can be mostly explained by steric hindrance (i.e. g-8 has the highest reaction energy.) and inductive effect
143 (i.e. o-8 producing secondary alcohol is the most exergonic.). The first step from the parents compound tends to be the
144 most exergonic with an average at -38.6 kcal/mol and the average reaction energy decreases monotonically to around -
145 3.1 kcal/mol at the fifth step.

146 Concluding remarks

147 In this study, gas-phase structures of menthol and its metabolites (a total of 102 compounds and 151 reactions) were
148 obtained by quantum calculations at B3LYP/6-311++G(d,p) level. The standard Gibbs energies of their respective
149 reactions in solution were calculated with the SMD solvation model and corrected for standard state conditions. The
150 most thermodynamically favorable pathway reported was largely in agreement with previously published experimental
151 results. Information obtained in this study open possibilities for further investigation of the pharmacological effects of
152 menthol and its metabolites. Given that oxidation pathways of menthol are energetically favorable, potency and toxicity
153 of these oxidized derivatives should be further investigated. Different stereoisomer of menthol as well as MD-based
154 approaches could also be explored in future research.

155 Acknowledgement

156 We appreciate helpful suggestions from Assoc. Prof. Dr. Yuthana Tantirungrotechai.

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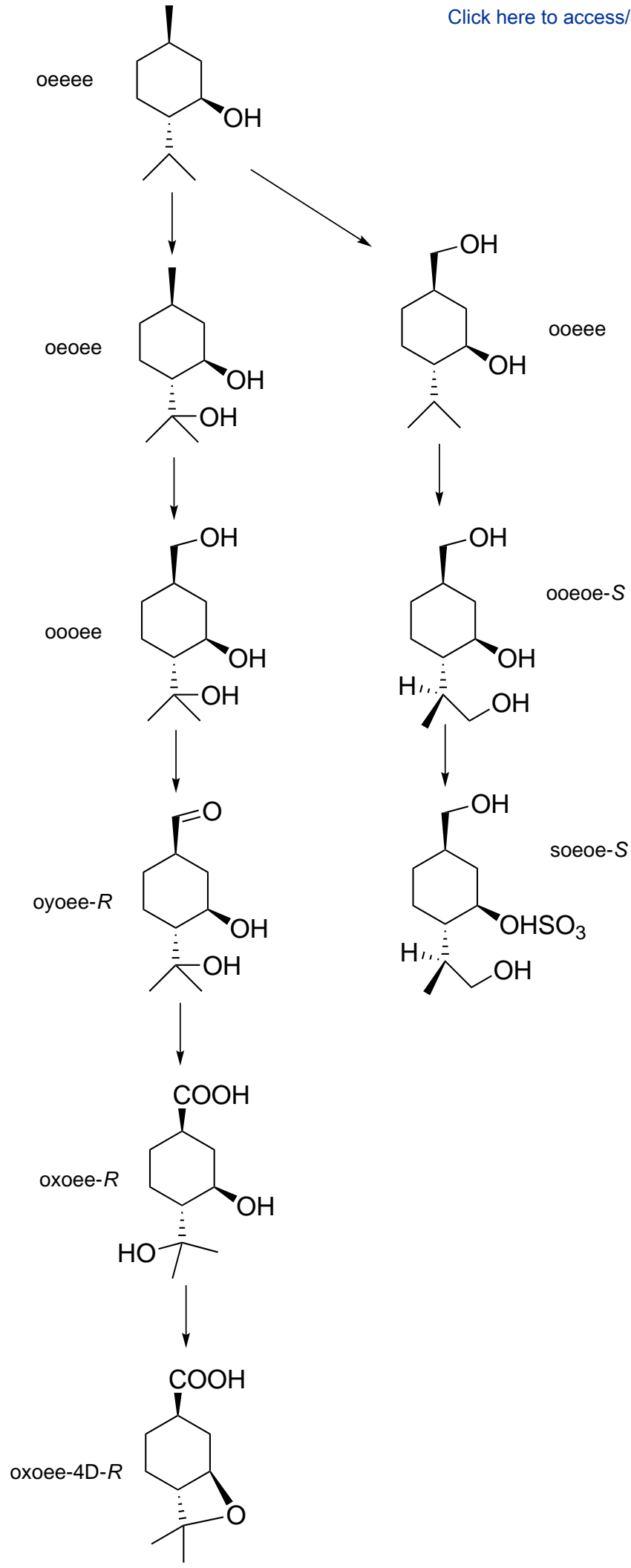
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209

210 Supporting information captions

211 All Q-CHEM output files, Wolfram Mathematica notebook, shell script and Microsoft Excel spreadsheet for the
212 calculations of Gibbs energies of reaction are provided. Case sensitivity file system is required to open these files properly.

213 An instruction to enable this in Windows 10 is provided in the zip file.



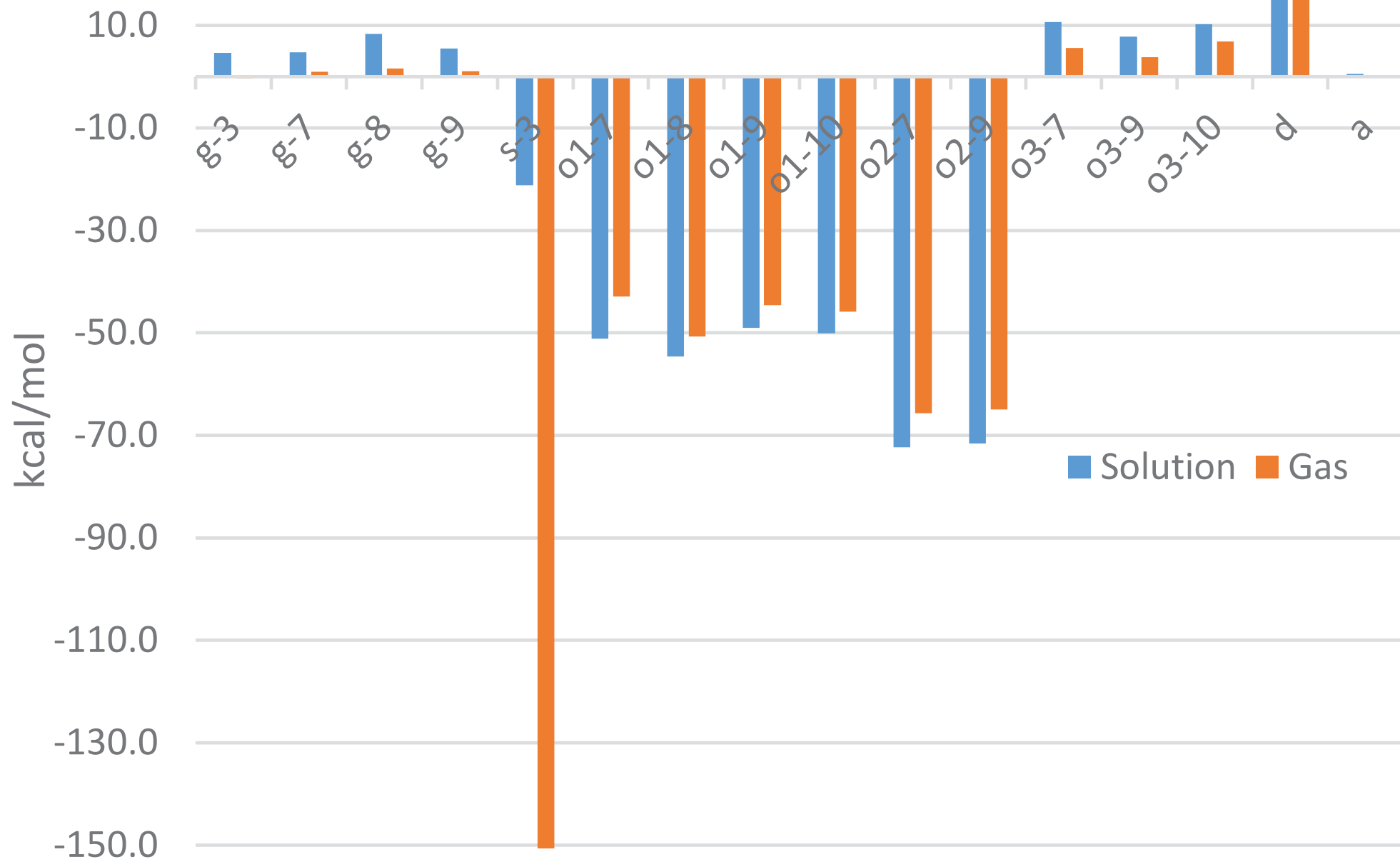
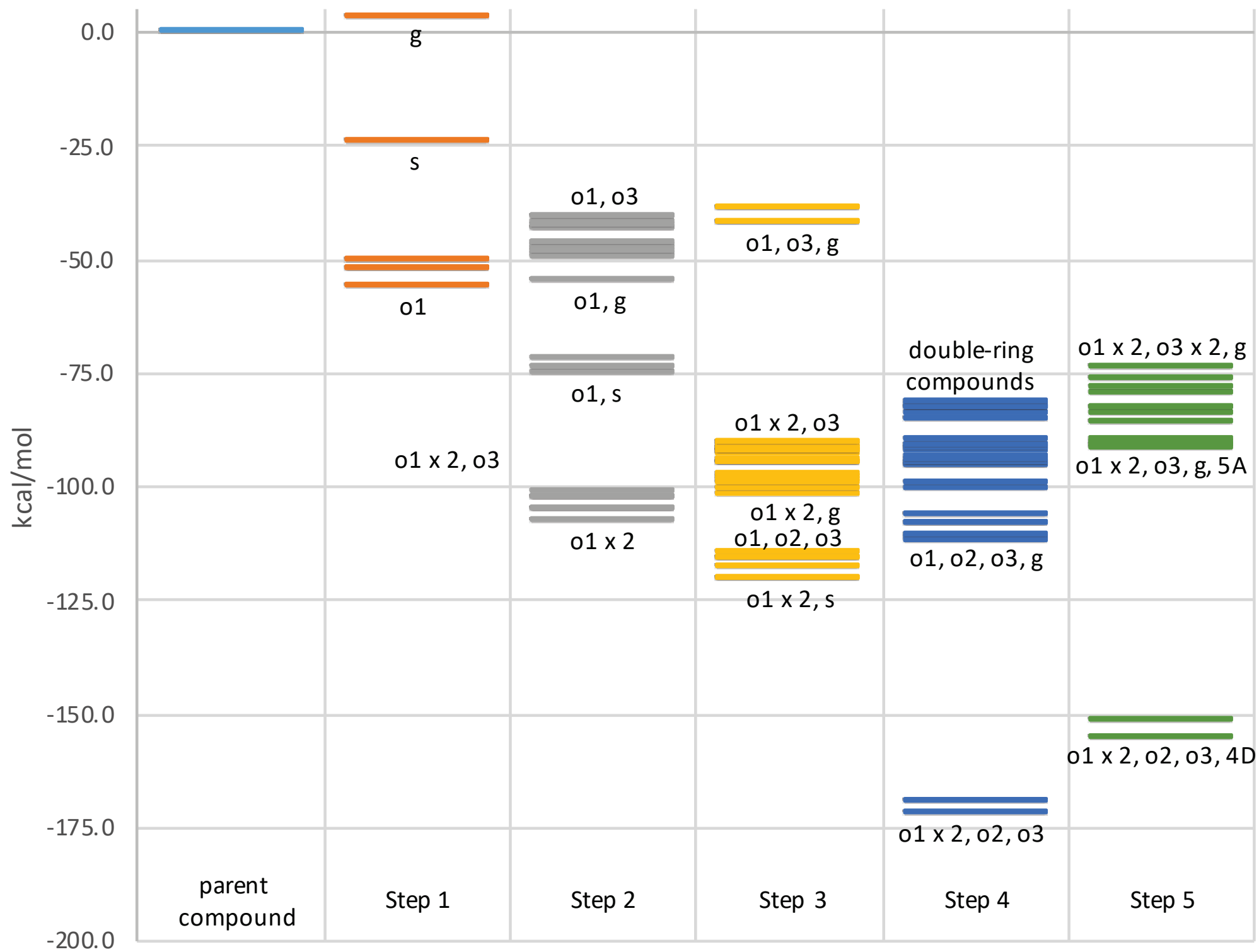


Figure4





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Supporting Information - Compressed/ZIP File Archive
Q-Chem.zip

