Title: Serotonergic modulation of visual neurons in *Drosophila melanogaster*

Abbreviated Title: Serotonergic modulation of visual neurons in *Drosophila*

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Abstract

Sensory systems rely on neuromodulators, such as serotonin, to provide flexibility in the face of a highly variable stimulus space, and serotonin modulates a variety of circuits in both mammals and invertebrates. Serotonergic neurons innervate the optic ganglia of *Drosophila melanoaster*, a widely used model for the study of visual behavior. However, the functional role of serotonin in *Drosophila* vision is not known. To address this question, we mapped serotonin receptor expression in the first optic ganglion, the lamina, and tested whether serotonin regulates the physiology of a subset of laminar neurons. Serotonin receptors are expressed in multiple lamina cells including 5-HT2B in laminar monopolar cell L2, required for the initial steps of visual processing, and both 5-HT1A and 5-HT1B in T1 cells, whose function in vision is unknown. Subcellular mapping with GFP-tagged receptors showed that 5-HT2B in L2 and 5-HT1A in T1 localize to the nerve terminals, suggesting that the medulla is the primary site of serotonergic regulation for these neurons. Indeed, serotonin increases intracellular calcium in L2 nerve terminals, and this response was ablated by mutation of 5-HT2B. Serotonin also alters the kinetics of visually induced calcium transients in L2 following dark flashes, and this too was ablated by loss of 5-HT2B. Our study demonstrates that a subset of neurons involved in the initial steps of visual processing are regulated by serotonin and establishes a new platform for the study of serotonergic neuromodulation.

Significance Statement

Serotonin signaling is mediated by complex array of receptor subtypes. The

molecular mechanisms by which these receptors regulate circuit activity and behavior

remain unclear. The visual system of *Drosophila* offers a potential genetic model to

study serotonin neuromodulation, yet previous studies have not determined how

serotonin modulates the function of neurons in the visual system, nor demonstrated the

contribution of specific serotonin receptors. We provide the first functional data showing

a role for specific serotonin receptors in neurons required for initiating visual processing

in *Drosophila*. Our data establish a new model for studying the mechanisms by which

serotonin regulate vision and related behaviors.

Introduction

Serotonin acts a neuromodulator (Marder, 2012, Marder et al., 2014, Nadim and

Bucher, 2014) in a variety of networks including the sensory systems required for

olfaction and vision (e.g. (Dacks et al., 2008, Petzold et al., 2009, Brunert et al., 2016,

Lottem et al., 2016, Seillier et al., 2017)). In the mammalian visual cortex, serotonin

regulates the balance of excitation and inhibition (Moreau et al., 2010), cellular plasticity

(Gagolewicz and Dringenberg, 2016, Lombaert et al., 2018), and response gain (Seillier

et al., 2017). In some cases, the contribution of individual receptor subtypes is known;

for example, in the mammalian retina, serotonin signaling reduces GABAergic amacrine

cell input to retinal ganglion cells (RGCs) via 5-HT1A (Zhou et al., 2018) and can

modulate the response of RGCs to visual stimuli (Trakhtenberg et al., 2017). However,

for most sensory circuits, the manner in which serotonin receptor activation regulates

information processing remains poorly understood.

The visual system of *Drosophila melanogaster* provides a powerful genetic model to study visual circuits as well as their regulation (Borst and Helmstaedter, 2015, Cheng and Frye, 2019). In *Drosophila,* visual processing begins in the lamina where intrinsic monopolar neurons receive direct input from photoreceptors (Meinertzhagen and O'Neil, 1991). Lamina monopolar cells L1 and L2 respond to changes in luminance and signal to downstream medulla neurons (Joesch et al., 2010, Strother et al., 2014, Yang et al., 2016). Significant progress has been made in mapping the synaptic connectivity and function of visual processing neurons, including those required for motion detection (Meinertzhagen and O'Neil, 1991, Takemura et al., 2008, Rivera-Alba et al., 2011, Takemura et al., 2017a, Shinomiya et al., 2019). Additional studies have uncovered some neuromodulatory pathways that regulate visual processing neurons (Cheng and Frye, 2019). However, our understanding of neuromodulatory processes in the visual system lag far behind the more extensive study of synaptic connectivity and behavior (Borst and Helmstaedter, 2015, Sanes and Zipursky, 2010).

Serotonergic neurons broadly innervate the *Drosophila* optic ganglia (Nässel, 1988, Valles and White, 1988) and previous studies indicate that serotonin may impact cellular activity and visual behavior in insects. In the blowfly*,* serotonin altered electrophysiological field recordings representing the combined output of L1 and L2 neurons (Chen et al., 1999). In the honeybee, single cell recordings in motion-sensitive lobula neurons showed that serotonin signaling reduces directional selectivity and amplitude of field potentials evoked by moving stripes (Kloppenburg and Erber, 1995). In the house fly, serotonin regulates rhythmic size changes in L1 and L2 terminals in the medulla (Pyza and Meinertzhagen, 1996) and in *Drosophila*, serotonin modulates the

voltage dependence of potassium channels in photoreceptors (Hevers and Hardie,

1995). To date, the molecular mechanisms responsible for these effects and the

potential contributions of specific subtypes of serotonin receptors to the functions of the

fly visual system remains unknown.

The cellular effects of serotonin signaling are highly complex due to diverse

secondary messenger cascades (Nichols and Nichols, 2008, McCorvy and Roth, 2015)

and combinatorial expression of receptors within a single cell (Araneda and Andrade,

1991, Maroteaux et al., 2019) or circuit (Celada et al., 2013). Moreover, receptors can

induce both immediate and long-term changes in physiology. The precise effect of

serotonin receptor activation in specific cells are difficult to predict and necessitates the

use of functional assays to complement expression studies. Although one other study

has recently reported serotonin receptor expression in visual neurons (Davis et al.,

2020), to our knowledge there is no information on the functional effect(s) of any

serotonin receptor in any cell within the fly visual system. In this work, we show how a

specific serotonin receptor, 5-HT2B, regulates visual processing in one of the lamina

neurons, L2, responsible for the initiation of visual processing.

Methods

Fly Husbandry and Genetic Lines

123   Flies were maintained on a standard cornmeal and molasses-based agar media

124   with a 12:12 hour light/dark cycle at room temperature (22-25°C). Serotonin receptor

125   MiMIC-T2A-GAL4 lines described in (Gnerer et al., 2015) were a gift from Herman

Dierick (Baylor College of Medicine), and include 5-HT1A-GAL4[MI01468], 5-HT1A-GAL4[MI01140], 5-HT1A-T2A-GAL4[MI04464], 5-HT1B-T2A-GAL4[MI05213], 5-HT2A-GAL4 [MI0459], 5-HT2A-GAL4 [MI03299], 5-HT2B-T2A-GAL4[MI06500], 5-HT2B-T2A-GAL4[MI5208], 5-HT2B-GAL4[MI7403], and 5-HT7GAL4 [MI00215]. Split-GAL4 and LexA lines for L1 and T1 ([Tuthill et al., 2013]) were provided by Aljoscha Nern (HHMI/Janelia Research Campus). L. Zipursky generously provided L2-split-GAL4 and L2-LexA (RRID:BDSC_52510). Yi Rao (Peking University) generously shared 5-HT2B GAL4 SII (5-HT2B mutant) ([Qian et al., 2017]), 5-HT1A::sfGFP ([Deng et al., 2019]), and UAS-5-HT2B::sfGFP ([Qian et al., 2017]).

Reporter lines include: UAS-mCD8::GFP (RRID:BDSC_5137), UAS-MCFO-1 (RRID:BDSC_64085), UAS-GCaMP6f (RRID:BDSC_42747), UAS-ArcLight (RRID:BDSC_51056), UAS-DenMark, UAS-Syt.eGFP (RRID:BDSC_33064 and RRID:BDSC_33065), UAS-mCD8::RFP, LexAop-mCD8::GFP (RRID:BDSC_32229), and UAS-nSyb::GFP1-10, LexAop-CD4:GFP11 (RRID:BDSC_64314; provided by Larry Zipursky (UCLA)).

Immunohistochemistry

Flies were dissected 5-10 days after eclosion, and equal numbers of males and females were used for all experiments unless otherwise noted. Brains were dissected in ice-cold PBS (Alfa Aesar, Cat#J62036, Tewksbury, MA), then fixed in 4% paraformaldehyde (FisherScientific, Cat#50-980-493, Waltham, MA) in PBS with 0.3% Triton X-100 (Millipore Sigma, Cat#X100, Burlington, MA) (PBST) for one hour at room temperature. After fixation, brains were washed three times with PBST for 10 minutes,

then blocked for 30 minutes in PBST containing 0.5% normal goat serum (NGS)

(Cayman Chemical, Cat#10006577, Ann Arbor, MA) PBST. Antibodies were diluted in

0.5% NGS/PBST. Primary antibodies were incubated with the tissue overnight at 4°C.

The next day, the brains were washed three times with PBST for 10 minutes, then

incubated with secondary antibodies for 2 hours in the dark at room temperature. Brains

were washed three times with PBST for 10 minutes before mounting.

For frontal mounting, brains were washed with 60% and 80% glycerol (Millipore

Sigma, Cat#G5516) and mounted in Fluoromount-G (SouthernBiotech, Cat#0100-01,

Birmingham, AL). For dorsal-ventral mounting, brains were fixed in 2% PFA/PBST

overnight. The next day, brains were washed three times with PBST. Brains were

dehydrated with a series of 10 min ethanol baths of increasing concentrations (30%,

50%, 75%, 95%, 100%, 100%, and 100%). Brains were then transferred to 100%

xylene before mounting in DPX (FisherScientific, Cat#50-980-370).

Serotonin immunolabeling was performed with 1:25 rat anti-serotonin (Millipore

Sigma, Cat#MAB352, RRID:AB_11213564), 1:1000 rabbit anti-serotonin (ImmunoStar,

Cat#20080, Hudson, WI ,RRID:AB_572263) or 1:1000 goat anti-serotonin (ImmunoStar,

Cat#20079, RRID:AB_572262). GFP was labeled with 1:250 mouse anti-GFP (Sigma-

Aldrich, Cat#G6539, RRID:AB_259941; or, ThermoFisher, Waltham, MA, Cat#A-11120,

RRID:AB_221568). Secondary antibodies were used at 1:400 and include: Alexa Fluor

488, 594 or 647 (Jackson ImmunoResearch Laboratories, Westgrove, PA, Cat#715-

545-151, # 711-585-152, # 712-605-153) or Alexa Fluor 555 (Life Technologies,

ThermoFisher, Cat#A-21428).

MultiColor FlpOut (MCFO-1) sparse labeling was induced by heat activation at 37°C for 10-15 minutes at least 2 days prior to dissection as described (Nern et al., 2015). Primary antibodies included 1:300 rabbit anti-HA (Cell Signaling Technology, Cat#3724, Danvers, MA, RRID:AB_1549585), 1:150 rat anti-FLAG (Novus, Littleton, CA, Cat#NBP1-06712, RRID:AB_1625982), and 1:400 anti-V5::Dylight-550 (Bio-Rad, Hercules, CA, Cat#MCA1360D550GA, RRID:AB_2687576).

Imaging and Image Processing

Imaging was performed with a Zeiss LSM 880 Confocal with Airyscan (Zeiss, Oberkochen, Germany) using a 40x water or 63x oil immersion objective. Post-hoc processing of images was done with Fiji (Schindelin et al., 2012) or Adobe Photoshop (Adobe, San Jose, CA) . All adjustments were applied to every pixel in the image. In some cases we applied non-linear adjustments across the entire image.

FACS and RNA Extraction

L2, T1 and L1 neurons were labeled using split-GAL4 drivers (Tuthill et al., 2013) combined with UAS-mCD8::GFP (RRID:BDSC_5137). Brains were dissected on the day of eclosion and optic lobes were dissociated according to previously published methods (Tan et al., 2015). The dissociated optic lobe cells were separated by fluorescence-activated cell sorting (FACS) into GFP-positive and GFP-negative isolates using a BD FACS Aria II high-speed cell sorter. Flow cytometry was performed in the UCLA Jonsson Comprehensive Cancer Center (JCCC) and Center for AIDS Research

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David Geffen School of Medicine at UCLA, the UCLA Chancellor's Office, and the UCLA

Vice Chancellor's Office of Research. For FACS, each experiment was performed with

18-40 brains, and yielded between 1,700-7,800 GFP$^+$ cells. RNA was extracted from

isolated cells with ARCTURUS® PicoPure® RNA Isolation Kit (ThermoFisher, KIT0204)

or RNeasy Plus Micro Kit (QIAGEN, 74034).

RT-qPCR

RNA extracted from FACS isolates was reverse transcribed using SuperScript III

(Invitrogen, ThermoFisher, Cat#18080093). RT-qPCR was performed for receptor

cDNA using validated primers (Table 1) and SYBR Green Power PCR Mix (Applied

Biosystems, ThermoFisher) on an iQ5 real-time qPCR detection system (Bio-Rad).

Primers were designed using Primer-Blast (https://www.ncbi.nlm.nih.gov/tools/primer-

blast/) or were from the DGRC FlyPrimerBank (Hu et al., 2013); oligonucleotides were

obtained from Integrated DNA Technologies (Coralville, Iowa). Primer pairs were

validated to amplify a single product, verified by a single melting temperature and single

band on an electrophoresis gel. The efficiency for each primer pair was between 85-

115%. Comparisons between GFP$^+$ and GFP$^-$ samples were calculated as enrichment

(i.e., fold change) using the comparative CT method (Schmittgen and Livak, 2008). A

zero value was imputed for samples with no amplification (i.e., no CT value).

Table 1. RT-qPCR primer sequences and mRNA (cDNA) target information.

S1 Table. Primer sequences and mRNA target information.

Primer ID	Gene Symbol	Primer Sequence (5' to 3')	Transcript Specificity	Design
5HT1A Forward	Dmel\5-HT1A	TTCGTGGCCTGCCTAGTAAT	All	BLAST
5HT1A Reverse	Dmel\5-HT1A	CCAGTAACGATCGACGGCAA	All	BLAST
5HT2B Forward	Dmel\5-HT2B	AAAGCCGATTGCTTCTCCAAC	All	BLAST
5HT2B Reverse	Dmel\5-HT2B	GATTCAGGACTCGCGAAAGG	All	BLAST
5HT1B Forward	Dmel\5-HT1B	ATTTCGCCAGTTTGGCCATT	All	BLAST
5HT1B Reverse	Dmel\5-HT1B	CGTTGCTGGTGCGATAATCA	All	BLAST
5HT7 Forward	Dmel\5-HT7	TCGACGACTTTTGGAAGCAC	All	BLAST
5HT7 Reverse	Dmel\5-HT7	ATTGTCGTCGGGAACTGGG	All	BLAST
5HT2A Forward	Dmel\5-HT2A	CGACTGCAAAATGTGGGTCT	All except F	BLAST
5HT2A Reverse	Dmel\5-HT2A	CCTCGCAGATGTGTCTGTTG	All except F	BLAST
RP49 Forward	Dmel\RpL32	GGTTTCCGGCAAGCTTCAA	B Only	BLAST
RP499 Reverse	Dmel\RpL32	TGTTGTCGATACCCTTGGGC	B Ony	BLAST
PP3447 Forward	Dmel\shakB	ACACCAAGATGGCTGTGGAAA	All	DGRC
PP3447 Reverse	Dmel\shakB	GCTTCGGAACAAATGCCTATGTC	All	DGRC

RNA-Seq

RNA-Seq was performed using a SMART-Seq protocol adapted from (Picelli et al., 2013, Picelli et al., 2014, Tan et al., 2015). Libraries were constructed using the SMART-seq v4 Ultra Low-input RNA sequencing kit with Nextera XT (Takara Bio). Paired-end sequencing was conducted by the UCLA genomic core facility (https://www.semel.ucla.edu/ungc/services). After demultiplexing, we obtained between 39-270 (average 105) million reads per sample. Quality control was performed on base

qualities and nucleotide composition of sequences. Alignment to the *Drosophila*

melanogaster genome (BDGP6) was performed using the STAR spliced read aligner

(Dobin et al., 2013) with default parameters. Additional QC was performed after the

alignment to examine the following: level of mismatch rate, mapping rate to the whole

genome, repeats, chromosomes, and key transcriptomic regions (exons, introns, UTRs,

genes). Between 75-85% of the reads mapped uniquely to the fly genome. Total counts

of read fragments aligned to candidate gene regions within the reference gene

annotation were derived using HTSeq program and used as a basis for the

quantification of gene expression. Only uniquely mapped reads were used for

subsequent analyses. Following alignment and read quantification, we performed

quality control using a variety of indices, including consistency of replicates and average

gene coverage. For Fig 4D, L2 samples were run in two separate sequencing runs and

we did not perform corrections for any potential batch effects. Data is shown as

Transcripts Per Million (TPMs).

Live Cell Imaging

Calcium imaging was performed as previously described (Keles and Frye, 2017).

Briefly, flies were anesthetized at 4°C and placed into a chemically etched metal shim

within a larger custom-built fly holder. The fly holder was based on a previously

described design (Weir and Dickinson, 2015). The head capsule and the thorax were

glued to the metal shim using UV-curable glue (www.esslinger.com). The legs,

proboscis and antennae were immobilized using beeswax applied with a heated metal

probe (Waxelectric-1, Renfert). The head capsule was immersed in insect saline (103

mM NaCl, 3 mM KCl, 1.5mM CaCl2, 4 mM MgCl2, 26 mM NaHCO3, 1 mM NaH2PO4,

10 mM trehalose, 10 mM glucose, 5 mM TES, 2 mM sucrose) (Wilson et al., 2004). A

small window on the right rear head capsule was opened using sharp forceps (Dumont,

#5SF). Muscles and fat covering the optic lobe were cleared before placing the fly under

the 2-photon microscope (VIVO, 3i: Intelligent Imaging Innovations, Denver, CO).

Neurons expressing GCaMP6f were imaged at 920-nm using a Ti:Sapphire Laser

(Chameleon Vision, Coherent). Images were acquired at 10-20 frames/s. Only female

flies were used for live imaging experiments.

A custom-built gravity perfusion system was used for bath application of either

serotonin or saline control to the fly's exposed optic lobe. For Fig 6, the tissue was first

perfused with insect saline containing 1μm tetrodotoxin citrate (TTX) (Alomone Labs,

Jerusalem, Israel, Cat#T-550) for at least 5 minutes at 2 mL/min, prior to each

recording. TTX remained present throughout the experiment. To examine the effects of

serotonin on calcium levels, baseline GCaMP6f fluorescence was recorded for one

minute before switching to the second input containing either 100μM serotonin

hydrochloride (Sigma Aldrich, Cat# H9523) or saline alone for an additional five minutes

of recording. Due to perfusion tubing length and dead volume, the perfusion switch took

approximately 1 min 45 s to reach the tissue.

Visual Stimulus Experiments

Visual stimuli were shown using an arena composed of 48 eight by eight-pixel

LED panels, at 470 nm (Adafruit, NY, NY). The panels were assembled into a curved

display that extends 216° along the azimuth and ±35° in elevation. Each pixel

subtended an angle of 2.2° on the retina at the equatorial axis. To prevent spurious

excitation of the imaging photomultiplier tubes, three layers of blue filter (Rosco no. 59

Indigo) were placed over the LED display.

Each stimulus consisted of a brief increment (light flash) or decrement (dark

flash) of the entire display for 100 ms, before returning to a mid-intensity brightness for

4.9 s. Images were acquired at 25-30 frames/s. Stimuli were presented in sets of six

bright and six dark flashes randomly shuffled for each minute of the experiment.

Responses were then pooled for each minute. During the first minute, and prior to

imaging, the tissue was perfused with saline for a baseline recording. At the end of the

first minute, a valve controller (VC-6, Warner Instruments, Hamden, CT) activated by a

TTL signal switched the perfusion to either saline with 100 µM serotonin or saline alone;

imaging then continued for an additional five minutes, for a total of one baseline set and

five post-switch sets of stimuli. The perfusion switch took approximately 45 s to reach

the tissue.

Live Imaging Analysis

Calcium imaging data were analyzed with Matlab R2017a (Mathworks, Natick,

MA). Post hoc, recordings were corrected for movement of the brain within the imaging

plane using a custom algorithm (Akin and Zipursky, 2016). Regions of interest (ROIs)

were found semi-automatically for data in Figs 6-8: first, the median intensity of all pixels

across all image frames was found; this value was used as a threshold and all pixels

with mean intensity below the threshold, typically within the image background, were

discarded. The 1-D time series of intensity for each remaining pixel was then extracted.

K-means clustering was used to identify pixels with similar activity over the course of the

experiment: three clusters were identified and the cluster with the highest number of

pixels was retained. This reliably identified the pixels within active neurons in the

imaging data and aided in identifying preparations with out-of-plane movement, which

were discarded.

For baseline activity experiments (Fig 6), the remaining cluster was used as a

single ROI and the mean intensity within the ROI was found for each image frame to

produce a single time-series for the entire experiment. For visual response experiments

(Figs 7-8), pixels within the remaining cluster were automatically divided into groups

corresponding to individual L2 terminals using a watershed transform. The mean

intensity within each ROI was found for each image frame to produce a single time-

series for the entire experiment, and the time-series for all terminal ROIs within an

individual animal were then averaged. For Fig 8B-F, ROIs of L2 terminals were first

identified automatically, as above, then manually selected individually according to layer

position because the 5-HT2B GAL4 SII mutant line labeled other cells in addition to L2

neurons.

Approximately half of the bath application recordings showed oscillations in

activity due to slow, periodic movement of the brain at around 0.04 Hz; we applied a

second-order notch filter at this frequency with a bandwidth of 0.005 Hz to remove these

oscillations. For the bath application experiments (Fig 6), we plotted $\Delta F/F$, defined as

$(F_t-F_0)/F_0$, where F_t is the mean fluorescence in the ROI at the indicated time and F_0 is

the mean value of F_t during 60 seconds of baseline activity at the beginning of the

experiment and prior to the change in perfusion. For the visual stimulus experiments

(Figs 7-8), we again plotted $\Delta F/F$, defined as $(F_t-F_0)/F_0$, where F_t is the mean

fluorescence across all individual terminal ROIs at the indicated time and F_0 is the mean

of 30 seconds of non-consecutive baseline activity between stimulus presentations

during epoch 1 at the beginning of the experiment and prior to the change in perfusion

(Fig 7A). For the stimulus response plots (Figs 7B, D, 8C, E), we found the average

$\Delta F/F$ time-series within each epoch for each fly after subtracting the average pre-

stimulus baseline activity level (0.5 s preceding each flash stimulus) from each time-

series, so that all responses started aligned at 0 $\Delta F/F$. For further analysis (Figs 7C, E,

8D, F, left), we found the changes in response amplitude across epochs, defined for the

dark stimulus presentation (top) as the difference between the pre-stimulus baseline

and the maximum $\Delta F/F$ value that occurred up to 1.75 s after cessation of the 0.1 s

flash, and for the light stimulus (bottom) as the minimum $\Delta F/F$ value that occurred in the

same period of time. For each epoch, we subtracted the value of the responses during

epoch 1 at the beginning of the experiment and prior to the change in perfusion, in order

to find the change in amplitude relative to epoch 1. We followed a similar procedure for

the analysis of peak-peak time (Figs 7C, E, 8D, F, middle), defined as the length of time

between the minimum and maximum $\Delta F/F$ values that occurred within 1.75 s after

cessation of the 0.1 s flash. The slope of the transient decay was also calculated (Figs

7C, E, 8D, F, right). For the visual experiments we examined the baseline changes in

fluorescence (Figs 8A-B) after removing responses to the visual stimuli using a series of

second-order notch filters at 0.19-0.21 Hz and 0.38-0.42 Hz.

Experimental Design and Statistical Tests

Each biological replicate (N) represents one fly, except for RTqPCR and RNA-Seq (Figures 4) where each biological replicate was pooled from 18 or more flies. RT-qPCR experiments include 3 technical replicates, which are averaged to represent a single biological replicate. Animals from at least 3 crosses were used for each experiment. Data for each experiment was collected over 2-6 months in at least 3 experiments. No outliers were removed from any data set. Live imaging recordings with too much movement were excluded and not analyzed.

For Fig 7 (C, E) and Fig 8 (D, E) comparisons are two-way repeated measure ANOVA (brackets show interactions between time and genotype) and Sidak's multiple comparisons tests, $p \leq 0.05$ *, $p \leq 0.01$**, $p \leq 0.001$***, $p \leq 0.0001$****. These tests were performed using Graphpad Prism Software (San Diego, CA). Differences in baseline calcium shown in Fig 8A-B were calculated by two-tailed Wilcoxon rank sum tests in Matlab R2017a.

Results

Serotonin receptors in the optic lobe

Five genes encoding serotonin receptors have been identified in the *Drosophila* genome: 5-HT1A, 5-HT1B, 5-HT2A, 5-HT2B and 5-HT7 (Witz et al., 1990, Saudou et al., 1992, Colas et al., 1995, Gasque et al., 2013, Blenau et al., 2017). To identify specific optic lobe neurons that express each receptor, we expressed the marker mCD8::GFP under the control of a recently characterized panel of T2A-GAL4 insertions in Minos-Mediated Integration Cassettes (MiMICs) located in serotonin receptor gene introns (Gnerer et al., 2015). The GAL4 sequence was inserted into receptor-encoding genes where it acts as an artificial exon and is expected to "mimic" the endogenous gene expression patterns (Venken et al., 2011). Ribosome skipping via T2A allows GAL4 to be expressed as a separate protein, rather than a fusion protein with the serotonin receptor (Diao et al., 2015, Gnerer et al., 2015).

We observed distinct expression patterns for each receptor including projections into the neuropils of each of the optic lobe ganglia: the lamina (la), medulla (me), lobula (lo) and lobula plate (lp) (Fig 1A-F). We focus here primarily on receptor subtypes showing expression in the lamina because of the ease of identifying cells based on their morphology (Fischbach and Dittrich, 1989) as well as prominent role of this structure in the earliest stages of visual processing (Joesch et al., 2010, Sanes and Zipursky, 2010, Strother et al., 2014).

Receptors 5-HT1A, 5-HT1B and 5-HT2B showed robust labeling of dense projections in the lamina neuropil (Fig 1B, C, E, arrows). Neuronal cell bodies in the lamina cortex are limited to the 5 subtypes of laminar monopolar cells (L1-5) (Fischbach

and Dittrich, 1989, Sanes and Zipursky, 2010), and we did not detect GFP expression

representing cells expressing either 5-HT1A or 5-HT1B in cell bodies in the lamina

cortex (Fig 1B-C). However, we observed somata in the medulla cortex, where cell

bodies of neurons that project into both the lamina and medulla—T1, C2, and C3—are

located (Fig 1B-C). 5-HT2B driven expression was prominent in the lamina and medulla

neuropils (Fig 1E). Additional expression in cell bodies within the lamina cortex,

suggested that 5-HT2B might be expressed in one or more subtypes of laminar

monopolar cells, since these are the only neuronal cell bodies in this region (Fig 1E).

We also observed prominent expression of 5-HT7 in cell bodies within the laminar

cortex and extending into the neuropil also consistent with expression in at least one

subtype of lamina monopolar cell (Fig 1F). For 5-HT1B and 5-HT2A, additional

pleomorphic labeling was observed in the lamina cortex (arrowheads in Fig 1C-D) in a

pattern that appeared similar to that of optic lobe glia (Edwards and Meinertzhagen,

2010). Based on their location and morphology these cells may be fenestrated or

pseudocartridge glia (Edwards and Meinertzhagen, 2010).

As shown previously, immunolabeling for serotonin can be observed within the

neuropil of all optic ganglia (Fig 1G) (Nässel, 1988, Valles and White, 1988, Sitaraman

et al., 2008, Pooryasin and Fiala, 2015, Xu et al., 2016). We also observed a cluster of

8-10 cell bodies in the cortical layer of the accessory medulla (Fig 1G-H). These cell

bodies correspond to cluster LP2 (or Cb1), previously shown to project into the medulla

(Nässel, 1988, Valles and White, 1988, Sitaraman et al., 2008, Pooryasin and Fiala,

2015, Xu et al., 2016). Serotonergic boutons in the medulla appeared to be organized in

layers corresponding to those that have been previously defined (Fischbach and

Dittrich, 1989). This included strong punctate labeling for serotonin at the junction

between M1 and M2, as well as additional labeling in M4 and the inner medulla as

previously reported (Valles and White, 1988, Monastirioti, 1999, Chang et al., 2006,

Pooryasin and Fiala, 2015). Many of the neuronal processes from cells expressing

serotonin receptor MiMIC-GAL4 driven GFP showed close apposition to serotonergic

boutons. For example, terminals from 5-HT1B-expressing cells in the outer medulla

were surrounded by a honeycomb pattern of serotonergic immunolabeling (Fig 1H").

Fig 1. Serotonin receptors and serotonergic projections in the optic lobe. (**A-H**)
Serotonin receptor MiMIC-GAL4 lines were crossed to UAS-mCD8::GFP to identify
patterns of expression in the optic lobe. (**A**) A schematic of the optic lobe neuropils
including the lamina (la), medulla (me), lobula (lo) and lobula plate (lp) with the neuropil
in brown and the cortex containing neuronal cell bodies in beige. (**B-F**) GFP-labeled
cells representing the 5-HT1A (**B**), 5-HT1B (**C**), 5-HT2A (**D**), 5-HT2B (**E**), and 5-HT7 (**F**).
MiMIC-GAL4 lines were visible in all optic lobe neuropils including the lamina neuropil

(arrows, B, C, E). The arrowheads in (C, D) mark pleomorphic 5-HT1B and 5-HT2A labeling in the lamina cortex, possibly representing glial expression. (**G**) Anti-serotonin labeled boutons were observed throughout the optic lobe, including medulla layer M2 (asterisk) and the indicated LP2 cluster of cells. (**G-G"**). Serotonin receptor 5-HT1B>GFP was in proximity to serotonin immunoreactive boutons in M2 and co-labeled at least one serotonergic cell in LP2 (arrowhead). (**H-H"**) In a frontal view, serotonin boutons surround each column containing 5-HT1B>GFP projections in the medulla. n=6-13 brains per condition. Scale bars are 20 μm.

Distinct lamina neurons express different serotonin receptors

To identify the cell types that express each serotonin receptor, we used the receptor MiMIC-GAL4 lines described above in combination with the sparse labeling technique MultiColor FlpOut 1 (MCFO) (Nern et al., 2015). Importantly, in contrast to most neurons in the central brain, the stereotyped position and morphology of all neurons in the lamina as well as their organization into repetitive arrays allow them to be unambiguously identified on the basis on their shape and location alone. Although MCFO can be used for lineage tracing (Nern et al., 2015), we induced MCFO in adult flies, when visual system neurons are post-mitotic, and under these conditions MCFO labeling does not represent clonal events. Using 5-HT1A and 5-HT1B MiMIC-GAL4 lines with MCFO we observed a subtype of cells with a soma in the medulla cortex, a long basket-like projection in the lamina, and a smaller projection in the medulla (Fig 2A-B). This morphology is identical to that of T1 cells and distinct from other cell types in the lamina (Fig 2C) (Fischbach and Dittrich, 1989). T1 cells were identified in 23 of 31 brains (71%) for 5-HT1A and in 10 of 11 brains (91%) for 5-HT1B. On average, we observed thirteen MCFO-labeled T1 cells per individual optic lobe for 5-HT1A and nine T1 cells per optic lobe for 5-HT1B. We also found that 5-HT1A>MCFO labeled lamina

wide-field neurons (Lawf) in 14 of 31 brains (Fig 2D). In some cases, the proximity of

other 5-HT1A+ processes made it difficult to determine the precise number of Lawf

neurons per brain. It also remains unclear whether labeled cells represent Lawf1

(Fischbach and Dittrich, 1989) (shown in Fig 2C) or Lawf2, which was identified more

recently and is not shown in 2C (Hasegawa et al., 2011, Tuthill et al., 2013).

Using the 5-HT2B MiMIC-GAL4 driver with MCFO, we observed labeled cells

with a soma in the lamina cortex, dense projections extending into the lamina neuropil,

and a single bushy terminal in the medulla (Fig 2E), a morphology identical to lamina

monopolar neuron L2 and no other laminar cell types (Fig 2C) (Fischbach and Dittrich,

1989). We observed L2 cells in 9 of 9 (100%) 5-HT2B>MCFO brains, observing an

average of eleven L2 neurons per optic lobe.

For 5-HT7>MCFO, we observed lamina monopolar cells in 7 of 13 brains (54%)

(Fig 2F), with an average of 20 cells per optic lobe. All of the lamina monopolar cells

labeled with 5-HT7>MCFO lacked the dense processes in the neuropil that are

characteristic of L1-L3, and also lacked the vertically oriented collaterals in the inner

(proximal) lamina seen in L4 neurons (Fig 2C, F). We therefore suggest that 5-HT7 is

expressed in the one remaining subtype, L5 cells. Consistent with our observations

using 5-HT2A MiMIC-GAL4>GFP, all (18/18, 100%) 5-HT2A>MFCO brains labeled cells

with a morphology and location possibly consistent with the fenestrated or

pseudocartridge glia in the lamina cortex (Fig 2G) (Edwards and Meinertzhagen, 2010).

As noted above, in some cases, multiple cells of each subtype were labeled in

each brain. However, we also detected less frequent events for other columnar cells in

the lamina. These include observations of multiple centrifugal C2 cells in 3 of 31

5-HT1A>MCFO brains (Fig 2H). We also observed a single cell we putatively identify as L2 in one 5-HT7>MCFO brain, compared to 152 presumptive L5 cells counted in the thirteen 5-HT7>MCFO brains. Similarly, we observed 5 lamina monopolar cells labeled with 5-HT2A>MCFO in only 4 brains, compared to hundreds of presumptive glia labeled in all 18 brains (see Fig 2G). We did not detect any examples of serotonin receptor expression in photoreceptors or L3 neurons.

Medulla neurons express serotonin receptors

In addition to the 6 subtypes of columnar neurons that express serotonin receptors and extend projections into the lamina (Fischbach and Dittrich, 1989), we have tentatively identified several cells with projections that are either confined to the medulla, or include both medulla and lobula complex and may also express serotonin receptors. Although further work will be needed for more precise identification, their morphology strongly suggest that they represent subtypes of distal medulla (Dm) amacrine neurons, medulla intrinsic (Mi) neurons, and transmedullary Y neurons (TmY). Dm neurons have cell bodies in the medulla cortex but, unlike other medullar neurons, project into the distal medulla (Fischbach and Dittrich, 1989). For 5-HT1B, we observed a single Dm-like cell (Fig 2I). Using 5-HT7>MCFO we observed medulla intrinsic cells, which are confined to the medulla, that are morphologically similar to Mi1 labeled in 6 of 13 brains (Fig 2J). TmY cell bodies are located in the medulla cortex and send projections through the medulla; their processes terminate in both the lobula and lobula plate, thus uniquely identifying this cell class (Fischbach and Dittrich, 1989). We observed potential TmY3 cells labeled in five 5-HT1A>MCFO brains (Fig 2K) and four

487   5-HT7>MCFO brains (Fig 2L). We observed TmY cells labeled in all 18 5-HT2A>MCFO

488   brains, with an average of 18 TmY cells per optic lobe (Fig 2M). We anticipate that

489   these data may be useful for comparisons with genomic studies of the optic lobe (Davis

490   et al., 2020) and help to generate hypotheses about the potential serotonergic

491   regulation of these cells.

Fig 2. Lamina neurons including T1 and L2 express serotonin receptors. (**A-B, D-O**) Serotonin receptor MiMIC-GAL4 lines were crossed to UAS-MCFO-1 to sparsely label individual cells in the lamina. Cells are indicated by an arrowhead. 5-HT1A (**A,**) and 5-HT1B (**B**) MCFO crosses revealed cells with morphologies identical to T1 neurons. (**C**) A diagram showing lamina neurons adapted from (Fischbach and Dittrich, 1989) highlights L1 (blue), L2 (green), L5 (orange), T1 (purple), C2 (pink), and Lawf (dark purple) cells. (**D**) 5-HT1A>MCFO also labeled Lawf-like cells. (**E**) 5-HT2B>MCFO

labeled cells are morphologically identical to L2 neurons. (**F**) 5-HT7>MCFO-1 labeled neurons most likely representing L5 lamina monopolar cells. (**G**) 5-HT2A>MCFO-1 cells in the lamina cortex with a location and morphology consistent with glia. (**H**) 5-HT1A>MCFO labeled cells with morphology similar to C2 cells. (**I**) 5-HT1B>MCFO labeled a distal medulla neuron (Dm). (**J**) 5-HT7>MCFO a medulla intrinsic neuron that resembled Mi1. (**K-M**) 5-HT1A, 5-HT7 and 5-HT2A>MCFO labeled TmY cells. (**N-O**) Cells projecting into the lamina cortex were labeled by 5-HT7>MCFO (**N**) and 5-HT1A>MCFO (**O**). Scale bars are 20 µm and n=10-31 brains imaged per receptor subtype. Cell types shown were observed in a subset of brains: 22/31 (**A**), 10/11 (**B**), 14/31, 14/31 (**D**), 10/10 (**E**), 7/13 (**F**), 18/18 (**G**), 3/31 (**H**), 1/11 (**I**), 7/13 (**J**), 5/31 (**K**), 4/13 (**L**), 18/18 (**M**), 3/13 (**N**), and 6/31 (**O**).

Serotonin autoreceptors in the optic lobe

In addition to columnar cells, 5HTR>MCFOs labeled several central brain projection neurons that innervate the optic lobe. We observed both 5-HT1A>MCFO and 5-HT7>MCFO processes in the lamina cortex and immediately adjacent regions of the neuropil (Fig 2 N-O). In a subset of brains, these co-labeled for anti-serotonin staining (Fig 3A-B) suggesting that these represent serotonergic neurons previously shown to send long range projections into the lamina from the accessory medulla and/or central brain (Valles and White, 1988, Monastirioti, 1999, Chang et al., 2006, Pooryasin and Fiala, 2015). In addition, some processes labeled by 5-HT1B >MCFO co-labeled with serotonin immunolabeled boutons in the inner medulla (iM), medulla layer 4 (M4), and lobula (lo) (Fig 3C). We speculate that these processes are serotonergic and expresses 5-HT1B as an autoreceptor, consistent with the fact that 5-HT1B>GFP co-labeled with serotonin-immunoreactive cells in serotonergic cluster LP2 (arrowhead in Fig 1G). We also observed 5-HT7>MCFO projections that co-label with serotonin boutons in the lobula, lobula plate (lp), iM and M4 (Fig 3D). Although we did not comprehensively map all putative serotonin autoreceptors in the central brain, we observed co-localization of 5-HT1A and 5-HT1B-MIMIC>GFP with several anti-serotonin immunolabeled cell bodies

shown in Fig 1G-G"and Fig 3E-F. Using serotonin cell mapping described in (Nässel,

1988, Valles and White, 1988, Sitaraman et al., 2008, Pooryasin and Fiala, 2015) we

identified 5-HT1A+ serotonergic clusters as PLP (LP1), SEL, AMP and PMP (Fig 3E.

The 5-HT1B+ cell clusters were identified as LP2 (Cb1), PLP (LP1), and PMP, (Fig 1G-

G", arrowhead and Fig 3F). While we have not pursued functional studies of presynaptic

serotonergic neurons in this report, the integration of imaging studies in both

serotonergic neurons and post-synaptic neurons represents a future goal to assess the

interplay between auto- and post-synaptic receptors in visual circuits.

Fig 3. Serotonin Autoreceptors are expressed throughout the Optic Lobe. (**A-D**) Serotonin receptor MiMIC-GAL4 lines were crossed to UAS-MCFO-1 to label individual cells. Anti-serotonin immunohistochemistry was used to identify serotonergic cells. (**A**) A 5-HT1A>MCFO labeled central brain neuron innervates the lamina cortex and the most distal area of the laminar neuropil and co-labeled with anti-serotonin. (**B**) Similarly, a 5-HT7>MCFO labeled projection into the lamina co-labeled with anti-serotonin. (**C**) 5-HT1B>MCFO labeled processes in the inner medulla (iM), medulla layer 4 (M4), and lobula (lo) co-labeled with serotonin immunogenic boutons. (**D**) 5-HT7>MCFO

processes co-labeled with anti-serotonin in the iM, M4, lo, and lobula plate (lp). (**E-F**) Serotonin receptor MiMIC-GAL4 driving UAS-mCD8::GFP were co-stained with anti-serotonin immunolabeling to map serotonin autoreceptors to specific cell clusters. (**E**) Anterior to posterior images taken in the same brain show several serotonergic cell clusters expressing 5-HT1A (labeled at arrowheads). (**F**) PLP and PMP serotonin-immunoreactive cells co-label with 5-HT1B>GFP (arrowheads) in the posterior central brain. Scale bars are 20 µm and n=10-31 brains imaged per receptor subtype. Co-localization with anti-serotonin was observed in n=3 (A-B), n=6 (C), n=5 (D), n=12 (**E**), and n=6 (**F**).

L2 neurons express 5-HT2B and T1 neurons express 5-HT1A and 5HT1B

A comprehensive genomic study of the optic lobe neurons was recently reported (Davis et al., 2020). Before embarking on further functional studies of specific neurons in the lamina, we sought to confirm the expression of serotonin receptors independently of both (Davis et al., 2020) and the data we obtained using MiMIC GAL4 lines. To this end, we used a separate set of split-GAL4 drivers previously shown to be specific for particular cell types (Tuthill et al., 2013) and focused on a small subset of laminar neurons: T1, L1 and L2. Drivers representing each cell were used to express GFP, and the GFP-labeled cells were isolated via Fluorescence Activated Cell Sorting (FACS). RNA was then extracted from T1, L1 and L2 FACS isolates as well as the unlabeled cells. To probe for serotonin receptor expression in each cell type, we used both RT-qPCR and RNA-Seq. Consistent with our MCFO data, RT-qPCR from GFP-labeled T1 isolates (n=6) showed enrichment of both 5-HT1A and 5-HT1B transcripts, but no other serotonin receptors (Fig 4A). Using RNA-Seq, we compared the relative abundance for each receptor by calculating Transcripts Per Million (TPMs). We found that 5-HT1A (182±43 TPM±stdev) and 5-HT1B (278±25 TPM±stdev) were more abundant than other

serotonin receptors (range 0.1±0.1 to 2.5±4 TPM±stdev) in T1 samples (n=3, Fig 4B).

Using RT-qPCR, L2 isolates showed consistent enrichment for 5-HT2B, but not 5-HT1A

or 5-HT1B transcripts (Fig 4C) and higher TPMs for 5-HT2B (130±75 TPM±stdev)

compared to other serotonin receptors (range 6±6 to 31±9 TPM±stdev) (Fig 4D). In

addition, 5-HT7 was amplified by RT-qPCR in 3/5 L2 samples and 5-HT2A in 1/5 L2

samples (Fig 4C). These data are consistent with our MCFO results in which a single L2

neuron was identifiable in two 5-HT2A>MCFO brains (2 of 18, 11%) and in one

5-HT7>MCFO brain (1 of 13, 8%). By contrast, using RNAseq we did not detect high

abundance of either 5-HT2A or 5-HT7 transcripts in L2 neuron isolates. Together, these

data suggest that 5-HT2B is most prevalent in L2 cells, but 5-HT7 and 5-HT2A may also

be present at low levels or within a subset of L2 cells.

We did not observe evidence of any serotonin receptor expression in L1 neurons

using the serotonin receptor MiMIC-GAL4 lines to drive either mCD8::GFP (see Fig 1)

or MCFO. In agreement with this observation, RT-qPCR from isolated L1 cells showed

virtually no receptor expression, apart from one sample weakly enriched for 5-HT1B

(Fig 4E). In sum, MCFO sparse labeling in combination with RT-qPCR and RNA-Seq

showed that T1 neurons express 5-HT1A and 5-HT1B, and L2 neurons express

5-HT2B, whereas L1 neurons do not express any serotonin receptor subtypes that are

detectable using these methods.

Our data complement a recent transcriptomic analysis (Davis et al., 2020), which

reported a high probability of expression for 5-HT2B in L2, 5-HT1A and 5-HT1B in T1,

and a low likelihood of any serotonin receptor expression in L1 neurons (Davis et al.,

2020). This study did not report a high probability of either 5-HT2A or 5-HT7 expression

in L2 neurons, consistent with our observation that 5-HT7 and 5-HT2A may be present

either at low levels or within a subset of cells.

Fig 4. L2 neurons express 5-HT2B and T1
neurons express both 5-HT1A and
5-HT1B serotonin receptors. RT-qPCR
performed on cDNA from isolated T1 (**A**) or
L2 (**C**) neurons expressing GFP showed
enrichment for serotonin receptors relative to
other GFP-negative cells from the optic lobe.
(**B**) T1 RNA-Seq transcript abundance (color
low to high, blue to yellow) Transcripts Per
Kilobase Million (TPMs) revealed enriched
5-HT1A and 5-HT1B compared to other
serotonin receptors. (**D**) L2 RNA-Seq
showed higher 5-HT2B TPMs in two of three
samples. (**E**) L1 RT-qPCR enrichment for
serotonin receptors. RT-qPCR error bars
represent mean±SEM. n=3-6 biological
replicates pooled from 18-40 brains per
replicate.

5-HT2B and 5-HT1A receptors localize to M2

Both T1 and L2 neurons have dense projections in the lamina neuropil and arborize in layer 2 of the medulla neuropil (M2). Serotonin neurons directly innervate M1 and M2 of the medulla neuropil raising the possibility that serotonergic signaling might occur at the nerve terminals of T1 and L2 within layer M2. If so, we reasoned that the serotonin receptors expressed in L2 and T1 might localize to M2. To test this possibility, we took advantage of a 5-HT1A allele that had been tagged at the C-terminus with GFP (Deng et al., 2019). The tag was inserted into the endogenous 5-HT1A gene, such that, similar to MiMIC lines (Venken et al., 2011, Gnerer et al., 2015), the receptor-GFP fusion protein is putatively expressed at the same level and in the same cells as the endogenous receptor protein (Deng et al., 2019). In 5-HT1A::GFP flies, we observed enrichment of the tagged protein in layer M2 of the medulla relative to other subcellular sites (Fig 5A-A"). We also observed anti-serotonin immunoreactive boutons that co-labeled with 5-HT1A::GFP in this region (Fig 5B, arrows), suggesting that 5-HT1A may act as both an autoreceptor in serotonergic projection neurons innervating M2 and as a heteroreceptor in columnar neurons that terminate in M2.

Since we were unable to obtain an endogenously tagged allele of 5-HT2B, we relied on expression of a UAS-5-HT2B::GFP transgene (Qian et al., 2017) under the control of L2 split-GAL4 to investigate its subcellular localization (Fig 5C). 5-HT2B::GFP was enriched in terminals within M2 of the medulla as compared to the lamina neuropil (Fig 5C-C") and showed additional punctate labeling in L2 cell bodies (Fig 5C', arrowhead). As a control, we expressed UAS-mCD8::GFP using the same L2 split-GAL4 driver. In contrast to 5-HT2B::GFP, labeling with 5-HT2B mCD8::GFP was most

prominent in the cell body and proximal processes with progressively weaker labeling

through the laminar and medulla neuropil and no enrichment in layer M2 (Fig 5D").

These data suggest that both 5-HT2B and 5-HT1A preferentially localize to the

terminals of L2 and T1 respectively in the medulla layer M2 rather than the lamina

neuropil. Serotonergic boutons also localize to M1/M2 but are not found in the lamina

neuropil near the arborizations of either T1 or L2 neurons (see Fig 1G). Although

serotonergic boutons are also found in the lamina cortex near the somata of L2 and T1

neurons, we are not aware of any evidence in support of direct signaling to neuronal

somata in *Drosophila*. We therefore conclude that serotonergic signaling to L2 and T1

via 5-HT2B and 5-HT1A most likely occurs in nerve terminals in layer M2 of the

medulla, rather than sites in the lamina that function as inputs for photoreceptor cells.

However, since other receptors are expressed in both cell types, we cannot rule out the

possibility that serotonergic signaling also occurs at other locations, and perhaps via

neurohumoral mechanisms rather than release from proximal serotonergic boutons.

Fig 5. Serotonin receptors 5-HT1A and 5-HT2B localize to layer M2 of the medulla.
(**A-A"**) 5-HT1A::GFP localized to layer M2 of the medulla, adjacent to boutons
immunogenic for serotonin. Neuropil (N-Cadherin, blue) and serotonin (magenta)
labeling provide anatomical context for the lamina (la) and medulla (me). The region
specified by the dotted lines in (**A'**) is enlarged in (**A"**). (**B-B"**) In many cases, serotonin
immune-reactive boutons co-labeled with 5-HT1A::GFP (arrow). (**C-C"**) Subcellular
localization of 5-HT2B was visualized by expressing the fusion construct UAS-5-
HT2B::sfGFP in L2 neurons specified by L2split-Gal4. L2>5-HT2B::sfGFP labeling is
stronger in the L2 terminals in medulla layer 2 (M2) compared to L2 projections in the
lamina (la). Punctate GFP signal is observed in the L2 cell bodies (arrowhead). The
region specified by the dotted lines in (**C'**) is enlarged in (**C"**). (**D-D"**) For comparison,
membrane-directed UAS-mCD8::GFP was expressed in L2 neurons. L2>mCD8::GFP
signal is similar in the medulla and lamina compartments. Strong GFP signal was
observed in the cell bodies (arrowhead). The area within the dotted lines in (**D'**) is
enlarged in (**D"**). Scale bars are 20 μm in **A-A'**, **B-B"**, **C-C'** and **D-D'**. Scale bars are 10
μm in **A"** and 8 μm in **C"** and **D"** Biological replicates are n= for 5-HT1A::GFP (**A-B**),
n=15 for L2>5-HT2B::GFP (**C-C"**), and n=10 for L2>mCD8::GFP (**D-D"**).

Serotonin increases calcium levels in L2 and L1 neurons

The data presented here and by others (Davis et al., 2020) strongly suggest that

L2 cells express serotonin receptors but do not address their functional effects. To

address this question, we bath applied serotonin to the optic lobe and used live imaging

to monitor cellular activity. The data for receptor expression in L2 was strongest for

5-HT2B receptors, which couple with $G_{q/11}$ to increase intracellular calcium *in vitro*

(Hoyer et al., 2002, Blenau et al., 2017). We therefore used the genetically encoded

calcium indicator GCaMP6f (Chen et al., 2013) to follow changes in L2 activity that

might be induced by serotonin. We again employed the L2 split-GAL4 driver (Tuthill et

al., 2013) used for transcriptional analysis (Fig 4) to specifically express GCaMP6f in L2

neurons (Fig 6A). Since we observed enrichment of 5-HT2B::sfGFP in L2 terminals in

M2, we focused our recordings of calcium signaling on these sites. For each

experiment, we first recorded a baseline while perfusing the tissue with saline; the

perfusion solution was then switched to either saline containing 100 μM serotonin or

saline alone. We included tetrodotoxin (TTX) in the perfusion solution to reduce action

potential-based inputs to L2 neurons and also to reduce muscle activity driven by action

potentials in motor neurons.

We consistently observed a large increase in GCaMP6f fluorescence in L2

terminals following serotonin application (Fig 6B). This increase continued throughout

the time course of recording, peaking at 1.73 ΔF/F ± 0.77 SEM (compared to saline

control -0.03 ΔF/F ± 0.05 SEM at the same timepoint; p=0.0095 by two-tailed Wilcoxon

rank sum test). Thus, serotonin leads to an accumulation of cytosolic calcium in L2

cells, consistent with the predicted outcome of activating $G_{q/11}$ coupled 5-HT2B

receptors (Hoyer et al., 2002, Blenau et al., 2017). However, since local neurons in the

optic lobe use graded electrical signaling, rather than action potentials, and are

insensitive to TTX, we did not block all synaptic inputs to L2 neurons in these

experiments, and we cannot rule out cell non-autonomous effects of serotonin on L2

neurons.

Fig 6. Bath application of serotonin leads to increased calcium in L2 and L1 neurons, but not T1 neurons. (**A-C**) GCaMP6f was paired with L2, L1 and T1 split-GAL4 drivers to monitor responses to 100 µM serotonin (colored traces) or saline controls (gray traces). (**A**) The experimental setup is shown in the top panel, along with a sample image of L2 terminals (bottom panel) as imaged in the medulla (gray). For bath application experiments (**B, C, E, F**), the first 60 s of baseline is not shown; traces represent data recorded following a switch at time 0 to saline with serotonin or saline alone. The length of time for completion of the switch was roughly 100 s. In L2 terminals (**B**), serotonin application led to a significant increase in GCaMP6f signal indicating increased calcium levels as compared to saline controls (p=00095). L1 terminals (**C**) showed a similar increase in calcium following a switch to serotonin (p=0.02). (**D**) RT-qPCR from cell isolates revealed enrichment of the gap junction protein ShakB in L2 and L1, but not T1 neurons. (**E**) T1 cells expressing GCaMP6f showed no significant change in calcium following serotonin application (p>0.05). (**F**) T1 cells expressing the voltage sensor ArcLight similarly displayed no significant change following serotonin application (p>0.05). (**G**) Innexin transcript abundance (Transcripts per Million, TPM) in L2 RNA-Seq samples (n=3). For (**B**, **C**, **E**, **F**) n=4-8 individual flies; the dark trace is an average of all traces and the shaded region is 1 SD; saline vs. serotonin comparisons are two-tailed Wilcoxon rank sum tests. For (**D**), n=3 individual isolates for each cell type. Bars in (**D**) represent the mean±SEM.

Since we did not detect serotonin receptors in L1 neurons, we did not expect

serotonin to measurably change intracellular calcium levels in these cells. However,

with GCaMP6f expressed in L1 cells using a cell-specific driver, we regularly observed a

robust increase in baseline fluorescence following serotonin exposure (Fig 6C).

Although the increase in GCaMP6f signal did not reach the same response amplitude

as observed in L2 neurons, the time course was similar: the signal persisted throughout

the recording and peaked at 0.98 $\Delta F/F \pm 0.34$ SEM (compared to saline control at 0.07

$\Delta F/F \pm 0.09$ SEM; p=0.02 by two-tailed Wilcoxon rank sum test). A direct action of

serotonin on L1 is unlikely since we did not detect endogenous serotonin receptors (see

Figs 1-2, 4). The possibility of action potential driven inputs is also low since TTX was

included in the perfusion solution. Other possible mechanisms include graded inputs

from neurons unaffected by TTX or coupling between L1 and L2 (Joesch et al., 2010).

In support of previous data showing electrical coupling between L1 and L2, we find that

transcripts for the gap junction protein Shaking-B (ShakB) are enriched in L1 and L2

neurons, but not T1 neurons, using RTqPCR (Fig 6D). In addition, our RNA-Seq data

set showed that ShakB (innexin 8) was more abundant in L2 neurons than the other

innexins, Inx 1-7, (not shown) consistent with the data of (Davis et al., 2020).

We next examined whether serotonin could affect the activity of T1 cells. Both

5-HT1A and 5-HT1B receptors, expressed in T1 neurons, are expected to couple with

G_i proteins and negatively regulate adenylyl cyclase (Saudou et al., 1992, Hoyer et al.,

2002). Due to the generally inhibitory function of these receptors, we hypothesized that

serotonin would dampen activity in T1 neurons, possibly manifested as a decrease in

cytosolic calcium or membrane potential (Polter and Li, 2010). Using the T1 split-GAL4

driver (Tuthill et al., 2013) to express either GCaMP6f or the voltage sensor Arclight

(Cao et al., 2013), we did not observe a significant change in fluorescence during

perfusion with serotonin (Fig 6E, 6F; p>0.1). Thus, further experiments will be needed to

determine the effects of serotonin on T1 neurons. These negative data are nonetheless

important for the current study, since the absence of a GCaMP6f response in T1

neurons indicates that the response observed in L1 and L2 is not an artifact or a

generalized phenomenon common to all cells that extend processes into the lamina.

Serotonin in visual processing

To explore the possibility that serotonergic neuromodulation could play a role in

visual processing, we next tested whether serotonin would alter the calcium transients

in L2 neurons following visual stimulation. We again used GCaMP6f and compared

visually induced calcium transients in flies receiving saline or serotonin perfusion. For

visual stimulation, we used brief light or dark "flashes" to stimulate L2 neurons as

previously described (Yang et al., 2016). Flies were suspended over an LED arena (see

Fig 6A) and either a light or dark flash of the entire LED screen (100 ms) was presented

at 5 s intervals. Between each flash, the screen showed an intermediate brightness

level, indicated as grey in Fig 7A. One-minute epochs consisting of 12 flashes of

randomly shuffled polarity were presented six times for each trial (Fig 7A). The first

epoch was recorded in saline, followed by a switch to either saline with 100 µM

serotonin or saline alone (Fig 7A). During perfusion with either saline or serotonin, dark

flashes induced a large increase in calcium that returned to baseline within ~1 second

as previously described (Yang et al., 2016) (Fig 7B). The amplitude of the calcium

transients following dark flashes increased over the time course of the experiment and

there did not appear to be a difference in amplitude in animals receiving serotonin

versus saline. However, we detected a modest but statistically significant (p=0.0036 by

RM ANOVA) increase in the decay kinetics of the dark flash response in animals

perfused with serotonin versus saline alone (Fig 7C) whereby the calcium transient

returned to a minimum more quickly with serotonin.

In contrast to the response of L2 to dark flashes, light flashes induced a transient

stimulus-mediated decrease in GCaMP6f fluorescence, followed by a secondary

sustained increase (Fig 7D) as previously reported (Yang et al., 2016). In contrast to

dark flashes, we did not observe any significant differences in the amplitude or kinetics

of the light flash response in comparing serotonin vs. saline perfusion (Fig 7E). The light

flash-evoked drop in fluorescence increased in magnitude over the course of the

experiment regardless of whether saline or serotonin was applied (Fig 7E).

Fig 7. **Serotonin mediates L2 neuron visually induced calcium transient kinetics**.
L2 split GAL4 was crossed to UAS-GCaMP6f to monitor calcium changes following brief
light or dark flashes. The preparation was initially perfused with saline alone then and
the solution was switched to saline with serotonin or saline control after 1.75 min. A
sample experiment with serotonin is shown in (**A**). Light or dark stimuli (middle panel)
were flashed at random every 5 s for 6 min, with each min binned into epochs 1-6
(lower panel). (**B**) Dark-flashes induced calcium transients for flies receiving saline (left
panel, cyan to blue) or serotonin perfusion (right panel, yellow to red). In all animals, L2
terminals show a strong increase in GCaMP6f fluorescence following a dark pulse
before returning to baseline. (**C**) The time course of each experiment was divided into
60 s epochs for analysis and traces representing each progressive 60 s epoch are
shown with responses to dark flashes. Dark flash calcium transient amplitude, or
maximum ΔF/F, was increased over the course of the experiment for both saline and
serotonin-receiving flies (left panel). The time to reach a minimum following the calcium
transient was longer in serotonin-perfused tissue compared to saline controls (middle
panel), but no change was observed in the transient decay slope (right panel). (**D**)
When a light flash was presented in control experiments, L2 cells responded with a
decrease in GCaMP signal followed by a large sustained increase. Responses for
saline (left panel, cyan to blue) and serotonin perfusion (right panel, yellow to red) are
shown. (**E**). The amplitude of the calcium decrease, or minimum ΔF/F, following a light
flash was enhanced over the course of the experiment regardless of perfusion solution
(left panel). The time to reach a maximum (middle panel) and decay slope were not
different in preparations receiving serotonin compared to saline controls. Recordings
from individual flies were combined in **B**-**E**, n=14 serotonin and n=20 saline-receiving
preparations. Shaded areas show mean +/- SEM. Comparisons are two-way repeated
measure ANOVA (brackets show interactions between time and genotype) and Sidak's
multiple comparisons tests, p≤0.05 *, p≤0.01**, p≤0.001***, p≤0.0001****.

Since 5-HT2B is expressed in L2 neurons and is predicted to use calcium as a

second messenger we hypothesized that it might mediate the response of L2 neurons

to serotonin. We first examined whether loss of 5-HT2B would blunt the gradual

increase in baseline calcium we observed in flies exposed to serotonin irrespective of

additional light stimuli (Fig 8A). As a negative control for experiments using the 5-HT2B

homozygous mutants (-/-) we used heterozygous siblings in which one wild type allele

of 5-HT2B was expressed. Similar to wild type flies (Fig 8A), 5-HT2B heterozygous

controls perfused with serotonin showed a gradual increase in baseline calcium levels

over the six-minute time course of the experiments (Fig 8B). In contrast, in the 5-HT2B -

/- mutant, the baseline calcium signal was nearly flat over the time course of the

experiment (p=0.0024 by two-tailed Wilcoxon rank sum tests). Although we cannot rule

out indirect cell non-autonomous effects from 5-HT2B expression in other cell types, the

simplest explanation for the observed results is that activation of 5-HT2B in L2 neurons

generates a gradual increase in cytosolic calcium.

We next examined whether loss of 5-HT2B would alter the response of L2

neurons to light and dark flashes. We hypothesized that loss of 5-HT2B would block

changes in the kinetics of the dark-flash calcium response seen with serotonin

perfusion. As negative control we again used heterozygous siblings (5-HT2B +/-). As we

observed in wild type flies, perfusion of serotonin onto 5-HT2B +/- heterozygous

controls led to a gradual reduction in the time required for L2 terminals to reach a

minimum after responding to a dark flash (Fig 8D, middle). By contrast, in 5-HT2B -/-

homozygous loss of function flies the kinetics of the L2 response to both light and dark

flashes remained unchanged over the course of the experiment and continuous

perfusion with serotonin (Fig 8D, F). Irrespective of perfusion with saline or serotonin,

control flies expressing a functional allele of 5-HT2B showed an increasingly large to

response to stimuli over the 6-min experiment (Fig 7C left, 7E left); this increase was

not observed in 5-HT2B mutants (Fig 8F left). These data strongly suggest that 5-HT2B

mediates the effects of serotonin on the calcium response in L2 neurons, and highlight

the importance of using the receptor mutant as a sensitized background to more easily

detect the effects of serotonin

Fig 8. 5-HT2B mediates L2 neuron response serotonin. (A) Serotonin perfusion induced an increase in baseline calcium in 5-HT2B +/+ L2>GCaMP6f lines receiving

serotonin compared to saline controls from Fig 7. (**B**) Mutant 5-HT2B-GAL4 flies were
combined with UAS-GCaMP6f to monitor calcium changes in L2 terminals. The
serotonin-mediated baseline increase was conserved in 5-HT2B +/- flies, but not
present in 5-HT2B -/- flies. (**C**) Dark-flashes induced a strong, transient increase in
GCaMP6f fluorescence that returned to baseline in 5-HT2B -/- and 5-HT2B +/- animals
receiving serotonin perfusion (**D**) Dark flash calcium transient amplitude, or maximum
ΔF/F, was increased over the course of the experiment for 5-HT2B +/- flies, but this was
not seen in 5-HT2B -/- flies (left panel). Likewise, the time to reach a minimum following
the calcium transient (middle panel) and decay slope (right panel) decreased in 5-HT2B
+/- over the experiment but was relatively stable in 5-HT2B -/-. (**E**) When a light flash
was presented in control experiments, L2 cells responded with a decrease in GCaMP
signal followed by a large sustained increase. Responses for saline (left panel, cyan to
blue) and serotonin perfusion (right panel, yellow to red) are shown. (**F**). The amplitude
of the hyperpolarization, or minimum ΔF/F, following a light flash was enhanced over
the course of the experiment in 5-HT2B +/- flies but remained stable in 5-HT2B -/- flies
(left panel). The time to reach a maximum (middle panel) decreased and decay slope
(right panel) increased in 5-HT2B +/- flies but both were stable in 5-HT2B -/- flies. For
(**A**), n=14 serotonin and n=20 saline preparations were tested. For (**B**-**F**), n=13 5-HT2B
+/- and n=15 5-HT2B preparations, all receiving serotonin, were tested. Shaded areas
show mean +/- SEM. Comparisons in (**D**, **F**) are two-way repeated measure ANOVA
(brackets show interactions between time and genotype) and Sidak's multiple
comparisons tests, p≤0.05 *, p≤0.01**, p≤0.001***, p≤0.0001****.

Discussion

Serotonin modulation occurs by activation of multiple receptors and associated

secondary messenger cascades (Nichols and Nichols, 2008, McCorvy and Roth, 2015).

Understanding how serotonergic signaling tunes circuit activity requires functional

experiments to determine the effects on specific cells as well as mapping the cellular

and subcellular cites of individual receptors. To develop the fly visual system as a new

molecular genetic model to study serotonergic neuromodulation, we mapped the

expression of the five *Drosophila* serotonin receptors in a subset of experimentally

tractable cells in the lamina and used live imaging to determine their potential function.

To our knowledge, these represent the first functional data for serotonergic

neuromodulation of the *Drosophila* visual system with the exception of a single report in

898 1995 (Hevers and Hardie, 1995) and the first data on the subcellular localization of a

899 serotonin receptor in the visual system. In addition, our data mapping the cellular

900 expression pattern of the receptors are complementary to a recently published

901 transcriptomic analysis (Davis et al., 2020) since we have used a completely different

902 experimental approach.

903  To identify cells that express specific receptors and may be amenable to further

904 functional studies we used a combination of MiMIC- based reporter lines, RT-PCR and

905 RNA-Seq. All of these techniques indicate that 5-HT2B is expressed in L2 laminar

906 monopolar neurons, which are involved in motion (Joesch et al., 2010, Takemura et al.,

907 2017b) and contrast vision (Bahl et al., 2015) . Our RT-qPCR results suggest that L2

908 neurons may also express 5-HT7 and 5-HT2A at lower levels or in more restricted

909 subset of cells; however, we did not detect enrichment of these receptors using RNA-

910 Seq. In T1 neurons, we observed consistent expression of 5-HT1A and 5-HT1B (Tuthill

911 et al., 2013). We did not detect any serotonin receptor expression in L1 laminar

912 monopolar neurons, which act in parallel to L2 neurons for visual processing. Our data

913 for L1, L2, T1 neurons are consistent with a recent transcriptional study of the fly visual

914 system (Davis et al., 2020). This study also reported 5-HT1A in C2 and Lawf2 neurons

915 and 5-HT7 in L5 cells, consistent with our sparse labeling experiments (Fig 2). However,

916 unlike Davis et al. we did not detect serotonin receptor expression in photoreceptor cells

917 or in laminar monopolar neuron L3. These discrepancies may result from low or variable

918 levels of expression, which may be biologically important. Further studies may reconcile

919 some of these differences and our data emphasizes the importance of using multiple,

920 overlapping methods to investigate gene expression in complex tissues.

Consistent with the predicted coupling of 5-HT2B to G_q, we found that L2 neurons respond with a robust increase in calcium measured by GCaMP6f (Fig 6B) and this effect was dramatically reduced in 5-HT2B -/- flies (Fig 8B). The simplest explanation for this result is that 5-HT2B regulates L2 neurons in a cell autonomous manner. However, it remains possible that 5-HT2B expressed in other cells could contribute to this effect. Specific knockdown of 5-HT2B in L2 neurons is required to address this important issue, but available 5-HT2B RNAi lines have been ineffective in our hands. These limitations aside, our data indicate that 5-HT2B can regulate L2 neurons, which initiate most of the known visual processing pathways in *Drosophila*.

In L1 neurons, which do not express serotonin receptors, we unexpectedly observed a large calcium response to serotonin similar to that of L2 neurons (Fig 6C). These data suggest that L1 cells are indirectly regulated by input from other cells, but further studies will be needed to determine the underlying mechanism. It is possible that the gap junctions that couple L1 and L2 neurons play a role in the indirect serotonergic regulation of L1 (Joesch et al., 2010). Alternatively, inputs from neighboring columnar or wide field neurons that innervate the medulla may be involved. We note that GABAergic C2 neurons (Kolodziejczyk et al., 2008) express 5-HT1A (Fig. 2H also see (Davis et al., 2020)) and are known to synapse onto L1 in M1 (Takemura et al., 2008). Likewise, L5 neurons express the 5-HT7 receptor (Fig 2F, also see (Davis et al., 2020)) and reciprocally synapse with L1 neurons in M1 and M5 (Takemura et al., 2008). Since we used TTX to block sodium channel-based action potentials, any inputs to L1 responsible for indirect serotonergic responses are likely to be mediated by graded potentials. These have been well documented in the insect brain including photoreceptor cells and

optic lobe neurons (Juusola et al., 1995, Uusitalo et al., 1995, Mu et al., 2012).

Regardless of the underlying mechanism, our data on L1 suggest the potential

importance of an indirect pathway for serotonergic neuromodulation in this circuit, and

the possibility that this may occur in other circuits.

In T1 neurons, we were unable to detect any acute change in baseline calcium or

voltage in response to serotonin application (Fig 6E-F) and other probes (e.g., for

cAMP) may be necessary to detect such a response. However, it is possible that 5-HT1

receptors do not induce an acute physiological response, and that more chronic indices

are necessary to detect serotonin's effects on T1 neurons.

Our observation that molecularly tagged 5-HT2B and 5-HT1A are enriched in the

medulla neuropil, underscores the likelihood that the medulla is the most relevant site

for serotonergic regulation of these cells. The lamina cortex, which contains the

monopolar cell bodies, is also innervated by serotonergic processes. Although we are

not aware of any data indicating that neuronal cell bodies receive synaptic input in the

fly it is conceivable that serotonin release in the laminar cortex could indirectly regulate

neuronal activity, perhaps via glia that may express serotonin receptors (see Fig 1C, 1D

and 2G). The potential importance of serotonergic neuromodulation at L2 nerve

terminals rather than somatodendritic cites is similar to mechanisms proposed for the

mammalian retinogeniculate synapse where 5-HT1 receptors inhibit presynaptic calcium

influx (Chen and Regehr, 2003). Similarly, serotonin-induced changes in calcium levels

might regulate neurotransmitter release from L2 terminals onto postsynaptic neurons.

Investigating this possibility will require the analysis of neurons of downstream of L2.

Importantly these neurons may also undergo direct or indirect serotonergic regulation

independent of L2 neurons (Takemura et al., 2011, Takemura et al., 2013, Shinomiya et al., 2014). Indeed, (Davis et al., 2020) report serotonin receptors in the neurons that receive input from L1 and L2: Mi1, Tm1, Tm2, and Tm3. Parallel studies in mammals have already begun to dissect the contributions of serotonergic signaling to cells within individual circuits including the visual system (Moreau et al., 2010, Halberstadt, 2015, Gagolewicz and Dringenberg, 2016, Li et al., 2018, Zhou et al., 2018). However, how this information is integrated across circuitry remains poorly understood. The interactions between receptors expressed in L2 and other neurons in the fly visual system provide a new framework to dissect the mechanism by which multiplexed serotonergic inputs combine to regulate circuit function.

L1 and L2 neurons receive synaptic input from photoreceptors, and respond to luminance changes with graded potentials, depolarizing in dark conditions and hyperpolarizing in light (Zheng et al., 2006, Clark et al., 2011, Yang et al., 2016). These two neurons feed into pathways for motion and contrast detection (Maisak et al., 2013, Strother et al., 2014, Bahl et al., 2015). The modulation of visually induced calcium transients in L2 following serotonin application (Fig 7-8) suggests a role for serotonin in potentiating the response of L2-dependent visual processing pathways. Our data also suggest a molecular-mechanism for previous observations made in larger insects, including serotonin-induced changes field recordings in blowfly representing the output of L1 and L2 (Chen et al., 1999) and honeybee motion detection in the lobula (Kloppenburg and Erber, 1995) Further examination of the serotonin system in the *Drosophila* optic lobe using the same approaches we employed here can be used to test additional hypotheses related to insect vision.

We detected serotonin-mediated differences in the kinetics of L2 neurons' response to visual stimuli in wild type flies, but also measured differences in amplitude when comparing 5-HT2B mutants to heterozygous controls. Without inducible knock-down/out experiments, it is not possible to rule out developmental effects of the 5-HT2B mutant on the L2 neurons. Regardless of possible developmental effects, we emphasize that mutation of 5-HT2B generated a useful sensitized genetic background to uncover effects of serotonin that were not detectable in wild type flies. We speculate that endogenous serotonergic release and activation of 5-HT2B blunted the effects of bath-applied serotonin, making it difficult to detect changes in amplitude due to saturation. A saturation point for neuromodulatory input is critical for circuit stability as has been described previously (Prinz et al., 2003, Marder, 2011, 2012). In the absence of 5-HT2B, neither endogenous nor exogenous serotonin would have an effect, thus increasing our ability to detect the full spectrum of serotonin's actions. More generally we suggest that our data underscore the power of a genetically sensitized background to fully understand the mechanisms underlying the aminergic regulation of circuit function. This approach is well developed in the fly compared to mammalian systems and we suggest that it can significantly enhance future analyses of serotonergic neuromodulation.

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