Altered directed functional connectivity of the right amygdala in depression: high-density EEG study

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Abstract

Background: The cortico-striatal-pallidal-thalamic and limbic circuits are suggested to play a crucial
role in the pathophysiology of depression. Stimulation of deep brain targets might improve symptoms
in treatment-resistant depression. Better understanding of connectivity properties of deep brain
structures potentially implicated in DBS treatment is needed.

Methods: Using high-density EEG, we explored the directed functional connectivity at rest in 25
healthy subjects and 26 patients with moderate to severe depression within the bipolar affective
disorder, depressive episode, and periodic depressive disorder. We computed the Partial Directed
Coherence on the source EEG signals arising from the amygdala, anterior cingulate, putamen,
pallidum, caudate, and thalamus. The global efficiency for the whole brain and the local efficiency,
clustering coefficient, outflow, and strength for the selected structures were calculated.
Results: In the right amygdala, all the network metrics were significantly higher (p<0.001) in patients
than in controls. The global efficiency was significantly higher (p<0.05) in patients than in controls,
showed no correlation with status of depression, but decreased with increasing medication intake
($R^2 = 0.59$ and $p_{value} = 1.52\mathrm{e} - 05$).

Conclusion: The amygdala seems to play an important role in neurobiology of depression and as such
could be considered as a potential future target for DBS in treating treatment-resistant depression.

1 Introduction

Affective disorders are among the most common and most serious psychiatric disorders (1). Crucial
role of the cortico-striatal-pallidal-thalamic and limbic circuits in the pathophysiology of depression
was repeatedly reported (2) (3) (4). Magnetic resonance imaging, functional magnetic resonance
imaging (fMRI), magnetoencephalographic, and electroencephalographic (EEG) studies have
confirmed that depressive patients show structural impairments and disbalances in a coordinated
activity of several brain regions considered to be important for a) emotions, i.e. amygdala, subgenual

anterior cingulate, caudate, putamen and pallidum (5) (3) (6) (7) (8) (9) (10) (11) (12); b) self-
referential processes, i.e. medial prefrontal cortex, precuneus, and posterior cingulate cortex (13)
(14); c) memory, i.e. hippocampus, parahippocampal cortex (15); d) visual processing, i.e. fusiform
gyrus, lingual gyrus, and lateral temporal cortex (16); and e) attention, i.e. dorsolateral prefrontal
cortex, anterior cingulate cortex (ACC), thalamus, and insula (17) (10) (11) (12). Moreover, post-
mortem morphometric measurements revealed smaller volumes of the hypothalamus, pallidum,
putamen and thalamus in patients with affective disorders (18).

Many depressive patients fail to respond to pharmacological therapy resulting in 1 – 3% prevalence
of treatment-resistant depression (TRD) (19). One of the newest therapeutic alternatives for
pharmacologically refractory depression is an invasive direct electrical stimulation of relevant deep
brain structures (20). Both unipolar and bipolar depression patients might benefit from deep brain
stimulation (DBS) treatment (21), although an optimal approach, including selection of an optimal
target structure, has yet to be established. To this end, the neuropathophysiology of depression needs
to be better defined. Particularly, better understanding of connectivity properties of deep brain
structures potentially implicated in DBS treatment could have an important value.

Neuroimaging techniques, such as fMRI and EEG analyses, let investigate the integration of
functionally specialized brain regions in a network. Inferring the dynamical interactions among
simultaneously recorded brain signals can reveal useful information in abnormal connectivity
patterns due to pathologies and can help the clinical practice. The connectivity studies based on
functional magnetic resonance imaging (fMRI) are most often based on correlation analyses without
providing knowledge about the direction of the information flow between the examined regions.
Directionality cannot be investigated using fMRI because of its poor temporal resolution (28).
Understanding the directionality is, however, crucial when searching for suitable deep brain
stimulation (DBS) targets for treating TRD. It was suggested that antidepressant effect of DBS
treatment might be caused by changes in the activity of remote structures that receive inputs from the
stimulated region. For instance, it has been hypothesized that DBS applied in the nucleus accumbens
might influence the activity in the ventral (subgenual ACC, orbitofrontal and insular cortices) and
dorsal (dorsal ACC, prefrontal and premotor cortices) subnetworks of the depression neurocircuitry
(22). Another example of a particular role of the stimulated structure in the large-scale
communication is the ACC, whose possible integrative role in cognitive processing (23) might
explain the most recently reported high efficacy of DBS to subgenual ACC in treating depression
(24).

The interest in studying the dynamical causal interactions that characterize rest- or task-related brain
networks has led to a remarkable increase in the application of adaptive estimation algorithms. In
particular, Granger causality based on adaptive filtering algorithms represents a suitable approach to
study dynamical networks composed of highly non-stationary neural signals, e.g., EEG signals (25)
(26). The adaptive filtering allows dealing with time-varying multivariate time-series and testing
direct causal influences among brain regions. A signal x is said to Granger-cause another signal y if
the history of x contains information that helps to predict y above and beyond the information
contained in the history of y alone (27).

Aberrant functional EEG-based connectivity in depressive patients was reported in studies where
network metrics were computed directly between sensor recordings (29)(30). Since each EEG
channel is a linear mixture of concurrently active brain and non-brain electrical sources, whose
activities are volume conducted to the scalp electrodes, the utility of such observations is limited
(31). This EEG feature is particularly relevant in connectivity analyses which seek to detect the real

active interactions between brain regions. Connectivity analysis performed in the source space is able
to partially overcome this issue (31). Indeed, Partial Directed Coherence estimators do not consider
zero-lag interactions that describe the instantaneous propagation of activity, considering the zero-
phase connectivity as noise added to lagged connectivity patterns of interest. For this reason, directed
functional connectivity analysis based on electrical source imaging proved to be a promising tool to
study the dynamics of spontaneous brain activity in healthy subjects and in various brain disorders
(32) (33) (34). Despite this fact, the electromagnetic imaging has not been yet used in patients with
depression to study the directed connectivity of resting-state networks.

In the current study, we explored the directed functional connectivity at rest in depression using high-
density EEG. We computed the Partial Directed Coherence on the source EEG signals arising from
the amygdala, anterior cingulate, putamen, pallidum, caudate, and thalamus, structures selected with
respect to their potential implication in the DBS treating TRD. We hypothesized that the resting-state
directed functional connectivity in these deep brain structures might be disrupted in patients with
depression compared to healthy controls.

2   Methods

2.1   Subjects

Data was collected from 26 depressive patients and 25 healthy controls. The two groups were balanced
in gender and there were no significant differences in age or education (see Table 1). On a subsample
of this dataset we recently reported that the severity of depressive symptoms correlates with resting-
state microstate dynamics (Damborská et al., under review). The patients were recruited by the
Department of Psychiatry at the University Hospital Brno, Czech Republic, based on the clinical
evaluation by two board-certified psychiatrists and according to the Diagnostic and Statistical Manual
(DSM-V) criteria using the Mini International Neuropsychiatric interview (M.I.N.I.). All patients were
examined during their first week of hospitalization. All patients met the criteria for at least a moderate
degree of depression within the following affective disorders: bipolar affective disorder (F31),
depressive episode (F32), and periodic depressive disorder (F33). Exclusion criteria for patients were
any psychiatric or neurological comorbidity, IQ < 70, organic disorder with influence on the brain
function, alcohol dependence or other substance dependence. All patients were in the on-medication
state. Control subjects were recruited by general practitioners from their database of clients. Control
subjects underwent the M.I.N.I. by board-certified psychiatrists, to ensure that they had no previous or
current psychiatric disorder according to the DSM-V criteria. The scores on the Montgomery-Åsberg
Depression Rating Scale (MADRS) (35) and the Clinical Global Impression (CGI) (36) tests were
measured in patients to assess the severity of the depressive episode. The status of depression was
further described with life time count of depressive episodes and illness duration. Medication in 24
hours preceding the EEG examination was also recorded (see Table 2). This study was carried out in
accordance with the recommendations of Ethics Committee of University Hospital Brno with written
informed consent from all subjects. All subjects gave written informed consent in accordance with the
Declaration of Helsinki. The protocol was approved by the Ethics Committee of University Hospital
Brno, Czech Republic.

2.2   EEG- data acquisition and pre-processing steps

Subjects were sitting in a comfortable upright position in an electrically shielded room with dimmed
light. They were instructed to stay as calm as possible, to keep their eyes closed and to relax for 15
minutes. They were asked to stay awake. All participants were monitored by the cameras and in the
event of signs of nodding off or EEG signs of drowsiness detected by visual inspection, the recording

was stopped. The EEG was recorded with a high density 128-channel system (EGI System 400;
Electrical Geodesic Inc., OR, USA), f_s = 1kHz, and Cz as acquisition reference.

Five minutes of EEG data were selected and visually assessed. Noisy channels with abundant artifacts
were identified. EEG signal was band-pass filtered between 1 and 40 Hz with a 2nd-order Butterworth
filter avoiding phase-distortion. Subsequently, in order to remove physiological artifacts, e.g.
ballistocardiogram and oculo-motor artifacts, infomax-based Independent Component Analysis (37)
was applied on all but one or two noisy channels. Only components related to ballistocardiogram,
saccadic eye movements, and eye blinking were removed based on the waveform, topography and time
course of the component. Then, the cleaned EEG recording was down-sampled at f_s = 250 Hz and the
previously identified noisy channels were interpolated using a three-dimensional spherical spline (38),
and re-referenced to the average reference. For the following analyses, thirty 2-s EEG epochs free of
artifacts were selected per subject. All the pre-processing steps were done using the freely available
Cartool Software 3.70, programmed by Denis Brunet (39) and custom functions in MATLAB®
R2018b.

2.3   EEG source estimation

141   We applied the LAURA algorithm implemented in Cartool (39) to compute the source reconstruction
142   taking into account the patient's age to calibrate the skull conductivity (40)(41)(42). The method
143   restricts the solution space to the gray matter of the brain. Then, the cortex was parcellated into the
144   90 Automated Anatomical Labeling brain regions (43). The dipoles in each region of interest (ROI)
145   were represented with one unique time-series by a singular-value decomposition (44).

2.4   Time-variant multivariate autoregressive modeling

The cortical waveforms computed after applying the singular-value decomposition, were fitted against
a time-variant (tv) multivariate (MV) autoregressive (AR) model to overcome the problem of non-
stationarity of the EEG data. If the EEG data are available as several trials of the same length, the
cortical waveforms computed from the EEG data generates a collection of realizations of a multivariate
stochastic process which can be combined in a multivariate, multi-trial time series (44) (45) (46). The
tv-MVAR matrices containing the model coefficients were computed in the framework of a MATLAB
toolbox (code available upon reasonable request to the authors) that implements the adaptive Kalman
filtering and information Partial Directed Coherence (iPDC) in the source space (46) (47) (48). By
means of the model coefficients, we computed the parametric spectral power density and the iPDC
absolute values for each subject. For each patient, we obtained a 4-dimensional matrix ([# ROIs x #
ROIs x frequency x time]) that represented the directed information flow from one ROI to another for
each frequency at each time sample. In this way we performed the analysis on the *single-subject* level.

Since the features in the power spectra were consistent among subjects in the same population
(patients vs controls), we also performed the analysis on the *population* level. A *population subject*
was built by estimating the tv-MVAR model, where each trial in input was a different subject. One
power spectral density matrix and one connectivity matrix [ROIS x ROIs x frequency x time] were
obtained for each group (controls and patients).

2.5   Network metrics

In order to study the peculiarities of the brain network in patients vs controls, the brain was
represented as a digraph defined by a collection of nodes and directed links (directional edges).
Nodes in the brain network represent brain regions, i.e., the 90 ROIs, while the directed links
represent the values computed by iPDC. Thus, the weight of such link can vary in the interval [0-1]

and it represents the amount of mutual information flowing between ROIs. We defined twelve ROIs,
including the bilateral amygdala, anterior cingulum, thalamus, putamen, caudate, and pallidum, to
examine the directed functional connectivity between these seeds and the whole brain. Significant
differences in power between patients and controls were observed in the *single-subject* level in alpha
and theta frequency bands in all these six anatomical structures. Therefore, we restricted the network
analysis to this [4-12] Hz frequency range. To evaluate how much the system is fault tolerant and
how much the communication is efficient, the global efficiency for the whole brain and the local
efficiency, clustering coefficient, strength and outflow for each of these twelve investigated ROIs
were computed. To compute all the graph measures, the scripts and functions implemented on the
freely available MATLAB toolbox (49) were customized.

2.5.1 Global efficiency

180   Global efficiency is defined as the average minimum path length between two nodes in the network.
181   This measure is inversely related to topological distance between nodes and is typically interpreted as
182   a measure of the capacity for parallel information transfer and integrated processing (50).

2.5.2 Local efficiency

185   Local efficiency is defined as the average efficiency of the local subgraphs (51), i.e. the global
186   efficiency computed on the neighborhood of the node. It reflects the ability of a network to transmit
187   information at the local level. This quantity plays a role similar to the clustering coefficient since it
188   reveals how much the system is fault tolerant, i.e., it shows how efficient the communication is between
189   the first neighbors of i when i is removed.

$$\overrightarrow{E_{loc}} = \frac{1}{2n}\sum_{i\in N}\frac{\sum_{j,h\in N,j\neq i}(a_{ij}+a_{ji})(a_{ih}+a_{hi})(\left[\overrightarrow{d_{jh}}(N_i)\right]^{-1}+\left[\overrightarrow{d_{hj}}(N_i)\right]^{-1})}{(k_i^{out}+k_i^{in})(k_i^{out}+k_i^{in}-1)-2\sum_{j\in N}a_{ij}a_{ji}} \quad (1)$$

191   where k_i^{out} is the out-degree of node i, k_i^{in} is the in-degree of node i, and a_{ij} is the connection status
192   between node i and node j, i.e., $a_{ij}=1$ if the link between i and j exists, $a_{ij}=0$ otherwise. N is the
193   set of nodes in the network. n is the number of nodes and $\overrightarrow{d_{jh}}(N_i)$ is the length of the shortest directed
194   path between j (any node in the network) and h (any node that neighbors with i).

2.5.3 Clustering coefficient

196   Clustering coefficient reflects the prevalence of clustered connectivity around an individual brain
197   region (52):

$$cc_i = \frac{2t_i}{k_i(k_i-1)} \quad (2)$$

199   where t_i are the number of triangles around the node i, and k_i is the degree of node i, i.e., the number
200   of links connected to node i. In our case of a weighted directed network, a weighted directed version
201   of clustering coefficient was used (53):

$$\overrightarrow{cc_i} = \frac{\overrightarrow{t_i}}{\left(k_i^{out}+k_i^{in}\right)\left(k_i^{out}+k_i^{in}-1\right)-2\sum_{j\in N}a_{ij}a_{ji}} \quad (3)$$

where $\overrightarrow{t_i}$ are the number of directed triangles around the node i, k_i^{out} is the out-degree of node i, k_i^{in}
is the in-degree of node i, and a_{ij} is the connection status between the nodes i and j, i.e., $a_{ij}=1$ if
the link between i and j exists, $a_{ij}=0$ otherwise. N is the set of nodes in the network.

2.5.4 Strength and outflow

Finally, the connectivity patterns between the different cortical regions were summarized by
representing the strength that quantifies for each node the sum of weights of all links connected to the
node and the total outflow from a region toward the others, generated by the sum of all the statistically
significant links obtained by application of the iPDC. The greatest amount of information outflow
depicts the ROI as one of the main sources (drivers) of functional connections to the other ROIs
(54).

2.6 Laterality

For all the network metrics obtained in the previous paragraph, we also computed a laterality index,
which is defined as $\frac{Left_{metric} - Right_{metric}}{Left_{metric} + Right_{metric}}$ to test if the measures significantly differentiate between the
two hemispheres. Laterality index and all network metrics were calculated for both groups.

2.7 Statistical analysis

To assess whether or not the changes in the network metrics were statistically significant between
patients and controls, paired Student's t-tests were computed under the hypothesis of normal
distribution of samples (Lilliefors test), otherwise Wilcoxon rank-sign tests were considered. To test
whether the age and education level predict the values of the spectral power distribution and the
network metrics in patients, a multiple linear regression was performed. We also tested the influence
of the clinical data on the connectivity results. A linear regression was performed exploiting
correlation of the connectivity results with four variables describing the status of depression and two
variables describing the medication status in terms of the intake of benzodiazepines (BZP),
antidepressants, antipsychotics, and mood stabilizers (AD/AP/MS). These six clinical variables are
provided for each patient in Table 2.

3 Results

3.1 Power spectra

We found an overall increase in power in theta and alpha frequency bands in patients compared to
controls at both the *population* and *single-subject* levels. At the *population* level, significantly higher
power ($p<0.05$) in patients was found in all investigated subcortical regions in both frequency bands
(see Figure 1). At the *single-subject* level, a significantly higher power ($p<0.05$) in patients than in
controls was observed in the [4-12] Hz frequency range bilaterally in the thalamus, pallidum,
putamen, and caudate. Moreover, a significant left-lateralized power increase ($p<0.05$) in patients vs
controls was observed in the anterior cingulate and amygdala in this frequency range (see Figure 2b).
We found a significantly decreased power in delta and beta frequency bands in patients compared to
controls in all investigated ROIs, when evaluating the results at the *population* level (Figure 1). At
the *single-subject* level, delta power was significantly decreased in patients vs controls in the right
caudate, putamen, and pallidum (Figure 2a). There was no significant difference in beta power
between the two groups in any investigated ROI at the *single-subject* level (see Figure 2c).

3.2 Network metrics

The connectivity network measures that we performed in the [4-12] Hz frequency range, showed
increased values in patients compared to controls at both levels. At the *population* level, the local
efficiency measured in patients was higher than in controls in all examined subcortical ROIs (see

Figure 3). At the *single-subject* level, the global efficiency was significantly higher (p<0.05) in
patients (mean $\pm$ standard deviation: 0.0129 $\pm$0.0021) than in controls (mean $\pm$ standard deviation:
0.0126$\pm$0.0019). All the network measures computed on the twelve selected ROIs showed
significantly higher values in patients than in controls in the right amygdala. The strength, local
efficiency, and clustering coefficient of the right caudate were significantly higher in patients than
controls, while there was no significant difference between the groups in the outflow from this ROI.
There were no significant differences in any network metric in the anterior cingulate, thalamus,
pallidum, or putamen (see Figure 4).
There were no statistical differences in the network metrics estimated between the left and right
hemisphere in each subject. The laterality indices showed that neither controls, nor patients had a
lateralization in connectivity results of the six investigated deep brain structures. No significant
differences in the laterality indices were observed comparing controls and patients.

3.3   Effect of medication on network impairments

We found no correlation of the connectivity results with the intake of benzodiazepines, while there
was a significant relationship between the global efficiency and the intake of AD/AP/MS medication
($R^2 = 0.59$ and $p_{value} = 1.52\mathrm{e} - 05$). The global efficiency decreased with increasing medication
score (see Figure 5). We observed no significant correlation ($R^2 < 0.05$ and $p_{value} > 0.8$) between
the connectivity results and any of the parameters that describe the status of depression (MADRS
score, CGI score, illness duration, and the number of episodes) or the demographic profile (age and
education level).

Figure 1

Figure 2

277   Figure 3

(a)   (b)

278
279   Figure 4

281   Figure 5

4   Discussion

In this study, we investigated resting-state network alterations using iPDC on source signals of high-
density EEG in patients with depression compared to healthy controls. We explored the directed
functional connectivity of the amygdala, anterior cingulate, putamen, pallidum, caudate, and
thalamus, among them and with all the other brain regions. We demonstrated that in patients with
moderate to severe depression: (1) the directed functional connectivity was significantly increased
compared to controls in the right amygdala and the right caudate; (2) the power in theta and alpha
frequency bands was significantly increased compared to controls in all investigated brain anatomical
structures; (3) higher medication intake was associated with lower overall driving from the
investigated regions.

4.1   Increased right amygdala directed functional connectivity in depression

The most robust finding in our study was an abnormally increased directed functional connectivity in
the right amygdala during resting-state in depressive patients. Even though the left-right asymmetry
was not demonstrated by the LI, a right-lateralized hyper-connectivity, as revealed with all the
computed network metrics, was observed in the amygdala. We observed an increase in outgoing
connections from the right amygdala as reflected with significantly higher outflow and strength in
patients compared to controls. Moreover, we found a hyper-connectivity in the local networks of the
right amygdala as reflected with significantly higher local efficiency and clustering coefficient in
patients compared to controls.
We also found a significantly higher global efficiency in patients compared to healthy controls. This
network feature had the same trend at the *population* level. Namely, we observed abnormally
increased local efficiency of all examined deep brain structures in depressive patients. The efficiency
measures the ability of a neural network to integrate and combine information. The deeper regions

have a key role as hubs of the large-scale brain networks, so changes in their local connectivity
properties might have also led to connectivity changes in the whole brain.

The amygdala is involved in processing salient stimuli (55)(56) and has been implicated as one of the
central hubs within the affective salience network (57)(58)(59). There is converging evidence from
the neuroimaging studies that points to an abnormally increased connectivity and heightened
activation of the amygdala in major depressive disorder (MDD) patients (60)(61), although reduced
connectivity was also reported (62)(17)(63). Distinct network dysfunctions in MDD were suggested
to underlie adult-specific amygdala resting-state fMRI connectivity impairment within the affective
network, presumably reflecting an emotional dysregulation in MDD (64). Unfortunately, the
directionality of connections, which might be of interest when considering a structure as a potential
DBS-target for treatment of TRD, cannot be inferred from these functional studies. Here, we
demonstrated in depressive patients an abnormal increase in directed functional connectivity arising
from the right amygdala. This higher connectivity could reflect abnormal functioning of the right
amygdala in terms of the hyper-activation. Such dysfunction might represent an impaired bottom-up
signaling for top-down cortical modulation of limbic regions, leading to an abnormal affect
regulation in these patients.

The increased functional connectivity in amygdala is likely related to structural changes observed in
depression. Enlarged amygdala volume was found in first-episode depressive patients that positively
correlated with severity of depression (65). Higher grey matter volume was detected in bilateral
amygdala of TRD patients compared to non-TRD patients, irrespective whether the patients
presented bipolar or unipolar features (66). Decreased amygdala volumes have also been reported in
first-episode depressive patients (2). Larger amygdala volumes were suggested to reflect
vulnerability to chronicity, revealed by medication resistance (66) or a consequence of progression of
the disorder (2). On the other hand, larger right amygdala volume was suggested to be associated
with greater chances of remission in BD (67).

In our study we aimed to investigate the directed functional connectivity in amygdala to provide
knowledge on neurobiology of depression that is needed for evaluation this structure as a possible
candidate for DBS treatment in depression. Despite myriad of DBS targets for treating depression
tested in humans (20), the amygdala is not among them. The possible safety and utility of DBS in the
amygdala could only be inferred from studies, in which the amygdala-DBS was performed for other
neuropsychiatric diagnoses, such as epilepsy (68)(69)(70)(71), post-traumatic stress disorder
(72)(73), and autism (74). In one of these studies transient stimulation-related positive shift in mood
was observed (69). Particularly, the stimulation of the right amygdala induced a transient decrease in
the negative affective bias, i.e. the tendency to interpret ambiguous or positive events as relatively
negative. In this case study, an epileptic patient with MDD rated the emotional facial expressions as
more positive with stimulation than without. The stimulation effect might have been associated with
a transient normalization of likely impaired function of the right amygdala in that patient. We can
only speculate, whether this dysfunction was in terms of hyper-connectivity similar to that observed
in our study and whether it was temporally decreased by inhibitory effect of the stimulation.
Nevertheless, if this was the case, results of that case study together with the here reported right-
lateralized hyper-connectivity in depressive patients would support the right amygdala as a candidate
future DBS target for treating TRD.

4.2   Increased right caudate directed functional connectivity in depression

We demonstrated that during resting state, patients had significantly higher right caudate directed
functional connectivity than healthy controls. Despite no significant difference between groups in

the caudate outflow, we observed an abnormally increased strength of outgoing connections from the
right caudate in patients. Moreover, we found a hyper-connectivity in the local networks of the right
caudate as reflected with significantly higher local efficiency and clustering coefficient in patients
compared to controls. These findings might be consistent with evidence directly associating cortico-
basal ganglia pathology with primary bipolar and unipolar spectrum disorders (75). Deficits in
resting-state default-mode network connectivity with the bilateral caudate were suggested to be an
early manifestation of MDD (76). Reduced grey matter volume in the bilateral caudate
(77)(78)(79)(12) and altered functional connectivity of the right caudate with the frontal regions was
observed in MDD patients (78). In a post-mortem morphometric study in late-life depressive
subjects, reduction in neuronal density was found in both the dorsolateral and ventromedial areas of
the caudate nucleus (80). Negative association between increased white matter lesion volumes and
decreased right caudate volume in late-life depression was reported (81). In mild to moderately
depressed patients no change in caudate gray matter volumes were found (82) suggesting inverse
correlation between the caudate volume and severity of depression.

No significant differences in any network metric were found in the putamen, pallidum, thalamus, and
anterior cingulate. It is possible, however, that examining these structures as a whole might be
insensitive to different changes in their relevant subregions. Only medial part of thalamus is expected
to play a role in the experience of affect (60)(83). Reduced activity in the dorsal ACC but increased
activity in the subgenual ACC have been found in acute depression in functional imaging studies (84)
(85). Moreover, we must take into account the limitations of our methodological approach, i.e. the
source localization of the EEG activity in the subcortical regions. Despite, it was recently proved
that subcortical activity is detectable with high density EEG (86), we have to keep in mind that the
spatial resolution in detecting and distinguishing neighboring brain regions is about 24 mm.
Therefore, our results divided into caudate, putamen and pallidum are probably overlapping. Keeping
in mind these limitations and with respect to the lower robustness of our findings in the caudate, we
can just encourage researchers to further investigate the dysfunction of the caudate nucleus in
depression so that any conclusions about its suitability as a DBS target for treating depression can be
made. In an early case study, stimulation of the ventral caudate nucleus markedly improved
symptoms of depression in a patient with MDD and comorbid obsessive-compulsive disorder (87).
No change in depressive symptoms, however, was recently observed during the stimulation of the
caudate in a study of three TRD patients (88) and authors concluded the caudate to be less promising
target than the nucleus accumbens. More evidence from neuroimaging studies is needed to provide
arguments for the next caudate-DBS tests in treating TRD.

4.3   Increased theta and alpha powers in depression

We found a significantly higher power in the theta and alpha frequency bands in the depressed
compared to healthy control group in all the investigated subcortical structures consistently at both
the *population* and *single-subject* levels. The power decrease in the beta and delta frequency bands
was observed only in the right striatum at both levels.

Our findings might be in line with previous observations in the sensor space of the scalp EEG.
Abnormally high power in alpha (89)(90)(91) and theta (89)(92)(91) frequency bands in parietal and
occipital regions were found in depressed patients, lower than normal beta and delta power were also
reported (91). The recent evidence points, however, to opposite power changes showing that theta
and alpha power might decrease, while beta power increase in depression (93). Moreover, the same
study reported negative association of the posterior alpha power with the depression severity. While
changes in cortical theta and alpha activity were suggested to be inversely related to the level of

cortical activation, enhancement of the cortical beta power was suggested to reflect higher level of
anxiety symptoms in depressed patients (89). To the best of our knowledge there is only one study
that directly recorded electrophysiological activity in subcortical structures in depressive patients. In
this study, a larger alpha activity in MDD patients compared with obsessive compulsive disorder was
found in the limbic DBS targets (the anterior cingulate and the bed nucleus of the stria terminalis)
(94). Moreover, in the same study, the increased alpha power correlated with severity of depressive
symptoms. Nevertheless, in spite of parallels with prior reports, the current link between the power
changes in subcortical structures and depression awaits replication.

4.4   Lower network impairments with more medication

We found an inverse relationship between the intake of medication and the impairment of the
investigated networks. Particularly, increased intake of antidepressants, antipsychotics, and mood
stabilizers was associated with reduction of the global efficiency. This finding might be related to the
pharmacological effect on the brain activity, i.e. a change towards the normalization of the hyper-
connectivity in the cortico-striatal-pallidal-thalamic and limbic networks. Although, only doses of
medication actually taken at the time of experiment were considered, the accumulated drug effect on
connectivity results might be also expected. The low sample size and great variability in medication
made it, however, impossible to examine any potential influence of medication on the network
impairments by comparing patients receiving a specific drug with those not receiving it. To
summarize the various medications, an ordinal variable was used that is only a rough measurement of
medication usage. Therefore, the observed relationship between the global efficiency and medication
should be viewed with caution. Interestingly, we have not found significant correlation between the
global efficiency and intake of benzodiazepines. This negative finding suggests that even though
benzodiazepines are known to have an effect on electrophysiological correlates of brain functions,
the network properties might not be influenced. There were no significant correlations between the
connectivity results and symptom severity or other parameters describing the status of depression
within the patient group. We suppose that heterogeneity of our dataset, in which patients with
different disorders were included, might underlie this observation.

5   Conclusions

We found an overall increase in power in theta and alpha frequency bands in depressive patients
compared to healthy controls in the subcortical regions constituting the cortico-striatal-pallidal-
thalamic and limbic circuits. The network measures showed a higher than normal functional
connectivity arising from the right amygdala in depressive patients. The amygdala seems to play an
important role in neurobiology of depression and as such could be considered as a potential future
target for DBS in treating TRD. The observed right-lateralized alterations in the brain connectivity
support the unilateral approach in neurostimulation treatment of depression. Resting-state EEG
directed functional connectivity is a useful tool for studying abnormal brain activity in depression.

6   Table legends

Table 1. [a]Education was classified into three levels: 1 = no high school, 2 = high school, 3 =
university studies

Table 2. F31: bipolar affective disorder; F32: depressive episode, F33: periodic depressive disorder;
BZD: benzodiazepine equivalent dose(95); AD/AP/MS (antidepressants/antipsychotics/mood
stabilizers): 1 – one medication in sub-therapeutic doses, 2 – one medication in therapeutic doses, 3 –
combination of medications with one in therapeutic doses, 4 – combination of medications with more

than one in therapeutic doses; MADRS: Montgomery–Åsberg Depression Rating Scale; CGI
(Clinical Global Impression scale): healthy (1) – most extremely ill (7). Patients 3, 4, and 9 received
electroconvulsive therapy; patient 5 received transcranial magnetic stimulation.

7 Tables

Table 1. Demographic data

Characteristic	Patients (n = 26)	Controls (n = 25)	t-value	df	p-value
Age: mean ± SD	51.9 ± 9.1	49.5 ± 8.7	0.97	49	0.34
Gender: female, n	11	10			
Education[a]: mean ± SD	1.9 ± 0.9	2.3 ± 0.7	-1.70	49	0.10

447 Table 2. Patient characteristics

Patient	Diagnose	Number of episodes	Illness duration (years)	MADRS score	CGI score	BZD	AD/AP/MS
1	F31	3	2	27	4	2	3
2	F32	1	0.5	24	5	0	2
3	F32	1	1	15	4	2	2
4	F31	5	20	39	6	0	2
5	F33	3	7	18	4	0	1
6	F33	2	8	9	3	1.33	1
7	F32	1	1	24	4	1.33	3
8	F31	4	27	29	5	2	2
9	F33	2	5	36	6	1	4
10	F33	3	19	21	4	1	1
11	F33	2	2	38	5	6	4
12	F33	2	1	39	5	3	4
13	F32	1	0.08	21	5	2	4
14	F33	5	21	32	5	0	3
15	F33	2	2	38	6	3	4
16	F32	1	0.08	37	6	2	4
17	F33	3	4	18	4	0	4
18	F31	2	16	28	4	0	4

19	F31	11	24	23	4	1	4
20	F32	0	0,17	23	4	1	4
21	F33	1	9	34	5	2	2
22	F32	0	0,04	37	6	1	4
23	F33	1	11	49	6	3	4
24	F33	3	20	23	4	0	2
25	F33	5	24	26	4	2	4
26	F32	0	0,17	23	4	3	3

8   Figure legends

Figure 1 Parametric power spectral density (PSD) of the *population subjects* representing controls (a)
vs patients (b) in the subcortical regions of interest. Power significantly increases in theta ([4-8] Hz)
and alpha ([8-12] Hz) band and decreases in delta ([1-4] Hz) and beta ([12-18] Hz) bands in patients
compared to controls ($p < 0.05$) in the subcortical regions of interest.

Figure 2 Boxplots to graphical illustrate the distribution of power of controls (green boxes) and
patients (red boxes) in (a) [1-4] Hz, (b) [4-12] Hz and (c) [12-18] Hz. One star (*) stands for
significant statistical difference with $p < 0.05$ and two stars (**) for $p < 0.001$. Power in [4-12] Hz
significantly increases in patients compared to controls in all examined anatomical brain structures.

Figure 3 Local efficiency computed in the two *population subjects* representing (a) controls and (b)
patients. The efficiency for each ROI is represented by a sphere centered on the cortical region,
whose radius is linearly related to the magnitude. Such information is also coded through a color
scale. The local efficiency, i.e., the ability of a network to transmit information at the local level,
measured in patients is higher than in controls in all the subcortical regions of interest.

Figure 4 Boxplots to graphically illustrate the distribution of (a) local efficiency, (b) clustering
coefficient, (c) strength, and (c) outflow in controls (green boxes) and patients (red boxes). One star
(*) stands for significant statistical difference with $p < 0.05$ and two stars (**) for $p < 0.001$. All
network metrics that refer to the right amygdala significantly differ between controls and patients
($p < 0.001$).

Figure 5 Relationship between the intake of antidepressants/antipsychotics/mood stabilizers
(AD/AP/MS) and the global efficiency (GE). Note that higher medication intake is associated with
lower GE. The orange dotted line stands for the predicted value of AD/AP/MS for each patient using
GE as predictor.

9   Conflict of Interest

474 The authors declare that the research was conducted in the absence of any commercial or financial
475 relationships that could be construed as a potential conflict of interest.

10   Author Contributions

AD – designed the study, performed the preprocessing, and wrote the initial draft; MR – performed the
analysis and wrote the initial draft; RB and JH – were responsible for patient recruitment and clinical
assessment; EH – collected the EEG data; SF and ŠO – were involved in the clinical assessment; CM
– served as an advisor and was responsible for the overall oversight of the study. All authors revised
the manuscript.

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14   Data Availability Statement

The raw data supporting the conclusions of this manuscript will be made available by the authors,
without undue reservation, to any qualified researcher.