Full Title: Plant A20/AN1 proteins coordinate different immune responses including

RNAi pathway for antiviral immunity

Short Title: Plant A20/AN1 proteins coordinate RNAi-mediated antiviral immunity

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Abstract

Salicylic acid (SA) plays important roles in antiviral immunity; however, detailed mechanisms remain elusive. Previously we identified A20/AN1 zinc-finger domain-containing protein, orchid Pha13, and *Arabidopsis* stress associated protein 5 (AtSAP5), which function similarly and serve as a hub to regulate SA-mediated immune response for antiviral immunity. In this study, another orchid A20/AN1 protein, Pha21, which shares 69.5% amino acid sequence identity with Pha13, was analyzed. Similar to Pha13 and AtSAP5, Pha21 can be found localized in the nucleus, and confers self-E3 ligase and ubiquitin binding activities. Like *Pha13* and *AtSAP5*, *Pha21* is induced by virus infection, and confers strong antiviral immunity in both transgenic orchid and *Arabidopsis* overexpressing *Pha21*. *Pha21* is also early-induced by SA treatment, and is involved in the SA-signaling pathway downstream of SA and upstream of the expression of the orchid homolog of the master regulator *NPR1*. However, in comparison with Pha13 and AtSAP5, Pha21 plays opposite roles in the expression of two SA-induced immune responsive genes, *PhaRdR1* (orchid homolog of *RNA-dependent RNA polymerase 1*) and *PhaGRXC9* (orchid homolog of *Glutaredoxin-C9*). Expression analysis suggested that Pha13 plays a dominant role in comparison to Pha21 in the expression of *PhaRdR1* and *PhaGRXC9*; however, we found that Pha21 and AtSAP5, but not Pha13, are involved in the expression of important players in the RNAi pathway, *Dicer-like nuclease 4* (*DCL4*) and *Argonaut 1* (*AGO1*) in orchid and *Arabidopsis*, respectively. Functional domain analysis revealed that both A20 and AN1 domains of Pha21 are required for virus accumulation; the AN1 domain plays a more important role in the expression of *PhaDCL4,* and neither domain is required for the

expression of *PhaAGO1*. Collectively, our data suggests that plant A20/AN1 proteins,

which are central and conserved players among plants, coordinate several immune

responses including the RNAi pathway to confer antiviral immunity.

Author summary

Salicylic acid (SA)-mediated antiviral immunity plays an important role to protect

plants from virus infection; however, the detailed mechanisms remain elusive. We

previously demonstrated that two plant A20/AN1 proteins, orchid Pha13 and

Arabidopsis AtSAP5, function similarly and serve as an important hub to regulate SA-

mediated antiviral immunity. In this study, we identified another orchid A20/AN1

protein, Pha21, which is involved in SA-mediated antiviral immunity. In contrast to

Pha13 and AtSAP5, Pha21 plays negative roles in the expression of *PhaRdR1* (orchid

homolog of *RNA-dependent RNA polymerase 1*) and *PhaGRXC9* (orchid homolog of

Glutaredoxin-C9). However, Pha21 and AtSAP5, but not Pha13, are involved in the

expression of important players in RNAi pathway, *Dicer-like nuclease 4* (*DCL4*) and

Argonaut 1 (*AGO1*) in orchid and *Arabidopsis*, respectively. Our data demonstrates that

plant A20/AN1 proteins are conserved players in SA-mediated antiviral resistance

among plants, and provide links between the A20/AN1 proteins and the RNAi pathway.

Introduction

The plant hormone salicylic acid (SA) is important to trigger plant immunity

especially against biotrophic pathogens such as viruses (1-4). In facing pathogen

invasion, plants recognize conserved microbe-associated molecular patterns (MAMPs)

by pattern-recognition receptors and trigger pathogen (or pattern)-triggered immunity

(PTI) as the first line of defense (5, 6). Although PTI provides protection from invasion

by most pathogens, some have evolved, and can utilize different effectors to suppress

PTI for successful infection (7). Plants have evolved resistance (R) proteins capable of

detecting these effectors to trigger effecter-triggered immunity (ETI) for a second line

of plant defense (7). ETI is generally associated with programmed cell death leading to

the formation of necrotic lesions, which is also known as hypersensitive response (HR)

to prevent the further spreading of pathogen infection (1).

Current evidence suggests that the PTI is important to limit virus infection (8-10),

and the viral double strand RNA (dsRNA) has been shown to serve as a conserved

MAMP (10). In addition, ETI also plays an important role in combating virus infection

in plants. For example, the N gene from *Nicotiana glutinosa* serves as an R protein to

specifically recognize the effector from *Tobacco mosaic virus* (TMV) and trigger the

ETI (11-14). SA plays important roles in triggering PTI and ETI (15). In addition, the

TMV-infected tobacco plant showing local necrotic lesions was found to become more

resistant against secondary virus infection in the distal leaves (16, 17). This systemic

immune response is known as systemic acquired resistance, which is a common plant

immune response and plays an important role in protecting plants from pathogen

infection (4).

In addition, RNA silencing or RNA interference (RNAi) has also been

demonstrated to play an important role in combating virus infection (18, 19). RNAi is

activated through the appearance of the viral dsRNA upon virus infection. The dsRNA

can be cleaved to short small-interfering dsRNA (siRNA) of 21–24 nucleotides (nt) in

size by the Dicer-like (DCL) nuclease (20). DCL2 and DCL4 in *Arabidopsis* play an

important role in generating the virus-derived siRNA (vsiRNA) (19, 21, 22). The

vsiRNA is further unwound and one strand (guide-strand) is incorporated into the RNA-

induced silencing complex (RISC) (19). This complex targets viral RNA with a

sequence that is complementary to the guide-strand and degrades the target RNA by

the catalytic component of the RISC, Argonaut (AGO) nucleases (19, 20, 23, 24).

Among the *Arabidopsis* AGOs, AGO1 plays an important role in the antiviral immunity

against RNA viruses. (24). More vsiRNA can be further generated *de novo* through the

cellular RNA-dependent RNA polymerases (RDRs) to trigger the secondary RNA

silencing against viruses (25-27). SA also plays important roles in the RNAi-pathway

as SA treatment can induce genes involved in the RNAi pathway including RDRs in

Arabidopsis, *Nicotiana tabacum and* Tomato , and DCL and AGO in Tomato (28-32).

SA induces the fluctuation of redox and serves as a signal to activate sets of

defense genes (33, 34). The SA-induced redox change can modify the NPR1

(nonexpressor of pathogenesis-related protein 1) from the multimeric protein complex

to a monomer via the oxidoreductases, *Thioredoxin-3* and *Thioredoxin-5* (35, 36). The

NPR1 monomer moves into the nucleus and activates multiple defense-related genes

including the *PR* (pathogenesis-related protein) gene in the SA-signaling pathway (37-

39). In addition to the NPR1-dependent immune pathway, some data also suggest that

the existence of a NPR1-independent pathway contributes to the virus resistance (40,

41). Despite the importance of the SA governed immune pathway in antiviral immunity,

the regulation of this pathway remains largely elusive.

Proteins containing zinc-finger A20 and/or AN1 domains play an important role

in plant response against various abiotic stresses, and are known as stress associated

113 protein (SAPs). SAPs are conserved among different organisms (42, 43). Different

114 numbers of SAP homologs (range from 1 to 19) have been identified in organisms

115 including protists, fungi, animals, plants, and humans (42, 43). Compared to animals,

116 more of these proteins are found in plants. So far, 18, 14 and 14 SAPs have been

117 identified in rice, *Arabidopsis*, and *Phalaenopsis* orchid, respectively (42, 44).

118 Biochemical studies of proteins containing A20 and/or AN1 domains revealed that the

119 A20 domain confers E3 ligase and ubiquitin binding activity (43-49). The A20 domain

120 of human A20, Rabex-5 (guanine nucleotide exchange factor), *Arabidopsis* AtSAP5

121 and orchid Pha13 have been reported to exhibit E3 ligase activity (44, 45, 49, 50). In

122 addition, it has been shown that the A20 domain can also bind to various ubiquitin

123 chains (44, 46-48). In contrast to the A20 domain, the biochemical function of the AN1

124 domain is not fully understood.

125   Our recent study indicates that plant SAPs play a pivotal role in SA governed

126 antiviral immunity (44). Orchid *Pha13* and *Arabidopsis AtSAP5* are induced by SA

127 treatment at the early stage, and are involved in expression of orchid or *Arabidopsis*

128 *NPR1* and *NPR1*-independent immune responsive genes including the induction of

129 plant *RdR1*. In addition to Pha13, our previous virus-induced gene silencing (VIGS)

130 assay also allowed us to identify the involvement of orchid *PhaTF21* (designated here

131 as *Pha21*) in SA–regulated immune response genes (51). Pha13 and Pha21 share 69.5%

132 amino sequence identity, and are most closely related among the orchid SAPs (44).

133 Whether Pha21 and Pha13 work in a cooperative manner in plant antiviral immunity

134 remains to be explored.

135   Here, we performed a detailed study of Pha21, and compared its biochemical and

136  physiological function to Pha13. Pha21 and Pha13 share similar biochemical properties

137  including E3-ligase and ubiquitin binding activities. Our results also indicate that

138  similar to *Pha13*, *Pha21* is early-induced by SA, involved in the expression of the

139  *PhaNPR1* and *PhaNPR1*-independent genes, and plays an important role in antiviral

140  immunity. Importantly, our studies show that Pha21 is involved in expression of orchid

141  *DCL4* and *AGO1*. Together with our previous data, these studies suggest that Pha13

142  and Pha21 coordinate the expression of genes important in the RNAi pathway including

143  orchid *RdR1*, *DCL4* and *AGO1*. In addition, our previous data and data presented in

144  this study also showed that *Arabidopsis* AtSAP5 is involved in expression of *RdR1*,

145  *DCL4* and *AGO1*. Collectively, our data indicates that plant A20/AN1 proteins

146  involved antiviral immunity are conserved among plants, and the antiviral immunity is

147  partly through the RNAi pathway. Our findings suggest that A20/AN1 proteins may

148  serve as a link between SA and the RNAi pathway at the early stage of SA induced-

149  antiviral immunity.

150 **Results**

151 **Sequence and expression pattern analysis of Pha21**

152   Previously, we reported that *Pha21* (Orchidstra 2.0 database,

153  http://orchidstra2.abrc.sinica.edu.tw, accession number PATC144963) is involved in

154  the SA induced immune pathway in *P. aphrodite* (52). Pha21 contains dual zinc-finger

155  domains, A20 and AN1, in the N-terminal and C-terminal, respectively (Fig 1), and

156  belongs to the stress associated protein (SAP) family (43). Among the SAPs of *P.*

157  *aphrodite*, Pha21 is most related to our previously reported Pha13 and shared 69.5%

amino acid sequence identity (44). The A20 and AN1 zinc finger domains of Pha21

also shared high amino acid sequence identity among SAPs from different species

including AtSAP5 from *Arabidopsis,* and OsSAP3 and OsSAP5 from *Oryza sativa* (Fig

1B). (44). Unlike Pha13, no nuclear localization signal was identified in Pha21 (Fig

1A).

The expression of *Pha21* was analyzed in different tissues of *P. aphrodite* orchid

including root, leaf, septal, petal, lip, and column. The results revealed that *Pha21*

expression was higher in the column, root and leaf (S1A Fig). In addition, we also

analyzed the absolute expression of *Pha21* and *Pha13* in the leaves of *P. aphrodite* by

use of droplet digital PCR. The results indicated that *Pha21* RNA expression is about

15 times lower than *Pha13* in leaves of *P. aphrodite* (S1B Fig)

Pha21* is involved in the expression of SA responsive genes, *PhaNPR1* and *PhaPR1

To further analyze the role of *Pha21* in the SA-induced immune pathway, we

transiently expressed two hairpin RNA (will generate 21 nt siRNA) of *Pha21*

(35S::hpPha21-1 and 35S::hpPha21-2) separately to knockdown *Pha21* by

agroinfiltration in *P. aphrodite* carrying hairpin RNA expression construct, phpPha21-

1 and phpPha21-2 (Fig 2A). Samples collected from the infiltrated site of orchid leaves

were used to detect the RNA level of *Pha21*, *PhaNPR1*, and *PhaPR1* by quantitative

RT-PCR (qRT-PCR). As shown in Fig 2A, the expression of *PhaNPR1* and *PhaPR1*

was decreased in both *Pha21*-silenced plants. In addition to transient knockdown of

Pha21, we also transiently overexpressed *Pha21* (35S::FLAG-Pha21) through

agroinfiltration in the leaves of *P. aphrodite.* However, transient overexpression of

Pha21 in leaves did not significantly affect the RNA level of *PhaNPR1* and *PhaPR1*

(Fig 2B).

In addition, we also transiently silenced *PhaNPR1* (phpPhaNPR1) in *P. aphrodite*

to analyze the expression of *Pha21*. The results showed that the silenced *PhaNPR1*

showed decreased expression of *PhaPR1*; however, no obvious effect was observed on

the expression of *Pha21* (Fig 2C). These results suggest that *Pha21* is involved in the

expression of *PhaNPR1*, but not vice versa.

Pha21 is induced by SA, jasmonic acid and ethylene

We also tested whether *Pha21* was induced by defense-related plant hormones

including SA, jasmonic acid (JA) and ethylene (ET). Orchid plants were treated with

SA, JA and ET and samples were collected after treatment at different time points (up

to 72 h) for analysis of the expression of *Pha21* and the marker genes of each plant

hormone by qRT-PCR. The induction of *Pha21* by SA was observed at 1 h post-

treatment (Fig 2D). In addition, *Pha21* was also induced by JA and ET at 72 h and 12

h, respectively (Figs 2E and 2F). Our results showed that *Pha21* can respond to multiple

defense-related plant hormones, and SA induced *Pha21* expression at the very early

stage.

Pha21 **plays a positive role in virus resistance**

To analyze whether *Pha21* plays a role in virus resistance, we first analyzed the

expression of *Pha21* in response to virus infection. We detected the RNA level of

Pha21 in mock- or CymMV-inoculated *P. aphrodite* using qRT-PCR, and the results

showed that the RNA of *Pha21* is induced by CymMV infection (Fig 3A). Furthermore,

we transiently silenced or overexpressed *Pha21* in CymMV-infected *P. aphrodite* to

assay the effect on virus accumulation. Transient knockdown of *Pha21* had no

significant effect on CymMV accumulation (Fig 3B); whereas transient overexpression

of *Pha21* decreased CymMV accumulation (Fig 3C). In addition, we also generated

transgenic *P. equestris* overexpressing *Pha21* (35S::FLAG-Pha21). The expression of

Pha21 is higher in the two individual asexually propagated progeny derived from two

T0 lines of transgenic *P. equestris* (Pha21#8 and Pha21#9) as compared to the non-

transgenic lines (WT) (Fig 3D). We further inoculated CymMV into individual

progenies derived from two transgenic lines, and the result showed that the

accumulation level of CymMV is decreased to 21% and 34% in the two transgenic lines

(Pha21#8 and Pha21#9) as compared to the non-transgenic lines (WT) (Fig 3D). Our

data suggest that Pha21 plays a positive role in virus resistance.

Transgenic *Arabidopsis* overexpressing *Pha21* enhances antiviral resistance.

To understand whether Pha21-mediated antiviral immunity is conserved in plants,

we generated the transgenic *Arabidopsis* (Col-0) overexpressing *Pha21* (35S::FLAG-

Pha21). Homozygous T3 plants derived from 3 T1 transgenic lines, At-Pha21#4, #5

and #6, were selected for further antiviral assay. The expression level of *Pha21* was

confirmed by qRT-PCR on the homozygote progenies (Fig 4A).

We mechanically inoculated *Cucumber mosaic virus* (CMV) to wild-type (WT)

and *Pha21* overexpressing *Arabidopsis* (At-Pha21#4, #5 and #6). Fourteen days post-

inoculation, the accumulation of CMV in WT and *Pha21* overexpressing *Arabidopsis*

were detected by qRT-PCR. The results showed that *Pha21* overexpressing

Arabidopsis decreased the accumulation of CMV (Fig 4A) compared to the WT, and

severe disease symptoms were observed on the WT but not in the *Pha21* overexpressing

Arabidopsis (Fig 4B).

Subcellular localization of Pha21

To better understand the role of Pha21 within cells, we first analyzed the

subcellular localization of Pha21. We fused green fluorescent protein (GFP) in the N-

or C-terminal of Pha21 driven by 35S promoter (pG-Pha21 and pPha21-G). The GFP-

fused Pha21 was further transfected into protoplasts isolated from *P. aphrodite.*

Twenty-four hours post-transfection, the localization of Pha21 was observed by using

a confocal microscope. The results indicated that the C-terminal GFP fusion protein

(Pha21-G) was observed in the nucleus in about 50% of cells; whereas the N-terminal

GFP fusion protein (Pha21-G) showed a similar result to our GFP control vector, which

had no nucleus-specific GFP (S2 Fig). Our data suggested that Pha21 can move into

the nucleus even without the predicated NLS signal.

Pha21 and Pha13 does not confer transcriptional activation ability in yeast two-

hybrid assay

Since both Pha21 and Pha13 have the ability to move into the nucleus (44), we tried

to understand whether Pha21 and Pha13 function as transcription factors. Therefore,

we analyzed its transcriptional activation ability through yeast two-hybrid (Y2H) assay.

We fused Pha21 and Pha13 to the Gal4 DNA binding domain to generate pGBKT7-

Pha21 and pGBKT7-Pha13. We transformed the pGBKT7-Pha21 or pGBKT7-Pha13

in the yeast strain AH109 without any Gal4 transcriptional activation domain (AD) and

further analyzed the transcriptional activation ability through the analysis of the

activation of reporter genes in yeast. As shown in S3 Fig, yeast with pGBKT7-Pha21

or pGBKT7-Pha13 cannot grow on the -Trp/Aureobasidin and -Trp/-Ade/-His medium,

and the colonies did not turn blue on X-α-Gal containing medium.

Pha21 exhibits E3 ligase activity in which the A20 domain plays a major role

Several A20 and/or AN1 domain containing proteins confer E3 ligase activity (44-

46, 53). To determine whether Pha21 functions as an E3 ligase, *in vitro* self-

ubiquitination E3 ligase activity assay was performed using FLAG-Ubiquitin (FLAG-

Ub), human E1 (hE1), human E2 (UBCH2, hE2), and purified His-tagged Pha21

(Pha21-His). The poly-ubiquitinated Pha21 can be detected in the presence of FLAG-

Ub, hE1, and hE2 using anti-FLAG antibody to detect FLAG-Ubiquitin. The results

revealed that Pha21 confers E3 ligase activity (Fig 5A).

Furthermore, we also analyzed the self-ubiquitination E3 ligase activity of the

A20 and/or AN1 domain of Pha21. We substituted the conserved cysteine and histidine

to glycine on the A20 and/or AN1 domains of Pha21-His (Fig 1) to generate A20

domain mutant (Pha21-A20m), AN1 domain mutant (Pha21-AN1m), and the double

mutant (Pha21-A20mAN1m) for E3 ligase activity analysis. As shown in Fig 5A, the

major E3 ligase activity was conferred by the A20 domain. A20 domain mutant and

double mutant of Pha21 showed lower self-ubiquitination E3 ligase activity than wild-

type Pha21 and Pha21-AN1 mutant (Fig 5A).

A20 domain of Pha21 confers ubiquitin binding ability

A20 and/or AN1 proteins have also been shown to confer ubiquitin binding ability

in animals and plants (44, 46, 47, 54, 55). To analyze whether Pha21 has ubiquitin

binding ability, Y2H assay was performed to verify the interaction using Pha21 as bait

and ubiquitin as prey. As shown in Fig 5B, Pha21 had a positive interaction with

ubiquitin, suggesting that Pha21 conferred ubiquitin binding ability. In addition, to

identify the ubiquitin binding domain of Pha21, a series of deletion mutants of Pha21

were generated, followed by Y2H assay. The result revealed that only truncated Pha21

fragments containing A20 domain (Pha21$^{1-136}$, Pha21$^{1-101}$, Pha21$^{1-40}$, Pha21$^{16-158}$,

Pha21$^{16-136}$, Pha21$^{16-101}$, Pha21$^{16-40}$) showed a positive interaction with ubiquitin,

suggesting that the A20 domain of Pha21 is responsible for the ubiquitin binding ability

(Fig 5B).

Interaction analysis between orchid SAPs

The A20/AN1 proteins may confer self-interaction and also interact with each other

(56). Therefore, we also analyzed whether Pha21 and Pha13 confer self-interaction and

also interact with each other. The Y2H assay was performed to verify the interaction

between Pha21 and Pha13. Our Y2H results suggested that Pha21 and Pha13 did not

interact with each other and no self-interaction of Pha21 or Pha13 was observed (S4

Fig).

***Pha21* is involved in the expression of SA-induced PhaNPR1-dependent and -**

independent genes.

To further understand how Pha21 is involved in the antiviral immunity, we

performed transient silencing (35S::hpPha21-2) and overexpression assay

(35S::FLAG-Pha21) of *Pha21* in *P. aphrodite*, and analyzed the expression of the

previously identified PhaNPR1-dependent and -independent antiviral genes,

Phalaenopsis homolog of *RNA dependent RNA polymerase 1* (*PhaRdR1)* and

Glutaredoxin C9 (PhaGRXC9), respectively (44). Our results indicated that transient

silencing of *Pha21* RNA increased the expression of *PhaRdR1*, while *PhaGRXC9*

remained unchanged (Fig 6A). Transient overexpression of *Pha21* RNA decreased the

expression of *PhaGRXC9*, but *PhaRdR1* remain unchanged (Fig 6B). Our data suggest

that *Pha21* affects the expression of *PhaRdR1* and *PhaGRXC9*.

Pha21 is involved in the expression of PhaDCL4 and PhaAGO1

Because the RNAi pathway plays an important role in antiviral immunity (18, 19),

we analyzed whether *Pha21* is involved in the expression of core RNAi-related genes

including the *Phalaenopsis* homologs of *RdR2* (*PhaRdR2*, PATC124544), *RdR6*

(*PhaRdR6*, PATC131836), *DCL2* (*PhaDCL2*, PATC143544), *DCL4* (*PhaDCL4*,

PATC150652), *AGO1* (*PhaAGO1*, PATC157237), and *AGO10* (*PhaAGO10*,

PATC093469). Transient overexpression and silencing assay of *Pha21* was performed

in *P. aphrodite*. Overexpression of *Pha21* increased the RNA expression of *PhaDCL4*

and *PhaAGO1* (Fig 7A); whereas transient silencing of *Pha21* had no significant effect

on the RNA expression of RNAi-related genes (S5 Fig).

For comparison, we also analyzed the effect of Pha13 on the RNAi-related genes.

The results showed that transient overexpression of *Pha13* has no significant effect on

the expression of *PhaRdR2*, *PhaRdR6*, *PhaDCL2*, *PhaDCL4*, *PhaAGO1*, *and*

PhaAGO10 (Fig 7B). Our results suggested that *Pha21* is involved in the expression of

PhaDCL4 and *PhaAGO1*.

To see whether orchid *DCL4* (*PhaDCL4*) and *AGO1* (*PhaAGO1*) also play a role

in the antiviral immunity, we transiently silenced *PhaDCL4* and *PhaAGO1* through

delivering the hairpin RNA into *P. aphrodite* by agroinfiltration carrying hairpin RNA

expression constructs, phpPhaDCL4 and phpPhaAGO1. Our results showed that

transient silencing of *PhaDCL4* and *PhaAGO1* increased the accumulation of CymMV

(S6 Fig).

The *Arabidopsis* homolog of *Pha21*, *AtSAP5*, is involved in the expression of

***DCL4* and *AGO1*.**

Our previous phylogenic analysis revealed that both Pha21 and Pha13 are closely

related to *Arabidopsis AtSAP5* (accession number: AT3G12630) (44). Therefore, we

also analyzed whether *AtSAP5* is involved in the expression of *DCL4* and *AGO1*. We

detected the expression of *DCL4* and *AGO1* in our previously generated transgenic

Arabidopsis overexpressing *AtSAP5* (AtSAP5-oe-4 and 11) and RNAi lines of *AtSAP5*

(AtSAP5-RNAi-3 and 7) by qRT-PCR (44). The expression level of *AtSAP5* was

confirmed by qRT-PCR (Fig 8A). Our results showed that slightly increased expression

of *DCL4* and *AGO1* were observed in overexpression transgenic lines, AtSAP5-oe-11

and decreased expression of *DCL4* and *AGO1* were observed in the RNAi lines,

AtSAP5-RNAi-3 and AtSAP5-RNAi-7 (Figs 8B and 8C). Our results suggest that

AtSAP5 is important in the expression of *DCL4* and *AGO1*.

A20 and AN1 domain of Pha21 play different roles in the expression of *PhaDCL4*,

***PhaAGO1*, *PhaGRXC9* and virus resistance.**

Our previous results indicated that *Pha21* is involved in the expression of

PhaDCL4, *PhaAGO1*, and *PhaGRXC9* and virus accumulation (Figs 3C, 3D, 6B, and

7A). We further analyzed the roles of the A20 and/or AN1 domains of Pha21 in the

expression of *PhaDCL4*, *PhaAGO1*, *PhaGRXC9* and virus accumulation by

overexpression of Pha21 and Pha21 with mutation in the A20 and/or AN1 domain.

Therefore, we generated Pha21 with A20 domain mutant (Pha21A20m), AN1 domain

mutant (Pha21AN1m), and the double mutant (Pha21A20mAN1m) through the

substitution of the conserved cysteine and histidine to glycine on A20 and/or AN1

domain (Fig 1). We transiently overexpressed wild-type Pha21 or the mutants (A20

and/or AN1 domain mutant) in healthy or CymMV pre-infected *P. aphrodite*, and then

detected *PhaDCL4, PhaAGRO1, PhaGRXC9,* and CymMV. The results indicated that

overexpression of Pha21 A20 mutant (Pha21A20m) but not AN1 mutant (Pha21AN1m)

or A20/AN1 mutant (Pha21A20mAN1m) increased the expression of *PhaDCL4*, which

is similar to the wild-type Pha21 (Fig 9A). Overexpression of any Pha21 A20 and/or

AN1 mutant showed similar results to wild-type Pha21 with respect to increased

expression of *PhaAGO1* (Fig 9A). Although overexpression of wild-type and any

Pha21 A20 and/or AN1 mutant resulted in decreased expression of *PhaGRXC9* and

CymMV accumulation compared to the vector control, overexpression of wild-type

Pha21 showed a greater effect on the expression of *PhaGRXC9* and CymMV

accumulation compared to any Pha21 A20 and/or AN1 mutant (Fig 9). Our data suggest

that both the A20 and AN1 domains of Pha21 are required for expression of *PhaGRXC9*

and CymMV accumulation, and the AN1 domain plays a major role in the expression

of *PhaDCL4*. They also suggest that neither domain is required for the expression of

PhaAGO1.

Discussion

Plant A20/AN1 proteins, Pha13, Pha21 and AtSAP5 share similar biochemical

properties and all involved in SA-mediated antiviral immunity

In this report, we performed detailed analysis of a previously identified orchid

gene, *Pha21*, involved in SA and RNAi-mediated antiviral immunity. Pha21 shares

high protein sequence identity to our previously identified Pha13 (Fig. S7 in Chang et

al, 2018), and exhibits similar biochemical properties including self-E3 ligase, ubiquitin

binding abilities (Fig 5) and subcellular localization (S2 Fig). Similar to Pha13, our data

suggests that Pha21 is involved in the SA-signaling pathway downstream of SA and

upstream of the expression of *PhaNPR1* (Figs 2A, 2C and 2D). In addition, Pha13 and

Pha21 also positively mediate antiviral immunity in both transgenic orchid and

Arabidopsis overexpressing *Pha13* or *Pha21* (Figs 3D and 4) (44). Similar to *Pha13*,

our transgenic *Arabidopsis* overexpressing *Pha21* also enhanced resistance to virus

infection (Fig 4) (44). In addition, our previous report and the data presented here

indicate that similar to *Pha13* and *Pha21*, *Arabidopsis AtSAP5* is also induced by SA

at the early stage, is involved in expression of similar sets of SA mediated immune

responsive genes, and positively regulates antiviral immunity (44) (Fig 10).

Pha13-mediated expression of *PhaGRXC9* and *PhaRdR1* are dominant during SA

treatment.

Our previous study indicated that *Pha13* and *AtSAP5* plays a positive role in the

expression of genes involved in the RNAi pathway and SA-governed oxidoreductases,

RdR1 and *GRXC9*, respectively. We demonstrated that both *PhaRdR1* and *PhaGRXC9*

play positive roles in antiviral immunity (44). In this study we found that *Pha21* has an

opposite role to *Pha13* in the expression of *PhaRdR1* and *PhaGRXC9* (Fig 6). As our

data indicates that the expression of *Pha13* is approximately 15 times higher than

Pha21 in leaves of orchids as measured by digital PCR (S1B Fig), we suggest that the

effect of Pha21 on the expression of *PhaRdR1* and *PhaGRXC9* is minor, and Pha13-

mediated positive expression of *PhaGRXC9* and *PhaRdR1* are dominant during SA

treatment.

Pha21 and AtSAP5 are all involved in expression of key genes in RNAi pathway,

but regulation of PhaDCL4 and PhaAGO1 by Pha21 in orchid is different from

regulation of DCL4 and AGO1 by AtSAP5 in Arabidopsis

Our data indicates that similar to *Arabidopsis DCL4* and *AGO1*, orchid *PhaDCL4*

and *PhaAGO1* also play a similar positive role in antiviral immunity in orchids as a

slight decrease in the RNA of *PhaDCL4* or *PhaAGO1* (approximately 40% decrease)

has a prominent effect on CymMV accumulation (approximately 100% increase) (S6

Fig), (19). Interestingly, our data suggests that Pha21 regulates antiviral immunity

partly through the effect on the RNAi pathway, as transient overexpression of *Pha21*

(1.6-folds) but not *Pha13* increased the expression of two *Phalaenopsis* orchid

homologs of RNAi-mediated antiviral immune genes, *DCL4* (*PhaDCL4*, 2-fold) and

AGO1 (*PhaAGO1*, 1.6-fold) (Fig 7A). Once again, our data suggests that although

Pha13 and Pha21 both participate in SA regulated immunity, they act differently in

triggering downstream immune responsive genes.

Although the effect of *AtSAP5* on expression of *DCL4* and *AGO1* is not as

prominent as *Pha21*, our data shown here also indicates that *AtSAP5* is involved in the

expression of *DCL4* and *AGO*1. Our data indicates that only one overexpression line,

AtSAP5-oe-11 (expression increased about 7 times over AtSAP5) slightly increased

the expression of *DCL4* (40% increase) and *AGO1* (20% increase). However, both our

silencing lines, AtSAP5-RNAi-3 and -7 showed noticeable effect on the expression of

DCL4 and *AGO1* (Fig 8). This suggests that *AtSAP5* is still important for the

maintenance of *DCL4* and *AGO1* expression.

Pha13 and Pha21 showed no transcriptional activation activity by Y2H assay

Although *Pha21* and *Pha13* are involved in expression of *PhaGRXC9*, *PhaRdR1*,

PhaDCL4 and *PhaAGO1*, our Y2H analysis showed that Pha21 and Pha13 have no

transcriptional activation activity (S3 Fig). However, similar to other analyzed

A20/AN1 proteins, our biochemical assay indicated that both Pha21 and Pha13 confer

E3 ligase activity and ubiquitin binding ability, and A20 domain of Pha21 and Pha13

is the major domain exhibiting self-E3 ligase and ubiquitin binding activity (Fig 5) (44).

It has been well demonstrated that human A20 proteins (without an AN1 domain)

regulate the immune transcription factor NF-kB through ubiquitin editing activity

including E3 ligase and ubiquitin binding ability on different substrates (53). It is likely

that Pha21 and Pha13 may function in a similar manner to human A20 indirectly, rather

than directly function as transcription factors.

A20 confers most E3 ligase and ubiquitin binding activity but the AN1 domain
also plays important roles in expression of immune responsive genes.

Our previous domain functional analysis revealed that both the A20 and AN1

domains of Pha13 are required for regulation of *PhaRdR1*, *PhaGRXC9*, and virus

accumulation, and the AN1 domain of Pha13 is involved in the expression of *PhaNPR1*

(44). Here we also showed that A20 and AN1 domains of Pha21 are both required for

regulation of *PhaGRXC9* and CymMV accumulation, and the AN1 domain plays a

more important role in the expression of *PhaDCL4* (Fig 9). This indicates that although

A20 confers most E3 ligase and ubiquitin binding activity, the AN1 domain also plays

important roles in expression of immune responsive genes. Interestingly, our data

suggests that neither domain of Pha21 is required for the expression of *PhaAGO1*,

which suggests that protein regions other than A20 and AN1 domain in Pha21 are also

involved in the expression of *PhaAGO1* (Fig 9A).

A20/AN1 proteins serve as important modulators in plant antiviral immunity

Our previous data and the findings presented here indicate that A20/AN1 protein-

mediated antiviral immunity is conserved among plants, and A20/AN1 proteins may

work alone or in a cooperative manner in antiviral immunity to induce the expression

of the SA-mediated immune responsive genes, including *NPR1*, NPR1-independent

oxidoreductases genes (*GRXC9*), and genes involved in the RNAi pathway *(RdR1,*

AGO1 and *Dicer 4*) (Fig 10).

Materials and Methods

Plant materials and growth conditions

Orchid variety, *Phalaenopsis aphrodite var. Formosa*, was purchased from

Taiwan Sugar Research Institute (Tainan, Taiwan). All orchid plants we used including

P. aphrodite, P. equestris and transgenic *P. equestris* (35S::FLAG-Pha21) were first

analyzed for the infection with two prevalent orchid viruses, *Odontoglossum ringspot*

virus (ORSV) and CymMV, as detected by RT-PCR with primer pairs, ORSV-

F/ORSV-R and CymMV-F/CymMV-R (S1 Table). Plants free from ORSV and

CymMV were maintained in greenhouse conditions with a controlled 12-h photoperiod

(200 µmol m^{-2}s^{-2}) at 25°C/25°C (day/night). The wild-type (WT) *Arabidopsis* WT (Col-

0) and all transgenic *Arabidopsis* were maintained in a greenhouse with a controlled

12-h photoperiod (200 µmol m-2s-2) at 22°C/22°C (day/night) for three to four weeks

before analysis. *Cucumber mosaic virus* (CMV) isolate 20 was maintained in the

Arabidopsis (Col-0) as inoculation source in our study.

Sequence analysis

The amino acid sequence of Pha21 was analyzed by the PROSITE database,

ExPASy Proteomics Server (http://ca.expasy.org/), and Conserved Domain Database

of NCBI database (http://www.ncbi.nlm.nih.gov/).

Phytohormone treatment

Sodium salicylate (50 mM) (Sigma, St. Louis, MO, USA), methyl jasmonate (45

µM) (Sigma), and aminocyclopropanecarboxylic acid (660 µM) (Sigma) were directly

rubbed on leaves of *P. aphrodite* by cotton swab. Leaf samples were collected at 0 h, 1

h, 3 h, 6 h, 12 h, 24 h, 48 h and 72 h after treatment.

RNA isolation and real-time quantitative RT-PCR (qRT-PCR) detection

Total RNA was extracted using the trizol method (Invitrogen, Carlsbad, CA, USA)

as described previously (51). The cDNA template for qPCR was synthesized from 500

ng of DNA-free RNA and oligo (dT) using PrimeScript RT Reagent Kit (Perfect Real

Time), following the manufacturer's instructions (Takara Bio, Shiga, Japan). qPCR was

performed using SYBR Green PCR Master Mix (Applied Biosystems, Foster, CA,

USA)) with ABI Prism 7500 sequence detection system (Applied Biosystems). Target

gene PCR products were sequenced to validate the correct analysis of gene targets.

PhaUbiquitin 10 or *AtActin* was used as an internal quantification control.

Quantification of target gene expression was calculated according to the manufacturer's

instructions (Applied Biosystems). The primer pairs used in this study are listed in S1

Table.

Droplet digital PCR

Total RNA was extracted using the trizol method (Invitrogen, Carlsbad, CA, USA)

as described previously (51). For droplet digital PCR (ddPCR), DNA-free RNA (1 μg)

and oligodT primer were used for cDNA synthesis with PrimeScript RT Reagent Kit

(Perfect Real Time) following the manufacturer's instructions (Takara Bio). An amount

of 5 μl of 25X dilute cDNA was used as a template for ddPCR reaction (total 20 μl)

following the manufacturer's instructions (Bio-Rad, Hercules, CA, USA). The

sequence of FAM-labeled Taqman probes and primer pairs of Pha13 and Pha21 for

ddPCR are listed in S1 Table.

Construction of transient silencing vector

For construction of transient silencing vector of Pha21, the oligonucleotide pairs

Pha21-hpRNA-1-F/Pha21-hpRNA-1-R   and   Pha21-hpRNA-2-F/Pha21-hpRNA-2-R

(S1 Table) were used to amplify the hairpin dsDNA fragments. The hairpin dsDNA

fragments were cloned into the Gateway entry vector pENTR/D-TOPO (Thermo

Fisher-Scientific, Waltham, MA, USA) following the manufacturer's instructions to

generate pENTR-Pha21-hpRNA-1 and pENTR-Pha21-hpRNA-2. Then, LR Gateway

cloning reaction (Thermo Fisher-Scientific) was conducted to transfer the hairpin RNA

fragments from pENTR-Pha21-hpRNA into 35S promoter driven pB7GWIWG2(I) (57)

to obtain phpPha21-1 and phpPha21-2. The method for construction of the transient

silencing vector of *PhaNPR1, PhaDCL4, and PhaAGO1* (phpPhaNPR1, phpPhaDCL4,

and phpPhaAGO1) were similar to that described above, except the oligonucleotide

pairs   PhaNPR1-hpRNA-F/PhaNPR1-hpRNA-R,   PhaDCL4-hpRNA-F/PhaDCL4-

hpRNA-R, and PhaAGO1-hpRNA-F/ PhaAGO1-hpRNA-R (S1 Table) were used to

generate the hairpin dsDNA fragments.

505   Construction of transient overexpression vector of Pha21, Pha21 A20 and/or AN1

506   domain mutant.

To construct Pha21 transient overexpression vector, total *P. aphrodite* RNA was

used as a template to amplify the N-terminus FLAG-tagged Pha21 by RT-PCR with the

primer pairs FLAG-Pha21ORF-F/Pha21ORF-R (S1 Table). The amplified fragment

was cloned into Gateway entry vector pENTR/D-TOPO (Invitrogen) to generate

pENTR-FLAG-Pha21 following the manufacturer's protocol. Subsequently, LR

Gateway cloning reaction (Invitrogen) was performed to transfer the FLAG-Pha21

fragment from pENTR-FLAG-Pha21 into the 35S promoter driven pK2GW7 (57),

designated pPha21-oe. For generation of A20 and/or AN1 mutant on pPha21-oe (Fig.

1), site-directed mutagenesis was conducted by QuikChange Site-Directed Mutagenesis

Kit (Agilent Technologies, Santa Clara, CA, USA) and pPha21-oe was used as a

template. For A20 mutant, we substituted the conserved 3rd and 4th cysteine to glycine

at A20 (C35G and C38G). For AN1 mutant, we substituted the conserved 3rd cysteine

and 1st histidine to glycine at AN1 (C113G and H123G). The A20 mutated clones,

AN1 mutated clones, and the A20 and AN1 mutated clones, were designed as

pPha21A20m, pPha21AN1m, and pPha21A20mAN1m, respectively. Primer pairs used

for site directed mutagenesis are listed in S1 Table.

Transgenic *Phalaenopsis* orchid

For construction of overexpression vector to generate transgenic *Phalaenopsis*

orchid, the FLAG-Pha21 fragment was transferred from pENTR-FLAG-Pha21

(described above) into 35S promoter driven binary vector, pH2GW7 (57), to obtain

pHPha21. pHPha21 was used to generate transgenic *P. equestris* orchid using the

method described by Hsing et al. (58).

Agroinfiltration

Agroinfiltration was conducted as previously described by (51) with some

modification. Briefly, *A. tumefaciens* C58C1 (pTiB6S3ΔT)^H competent cells were

transformed with pCambia-CymMV, pB7GWIWG2, pK2GW7 and their derivatives

using an electroporation system (Bio-Rad Laboratories, Hercules, CA, USA). Then, the

A. tumefaciens strains were incubated at 28°C until the optical density, OD_{600}, reached

0.8–1.0. After centrifugation, cells were resuspended in 20 ml AB-MES medium (17.2

mM K_2HPO_4, 8.3 mM NaH_2PO_4, 18.7 mM NH_4Cl, 2 mM KCl, 1.25 mM $MgSO_4$, 100

μM $CaCl_2$, 10 μM $FeSO_4$, 50 mM MES, 2% glucose (w/v), pH 5.5) with 200 μm

acetosyringone (59), and cultured overnight. The overnight culture was centrifuged

(3000 rpm, 10 min, in room temperature), supernatant was removed and the *A.*

tumefaciens culture was resuspended in 2 ml of infiltration medium containing 50%

MS medium (1/2 MS salt supplemented with 0.5% sucrose (w/v), pH 5.5), 50% AB-

MES and 200 μm acetosyringone (59). The infiltration medium containing the

transformed *A. tumefaciens* was applied for infiltration.

***Cymbidium mosaic virus* (CymMV) and *Cucumber mosaic virus* (CMV) inoculation**

and accumulation assay

To assay the effect of Pha21, PhaDCL4, or PhaAGO1 in CymMV accumulation,

we first inoculated CymMV in *P. aphrodite* through the infiltration of *A. tumefaciens*

carrying pCambia-CymMV (described above) in the leaf tip of *P. aphrodite*. The

CymMV-infected *P. aphrodite* was maintained at least 14 days before further analysis.

To assay the effect of transient silencing (Pha21, PhaDCL4, or PhaAGO1) or transient

overexpression (Pha21, or the derived mutants), *A. tumefaciens* carrying the control

vector pB7GWIWG2 (for silencing), pK2GW7 (for overexpression), silencing vectors

or overexpression vectors (described above) were infiltrated into the leaves. After

agroinfiltration, a pair of disks (6 mm diameter) were immediately (defined as 0 dpi)

collected from both the control and assay vector infiltrated regions. After 5 dpi, another

pair of disks were collected from the same infiltrated region. Total RNA extracted from

the samples was used as a template to analyze the accumulation of CymMV by use of

qRT-PCR. The fold change of CymMV accumulation at 0 dpi to 5 dpi was calculated

for relative quantification.

For inoculation with CMV, CMV-infected *Arabidopsis* leaves were ground with

0.01 M potassium phosphate buffer by pestle and mortar for use as the inoculation

source. Four-week-old *Arabidopsis* leaves were inoculated mechanically (pre-dusted

with 300-mesh Carborundum) with the CMV inoculation source. After 14 dpi, the

disease symptoms were observed, and three disks from three different distal leaves were

collected for CMV accumulation analysis by use of qRT-PCR. Primers used for CMV

detection are listed in S1 Table.

Preparation and transfection of protoplasts

For construction of vectors used for subcellular localization analysis, the primer

pairs, Pha21-ORF-F/Pha21-ORF-R and Pha21-ORF-F/Pha21-ORF-non-stop-R (S1

Table) were used to amplify 2 sets of Pha21 ORF (with or without a stop codon). The

amplified fragment was cloned into Gateway entry vector pENTR/D-TOPO (Invitrogen)

to generate pENTR-Pha21 and pENTR-Pha21-non-stop following the manufacturer's

protocol. Subsequently, LR Gateway cloning reaction (Invitrogen) was performed to

transfer ORF fragment of *Pha21* from pENTR-Pha21 into p2FGW7 driven by 35S

promoter (57) to obtain N-terminal GFP fused clones (pG-Pha21). To obtain C-terminal

GFP-fused clones (pPha21-G), we transferred and pENTR-Pha21-non-stop into

p2GWF7.

Protoplast isolation and transfection were as described by Lu et al. (51).

Transformed protoplasts were detected for florescence signals by confocal microscopy

(Zeiss LSM 780, plus ELYRA S.1) with excitation at 488 nm and emission at 500 to

587 nm for GFP, and excitation at 543 nm and emission at 600 to 630 nm for mCherry.

Transcriptional activation ability assay

The Pha13 and Pha21 ORF was amplified by PCR with gene specific primer pairs,

NdeI-Pha13-F/EcoR1-Pha13-R and NdeI-Pha21-F/BamH1-Pha21-R, respectively (S1

Table). The amplified ORF fragment was then cloned into the pGBKT7 vector (Takara

Bio) to generate the pGBKT7-Pha13 and pGBKT7-Pha21 constructs, which fused to

Gal4 BD sequence. These constructs were individually transformed into AH109 yeast

strain (Takara Bio) and the self-activation ability was analyzed. The transformation and

selection procedure was performed following the Yeast Protocols HandBook (Takara

Bio). Transformants were selected on SD/-Trp (tryptophan) medium. The expression

of reporter genes in yeast were tested on different selection media; SD/-

Trp/Aureobasidin was used to test for activation of the AUR1-C (inositol phosphoryl

ceramide synthase) reporter against Aureobasidin A, SD/-Trp/X-α-Gal medium was

used to test for activation of α-galactosidase, and SD/-Trp/-Ade/-His medium was used

to test for induction of the ADE2 and HIS3 reporters. The yeast containing Gal4 DNA-

BD fused with murine p53 (pGBKT7-53) and the Gal4 AD fused with SV40 large T-

antigen (pGADT7-T) from the Matchmaker Gold Yeast Two-Hybrid System kit

(Takara Bio) were used as positive control. The yeast containing Gal4 DNA-BD fused

with lamin (pGBKT7-Lam) and the pGADT7-T from the Matchmaker Gold Yeast

Two-Hybrid System kit (Takara Bio) were used as a negative control.

Construction, expression, and purification of recombinant proteins

Full-length Pha21, or Pha21 A20 and/or AN1 domain mutant fragments were

amplified by PCR with primer pairs NdeI-Pha21-F/NotI-Pha21-R (S1 Table) using

pPha21-oe, pPha21A20m, pPha21AN1m, and pPha21A20mAN1m as templates. The

amplified fragments were cloned into the pET24b expression vector (Merck, Darmstadt,

Germany) to produce fused C-terminal histidine tag (His-tag) expression plasmids,

pETPha21, pETPha21A20m, pETPha21AN1m and pETPha21A20mAN1m. The

constructed plasmids were transformed into *Escherichia coli* strain BL21 (DE3) for

protein expression. Bacteria were cultured at 37°C to an OD600 of 0.5, then transferred

to 25°C, for 1.5 h. Then, isopropylthio-β-galactoside (IPTG; Sigma) was added to a

final concentration of 1 mM for protein induction. His-tagged recombinant protein was

purified by TALON Superflow (GE Healthcare Life Sciences, Pittsburgh, PA, USA)

according to the manufacturer's description. His-tagged recombinant protein was

eluded with 250 mM imidazole (Sigma).

E3 ubiquitin ligase activity assay

In vitro ubiquitination assays were performed as described by (45) with

modification. An amount of 3 μg purified His-tagged recombinant proteins (*Pha21* or

derived mutants) was used for each ubiquitination reaction. Reactions were incubated

at 30°C for 3 hours and analyzed by SDS-PAGE followed by immunoblot analysis.

Blots were probed using anti-FLAG antibodies (Sigma) followed by HRP conjugated

goat anti-mouse antibodies (GE Healthcare Life Sciences).

Yeast two-hybrid assay

For the ubiquitin binding ability assay, the pGBKT7-Pha21 (described above)

was used as a bait vector. To map the region of Pha21 for ubiquitin binding, a deletion

mutant of Pha21 was generated by PCR amplification with the primer pairs described

in S1 Table. The PCR-amplified fragment was individually cloned to pGBKT7 and

used as a bait vector (Fig 5B). Full length of orchid ubiquitin was amplified by RT-

PCR with the primer pair, NdeI-PhaUBQ-F/BamHI-PhaUBQ-R, and cloned into

pGADT7 vector (Takara Bio) to generate pGADT7-UBQ as a prey vector. pGBKT7-

Pha21 and pGADT7-UBQ was co-transformed into AH109 yeast strain for yeast two-

hybrid assay by using the Make Your Own "Mate & Plate" Library System (Takara

Bio) following the user manual. Yeast strains containing the appropriate bait and prey

plasmids were cultured in liquid 2-dropout medium (leucine$^-$ and tryptophan$^-$)

overnight. The overnight yeast culture was diluted to an OD600 of 0.06 and spotted on

selection plates (containing histidine$^-$, leucine$^-$, tryptophan$^-$ for growth assay.

For the interaction analysis between Pha21 and Pha13, full-length Pha13

fragment was amplified by PCR with primer pair NdeI-Pha13-F/EcoR1-Pha13-R (S1

Table). The Pha13 fragments were cloned into pGBKT7 and pGADT7 vector to

generate pGBKT7-Pha13 as the bait vector and pGADT7-Pha13 as the prey vector. The

full-length of Pha21 previously used to generate the pGBKT7-Pha21 (described above)

was also cloned into pGADT7 to generate pGADT7-Pha21 as prey vector. The

pGBKT7-Pha21, pGBKT7-Pha13, pGADT7-Pha21, and pGADT7-Pha13 were co-

transformed into AH109 yeast strain for yeast two-hybrid assay as described above.

The pGBKT7-53 and pGADT7-T from the Matchmaker Gold Yeast Two-Hybrid

System kit were used as a positive control.

Accession numbers

Pha21 (PATC144963)，Pha13 (PATC148746)，PhaPR1 (PATC126136)，PhaNPR1

(PATC135791)，PhadR1 (PATC143146)，PhaRdR2 (PATC124544)，PhaRdR6

(PATC131836)，PhaDCL2 (PATC143544)，PhaDCL4 (PATC150652)，PhaAGO1

(PATC157237)， PhaAGO10 (PATC093469)， PhaGRXC9 (PATC068819)，

PhaUBQ10 (PATC230548)，PhaJAZ1 (PATC141437)，PhaACO2 (PATC139319)，

AtActin (At3G18780) ， AtSAP5 (AT3G12630) ， DCL4 (AT5G20320) ， AGO1

(AT1G48410)，OsSAP3 (LOC_Os01g56040.1)，OsSAP5 (LOC_Os02g32840.1)

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function analyses in Arabidopsis seedlings. Plant methods. 2014;10(1):19.

Supporting information

S1 Table. Primers and probes used in this study

S1 Fig. Expression analysis of *Pha21* and *Pha13* in *P. aphrodite*. (A) Expression

level of *Pha21* was analyzed by qRT-PCR from the root, leaf, petal, sepal, column, and

lip of *P. aphrodite*. The RNA level of the root was set to 1. Data represent mean ± SD;

n = 3 technical replicates; different letters indicate statistically significant differences

analyzed by one-way analysis of variance (ANOVA) Tukey's test ($P < 0.05$). The

experiment was repeated at least three times with similar results, and one representative

experiment is shown. *PhaUbiquitin 10* was used as an internal control for normalization.

(B) Absolute quantitative analysis of *Pha13* and *Pha21* expression. The mRNA copy

numbers of *Pha13* and *Pha21* in leaves of *P. aphrodite* were absolutely quantified by

droplet digital PCR. Total RNA (ng) was used for normalization. Data represent mean

± SD; n = 3 biological replicates; **, $P < 0.01$, Student's t test compared Pha13 with

Pha21

S2 Fig. Subcellular localization of Pha21. Green fluorescent protein (GFP) or N- and

C- terminal GFP-fused Pha21 (G-Pha21 and Pha21-G) were co-transfected with

nucleus localization signal fused red fluorescence protein (NLS-RFP) into protoplasts

of *P. aphrodite*. Fluorescence was detected by confocal microscopy after transfection.

Scale bars represent 10 μm.

S3 Fig. Transcriptional activation analysis of Pha13 and Pha21. Yeast two-hybrid

assay was used to analyze the transcriptional activation. AH109 is the yeast strain used

in this assay. The pGBKT7-Pha13 and pGBKT7-Pha21 indicates that yeast encodes

Pha13 or Pha21 fused to the Gal4 DNA binding domain. The pGBKT7-53, pGADT7-

T, and pGBKT7-Lam provided in Matchmaker Gold Yeast Two-Hybrid System kit

were used as a positive (pGBKT7-53 + pGADT7-T) and negative (pGBKT7-Lam +

pGADT7-T) control. The -Trp indicates the medium without tryptophan. The -

Trp/Aureobasidin was used to test for activation of the inositol phosphoryl ceramide

synthase (AUR1-C) for Aureobasidin A resistance. The -Trp/X-α-Gal medium was

used to test for activation of α-galactosidase, and -Trp/-Ade/-His indicate the selective

medium without tryptophan, adenine, and histidine

S4 Fig. Interaction analysis of Pha21 and Pha13. Yeast two-hybrid assay was

performed to analyze the interaction between Pha21 and Pha13. The pGBKT7 and

pGADT7 were used as a negative control. The pGBKT7-53 and pGADT7-T (provided

in the Matchmaker Gold Yeast Two-Hybrid System kit), and pGBKT7-Pha21 and

pGADT7-PhaUBQ were used as a positive control. The 2DO indicates the dropout

selective medium without Leucine and Tryptophan. The 3DO indicates dropout

selective medium without the Leucine, Tryptophan, and Histidine.

S5 Fig. Transient silencing of *Pha21* has no significant effect on the expression of

RNAi-related genes. The expression levels of *Pha21*, *PhaRdR2*, *PhaRdR6*, *PhaDCL2*,

PhaDCL4, *PhaAGO1*, and *PhaAGO10* were analyzed by qRT-PCR from leaves of *P.*

aphrodite infiltrated with agrobacterium carrying control vector (Vector) or knock

down *Pha21* (hpPha21-2). The RNA level of plants infiltrated with control vector was

set to 1. Data represent mean ± SD; n = 3 biological replicates; **, $P < 0.01$, Student's

t-test compared to Vector. *PhaUbiquitin* 10 was used as an internal control for

normalization.

S6 Fig. *PhaDCL4* and *PhaAGO1* are involved in antiviral immunity. The expression

level of *PhaDCL4*, *PhaAGO1*, and CymMV accumulation level were analyzed by qRT-

PCR from leaves of CymMV-infected *P. aphrodite* infiltrated with agrobacterium

carrying vector (Vector); hairpin RNA (hpRNA) vector to knock down *PhaDCL4*

(hpPhaDCL4, A), or *PhaAGO1* (hpPhaAGO1, B). The RNA level of plants infiltrated

with control vector was set to 1. Data represent mean ± SD; n = 3 biological replicates;

**, $P < 0.01$; *, $P < 0.05$, Student's t test compared to Vector. (A-B) *PhaUbiquitin 10*

was used as an internal control for normalization.

Figure Captions

Fig 1. Sequence analysis of Pha21 (A) A schematic representation of domain

organization of Pha21. The open rectangle indicates the entire protein. A20 (dark grey

rectangle) and AN1 (light grey rectangle) and 21-nucleotide position (short black line)

used for designing hairpin RNA of Pha21 (hpPha21-1 and hpPha21-2) are indicated.

(B) Amino sequence alignment of A20/AN1 zinc finger domains of Pha21 with stress-

associated proteins from *Phalaenopsis aphrodite* (*Pha13,* accession number:

PATC148746), *Arabidopsis thaliana* (*AtSAP5*, accession number: AT3G12630), and

Oryza sativa (*OsSAP3*, accession number: LOC_Os01g56040.1; *OsSAP5*, accession

number: LOC_Os02g32840.1) is shown. The black box indicates the conserved amino

acid sequences. The black triangle indicates the conserved cysteine (C) and histidine

(H) residues. (C) Primary sequence organization of A20 and AN1 domains. Xn: the

number of amino acid residues between zinc ligands. (B-C) The mutation positions for

domain functional analysis are indicated with a blue triangle.

Fig 2. *Pha21* is involved in the expression of *PhaPR1* and *PhaNPR1*, and responds

to phytohormone treatment. (A and B) qRT-PCR was performed to quantify the RNA

level of *Pha21, PhaNPR1*, and *PhaPR1* from leaves of *P. aphrodite* infiltrated with

agrobacterium carrying control vector (Vector); hairpin RNA vector to knock down

Pha21 (hpPha21-1 and hpPha21-2; A); and overexpression vector of *Pha21* (Pha21-oe;

B). The RNA level of plants infiltrated with control vector was set to 1. (C) Transient

silencing of *PhaNPR1.* qRT-PCR was performed to quantify the RNA level of

PhaNPR1, PhaPR1 and *Pha21* from leaves of *P. aphrodite* infiltrated with

agrobacterium carrying control vector (Vector) or hairpin RNA (hpRNA) vector to

knock down *PhaNPR1* (hpPhaNPR1). The RNA level of plants infiltrated with control

vector was set to 1. (A to C) Data represent mean ± SD; n = 3 biological replicates; **,

$P < 0.01$; *, $P < 0.05$, Student's t test compared to Vector. (D to F) Time-course

expression of *Pha21* under different plant hormone treatments in *P. aphrodite*. qRT-

PCR was performed to analyze the RNA level of *Pha21* from leaves treated with SA

(D), JA (E), and ET (F) at different hours (h) post-treatment. Buffer treatment was used

as a mock control. Results of qRT-PCR were relative to that of mock at individual time

courses for relative quantification. The RNA level at 0 h was set to 1 for comparison

between different time courses. *PhaPR1* and *PhaNPR1* were SA-responsive marker

genes. *PhaJAZ1* and *PhaACO2* were JA and ET–responsive marker genes, respectively.

Data represent mean ± SD; n = 3 biological replicates; **, $P < 0.01$; *, $P < 0.05$,

Student's t test compared to 0 h. (A-F) *PhaUbiquitin 10* was used as an internal control

for normalization.

Fig 3. *Pha21* is involved in virus accumulation. (A) qRT-PCR was performed to

quantify the CymMV accumulation level and RNA level of *Pha21* in healthy (Mock)

and CymMV-infected (Cy-infected) *P. aphrodite.* The RNA level in the CymMV-

infected (Cy-infected) *P. aphrodite* was set to 1. Data represent mean ± SD; n = 3

biological replicates; **, $P < 0.01$; *, $P < 0.05$, Student's t test compared to mock. (B

and C) Transient silencing or overexpression of *Pha21* in CymMV-infected plants. qRT-

PCR was performed to quantify the RNA level of *Pha21* and CymMV accumulation

level from leaves of CymMV-infected *P. aphrodite* infiltrated with agrobacterium

carrying vector (Vector); hairpin RNA (hpRNA) vector to knockdown *Pha21*

(hpPha21-1 and hpPha21-2; B); or overexpression vector of *Pha21* (Pha21-oe; C). The

RNA level of plants infiltrated with control vector was set to 1. Data represent mean ±

SD; n = 3 biological replicates; **, $P < 0.01$; *, $P < 0.05$, Student's t test compared to

Vector. (D) The wild-type (WT) *P. equestris* orchid and transgenic *P. equestris*

(Pha21#8 and #9) were used for analysis. RNA level of *Pha21* and CymMV were

analyzed by qRT-PCR from leaves of WT or transgenic *P. equestris* (Pha21#8 and #9)

inoculated with CymMV. The RNA level of WT was set to 1. Data represent mean ±

SD; n = 2 biological replicates; **, $P < 0.01$; *, $P < 0.05$, Student's t-test compared to

WT. (A-D) *PhaUbiquitin 10* was used as an internal control for normalization.

Fig 4. Overexpression of *Pha21* in engineered transgenic *Arabidopsis* enhances

resistance against viruses. (A) Expression level of *Pha21* and accumulation level of

Cucumber mosaic virus (CMV) were analyzed by qRT-PCR from leaves of WT (Col-

0) or transgenic *Arabidopsis* (At-Pha21#4, #5, and #6). Data represent mean ± SD; n =

5 biological replicates; **, $P < 0.01$; *, $P < 0.05$, Student's t-test compared to WT.

AtActin was used as an internal control for normalization. (B) Disease symptoms of WT

(Col-0) or transgenic *Arabidopsis* (At-Pha21#4, #5, and #6) inoculated with CMV at

14 dpi. Images are one representative plant from five replicates; Scale bar, 1 cm.

Fig 5. Pha21 confers self-ubiquitination E3 ligase activity and ubiquitin binding

ability. (A) The *in vitro* self-ubiquitination E3 ligase activity assay was conducted on

wild-type Pha21, A20 mutant (Pha21-A20m), AN1 mutant (Pha21-AN1m), A20/AN1

double mutant (Pha21-A20mAN1m) with or without FLAG-Ub, human ubiquitin-

activating enzyme (hE1), or human ubiquitin-conjugating enzyme (hE2). Coomassie

staining for Pha21 was used as a loading control. Ubiquitinated proteins were analyzed

with immunoblotting using anti-FLAG antibodies. The ubiquitinated Pha21 are

indicted as Pha21-Ub. E1 conjugated with one Ub (E1-Ub), E2 conjugated with one or

two Ub (E2-Ub, E2-2Ub), and free Ub are indicated with a black arrow. (B) Yeast two-

hybrid assay was performed to analyze the interaction between full-length and deletion

mutant of Pha21 and ubiquitin. The schematic representations of full-length and

deletion mutant of Pha21 are indicated. The open rectangle indicates the entire Pha21

protein. A20 domain and AN1 domain are indicated as a dark blue oval circle and a

light blue oval circle, respectively. The full-length and deletion mutant of Pha21 cloned

in pGBKT7 vector were co-transformed with pGADT7-PhaUBQ into yeast AH109

strain. The 2DO indicates the Leucine and Trptophan dropout selective medium and

3DO indicates the Leucine, Trptophan, and Histidine dropout selective medium. The

pGBKT7 was used as a negative control.

Fig 6. *Pha21* is involved in the expression of PhaNPR1-dependent gene, *PhaRdR1*,

and PhaNPR1-independent gene, *PhaGRXC9*. The expression levels of *Pha21,*

PhaRdR1, and *PhaGRXC9* were analyzed by qRT-PCR from leaves of *P. aphrodite*

infiltrated with agrobacterium carrying control vector (Vector) or hairpin RNA (hpRNA)

vector to knockdown *Pha21* (hpPha21-2; A), or to overexpress *Pha21* (Pha21-oe; B).

The RNA level of plants infiltrated with control vector was set to 1. Data represent

mean ± SD; n = 3 biological replicates; **, $P < 0.01$; *, $P < 0.05$, Student's t-test

compared to Vector. (A-B) *PhaUbiquitin 10* was used as an internal control for

normalization.

Fig 7. *Pha21*, but not *Pha13*, is involved in the expression of *PhaDCL4* and

***PhaAGO1*.** The expression levels of *Pha13*, *Pha21*, *PhaRdR2*, *PhaRdR6*, *PhaDCL2*,

PhaDCL4, *PhaAGO1*, and *PhaAGO10* were analyzed by qRT-PCR from leaves of *P.*

aphrodite infiltrated with agrobacterium carrying control vector (Vector) or to

overexpress *Pha21* (Pha21-oe; A) or to overexpress *Pha13* (Pha13-oe; B). The RNA

level of plants infiltrated with control vector was set to 1. Data represent mean ± SD; n

= 3 biological replicates; **, $P < 0.01$; *, $P < 0.05$, Student's t-test compared to Vector.

(A-B) *PhaUbiquitin* 10 was used as an internal control for normalization.

Fig 8. *AtSAP5* is involved in the expression of *DCL4* and *AGO1*. The expression

levels of *AtSAP5, DCL4, AGO1* were analyzed by qRT-PCR in WT, AtSAP5

overexpression (AtSAP5-oe-4 and AtSAP5-oe-11) and RNAi lines (AtSAP5-RNAi-3

and AtSAP5-RNAi-7). Data represent mean ± SD; n = 5 biological replicates; **, $P <$

0.01; *, $P < 0.05$, Student's t-test. Student's t test compared to WT. *AtActin* was used

as an internal control for normalization.

Fig 9. Pha21 A20 and AN1 domain play different roles in the expression of

***PhaDCL4, PhaAGO1, PhaGRXC9* and virus resistance.**

The expression level of *Pha21*, *PhaDCL4, PhaAGO1*, *PhaGRXC9* and CymMV

accumulation level were analyzed by qRT-PCR in leaves of healthy *P. aphrodite* (A),

or CymMV pre-infected *P. aphrodite* (B) infiltrated with agrobacterium carrying

control vector (Vector), overexpression clones of Pha21 (Pha21-oe)*,* or the respective

A20 and/or AN1 mutant clones (Pha21A20m, Pha21AN1m, and Pha21A20mAN1m).

The RNA level of plants infiltrated with control vector was set to 1. Data represent

mean ± SD; n = 3 biological replicates; **, $P < 0.01$; *, $P < 0.05$; ns, no significant

difference, Student's t test compared to Vector. (A-B) *PhaUbiquitin 10* was used as an

internal control for normalization.

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Fig 10. The role of A20/AN1 proteins in antiviral immunity.

A model illustrating the roles of SA-induced-A20/AN1 proteins Pha13 and Pha21 from

Phalaenopsis aphrodite, and AtSAP5 from *Arabidopsis thaliana* in antiviral immunity.

Virus infection caused accumulation of SA and led to the activation of *Pha13*, *Pha21*,

and *AtSAP5*, allowing it to trigger the SA-and RNAi-mediated immune responsive

genes including *NPR1*, *GRXC9*, *DCL4*, *AGO1*, and *RdR1* in antiviral immunity.

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Fig 1

Fig 2

Fig 3

A

B

Fig 4

Fig 5

A

B

Fig 6

A

B

Fig 7

Fig 8

Fig 9

Fig 10