Legacy Data Confounds Genomics Studies

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- 13 Abstract Recent reports have identified differences in the mutational spectra across human
- populations. While some of these reports have been replicated in other cohorts, most have been
- reported only in the 1000 Genomes Project (1kGP) data. While investigating an intriguing putative
- population stratification within the Japanese population, we identified a previously unreported
- batch effect leading to spurious mutation calls in the 1kGP data and to the apparent population
- ¹⁸ stratification. Because the 1kGP data is used extensively, we find that the batch effects also lead to
- ¹⁹ incorrect imputation by leading imputation servers and suspicious GWAS associations.
- $_{20}$ Lower-quality data from the early phases of the 1kGP thus continues to contaminate modern
- studies in hidden ways. It may be time to retire or upgrade such legacy sequencing data.
- Key words : Batch Effect, Mutational Signature, Statistical Genetics, Population Genetics, Reference
 Cohorts, Imputation
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25 Introduction

26 Batch Effects in Aging Reference Cohort Data

The last 5 years have seen a drastic increase in the amount and quality of human genome sequence
 data. Reference cohorts such as the International HapMap Project (*International HapMap Con-*

- 29 sortium, 2005), the 1000 Genomes Project (1kGP)(1000 Genomes Project Consortium, 2010, 2012;
- ³⁰ Consortium et al., 2015), and the Simons Diversity project (Mallick et al., 2016), for example, have
- ³¹ made thousands of genome sequences publicly available for population and medical genetic analy-
- ₃₂ ses. Many more genomes are available indirectly through servers providing imputation services

³³ (*McCarthy et al., 2016*) or summary statistics for variant frequency estimation (*Lek et al., 2016*).

The first genomes in the 1kGP were sequenced 10 years ago (*van Dijk et al., 2014*). Since then, sequencing platforms have rapidly improved. The second phase of the 1kGP implemented

- then, sequencing platforms have rapidly improved. The second phase of the 1kGP implemented
 multiple technological and analytical improvements over its earlier phases (1000 Genomes Project)
- ³⁷ Consortium. 2012: Consortium et al., 2015), leading to heterogeneous sample preparations and
- ³⁸ data quality over the course of the project.
- ³⁹ Yet, because of the extraordinary value of freely available data, early data from the 1kGP is still ⁴⁰ widely used to impute untyped variants, to estimate allele frequencies, and to answer a wide range

41 of medical and evolutionary questions. This raises the question of whether and how such legacy

- 42 data should be included in contemporary analyses alongside more recent cohorts. Here we point
- ⁴³ out how large and previously unreported batch effects in the early phases of the 1kGP still lead to
- incorrect genetic conclusions through population genetic analyses and spurious GWAS associations
- ⁴⁵ as a result of imputation using the 1kGP as a reference.

46 Mutational Signatures

⁴⁷ Different mutagenic processes may preferentially affect different DNA motifs. Certain mutagens

- in tobacco smoke, for example, have been shown to preferentially bind to certain genomic motifs
- ⁴⁹ leading to an excess of G to T transversions (*Pfeifer et al., 2002; Pleasance et al., 2010*). Thus,
- ⁵⁰ exposure of populations to different mutational processes can be inferred by considering the DNA
- st context of polymorphism in search of *signatures* of different mutational processes (*Alexandrov*
- 52 et al., 2013; Shiraishi et al., 2015). Such genome-wide mutational signatures have been used as

⁵³ diagnostic tools for cancers (e.g., *Alexandrov et al. (2013); Shiraishi et al. (2015)*).

In addition to somatic mutational signatures, there has been recent interest in population 54 variation in germline mutational signatures which can be revealed in large sequencing panels. 55 In 2015, Harris reported 50% more TCC \rightarrow TTC mutations in European populations compared 56 to African populations, and this was replicated in a different cohort in 2017 (Harris, 2015; Harris 57 and Pritchard, 2017: Mathieson and Reich, 2017). Strong population enrichments of a mutational 58 signature suggests important genetic or environmental differences in the history of each population 59 (Harris, 2015: Harris and Pritchard, 2017). Harris and Pritchard further identified distinct mutational 60 spectra across a range of populations, which were further examined in a recent publication by 61 Aikens et al. (Harris and Pritchard, 2017; Aikens et al., 2019). 62 In particular, the latter two studies identified a heterogeneous mutational signature within 1kGP 63 Japanese individuals. This heterogeneity is intriguing because differences in germline signatures 64

accumulate over many generations. A systematic difference within the Japanese population would

- ⁶⁶ suggest sustained environmental or genetic differences across sub-populations within Japan with ⁶⁷ little to no gene flow. We therefore decided to follow up on this observation, by using a newly
- little to no gene flow. We therefore decided to follow up on this observation, by using a newly
 sequenced dataset of Japanese individuals from Nagahama.

⁶⁹ While we were unable to reproduce the mutational heterogeneity within the Japanese population,

we could trace back the source of the discrepancy to a technical artefact in the 1kGP data. In addition

to creating biases in mutational signatures, this artefact leads to spurious imputation results which

⁷² have found their way in a number of recent publications.

The results section is organized as follows. We first attempt to reproduce the original signal and identify problematic variants in the JPT cohort from the 1kGP. Next, we expand our analysis to the other populations in the 1kGP and identify lists of variants that show evidence for technical bias.

⁷⁶ Finally, we investigate how these variants have impacted modern genomics analyses.

77 Results

78 A peculiar mutational signature in Japan

⁷⁹ Harris and Pritchard reported an excess of a 3-mer substitution patterns $AC \rightarrow CC$ in a portion ⁸⁰ of the Japanese individuals in the 1kGP (*Harris and Pritchard, 2017*). While trying to follow up on

this observation in a larger and more recent Japanese cohort from Nagahama, we did not find this

particular signature. When comparing the allele frequencies between the Japanese individuals from

⁸³ the 1kGP and this larger dataset, we observed a number of single nucleotide polymorphisms (SNPs)

- ⁸⁴ private to one of the two groups (Figure 1). Given the similarity of the two populations, this strongly
- suggests a technical difference rather than a population structure effect. These mismatches were

⁸⁶ maintained despite only considering sites that satisfied strict quality masks and Hardy-Weinberg

- 87 equilibrium in both cohorts.
- ⁸⁸ When mismatch sites are removed from the 1kGP data, the *AC→*CC signal disappears (Figure

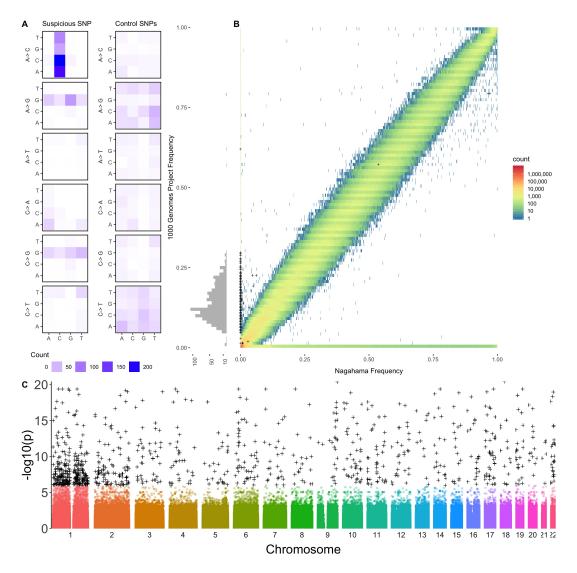


Figure 1. Suspicious mutations carried by individuals with low quality data have distinct mutational profiles, reproduce poorly across studies, and are distributed across the genome. **A** Mutation spectrum of the 1034 variants that associated with *Q* in the JPT($p < 10^{-6}$), compared to a random set of SNPs. The majority of the variants with significant associations to *Q* have the *AC→*CC mutational pattern. There is also a slight enrichment in GA*→GG* and GC*→GG* mutations. These three enrichments can be summarized as G**→GG*. **B** Joint frequency spectrum plot of the Japanese from the 1000 Genomes Project and a more recent Japanese dataset from Nagahama. Crosses (+) are variants that associate with *Q* in the JPT. The histogram on the left of the plot is the distribution of significant variants. **C** Genome wide association of the average quality of mapped bases *Q* for the 104 Japanese individuals included in the 1000 Genomes Project. This GWAS identified 587 $p < 10^{-8}$ and 1034 $p < 10^{-6}$ SNPs that were associated to the average *Q* of SNPs mapped for an individual The same analysis was performed independently for each of the populations in the 1000 Genomes Project.

- 1). To identify possible technical reasons for the difference, we performed regressions of the
- $_{90}$ prevalence of the *AC \rightarrow *CC mutational signature against different individual-level quality metrics
- $_{91}$ provided by the 1kGP (see Figure S14). The average quality of mapped bases Q per individual
- $_{92}$ stood out as a strong correlate : Individuals with low Q show elevated rates of the signature. Thus,
- $_{93}$ sequences called from low-Q data contain variants that reproduce poorly across studies and exhibit
- ⁹⁴ a particular mutational signature.

To identify SNPs that are likely to reproduce poorly across cohorts without having access to a 95 second cohort, we performed an association study in the IPT for SNPs that associate strongly with 96 low O (Figure 1). Traditionally, genome wide association studies use genotypes as the independent 97 variable. Here we perform a "reverse GWAS", in the sense that genotypes are now the dependent 98 variable that we attempt to predict using the continuous variable O as the independent variable 99 (Song et al., 2015). We use logistic regression of the genotypes on Q and identify 587 SNPs with 100 $p < 10^{-8}$ and 1034 SNPs with $p < 10^{-6}$. While identifying putative low-quality SNPs to exclude, using 101 a higher p-value threshold increases the stringency of the filtering (i.e., excluding SNPs with $p < 10^{-6}$ 102 is more stringent than excluding SNPS with $p < 10^{-8}$). The variants that are associated to O have an 103 enrichment in $AC \rightarrow CC$ mutations, $GA^* \rightarrow GG^*$, and $GC^* \rightarrow GG^*$ mutations (Figure 1A). These three 104 enrichments can be summarized as an excess of $G^{**} \rightarrow GG^{*}$ in individuals with low Q. 105

Thus, this mutational signal is heavily enriched in *Q*-associated SNPs, but residual signal remains 106 in non-significant SNPs, presumably because many rare alleles found in individuals with low O 107 remain unidentifiable using association techniques (Figure S15). The removal of individuals with 108 O below 30 successfully removes the *AC \rightarrow *CC signal, however other signals identified by Harris 109 and Pritchard appear unchanged (Figure S15). For population genetic analyses sensitive to the 110 accumulation of rare variants, the removal of individuals with low Q appears preferable to filtering 111 specific low-quality SNPs. For other analyses where quality of imputation matters, identifying 112 *O*-associated variants may be preferable. 113

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114 Identifying suspicious variants in the 1000 Genomes Project

The distribution of *Q* across 1kGP populations shows that many populations have distributions of *Q* scores comparable to that of the JPT, especially populations sequenced in the phase 1 of the project: sequencing done in the early phases of the 1kGP was more variable and overall tended to include lower quality sequencing data (Figure 2). This variability could result from evolving sequence platform and protocols or variation between sequencing centres. By 2011, older sequencing technologies were phased out, and methods became more consistent, resulting in higher and more uniform quality.

We therefore performed the same reverse GWAS approach in all populations independently, and similarly identified *Q*-associated SNPs in 23 of the 26 populations in the 1kGP, with the phase 1 populations being most affected, with on average four times as many significantly associated sites compared to the phase 3 populations. Over 812 variants were independently associated to low *Q* in at least two populations with $p < 10^{-6}$ in each (Figure 3).

To build a test statistic to represent the association across all populations simultaneously, we performed a simple logistic regression predicting genotype based on *Q* with the logistic factor analysis (LFA) as an offset to account for population structure or Genotype-Conditional Association Test (GCAT) as proposed by (*Song et al., 2015*). We also considered two alternative approaches to account for confounders, namely using the leading five principal components, and using population membership as covariates. These models were broadly consistent (See Figure S1).

This method identifies a total of 24,390 variants associated to *Q* distributed across the genome with 15,270 passing the 1kGP strict mask filter (Figures S9,S10, S11, and S12). Most analyses below focus on the 15,270 variants satisfying the strict mask, since these variants are unlikely to be filtered by standard pipelines. To account for the large number of tests, we used a two-stage Benjamini & Hochberg step-up FDR-controlling procedure to adjust the p-values using a nominal Type-I error rate $\alpha = 0.01$ (*Benjamini et al., 2006*). We tested SNPs, INDELs and repetitive regions separately as

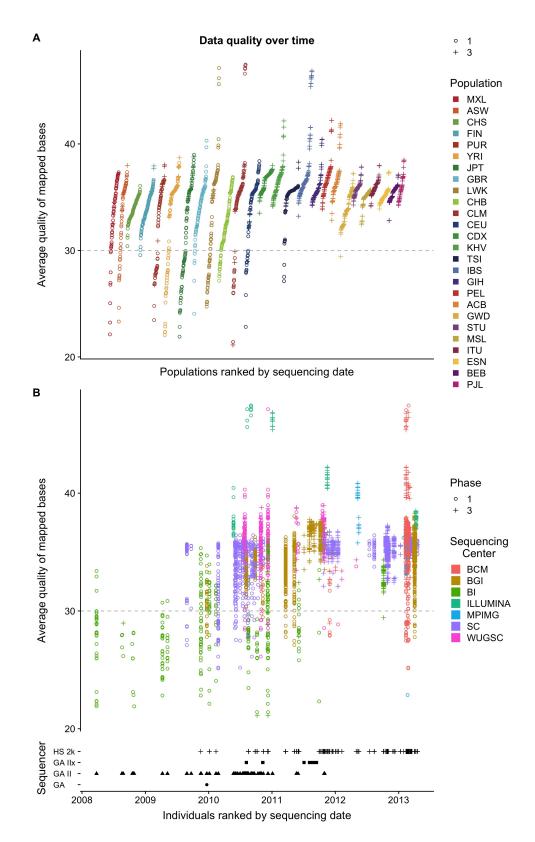


Figure 2. Sampling and sequencing technologies over time in the 1000 Genomes Project. **A** The average quality of mapped bases *Q* for each individual per population included in the 1000 Genomes Project. Populations are ranked by mean sequencing date (the earliest sequencing date was used for individuals with multiple dates). **B** The x-axis is sorted by the sequencing date per individual. The colours indicate the sequencing centres that produced the data for each individual and the shape indicates whether the individual belongs to Phase 1 or Phase 3 of the 1000 Genomes project. The bottom plot indicates the sequencing technologies used over time.

they may have different error rates (Table 1). Lists of *Q*-associated variants and individuals with low
 Q are provided in Supplementary Data.

Q-associated variants are distributed across the genome, with chromosome 1 showing an excess of such variants, and other chromosomes being relatively uniform (Figure S2). At a 10kb scale, we also see rather uniform distribution with a small number of regions showing an enrichment for such variants (Figure S3). An outlying 10kb region in chromosome 17 (bases : 22,020,000 to 22,030,000) has 35 *Q*-associated variants. Distribution of association statistics in this region is provided in Figure S4. By contrast, variants that do not pass the 1kGP strict mask are more unevenly distributed across the genome(Figure S3).

	Repeat	Non-Repeat	Total
SNP	3,369	11,059	14,428
INDEL	181	657	838
Total	3,550	11,716	15,270

Table 1. Number of statistically significant variants passing the 1000 Genomes Project strict mask per category. Variants that are flagged by the 1000 Genomes Project nested repeat mask file were analyzed separately for FDR calculation. SNPs and INDELs were also analyzed separately. A total of 15,270 are statistically significantly associated to *Q*. The number of variants included in the analysis for SNPs, SNPs in repeat regions, INDELs and INDELs in repeat regions are 19,846,786, 6,312,620, 1,770,315 and 586,342 respectively.

148 Cell line or technical artifact

In 2017, Lan et al. resequenced 83 Han Chinese individuals from the 1kGP (*Lan et al., 2017*). To assess consistency between the two datasets, we consider consistency of genotype calls for Qassociated variants that are predicted to be polymorphic in these 83 individuals according to the 1kGP. Among the 296 such variants that were Q-associated in the CHB or CHS, only 6 are present in the resequenced data (Figure S7). This is more than our nominal false positive rate of 1% of the sites. Thus a small number of variants associated to Q are present in the population but with

155 somewhat biased genotypes.

We did a similar analysis using all variants identified in the GCAT model (rather than only 156 variants significantly associated to ρ within the CHB and CHS). Of the 15,270 ρ -associated variants 157 identified globally, 6.307 are polymorphic in the 1kGP for the 83 resequenced individuals (See 158 Figure S5). From this subset, only 1,139 (or 18%) are present in the resequenced data. The allele 159 frequencies of these variants are nearly identical between datasets suggesting that among these 160 83 individuals, these variants are properly genotyped in the 1kGP. There are 5 alleles that show 16 differing frequencies between both datasets that are likely explained by biased genotypes. The vast 162 majority of polymorphisms associated with O are not present at all in the resequencing dataset. 163 supporting sequencing rather than cell line artifacts. 164 Among the 15.270 O-associated variants, 613 are present on Illumina's Omni 2.5 chip (See Figure 165

S13). These are likely among the small number of variants that are present in the data but exhibit
 biased genotyping in 1kGP.

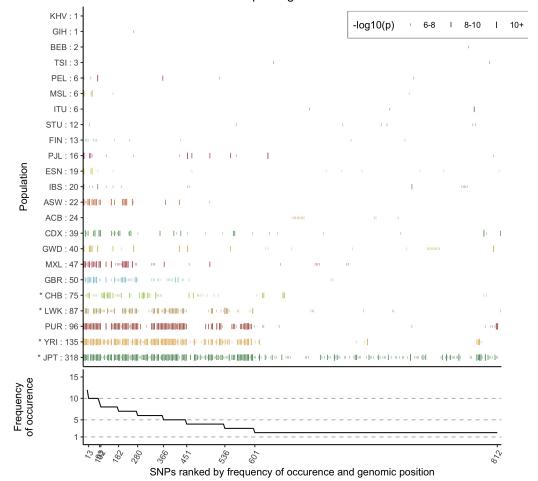
¹⁶⁸ Suspicious variants impact modern genomics analyses

¹⁶⁹ State of the art imputation servers use a combination of many databases including some that ¹⁷⁰ are not freely available. From the perspective of researchers, they act as black-box imputation

machines that take observed genotypes as input and return imputed genotypes.

To investigate whether suspicious calls from the 1kGP are imputed into genotyping studies, we submitted genotype data for the first two chromosomes of the 1kGP genotype data to the Michigan

- ¹⁷⁴ Imputation Server. We found that all of the variants associated with *O* were imputed back in the
- samples. This suggests that the imputation reference panel still includes individuals with low Q_{r}



Overlap of Significant SNPs

Figure 3. Variants associated with average quality of mapped bases Q in more than one population. The size of the vertical bars (1) are proportional to the -log10(p) value of that SNP. The x axis is ranked by the frequency of occurrence of a SNP, then by genomic position. Phase 1 populations are marked by a star (*). The line plot underneath shows the number of populations for which a variant has reached significance. The populations that tend to have the most individuals with low Q also tend to have the most variants associated to Q.

and the dubious variants will be imputed in individuals who most closely match the low-quality
 individual.

We searched the literature for any GWAS that might have reported these dubious variants as being significantly associated with some biological trait, even though there is no particular reason for these variants to be associated with phenotypes. The NHGRI-EBI Catalog of published genomewide association studies identified seventeen recent publications that had reported these variants

as close to or above the genome-wide significant threshold (Table 2).

Eleven of these studies included the 1kGP in their reference panel for imputation (Xu et al., 183 2012: Lutz et al., 2015: Park et al., 2015: Astle et al., 2016: Herold et al., 2016: Suhre et al., 2017: 184 López-Meiías et al., 2017: Tian et al., 2017: Spracklen et al., 2017: Nagy et al., 2017: Gao et al., 185 2018) and another used the 1kGP sequence data and cell lines directly (Mandage et al., 2017). One 186 study used an in-house reference panel for imputation (Nishida et al., 2018), two studies genotyped 187 individuals and imputed the data using the HapMap II as a reference database for imputation (Kraig 188 et al., 2011; Ebejer et al., 2013) and two studies used genotyping chip data (Yucesov et al., 2015; 189 Ellinghaus et al., 2016). 190

These articles used a variety of strict quality filters, including Hardy-Weinberg equilibrium test, deviations in expected allele frequency and sequencing data quality thresholds. They also removed rare alleles and alleles with high degrees of missingness. Despite using state-of-the-art quality controls, these variants managed not only to be imputed onto real genotype data, but they also reached genome wide significance for association with biological traits.

These associations are not necessarily incorrect – a weak but significant bias in imputation 196 may still result in a correct associations. To distinguish between variants with weak but significant 197 association with Q from variants with strong biases, we distinguished between variants where 198 the allele frequency difference between individuals with low- and high-Q is larger than a factor of 199 two (which naturally separates two clusters of variants on Figure S5). The majority (92.7%) of the 200 ρ -associated variants are strongly biased in that they are more than twice as frequent in individuals 20 with low-O compared to high-O data. By contrast, most O-associated variants reported in the GWAS 202 catalogue had weak bias (See Figure S6), with three exceptions. One study reports associations 203 with seven O-associated variants that we find to be highly biased (Mandage et al., 2017). That study 204 considered copy number of Epstein-Barr virus sequence in the 1kGP as a phenotype. Thus the 205 phenotype in that study is likely confounded by the same technical artefacts that lead to biased 206

208 Discussion

The variants identified in this study are likely to be technical artifacts from legacy technologies. 209 Different sequencing technologies will have different error profiles. A report comparing the Genome 210 Analyzer II (GAII) to the Illumina HiSeg found that the GAII had much higher rates of reads below a 21 guality score of 30 (Minoche et al., 2011) with, for instance, different patterns of guality decrease 212 along reads. Differences in read quality and error profiles in turn require different calling pipelines. 213 To pinpoint the precise technical source of the discrepancy would require further forensic 214 inquiries into the details of the heterogeneous sample preparation and data processing pipelines 215 used throughout the 1kGP. Given the progress in sequencing and calling that occurred since the 216 early phases of the 1kGP (Figure 2), it is likely that the source of these biases is not longer being 217 actively introduced in recent sequence data. 218

However, because the 1kGP data is widely used as a reference database, these variants are still being imputed onto new genotype data and can then impact association studies for a variety of phenotypes. Even though significant association of a variant with a quality metric is not in itself an indication that the variant is spurious, we would recommend to carefully examine GWAS associations for such variants, e.g. by repeating the analysis without the 1kGP as part of the imputation panel.

²⁰⁷ SNP calling

Pubmed ID	Journal	rsID	GWAS	Q
			$-\log_{10} p$	$-\log_{10} p$
				(adjusted)
28654678	PLoS One	rs201761909	5.7	78.11
		rs201130852	5.05	72.28
		rs201255786	5.7	68.97
		rs200655768	6.52	66.67
		rs184202621	5.52	60.45
		rs80274284	6	56.15
		rs200699422	5.3	7.43
23527680	Twin Research and	rs6057648	5.4	20.5
	Human Genetics			
28928442	Nature	rs201471471	6.52	7.87
	Communications			
26053186	PLoS One	rs60136336	5.7	2.25
28270201	Genome Medicine	rs453755	7.52	5.29
23023329	Nature Genetics	rs103294	*15.3	4.32
28334899	Human Molecular	rs103294	*29.3	4.32
	Genetics			
28240269	Nature	rs103294	*72.7	4.32
	Communications			
27863252	Cell	rs3794738	*13.15	3.73
29534301	Hepatology	rs9273062	*9.7	3.36
21386085	Diabetes	rs301	*10.52	3.02
26830138	Molecular Psychiatry	rs77894924	6.7	2.77
29617998	Human Molecular	rs4963156	*22.4	2.52
	Genetics			
28698626	Scientific Reports	rs11015915	5.05	2.45
26974007	Nature Genetics	rs3124998	*8.05	2.33
26634245	BMC Genetics	rs451000	6	2.28
		rs443874	5.3	2.26
		rs400942	6	2.2
25918132	Toxicological	rs76780579	6	2.09
	Sciences			

Table 2. Recent publications that reported *Q*-associated variants as close to or above the genome-wide significant threshold. The variants reaching genome wide significance have a star (*). The black text colour indicates that this variant is twice as frequent in individuals with Q < 30, grey text colour indicates that these variants are less than twice as frequent in individuals with Q < 30 (See Figure S6).

For analyses where individual variants cannot be examined individually (mutation profiles, distributions of allele frequencies, polygenic risk scores), we would recommend to simply discard the Q-associated SNPs or the individuals with Q < 30 (lists of such variants and sample IDs are provided in the Supplementary Data). We also recommend that imputation servers discard individuals with low Q (or at least provide the option of performing the imputation without). Given the value of freely accessible data, resequencing individuals with low Q would also likely be a worthwhile investment for the community.

232 Conclusion

On a technical front, we were surprised that strong association between variants and technical covariates in the 1kGP project had not been identified before. The genome-wide logistic regression analysis of genotype on quality metric is straightforward, and should probably be a standard in a variety of -omics studies. The logistic factor analysis is more computationally demanding but

- produces more robust results (*Song et al., 2015*). Both approaches produce comparable results.
- More generally, to improve the quality of genomic reference datasets, we can proceed by addition of new and better data and by better curation of existing data. Given rapid technological
- ²³⁹ addition of new and better data and by better curation of existing data. Given rapid technological ²⁴⁰ progress, the focus of genomic research is naturally on the data generation side. However, cleaning
- ²⁴¹ up existing databases is also important to avoid generating spurious results. The present findings
- suggest that a substantial fraction of data from the final release of the 1kGP project is overdue for
- ²⁴³ retirement or re-sequencing.

244 Methods

245 Code and data availability

246 Since this data is primarily performed using publicly available data, we provide fully reproducible and

publicly available on GitHub. This repository includes scripts used for data download, processing,
 analysis and plotting.

249 Metadata

The metadata used in this analysis was compiled from each of the index files from the 1kGP file system. Average quality of mapped bases *Q* per sample was obtained from the BAS files associated with each alignment file. Each BAS file has metadata regarding each sequencing event for each sample. If a sample was sequenced more than once, we took the average of each *Q* score from each sequencing instance. The submission dates and sequencing centres for each sample in the analysis was available in the sequence index files.

256 Quality Controls

For the mutation spectrum analysis, we reproduced the quality control and data filtering pipelines 257 used by Harris et al. as they applied the current state of the art quality thresholds to remove 258 questionable sequences for detecting population level differences. Several mask files were applied 259 to remove regions of the genome that might be lower quality, or might have very different mutation 260 rates or base pair complexity compared to the rest of the genome. The 1kGP strict mask was used 261 to remove low quality regions of the genome, highly conserved regions were removed using the 262 phastCons100way mask file and highly repetitive regions were removed using the NestedRepeats 263 mask file from RepeatMasker. Furthermore, only sites with missingness below 0.01, MAF less than 264 0.1 and MAE greater than 0.9 were considered. In total 7,786,023 diallelic autosomal variants 265 passed our quality controls for the mutation spectrum analysis. We calculated the mutation 266 spectrum of base pair triplets for the list of significant variants for the IPT population using a similar 267 method as described in (Harris and Pritchard, 2017). 268

For the reverse GWAS, the only filtration used was the application of an minor and major 269 allele frequency cutoff of 0.000599 (removing singletons, doubletons and tripletons) resulting in 270 a total of S=28.516.063 variants included in the test. We also used the NestedRepeats mask file 271 to flag variants inside repetitive regions as these were analyzed separately for false discovery 272 rate estimation. Variants flagged by the 1kGP strict mask are included in the association test and 273 included in the FDR adjustment. These variants are only removed after the FDR and excluded from 274 downstream discussion of error patterns, since most population genetics analyses use the strict 275 mask as a filter, and we expect to find problematic variants in filtered regions. 276

277 Testing the association of quality to genotype

When conducting a statistical analysis of population genetics data, we must account for population structure. In a typical GWAS, we are interested in modelling the phenotype as a function of the genotype. Here we have the opposite situation, where the quantitative variable (Q) is used as an explanatory variable. So we consider models where the genotype y is a function of an expected frequency π_{si} , based on population structure, and Q. The null model is

$$y_{si} \mid \pi_{si} \sim Binomial(2, \pi_{si}). \tag{1}$$

²⁷⁸ The expected frequency for a SNP *s* and individual *i* can be estimated using principal component

²⁷⁹ analysis, categorical population labels, or logistic factor analysis (*Song et al., 2015*). The alternative

 $_{280}$ model then takes in Q as a covariate:

$$y_{si} \mid q_i, \boldsymbol{h}^{(i)} \sim Binomial\left(2, \operatorname{logit}^{-1}\left(\operatorname{logit}(\pi_{si}) + \beta_s q_i\right)\right).$$
(2)

²⁸¹ Under the null hypothesis the slope coefficient β_s is zero and Model (2) reduces to Model (1). ²⁸² β_s denotes the association to average quality of mapped bases Q to genotype y_s . To test the null ²⁸³ hypothesis, we use the generalized likelihood ratio test statistic, whose deviance is a measure of ²⁸⁴ the marginal importance of adding Q in the model. The deviance test statistic under the null model ²⁸⁵ is approximately chi-square distributed with one degrees of freedom.

²⁸⁶ We run a total of *S* regressions, where *S* is the total number of genomic loci. Given the large ²⁸⁷ number of tests, the large proportion of expected null hypotheses and the positive dependencies ²⁸⁸ across the genome, we used the two-stage Benjamini & Hochberg step-up FDR-controlling proce-²⁸⁹ dure to adjust the *p*-values (*Benjamini et al., 2006*). By using a nominal Type-I error rate $\alpha = 0.01$, a ²⁹⁰ total of 15,270 variants were found to be statistically significance. See Supplementary Data for a list ²⁹¹ of variants and adjusted *p*-values.

²⁹² Individual-specific allele frequency

Examples of models that are widely used to account population structure include the Balding-Nichols model (*Balding and Nichols, 1995*), and the Pritchard- Stephens-Donnelly model (*Pritchard et al., 2000*). These and several other similar models used in GWAS studies can be understood in terms of the following matrix factorization.

$$\mathbf{L} = \mathbf{A}\mathbf{H} \tag{3}$$

where the *i*th column ($h^{(i)}$) of the $K \times I$ matrix **H** encodes the population structure of the *i*th individual and the *s*th row of the $S \times K$ matrix **A** determines how that structure is manifested in SNP *s*. When Hardy-Weinberg equilibrium holds, observed genotype can be assumed to be generated by the following Binomial model.

$$y_{si} \mid \pi_{si} \sim Binomial(2, \pi_{si}) \tag{4}$$

for $s = 1 \dots S$ and $i = i, \dots, I$, where $y_{si} \in \{0, 1, 2\}$ and $logit(\pi_{si})$ is the (s, i) element of the matrix L such that π_{si} is the individual-specific allele frequency.

To test whether quality is associated to genotype while adjusting for population structure, we performed the Genotype-Conditional Association Test (GCAT) proposed by (*Song et al., 2015*). The GCAT is a regression approach that assumes the following model.

$$\mathbf{y}_{si} \mid \mathbf{q}_{i}, \mathbf{h}^{(i)} \sim Binomial\left(2, logit^{-1}\left(\sum_{k=0}^{K} a_{sk}h_{ki} + \beta_{s}q_{i}\right)\right)$$
(5)

for $s = 1 \dots S$ and $i = i, \dots, I$ (S = 28,516,063 and I = 2,504) and where $\hat{h}_{0i} = 1$ so that a_{s0} is the

intercept term and $logit(\pi_{si}) = \sum_{k=0}^{K} a_{sk} h_{ki}$. The vectors h^i of the matrix **H** are unobserved but can

²⁹⁷ be estimated using Logistic Factor Analysis (LFA) (*Song et al., 2015*) and are therefore used directly

- in the model. We approximated the population structure using K = 5 latent components from a
- subsampled genotype matrix consisting of M = 2,306,130 SNPs (we picked SNPs from the 1kGP
- 300 OMNI 2.5). To avoid possible biases in computing PCA from the biased variants, we considered the
- ³⁰¹ genotype matrix *L* obtained by downsampling 1kGP variants the positions from the OMNI 2.5M
- 302 chip.

303 Imputation

- ³⁰⁴ Using the Michigan Imputation Server, we imputed the genotype data from 1kGP for chromosomes
- ³⁰⁵ 1 and 2. We used the genotyped data from the 1kGP Omni 2.5M chip genotype data. The VCF file
- $_{\tt 306}$ $\,$ returned from the server was then downloaded and used to search for the number of significant
- 307 variants successfully imputed.

308 Acknowledgments

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436 Supplementary Figures

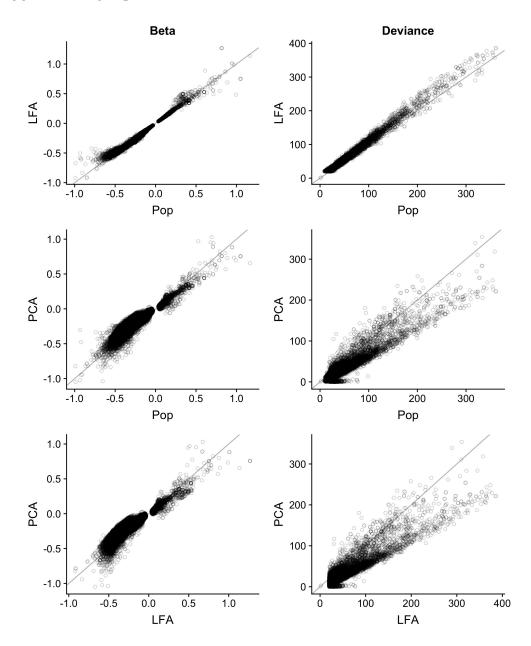
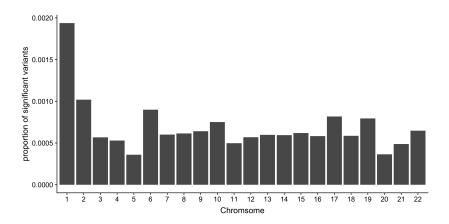
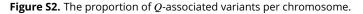


Figure S1. Comparison of three logistic regression models for testing association to *Q*. These methods model each genotype as a logistic function using principal components (PC), Population membership (Pop) or LFA as an offset. In these plots we are comparing the deviance from the null model in the 15,270 variants identified using the LFA model.





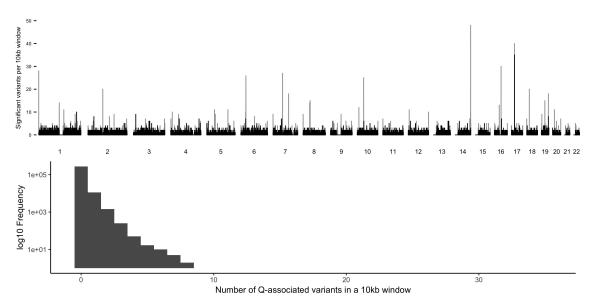


Figure S3. The number of *Q*-associated variants per 10kb window across the genome. Grey bars indicate regions within and black bars indicate regions outside the 1000 Genomes Project strict mask. One region not flagged by the 1000 Genomes Project strict mask in chromosome 17 has more than 10 variants per window.

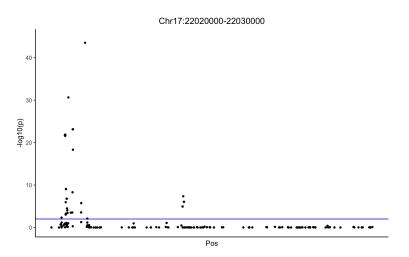


Figure S4. Manhattan plot of the $-\log_{10}(p)$ values for the reverse GWAS logistic regression analysis for the 10kb window with the most *Q*-associated variants per 10kb across the genome.

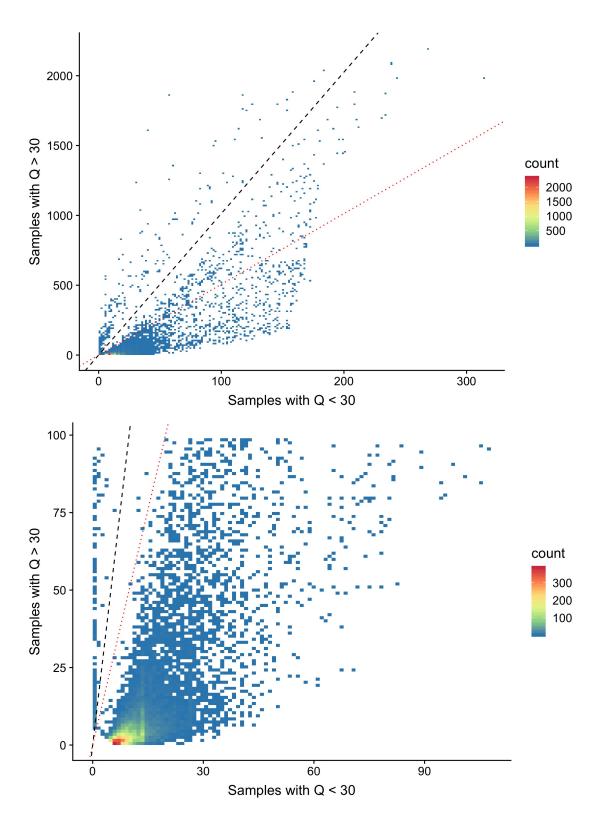


Figure S5. Site frequency spectrum plot comparing the allele frequency difference between individuals with low- and high-*Q*. The black dashed lines indicates equal allele frequencies while the red dotted line for variants twice as frequent in individuals with *Q* scores below 30. Two clusters of are visible, where the majority (92.7%) of the *Q*-associated variants are more than twice as frequent in individuals with low-*Q*.

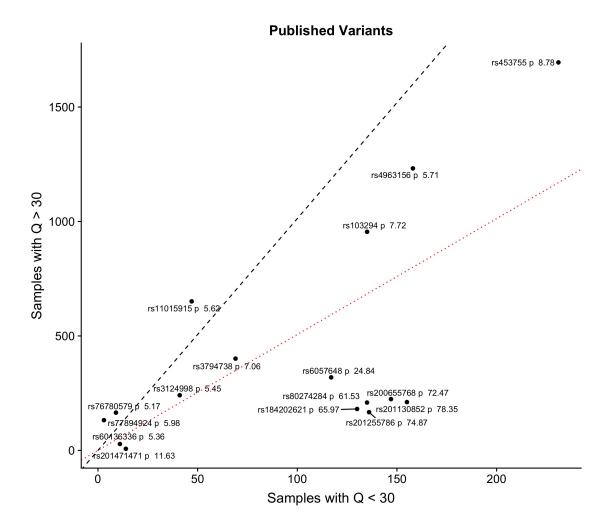
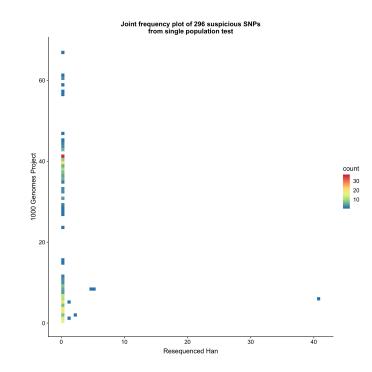
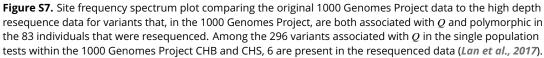


Figure S6. Site frequency spectrum plot comparing the frequency of *Q*-associated variants identified in publications, for individuals with *Q* scores above and below 30. The black dashed lines indicates equal allele frequencies while the red dotted line for variants twice as frequent in individuals with *Q* scores below 30. Each of the rsIDs of the variants are labelled for clarity.





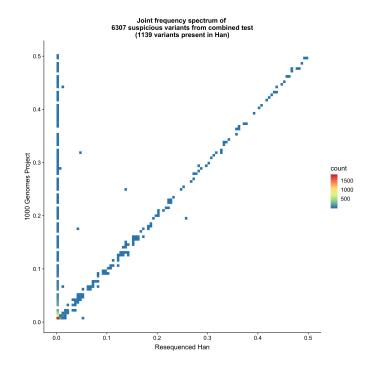
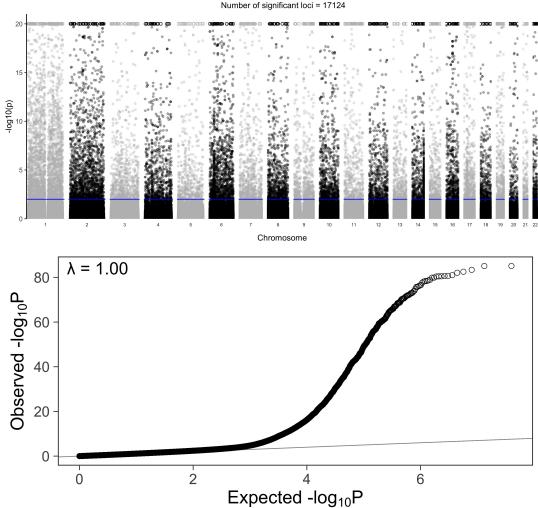


Figure S8. Site frequency spectrum plot comparing the original 1000 Genomes Project data to the high depth resequence data for variants that, in the 1000 Genomes Project, are both associated with *Q* and polymorphic in the 83 individuals that were resequenced. Among the 6,307 variants associated with *Q* in the GCAT model including all populations, 1,139 are present in the high depth resequenced individuals.



Non Repeat SNPs Number of loci tested = 19846786 Number of significant loci = 17124

Figure S9. Association of SNPS in non-repetitive regions with *Q*. **A** Manhattan plot of the $-\log_{10}(p)$ values for the reverse GWAS logistic regression analysis for SNPs in non repetitive regions. There are 15,018 SNPs that reach *p* values greater than p < 0.01 after performing a two-stage Benjamini and Hochberg FDR adjustment. The circles (o) are variants that reached values greater than 20, for clarity we implemented hard ceiling at 20. **B** QQ plot of the unadjusted p values for the reverse GWAS logistic regression analysis for SNPs in non repetitive regions.

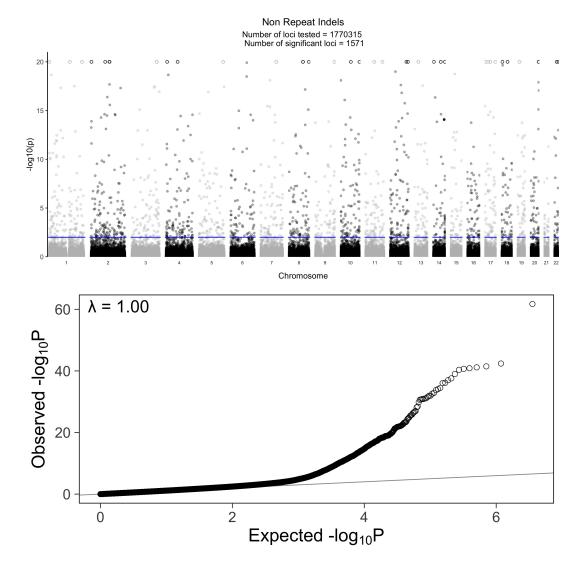


Figure S10. Association of indels in non-repetitive regions with *Q*. **A** Manhattan plot of the $-\log_{10}(p)$ values for the reverse GWAS logistic regression analysis for INDELs in non repetitive regions. There are 2,121 INDELs that reach *p* values greater than p < 0.01 after performing a two-stage Benjamini and Hochberg FDR adjustment. The circles (o) are variants that reached values greater than 20, for clarity we implemented hard ceiling at 20. **B** QQ plot of the unadjusted p values for the reverse GWAS logistic regression analysis for INDELs in non repetitive regions.

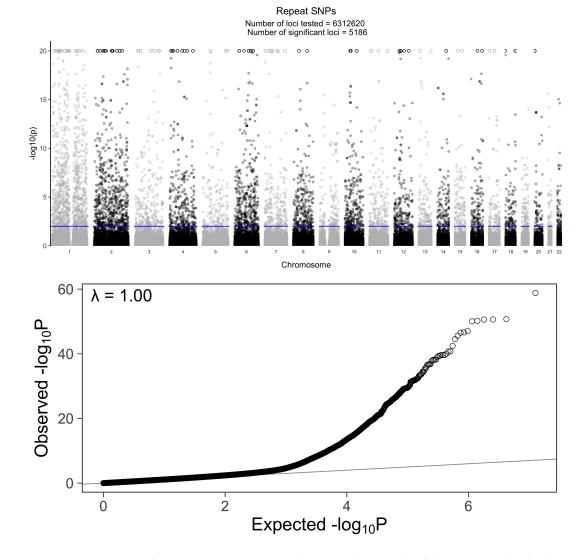


Figure S11. Association of SNPS in repetitive regions with *Q*. **A** Manhattan plot of the $-\log_{10}(p)$ values for the reverse GWAS logistic regression analysis for SNPs in repetitive regions. There are 4,405 SNPs that reach *p* values greater than p < 0.01 after performing a two-stage Benjamini and Hochberg FDR adjustment. The circles (o) are variants that reached values greater than 20, for clarity we implemented hard ceiling at 20. **B** QQ plot of the unadjusted p values for the reverse GWAS logistic regression analysis for SNPs in repetitive regions.

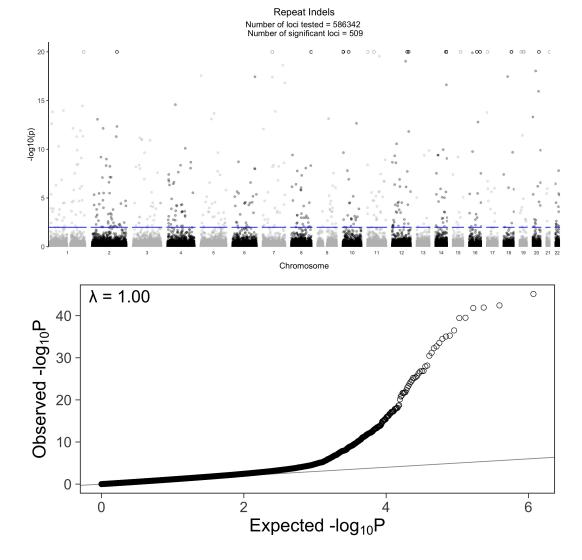
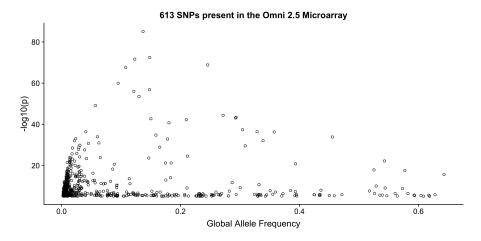
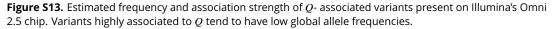
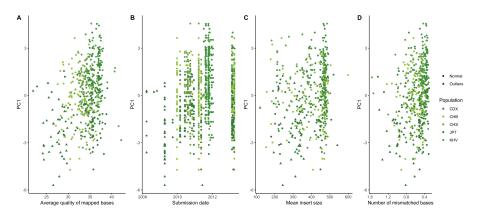
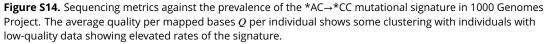


Figure S12. Association of indels in repetitive regions with *Q*. **A** Manhattan plot of the $-\log_{10}(p)$ values for the reverse GWAS logistic regression analysis for INDELs in repetitive regions. There are 642 INDELs that reach *p* values greater than p < 0.01 after performing a two-stage Benjamini and Hochberg FDR adjustment. The circles (o) are variants that reached values greater than 20, for clarity we implemented hard ceiling at 20. **B** QQ plot of the unadjusted p values for the reverse GWAS logistic regression analysis for INDELs in repetitive regions.









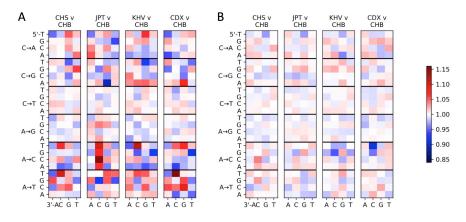


Figure S15. Comparing mutational signatures after removing *Q*-associated variants and after removing individuals with low *Q*. **A** The *AC \rightarrow *CC mutational signature in JPT remains despite removing variants associated to quality. **B** Removing individuals with average quality per mapped bases *Q* below a threshold of 30 removes the mutational signature completely.