1	
2	
3	
4	VARIANT ANALYSIS PIPELINE FOR ACCURATE DETECTION OF GENOMIC
5	VARIANTS FROM TRANSCRIPTOME SEQUENCING DATA.
6	
7	SNP CALLING FROM RNA-SEQ DATA IN NON-HUMAN MODELS
8	
9	
10	Modupeore O. Adetunji ^{1*} , Carl J. Schmidt ¹ , Susan J. Lamont ^{2¶} , Behnam Abasht ^{1¶}
11	
12	
13	
14	¹ Department of Animal and Food Sciences, University of Delaware, Newark, DE 19716, USA.
15	² Department of Animal Science, Iowa State University, Ames, Iowa 50011-3150 USA
16	
17	
18	* Corresponding author
19	Email : <u>amodupe@udel.edu</u> (MOA)
20	
21	
22	[¶] These authors contributed equally to this work.
23	

24 Abstract

25 The wealth of information deliverable from transcriptome sequencing (RNA-seq) is 26 significant, however current applications for variant detection still remain a challenge due to the 27 complexity of the transcriptome. Given the ability of RNA-seq to reveal active regions of the 28 genome, detection of RNA-seq SNPs can prove valuable in understanding the phenotypic diversity 29 between populations. Thus, we present a novel computational workflow named VAP (Variant 30 Analysis Pipeline) that takes advantage of multiple RNA-seq splice aware aligners to call SNPs in 31 non-human models using RNA-seq data only. We applied VAP to RNA-seq from a highly inbred 32 chicken line and achieved >97% precision and >99% sensitivity when compared with the matching 33 whole genome sequencing (WGS) data. Over 65% of WGS coding variants were identified from 34 RNA-seq. Further, our results discovered SNPs resulting from post translational modifications, 35 such as RNA editing, which may reveal potentially functional variation that would have otherwise 36 been missed in genomic data. Even with the limitation in detecting variants in expressed regions 37 only, our method proves to be a reliable alternative for SNP identification using RNA-seq data.

38

39 Introduction

40 Detection of single nucleotide polymorphisms (SNPs) is an important step in 41 understanding the relationship between genotype and phenotype. The insights achieved with next

42 generation sequencing (NGS) technologies provide an unbiased view of the entire genome, exome 43 or transcriptome at a reasonable cost (1). Most methods for variant identification utilize whole-44 genome or whole-exome sequencing data, while variant identification using RNA-seq remains a 45 challenge because of the complexity in the transcriptome and the high false positive rates (2). 46 However, having access to RNA sequences at a single nucleotide resolution provides the 47 opportunity to investigate gene or transcript differences across species at a nucleotide level.

48 RNA-seq is applicable to numerous research studies, such as the quantification of gene 49 expression levels, detection of alternative splicing, allele-specific expression, gene fusions or RNA 50 editing (3). Workflows have been developed to address identifying SNPs from RNA-seq reads in 51 human, including SNPiR and eSNV-detect. SNPiR (4) employs BWA aligner and variant calling using GATK UnifiedGenotyper, eSNV-detect (5) relies on combination of two aligners (BWA and 52 53 TopHat2) followed by variant calling with SAMtools and Opposum + Platypus (6). Opposum 54 reconstructs RNA alignment files to make them suitable for haplotype-based variant calling with 55 Platypus (7). These workflows require adequate sampling of RNA-seq reads and accurate mapping 56 of the RNA-seq reads to the reference genome to avoid false positive SNP calls. In addition to the 57 limitation of these workflows being specifically designed for human samples, they either rely on 58 outdated variant calling procedures, or preprocessing RNA-seq data to make it suitable for variant 59 calling, thus making it difficult to sufficiently compare their performance.

Due to the aforementioned limitations, we designed a workflow, called VAP (Variant Analysis Pipeline), to reliably identify SNPs in RNA-seq in non-human models. VAP takes into consideration current state-of-the-art RNA-seq mapping, variant calling algorithms and the GATK best practices recommended by the Broad Institute (8), Our workflow consists of (i) multiple splice-aware reference-mapping algorithms that make use of the transcripts annotation data, (ii)

variant calling following the Genome Analysis Toolkit (GATK) best practices, and (iii) stringent filtering procedures. We propose that calculating specificity will estimate the likelihood of detecting a true variant in RNA-seq and sensitivity will determine how likely RNA-seq is able to detect an expressed SNP if it is present in a transcribed gene (9). Overall the results indicate that RNA-seq can be an accurate method of SNP detection using our VAP workflow.

70

71 Materials and methods

72 VAP Workflow

73 Fig 1 shows the flowchart of the VAP workflow. Read quality was assessed using FastQC 74 and preprocessed using Trimmomatic (10) and/or AfterQC (11) when required. Pre-processed 75 RNA-seq reads were mapped to the reference genome and known transcripts employing three 76 splice-aware assembly tools; TopHat2 (12), HiSAT2 (13) and STAR (14). All three programs are 77 open-source and are highly recommended for reliable reference mapping of RNA-seq data (15). 78 SAMtools was used to convert the alignment results to BAM format (16). The mapped reads 79 undergo sorting, adding read groups, and marking of duplicates using Picard tools package 80 (https://broadinstitute.github.io/picard/). The SNP calling step uses the GATK toolkit for splitting 81 "N" cigar reads (i.e. splice junction reads), base quality score recalibration and variant detection 82 using the GATK HaplotypeCaller (17). Lastly, the filtering steps entail assigning priority to SNPs 83 found in all three mapping plus SNP calling steps, to minimize false positive variant calls. The

84 priority SNPs were filtered using the GATK Variant Filtration tool and custom Perl scripts. SNPs 85 were filtered using the set of read characteristics summarized in Table 1; low quality calls (QD < 86 5), or variants with strong strand bias (FS > 60), or low read depth (DP < 10) and SNP clusters (3 87 SNPs in 35bp window) were excluded from further analysis. Custom filtering was described as 88 follows: nucleotide positions with less than 5 reads supporting alternative allele and nucleotide 89 positions with heterozygosity scores < 0.10 are eliminated to prevent ambiguous SNP calls. 90 Alternative-allele ratio *(Het)* is calculated by $Het_i = aa_i / t_i$; where *i* is the nucleotide base pair, aa_i 91 is the alternate read depth at the location i, and t_i is the total number of reads at location i. After 92 filtering, the variants were annotated using the ANNOVAR (18) and VEP (19) software. 93

Fig 1. Flow chart of the VAP workflow. FastQ files are QC using FastQC, mapped using three
aligners. BAM files are pre-processed by Picard and GATK, then merged, annotated and filtered
to achieve high-confident SNPs.

97

98 Table 1. Criteria used in the VAP filtering workflow.

Criteria	Threshold	
GATK - VariantFiltration tool		
ReadRankPosSum (RRPS)	RRPS < -8	
Quality by depth (QD)	QD < 5	
Read depth (DP)	DP < 10	
Fisher's exact test p-value (FS)	FS > 60	
Mapping Quality (MQ)	MQ < 40	
SnpCluster	3 SNPs in 35bp	

Mann-Whitney Rank-Sum (MQRankSum)	MQRankSum < -12.5
Alternative allele supporting read depth	ALTreads < 5
Alternative allele ratio (<i>Het</i>)	$aa / t \le 0.10$

99

100 DNA and RNA Sequencing data

101 We obtained RNA-seq and whole genome sequencing (WGS) data for highly inbred 102 Fayoumi chickens from previously published works. For RNA-seq, samples were collected from 103 the brain and liver generating of 2 chicken embryos at day 12, generating 117 million 75bp pair-104 end reads (Zhuo et al., 2017; the NCBI Sequence Read Archive Accession number SRP102082) 105 (20). For WGS, pooled DNA samples were constructed from individual DNA isolates from blood 106 from 16 birds, contributing to 241 million 100bp pair-end reads (Fleming et al., 2016; the NCBI 107 Sequence Read Archive Accession number SRP192622) (21). Both samples were sequenced on 108 the Illumina HiSeq platform. The transcriptome and whole genome of these samples have been 109 deeply sequenced to provide sufficient coverage for accurate identification of variants from RNA 110 and DNA of the same line. Having matched RNA and DNA samples allows for suitable 111 verification of RNA SNP calls, making our datasets good candidates for evaluating the accuracy 112 of our VAP methodology.

113

114 **600K Genotyping data**

Samples were genotypes individually and included 96 samples from two purebred (23 samples) and one crossbred (73 samples) commercial broiler populations. The samples were

117 genotyped with the ThermoFisher Axiom Chicken Genotyping Array (22). The raw genotyping 118 data (cel files) was analyzed with the *Gallus gallus* 5.0 genome (from Axiom server) using the 119 Axiom Analysis Suite Software (version 3.0.1) following the software's Best Practices Workflow 120 using recommended settings for agricultural animals. The final results were exported, including a 121 raw VCF of all the genotype calls and a *txt* file of all variants with \geq 97% call rate. The *txt* file 122 was utilized to filter low quality variants from the raw VCF.

123

124 RNA-seq Mapping, Variant Calling and Filtering

125 RNA-seq samples were mapped with the three RNA-seq mapping tools; TopHat2 (v 2.1.1), 126 HiSAT2 (v 2.1.0) and STAR (v 2.5.2b) 2-pass method using default parameters to the NCBI Gallus 127 gallus Build 5.0 reference genome and the mapping files were converted to BAM using SAMtools 128 (v 1.4.1). The BAM files were processed, and variants were called using Picard tools (v 2.13.2)129 and GATK (v 3.8-0-ge9d806836) through the VAP pipeline. We used ANNOVAR (v 2017Jul16) 130 and VEP (v 91) to annotate variants on the basis of gene model from RefSeq, Ensembl and the 131 UCSC Genome Browser. We retained SNPs found with all three mapping tools and those that 132 fulfilled the filtering criteria in Table 1. SNPs found in WGS data or present in dbSNP (Build 150) 133 are identified as "verified" variants, while those not found are tagged as "novel". The precision of 134 the VAP workflow was determined as the number of all known RNA-seq variants divided by the 135 total number of known and novel RNA-seq variants, i.e. Precision = verified_{SNPs} / (verified_{SNPs} + 136 novel_{SNPs}).

137

138 WGS Mapping, Variant Calling and Filtering

We mapped the WGS data with BWA-mem (v 0.7.16a-r1181) (23) using default parameters to the NCBI *Gallus gallus* Build 5.0 reference genome. Variant calling was performed using Picard and GATK HaplotypeCaller, following the recommendations proposed by Van der Auwera et al (24) and Yiyuan Yan et al (25). Similar filtering parameters for RNA-seq as previously described were applied using the GATK Variant Filtration tool and custom scripts (Table 1). To allow a fair comparison between RNA-seq and WGS variants, we estimated specificity with the fraction of coding exonic variants identified from WGS.

146

147 Sensitivity and Specificity of Verified RNA-seq SNPs

148 To determine the accuracy of detecting a true variant from RNA-seq using our VAP 149 workflow, we calculated the specificity and sensitivity of the verified RNA-seq SNPs. Because we 150 are using transcriptome data, we should only be theoretically able to detect SNPs at sites expressed 151 in our data. Sensitivity analysis will evaluate the accuracy of our pipeline to correctly detect known 152 SNPs using RNA-seq, and specificity analysis will assess how likely a SNP is detected by RNA-153 seq compared to WGS. To do this, we further characterized our verified RNA-seq SNPs as "true-154 verified" and "non-verified" SNPs. A true-verified SNP (TS) is a SNP with the same 155 corresponding dbSNP and/or WGS data, and a non-verified SNP (NS) is where the genotype does 156 not match the dbSNP/WGS data. Also, SNPs not detected in RNA-seq but found in WGS and 157 validated using dbSNP are called "DNA-verified" SNPs (DS). Sensitivity is calculated as the 158 number of TS divided by the number of TS plus the number of PS (i.e. Sensitivity = TS / (TS +159 NS)). While specificity is estimated as the number of TS divided by the number of TS plus the 160 number of DS (i.e. Specificity = TS / (TS + DS)) (4,9).

161

162 Gene Expression Analysis

Variants in expressed regions were identified by gene quantification analysis using StringTie v1.3.3 (26) on the TopHat2, HISAT2 and STAR BAM files. The average FPKM (fragments per kilobase of transcript per million fragments mapped) was calculated for specificity analysis.

167

168 **RESULTS**

169 The Multi-Aligner Concept

170 VAP uses a multi-aligner concept to call SNPs confidently. The application of multiple 171 aligners reduces false discovery rates significantly, as shown in the eSNV-detect pipeline (5,27). 172 However, we do not assign a confidence hierarchy on candidate SNP calls, rather SNP detected 173 from all three aligners are weighted equally, thus all consensus SNPs are obtained and filtered 174 based on the filtering criteria listed above. High percentages of similar SNPs were observed 175 between all three tools, which shows that using a splice-aware read mapper is appropriate for 176 reference mapping using RNA-seq, unlike with BWA. Table 2 provides the summary of mapping 177 and variant calling statistics from the multiple aligners.

Tools	% reads	% reference	Variants	SNPs	% similar
	mapped	covered			SNPs
TopHat	87.7	23.07	578655	535505	96.12
HiSAT	90.53	23.44	636948	583547	88.21
STAR	87.81	23.7	798696	708391	72.66

179 Table 2. Summary from the multiple aligners; read mapping statistics and variant calls.

180

181 SNPs detected in RNA-seq data.

182 Our method identified 514,729 SNPs from all 3 aligners before filtering, which assures 183 reduction of false positives calls (Fig 2). After filtering, 282,798 (54.9%) high confidence SNPs 184 remain, of which 97.2% (274,777 SNPs) were supported by evidence from WGS or dbSNP v.150 185 (Fig 3). The verified sites exhibited a transition-to-transversion (ts/tv) ratio of 2.84 and estimated 186 ts/tv ratio of ~5 for exonic regions and thus a good indicator of genomic conservation in transcribed 187 regions. For the remaining (novel) 8.021 SNPs, we observed slightly lower ts/ty ratio (2.81) than 188 for the verified sites. The variant sites showed a clear enrichment of transitions, inclusive of A>G 189 and T>C mutations (73.9%), indicative of mRNA editing and the dominant A-to-I RNA editing 190 (28) (Fig 4).

- 191
- 192 Fig 2. Comparison of RNA-seq SNPs Identified in the different mapping tools.
- 193 Fig 3. Comparison of RNA-seq SNPs found in either dbSNP or WGS.
- 194 Fig 4. The mutational profile of RNA-seq variants.
- 195

SNPs Allele Frequencies

197 The 282,798 SNPs called, were grouped based on their variant allele frequencies 198 (VAF). VAFs were calculated by dividing the number of reads supporting the variant allele by 199 the total number of reads obtained. SNPs were grouped as homozygous to the alternative allele 200 with $VAF \ge 0.99$, and heterozygous with VAF < 0.99. We found 264,790 (93.6%) and 18,008 201 (6.4%) SNPs were classified as homozygous alternate and heterozygous, respectively. Not 202 surprisingly, most of the predicted SNPs were homozygous to the non-reference allele, suggesting 203 the large genetic difference of the Fayoumi breed compared to the reference genome Gallus gallus 204 (Red Jungle Fowl) is influenced by polymorphisms (29,30). This will aid in identifying the 205 genomic regions/loci enriched by selection.

206

207 Precision and Sensitivity of RNA-seq SNPs

208 A high proportion of SNPs detected in RNA-seq data are true variants. The sensitivity of 209 SNP calls are similar for both heterozygous and homozygous sites (Fig 5). With the high number 210 of calls verified via dbSNP, the precision is much higher for homozygous variants compared to 211 heterozygous variants, indicating that a high proportion of expected variants can be detected using 212 RNA-seq with adequate coverage. The decreased precision in heterozygous SNPs may suggest 213 expression of the non-reference allele, and this provides the opportunity to study the effects of 214 genetic variation on the different transcriptional events, such as RNA editing, alternate splicing 215 and allelic specific expression, which cannot be explained using DNA sequencing data (31).

216

217 Fig 5. Comparison of SNPs identified as homozygous and heterozygous in RNA-seq.

218

219 Functional classification of RNA-seq and WGS variants

Thirteen percent of the RNA-seq SNPs were predicted to be within protein-coding regions while >1% of the WGS SNPs were in coding regions when annotated against both the NCBI and ENSEMBL gene database for chicken; the remaining SNPs were found in non-coding or regulatory regions (Table 3). Due to difficulty in annotating and determining the impact of polymorphisms on non-coding or regulatory regions, only polymorphisms found on coding regions were further evaluated.

227	Table 3. SNPs	belonging to	different a	nnotation categories	•
-----	---------------	--------------	-------------	----------------------	---

	Annotation	Number (%)	Mean VAF (± SD)	No. homozygous (VAF
	categories			≥ 0.99) ^a
	Intergenic	162240 (57)	0.99 (0.06)	152732 (94%)
	Up/downstream	11793 (4)	0.99 (0.07)	10817 (92%)
	Intronic	58028 (20)	0.99 (0.05)	55744 (96%)
	Exonic	36702 (13)	0.99 (0.08)	33051 (90%)
RNA	Non-	8599 (3)	0.98 (0.11)	7664 (89%)
	synonymous			
	Synonymous	28094 (10)	0.99 (0.07)	25353 (90%)
	Stop-	39 (<1)	0.96 (0.16)	34 (87%)
	gain/loss			

Cultoing	0 (1)	1 (0)	0 (1000/)
Splicing	8(<1)	1 (0)	8 (100%)
UTR3/UTR5	13421 (5)	0.98 (0.09)	11895 (88%)
ncRNA	106 (<1)	0.97 (0.13)	100 (94%)
Intergenic	2865498 (82)	0.99 (0.07)	2659382 (92%)
Up/downstream	30741 (<1)	0.99 (0.08)	28558 (93%)
Intronic	565323 (16)	0.99 (0.07)	522577 (92%)
Exonic	34294 (1)	0.98 (0.09)	31875 (92%)
Non-	8946 (<1)	0.97 (0.11)	8283 (86%)
synonymous			
Synonymous	25274 (<1)	0.99 (0.08)	23526 (93%)
Stop-	74 (<1)	0.98 (0.11)	66 (69%)
gain/loss			
Splicing	17 (<1)	0.97 (0.13)	17 (100%)
UTR3/UTR5	12476 (<1)	0.99 (0.07)	11515 (92%)
ncRNA	302 (<1)	0.99 (0.07)	277 (91%)
Intergenic	125218 (58)	1 (0.04)	112462 (89%)
Up/downstream	9787 (4)	0.99 (0.04)	6908 (87%)
Intronic	47894 (22)	1 (0.04)	43636 (91%)
Exonic	22551 (10)	0.99 (0.05)	19533 (87%)
Non-	5165 (2)	0.99 (0.06)	4486 (87%)
synonymous			
Synonymous	17363 (8)	0.99 (0.05)	15030 (86%)
	ncRNA Intery=nic Up/d→wstream Introni Exonic Synonymous Synonymous Synonymous Sopici gain/loss gain/loss Splici JurR3/UTR5 Non- Intery=nic Intronic Intronic	UTR3/UTR5 13421 (5) ncRNA 106 (<1) Intergenic 2865498 (82) Up/d⊍wnstream 30741 (<1) Intronic 565323 (16) Exonic 34294 (1) Exonic 34294 (1) Synonymous 25274 (<1) synonymous 25274 (<1) Stop- 74 (<1) gain/loss 74 (<1) gain/loss 17 (<1) Splicing 17 (<1) UTR3/UTR5 12476 (<1) Non- 125218 (58) Intergenic 125218 (58) Up/d⊍wnstream 9787 (4) Intronic 47894 (22) Exonic 147 47894 (22) Exonic 22551 (10) Non- 5165 (2) synonymous 141	UTR3/UTR5 13421 (5) 0.98 (0.09) ncRNA 106 (<1) 0.97 (0.13) Intergenic 2865498 (82) 0.99 (0.07) Up/downstream 30741 (<1) 0.99 (0.08) Intronic 565323 (16) 0.99 (0.07) Exonic 34294 (1) 0.98 (0.09) Non- 8946 (<1) 0.97 (0.11) synonymous 25274 (<1) 0.99 (0.08) Stop- 74 (<1) 0.99 (0.08) gain/loss 17 (<1) 0.99 (0.07) Splicing 17 (<1) 0.99 (0.07) ncRNA 302 (<1) 0.99 (0.07) ncRNA 302 (<1) 0.99 (0.07) Intergenic 125218 (58) 1 (0.04) Up/downstream 9787 (4) 0.99 (0.04) Intronic 47894 (22) 1 (0.04) Exonic 22551 (10) 0.99 (0.05) Non- 5165 (2) 0.99 (0.06)

Stop-	23 (<1)	1 (0.01)	17 (39%)
gain/loss			
Splicing	5 (<1)	1 (0)	5 (100%)
UTR3/UTR5	9943 (5)	0.99 (0.04)	8475 (85%)
ncRNA	73 (<1)	0.99 (0.03)	63 (86%)

^a The percentages are in relation to the number of SNPs within the annotation category.

^b The percentages are in relation to the number of SNPs within the annotation category in RNA.

230

231 Specificity of RNA-seq SNPs

232 To calculate specificity of our VAP methodology, we focused on variants in coding regions 233 to allow for fair comparison between RNA-seq and WGS data. Approximately 66% of the coding 234 variants identified by WGS were discovered using RNA-seq alone (Fig 6). Given that RNA-seq 235 required less sequencing effort and computational requirements (e.g. 234 million for RNA-seq 236 compared to the 482 million for WGS sequencing reads used in our case study). Using RNA-seq 237 data is advantageous because it enriches for expressed genic regions compared to WGS and 238 therefore will increase the power to detect functionally important SNPs impacting protein 239 sequence.

240

Fig 6. Overlap of SNPs found in coding regions from RNA-seq and WGS. 66% of the coding
variants identified in WGS data were found in RNA-seq. However, the remaining WGS coding
variants were not detected as a result of either: lack of expression/transcription ("no
transcription"), the position was homozygous in RNA ("no variation"), "found but filtered"

245	signifying that the position was detected but removed by one of our filtering steps, or "filtered"
246	which indicates the position was heterozygous but filtered because it didn't meet the default
247	parameters for variant detection.
248	
249	We then compared the RNA-seq SNPs in expressed genes (having FPKM > 0.1), and the
250	specificity increased from 66% to over 82% (Fig 7). This shows that a large fraction of genes are
251	expressed at very low levels (Fig 8). Overall the results prove our methodology can achieve high
252	specificity for variant calling in expressed regions of the genome.
253	
254	Fig 7. Specificity and number of RNA-seq SNPs detected in relation to the genes expressed
255	(FPKM values).
256	Fig 8. Distribution of expression levels for genes with RNA-seq SNPs.
257	

258 Comparison of RNA-seq and 600k Genotyping Panel SNPs

Given the high accuracy of genotyping arrays for SNP discovery, we compared our initially verified RNA-seq SNPs with the genotyped chromosomes identified in the 600k chicken genotyping panel (i.e. the autosomes (GGA1 - 33). A low percentage (10%) of our RNA-seq SNPs overlap with the 600k SNPs (Fig 9), which is largely due to the limitation in the number of variants the genotyping panel is able to capture across different samples. However, 99.9% of the genotyping SNPs were found in dbSNP, proving dbSNP is an adequate method for *in silico* verification of our RNA-seq SNPs.

267 Fig 9. Comparison of SNP calls between 600k Genotyping panel, RNA-seq SNPs, WGS

268 SNPs and dbSNP v150. (a) all autosomal SNPs and (b) autosomal SNPs found in exons.

269

270 RNA–DNA differences (RDD) sites

271 As mentioned before, our RNA-seq SNPs were notably contributed from transitions which 272 may be attributed to mRNA editing. Further classifications of the RNA-seq SNPs detected in exons 273 reveal 34% of the exonic SNPs verified by dbSNP were not identified in our WGS data. The 274 majority of the RNA SNPs were not found in WGS because of the mapping and filtering 275 parameters as shown in Table 4. Interestingly, 24% of these SNPs were not found because the 276 alternate nucleotide was not present in the DNA sequence potentially indicating RNA-DNA 277 differences (RDD). Consequently, these RDD sites may result from post-transcriptional 278 modification of the RNA sequence, such as RNA editing or alternative splicing.

279

Table 4. Explanation for the 14,147 RNA SNPs not found in WGS data.

Reason for absence	Number of SNPs (%)
Position was heterozygous in WGS but filtered because it didn't	1225 (8.7)
meet the default parameters for variant detection.	
No reads were mapped to region/position.	1693 (12)
Position was homozygous in WGS	3471 (24.5)
Position was heterozygous in WGS but removed by our custom	7758 (54.8)
filtering pipeline	

282	RNA editing is the most prevalent form of post-transcriptional maturation processes that
283	contributes to transcriptome diversity. It involves the modification of specific nucleotides in the
284	RNA sequence without altering its template DNA (28,32). From our dataset, we identified the
285	three non-synonymous RDD mutations on CYFIP2, GRIA2 and COG3 previously validated by
286	Frésand et al. in chicken embryos(28) (Table 5). This demonstrates the VAP methodology ability
287	to detect conserved RNA editing phenomena and that it can be used in further discovery of novel
288	post-transcriptional editing events.

CHROM	POSITION	DNA	RDD	AMINO	GENE	VAF
		NUCLEOTIDE	NUCLEOTIDE	ACID	SHORT	SCORE
				CHANGE	NAME	
chr 1	167798513	А	G	I/V	COG3	0.524
chr 4	21653669	A	G	R/G	GRIA2	0.703
chr 13	11398088	Т	С	K/E	CYFIP2	0.375

DISCUSSION

RNA-seq is instrumental in understanding the complexity of the transcriptome. Several
 methodologies have provided approaches to understanding the varied aspects occurring in the

transcriptome, but little has been done in its application to identifying variants in functional regions of the genome. To this aim, we designed the VAP workflow, a multi-aligner strategy using a combination of splice-aware RNA-seq reference mapping tools, variant identification using GATK, and subsequent filtering that allows accurate identification of genomic variants from transcriptome sequencing. Our results show very high precision, sensitivity and specificity, though limited to SNPs occurring in transcribed regions.

301 Considering the mapping phase of RNA-seq reads is a crucial step in variant calling, we 302 devised a reference mapping strategy using three RNA-seq splice-aware aligners to reduce the 303 prevalence of false positives. The use of the splice-aware aligner allows for accurate assembly of 304 reads because it makes use of both the genome and transcriptome information simultaneously for 305 read mapping.

306 The ability to call variants from RNA-seq has numerous applications. It enables validation 307 of variants detected by genome sequencing. It also uncovers potential post-transcriptional 308 modifications for gene regulation (Table 5) and allows for detection of previously unidentified 309 variants that may be functionally important but difficult to capture using DNA sequencing or 310 exome sequencing at lower cost. Although our WGS data was not sequenced from the same 311 samples that gave rise to the RNA-seq data, this could explain the poor overlap in our datasets, for 312 instance, 87.5% of RNA-seq variants in exons were not found in WGS though well characterized 313 in dbSNP (Fig 6). Therefore, RNA variants can be used in identifying genetic markers for genetic 314 mapping of traits of interest, thus offering a better understanding of the relationship between 315 genotype and phenotype.

316 Our VAP methodology shows high precision in calling SNPs from RNA-seq data. It is 317 however limited by the RNA-seq experiments; RNA SNPs are detected only on the transcripts

expressed. Regardless of comprehensive coverage, variant detection in some portions of the genome are not guaranteed by RNA-seq because of the potential lack of expression. Also, allelespecific gene expression or tissue-specific gene expression might hamper the discovery of genomic variants given that the allele carrying the variant might not be expressed or the tissues collected might not express the genes of interest.

SNP genotyping offers a highly accurate and alternative method of SNP discovery, and thus offers an additional *in silico* method of validation of our RNA-seq SNPs. However, a low overlap with the 600K chicken genotyping panel was observed (Fig 9). This low overlap is most likely due to the limitations in genotyping panels currently available for any given organism. The genotyping panels are limited by the number of variants they are able to capture across different genetic backgrounds (22). Not surprisingly, the majority of the 600K genotyping variants were also identified in dbSNP, proving that dbSNP an excellent choice for *in silico* validation.

Nevertheless, VAP allows the detection of variants even for lowly expressed genes. To obtain higher confidence in variant calls, pooling multiple data sets (i.e. RNA-seq from different tissues) can increase the coverage thereby facilitate variant discovery in regions of interest that would have otherwise been missed. Our study demonstrates that variants calling from RNA-seq experiments can tremendously benefit from an increased number of reads increasing the coverage of genomic regions especially for whole genome analysis; nevertheless even our small sample size allowed for reliable calling of variants and enriching for variants in exonic regions.

337 Despite the limitations of calling genomic variants from RNA-seq data, our work shows
 338 high sensitivity and specificity in SNP calls from RNA-seq data. SNP calling from RNA-seq will
 339 not replace WGS or exome-sequencing (WES) approaches but rather offers a suitable alternative
 340 to either approaches and might complement or be used to validate SNPs detected from either WGS

- 341 or WES. Overall, we present a valuable methodology that provides an avenue to analyze genomic
- 342 SNPs from RNA-seq data alone.

343

344 AUTHOR CONTRIBUTIONS

- 345 M.O.A. designed the methodology, performed the data analysis and drafted the manuscript.
- 346 C.J.S. secured the funding, advised in the research and revised the manuscript. S.J.L. and B.A.
- 347 provided the data and revised the manuscript.

348

349 **REFERENCES**

- 350 1. Metzker ML. Sequencing technologies the next generation. Nat Rev Genet. Nature
- 351 Publishing Group; 2010 Jan 8;11(1):31–46.
- 352 2. Guo Y, Zhao S, Sheng Q, Samuels DC, Shyr Y. The discrepancy among single nucleotide
- 353 variants detected by DNA and RNA high throughput sequencing data. BMC Genomics.
- BioMed Central; 2017 Oct 3;18(S6):690.
- 355 3. Wang Z, Gerstein M, Snyder M. RNA-Seq: a revolutionary tool for transcriptomics. Nat
- 356 Rev Genet [Internet]. Nature Publishing Group; 2009 Jan 1 [cited 2019 Apr 3];10(1):57–

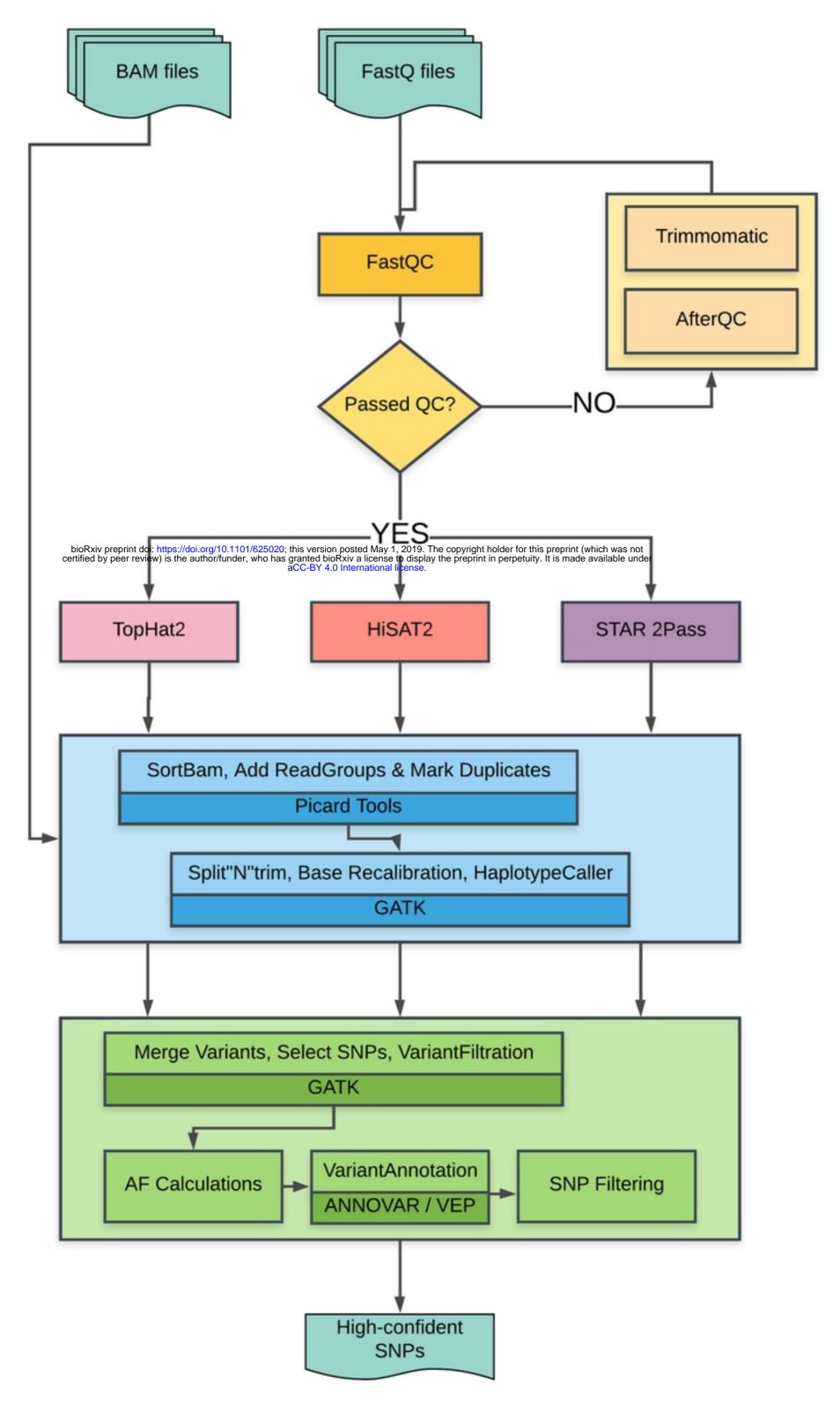
357	63. Available from: http://www.nature.com/articles/nrg2484

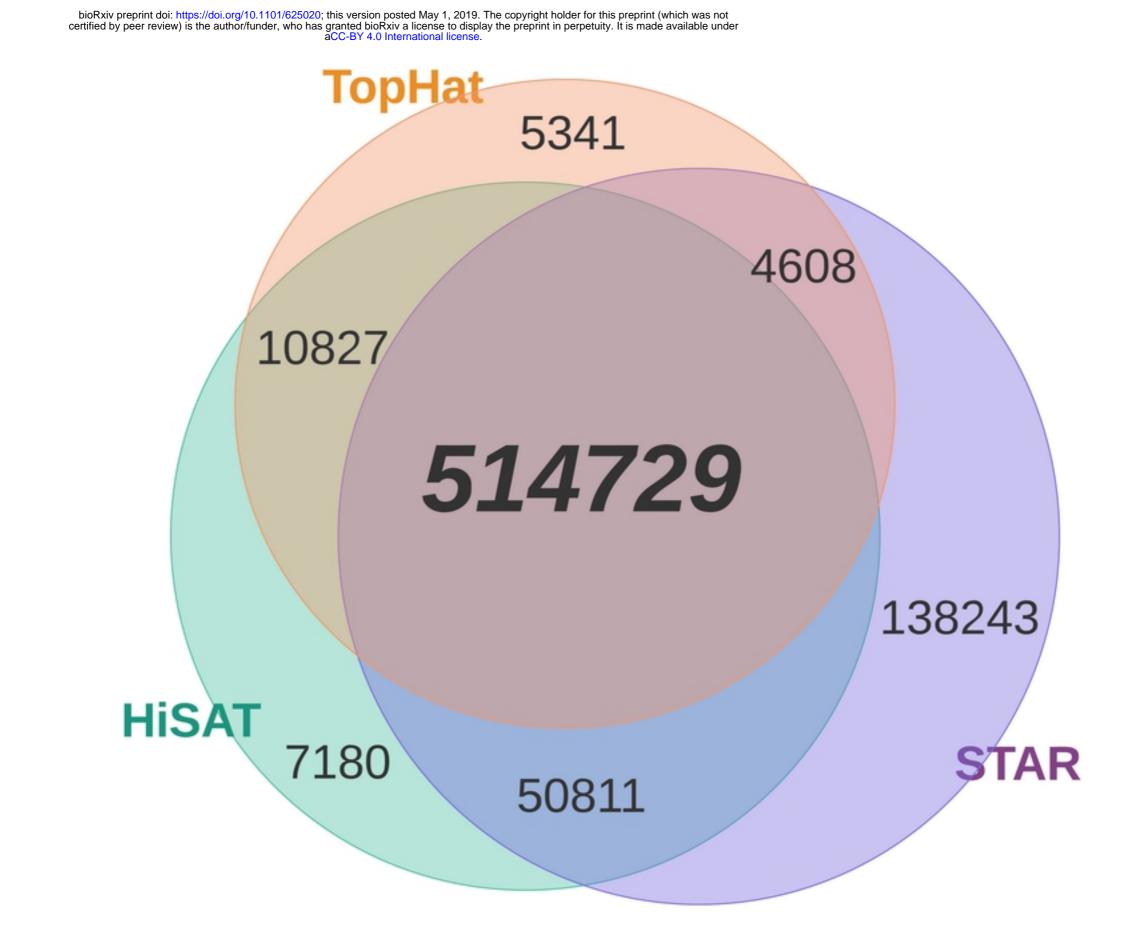
- 358 4. Piskol R, Ramaswami G, Li JB. Reliable Identification of Genomic Variants from RNA-
- 359 Seq Data. Am J Hum Genet. 2013;93(4):641–51.
- 360 5. Tang X, Baheti S, Shameer K, Thompson KJ, Wills Q, Niu N, et al. The eSNV-detect: a
- 361 computational system to identify expressed single nucleotide variants from transcriptome
- 362 sequencing data. Nucleic Acids Res. Oxford University Press; 2014 Dec 16;42(22):e172.
- 363 6. Oikkonen L, Lise S. Making the most of RNA-seq: Pre-processing sequencing data with
- 364 Opossum for reliable SNP variant detection. Wellcome open Res. The Wellcome Trust;
- 365 2017 Jan 17;2:6.
- Rimmer A, Phan H, Mathieson I, Iqbal Z, Twigg SRF, Wilkie AOM, et al. Integrating
 mapping-, assembly- and haplotype-based approaches for calling variants in clinical
 sequencing applications. Nat Genet. 2014 Aug 13;46(8):912–8.
- Castel SE, Levy-Moonshine A, Mohammadi P, Banks E, Lappalainen T. Tools and best
 practices for data processing in allelic expression analysis. Genome Biol. 2015
 Sep;16(1):195.
- 372 9. Quinn EM, Cormican P, Kenny EM, Hill M, Anney R, Gill M, et al. Development of
- 373 Strategies for SNP Detection in RNA-Seq Data: Application to Lymphoblastoid Cell
- Lines and Evaluation Using 1000 Genomes Data. Futscher BW, editor. PLoS One. Public
 Library of Science; 2013 Mar 26;8(3):e58815.
- Bolger AM, Lohse M, Usadel B. Trimmomatic: a flexible trimmer for Illumina sequence
 data. Bioinformatics. Oxford University Press; 2014 Aug 1;30(15):2114–20.
- 378 11. Chen S, Huang T, Zhou Y, Han Y, Xu M, Gu J. AfterQC: automatic filtering, trimming,
- 379 error removing and quality control for fastq data. BMC Bioinformatics. 2017 Mar

- 380 14;18(S3):80.
- 381 12. Kim D, Pertea G, Trapnell C, Pimentel H, Kelley R, Salzberg SL, et al. TopHat2: accurate
- 382 alignment of transcriptomes in the presence of insertions, deletions and gene fusions.
- 383 Genome Biol. BioMed Central; 2013;14(4):R36.
- 38413.Kim D, Langmead B, Salzberg SL. HISAT: a fast spliced aligner with low memory
- requirements. Nat Methods. Nature Research; 2015 Mar 9;12(4):357–60.
- 386 14. Dobin A, Davis CA, Schlesinger F, Drenkow J, Zaleski C, Jha S, et al. STAR: ultrafast
- 387 universal RNA-seq aligner. Bioinformatics. Oxford University Press; 2013 Jan
- 388 1;29(1):15–21.
- 389 15. Medina I, Tárraga J, Martínez H, Barrachina S, Castillo MI, Paschall J, et al. Highly
- 390 sensitive and ultrafast read mapping for RNA-seq analysis. DNA Res. 2016;23(2):93–100.
- 391 16. Li H, Handsaker B, Wysoker A, Fennell T, Ruan J, Homer N, et al. The Sequence
- Alignment/Map format and SAMtools. Bioinformatics. Oxford University Press; 2009
 Aug 15;25(16):2078–9.
- 17. McKenna A, Hanna M, Banks E, Sivachenko A, Cibulskis K, Kernytsky A, et al. The
- 395 Genome Analysis Toolkit: a MapReduce framework for analyzing next-generation DNA
- 396 sequencing data. Genome Res. Cold Spring Harbor Laboratory Press; 2010
- 397 Sep;20(9):1297–303.
- Wang K, Li M, Hakonarson H. ANNOVAR: functional annotation of genetic variants
 from high-throughput sequencing data. Nucleic Acids Res. Oxford University Press; 2010
 Sep;38(16):e164.
- 401 19. McLaren W, Gil L, Hunt SE, Riat HS, Ritchie GRS, Thormann A, et al. The Ensembl
- 402 Variant Effect Predictor. Genome Biol. BioMed Central; 2016 Dec 6;17(1):122.

403	20.	Zhuo Z, Lamont SJ, Abasht B. RNA-Seq Analyses Identify Frequent Allele Specific
404		Expression and No Evidence of Genomic Imprinting in Specific Embryonic Tissues of
405		Chicken. Sci Rep [Internet]. Nature Publishing Group; 2017 Dec 20 [cited 2018 Feb
406		6];7(1):11944. Available from: http://www.nature.com/articles/s41598-017-12179-9
407	21.	Fleming DS, Koltes JE, Fritz-Waters ER, Rothschild MF, Schmidt CJ, Ashwell CM, et al.
408		Single nucleotide variant discovery of highly inbred Leghorn and Fayoumi chicken breeds
409		using pooled whole genome resequencing data reveals insights into phenotype differences.
410		BMC Genomics [Internet]. BioMed Central; 2016 Dec 19 [cited 2019 Jan 29];17(1):812.
411		Available from: http://www.ncbi.nlm.nih.gov/pubmed/27760519
412	22.	Kranis A, Gheyas AA, Boschiero C, Turner F, Yu L, Smith S, et al. Development of a
413		high density 600K SNP genotyping array for chicken. BMC Genomics. BioMed Central;
414		2013 Jan 28;14(1):59.
415	23.	Li H. Aligning sequence reads, clone sequences and assembly contigs with BWA-MEM.
416		2013 Mar 16 [cited 2019 Mar 1]; Available from: http://arxiv.org/abs/1303.3997
417	24.	Van der Auwera GA, Carneiro MO, Hartl C, Poplin R, Del Angel G, Levy-Moonshine A,
418		et al. From FastQ data to high confidence variant calls: the Genome Analysis Toolkit best
419		practices pipeline. Curr Protoc Bioinforma [Internet]. 2013 Oct 15;43(1110):11.10.1-
420		11.10.33. Available from: https://www.ncbi.nlm.nih.gov/pubmed/25431634
421	25.	Yan Y, Yi G, Sun C, Qu L, Yang N. Genome-Wide Characterization of Insertion and
422		Deletion Variation in Chicken Using Next Generation Sequencing. Wang J, editor. PLoS
423		One. Public Library of Science; 2014 Aug 18;9(8):e104652.
424	26.	Pertea M, Pertea GM, Antonescu CM, Chang T-C, Mendell JT, Salzberg SL. StringTie
425		enables improved reconstruction of a transcriptome from RNA-seq reads. Nat Biotechnol

- 426 [Internet]. Nature Research; 2015 [cited 2017 Jan 11];33(3):290–5. Available from:
- 427 http://www.nature.com/articles/nbt.3122
- 428 27. Kalari KR, Necela BM, Tang X, Thompson KJ, Lau M, Eckel-Passow JE, et al. An
- 429 integrated model of the transcriptome of HER2-positive breast cancer. PLoS One. Public
- 430 Library of Science; 2013;8(11):e79298.
- 431 28. Frésard L, Leroux S, Roux P-F, Klopp C, Fabre S, Esquerré D, et al. Genome-Wide
- 432 Characterization of RNA Editing in Chicken Embryos Reveals Common Features among
- 433 Vertebrates. Gibas C, editor. PLoS One. Public Library of Science; 2015 May
- 434 29;10(5):e0126776.
- 435 29. Moiseyeva IG, Romanov MN, Nikiforov AA, Sevastyanova AA, Semyenova SK.
- 436 Evolutionary relationships of Red Jungle Fowl and chicken breeds. Genet Sel Evol.
- 437 BioMed Central; 2003 Jul 15;35(5):403.
- 438 30. Kumar V, Shukla SK, Mathew J, Sharma D. Genetic Diversity and Population Structure
- 439 Analysis Between Indian Red Jungle Fowl and Domestic Chicken Using Microsatellite
- 440 Markers. Anim Biotechnol. Taylor & Francis; 2015 Jul 3;26(3):201–10.
- 441 31. Han Y, Gao S, Muegge K, Zhang W, Zhou B. Advanced applications of RNA sequencing
 442 and challenges. Bioinform Biol Insights. SAGE Publications; 2015;9(Suppl 1):29–46.
- 443 32. Bakhtiarizadeh MR, Shafiei H, Salehi A. Large-scale RNA editing profiling in different
- 444 adult chicken tissues. bioRxiv. Cold Spring Harbor Laboratory; 2018 May 11;319871.







3143794 60909 (21.5%) (21.5%) 7310 7310 (2.6%) RNA (282,798)

DNA (3,509,015)

