A cereal aphid effector promotes barley susceptibility in a species-specific manner through suppression of defence gene expression

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Abstract

The bird cherry aphid *Rhopalosiphum padi* and the green peach aphid *Myzus persicae* are

devastating insect pests on agriculturally important crops. While the host range of *R. padi*

consists mainly of cereal plants, *M. persicae* can infest thousands of plant species from

across different plant families. However, despite a broad host range, which includes

Nicotiana benthamiana, *M. persicae* performs poorly on barley. To gain insights into the

role of aphid effectors in determining aphid host range, we undertook a comparative

functional characterization approach for 3 predicted orthologous effector pairs from *M.*

persicae and *R. padi*. We compared subcellular localisation and protein stability of these

effectors in *N. benthamiana* and determined their contribution to host susceptibility. While

subcellular localization of members of each effector pair was similar, we observed

differences in proteins stability, especially in the case of RpC002 (*R. padi* C002)/MpC002

(*M. persicae* C002). For example, RpC002 was less expressed and/or stable than

MpC002 in *N. benthamiana* and, in contrast to MpC002, did not enhance susceptibility in

this plant species. However, expression of RpC002, as well as *R. padi* effector Rp1, in

transgenic barley lines enhanced plant susceptibility to *R. padi* but not *M. persicae*. Our

data suggests that some aphid effectors specifically function when expressed in host

species, and feature activities that benefit their corresponding aphid species.

Characterization of Rp1 transgenic barley lines revealed reduced gene expression of plant

hormone signalling genes relevant to plant-aphid interactions, suggesting this effector

enhances susceptibility by suppressing plant defences in barley.

Introduction

Similar to plant pathogens, aphids form close associations with their hosts and secrete

effector molecules to modulate host cell processes to their benefit. Over the past decade,

a combination of genomics- and proteomics-based approaches allowed the identification

of putative effectors from different aphid species, including economically important pests of

both monocot and dicot crops (Harmel et al., 2008; Carolan et al., 2011; Cooper et al.,

2010; Nicholson et al., 2012; Rao et al., 2013; Atamian et al., 2013; Vandermoten et al

2014; Thorpe et al., 2016; Zhang et al., 2017; Thorpe et al., 2018). Comparative analyses

of aphid effector repertoires across species has revealed core and diverse sets, provided

insight into effector diversity, and evidence for a shared transcriptional control mechanism

driving their expression (Thorpe et al., 2016); (Boulain et al., 2018); (Thorpe et al., 2018).

Moreover, functional characterization of aphid effectors increased our understanding of

how these proteins may function to enhance plant susceptibility during infestation (as

reviewed by Yates and Michel, 2018 and Nalam et al., 2019), and pointed to host-specific

effector activities (Pitino and Hogenhout, 2013; Elzinga et al., 2014; Rodriguez et al.,

2017).

The C002 salivary protein was first described as an effector in *Acyrthosiphon pisum*, and

promotes host susceptibility to aphids. However, while expression of MpC002 (*M. persicae*

C002) in host species *Arabidopsis* and *N. benthamiana* enhances susceptibility to *M.*

persicae, expression of ApC002 from *A. pisum* in these same plant species has no visible

impact on the host interaction with *M. persicae* (Pitino and Hogenhout, 2013). The

difference in effector activity was attributed to a motif sequence (NDQGEE) in the N-

terminal region of MpC002, which is lacking in ApC002 (Pitino and Hogenhout, 2013).

Whether the presence/absence of this motif affects protein stability rather than activity

across plant species remains to be determined. In addition, several effectors from the

broad host range pest *M. persicae* have been implicated in promoting host susceptibility,

including Mp1 and Mp58 (Pitino and Hogenhout, 2013; Elzinga et al., 2014; Rodriguez et

al., 2017). However, the underlying mechanisms by which these effectors impact

susceptibility remain largely unknown. We previously described that Mp1 associates with

the host trafficking protein VPS52 (Vacuolar Sorting Associated Protein 52) to promote plant susceptibility (Rodriguez et al., 2017). Using different combinations of Mp1 and VPS52 variants from different plant and aphid species, respectively, we showed that the Mp1-VPS52 association is highly specific to the broad host range pest *M. persicae* and its hosts, and likely shaped by plant-aphid co-evolution. Critically, effector-host protein interactions correlate with effector virulence activities (Rodriguez et al., 2017). The Mp1 and Mp58 effectors and their putative orthologues are genetically linked across the genomes of at least 5 different aphid species (Thorpe et al., 2018). Although a functional link between Mp1 and Mp58 remains to be elucidated, Mp58 was previously implicated in plant-aphid interactions. For example, Elzinga et al. 2014 observed a decrease in *M. persicae* performance when Mp58 was ectopically expressed in *Nicotiana tabacum* or transgenic Arabidopsis lines. In contrast, the Mp58-like effector from *M. euphorbiae* (also called Me10) enhances tomato and *N. benthamiana* susceptibility to *M. euphorbiae* and *M. persicae* (Atamian et al., 2013). Me10 was recently reported to interact with tomato 14-3-3 isoform 7 (TFT7), which contributes to defence against aphids (Chaudhary et al., 2019).

Rhopalosiphum padi is an aphid species with a narrow host range, which includes grass species, such as barley, oats and wheat (Blackman and Eastop, 2000). This aphid is an important pest of cereal crops that causes feeding damage and transmits some of the most destructive viral diseases of cereals, such as *Barley Yellow Dwarf Virus* (BYDV). Whilst *R. padi* is highly specialized on cereals, other species, like *M. persicae,* feature an exceptionally broad host range that includes more than 4000 different plant species, including the model plants Arabidopsis or *N. benthamiana* (Blackman and Eastop, 2000). Despite its broad host range, *M. persicae* is not a pest of barley and performs poorly on this plant species (Escudero-Martinez et al., 2017). Recently, *M. persicae* and *R. padi* effector repertoires were identified and compared, allowing the prediction of orthologue effector pairs (Thorpe et al., 2016). To what extend the observed sequence variation

among these effector sets impacts host susceptibility and aphid host range is to be

determined and will require extensive functional characterization across different plant

species, including cereals.

Here, we characterized 3 predicted orthologous effector pairs from *M. persicae* and *R.*

padi with regards to their subcellular localization, protein stability, gene expression, and

contribution to susceptibility in barley and *N. benthamiana*. We demonstrate that

expression of the *R. padi* effectors Rp1 and RpC002 in transgenic barley lines enhances

plant susceptibility to *R. padi* (host interaction) but not to the same extent to *M. persicae*

(poor-host interaction), highlighting the importance of these effectors for barley

colonization in an aphid species-specific manner. In contrast, and unlike MpC002, RpC002

did not enhance *N. benthamiana* susceptibility to *M. persicae* (host interaction), which is

likely due to low protein expression and/or stability of RpC002 in this plant species. Further

characterization of Rp1 transgenic barley lines revealed reduced expression of several

markers of plant hormone signalling pathways relevant to plant-aphid interactions,

suggesting this effector may enhance susceptibility by suppressing plant defences.

Results

Effector sequence divergence between the aphid species *R. padi* and *M. persicae*

We predicted putative orthologs for 3 previously described *M. persicae* effectors, MpC002,

Mp1 and Mp58 from *R. padi* using reciprocal best blast hit analyses on available aphid

transcriptome datasets and aphid genome assemblies (threshold of 70 % identity and

50 % query coverage) (Thorpe et al., 2016; Thorpe et al., 2018). To confirm the sequences

of putative orthologous effector pairs we cloned and sequenced their coding sequences.

Amino acid and nucleotide sequence alignments show varying degrees of sequence

divergence across the selected effector pairs (Fig. 1, Supplemental Fig. S1). RpC002 is

smaller than MpC002, with 193 amino acids compared to 265, respectively, and these

effectors share 52.86% sequence identity. The difference in sequence length is partly due

to a lack of the NDNQGEE repeat in the N-terminal region of RpC002 (Fig. 1A,

Supplemental Fig. S1). Variation in the number of NDNQGEE repeats in MpC002 was

previously also detected within *M. persicae* (Thorpe et al., 2016), and in this study we

characterized the MpC002 version containing 5 repeats. MpC002 also has an extended C-

terminal domain compared to RpC002 (Fig. 1A). Rp1, which is similar to *M. persicae* Mp1,

is composed of 140 amino acids compared to 139 for Mp1, and these effectors share a

percentage sequence identity of 56.12% (Fig. 1B, Supplemental Fig. S1). Lastly, Mp58

and Rp58 contain 152 and 155 amino acids, respectively, share 64.94% sequence

identity, and are most divergent in the C-terminal region of the protein (Fig. 1C,

Supplemental Fig. S1).

Effector gene expression varies between aphid species but is consistent across
host and non-/poor-host interactions

We were interested in assessing how gene expression of the three effector pairs was

affected in the two different aphid species, *M. persicae* and *R. padi* upon exposure to host,

non-/poor-host plants and artifical diet. We made use of previously generated aphid

RNAseq datasets (Thorpe et al., 2018) to investigate gene expression of our effectors of

interest by plotting their gene counts across different treatments (exposure to diet, host

and poor/nonhost plants) and timepoints (3h and 24h exposure). All 6 aphid effectors were

expressed with only limited variation in expression across the different aphid treatments

and timepoints (Fig. 2). Whilst the three selected effectors from *M. persicae* displayed

more similar gene expression levels compared to one another, ranging from 280 counts for

MpC002 to 904 counts for Mp1 (Fig. 2B and C), the different effectors from *R. padi*

showed a wider range of expression. For instance, gene counts varied from 271 for

RpC002 to 2112 for Rp1 over the various treatments and timepoints (Fig. 2A). .

129 **Similar subcellular localization of putative orthologous effectors from *M. persicae***

130 **and *R. padi* in *N. benthamiana***

131 The subcellular localization of effectors can provide important information on the cellular

132 compartment that is targeted by these proteins. We hypothesised that effector variants

133 from different aphid species may have different subcellular localization patterns when

134 expressed in a host or in a non-host plant due to divergence of potential host targets. We

135 transiently expressed GFP-effector fusion proteins (N-terminal GFP tag) for the 3 effector

136 pairs in leaves of *N. benthamiana*, which is a host for *M. persicae*, but a non-host for *R.*

137 *padi*. Western blotting showed that all GFP-fusion proteins were expressed, but that two of

138 the *R. padi* effectors, RpC002 and Rp58, were less expressed compared to MpC002 and

139 Mp58 from *M. persica*e, respectively (Supplemental Fig. S2), with RpC002 only detected

140 once in three biological replicates (Supplemental Fig. S2). In contrast, *M. persicae* effector

141 Mp1 was less expressed compared to its putative orthologue Rp1 from *R. padi*

142 (Supplemental Fig. S2). We detected GFP signal corresponding to the MpC002- and

143 RpC002-fusion proteins by confocal microscopy at the plasma membrane, and in some

144 cases, a weak signal was present in the nucleus or the cytoplasm (Fig. 3A and

145 Supplemental Fig. S3). It should be noted that expression of RpC002 was very low,

146 especially compared to MpC002 (Supplemental Fig. S2), and only a few transformed cells

147 were visible. We validated the plasma membrane localization of RpC002/MpC002

148 effectors upon co-expression with a plasma membrane marker (Nelson et al., 2007) (Fig.

149 3A). Both the Mp1/Rp1 and Mp58/Rp58 pair were detected in the cytoplasm and nucleus,

150 similar to the free GFP control (Fig. 3B and Fig. 3C). Similarly, we tried to express tagged

151 effectors in barley epidermal cells using particle bombardment, but due to low signal we

152 were unable to reliably localize effectors in this system. Overall, members of the predicted

153 orthologous effector pairs show similar subcellular localization patterns in *N. benthamiana*,

154 but show differences in protein expression and/or stability in this plant species.

Specific versus conserved effects of putative orthologous aphid effectors on host

susceptibility to *M. persicae*

To assess whether the members of the 3 predicted orthologous effector pairs similarly

impacted host susceptibility to *M. persicae*, we performed aphid performance assays on *N.*

benthamiana leaves transiently expressing the different effectors under the control of a

35S promoter. In line with previous reports (Bos et al., 2010; Pitino and Hogenhout, 2013),

we found that ectopic expression of MpC002 significantly increased the number of *M.*

persicae nymphs produced per adult by 27% (One-way ANOVA post-hoc Fisher's

protected Least Significant Differences (LSD); $p > 0,05$) (Fig. 4A). In contrast, RpC002 did

not alter *N. benthamiana* host susceptibility to *M. persicae*. Western blot analyses of GFP-

MpC002 and GFP-RpC002 showed that RpC002 protein is detected at a much lower level

than MpC002 (Fig. S2), which likely accounts for the observed difference in affecting plant

susceptibility. No significant differences in host susceptibility were noted upon expression

of Mp1 from *M. persicae* and Rp1 from *R. padi* (Fig. 4B), in line also with a previous report

that transient expression of Mp1 under the 35S promoter in *N. benthamiana* does not

affect susceptibility (Bos et al., 2010). The expression of Mp58, but not Rp58, resulted in

significantly lower *M. persicae* nymph production compared to the vector control, with 55%

and 27% less nymphs being produced per adult, respectively (One way ANOVA post-hoc

Fisher's protected Least Significant Differences (LSD); $p > 0,05$) (Fig. 4C).

Expression of RpC002 and Rp1 in transgenic barley enhances susceptibility to *R.*

padi

Aphid effector characterization studies to date have focused on dicot plant species. With

R. padi being a major pest of cereals, we aimed to extend aphid effector characterization

studies to the monocot crop barley to explore the contribution of *R. padi* effectors to host

susceptibility. We generated barley transgenic lines in the cultivar Golden Promise to

ectopically express the three *R. padi* effectors Rp1, RpC002 and Rp58 using a modified

version of the pBRACT214 vector (Colas et al., 2019), containing the ubiquitin promoter

from maize to allow constitutive expression in all plant organs

(http://www.bract.org/constructs.htm#barley). To determine where candidate genes of

interest are potentially expressed when transformed into barley using this pBRACT214m

construct, we performed GUS-staining on different plant tissues, such as leaves, stems,

spikes and roots of a barley transgenic line generated by transformation with

pBRACT214m:GUS (Supplemental Fig. S4). We observed GUS expression in all tissues,

with particularly strong expression in leaf vascular tissues and roots (Supplemental Fig.

S4). After barley transformation, we obtained 13 independent lines for the RpC002

effector, 4 lines for the Rp1 effector, and 16 lines for Rp58. In the first generation, lines

with a single effector insertion were selected based on around 75% survival on

Hygromycin (hygromycin phosphotransferase is the pBRACT selection marker), yielding 8

independent transgenic lines for RpC002, 3 lines for Rp1, and 7 lines for Rp58. The

presence of effector coding sequences (lacking the signal peptide encoding sequence)

was confirmed in the T0 generation by semi quantitative RT-PCR (data not shown) and

was verified in the T1 generation by qRT-PCR (Fig. S5). We did not observe any visual

differences in plant growth and development for any of the transgenic lines selected (Fig.

S5). Three homozygous T3 lines per effector construct were selected for aphid

performance assays with *R. padi* and *M. persicae* to assess how barley host and poor-host

interactions with aphids were affected. Each plant was infested with two nymphs and

reproduction was assessed after 11 days for *R. padi* and after 14 days for *M. persicae.*

For *M. persicae* we did not find consistent significant differences in aphid performance on

the barley transgenic lines expressing the *R. padi* effectors compared to the wild-type

control (Fig. 5). For one of the Rp1 lines, Rp1_2A, however, we noted increased nymph

production (Fig. 5B). Line Rp1_2A was also the highest Rp1 expressing line we identified

(Supplemental Fig. S5), and additional lines with similar expression levels would need to

be identified to rule out the possibility that the insertion site in this line causes the

enhanced susceptibility phenotype.

Ectopic expression of both RpC002 and Rp1 in transgenic barley lines enhanced

susceptibility to *R. padi* (Fig. 6A and B). Specifically, two out of three independent RpC002

barley lines, RpC002_1A and RpC002_2A, showed 16% and 12% increased nymph

production compared to the wild-type control, respectively (One way ANOVA post-hoc

Fisher's protected Least Significant Differences (LSD); p>0,05) (Fig. 6A). The transgenic

line with the strongest susceptibility phenotype (RpC002_1A) also showed the highest

RpC002 expression level (Fig. 6A; Supplemental Fig. S5). In addition, all three

independent Rp1 barley lines showed enhanced susceptibility to *R. padi* with an increased

nymph production of 11-22% across lines compared to the wild-type control (One way

ANOVA post-hoc Fisher's protected Least Significant Differences (LSD); p>0,05) (Figure

6B). Also, we observed a correlation between the level of effector gene expression and

impact on host susceptibility to aphids, with the lines showing the most pronounced

susceptibility phenotype towards *R. padi* (Rp1_2A and Rp1_3B) also showing the higher

Rp1 transcript levels (Fig. 6B; Supplemental Fig. 4).

No differences were observed for the Rp58 transgenic lines, which showed susceptibility

levels similar to the wild-type control.

Rp1 suppresses defence signalling in transgenic barley lines

To gain further insight into how Rp1 may enhance barley host susceptibility to aphids, we

investigated the basal and induced expression levels of defence-related genes in the three

independent transgenic lines we generated (lines 2A, 3B and 4E), compared to the wild-

type cultivar Golden Promise. Based on our previous work (Escudero-Martinez et al.,

2017) we selected barley genes strongly induced upon *R. padi* infestation: antimicrobial

peptide beta-thionin (AK252675), cysteine proteinase SAG12-like (MLOC_74627.1), a

jasmonate ZIM domain gene 3 (JAZ3, MLOC_9995), lipoxygenase 2 (LOX2,

MLOC_AK357253) and the jasmonate-induced gene (JI, MLOC_15761). We further

expand our selected genes set based on markers of different hormone signalling

pathways, with focus on the jasmonate pathway, which is strongly activated upon aphid

infestation (Escudero-Martinez et al., 2017): lipoxygenase 5 (LOX5, MLOC_71948), the

WRKY transcription factor 50 (WRKY50, MLOC_66204), the allene cyclase oxydase

(AOC, MLOC_68361) and jasmonate-induced gene 2 (JI2, MLOC_56924) markers for

jasmonate; but also the salicylic acid marker non-expressor of pathogenesis-related 1-like

(NPR1, AM050559.1), the ethylene-response factor 1 (EFR1, MLOC_38561) and abscisic

acid-inducible late embryogenesis abundant (A1, MLOC_72442). We analysed basal gene

expression levels as well as expression levels upon 24h and 72h exposure to clip cages

with or without aphids. It should be noted that the use of clip-cages, even when empty,

triggers changes in gene expression due to mechanical damage. Therefore, we compared

basal gene expression levels across plant lines not infested with aphids and without being

exposed to a clip-cage (Fig. 7A).

We found that expression of a gene encoding a SAG-12 like cysteine protease

(MLOC_74627.1) was most strongly reduced at basal levels in Rp1 lines compared to the

wild-type control, but differences were only significant for lines Rp1-2A and Rp1-4E,

possibly due to sample variation for line Rp1-3B (Fig. 7A). SAG12-like expression was

also reduced in the transgenic lines upon exposure to either empty clip cages (Fig. 7B and

D) or clip cages containing aphids (Fig. 7C and E), compared to wild-type plants, but not

consistently to statistically significant levels. The basal level of EFR1 expression was

slightly but significantly higher in Rp1 transgenic lines compared to the wild-type control

(Fig. 7A).

In addition, four genes (WRKY50, AOC, beta-thionin, NPR1) were significantly less

expressed across all transgenic lines compared to the wild-type control when leaves were

exposed for 24h to empty clip-cages (Fig. 7B). LOX2, JI, and JI2 showed a trend towards

reduced expression in transgenic lines, but differences were not consistently significant

across all lines (Fig. 7B). In response to clip-cages with aphids for 24h, only LOX2 showed

a significant reduction in expression in all transgenic lines, whereas JI2 reduced

expression was noticeable not consistently significant (Fig. 7C). For the 72h timepoint, 3

marker genes (beta-thionin, NPR1, EFR1) showed a significant reduction in expression in

all lines compared to wild-type plants when exposed to clip-cages containing aphids, and

similar trends were observed for WRKY50, JI, JI2 and SAG12-like (Fig. 7E). Overall, we

observed a reduction of several marker genes of defence/hormone signalling pathways

relevant to plant-aphid interactions in the Rp1 transgenic barley lines, which may translate

into their enhanced susceptibility to aphids.

Discussion

Aphids are damaging pests on cereals, including barley. Aphid effector characterization

efforts to date have focused on dicot plant species including Arabidopsis, tomato, and

Nicotiana benthamiana (Mutti et al., 2008; Bos et al., 2010; (Pitino et al., 2011; Atamian et

al., 2013; Rodriguez et al., 2014; (Pitino and Hogenhout, 2013; Elzinga et al., 2014;

Rodriguez et al., 2017; Chaudhary et al., 2019), and have not yet been described for

monocot crops. It is crucial to understand the mechanisms employed by aphids and other

insects to infest cereals, as well as to gain insight into how aphid effector function may

have diverged across different plant-aphid species interactions. Although challenging,

functional characterization of aphid effectors not only in dicot (model) plants, but also in

monocot crops, promises to reveal novel insight into effector function and evolution.

Effector diversity across different aphid species might reflect the adaptation to different

host plants (Schulze-Lefert and Panstruga, 2011). Amino acid alignments of the putative

orthologous effectors we selected showed different levels of sequence divergence which

might reflect the different lifestyles of the two aphid species *R. padi* (cereal specialist) and

M. persicae (broad host range pest). In general, the signal peptide sequences of these

effectors tend to be more conserved than their C-terminal regions, indicating divergence

mainly occurred within the functional effector domains. The NDNQGEE repeat motif, which

is absent in RpC002, was previously shown to be linked to virulence in *M. persicae*, since

MpC002 transgenic Arabidopsis lines, but not lines expressing a deletion mutant missing

the repeat motifs, showed enhanced susceptibility to aphids (Pitino and Hogenhout, 2013).

We noticed that the RpC002 protein, which lacks the NDNQGEE repeats, is less stable in

N. benthamiana, which could explain the limited impact on plant susceptibility in this plant

species. Noteworthy, within *M. persicae*, different MpC002 variants have been reported

with different numbers of the NDNQGEE repeat (Thorpe et al., 2016). The biological

significance of this repeat variation remains to be elucidated.

All selected aphid effectors were expressed regardless whether aphids were exposed to a

host, non-host plant or artificial diet. It is possible that, unlike the case for plant pathogens

where effector gene expression varies across different infections stages (O'Connell et al.,

2012; Jupe et al., 2013; Hacquard et al., 2013; Cotton et al., 2014), aphid effectors are

constitutively expressed to ensure aphids are generally ready to infest a plant. This

hypothesis is in line with other reports where no significant overall effector gene

expression variation was reported when aphids were adapted to different plant

environments (Lu et al., 2016; Mathers et al., 2017; Thorpe et al., 2018). The Rp1/Mp1

and Rp58/Mp58 pair was more similarly expressed in the two aphid species relative to the

RpC002/MpC002 effector pair. Interestingly, the Mp1- and Mp58-like effectors are co-

located in a non-syntenic region across the genomes of 5 different aphid species, and their

expression is tightly co-regulated with a large set of aphid genes, including many

(predicted) effectors such as MpC002 (Thorpe et al., 2018). Whether and how these

effectors work together to enable aphid infestation remains to be explored.

The selected putative orthologous effectors localized in the same cellular compartments,

with 2 of the pairs localizing to the cytoplasm and one pair, RpC002/MpC002, localizing to

the plasma membrane indicating these could be their sites of activity. Both the nucleus

and plant plasma membrane play key roles in activating plant defences against plant

pathogenic microbes (reviewed by Boutrot and Zipel, 2017; Motion et al., 2015). The

plasma membrane is the site of many immune receptors, such as receptor-like kinases,

required for pathogen recognition and initiation of an immune response (Boutrot and Zipel,

2017). The plasma membrane localization of MpC002/RpC002 might reflect a role in

interfering with immune receptors or any other cell membrane associated defences.

Moreover, it should be noted that effector localization using highly expressed effectors

(35S-based) may be affected by (endogenous) expression levels of their host targets. For

example, in the case where the host target is in low abundance in the epidermal cells

analysed by confocal microscopy, the highly abundant effector may not visibly localize to

the site of the endogenous target. This is the case for Mp1, which only co-localizes to

vesicles in the presence of over-expressed VPS52 (interacting host protein), with

endogenous levels of VPS52 being low in leaf tissues (Rodriguez et al., 2017).

Despite a similar subcellular localization of MpC002 and RpC002 in *N. benthamiana*, only

MpC002 enhanced plant susceptibility in this plant species to *M. persicae*. Species-

specific activity within the aphid C002 family was previously reported and linked the

presence/absence of the NDNQGEE repeat motif (Pitino and Hogenhout, 2013). Here we

show that this difference could be due to different protein stability of these effectors in *N.*

benthamiana, which may be affected by the NDNQGEE motif. In contrast, RpC002

increases barley susceptibility to *R. padi*, indicating the effector is functional when

expressed in an appropriate host plant. It is possible that some aphid effectors are less

stable in non-host plants due to the absence of interacting host proteins that do not only

facilitate effector activity but also stability.

Both Mp58 and Rp58 similarly reduced *N. benthamiana* susceptibility to *M. persicae*

pointing to a potentially conserved function of this effector pair. The reduction in

susceptibility mediated by Mp58 is in line with a report by Elzinga et al., (Elzinga et al.,

2014). Potentially, the artificially high levels of Mp58/Rp58 expression leads to an

exaggerated host targeting response and subsequent activation of defences. Alternatively,

Mp58/Rp58 was not expressed in tissues where these effectors are usually delivered and

active, or these proteins may only function in combination with additional effectors in

enhancing plant susceptibility. In contrast to our observations, Atamian et al., (Atamian et

al., 2013) reported that the putative orthologue of Mp58/Rp58 in *Macrosiphum euphorbiae*

(Me10) increased tomato and *N. benthamiana* susceptibility to the potato aphid. Perhaps

these effectors function in a different way across plant-aphid interactions.

The lack of an impact of Mp1/Rp1 on *N. benthamiana* susceptibility to *M. persicae* was not

surprising as it was previously shown that Mp1, when expressed under the 35S promoter,

does not alter plant susceptibility (Bos et al., 2010; Elzinga et al., 2014). However, when

expressed under a phloem-specific promoter, Mp1, but not Rp1, enhances *N.*

benthamiana susceptibility to *M. persicae* (Pitino and Hogenhout, 2013; (Rodriguez et al.,

2017). Interestingly, Rp1 expression in barley, driven by a ubiquitin-promoter, enhanced

barley susceptibility to *R. p*adi but not to the same extent to *M. persicae*, suggesting that

not only Mp1, but also Rp1, functions in a species-specific manner but in a different host.

In contrast to the 35S promoter in *N. benthamiana*, the maize ubiquitin promoter in

transgenic barley lines is expressed quite strongly in the plant vasculature, which likely is

the plant tissue where many aphid effectors function. Barley resistance to *M. persicae* is

likely phloem-based and barley transcriptional responses to this aphid species include a

strong activation of a specific set of defence-related genes (Escudero-Martinez et al.,

2017). It is possible that effectors from the cereal specialist *R. padi* do not affect barley

resistance mechanisms against *M. persicae* and as a result susceptibility remains

comparable to wild-type plants.

The effect of Rp1 on barley susceptibility to *R. padi* is likely linked to the suppression of

several defence genes we observed in transgenic lines expressing this effector. SAG12-

like encodes a cysteine protease involved in hypersenescence and has been implicated in

Arabidopsis PAD4-mediated defence against aphids (Pegadaraju et al., 2005). Barley

genes encoding beta-thionins contribute to defence against aphids (Escudero-Martinez et

al., 2017), as well as encoding for components of the JA signaling pathway (reviewed by

Zust and Agrawal, 2016). For example, LOX2 overexpression in barley increased

resistance towards *R. padi* and *M. persicae*, possibly by activating a group of JA-related

genes . In line with this, knock-down of lox2 in barley resulted in enhanced susceptibility to

these same aphid species (Losvik et al., 2017). The WRKY50 transcription factor also is

implicated in JA signalling, but negatively regulates JA responses while promoting SA-

induced expression of PR1 (Gao et al., 2011; Hussain et al., 2018). The mechanical

damage caused by the clip cages may have led to activation of WRKY50, at reduced

levels in Rp1 transgenic lines compared to the control. The consistent reduction of both SA

and ethylene signalling markers (NPR1 and EFR1) 72h after aphid exposure in the Rp1

transgenic, despite higher basal levels in most of the lines, suggests that defence

pathways are suppressed upon expression of the Rp1 effector. Our work represents an

important step towards understanding the function of aphid effectors promoting

susceptibility in a monocot crop. The future identification of barley host targets of effectors

like Rp1, will help us further link the observed suppression of defence gene expression to

host susceptibility and reveal the underlying mechanisms.

Material & methods

Aphid cultures

Aphids used for the experiments were raised inside cages under controlled conditions in

growth chambers (18°C, 16h light). *R. padi* was raised on *Hordeum vulgare* L. cv. Optic

and *M. persicae* (genotype O) was reared on *Brassica napus*. The aphid species used

were kindly provided by Alison Karley (JHI, UK) and Gaynor Malloch (JHI, UK).

Identification of orthologues effector pairs and plasmid construction

Effector annotation and identification of orthologs was performed as described by Thorpe

et al. 2016. Similarity searches were performed by reciprocal best BLAST hit analysis

between *R. padi* and *M. persicae* transcriptomes with the minimum thresholds of 70 %

identity and 50 % query coverage. Pair-wise sequence analysis was performed in Jalview

2.10.4 (Waterhouse et al., 2009) with T-coffee and default parameters. Signal peptide

sequences were predicted with SignalP 4.1 (Petersen et al., 2011). Coding sequences

were amplified from *R. padi* and *M. persicae* cDNAs, without the region encoding for the

signal peptide, and verified by sequencing (Primers in Supplementary Table S1). The

resulting amplicons were cloned by Gateway technology into pDONR201, pDONR207 or

pENTR_D-TOPO (Gateway®, Invitrogen). Sequence verified inserts were cloned into

different destination vectors by LR reaction. Destination vectors pB7WGF2 (35S promoter,

N-terminal GFP) and pB7WG2 (35S promoter, no tag) (Karimi, et al. 2002) were used for

transient overexpression in *N. benthamiana*, and pBRACT214m (maize ubiquitin promoter,

no tag), kindly provided by Abdellah Barakate (JHI) (Colas et al., 2019), was used for

generating transgenic barley lines.

Effector gene expression in aphids exposed to host-, non-/poor-host plants and

artificial diet

The experimental set-up for determining aphid effector expression in aphids exposed to

the different feeding environments is explained in detail in Thorpe et al., (Thorpe et al.,

2018). Briefly, aphids were exposed to an artificial diet, host, poor/nonhost plant for 3 and

24h and collected for RNA samples preparation and their transcriptome was sequenced by

RNAseq. More specifically, *R. padi* was exposed to barley (host) and Arabidopsis (non-

host), and *M. persicae* was exposed to Arabidopsis (host) and barley (poor-host). Both

aphids were also exposed to artificial diet for 3 and 24h. A total of five independent

replicates were used for this experiment and differential expression (DE) analyses was

performed as described (Thorpe et al., 2018). For each selected effector (Mp1/Rp1,

MpC002/RpC002 and Mp58/Rp58), we performed BLAST searches against the RNAseq

datasets described in Thorpe et al., 2018 to identify their corresponding gene models.

Transcripts were normalized by the fragments per kilo-base of exon per million reads

mapped (TMM-FPKM) method, which normalized the gene counts to the gene length and

the library size (Conesa et al, 2016).

Effector localization

Effectors were cloned into pB7WGF2 and the constructs were transformed into

Agrobacterium tumefaciens strain GV3101. *Agrobacterium* cells were harvested by

centrifugation (8min, 6000rpm) and resuspended in infiltration buffer (acetosyringone 125

μM and $MgCl_2$ 10mM) to an optical density of OD_{600}= 0.1. *Agrobacterium* carrying the

GFP-effector constructs were then infiltrated in *N. benthamiana* leaves. RpC002 and

MpC002 were co-expressed with a plasma membrane molecular marker (Nelson et al.,

2007) and with the p19 silencing suppressor (OD_{600} = 0.1) for improving expression and

thereby detection under the confocal microscope. All other effector pairs were infiltrated

without p19. Three days after infiltration, plants were analysed under the confocal

microscope (Zeiss LSM 510 (Jena, Germany) using a Zeiss x20/x40 lens. GFP-fusion

proteins and free GFP were imaged with the GFP and chlorophyll filter (488 nm excitation),

and co-localization with the membrane marker was analysed with GFP-RFP filter (488-

561nm excitation). The experiment was repeated four times, and the resulting images

were processed using ImageJ (Schneider et al., 2012).

Western blotting to detect GFP-fusion proteins

Effectors were cloned into the pB7WG2 vector and constructs were transformed into

Agrobacterium tumefaciens strain GV3101. *Agrobacterium* cells were treated as above

and infiltrated in *N. benthamiana* leaves to an optical density of OD_{600} = 0.3. After four

days, samples were harvested, and proteins were extracted with GTEN buffer (10%

Glycerol, 25mM Tris pH 7.5, 1mM EDTA, 150mM NaCl, 0.1% NP-40, 10mM DTT and 1x

protease inhibitor cocktail, Sigma). Western blots were incubated overnight with GFP-

antibody (Santa Cruz Biotechnology Inc, USA), for 1 hour with anti-rabbit-HRP (Santa

Cruz Biotechnology Inc, USA).

Generation of transgenic barley lines expressing *R. padi* effectors

Each of the effectors was cloned into the destination vector pBRACT214m containing the

ubiquitin promoter from maize for constitutive expression in all plant organs, and a

hygromycin marker gene for selection of transgenic lines. Constructs were transformed

into the *Agrobacterium* AGL1 strain, supplied with pSOUP, and delivered to the Functional

Genomics Facility (FUNGEN) at the James Hutton Institute for *Agrobacterium*-mediated

barley embryo transformation of the cultivar Golden Promise. After approximately four

months, we obtained different barley lines regenerated from independent calli. The T0

generation was tested for the expression of effector genes by PCR on cDNA from the

regenerated plants. RNA was extracted from T0 independent lines using the RNeasy Plant

Mini Kit (Qiagen). RNA plant samples were DNAse treated with Ambion® TURBO DNA-

free™. SuperScript® III Reverse Transcriptase (Invitrogen) and random primers were used

to prepare cDNA. The majority of these plants were positive in PCR tests using effector

gene-specific primers (Supplementary Table S1). T1 seeds were germinated on selective

media (AgarGel™- containing Hygromycin (100 µg/ml) to select for transformants. Lines

showing a 1:3 segregation, representing a single insertion (75 % survival rate on selective

media), were selected for further analyses. Universal Probe Library (UPL-Roche

Diagnostics ©) was used to quantify effector gene expression in T1 barley transgenic lines

ectopically expressing *R. padi* effectors. Barley cv Golden Promise, the background

genotype of the transgenic lines, was used as control. RNA from six different barley lines

per construct was reverse transcribed into cDNA. Probes and primers (Supplementary

Table S1) designed with the UPL System Assay Design (Roche) were tested for at least

95-105% efficiency. Internal controls were Actin-2 (MLOC_78511.2) and Pentatricopeptide

(AK373147/MLOC_80089.1) as described previously (Escudero-Martinez et al., 2017).

Three technical replicates were included for each sample. Relative expression was

calculated with the method ΔCt (Delta Cycle threshold) with primer efficiency

consideration. One of each of the transgenic effector lines was used as a reference line to

calculate the fold-change in additional lines.

Lines positive for effector expression were then bulked into T2 and screened for

homozygosity based on complete resistance to hygromycin. Three independent

homozygous lines per effector were used to perform the aphid performance assays with *R.*

padi and *M. persicae*.

M. persicae performance assays on N. benthamiana

483 Effectors were transiently expressed using vector pB7WG2 in *N. benthamiana* as

484 explained above. The empty vector pB7WG2 was used as a control. Two infiltration sites

485 per plant (n=12) were used per construct. One day after infiltration, the abaxial side of the

486 infiltration sites was exposed to two *M. persicae* adults enclosed in a clip cage. The

487 following day, adult aphids were removed leaving three 1st instar nymphs at the underside

488 of the leaves in a clip cage. Seven days later, *N. benthamiana* plants were replaced by

489 freshly infiltrated plants to ensure continued expression of effectors in the plant tissue.

490 After 14 days, the number of nymphs per adult was counted and data were analysed by

491 One way ANOVA and the post-hoc Fisher's protected Least Significant Differences (LSD)

492 (cut-off p ≤0.05).

493 **Aphid performance assays on barley transgenic lines**

494 Seven-day old transgenic barley plants expressing *R. padi* effectors were infested with two

495 1st instar age-synchronized nymphs (*M. persicae*) or with two 2-day old age-synchronized

496 nymphs (*R. padi*). Barley cv. Golden Promise wild-type plants were used as the control.

497 We used n=6-8 plants per individual transgenic line for each biological replicate (per aphid

498 species), with four biological replicates. The number of nymphs per adult was monitored at

499 11 days after infestation for *R. padi*, and after 14 days for *M. persicae*. The resulting data

500 was analysed by One-way ANOVA with post-hoc Fisher's protected Least Significant

501 Differences (LSD).

502 **Histochemical GUS staining**

503 To assess GUS expression driven by the maize ubiquitin promoter in transgenic barley

504 transformed with the pBRACT214m-GUS construct we collected different tissues and

505 stained these with 1mg/ml of X-gluc (5-bromo-4-chloro-3-indolyl-B-D-glucuronic acid,

506 Thermo Scientific, USA) in X-gluc buffer (100mM sodium phosphate buffer pH 7.0, 0.1%

507 Triton X-100, 2mM potassium ferricyanide and 2mM potassium ferrocyanide). Tissues

were vacuum-infiltrated and incubated in darkness at 37°C overnight. The next day,

chlorophyll was removed from the tissues with 1:3 acetic acid/ethanol. Pictures were taken

under the dissecting microscope with a Zeiss camera.

Quantitative RT-PCR to assess defence gene expression in Rp1 transgenic lines

Gene expression of different defence/hormone signalling pathways genes were analysed

by qRT-PCR, The transgenic barley Rp1 lines along with the control plants (cv. Golden

Promise) were pre-germinated in Petri dishes covered with wet filter paper for three days

in the dark at room temperature. Germinated seeds placed on soil and grown under

controlled conditions (8h light, 22°C, 70% humidity and 125 µmol photons/m2.s). For

basal gene expression the first leaf of the plants (n=6 per genotype) were collected and

flash frozen in liquid nitrogen. In addition, barley plants (n=6 per genotype) were exposed

to either empty clip cages or clip cages containing 30 mixed-age *R. padi* aphids. Leaf

tissues enclosed within the clip cages were collected after 24 h and 72 h. The experiment

was performed in three biological replicates and samples were harvested at the same time

of the day: barley plants were treated and collected at 12 am for the 24 h timepoint and at

3 pm for 72 h timepoint, avoiding any effects of the plant circadian cycle.

The local database *Morex genes* was used for retrieving the barely sequences and the

Roche UPL assay design centre for primer design (STable_1). The primers were tested

for efficiency (85-115%) and relative gene expression was calculated with the method

ΔΔCt (Delta-delta Cycle threshold). Three technical replicates were included for each

sample. Cycle threshold values were normalized with two reference genes,

pentatricopeptide (AK373147/MLOC_80089) and ubiquitin (AK248472). Expression of

these two reference genes was unaffected in our previous microarray experiment

(Escudero-Martinez et al., 2017). The Wilcoxon Rank Sum Test (cut-off p ≤0.05) was used

to assess differences in expression between plant genotypes and treatments.

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Author contributions

J.I.B.B. conceived and directed the project; C.E-M and J.I.B.B designed the experiments;

C.E-M, P.A.R. and P.A.S performed the experiments; C.E-M, P.A.R. and J.I.B.B. analysed

the data; J.S. generated the barley transgenic lines; C.E-M and J.I.B.B. wrote the

manuscript; all authors approved the final manuscript.

Figures

Figure 1. Pair-wise amino acid sequence alignments of effectors from *Rhopalosiphum padi* and *Myzus persicae*.

Alignments were generated using Jalview 2.10.4. The level of sequence conservation is indicated by dark (high conservation) to light purple colour (low conservation). Predicted signal peptide (Signal P4.1) sequences are underlined in black.

A) RpC002/MpC002 alignment. The 5x repeat motif (NDNQGEE) in MpC002 is underlined with different shades of red to pink.

B) Rp1/Mp1 alignment.

C) Rp58/Mp58 alignment.

A

B

C

	RpC002	Rp1	Rp58	MpC002	Mp1	Mp58
Host 3 h	287[ab]	1257[a]	1089[a]	279[a]	551[a]	338[a]
Host 24 h	292 [ab]	1339[a]	1169[ab]	368[a]	904[a]	564[b]
N-host 3 h	271[a]	1317[a]	1171[ab]	310[a]	590[a]	371[a]
N-host 24 h	364[bc]	1660[a]	1585[bc]	366[a]	817[a]	519[ab]
Diet 3 h	302[ab]	1617[a]	1471[abc]	252[a]	550[a]	349[a]
Diet 24 h	416[c]	2113[a]	1981[c]	353[a]	846[a]	564[b]

Figure 2. Effector gene expression in *Rhopalosiphum padi* and *Myzus persicae* upon exposure to different feeding environments.

A) Expression of *R. padi* effectors RpC002, Rp1 and Rp58 upon aphid exposure to barley (host), Arabidopsis (non-host) or artificial diet for 3h or 24h. The expression of transcripts was normalized by the TMM-FPKM method (fragments per kilo-base of exon per million reads mapped). Bars indicate standard error.

B) Expression of *M. persicae* effectors MpC002, Mp1 and Mp58 upon aphid exposure to Arabidopsis (host), barley (poor-host) or artificial diet. The expression of transcripts was normalized by the TMM-FPKM method (fragments per kilo-base of exon per million reads mapped). Bars indicate standard error.

C) Table displaying expression values for each effector. Letters indicate significant differences as determined by one-way ANOVA and post-hoc protected Least Significant Differences (p<0.05 *; p<0.01 ***).

Figure 3. Localization of aphid effectors in *Nicotiana benthamiana*

A) Confocal microscopy images of free GFP (empty vector, pB7WG2F), and effectors GFP-MpC002 and GFP-RpC002 (middle section) transiently overexpressed in *N.*

benthamiana. Both effectors were co-expressed with a plasma membrane marker (PM marker; Nelson et al., 2007). Merged pictures represent the overlay image of the GFP and RFP channels. Graphs represent overlay between the fluorescence intensity of the GFP (green series) and RFP (red series) channels of a particular selected region of interest (ROI) shown as a line in the merged picture. The images were taken 3 days after agroinfiltration. The co-localization was analysed by Fiji software and the plugin RGB profiler.

B) Confocal microscopy images of free GFP alone (pB7WG2F), and effectors GFP-Mp1 / GFP-Rp1.

C) Confocal microscopy images of free GFP alone (pB7WG2F), and effector GFP-Mp58 / Rp58.

Figure 4. Ectopic expression of aphid effectors in *Nicotiana benthamiana* alters susceptibility to *Myzus persicae*.

Leaves of *N. benthamiana* were agroinfiltrated with different effector constructs (35S-promoter) and infiltration sites were challenged with 3 *M. persicae* nymphs, which were allowed to develop and reproduce. Nymph production per aphid was monitored over a 14-day period, with the aphids being moved to freshly infiltrated leaves every 7 days. Empty vector was used as a control.

A) Number of nymphs produced per adult on *N. benthamiana* leaves expressing the vector control, MpC002 or RpC002.

B) Number of nymphs produced per adult on *N. benthamiana* leaves expressing the vector control, Mp1 or Rp1.

C) Number of nymphs produced per adult on *N. benthamiana* leaves expressing the vector control, Mp58 or Rp58.

Bar graphs show the average number of nymphs per adult 14 days after challenge (dac) from three independent replicates (n=12). Error bars represent the standard error and different letters indicates significant differences at $p > 0.05$. Statistical analyses were performed using one-way ANOVA post-hoc Fisher's protected Least Significant Differences ($p > 0.05$).

Figure 5. *Myzus persicae* performance on barley plants ectopically expressing different *Rhopalosiphum padi* effectors.

Transgenic barley lines were challenged with aphids alongside wild-type plants cv Golden Promise (WT). Nymph production was monitored for 14 days.

A) Nymph production per adult on transgenic barley lines expressing effector RpC002. Three independent transgenic lines were assessed: RpC002_1A, RpC002_2A and RpC002_10A.

B) Nymph production per adult on transgenic barley lines expressing effector Rp1. Three independent transgenic lines were assessed: Rp1_2A, Rp1_3B and Rp1_4E.

C) Nymph production per adult on transgenic barley lines expressing effector Rp58. Three independent transgenic lines were assessed: Rp58_5A, Rp58_8A and Rp58_11A.

Bar graphs show the average number of nymphs per adult 14 days after challenge (dac) from at least three independent replicates (n=6-8). Error bars represent the standard error and different letters indicate significant differences as determined with one-way ANOVA post-hoc Fisher's protected least significant difference test ($p > 0.05$).

Figure 6. *Rhopalosiphum padi* performance on barley plants ectopically expressing different *R. padi* effectors. Transgenic barley lines were challenged with aphids alongside wild-type plants cv Golden Promise (WT). Nymph production was monitored for 11 days.

A) Nymph production per adult on transgenic barley lines expressing effector RpC002. Three independent transgenic lines were assessed: RpC002_1A, RpC002_2A and RpC002_10A.

B) Nymph production per adult on transgenic barley lines expressing effector Rp1. Three independent transgenic lines were assessed: Rp1_2A, Rp1_3B and Rp1_4E.

C) Nymph production per adult on transgenic barley lines expressing effector Rp58. Three independent transgenic lines were assessed: Rp58_5A, Rp58_8A and Rp58_11A.

Bar graphs show the average number of nymphs per adult 11 days after challenge (dac) from at least three independent replicates (n=5-10). Error bars represent the standard error and different letters indicate significant differences as determined with one-way ANOVA post-hoc Fisher's protected least significant difference test (p>0.05).

Figure 7. Barley Rp1 lines defence-related gene expression in basal and after infestation with *Rhopalosiphum padi*.

Relative gene expression of defence-related/hormone-signalling genes was measured by qRT-PCR in control barley plants (cv. Golden Promise) and three independent barley lines expressing the *R. padi* effector Rp1.

A) Log-fold changes of barley basal gene expression (no aphids, no clip cage) in the three independent Rp1 barley lines relative to control lines (WT=0).

B) Log-fold changes of barley gene expression upon 24h exposure to empty clip cages (no aphids) in the three independent Rp1 barley lines relative to control lines (WT=0).

C) Log-fold changes of barley gene expression upon 24h exposure to clip cages with *R. padi* aphids in the three independent Rp1 barley lines relative to control plants (WT=0).

D) Log-fold changes of barley gene expression upon 72h exposure to empty clip cages (no aphids) in the three independent Rp1 barley lines relative to control lines (WT=0).

E) Log-fold changes of barley gene expression upon 72h exposure to clip cages with *R. padi* aphids in the three independent Rp1 barley lines relative to control lines (WT=0).

All gene expression analyses were based on three biological replicates, and graphs represent mean expression. Genes are represented in the graphs are: WRKY transcription factor 50 (WRKY50, MLOC_66204), lipoxygenase 2 (LOX2, MLOC_AK357253), lipoxygenase 5 (LOX5, MLOC_71948), allene cyclase oxydase (AOC, MLOC_68361), jasmonate ZIM domain gene 3 (JAZ3, MLOC_9995), jasmonate-induced gene (JI, MLOC_15761), jasmonate-induced gene 2 (JI2, MLOC_56924), beta-thionin (AK252675), cysteine proteinase SAG12-like (MLOC_74627.1), non-expressor of pathogenesis-related 1-like (NPR1, AM050559.1), the ethylene-response factor 1 (EFR1, MLOC_38561) and abscisic acid-inducible late embryogenesis abundant (A1, MLOC_72442). Black bars represent gene expression levels in Rp1-2A lines, light grey bars represent gene expression levels in Rp1-3B lines and grey bars gene expression levels in Rp1-4E lines. Asterisks indicate significant differences between control plants (WT) and Rp1 transgenic lines (Wilcoxon Rank Sum Test, $p \leq 0.05$).

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