1	The Polycomb group protein Ring1 regulates dorsoventral patterning
2	of the mouse telencephalon
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18 Summary

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Patterning of the dorsal-ventral (D-V) axis of the mammalian telencephalon is 20 fundamental to the formation of distinct functional regions including the neocortex and 21 ganglionic eminences. Morphogenetic signaling by bone morphogenetic protein (BMP), 22 Wnt, Sonic hedgehog (Shh), and fibroblast growth factor (FGF) pathways determines 23 regional identity along this axis. It has remained unclear, however, how region-specific 24 expression patterns of these morphogens along the D-V axis are established, especially 25 at the level of epigenetic (chromatin) regulation. Here we show that epigenetic 26 regulation by Ring1, an essential Polycomb group (PcG) protein, plays a key role in 27 28 formation of ventral identity in the mouse telencephalon. Deletion of the Ring1b or both *Ring1a* and *Ring1b* genes in neuroepithelial cells of the mouse embryo attenuated 29 expression of the gene for Shh, a key morphogen for induction of ventral identity, and 30 induced misexpression of dorsal marker genes including those for BMP and Wnt 31 ligands in the ventral telencephalon. PcG protein-mediated trimethylation of histone H3 32 33 on lysine-27 (H3K27me3) was also apparent at BMP and Wnt ligand genes in wild-type embryos. Importantly, forced activation of Wnt or BMP signaling repressed the 34 expression of Shh in organotypic and dissociated cultures of the early-stage 35 telencephalon. Our results thus indicate that epigenetic regulation by PcG proteins-and, 36 in particular, that by Ring1- confers a permissive state for the induction of Shh 37 expression through suppression of BMP and Wnt signaling pathways, which in turn 38 allows the development of ventral identity in the telencephalon. 39 40 Key words 41 Polycomb group protein, Ring1, dorsoventral patterning, epigenetics, neural 42 stem-progenitor cell, Sonic hedgehog (Shh), bone morphogenetic protein (BMP), Wnt 43

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46 Introduction

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In vertebrate embryos, the telencephalon is formed at the most anterior portion of the 48 developing central nervous system (CNS). The cerebral cortex (CTX) and ganglionic 49 eminences (GEs) are derived from the dorsal telencephalon (the pallium) and the ventral 50 telencephalon (the subpallium), respectively (Campbell, 2003; Hebert and Fishell, 51 2008). Whereas neural stem-progenitor cells (NPCs) in the CTX produce excitatory 52 cortical neurons, astrocytes, and some late-born oligodendrocytes, those in the GE 53 produce local neurons and glial cells that constitute the basal ganglia as well as 54 inhibitory neurons and early-born oligodendrocytes that migrate tangentially to the CTX. 55 56 Regulation of dorsal-ventral (D-V) patterning is thus fundamental to development of the 57 telencephalon. The regional identity of telencephalic NPCs along the D-V axis is determined 58 by region-specific transcription factors such as Pax6 and Emx1/2 in the CTX, Gsx2 in 59 the lateral and medial GE (LGE and MGE, respectively), and Nkx2.1 in the MGE 60 (Corbin et al., 2003; Kroll and O'Leary, 2005; Simeone et al., 1992; Sussel et al., 1999; 61 Wigle and Eisenstat, 2008). Mutual gene repression by Pax6 and Gsx2 contributes to 62 establishment of the D-V boundary (Corbin et al., 2003). Neurog1 and Neurog2, 63 proneural genes in the CTX, and Ascl1, a proneural (and oligodendrogenic) gene in the 64 GE, are expressed according to the regional identity of NPCs in mouse embryos 65 (Casarosa et al., 1999; Fode et al., 2000; Toresson et al., 2000). 66 Telencephalic regionalization along the D-V axis begins before closure of the 67 neural tube, which occurs around embryonic day (E) 9.0 in mice, and is established 68 before the onset of neurogenesis at ~E10. The initial stages of D-V patterning are 69 controlled by secreted morphogenetic signals (morphogens) that spread over various 70 distances. The combination of the activities of different morphogens gives rise to 71 distinct expression patterns of region-specific transcription factors in the telencephalon 72 73 (Gupta and Sen, 2016; Harrison-Uy and Pleasure, 2012; Lupo et al., 2006; Sur and Rubenstein, 2005), as is also the case in the vertebrate spinal cord (Andrews et al., 74 2019) and in invertebrate embryos (Briscoe and Small, 2015). Bone morphogenetic 75 proteins (BMPs), Wnt ligands, Sonic hedgehog (Shh), and fibroblast growth factor 8 76 77 (FGF8) are among the morphogens involved in D-V patterning in the mammalian telencephalon. 78 The dorsal midline regulates dorsal patterning of the telencephalon (Monuki et 79 al., 2001). BMPs (BMP4, -5, -6, and -7) are secreted from the dorsal midline and 80

81 paramedial neuroectoderm in the prospective forebrain (Furuta et al., 1997) and play

pivotal roles in such patterning through induction of target genes including Msx1,

- *Lmx1a*, and *Wnt3a* (Cheng et al., 2006; Currle et al., 2005; Fernandes et al., 2007;
- Furuta et al., 1997; J. A. Golden et al., 1999; Hebert et al., 2002; Panchision et al.,
- 2001). Knockout of BMP receptors thus results in loss of the dorsal-most structures of
- the telencephalon including the cortical hem and choroid plexus (Fernandes et al., 2007).
- 87 Wnt ligands are also expressed in the dorsal region (Wnt1, -3, -3a, and -7b in the dorsal
- telencephalic roof plate at E9.5; Wnt2b, -3a, -5a, -7b, and 8b in the cortical hem and

89 Wnt7a and -7b in the CTX at later stages) and contribute to aspects of dorsal patterning

- such as formation of the cortical hem and the CTX through induction of various
- 91 transcription factors including Lef1 as well as Emx1/2, Pax6, and Gli3, respectively
- 92 (Backman et al., 2005; Galceran et al., 2000; Harrison-Uy and Pleasure, 2012;
- Hasenpusch-Theil et al., 2012). In addition, Wnt signaling increases the activity of Lhx2,

a selector gene for the CTX (Chou and Tole, 2019; Hsu et al., 2015). Suppression of the

- 95 Wnt signaling pathway increases expression of ventral-specific genes throughout the
- dorsal pallium, indicating the importance of such signaling in dorsal patterning
- 97 (Backman et al., 2005).

98 Shh, on the other hand, plays a major role in ventral patterning of the telencephalon (Blaess et al., 2014). Shh is secreted initially from the anterior 99 mesendoderm or the prechordal plate (Aoto et al., 2009), then from the ventral 100 hypothalamus, and finally from the rostroventral telencephalon including the preoptic 101 102 area and MGE (Ericson et al., 1995; Fuccillo et al., 2004; Mathieu et al., 2002; Rohr et al., 2001; Shimamura et al., 1995). Shh signaling induces the expression of ventral 103 transcription factors such as Foxa2, Nkx2.1, and Gsx2 and suppresses the repressor 104 105 activity of Gli3, which is crucial for development of the dorsal telencephalon (Jeong and Epstein, 2003; Kuschel et al., 2003; Rallu et al., 2002; Shimamura and Rubenstein, 106 1997). FGF8 expressed in the anterior neural ridge also contributes to formation of the 107 ventral telencephalon. FGF signaling is required for ventral expression of Shh and 108 109 Nkx2.1 (Gutin et al., 2006; Shinya et al., 2001; Storm et al., 2006), and, conversely, Shh is required for maintenance of FGF8 expression at the anterior neural ridge (Hayhurst et 110 al., 2008; Ohkubo et al., 2002; Rash and Grove, 2007). 111

Expression of BMP ligands and activation of BMP signaling are confined to the dorsal midline, with this confinement being critical for development of the ventral telencephalon, given that ectopic BMP signaling can suppress the expression of ventral morphogenetic factors such as Shh and FGF8 as well as that of the ventral transcription factor Nkx2.1 in the chick forebrain (J. A. Golden et al., 1999; Ohkubo et al., 2002). It is also important that Wnt signaling be confined to the dorsal pallium, given that ectopic 118 activation of such signaling suppresses ventral specification in the developing mouse 119 telencephalon (Backman et al., 2005). Mutual inhibition between dorsal and ventral morphogenetic factors explains in part the regional confinement of BMP and Wnt 120 signaling (Huang et al., 2007; Storm et al., 2003). However, it has remained unclear 121 whether any epigenetic factors (histone modifiers) participate in the establishment and 122 maintenance of regional identity along the D-V axis of the developing telencephalon. 123 Polycomb group (PcG) proteins are repressive epigenetic factors that consist 124 of two complexes, PRC1 and PRC2. These complexes catalyze the ubiquitylation of 125 histone H2A at lysine-119 (H2AK119ub) and the trimethylation of histone H3 at 126 lysine-27 (H3K27me3), respectively (Di Croce and Helin, 2013; Simon and Kingston, 127 128 2013). PcG proteins were first identified as transcriptional repressors of Hox genes in 129 Drosophila melanogaster. These genes maintain regional identity along the anterior-posterior (A-P) axis in the fly embryo (Maeda and Karch, 2009). In mammals, 130 PcG proteins also contribute to maintenance of the A-P axis during embryogenesis 131 through repression of Hox genes in the neural tube (Chambeyron et al., 2005) as well as 132 133 through that of forebrain-related genes in the midbrain (Zemke et al., 2015). Moreover, 134 PcG proteins participate in cell subtype specification in the spinal cord (M. G. Golden and Dasen, 2012) and in the CTX in a manner dependent on temporal codes 135 (Hirabayashi et al., 2009; Morimoto-Suzki et al., 2014; Pereira et al., 2010; Sparmann et 136 al., 2013; Tsuboi et al., 2018). However, it has remained unknown whether PcG 137 138 proteins regulate D-V patterning of the mammalian CNS including the telencephalon. We now show that Ring1, an E3 ubiquitin ligase and essential component of 139 PRC1 (de Napoles et al., 2004; Wang et al., 2004), is required for formation of the 140 ventral telencephalon. Neural-specific ablation of Ring1B or of both Ring1A and 141 Ring1B thus attenuated expression of ventral-specific genes such as Gsx2, Nkx2.1, and 142 Ascl1 as well as increased that of dorsal-specific genes such as Pax6, Emx1, and 143 *Neurog1* in the telencephalon of mouse embryos. We found that *Shh* expression was 144 145 markedly reduced, whereas BMP and Wnt signaling pathways were activated, in the ventral telencephalon of such Ring1B knockout (KO) or Ring1A/B double knockout 146 (dKO) embryos. Moreover, Ring1B and H3K27me3 were found to be enriched at the 147 promoters of several BMP and Wnt ligand genes in the telencephalon of wild-type 148 (WT) embryos. Consistent with these results, forced activation of BMP or Wnt 149 signaling suppressed Shh expression in explant cultures prepared from the embryonic 150 telencephalon. Overall, our findings indicate that Ring1 establishes a permissive state 151 for Shh expression in the ventral region of the telencephalon through suppression of 152 153 BMP and Wnt signaling in this region.

156 Results

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Deletion of *Ring1* in the neuroepithelium results in morphological defects in the telencephalon

To investigate the role of PcG proteins in the early stage of mouse telencephalic 160 development, we deleted *Ring1b* with the use of the *Sox1-Cre* transgene, which confers 161 expression of Cre recombinase in the neuroepithelium from before E8.5 (Takashima, 162 2007). We confirmed that expression of the Ring1B protein in the telencephalic wall 163 was greatly reduced in *Ring1b*^{flox/flox}; *Sox1-Cre* (Ring1B KO) mice at E10 compared 164 with that in $Ring1b^{flox/flox}$ or $Ring1b^{flox/+}$ (control) mice, whereas the abundance of 165 Ring1B in tissues outside of the telencephalic wall appeared unchanged in the Ring1B 166 167 KO embryos (Figure S1A). The expression of Ring1B in the telencephalic wall was also greatly reduced in mice lacking both Ring1B and its homolog Ring1A (Ring1a⁻ 168 ^{/-};*Ring1b*^{flox/flox};*Sox1-Cre*, or Ring1A/B dKO, mice) compared with that in *Ring1a*⁻ 169 ^{/-};*Ring1b*^{flox/flox} or *Ring1a*^{-/-};*Ring1b*^{flox/+} (Ring1A KO) mice (Figure S1B). The level of 170 H2AK119ub (a histone modification catalyzed by Ring1) in the telencephalic wall was 171 172 reduced in Ring1B KO mice and, to a greater extent, in Ring1A/B dKO mice at E10 (Figure 1A–D). These results were consistent with the notion that Ring1A and Ring1B 173 have overlapping roles in H2A ubiquitylation and that Ring1B makes a greater 174 contribution to this modification than does Ring1A (Simon and Kingston, 2013). 175 We found that *Ring1b* deletion with the use of *Sox1-Cre* resulted in a 176 significant reduction in the size of the telencephalon at E11 (Figure 1E, F). Deletion of 177 Ring1b at E13.5 with the use of the Nestin-CreERT2 transgene was previously found 178

- not to substantially affect the morphology or size of the telencephalon (Hirabayashi et
- al., 2009), indicating that Ring1B functions during the early stage of telencephalon
- 181 development. The reduction in telencephalon size was also pronounced in Ring1A/B
- dKO mice compared with Ring1A KO mice (Figure 1G, H). The number of cells
- positive for the cleaved form of caspase-3 (a marker for apoptosis) in the telencephalic
- 184 wall was increased in Ring1B KO mice and, to a greater extent, in Ring1A/B dKO mice
- at E10 (Figure 1I–K), suggesting that Ring1 is necessary for the survival of
- telencephalic cells during the early stage of development and that the reduction in
- 187 telencephalic size induced by *Ring1* deletion is due, at least in part, to the aberrant
- 188 induction of apoptosis.
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Deletion of *Ring1* attenuates the expression of ventral-specific transcription factors in NPCs of the ventral telencephalon

We next investigated whether *Ring1* deletion might affect the D-V axis of the
 telencephalon by determining the expression of region-specific transcription factors. We

- examined embryos mostly at E10 given the apparently normal telencephalic size in
- 195 Ring1B KO mice at this stage. Nkx2.1 is a transcription factor specifically expressed in
- the MGE (Sussel et al., 1999), and we found that the expression of this protein was
- 197 greatly diminished in Ring1B KO mice at E10 (Figure 2A). The dorsal border of the
- 198Nkx2.1 expression domain was thus shifted ventrally and the abundance of Nkx2.1
- 199 within this domain was also reduced by *Ring1b* deletion (Figure 2B, C). The extent of
- the loss of Nkx2.1 expression appeared greater in Ring1A/B dKO mice than in Ring1B
- KO mice (Figure 2D–F). In addition to its effect on Nkx2.1 expression at the protein
- level, *Ring1b* deletion resulted in marked down-regulation of *Nkx2.1* mRNA in CD133⁺
- NPCs isolated from the GE of the telencephalon at E11 (Figure 2I). These results thus
 indicated that Ring1 is necessary for ventral expression of the MGE marker Nkx2.1.
- We also examined the expression of Gsx2, which is highly enriched in the LGE 205 and whose mRNA is present in both the LGE and MGE (Toresson et al., 2000). 206 207 Immunostaining indeed revealed the expression of Gsx2 protein within nuclei of NPCs 208 in the LGE of control mice at E10, whereas such expression was markedly attenuated in Ring1A/B dKO mice (Figure 2G, H). Moreover, *Ring1b* deletion significantly reduced 209 the level of Gsx2 mRNA in CD133⁺ NPCs isolated from the GE of the telencephalon at 210 E11 (Figure 2I). These results together indicated that Ring1 plays a role in expression of 211 212 ventral transcription factors in the ventral region of the telencephalon.
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Deletion of *Ring1* increases the expression of CTX-specific transcription factors in NPCs of the ventral telencephalon

- We then investigated whether deletion of Ring1 affects the expression of dorsal-specific 216 transcription factors in the developing telencephalon. Pax6 contributes to development 217 of the CTX, with its expression being restricted to the dorsal pallium in mice (Corbin et 218 219 al., 2003). However, we found that the expression of Pax6 extended to the ventral region of the telencephalon in Ring1B KO mice as well as in Ring1A/B dKO mice 220 (Figure 3A, B, data not shown). Indeed, the dorsoventral gradient of Pax6 expression 221 was shallower in Ring1B KO mice than in control mice (Figure 3C). We also examined 222 the role of Ring1 in regulation of Emx1, another CTX-specific transcription factor 223 (Simeone et al., 1992). Deletion of *Ring1b* increased the amount of *Emx1* mRNA in 224 CD133⁺ NPCs isolated from the GE of the telencephalon at E11 (Figure 3D). These 225 results together indicated that Ring1 suppresses the expression of dorsal transcription 226
- 220 results together indicated that Kingr suppresses the expression of dorsal transcription
- 227 factors in the ventral region of the telencephalon and thus prevents "dorsalization" of

this region during the early stage of development. Of note, deletion of *Ring1b* with the

- use of the *Foxg1-IRES-Cre* transgene (that is, from ~E9.0) did not appear to promote
- dorsalization of the ventral telencephalon (data not shown), revealing a time window for
- 231 sensitivity to Ring1-dependent D-V regionalization.
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Deletion of *Ring1* confers dorsalized expression patterns of proneural genes in the ventral telencephalon

- Given that *Ring1* deletion appeared to induce dorsalization of the expression patterns of transcription factors related to NPC specification along the D-V axis, we examined the
- 237 expression of proneural genes that contribute to region-specific neuronal differentiation.
- 238 The basic helix-loop-helix proteins Neurog1 and Ascl1 are pallium- and
- subpallium-specific proneural factors, respectively (Casarosa et al., 1999; Fode et al.,
- 240 2000). There was thus little overlap of Neurog1 and Ascl1 expression at the
- 241 pallium-subpallium boundary of control mice at E10 (Figure 4A). However, deletion of
- *Ring1b* resulted in a ventral shift of the ventral border of Neurog1 expression and a
- 243 marked overlap of Neurog1 expression with Ascl1 expression in the ventral region
- 244 (Figure 4A–C, Figure S2), again suggesting that loss of Ring1 induces dorsalization of
- the early-stage telencephalon. Deletion of *Ring1b* did not obviously shift the dorsal
- border of Ascl1 expression (Figure 4C) but significantly reduced the level of Ascl1
- within the LGE (Figure 4D). In Ring1A/B dKO mice, the border of the Neurog1 $^+$ region
- was also shifted ventrally (Figure 4E), and the level of Ascl1 protein in the ventral
- region appeared to be reduced to a greater extent than in Ring1A KO or Ring1B KO
- 250 mice (Figure 4F). These results supported the notion that Ring1 plays a pivotal role in 251 the establishment of ventral identity in the early stage of telencephalic development.
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Ring1 suppresses BMP and Wnt signaling pathways in the early-stage ventral telencephalon

- 255 We next investigated whether *Ring1* deletion affects the gene expression profile of
- 256 NPCs in the ventral telencephalon. Transcripts isolated from CD133⁺ NPCs derived
- from the GE of the control or Ring1B KO telencephalon at E11 were subjected to RT,
- and the resulting cDNA was amplified with the use of the Quartz protocol (Sasagawa et
- al., 2013) and subjected to high-throughput sequencing analysis (Figure 5A).
- 260 Differentially expressed genes were determined with the use of *edgeR* of the *R* package
- 261 (McCarthy et al., 2012; Robinson et al., 2010). We identified more up-regulated genes
- 262 (953) than down-regulated genes (238) in ventral NPCs from Ring1B KO mice
- 263 compared with those from control mice (Figure 5B, C), consistent with the general role

of PcG proteins in gene repression. Importantly, the expression of genes for

- dorsal-specific transcription factors such as *Emx1*, *Emx2*, and *Msx1* was up-regulated,
- whereas that of genes for ventral-specific transcription factors such as *Nkx2.1* and *Olig2*
- 267 (Lu et al., 2000; Takebayashi et al., 2000) was down-regulated, in the NPCs from
- 268 Ring1B KO mice (Figure 5B, C, Supplementary Table 1). These results thus confirmed 269 the role of Ring1 in suppression of the dorsalization of ventral NPCs.

To shed light on the mechanism by which Ring1 establishes (or maintains) 270 ventral identity in ventral telencephalic NPCs, we performed KEGG (Kvoto 271 Encyclopedia of Genes and Genomes) pathway analysis for both the up-regulated and 272 down-regulated gene sets (Figure 5D, E). Among the genes whose expression was 273 274 up-regulated by *Ring1b* deletion, pathway analysis revealed an enrichment of categories 275 such as pathways in cancer, extracellular matrix (ECM)-receptor interaction and focal 276 adhesion (Figure 5D). This enrichment may be due in part to derepression of protocadherin-y and collagen family genes in Ring1B-deficient NPCs compared with 277 control NPCs (Supplementary Table 1). Furthermore, we found that Hippo, Wnt, and 278 279 transforming growth factor- β (TGF- β) signaling pathways were also enriched among 280 the genes whose expression was up-regulated by *Ring1b* deletion (Figure 5D). The up-regulated genes categorized in the Hippo signaling pathway included genes related 281 to BMP and Wnt signaling pathways (Figure 5F). Of interest, the BMP inhibitor gene 282 Bmper was among the top 10 down-regulated genes (Figure 5C). RT-qPCR analysis 283 284 also showed that the expression of Bmp4 and Id1, which are major components of BMP signaling, as well as that of Wnt7b, Wnt8b, and Axin2, which are major components of 285 Wnt signaling, were increased in Ring1B-null NPCs compared with control NPCs 286 287 (Figure 5G). These results together indicated that Ring1B suppresses BMP and Wnt signaling pathways in NPCs of the ventral telencephalon at E11. 288

We also monitored the activity of the Wnt signaling pathway by examining the 289 distribution of Axin2 mRNA with in situ hybridization analysis. The highest level of 290 291 Axin2 expression is normally confined to the dorsal midline (presumptive cortical hem), 292 with a lower level of expression also occurring in the pallium (presumptive neocortex) of the early-stage telencephalon. However, the region showing the greatest abundance 293 of Axin2 mRNA at E10 was expanded in Ring1A/B dKO mice compared with Ring1A 294 295 KO mice (Figure 6A, B), suggesting that Ring1 suppresses the activity of Wnt signaling outside of the dorsal midline in the telencephalon, with the exception of the most ventral 296 region. We also examined the distribution of Id1 protein as a marker of the activity of 297 the BMP signaling pathway. The highest level of Id1 expression is normally confined to 298 299 the dorsal midline in the early-stage telencephalon, and again deletion of Ringla and

- 300 *Ring1b* resulted in expansion of this region to the entire ventricular wall of the
- telencephalon at E10 (Figure 6C-E). These results suggested that Ring1 suppresses the 301
- expression of Id1, and therefore possibly the activity of BMP signaling, outside of the 302
- dorsal midline at the early stage of telencephalic development. 303
- 304

305 **Ring1** promotes *Shh* expression and activates the Shh signaling pathway in the 306 early-stage telencephalon

- 307 In contrast to the genes whose expression was up-regulated in Ring1B-null NPCs.
- KEGG pathway analysis revealed an enrichment of hedgehog signaling pathway among 308
- the down-regulated genes (Figure 5E). Consistent with this observation, we found that 309
- 310 the expression levels of genes related to Shh signaling-such as Gli1, Gli2, Ptch1, and
- 311 Ptch2—were significantly lower in ventral NPCs from Ring1B KO mice compared with
- those from control mice at E11 (Figure 7A, B), suggesting that Ring1B is essential for 312
- activation of Shh signaling in ventral NPCs at this early stage of telencephalic 313 development.
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- 315 Given the attenuated expression of Shh target genes in Ring1B-deficient 316 ventral NPCs, we examined whether deletion of *Ring1b* might affect the expression of Shh. In situ hybridization analysis of mice at E10 revealed that Ring1b deletion 317 markedly reduced the abundance of Shh mRNA (Figure 7C, D), which is normally 318 found at the ventral midline (presumptive preoptic area) of the developing 319 320 telencephalon at this stage (Figure 7C). Furthermore, Shh expression was not apparent in the telencephalon of Ring1A/B dKO embryos (Figure 7E). These results together 321 indicated that Ring1 is required for expression of Shh, the major ventral morphogen, 322 323 which might explain the overall dorsalization phenotype of the Ring1-deficient telencephalon. 324

It remained unclear, however, whether the down-regulation of Shh target gene 325 326 expression induced by *Ring1* deletion was due simply to the attenuation of *Shh* 327 expression or was also due to an inability of NPCs to express these genes in response to Shh signaling. We therefore prepared in vitro cultures of telencephalic NPCs at E10 and 328 examined their responsiveness to Shh signaling by the addition of a Smoothened agonist 329 (SAG) for 24 h. The extent of the induction of the Shh target genes *Gli1* and *Ptch1* was 330 331 similar for NPCs isolated from Ring1B KO mice and from control mice (Figure 8A-C), suggesting that *Ring1b* deletion did not substantially affect the regulation of these Shh 332 target genes and that the regulation of Shh expression itself is crucial for 333 Ring1-dependent ventral identity. 334

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How does Ring1 promote Shh expression? Given the general role of PcG

336 proteins in gene repression, it was plausible that Ring1 indirectly increases Shh 337 expression through repression of genes whose products inhibit Shh expression. Implantation of beads soaked with recombinant BMP in the anterior neuropore of chick 338 embryos was previously shown to inhibit Shh and Nkx2.1 expression (J. A. Golden et al., 339 1999; Ohkubo et al., 2002). Furthermore, forced activation of canonical Wnt signaling 340 by expression of a stabilized form of β -catenin was found to result in repression of 341 ventral marker genes such as Nkx2.1 in the mouse subpallium (Backman et al., 2005). It 342 was therefore possible that activation of BMP and Wnt signaling pathways might 343 344 account for the down-regulation of Shh expression in Ring1-deficient mice, although it remained unclear whether Wnt signaling alone is able to regulate the level of Shh 345 346 expression. We therefore examined whether activation of Wnt signaling can reduce the 347 level of *Shh* mRNA in collaboration with BMP signaling in dissociated (monolayer) cultures and explant cultures prepared from the telencephalon of WT mice at E9. The 348 addition of an activator of canonical Wnt signaling (the glycogen synthase kinase 3 349 inhibitor CHIR-99021) indeed significantly reduced the abundance of Shh mRNA in the 350 dissociated telencephalic culture and, to a greater extent, in the explant culture (Figure 351 352 8D-G) under the condition. Moreover, exposure to both BMP4 and CHIR-99021 tended to have a greater effect on the amount of Shh mRNA in the dissociated culture than did 353 either agent alone (Figure 8E, G). The activation of BMP and Wnt signaling pathways 354 may therefore cooperate to suppress the expression of Shh in the telencephalon at this 355 early stage of development, consistent with the notion that the Ring1-dependent 356 establishment of ventral identity is mediated by suppression of these signaling 357 358 pathways.

359

BMP and Wnt ligand genes are direct targets of PcG proteins in early-stage telencephalic NPCs

362 Given the dysregulation of BMP and Wnt ligand gene expression induced by *Ring1*

deletion, we next examined whether these genes are direct targets of PcG proteins by

- 364 performing chromatin immunoprecipitation (ChIP)–qPCR assays for H3K27me3, a
- histone modification catalyzed by PRC2, as well as for Ring1B with the telencephalon
- of WT mice at E9. We indeed detected significant or nearly significant deposition of
- H3K27me3 at the promoters of *Bmp4*, *Bmp7*, *Wnt7b*, and *Wnt8b* at levels similar to
- those apparent at the promoters of *Hoxa1* and *Hoxd3*, which were examined as positive
- 369 controls (Figure 9B). Ring1B was found to be enriched at the promoters of *Bmp4* and
- 370 Wnt8b, but not at those of Bmp7 and Wnt7b (Figure 9C), suggesting that PcG proteins
- directly regulate the expression of at least *Bmp4* and *Wnt8b* in the early-stage

372 telencephalon.

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375 Discussion

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Morphogenetic signals and their downstream transcription factors determine regional 377 identity along the D-V axis in the developing telencephalon. Mutual inhibition between 378 such signaling plays a pivotal role in segregation of regional identity, but the 379 contribution of epigenetic mechanisms that control the permissiveness for 380 transcriptional activation to this telencephalic D-V regionalization have remained 381 largely unknown. We have now found that Ring1A and Ring1B, core components of 382 PRC1, play an essential role in establishment of the spatial expression patterns of 383 morphogenetic signals and transcription factors along the D-V axis and in consequent 384 385 regionalization of the telencephalon at the early stage of development. Our results thus 386 indicate that Ring1 is required for expression of Shh in the ventral telencephalon and that the ablation of *Ring1* results in dorsalization of the ventral telencephalon. This 387 dorsalization phenotype of the Ring1-deficient telencephalon is likely due in part to 388 down-regulation of the ventralizing morphogen Shh, given that the inactivation of Shh 389 390 gives rise to morphological and molecular phenotypes similar to those associated with 391 *Ring1* deletion, including the induction of a rounder shape and malformation of the dorsal midline in the telencephalic wall (Chiang et al., 1996; Rallu et al., 2002), 392 increased apoptosis (Aoto et al., 2009), and attenuated expression of ventral 393 transcription factors such as Nkx2.1, Gsx2, and Ascl1 (Blaess et al., 2014). Moreover, 394 395 our results indicate that Ring1 is required for suppression of BMP and Wnt signaling pathways and that genes for BMP and Wnt ligands are direct targets of Ring1 and other 396 PcG proteins. Together with the observations that ectopic activation of BMP signaling 397 (J. A. Golden et al., 1999; Ohkubo et al., 2002) or Wnt signaling (this study) is able to 398 suppress the transcription of Shh in the developing telencephalon, these results suggest 399 that Ring1 suppresses BMP and Wnt signaling in the telencephalon (outside of the 400 401 dorsal midline) and thereby generates a permissive state for Shh expression, which is 402 essential for establishment of ventral identity (Figure 10). In addition to the activation of Shh expression, suppression of BMP and Wnt signaling pathways per se may 403 contribute to the Ring1-mediated establishment of ventral identity by a Shh-independent 404 mechanism (Figure 10). 405

Deletion of *Ring1* not only induced dorsalization of NPC identity in the
telencephalon but also resulted in aberrant expression patterns of proneural genes. Ascl1
and Neurog1 are expressed in a mutually exclusive manner in WT embryos, in part as a
result of the repression of *Ascl1* expression by Neurog1 and Neurog2 (Fode et al., 2000).
However, we found that *Ring1b* deletion resulted in a marked increase in the number of

cells positive for both Neurog1 and Ascl1. Given that H3K27me3 and H2AK119ub

- 412 were previously shown to be deposited at the promoters of *Neurog1* and *Ascl1* in
- 413 early-stage NPCs (Hirabayashi et al., 2009; Tsuboi et al., 2018; data not shown), PcG
- 414 proteins may participate in the mutually exclusive inhibition of *Neurog1* and *Ascl1*
- expression and thereby regulate the segregation of neurogenic properties between
- 416 NPCs.

Deletion of *Ring1b* with the use of the *Nestin-CreERT2* transgene, which 417 confers Cre expression in the entire CNS at E13.5 (Hirabayashi et al., 2009), or deletion 418 419 of the gene for the histone methyltransferase Ezh2 with the *Emx1-Cre* transgene, which is expressed in the dorsal telencephalon from E10.5 (Gorski et al., 2002; Pereira et al., 420 421 2010), has been shown to induce neurogenesis through derepression of neurogenic 422 genes (Tsuboi et al., 2018). With the use of the Sox1-Cre transgene, which is expressed in the neuroepithelium from before E8.5 (Takashima et al., 2007), we have now 423 examined the role of Ring1 in the early stage of telencephalic development, before the 424 onset of the neurogenic phase. During this early stage (for example, at E9), we did not 425 426 detect promotion of neurogenesis (data not shown), suggesting that a 427 Ring1-indpendent mechanism is responsible for the suppression of neurogenesis at this time. Of interest, deletion of *Ring1b* with the use of the *Foxg1-IRES-Cre* transgene, 428 which is expressed in the entire telencephalon from ~E9.0 (Kawaguchi et al., 2016), did 429 not appear to induce dorsalization of the ventral telencephalon (data not shown), 430 431 suggesting that Ring1-mediated D-V patterning of the telencephalon takes place only during the early stage of development, although the mechanism underlying this 432 temporal restriction remains unclear. 433

A key related question is how PcG proteins are recruited to specific genes in 434 specific regions of the telencephalon at specific times. Deletion of *Ezh2* in the dorsal 435 midbrain with the use of the Wnt1-Cre transgene was previously shown to result in 436 inhibition of Wnt signaling and to promote telencephalic identity at ~E11.5 (that is, 437 438 rostralization) (Zemke et al., 2015), in contrast to our finding that *Ring1* deletion activates Wnt signaling in the early-stage telencephalon. This previous study also 439 showed that *Ezh2* deletion increased the expression of *Wif1* and *Dkk2*, both of which 440 encode inhibitors of the Wnt signaling pathway, and that H3K27me3 was deposited at 441 these gene loci in WT embryos, suggesting that PcG proteins contribute to Wnt 442 activation by repressing these Wnt inhibitor genes in the dorsal midbrain. Mechanisms 443 by which recruitment of PcG proteins is regulated in a tissue-, cell type-, or 444 stage-specific manner warrant clarification in future studies. 445

446

BMP signaling has been shown to be important for establishment of the dorsal

447 midline and its activity to be confined to this region (Hebert et al., 2002; Panchision et

- 448 al., 2001; Roy et al., 2014). The relevance of the absence of BMP signaling outside of
- the dorsal midline has not been known, however. Our results now suggest that
- 450 suppression of BMP signaling outside of the dorsal midline is required for the
- 451 expression of *Shh* at the ventral midline and that Ring1 mediates this suppression and
- thereby sets up a permissive state for *Shh* expression. Given that the suppression of
- 453 BMP signaling is necessary for neural induction of ectoderm (Wilson and
- 454 Hemmati-Brivanlou, 1995), its onset may occur before formation of the prospective
- 455 forebrain. BMP signaling–related targets of PcG proteins identified in embryonic stem
- 456 cells may be involved in this early process (Shan et al., 2017). The observed increase in
- 457 Id1 expression in the telencephalon (outside of the dorsal midline) in response to *Ring1*
- deletion from before E10 suggests that BMP signaling remains repressed in this region

but becomes derepressed at the dorsal midline, although the mechanisms underlying thisdifference remain unknown.

We found that the targets of PcG proteins in the early-stage telencephalon 461 462 include the genes for BMP and Wnt ligands. Of interest, we detected Ring1B binding to 463 BMP and Wnt ligand gene loci in the telencephalon at E9, but the extent of this binding appeared less than that evident at Hox gene loci. In contrast, the levels of H3K27me3 464 deposition were similar for these two sets of loci. This difference may be due to the 465 operation of different modes of PcG-mediated repression (Tsuboi et al., 2018). Future 466 studies are required to reveal which PcG complexes are responsible for the repression of 467 BMP and Wnt ligand genes. 468

The robust maintenance of the A-P axis through suppression of *Hox* gene expression by PcG proteins has been well established from flies to mammals (Montavon and Soshnikova, 2014). We now propose that PcG proteins also play an essential role in formation of the D-V axis in the early stage of mouse telencephalic development. Our study thus sheds light on the role of chromatin-level regulation in regionalization of the brain that is dependent on developmental genes that are not necessarily clustered like *Hox* genes.

476 477

16

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- 490

491 Author Contributions

- 492 H.E., Y.K., and Y.G. designed the study and wrote the manuscript. H.E. and Y.K.
- 493 performed the experiments and analyzed the data. H.K. generated *Ring1a*⁻
- 494 ^{/-};*Ring1b*^{flox/flox} mice. Y.K. and Y.G. supervised the study.
- 495

496 **Declaration of Interests**

- 497 The authors declare no competing interests.
- 498
- 499

500 Figure Legends

501

Figure 1. Deletion of *Ring1* in neural tissues results in morphological defects in the telencephalon

- 504 (A, C) Coronal sections of the brain of control ($Ring1b^{flox/flox}$ or $Ring1b^{flox/+}$) or Ring1B
- 505 KO (*Ring1b*^{flox/flox}; *Sox1-Cre*) mice (A) or of Ring1A KO (*Ring1a*^{-/-}; *Ring1b*^{flox/flox} or
- 506 $Ring1a^{-/-};Ring1b^{flox/+})$ or Ring1A/B dKO ($Ring1a^{--};Ring1b^{flox/flox};Sox1-Cre$) mice (C)
- at E10 were subjected to immunohistofluorescence analysis with antibodies to
- 508 H2AK119ub. Nuclei were counterstained with Hoechst 33342. Scale bars, 200 µm.
- 509 (B, D) The ratio of the average immunostaining intensity of H2AK119ub for the entire
- telencephalon to that for nonneural tissues adjacent to the ventral telencephalon was
- 511 determined as relative intensity (A.U., arbitrary units) for images similar to those in (A)
- and (C). Multiple sections of the telencephalon along the rostrocaudal axis were
- 513 examined for each embryo. Data are means \pm s.e.m. of values for three embryos. ***P <
- 514 0.001 (two-tailed Student's unpaired t test).
- 515 (E, G) Images of the brain of control or Ring1B KO mice (E) or of Ring1A KO or
- 516 Ring1A/B dKO mice (G) at E10 and E11. Scale bars, 1 mm.
- 517 (F, H) Quantification of the lateral projected area of the telencephalon at E10 and E11
- for images similar to those in (E) and (G). Data are means \pm s.e.m. of averaged values
- from four litters (2-9 embryos per litter). **P < 0.01, ***P < 0.001; ns, not significant
- 520 (two-tailed Student's paired *t* test).
- 521 (I, K) Coronal sections of the brain of control or Ring1B KO mice (I) or of Ring1A KO
- 522 or Ring1A/B dKO mice (K) at E10 were subjected to immunohistofluorescence analysis
- 523 with antibodies to the cleaved form of caspase-3. A region (200 by 300 μ m) of the
- 524 ventral telencephalon corresponding to the LGE is shown for each genotype. The neural
- tissue (the telencephalic wall) is demarcated by the yellow dotted lines.
- 526 (J) The average number of cleaved caspase-3 signals in the control and Ring1B KO
- 527 mouse telencephalon was determined with coronal section images. Data are means \pm
- 528 s.e.m. from three embryos. **P < 0.01 (two-tailed Student's unpaired *t* test).
- 529

Figure 2. Deletion of *Ring1* down-regulates the expression of ventral-specific transcription factors in NPCs of the ventral telencephalon

- 532 (A, D, G, H) Coronal sections of the brain of control or Ring1B KO mice (A) or of
- 533 Ring1A KO or Ring1A/B dKO mice (D, G) at E10 were subjected to
- 534 immunohistofluorescence staining with antibodies to Nkx2.1 (A, D) or to Gsx2 (G).
- 535 Nuclei were counterstained with Hoechst 33342. Boxed regions (300 by 300 µm) in (G)

- are shown at higher magnification in (H). Scale bars, 200 μm.
- 537 (B, C, E, F) Quantification of immunostaining intensity for Nkx2.1 in images similar to
- those in (A) and (D). The Nkx2.1⁺ perimeter length as a proportion of total perimeter
- length for the telencephalic wall was determined (B, E). The average Nkx2.1
- 540 immunostaining intensity within the Nkx 2.1^+ region (pixels) was also determined in
- arbitrary units (A.U.) for each section, with multiple sections of the telencephalon along
- 542 the rostrocaudal axis being examined for each embryo (C, F). Data are means \pm s.e.m.
- of values for three embryos. *P < 0.05, **P < 0.01 (two-tailed Student's unpaired *t* test).
- 544 (I) Reverse transcription (RT) and quantitative polymerase chain reaction (qPCR)
- analysis of relative *Nkx2.1* or *Gsx2* mRNA abundance normalized to β -actin mRNA in
- 546 CD133^+ NPCs isolated from the GE of control or Ring1B KO mice at E11. Data are
- 547 means \pm s.e.m. of averaged values for four litters (4-7 embryos per litter). *** P < 0.001
- 548 (two-tailed Student's paired *t* test).
- 549

Figure 3. Deletion of *Ring1* increases the expression of CTX-specific transcription factors in NPCs of the ventral telencephalon

- 552 (A, B) Coronal sections of the brain of control or Ring1B KO mice at E10 were
- subjected to immunohistofluorescence staining with antibodies to Pax6. Nuclei were
 counterstained with Hoechst 33342. Boxed regions (300 by 300 μm) in (A) are shown at
 higher magnification in (B). Scale bars, 200 μm.

556 (C) Quantification of immunostaining intensity of Pax6 for images similar to those in

- 557 (A). The telencephalic wall was divided into 10 bins, from 1 (dorsal) to 10 (ventral),
- and the average immunostaining intensity of Pax6 was determined in each bin and
- normalized by the average value for bin 4. The average intensity was determined from
- 560 multiple sections of the telencephalon along the rostrocaudal axis for each embryo. Data
- are means \pm s.e.m. of values from three embryos. *P < 0.05, **P < 0.01, ***P < 0.001
- versus the corresponding value for control mice (two-tailed Student's unpaired *t* test).
- 563 (D) RT-qPCR analysis of relative *Emx1* mRNA abundance normalized to β -actin
- 564 mRNA in CD133⁺ NPCs isolated from the ventral telencephalon of control or Ring1B
- 565 KO mice at E11. Data are means \pm s.e.m. of averaged values for four litters (4-7
- 666 embryos per litter). *** P < 0.001 (two-tailed Student's paired *t* test).
- 567

Figure 4. Deletion of *Ring1* confers dorsalized expression patterns of proneural genes in the ventral telencephalon

- 570 (A, B, E, F) Coronal sections of the brain of control or Ring1B KO mice (A) or of
- 571 Ring1A KO or Ring1A/B dKO mice (E) at E10 were subjected to

- immunohistofluorescence staining with antibodies to Neurog1 and to Ascl1. Nuclei
- 573 were counterstained with Hoechst 33342. The boxed regions (200 by 200 μ m or 300 by
- 574 300 μm) in (A) and (E) are shown at higher magnification in (B) and (F), respectively.
- 575 Green and red arrowheads represent the dorsal and ventral borders of Ascl1⁺ and
- 576 Neurog1⁺ regions, respectively. The telencephalic wall is demarcated by yellow dotted
- 577 lines. Note that the Ascl1⁺Neurog1⁺ region was enlarged by *Ring1b* deletion. Scale bars,
- 578 200 μm.
- 579 (C, D) Neurog1⁺ perimeter length or $Ascl1^+$ perimeter length was determined as a
- 580 proportion of total perimeter length for the telencephalic wall of control and Ring1B
- 581 KO mice (C). The average of Ascl1 immunostaining intensity within Ascl1⁺ cells in the
- 582 LGE (pixels) was also determined for each section and then corrected for the intensity
- in the dorsal telencephalon (D). The average intensity of multiple sections of the
- telencephalon along the rostrocaudal axis was determined for each embryo. Data are
- means \pm s.e.m. of values from three embryos. *P < 0.05 (two-tailed Student's paired *t* test).
- 587

Figure 5. Genome-wide gene expression analysis of Ring1B-null NPCs derived from the ventral telencephalon

- 590 (A) Total RNA isolated from CD133⁺ NPCs derived from the GE of control or Ring1B
- 591 KO mice at E11 was subjected to RT, and the resulting cDNA was amplified by the
- 592 Quartz method and subjected to high-throughput sequencing analysis. A total of three
- samples prepared from 1, 1, or 2 embryos was analyzed for each genotype.
- 594 (B, C) Genes whose expression was up-regulated (B) or down-regulated (C) in NPCs of
- 595 Ring1B KO mice were defined as those whose Ring1B KO/control or control/Ring1B
- 596 KO fold change, respectively, was ≥ 1.5 , with a false discovery rate (FDR) of < 0.15
- (left). The list of genes with the 10 lowest P values in each category is also shown(right).
- 599 (D, E) Enriched pathways among up-regulated genes (D) and down-regulated genes (E)
- were determined by KEGG pathway analysis. For the full list of differentially expressedgenes and enriched pathways, see Supplementary Table 1.
- 602 (F) Up-regulated genes categorized to the Hippo signaling pathway include genes
- related to the BMP signaling pathway (highlighted in yellow) or the Wnt signaling
- 604 pathway (highlighted in green).
- 605 (G) RT-qPCR analysis of the relative abundance of *Bmp4*, *Id1*, *Wnt7b*, *Wnt8b*, and
- 606 *Axin2* mRNAs normalized to β -actin mRNA in NPCs of Ring1B KO or control mice.
- Data are means \pm s.e.m. for three or four independent experiments. *P < 0.05

608 (two-tailed Student's paired *t* test).

609

610 Figure 6. Deletion of *Ring1* activates BMP and Wnt signaling pathways in the

611 early-stage telencephalon

- 612 (A, B) Coronal sections of the brain of Ring1A KO or Ring1A/B dKO mice at E10 were
- subjected to *in situ* hybridization analysis of *Axin2* mRNA. Boxed regions (300 by 300
- 614 μ m) in (A) are shown at higher magnification in (B). Open arrowheads represent the
- boundaries of $Axin2^{high}$ regions. Scale bars, 200 μ m.
- 616 (C, D) Coronal sections of the brain of Ring1A KO or Ring1A/B dKO mice at E10 were
- subjected to immunohistofluorescence staining with antibodies to Id1. Boxed regions
- $(150 \text{ by } 200 \ \mu\text{m}) \text{ in (C)}$ are shown at higher magnification in (D). Nuclei were
- counterstained with Hoechst 33342. The neural tissue (telencephalic wall) is outlined by
 yellow dotted lines. Scale bars, 200 μm.
- 621 (E) Quantification of Id1 immunostaining intensity in the telencephalon inside and
- outside of the dorsal midline. The average intensity of Id1 signals in the dorsal 10% and
- ventral 90% of the telencephalic wall was determined from images similar to those in
- 624 (C). The average of multiple sections of the telencephalon along the rostrocaudal axis
- was determined as a representative score for each embryo. Data are means \pm s.e.m. of
- values for three embryos of each genotype. ***P < 0.001 (two-tailed Student's unpaired t test).
- 628

Figure 7. Deletion of *Ring1* attenuates the expression of *Shh* in the telencephalon

- 630 (A) The RPKM (reads per kilobase of mRNA model per million total reads) scores for
- 631 *Gli1*, *Gli2*, *Ptch1*, and *Ptch2* in the RNA-sequencing analysis shown in Figure 5A were
- normalized by those for the corresponding control sample in each experiment. Data are
- 633 means \pm s.e.m. of values from three experiments. *P < 0.05, **P < 0.01, ***P < 0.001
- 634 (two-tailed Student's paired *t* test).
- (B) RT-qPCR analysis of relative *Ptch1* mRNA abundance normalized to β -actin
- 636 mRNA in ventral NPCs of Ring1B KO or control mice at E11. Data are means \pm s.e.m.
- 637 of values from four independent experiments. ***P < 0.001 (two-tailed Student's paired 638 t test).
- 639 (C, E) Coronal sections of the brain of control or Ring1B KO mice (C) or of Ring1A
- 640 KO or Ring1A/B dKO mice (E) at E10 were subjected to *in situ* hybridization analysis
- 641 of *Shh* mRNA. Scale bars, 200 μm.
- (D) The Shh^+ perimeter length as a proportion of total perimeter length for the
- telencephalic wall determined from sections similar to those in (C). The average Shh^+

- signal intensity for multiple sections of the telencephalon along the rostrocaudal axis
- 645 was determined for each embryo. Data are means \pm s.e.m. of values for three embryos
- of each genotype. *P < 0.05 (two-tailed Student's unpaired *t* test).
- 647

Figure 8. Forced activation of the BMP and Wnt pathways inhibits *Shh* **expression**

- 649 in early-stage telencephalic NPCs
- (A) NPCs isolated from the telencephalon (outside of the dorsal midline) of control or
- 651 Ring1B KO mice at E10 were cultured as monolayers for 6 h, exposed for an additional
- 652 24 h to 2 μM Smoothened agonist (SAG) or dimethyl sulfoxide vehicle, and then
- subjected to RT-qPCR analysis.
- 654 (B, C) RT-qPCR analysis of relative *Gli1* (B) and *Ptch1* (C) mRNA abundance
- normalized to β-actin mRNA in NPCs treated as in (A). Data are means \pm s.e.m. for
- three independent experiments. ***P < 0.001 (one-way ANOVA followed by
- 657 Bonferroni's multiple-comparison test).
- (D, F) The telencephalon of WT (ICR) mice at E9 was subjected to dissociation for
- 659 monolayer culture (D) or was cultured as an explant (F) for 6 h before exposure for an
- additional 24 h to BMP4 (50 ng/ml) or 5 μM CHIR-99021 as indicated followed by
 RT-qPCR analysis.
- 662 (E, G) RT-qPCR analysis of relative *Shh* mRNA abundance normalized to β -actin
- 663 mRNA in cultures as in (D) and (E), respectively. Data are means \pm s.e.m. for four
- independent experiments. *P < 0.05, ***P < 0.001 (one-way ANOVA followed by
- 665 Bonferroni's multiple-comparison test).
- 666
- Figure 9. H3K27me3 deposition and Ring1B binding to the promoters of *Bmp4* and
 Wnt8b in early-stage telencephalic NPCs
- (A) The telencephalon was isolated from WT (ICR) mice at E9 for ChIP-qPCR analysis
- with antibodies to H3K27me3 or to Ring1B.
- (B, C) ChIP-qPCR analysis of H3K27me3 deposition (B) and Ring1B binding (C) at the
- 672 indicated promoters. *Hoxa1* and *Hoxd3* were examined as positive controls, and *Gapdh*
- and the β -actin gene as negative controls. Data are expressed as the percentage to input
- value and are means \pm s.e.m. for three independent experiments. *P < 0.05, **P < 0.01,
- *** P < 0.001 versus the corresponding value for the β-actin gene (two-tailed Student's
- 676 unpaired *t* test).
- 677

678 Figure 10. Schematic summary of Ring1-mediated ventral specification in the

679 early-stage mouse telencephalon

- 680 Ring1 suppresses BMP and Wnt signaling pathways outside of the dorsal midline at the
- early stage of mouse telencephalic development and thereby confers a permissive state
- 682 for *Shh* expression. *Shh*-inducing signals to the most ventral portion of telencephalon
- thus can induce *Shh* in this region, resulting in the ventral patterning of telencephalon.
- By contrast, Ring1 ablation derepresses and ectopically activates BMP and Wnt
- signaling pathways outside of the dorsal midline, and thus suppresses Shh-mediated
- 686 ventral patterning of telencephalon.

687

688 Materials and Methods

689

690 Animals

Ring1b^{flox/flox} or *Ring1a*^{-/-};*Ring1b*^{flox/flox} mice (Calés et al., 2008; Endoh et al., 2008) 691 were crossed with Sox1-Cre transgenic mice (Takashima et al., 2007). Jcl:ICR (CLEA 692 Japan) or Slc:ICR (SLC Japan) mice were studied as WT animals. All mice were 693 maintained in a temperature- and relative humidity-controlled $(23^\circ \pm 3^\circ C \text{ and } 50 \pm 15\%)$ 694 respectively) environment with a normal 12-h-light, 12-h-dark cycle. They were housed 695 two to six per sterile cage (Innocage, Innovive; or Micro BARRIER Systems, Edstrom 696 Japan) with chips (PALSOFT, Oriental Yeast; or PaperClean, SLC Japan), irradiated 697 698 food (CE-2, CLEA Japan), and filtered water available ad libitum. Mouse embryos were 699 isolated at various ages, with E0.5 being considered the time of vaginal plug appearance. All animals were maintained and studied according to protocols approved by the 700 Animal Care and Use Committee of The University of Tokyo. 701

702

703 Plasmid constructs

A pBluescript SK(-) vector encoding mouse Shh was kindly provided by D. Kawaguchi
 (The University of Tokyo). A portion of the *Axin2* cDNA was cloned by PCR from

- cDNA derived from the mouse telencephalon and was subcloned into pBluescript SK(-).
- Amplified sequences are presented in Supplementary table 2.
- 708

709 Antibodies

- Antibodies for immunofluorescence and ChIP analyses included mouse antibodies to
- 711 Ascl1 (Mash1, BD Pharmingen, 556604, 1:500) and H3K27me3 (MBL, MABI0323, 2
- ⁷¹² μg/sample for ChIP), goat antibodies to Neurog1 (Santa Cruz, sc-19231, 1:200) and
- rabbit antibodies to H2AK119ub (Cell Signaling Technology, 8240S, 1:1000), Ring1B
- (Cell Signaling Technology, 5694S, 1:200, 3 µg/sample for ChIP), Cleaved caspase-3
- 715 (Cell signaling Technology, 9664S, 1:1000), Nkx2.1 (TTF1, Abcam, ab76013, 1:1000),
- 716 Gsx2 (Gsh2, Millipore, ABN162, 1:200), Pax6 (Millipore, AB2237, 1:500) and Id1
- 717 (Biocheck, BCH-1/37-2, 1:200). Alexa-labeled secondary antibodies and Hoechst
- 718 33342 (for nuclear staining) were obtained from Molecular Probes.
- 719

720 Immunohistofluorescence analysis

- 721 Immunohistofluorescence staining was performed as previously described
- 722 (Morimoto-Suzki et al., 2014), with minor modifications. In brief, embryos were fixed
- for 3 h with 4% paraformaldehyde in phosphate-buffered saline (PBS), incubated

- overnight at 4°C with 30% sucrose in PBS, embedded in OCT compound (Sakura
- Finetek), and sectioned with a cryostat at a thickness of $10 \mu m$. The sections were
- exposed to 0.1% Triton X-100 and 3% bovine serum albumin in Tris-buffered saline
- 727 (blocking solution) for 1 h at room temperature before incubation first overnight at $4^{\circ}C$
- with primary antibodies diluted in blocking solution and then for 1 h at room
- temperature with fluorophore-labeled secondary antibodies also diluted in blocking
- solution. They were finally mounted in Mowiol (Calbiochem) for imaging with a
- 731 laser-scanning confocal microscope (TSC-SP5, Leica) and ImageJ software (NIH).
- 732

733 Isolation of ventral NPCs by FACS

The ventral telencephalon was dissected and subjected to enzymatic digestion with a
papain-based solution (Sumitomo Bakelite). Cell suspensions were stained with

- allophycocyanin-conjugated antibodies to CD133 (141210, BioLegend) at a dilution of
- 1:400 and were then subjected to fluorescence-activated cell sorting (FACS) with a
- FACSAria instrument (Becton Dickinson). CD133⁺ NPCs were isolated as the top 50%
 of allophycocyanin-positive cells.
- 740

741 Quartz-seq analysis

Both cDNA synthesis and amplification were performed with total RNA from 2000 742 cells as described previously (Sasagawa et al., 2013). In brief, total RNA was purified 743 744 from cells with the use of Ampure XP RNA (Beckman) and subjected to RT with Super Script III (Thermo Scientific), and the resulting cDNA was purified with Ampure XP 745 (Beckman) and treated with ExoI (Takara) for primer digestion. After addition of a 746 poly(A) tail with terminal deoxynucleotidyl transferase (Roche), the cDNA was 747 subjected to second-strand synthesis and the resulting double-stranded cDNA was 748 749 amplified with the use of MightyAmp DNA polymerase (Takara). The amplified cDNA was prepared for sequencing with the use of a Nextera XT DNA Sample Prep Kit 750 751 (Illumina) and subjected to deep sequencing analysis on the Illumina HiSeq2500 752 platform to yield 36-base single-end reads. Approximately 20 million sequences were obtained from each sample. Sequences were mapped to the reference mouse genome 753 (mm9) with ELAND v2 (Illumina). Only uniquely mapped tags with no base 754 755 mismatches were used for the analysis. Gene expression was quantitated as reads per kilobase of mRNA model per million total reads (RPKM) on the basis of RefSeq gene 756

- 757
- 758

759 **RT-qPCR analysis**

models (mm9).

- Total RNA was isolated from cells with the use of RNAiso plus (Takara), and up to 0.5
- ⁷⁶¹ μg of the RNA was subjected to RT with the use of ReverTra Ace qPCR RT Master
- 762 Mix with gDNA remover (Toyobo). The resulting cDNA was subjected to real-time
- 763 PCR analysis in a LightCycler 480 instrument (Roche) with either KAPA SYBR FAST
- for LightCycler 480 (Kapa Biosystems) or Thunderbird SYBR qPCR mix (Toyobo).
- The amount of each target mRNA was normalized by that of β -actin mRNA. Primer
- sequences are presented in Supplementary table 2.
- 767

768 In situ hybridization analysis

For preparation of digoxigenin-labeled riboprobes, linearized plasmids containing probe 769 770 sequences were incubated for 3 h at 37°C with DIG RNA Labeling Mix, Transcription 771 Buffer, and RNA polymerase (Roche) as well as RNase inhibitor (Toyobo). The 772 plasmids were then digested with DNaseI (Takara) for 30 min at 37°C, after which the DNase reaction was stopped by the addition of Stop Solution (Promega). Synthesized 773 riboprobes were purified with the use of a ProbeQuant G-50 column (GE Healthcare) 774 775 and diluted with hybridization buffer (5× Denhardt's solution, 5× standard saline citrate, 776 50% formamide, tRNA at 250 µg/ml, salmon testis DNA at 200 µg/ml, heparin at 100 μ g/ml, and 0.1% Tween 20). The riboprobes (0.5 μ g/ml) were denatured at 85°C for 5 777 min, placed on ice for 2 min, and then maintained at 65°C before in situ hybridization. 778 Embryos were fixed for 3 h (Shh) or overnight (Axin2) with 4% paraformaldehyde in 779 780 PBS and then incubated with 30% sucrose in PBS, embedded, and sectioned as described for immunohistofluorescence analysis. Sections were fixed for 10 min with 781 4% paraformaldehyde in PBS, washed with 0.1% Tween 20 in PBS, and incubated at 782 783 room temperature first with 0.1 M triethanolamine for 3 min and then with the same solution containing 0.1% acetic anhydride for 10 min. They were washed again with 784 0.1% Tween 20 in PBS before incubation at 65°C first for 1 h with hybridization buffer 785 and then overnight with denatured RNA probes within a humidified box with 50% 786 formamide. The sections were washed twice for 30 min at 65°C with 2× standard saline 787 citrate, twice for 30 min at 65°C with the same solution containing 50% formamide, and 788 three times for 5 min at room temperature with 0.1% Tween 20 in MAB buffer (MABT). 789 After exposure for 1 h at room temperature to 10% fetal bovine serum in MABT, the 790 791 sections were incubated overnight at 4°C with alkaline phosphatase-conjugated antibodies to digoxigenin (Roche) at a dilution of 1:2000 in the same solution, washed 792 twice for 10 min at room temperature with MABT and twice for 10 min at room 793 temperature with a solution containing 100 mM Tris-HCl (pH 9.5), 100 mM NaCl, 50 794 795 mM MgCl₂, and 0.02% Tween 20, and then incubated at room temperature in the same

solution containing NBT-BCIP (nitrotetrazolium blue chloride at 350 µg/ml and

5-bromo-4-chloro-3-indolyl phosphate *p*-toluidine salt at 175 μ g/ml) (Roche) until the

color appeared. They were finally washed with 0.1% Tween 20 in PBS and mounted in

- Mowiol (Calbiochem). Images were acquired with an Axiovert 200M microscope fitted
- with an Axiocam or Axiocam 305 camera (Carl Zeiss) and were processed with ImageJ(NIH).
- 802

803 ChIP-qPCR analysis

804 ChIP for Ring1B and H3K27me3 was performed as previously described (Tsuboi et al., 2018), with minor modifications. Cells were fixed with 1% formaldehyde and then 805 806 suspended in radioimmunoprecipitation (RIPA) buffer for sonication (10 mM Tris-HCl at pH 8.0, 1 mM EDTA, 140 mM NaCl, 1% Triton X-100, 0.1% SDS, 0.1% sodium 807 deoxycholate). They were subjected to ultrasonic treatment to shear genomic chromatin 808 into DNA fragments, and the cell lysates were then diluted with RIPA buffer for 809 immunoprecipitation (50 mM Tris-HCl at pH 8.0, 150 mM NaCl, 2 mM EDTA, 1% 810 811 Nonidet P-40, 0.1% SDS, 0.5% sodium deoxycholate) and incubated for 1 h at 4°C with 812 ProteinA/G Magnetic Beads (Pierce) to clear nonspecific reactivity. They were then incubated overnight at 4°C with ProteinA/G Magnetic Beads that had previously been 813 incubated overnight at 4°C with antibodies to Ring1B or to H3K27me3. The beads were 814 then isolated and washed three times with wash buffer (2 mM EDTA, 150 mM NaCl, 815 816 0.1% SDS, 1% Triton X-100, and 20 mM Tris-HCl at pH 8.0) and then once with wash buffer containing 500 mM NaCl. Immune complexes were eluted from the beads with a 817 solution containing 10 mM Tris-HCl (pH 8.0), 5 mM EDTA, 300 mM NaCl, and 0.5% 818 SDS at 65°C for 15 min, and they were then subjected to digestion with proteinase K 819 (Nakarai) at 37°C for more than 6 h, removal of cross links by incubation at 65°C for 820 more than 6 h, and extraction of the remaining DNA with phenol-chloroform-isoamyl 821 alcohol and ethanol. The DNA was washed with 70% ethanol, suspended in water, and 822 823 subjected to real-time PCR analysis in a LightCycler 480 instrument (Roche) with Thunderbird SYBR qPCR Mix (Toyobo). Primer sequences are presented in 824 Supplementary table 2. 825

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827 Primary culture of the telencephalon and treatment with pharmacological agents
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828 For monolayer culture, primary NPCs were isolated from the indicated regions of the

telencephalon of ICR or of Ring1B KO or control mouse embryos. The dissected tissue

- 830 was thus subjected to digestion with a papain-based solution (Sumitomo Bakelite), and
- the dissociated cells were cultured in dishes coated with poly-D-lysine (Sigma) and

- containing Dulbecco's modified Eagle's medium (DMEM)–F12 (1:1, v/v)
- supplemented with B27 (Invitrogen) and recombinant human FGF2 (Invitrogen) at 20
- ng/ml. For explant culture, the dissected telencephalon of ICR mouse embryos was
- cultured in DMEM-F12 supplemented with B27 and recombinant human FGF2. After
- culture of cells or explant tissue for 6 h, half of the medium was removed and replaced
- 837 with medium supplemented with B27, human FGF2, and either Smoothened agonist
- 838 (SIGMA-ALDRICH), recombinant human BMP4 (R&D Systems) or CHIR-99201
- (Wako). Smoothened agonist was dissolved in dimethyl sulfoxide at a concentration of
- $5\ mM$ and was added to culture medium at a final concentration of 2 $\mu M.$ The
- recombinant BMP4 protein was dissolved at a concentration of 100 μ g/ml in sterile 4
- mM HCl containing 0.1% bovine serum albumin and was added to the culture medium
- at a final concentration of 50 ng/ml. CHIR-99201 was dissolved in dimethyl sulfoxide
- at a concentration of 1 mM and was added to culture medium at a final concentration of
- 5μ M. The cells or tissue were cultured for an additional 24 h and then frozen in liquid nitrogen before analysis.
- 847

848 Statistical analysis

- Data are presented as means \pm s.e.m. and were compared with two-tailed Student's
- paired or unpaired *t* test or by analysis of variance (ANOVA) followed by Bonferroni's
- 851 multiple-comparison test.
- 852
- 853

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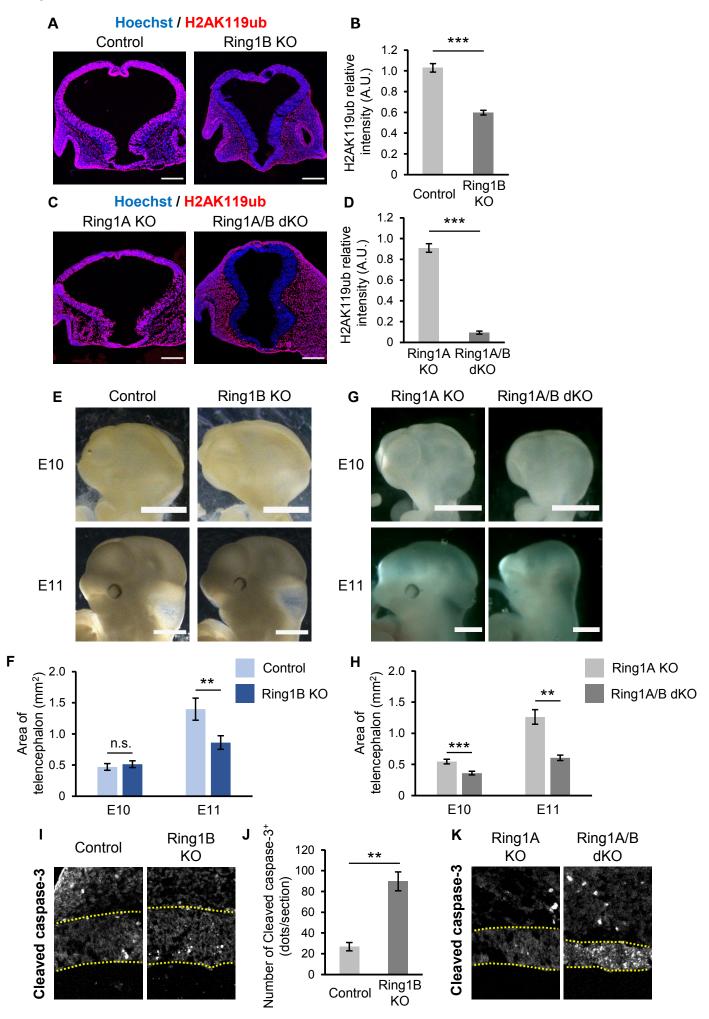
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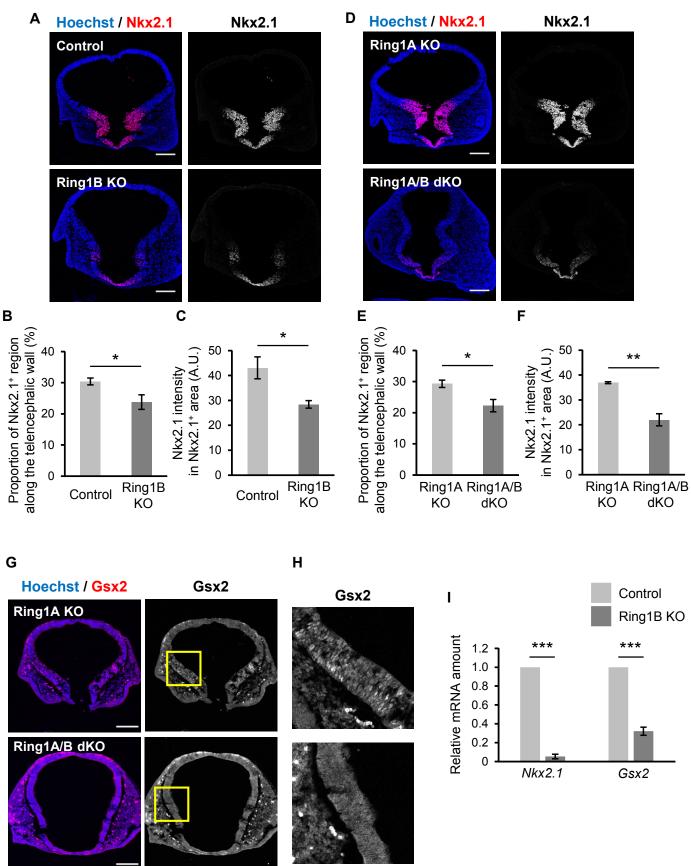
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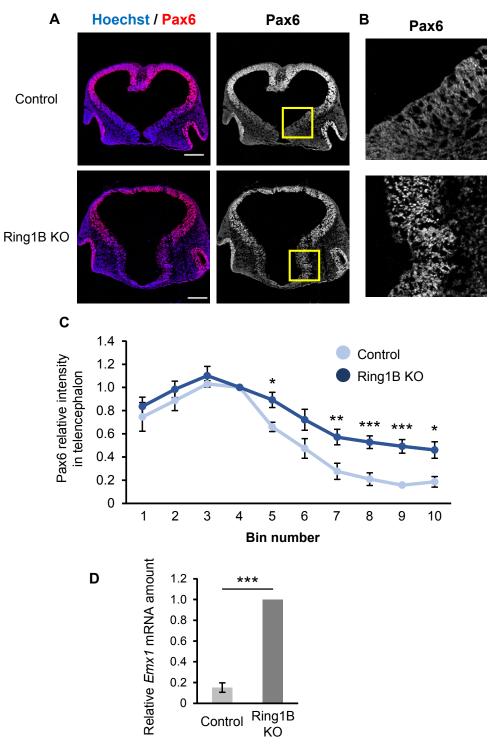
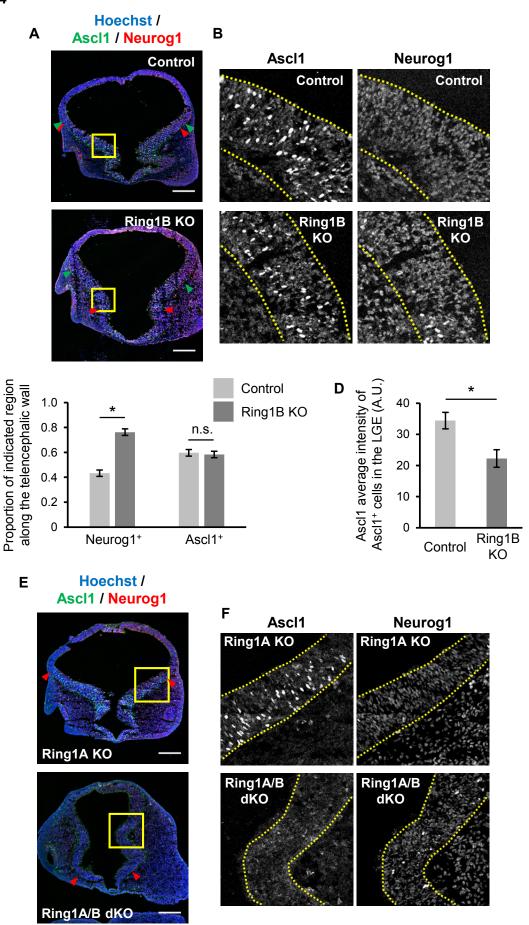
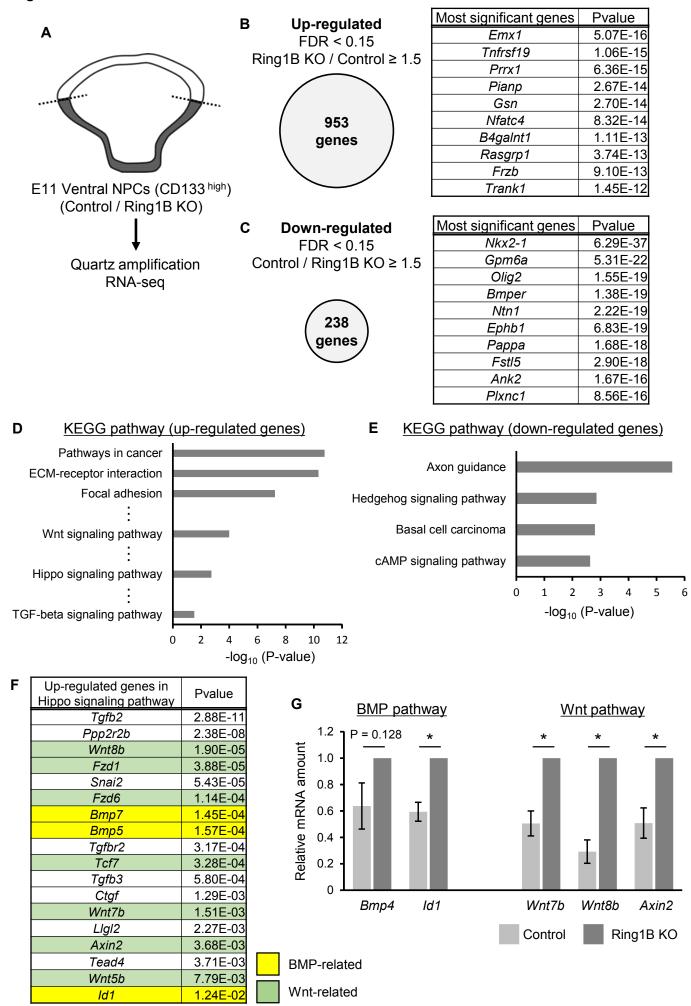


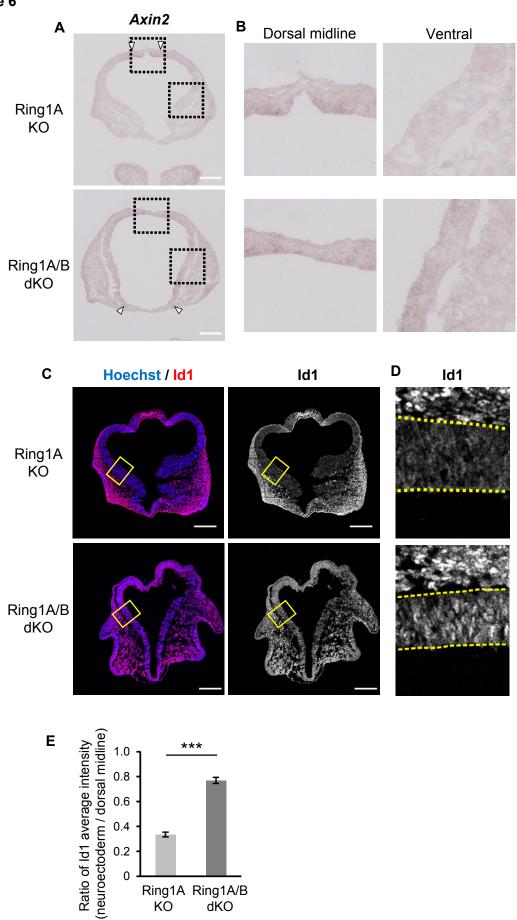
Figure 4

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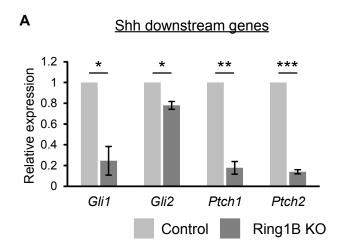


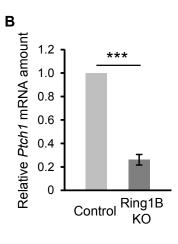


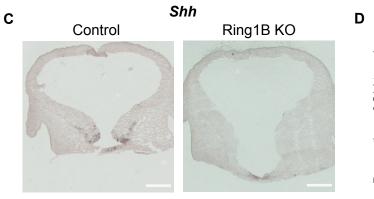


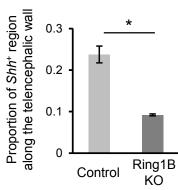
0 Ring1A Ring1A/B KO dKO







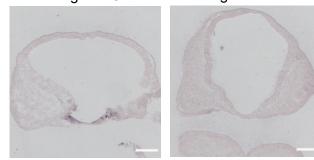


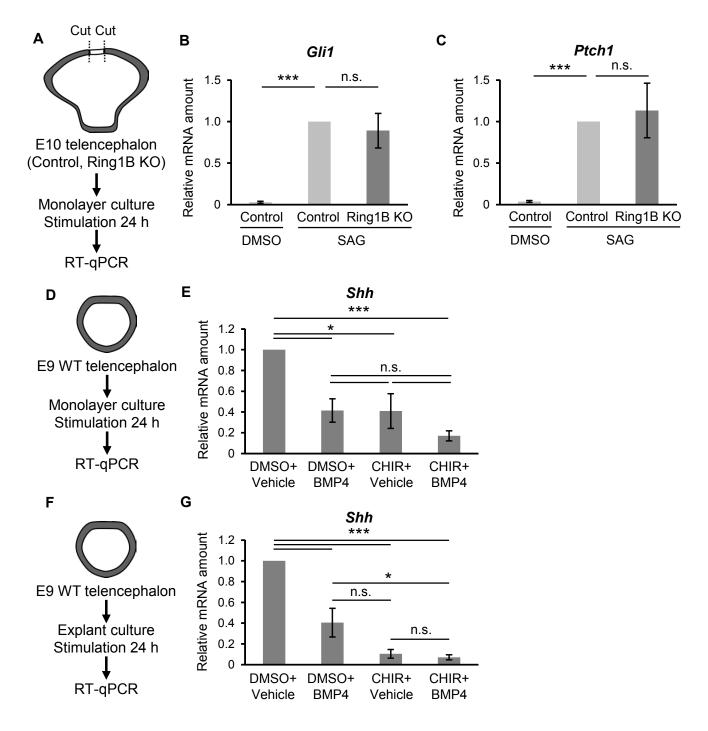


Shh Ring1A KO

Ε

Ring1A/B dKO







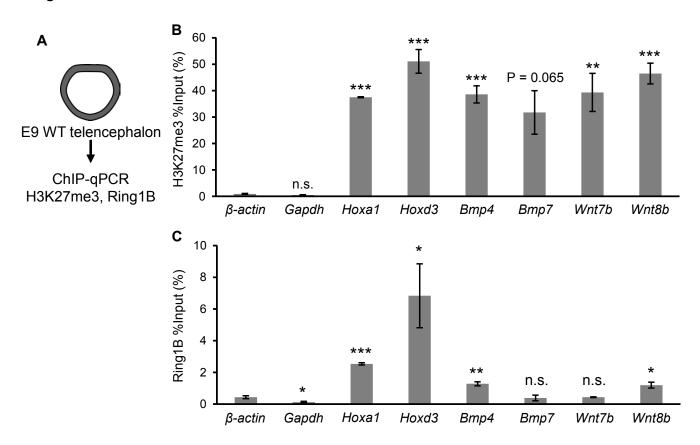


Figure 10

Early-stage (E9-10) ventral telencephalon

