- <sup>1</sup> Title: The fitness cost of mismatch repair mutators in Saccharomyces cere-
- <sup>2</sup> *visiae*: partitioning the mutational load
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- 7 Running title: The fitness cost of mismatch repair mutators

**Abstract**: Mutational load is the depression in a population's mean fitness 8 that results from the unceasing influx of deleterious mutations. Here, we 9 directly estimate the mutational load in a population of mismatch repair-10 deficient Saccharomyces cerevisiae. We partition the load into two compo-11 nents. To estimate the load due to nonlethal mutations, we measure the 12 competitive fitness of hundreds of randomly selected clones from both mis-13 match repair-deficient and -proficient populations. Computation of the mean 14 clone fitness for the mismatch repair-deficient strain permits an estimation 15 of the nonlethal load, and the histogram of fitness provides an interesting 16 visualization of a loaded population. In a separate experiment, in order to 17 estimate the load due to lethal mutations (i.e. the lethal mutation rate), we 18 manipulate thousands of individual pairs of mother and daughter cells and 19 track their fates. These two approaches yield point estimates for the two 20 contributors to load, and the addition of these estimates (0.016 and 0.009)21 respectively) is approximately equal to the separately measured short-term 22 competitive fitness deficit for the mismatch repair-deficient strain. This 23 correspondence suggests that there is no need to invoke direct fitness ef-24 fects to explain the fitness difference between mismatch repair-deficient and 25 -proficient strains. These results enhance our understanding of mutational 26 load, a classic population genetics concept, and we discuss their implications 27 for the evolution of mutation rates. 28

Keywords: mutational load, experimental evolution, evolution of mutation
rates, yeast

# 31 Introduction

An evolving population experiences a continual influx of mutations, the 32 vast majority of which, excluding neutral mutations, are deleterious (Fisher 33 1930). A deleterious allele in a haploid population will attain an equilib-34 rium frequency that is the quotient of the mutation rate to that allele and 35 the selection coefficient against it (Danforth 1923). The influx of deleteri-36 ous mutations causes a depression in the population's mean fitness that is 37 termed the mutational load (Muller 1950), and the load at equilibrium is 38 equal to the deleterious mutation rate (Haldane 1937). Because all popula-39 tions experience mutation, all populations experience load, and a substantial 40 proportion of the genetic variance for fitness in natural populations is due 41 to mutational load (Charlesworth 2015). 42

Mutational load is closely connected to the evolution of mutation rates. 43 Consider an asexual population in which there is genetic variation for the 44 mutation rate: within such a population, distinct lineages with differing mu-45 tation rates experience differing loads and therefore possess differing mean 46 fitnesses. In this way a downwards selective pressure on the mutation rate is 47 realized. This pressure is indirect in the sense that modifiers of the mutation 48 rate are subject to selection without affecting any physiological property re-49 lated to fitness. The existence of ancient and highly conserved systems for 50 replication fidelity (including proofreading, mismatch repair, and nucleotide 51 excision repair) attests to the persistence of this selective pressure. 52

<sup>53</sup> In evolving populations, lineages with higher mutation rates ("mutators")

are continually produced by mutation to any of numerous mutation-rate-54 affecting loci. In the absence of beneficial mutations, the expected frequency 55 of mutators within a population depends on the increase in the deleterious 56 mutation rate caused by the mutator allele, the rate of mutation from wild 57 type to mutator, and the mean selective effect of newly arising deleterious 58 mutations (Johnson 1999; Desai and Fisher 2011). Investigations of natu-59 ral and clinical isolates of *Escherichia coli* and other bacteria have shown 60 that mutators one to two orders of magnitude in strength, often defective in 61 mismatch repair, are present at low but notable frequencies in many pop-62 ulations (Jyssum 1960; Gross and Siegel 1981; LeClerc et al. 1996; Matic 63 et al. 1997; Oliver et al. 2000; Denamur et al. 2002; Richardson et al. 64 2002; Trong et al. 2005; Denamur and Matic 2006; Gould et al. 2007; 65 Raynes and Sniegowski 2014). Evolution experiments conducted with E. 66 *coli* have demonstrated that mutators can displace wild types by virtue of 67 their increased access to beneficial mutations (Cox and Gibson 1974; Chao 68 and Cox 1983: Sniegowski et al. 1997; Giraud et al. 2001; Shaver et al. 69 2002; de Visser and Rozen 2006). Similar findings have been reported for 70 Saccharomyces cerevisiae (Thompson et al. 2006; Raynes et al. 2011, 2018). 71 However, in contrast to findings in prokaryotes, mismatch repair-deficient 72 (henceforth mmr) or other types of strong mutators have not been found 73 in natural S. cerevisiae populations (but see Bui et al. 2017; Raghavan et 74 al. 2018), though weaker variation for the mutation rate has been detected 75 (Gou et al. 2019). One explanation for this difference could be that mmr76 mutators experience higher load, compared to the wild-type, in S. cerevisiae 77

than they do in *E. coli*. Indeed, it has been observed by several investigators 78 that haploid mmr S. cerevisiae strains decline in frequency in the short term 79 when co-cultured with wild-type strains (Thompson et al. 2006; Raynes et 80 al. 2011, 2018; Bui et al. 2017), even if they eventually out-adapt the wild 81 type. While this short-term deficit of the fitness of mmr mutators relative 82 to the wild type has been attributed to increased mutational load in the 83 mmr strain, the evidence that this is the case has been mostly circumstan-84 tial (but see Wloch et al. 2001) because it is generally difficult to rule out 85 an additional direct fitness effect of any allele thought to cause an indirect 86 fitness effect (Raynes and Sniegowski 2014). 87

In this work, we establish, by short-term competitive fitness assays and 88 in agreement with prior studies, that an mmr haploid S. cerevisiae strain 89 is substantially less fit than an otherwise isogenic MMR+ (i.e. wild-type) 90 strain. This fitness difference could be caused solely by load, or solely due 91 to some direct fitness effect of the mmr phenotype; or it could be some 92 combination of the two. We develop separate assays to measure the compo-93 nents of load due to nonlethal and lethal deleterious mutations. To estimate 94 the load caused by nonlethal deleterious mutations, we randomly sampled 95 hundreds of clones from mmr and wild-type populations and measured the 96 competitive fitness of each. The resulting histogram of the distribution of 97 fitness of the *mmr* population provides an illustration of the effect of a high 98 mutation rate on population mean fitness. We find that the means of these 99 distributions differ, indicating substantial load for the mmr strain, but not 100

fully accounting for the total observed fitness difference between mmr and 101 wild type strains. To estimate the lethal mutation rate under the two dif-102 ferent mutational regimes, we manipulate single cells to track the fate of 103 mother/daughter duos. We show that these two separately measured com-104 ponents of load—due to nonlethal and lethal mutations—approximately sum 105 to the measured fitness difference between the strains; hence we find no rea-106 son to suppose a direct fitness effect for mmr. Investigations with diploid 107 versions of our strains provide support for this conclusion. We discuss some 108 implications of these findings for continued experimental and theoretical in-109 vestigations into the evolution of mutation rates. 110

# <sup>111</sup> Materials and Methods

## 112 Data analysis and figure production

Data processing and analysis was performed in R (R Core Team 2019) and
RStudio (RStudio Team 2015). Graphical output was produced using the
package ggplot2 (Wickham 2016).

## 116 Strains

yJHK112, a haploid, prototrophic, heterothallic, MATa, BUD4-corrected,
ymCherry-labeled W303 strain, was used as the haploid wild type in all
work described in this paper. yJHK111, labelled with ymCitrine (a variant
of YFP) and otherwise isogenic to yJHK112, was used as the "reference

strain" in all haploid fitness competitions. These strains have been pre-121 viously described (Koschwanez et al. 2013) and were generously provided 122 by the laboratory of Andrew Murray, Harvard University, Cambridge, MA. 123 An  $msh2\Delta$  derivative of vJHK112, in which the wild-type MSH2 allele was 124 replaced with a kanMX geneticin (G418) resistance cassette (Wach et al. 125 1994), was used as the haploid mmr mutator strain in all work described 126 in this paper. This strain was generously provided by Yevgeniy Raynes of 127 the laboratory of Dan Weinreich, Brown University, Providence, RI and has 128 been previously described (Raynes et al. 2018). The kanMX cassette has 129 been shown to not have a negative effect on growth (Baganz et al. 1997; 130 Goldstein and McCusker 1999). 131

We constructed diploid versions of each of the three above strains by trans-132 forming (Gietz and Schiestl 2007) each with plasmid pRY003, temporarily 133 providing a functional HO locus allowing mating type switching and sub-134 sequent mating. pRY003 was a gift from John McCusker (Addgene plas-135 mid #81043; http://n2t.net/addgene:81043; RRID:Addgene\_81043). The 136 diploid state of resulting isolates was confirmed by (1) ability to produce 137 tetrads after plating to sporulation media; (2) by flow cytometry for total 138 genomic content (following Gerstein et al. 2006); and (3) by the presence 139 of a PCR product for both the MATa and  $MAT\alpha$  loci. The mmr diploids 140 would not sporulate, but were confirmed to be diploids by the other two 141 methods. 142

#### 143 Growth conditions

The liquid media for all fitness competitions was synthetic dextrose (SD) 144 minimal media containing yeast nitrogen base at a concentration of 6.7 g/L 145 and glucose at a concentration of 1.5 g/L (0.15%), supplemented with tetra-146 cycline (15 mg/L) and ampicillin (100 mg/L). Fitness competitions were 147 conducted in volumes of 200 ul media in deep polypropylene 96-well plates 148 (Nunc 260251) sealed with flexible caps (Nunc 276002) and shaken at 1000 149 rpm with an orbit of 3mm (Corning LSE 6780-4) at a temperature of 30 C. 150 Initial growth in liquid for the lethal event assays was performed in SD as 151 described above but without antibiotics, in flasks shaken at 200 rpm at 30C. 152 Growth on agar SD (2% glucose, no antibiotics) plates for the lethal assays 153 took place at room temperature, approximately 24 C. 154

### <sup>155</sup> Competitive fitness assays and isolation of clones

Short-term competitive fitness assays between wild-type and *mmr* genotypes 156 were conducted as follows, with the interval between each consecutive day 157 spanning 24 hours. Day 1: wild-type, mmr, and the YFP+ reference strain 158 were inoculated from frozen stock into single wells. Day 2: each strain was 159 transferred to a new well with fresh media, diluting 1/100. Day 3: com-160 peting strains were mixed 1:1 by volume and transferred to new wells with 161 fresh media, diluting 1/100, to create between 6 to 8 replicate competitions. 162 Day 4: competitions were transferred to new wells with fresh media, diluting 163 1/100, and the frequencies of the competitor and reference strain were as-164

<sup>165</sup> sayed by flow cytometry (Guava EasyCyte). Discrimination between strains <sup>166</sup> was performed on the SSC/GRN scatter plot. Day 5: the frequencies of the <sup>167</sup> competitor and reference strain were again assayed by flow cytometry. The <sup>168</sup> population density at the end of a 24-hour cycle was  $\sim 2 \times 10^7$  cells/mL; the <sup>169</sup> census population size was thus  $\sim 4 \times 10^6$  at transfer and  $\sim 4 \times 10^4$  just after <sup>170</sup> transfer.

The change in frequencies between Days 4 and 5 was used to calculate a selection coefficient s. Under a continuous model of growth (Crow and Kimura 173 1970 p. 193)

$$s = \frac{1}{t} \ln \frac{p_t(1-p_0)}{p_0(1-p_t)}$$

from which a relative fitness w = 1 - s follows. The number of generations, t, was assumed to be the logarithm base 2 of 100, or approximately 6.64.  $p_0$ and  $p_t$  are the starting and ending frequencies of the genotype being tested (i.e. the frequencies at Days 4 and 5 in the above procedure).

We conducted fitness competitions using this procedure in 8 separate blocks, each with multiple replicates as described above. Each block was begun on a different date. For each block, we computed the fitness of the *mmr* strain relative to the fitness of the wild-type strain by subtracting their mutual relative fitnesses to the reference strain. Each block included competitions in both haploid and diploid genotypes. Our final point estimate of the fitness difference between *mmr* and wild-type strains is the mean difference across all blocks, and the 95% confidence intervals (as shown in Fig. 2) were
computed from the set of point estimates according to the t-distribution.

In two of the six fitness competition blocks, we randomly sampled individual 187 clones. To do so, we additionally propagated the haploid wild-type and mmr 188 strains on Day 3 in addition to mixing them 1:1 with the reference strain. 189 Then, on Day 4, we plated these cultures, diluting appropriately, to YPD 190 agar plates. After sufficient incubation, the resulting colonies were picked by 191 pipet tip into wells containing 200 ul YPD, grown for 24 hours, and frozen 192 down by mixing 1:1 with 30% glycerol and storing at -80 C until needed for 193 fitness assays. The random selection of colonies was ensured by either (1) 194 picking all colonies on a given plate or (2) picking colonies concentrically 195 from a randomly placed point. 196

Fitness assays for sets of isolated clones were conducted on a single 96-well 197 plate, which allowed us to assay the fitnesses of 88 clones (some wells being 198 reserved for various purposes) or fewer per run. We followed essentially 199 the same procedure as the 5-day competition described above, except that 200 frequencies were estimated at Day 3 and Day 4 instead of Day 4 and Day 5. 201 This modification was made because some clones had such reduced fitnesses 202 that an extra day of growth after mixing 1:1 with the reference strain caused 203 the starting frequency of the clone to depart too greatly from 50%. 204

## 205 Calculation of nonlethal load

<sup>206</sup> Mutational load is classically defined (Bürger 1998) as

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$$L = \frac{w_{max} - w}{w_{max}}$$

where  $\bar{w}$  is the mean fitness of the population and  $w_{max}$  is the fitness of the fittest genotype.

We measured all fitnesses relative to a common fluorescent strain, as described above. We define the unloaded fitness of each genotype as equal to 1 and we expect no beneficial mutations to rise to appreciable frequency in the short course of these experiments. Hence,  $w_{max} = 1$  and thus

$$L = 1 - \bar{w}$$

We expect measured selection coefficients to be distributed approximately 213 normally around the true value, because of various sources of error includ-214 ing binomial sampling error, drift, instrument noise, environmental pertur-215 bations to individual wells (within-batch effects) and among 96-well plates 216 (across-batch effects). To eliminate across-batch effects, for each run we ad-217 justed all measured fitnesses by a constant c such that the mode fitness is 218 1 (equivalently, such that the mode selection coefficient is zero). Once this 219 adjustment has been made, 220

## $L = 1 - \operatorname{mean}(W)$

where W is the vector of all sampled clone fitnesses, or equivalently

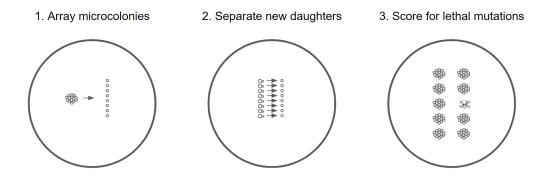
# $L = \operatorname{mean}(S)$

where S is the vector of all sampled clone selection coefficients. A 95% confidence interval for the nonlethal load was computed by bootstrapping from the measured fitnesses of all sampled clones.

#### 225 Lethal event assay

Strains were inoculated from frozen stock into 6 mL SD in a flask, and 226 transferred to fresh media after 24 hours, diluting 1/100. After an overnight 227 of growth, a streak from the culture was made onto an SD agar plate and 228 five single cells with nascent buds were physically isolated by means of a 229 Zeiss (West Germany) micromanipulating microscope fitted with a Singer 230 Instruments (Somerset, UK) dissecting needle. These cells were periodically 231 checked over the next few hours and the daughters physically separated once 232 developed. These daughters became the founders of microcolonies that were 233 allowed to grow at room temperature for  $\sim 20$  hours, reaching an average 234 size of 23.3 cells (22.8 for the wild type, 23.8 for mmr, difference not signif-235 icant at p > 0.6). These microcolonies were then dissected into a gridlike 236 arrangement of single cells (step 1 in Fig. 1). These cells were then checked 237 at intervals of one to two hours and daughters separated as soon as possi-238 ble (step 2 in Fig. 1). The colonies resulting from these mother/daughter 239 duos were checked at  $\sim 24$ ,  $\sim 48$ , and in some cases  $\sim 72$  and  $\sim 96$  hours after 240 separation. A lethal event was recorded when the growth of a mother or 241

daughter lineage ceased. In such cases cessation of growth was sometimes immediate and sometimes occurred after a few generations. In the latter cases the growth was generally markedly slowed by the first observation. In a few cases, slow but unceasing growth was noted: these are presumed to be cases in which a strongly deleterious mutation occurred, though we stress that this assay was not designed to detect nonlethal deleterious mutations.



**Figure 1:** Schematic of lethal assay. The arraying in step 1 and separation in step 2 were performed by micromanipulation. Microcolonies in step 1 were on average 23 cells large, and were founded by new daughter cells that had themselves been isolated by micromanipulation.

As described in the Discussion, the difference in the rate of lethal events between the wild type and *mmr* strains was used as the estimate for the lethal mutation rate for the *mmr* strain. A 95% confidence interval for this difference in rates was computed by the **prop.test** function in R (Newcombe 1998).

#### 253 Fluctuation assays

To measure the mutation rate to 5-fluoroorotic acid (5-FOA) resistance, we 254 employed the following procedure. Strains of interest were inoculated into 255 10 mL YPD, grown in flasks with shaking at 30 C for ~24 hours, and then 256 transferred to 30 mL fresh YPD diluting such that ~200 cells were passaged, 257 in replicates of 5. After  $\sim 48$  hours of growth, each replicate was plated 258 without dilution to SD + 5-FOA (1 g/L) agar plates to estimate density 259 and absolute number of resistants, and plated with a  $10^{-5}$  dilution to YPD 260 agar plates to estimate total population density and absolute number. Plates 261 were counted after  $\sim 48$  hours of growth and mutation rates were estimated 262 using the maximum likelihood method of Gerrish (2008). For each round 263 of fluctuation tests, we estimated mutation rates for both wild-type and 264 (putative) mmr strains simultaneously in order to minimize the influence of 265 any uncontrolled sources of variation. 266

# $_{267}$ Results

#### <sup>268</sup> Mutation rate elevation in *mmr* strain

To confirm that the  $mmr (msh2\Delta)$  strain is a mutator, we conducted fluctuation tests using resistance to 5-FOA as the selectable phenotype. Averaged across replicate fluctuation tests, we found a 20.8-fold increase (95% CI: 13.4- to 28.3-fold) in the mutation rate for the mmr strain relative to the wild-type (Fig. S1). This is likely an underestimate of the effective genomewide increase in the mutation rate because mmr mutators have a greatly elevated indel rate for homopolymeric runs (Lang et al. 2013), of which URA3, the main locus involved in this fluctuation test, is relatively devoid (author's own calculations).

# <sup>278</sup> Fitness disadvantage of *mmr* compared to wild-type

We competed mmr and wild-type strains against a common YFP+ reference strain. We found the mutator to be less fit than the wild-type, with an average fitness deficit, expressed as a selection coefficient per generation, of 2.3% (Fig. 2).

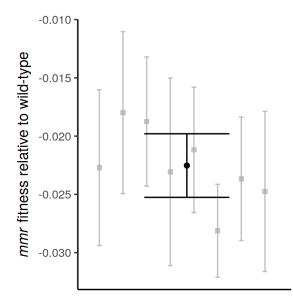


Figure 2: The average competitive fitness deficit (in black, error bars are 95% confidence intervals) of the mutator strain relative to the wild-type, expressed as selection coefficient, is 2.3%. Fitness competitions were conducted in a series of 8 blocks, shown in gray. The two strains were not competed directly against each other; within each block, each was competed separately against an otherwise isogenic MMR + YFP + strain. Each block contained between 5 and 8 replicate competitions.

#### 283 Estimation of nonlethal load

We randomly sampled individual clones from both mmr and wild-type populations and measured the competitive fitness of each clone. The sampled fitness distributions are shown in Fig 3. The mmr strain's fitness distribution has a prominent left tail of less fit individuals. We calculated the load as the difference between the mode and the mean fitness; this is ~zero for the wild-type strain and ~1.7% for the mmr strain.

#### 290 Estimation of lethal mutation rate

To assay lethal mutation rates, we manipulated single *S. cerevisiae* cells, separating mother/daughter duos and tracking events in which one member of the duo failed to found a colony. The procedure is shown in Fig. 1 and explicated more fully in the methods. Assaying over 2200 duos for each strain, we found a rate of lethal events of 0.6% in the wild-type strain and 1.5% in the *mmr* strain (Table 1). Photographs of representative lethal events are shown in Fig. S3.

**Table 1:** Counts and frequencies of events of interest in the lethal assay. "Both OK" means that both mother and daughter cell grew into normal colonies. "One lethal" means that the lineage founded by either the mother or daughter cell ceased to grow within the observation period. "One strongly reduced growth" means that either the mother or daughter lineage was observed to grow noticeably slowly. Other events-both members of the duo lethal or strongly reduced growth, or the mother never budding-were not included in the analysis and are not displayed here. The p-values reflect the statistical significance of the difference in rates between wild type and mmr strains and were obtained by Fisher's exact test.

	Wild type		mmr		
Event	Count Frequency		Count Frequency		p-value
Both OK	2235	0.9933	2145	0.9817	0.0006
One lethal	14	0.0062	33	0.0151	0.005
One strongly reduced growth	1	0.0004	7	0.0032	0.04

In our assay we followed separated duos that contained a suspected lethal until growth ceased. In some cases growth never ceased, but doubling times were very slow compared to the usual growth rate; such cases were not counted as lethal events but are tallied separately in Table 1. We also detected cases in which both members of a duo were lethal, or both showed strongly reduced growth, and also cases in which the mother cell never divided. Because such events probably stemmed from a mutation that occurred prior to the division that created the duo under observation, we excluded these events from our analysis.

## 307 **Results in diploids**

From the haploid strains, we constructed diploid *mmr* and wild-type strains. We calculate the nonlethal load in the *mmr* diploid strain as 0.3% (Fig S2). We also measured the difference in population fitness between wild-type and *mmr* diploid strains. We found that the *mmr* diploid is less fit than the wildtype diploid by a selection coefficient of 1.7% (Fig. 4). This difference is less than the fitness difference between wild-type and *mmr* haploid strains by 26% (p < 0.004).

# 315 Discussion

Prior work has shown that, over the short term, haploid mmr S. cerevisiae 316 strains decline in frequency when competed with a strain that is wild-type 317 for the mutation rate (Thompson et al. 2006; Raynes et al. 2011, 2018; Bui 318 et al. 2017). Consistent with these findings, we find a fitness disadvantage, 319 expressed as a selection coefficient, of 2.3% for mmr haploids in short-term 320 fitness competitions (Fig. 2). The magnitude of this selective disadvantage 321 is similar to that in other reports, including Raynes et al. (2011) (2.4%)322 cost), Raynes et al. (2018) (3.3% cost), and Wloch et al. (2001) (4.6% cost), 323 though this is a noncompetitive measure of absolute growth rate). 324

The deleterious mutations that cause load include both lethal and non-lethal 325 mutations. There is no fundamental theoretical distinction between these 326 two classes of mutation insofar as their contribution to load is concerned: 327 in many population genetic models, all members of an asexual population 328 who are not of the least-loaded class are considered to be doomed (Rice 329 2002). However, their different manifestations require different experimental 330 techniques. We therefore developed separate approaches to measure these 331 two components of load. 332

#### 333 Load due to nonlethal mutations

We measured the short-term competitive fitnesses of hundreds of randomly selected clones. The histogram and QQ plot for the haploid wild-type populations (Fig. 3A) suggest that, apart from one less-fit clone, the distribution

of fitness for the wild-type strain is essentially normal. The normality of the 337 distribution is consistent with nearly all wild-type clones having the same 338 genotype and thus the same expected fitness, along with many small sources 339 of error in estimation of fitness. One such source of error is drift over the 340 course of the short-term fitness competition. The formula derived by Gallet 341 et al. (2012) suggests that the expected variance in fitness measurement due 342 to drift given our experimental parameters is  $\sim 2 \times 10^{-6}$ . A larger source of 343 variance is due to sampling error: in the fitness competitions, we estimate 344 the relative frequencies of the competitors at two time points, sampling 345  $\sim$ 8000 cells per time point. We carried out simulations that suggest that the 346 expected variance due to sampling error is  $\sim 2.3 \times 10^{-5}$ . These two sources of 347 variance, summed, make up about 20% of the observed variance in selection 348 coefficient. The remainder of the variance probably stems from small-scale 349 environmental variation and other unknown sources of error. 350

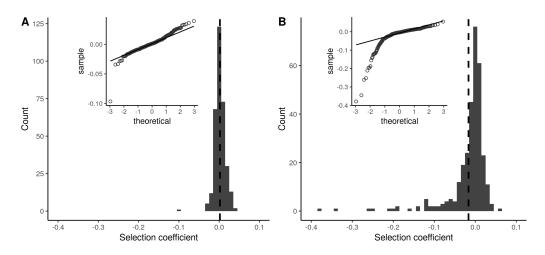


Figure 3: Distributions of fitness in haploid wild-types (A) and mmr mutators (B). We measured the fitnesses of 327 wild-type and 313 mmr clones. Fitnesses were measured in competition with an MMR + YFP + reference strain otherwise isogenic to the wild-type, as described in Methods. Dashed vertical lines indicate the mean. The load is ~0 for wild-types; for mmr mutators it is ~1.7%. QQ plots of the fitness distributions are shown as insets.

In contrast to the results in wild types, the fitness histogram and QQ plot for 351 the haploid *mmr* strain (Fig. 3B) are not reflective of a normal distribution. 352 Instead, a prominent left tail of less fit clones demonstrates the effect of 353 mutational load. The mean selection coefficient is -1.7% (95% CI: -1.1% to -354 2.2%), which is the quantification of the reduction in population mean fitness 355 due to nonlethal load. This reduction accounts for a substantial portion 356  $(\sim 75\%)$  of the measured competitive fitness difference (Fig. 2) between the 357 two strains. 358

We note that our estimate of the nonlethal load (1.7%) is likely an underestimate of the load that would be realized at a longer time scale, because load is maximized when equilibrium (mutation-selection balance) is reached and the populations may not have been at equilibrium when clones were randomly sampled. This same consideration also applies to our short-term fitness assays which showed (Fig. 2) a fitness deficit of 2.3% for the *mmr* strain relative to the wild type.

Selection coefficients of about the magnitude we observe here cause changes 366 in relative frequency that are extremely rapid in evolutionary terms. For ex-367 ample, a selective deficit of 2% would cause a decline from 50% frequency to 368 20% frequency in 70 generations. Observing a rapid initial decline of haploid 369 mmr S. cerevisiae strains in competition with wild-types, some investigators 370 (e.g. Grimberg and Zeyl 2005) have attributed the observed fitness differ-371 ence to an unknown direct cost (i.e. a pleiotropic effect) while others (e.g. 372 Raynes et al. 2018) have assumed that mutational load fully explains the 373 dynamics. The question has remained open, in part because it is nearly 374 impossible to definitively rule out a direct fitness effect of being mmr—any 375 attempt to measure such an effect will be confounded by the indirect fitness 376 effects. By quantifying the indirect fitness effects (i.e. load) we seek to deter-377 mine if a direct effect need be invoked to explain the observed experimental 378 dynamics. 379

It is not surprising that the nonlethal load accounts for only a portion of the observed fitness difference. The nonlethal load assay relies on the growth of deleterious mutants in order to measure their fitness and thus cannot detect mutants who do not grow, i.e. lethal mutations. In order to measure this portion of the load, we designed an assay in which lethal events are directly observed.

#### 386 Load due to lethal mutations

The lethal mutation rate has long been a matter of interest (e.g. Dobzhansky and Wright 1941). By observing thousands of mother-daughter pairs (duos), we found a rate of lethal events of 0.015 and 0.006 for the *mmr* and wild-type strain, respectively.

The wild-type rate, 0.006, is on the order of estimates for the genomic muta-391 tion rate itself (Drake 1991; Lynch et al. 2008; Zhu et al. 2014; Sharp et al. 392 2018) and therefore cannot possibly reflect the rate of lethal mutations. Our 393 interpretation is that, for the wild-type, all or most observed lethal events 394 were not caused by genomic mutations and are instead best considered to 395 be non-mutational deaths, perhaps caused by fine-scale environmental fluc-396 tuations, experimental manipulation, or other stochastic sources of insult 397 and stress. Observations of relatively high rates of cell death, too high to be 398 due to lethal mutation, are not uncommon. Replicative aging studies of S. 399 *cerevisiae* often observe low but substantial rates of cell death even in very 400 young mother cells (e.g. Chiocchetti et al. 2007; Shcheprova et al. 2008). 401 Rates of cell death on the order of our observed rate for the wild-type strain 402 have also been observed in young bacterial cells (Wang et al. 2010), sug-403 gesting that relatively high rates of non-mutational, non-age-related deaths 404 are common among microbes. Our assay design ensured that colonies were 405 young (the oldest cell in a microcolony was on average  $\sim 4.3$  generations old) 406 and we did not observe a bias in lethal events towards mothers (Table S1), 407 so we do not attribute the observed lethal events to senescence. In fact, we 408

observed, across both strains, a bias towards the lethal event occurring in 409 the daughter cell. This difference was not statistically significant (p = 0.14), 410 although within the *mmr* strain only we observed 10 lethal events in mothers 411 and 23 in daughters (p = 0.04). The observed bias towards daughters dy-412 ing, if not a sampling effect, could be attributable to smaller daughter cells 413 being relatively more vulnerable to stress. Indeed, increased vulnerability of 414 daughters to environmental sources of stress has been previously reported 415 (Knorre et al. 2010). 416

An *a priori* estimation of the wild-type lethal mutation rate can be made 417 as follows. Lang and Murray (2008) conducted careful estimations of the 418 rate of loss-of-function mutations to the CAN1 locus in a similar (W303) 419 background as the strains used in this work. Multiplying this rate,  $1.5 \times 10^{-7}$ , 420 by the number of genes thought to be essential for viability,  $\sim 1100$  (Giaever 421 et al. 2002), gives an expected lethal rate in wild-type haploids of  $1.7 \times 10^{-5}$ . 422 This estimate is on the lower end but within the range of observed rates 423 of accumulation of recessive lethals in several experiments conducted with 424 diploids (Wloch et al. 2001; Hall and Joseph 2010; Nishant et al. 2010; 425 Zhu et al. 2014: Jasmin and Lenormand 2016). Such a rate would suggest 426 that we expected to observe about 0.35 lethal mutations in the wild-type 427 strain in our experiment; we actually observed 14. Therefore, we consider 428 the observed rate of lethal events (0.006) in the wild-type to be an estimate 429 of the rate of non-mutational deaths. The corresponding rate for the mmr 430 strain is 0.015 (difference significant at p < 0.001). Making the assumption 431

that non-mutational deaths equally affect both strains, we take the difference between the wild-type and mmr lethal event rates, 0.009 (95% CI: 0.002 to 0.015), as the estimate of the lethal mutation rate in the mmr strain.

This empirical estimate falls within the range suggested by extrapolating 435 from fluctuation assays. Taking the Lang and Murray (2008) wild-type 436 CAN1 loss-of-function rate and multiplying by the number of essential genes 437 and by the average fold increase for *mmr* strains in a collection of published 438 reports (44-fold; see Table S4) yields a rate of 0.007. In a slightly different 439 approach, taking the average CAN1 loss-of-function rate of mmr strains 440 from published reports  $(1.5 \times 10^{-5})$  and again multiplying by 1100 essential 441 genes yields a rate of 0.017. 442

In many of the lethal events that we observed, growth did not immediately cease but continued for a few generations (Table S2) before halting. Limited growth for a few generations after an ultimately lethal mutation occurs has previously been observed (Mortimer 1955). We also observed morphological defects in several lethal events; one such instance is shown in the bottom panel of Fig. S3.

## 449 Diploid findings

The distribution of fitness for mmr diploids (Fig. S2) suggests that they are substantially less loaded than mmr haploids, as would be expected if dominance attenuates the deleterious effects of new mutations. We calculate the nonlethal load in mmr diploids as 0.3%, as opposed to 1.7% in mmr

haploids: that is, 82% of the load has gone away following diploidization. 454 One interpretation of this finding is that the average h value (weighted by 455 mutational effect size) is  $\sim 0.2$ . Surprisingly, the wild-type diploids were 456 more loaded than the wild-type haploids (compare Fig. S2A to Fig. 3A). 457 We cannot fully explain this observation, but we note that a recent mutation 458 accumulation plus whole-genome sequencing (MA+WGS) study (Sharp et 459 al. 2018) found that wild-type diploids declined in fitness but haploids 460 did not, mostly due to a class of mutations (nondisjunctions leading to 461 aneuploidies) that occurred at a higher rate in diploids; we have possibly 462 observed a similar phenomenon here. 463

The relative difference in short-term competitive fitness between wild-type 464 and mmr strains is narrowed by 26% in diploids (Fig. 4). It is some-465 what surprising that this figure is not larger, because most deleterious and 466 lethal mutations are expected to be closer to recessive than dominant, and 467 because the nonlethal loads are not very different between wild-type and 468 mmr diploids. One possibility is that the diploid mutator fixed a delete-469 rious mutation during the process of diploidization, which would account 470 for the discrepancy between the reduction in nonlethal load ( $\sim 80\%$ ) and the 471 reduction in total fitness difference ( $\sim 26\%$ ) in diploids compared to haploids. 472

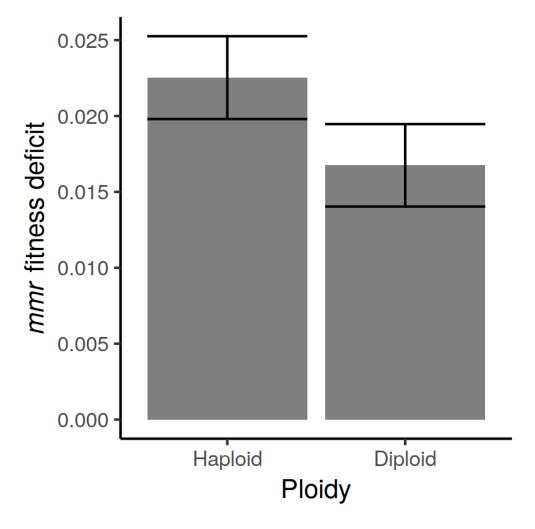


Figure 4: Change in *mmr* fitness disadvantage with ploidy. The fitness deficit, relative to the wild type, decreases by 26% from haploid to diploid strains (p < 0.004).

## <sup>473</sup> Considering the two loads together

The total fitness difference between the haploid wild-type and mmr strains could be a consequence of greater mutational load for the mmr strain, a direct effect of the  $msh2\Delta$  deletion, or a combination of the two. The ad-

dition of the lethal and nonlethal loads (0.017 + 0.009 = 0.026) is approxi-477 mately 13% larger than the measured fitness difference (0.023), a difference 478 that may simply be due to sampling error. The broad equivalence of these 479 measurements, and the direction of the difference, are consistent with the 480 hypothesis that the total fitness difference is solely due to mutational load. 481 Hence, although we cannot strictly rule out the existence of a direct fitness 482 effect, these findings suggest that there is no need to invoke direct effects 483 in explaining the fitness difference between the mmr and wild-type haploid 484 strains. 485

The proportion of mutational load that is due to lethal mutations has been a 486 matter of longstanding interest (e.g. Greenberg and Crow 1960). Depending 487 whether we take the denominator to be the fitness difference measured in 488 the competitive fitness assays (2.3%), or the sum of the separately measured 480 nonlethal and lethal loads (2.6%), we found that the lethal load comprised 490 between  $\sim 33\%$  and  $\sim 40\%$  of the total load, which is in agreement with a 491 previous observation (Wloch et al. 2001). This high proportion may partly 492 be explained by the extremely elevated indel rate experienced by  $mmr \ S$ . 493 *cerevisiae.* An MA+WGS experiment (Lang et al. 2013) in mmr haploids 494 found a  $\sim 14:1$  indel: substitution ratio ( $\sim 3:1$  when restricted to coding se-495 quences), while similar experiments with wild-type S. cerevisiae have found 496 indel rates that are 3-5% of the substitution rate (Zhu et al. 2014; Sharp 497 et al. 2018). Because frameshifts tend to be loss-of-function mutations, it 498 is not too surprising that the lethal mutation rate as a proportion of the 490

<sup>500</sup> deleterious mutation rate is quite substantial.

The proportion of deleterious mutations that are lethal may also be inflated 501 by an underestimation of the total deleterious mutation rate. The load 502 is equal to the deleterious mutation rate only when the population is in 503 mutation-selection balance. This equilibrium is reached instantly for lethal 504 mutations, quickly for deleterious mutations of large effect, and very slowly 505 for deleterious mutations of slight effect (Johnson 1999). The populations 506 in our assays have likely not achieved mutation-selection balance for all 507 mutational classes in the  $\sim$ 35 generations of the fitness assay, in addition to 508 the generations that occurred before freezing (i.e. before the experiment). 509 Hence, our estimate of the total load (2.3%-2.6%) should be considered 510 an estimate of the lower limit for the deleterious mutation rate for mmr511 haploids. 512

#### 513 Comparison to results in bacteria

Insofar as S. cerevisiae and E. coli are two model organisms, from differ-514 ent domains of life, with which many evolution experiments have been per-515 formed, it is interesting to compare the loads of mismatch repair mutators in 516 both. It appears that in E. coli the relative fitness deficit for mmr strains is 517 smaller than it is in haploid S. cerevisiae. For instance, Shaver et al. (2002) 518 did not detect a fitness difference between mmr and wild-type strains, de 519 Visser and Rozen (2006) did not observe an initial decline in mutS frequency 520 when that genotype was competed with the wild type at different starting 521

ratios, and Boe et al. (2000) estimated at most a 1% selective disadvantage 522 for *mmr* mutators. In this context it is relevant to note that there are several 523 reports of mmr genotypes in natural E. coli isolates (LeClerc et al. 1996; 524 Matic et al. 1997; Denamur et al. 2002), as well as in other types of bacteria 525 (Oliver et al. 2000; Richardson et al. 2002; Trong et al. 2005; Gould et al. 526 2007). In S. cerevisiae, in contrast, no functionally mmr natural isolates 527 have yet been found (though see Raghavan et al. 2018). It is also interest-528 ing to note that in a long term evolution experiment, spontaneously arising 520 mmr lineages fixed within the first ten thousand generations in 3 of 12 E. 530 coli populations (Sniegowski et al. 1997). Subsequently, after further tens 531 of thousands of generations, these mmr populations appear to have evolved 532 lowered mutation rates (Tenaillon et al. 2016; Good et al. 2017). The evo-533 lution of lowered mutation rates has also been observed in mmr S. cerevisiae 534 populations (McDonald et al. 2012) but on a shorter timescale, consistent 535 with the notion that the fitness cost of mismatch repair deficiency is higher 536 in S. cerevisiae than in E. coli. 537

Such observations suggest that *E. coli* are relatively more robust than *S. cerevisiae* to the lack of a functional mismatch repair system, paying less of a cost in load. One reason for this difference could be that the genomic mutation rate in *E. coli* is lower than that of *S. cerevisiae*. An MA+WGS experiment in wild-type *E. coli* found a genomic mutation rate of 0.001 (Lee et al. 2012) while similar experiments in wild-type *S. cerevisiae* have found rates of about 0.004 (Lynch et al. 2008; Zhu et al. 2014; Sharp et al. 2018). Suppose that the deleterious mutation rate as a fraction of the genomic mutation rate is approximately equal in the two organisms. Then, if the relative fold increase in the deleterious mutation rate caused by the lack of mismatch repair is also approximately equal in both, although the fold increase in load between wild-type and *mmr* will be the same, the absolute difference in load, which is what controls the evolutionary dynamics, will be bigger in *S. cerevisiae* than in *E. coli*.

Another possible (and more intriguing) factor is differences in the spectrum 552 of mutations combined with differences in the prevalence of certain genomic 553 patterns. In both E. coli and S. cerevisiae, the indel rate is greatly increased 554 in *mmr* lineages, and the rate of indels is strongly elevated in homopolymeric 555 repeats (HPRs). Both the relative increase from wild-type to mmr and the 556 absolute indel rate in *mmr* are higher, and scale upwards faster with HPR 557 length, in S. cerevisiae than in E. coli (Schaaper and Dunn 1991; Tran et 558 al. 1997; Gragg et al. 2002; Lee et al. 2012; Lang et al. 2013). Examining 559 all coding sequences in the E. coli and S. cerevisiae genomes, we find that 560 there are significantly more homopolymeric repeats per coding genome, per 561 gene, and per coding base in S. cerevisiae than in E. coli (Table S5). S. 562 *cerevisiae* that are *mmr* are therefore relatively more burdened by indels 563 than are mmr E. coli which could account for both the apparent larger fit-564 ness difference between MMR + and mmr and the corresponding apparent 565 difference in occurrence in natural isolates. We caution that this particu-566 lar analysis is speculative in nature at this time: one important caveat is 567

that, while this study and others have found large fitness differences between 568 wild-type and mmr haploids, S. cerevisiae spend most of their time in na-569 ture as diploids, in which the fitness deficit of mmr lineages would be less 570 severe. However, while estimates of the rate of outcrossing in S. cerevisiae 571 are very low (Ruderfer et al. 2006), the rate of sporulation, which entails a 572 haploid stage, is not known, and evidence of extensive inbreeding and loss 573 of heterozygosity (Peter et al. 2018) suggest that it is relatively frequent. 574 Recessive deleterious mutations may thus be frequently exposed to selection 575 in natural S. cerevisiae populations by both the haploid life cycle stage and 576 loss of heterozygosity from inbreeding, suggesting that diploidy may not be 577 as much of a shield for *mmr* lineages as it otherwise would be. A second 578 caveat is that, even if there is no direct fitness effect of mmr in haploids, 579 there could be such an effect in diploids, perhaps due to misregulation of the 580 frequency of recombination events (reviewed in Surtees et al. 2004; George 581 and Alani 2012). 582

#### 583 Conclusions and future directions

We have found that the indirect fitness effects of strong modifiers for mutation rates are substantial in haploid *S. cerevisiae*, and that it is not necessary to postulate direct fitness effects in order to explain the selective disadvantage of the lack of a functional mismatch repair pathway. This finding is probably most relevant to experimental inquiries of the dynamics of mutation rate evolution in which *S. cerevisiae* are the model organism.

We have also reported findings relevant to fundamental questions about 590 mutational dynamics, including the lethal mutation rate and the relative 591 ratio of lethal and nonlethal deleterious mutations. By sampling the fitnesses 592 of many individuals we have clearly demonstrated mutational load in an *mmr* 593 population, and from the load we are able to estimate a lower limit for the 594 deleterious mutation rate. We sampled hundreds of clones and were able to 595 obtain a clear picture of the left tail of the fitness distribution for the mmr 596 strain, but not for the wild-type strain. If the fitnesses of tens of thousands 597 of clones could be measured, much could be learned about load and other 598 evolutionary dynamics at wild-type mutation rates; such experiments may 599 become possible as methods for high-throughput measurements continue to 600 advance. 601

A limitation of this study is that we captured a snapshot of mutational load at a particular point in time in an evolving population. It would be interesting to observe, at a fine scale, how the distribution of fitnesses changes over time as a population approaches mutation-selection balance, adapts, and experiences other population genetic processes.

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# 614 Conflict of interest

The authors declare that there are no competing financial interests in relation to the work described.

# **Data archiving**

The authors plan to upload the raw data upon which this study is based toDryad.

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