1 **Increasing plant group productivity through latent genetic variation**

2 **for cooperation**

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Abstract

Historic yield advances in the major crops have to a large part been achieved by selection for improved productivity of groups of plant individuals such as high-density stands. Research suggests that such improved group productivity depends on "cooperative" traits (e.g. erect leaves, short stems) that – while beneficial to the group – decrease individual fitness under competition. This poses a problem for some traditional breeding approaches, especially when selection occurs at the level of individuals, because "selfish" traits will be selected for and reduce yields in high-density monocultures. One approach therefore has been to select individuals based on ideotypes with traits expected to promote group productivity. However, this approach is limited to architectural and physiological traits whose effects on growth and competition are relatively easy to anticipate.

Here, we developed a general and simple method for the discovery of alleles promoting cooperation in plant stands. Our method is based on the game-theoretical premise that alleles increasing cooperation incur a cost to the individual but benefit the monoculture group. Testing the approach using the model plant *Arabidopsis thaliana*, we found a major effect locus where the rarer allele was associated with increased cooperation and productivity in high-density stands. The allele likely affects a pleiotropic gene, since we find that it is also associated with reduced root competition but higher resistance against disease. Thus, even though cooperation is considered evolutionarily unstable, conflicting selective forces acting on a pleiotropic gene might maintain latent genetic variation for it in nature. Such variation, once identified in a crop, could be rapidly leveraged in modern breeding programs and provide efficient routes to increase yields.

Keywords: plant competition and cooperation; tragedy of the commons, GWAS; pleiotropy; Darwinian agriculture; evolutionary agroecology

Introduction

Crop breeding is currently undergoing fundamental transformations. Speed breeding and genomic prediction can shorten generation times and increase effective population sizes, leveraging rates of phenotypic change to unprecedented levels [1,2]. At the same time, large-scale, high-throughput phenotyping platforms have become available and allow for the simultaneous quantification of multiple traits in ever larger greenhouse and field settings [3]. Yet some studies suggest that current rates of yield increase are insufficient to meet growing demands from increasing human and animal population under a changing climate [4,5].

Historically, the highest rates of yield increase were achieved in the middle of the 20th century, at the beginning of the "Green Revolution". Combining breeding with improved management, yield potentials of major crops such as wheat and tropical rice approximately doubled in only a few plant generations [6–8]. In retrospect, these gains in yield potential have been unusually large. In contrast to most classical breeding that operates through selection on polygenic variation, they were largely realized by capitalizing on single genes, notably by the introgression of discrete but pleiotropic dwarfing alleles with major effects on plant form and function [9,10]. These alleles resulted in smaller and less bushy individuals, which diverted less resources to competition and allocated more into reproduction, i.e. into grain yield. The resulting more "cooperative" genotypes could be cultivated in high-density stands, which resulted in an increased crop yield per unit land area, with little productivity increase at the individual level. In other words, a trade-off between individual fitness and group-level yield was exploited to promote yield in well-fertilized high-density stands [8,11–16]. This trade-off, however, also causes the traits that benefit the group to be selected against in mixed or segregating populations containing larger, more competitive individuals, where cooperative individuals have lower relative fitness [14,17].

A key lesson from the "Green Revolution" thus is that selection for competitive individuals must be avoided to achieve the highest-possible yields. One way to achieve this in breeding is to anticipate "ideotypes" with a suite of phenotypic traits that reduce competition and thereby promote cooperation. Desirable traits are, for

example, a short stature, erect leaves, and a compact root system [12,13,18].

However, a limitation of ideotype breeding is that the specific trait variation relevant

for high crop yield in high-density groups often remains elusive to the human

observer, especially for below-ground traits. In principle, this can be avoided by

shifting the focus on selection for quantitative genetic variation for cooperative traits

at the group level [19–21], but this is often impractical (especially early in the

breeding cycle), requiring more land and resources for phenotyping. Further, when

genetic variation for the trait of interest does not exists in the breeding population, it

first must be discovered in a wider crop population or in wild relatives (e.g.

secondary gene pool).

Plant cooperation among unrelated individuals rarely evolves in natural systems

because plants often grow in communities of unrelated individuals, a setting under

which cooperative phenotypes have low fitness [22]. However, if latent genetic

variation for cooperation exists in nature, it could potentially be leveraged in

breeding [23]. The difficulty, however, is to discover relevant alleles in a diverse set

of plants, in particular when they do not correspond to classical ideotypes. Here, we

present a methodological framework for the discovery of such alleles. We aimed for

such a framework to be as general and unbiased as possible, in order to detect yield

or biomass gains (or any other performance measure) that emerge from any type of

cooperation, including for unknown resources and through unidentified traits.

Specifically, we designed competition experiments and corresponding analytical

tools that allow to rank plant genotypes on a scale ranging from "competitive" to

"cooperative". We then applied these methods in a proof-of-concept experiment with

a population of *Arabidopsis thaliana* genotypes and produced a genetic map of a

group vs. individual (G-I) performance trade-off. Variation at one genomic region

was strongly associated with variation along this trade-off, whereby the allele of

minor frequency promoted cooperation. However, the identified genetic variants

were neither associated with individual- or monoculture-level productivity alone.

They only became evident when mapping the G-I performance trade-off itself. We

then showed in separate experiments that the associated genetic polymorphisms

allowed predicting productivity responses of genotypes along plant—density

gradients. We argue that the method we present here has a large application potential,

not least because of recent advances in technology including genome-wide
association studies (GWAS) and large-scale phenotyping that make these methods
widely applicable.
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Results

Quantifying a plant group-vs-individual (G-I) performance trade-off by
growing genotypes in different social contexts

The core principle of our method is to measure the performance of a set of
genotypes in different "social contexts" [24], allowing for the quantification and
subsequent genetic mapping of variation in a G-I trade-off. To achieve this, we grew
individuals of a set of genotypes (1) in monoculture, i.e. we confronted them with
their own social strategy, and (2) in competition with a set of "tester" genotypes that
represent the range of social strategies present in the population. According to game
theory, the most cooperative genotype of the set will perform best with similarly
cooperative neighbors (i.e. as a group in monoculture) but will lose when facing
selfish, highly-competitive neighbors (i.e. as an individual in mixtures). Conversely,
the most competitive genotype will perform worst when grown with similarly
competitive neighbors but will win when facing a cooperative neighbor. Comparing
the performance of a genotype in monoculture group relative to the performance with
the tester set therefore allows to quantify the genotypes' G-I performance trade-off
(**Fig. 1**) and thereby rank all genotypes on a continuum between cooperative and
competitive. In principle, this approach is applicable to any species, but for
simplicity we tested it with an association panel of 98 natural *A. thaliana* accessions
– a subset of the RegMap population [25]. Aboveground dry matter production
(shoot biomass) served as the measure of performance (Materials and Methods), but
any other target characteristic such as agricultural yield could also be used. Each of
the 98 focal genotypes was grown in a pot that contained two congenotypic
individuals (genotype monoculture), and additionally as individual in ten further pots
with one individual from each of ten tester genotypes (genotype mixtures; **Fig. 1A,B**;
Supplementary **Fig**. **S1**). The design was replicated in two blocks.

As expected, competitive interactions among individuals were strong, with large

negative effects of average tester shoot biomass (average across all pots) on shoot

biomass of the focal genotypes (ANOVA $F_{1,960} = 88.23$; $P < 0.001$). To evaluate the

group vs. individual (G-I) performance trade-off of genotypes, we related the mean

shoot biomass of the target genotypes in monocultures to their average individual

biomass when grown in competition with tester genotypes (individual performance

in mixed stand; **Fig. 1C**). Not surprisingly, across genotypes, group and individual

performance were highly positively associated, with more vigorous genotypes

producing more biomass both in monoculture groups and as individuals subject to

competition by testers. This relationship was also non-linear (second degree

polynomial; $F_{1,95}=8.4$, $P=0.005$ for quadratic term), possibly because space became

progressively limited as plant size increased [24,26]. However, the exact nature of

this deviation from linearity is unimportant since we treated the overall relationship

as heuristic. We then used the distance of each genotype's data from this empirical

relationship to locate each genotype on an orthogonal axis that quantified the G-I

trade-off (Methods and **Fig. 1C**). In other words, this procedure transforms the

separate values for group performance in monoculture and mean individual

performance in mixtures into two orthogonal metrics: the position along the overall

relationship reflects general genotypic vigor (e.g. increased productivity due to better

adaptation to the specific growth conditions), and the position perpendicular to the

general relationship reflects a G-I trade-off value that characterizes the communal

properties of the focal genotype (inset **Fig. 1C**). Specifically, the G-I value is

positive for more cooperative genotypes, which have relatively lower individual

performances in mixtures (competitive environment) but a higher performance in

monoculture (cooperative environment).

 Figure 1: A general method for the genetic dissection of the group-vs-individual

 performance (G-I) trade-off. (A) Experimental design of the competition experiment. G1,

 G2, … G98: focal genotypes sampled from the RegMap panel of natural *A. thaliana*

 accessions. T1, T2, … T10: tester genotypes representing a range in plant size to reflect a

 large portion of the genetic variation present within *A. thaliana*. **(B)** Experimental setup. **(C)**

 Relationship between a genotype's mean performance (shoot biomass) as an individual

 across all mixtures with tester genotypes and its group performance in monoculture. Black

 line: second degree polynomial with 99% confidence intervals. The inset outlines three of

 many possible genetic effects a hypothetical allele could have on a genotype's strategy:

 variation along the mixture-vs-monoculture regression describes variation in vigor, and

 variation perpendicular to the regression describes the G-I performance trade-off. Red and

blue dots show genotypes carrying different alleles at position 15'294'955 on chromosome 3

(see below), whereby red alleles confer a more cooperative strategy.

Genome-wide association mapping identifies allelic variation associated with

G-I tradeoff

Next, we performed genome-wide association tests for the genotypic G-I trade-off

value. Genome-wide polymorphism data of our population were available through

the RegMap panel and single nucleotide polymorphism (SNP) information was

available for 214,000 sites. The G-I trade-off value was significantly associated with

a major effect locus on chromosome three (**Fig. 2A,B**). The rarer allele (C-allele)

was found in 18% of the RegMap population and was associated with lower

individual but higher group performance, i.e. with increased cooperation (**Fig. 1C**).

The SNP with the strongest association resides in the center of a transposon-rich

region and explained approximately 25% of the variation in the genotypic G-I trade-

off values (**Fig. 2C**). Direct mapping of untransformed data, i.e. of variation in either

individual or monoculture group biomass alone, did not reveal any significant

associations (Supplementary **Fig. S2A,B**). This was expected, because such an

analysis fails to separate general vigor from the G-I trade-off value that we used here

to quantify cooperative properties. A more detailed genomic analysis based on a

subset of 68 genotypes for which genome-wide re-sequencing data are available

[27] revealed association signals across many polymorphisms in a region of

approximately 150 kb around the identified RegMap SNP, all in high linkage

disequilibrium (**Fig. 2B**).

Figure 2: Allelic variation at a major effect locus affects the group-vs-individual performance (G-I) trade-off in *A. thaliana.* **(A)** Manhattan plots of genome-wide association tests for variation in the G-I trade-off, based on the 250k SNP chip data. The genotypic G-I trade-off value is the distance from the overall trend between group and individual performance in monoculture and mixtures, respectively (inset). **(B)** Zoom in on a segment of chromosome 3, showing Manhattan plots of either an association analysis using SNP chip polymorphisms (top), or, for a subset of 68 genotypes, genome-wide re-sequencing polymorphisms (bottom). Models of protein-coding genes are drawn as boxes below, on either + (upper) or – (lower) strand. **(C)** Association of variation at SNP 15'294'955 and the G-I trade-off. Error bars denote means ± s.e.

Cooperative allele improves monoculture productivity at high density

To experimentally validate our finding of enhanced cooperation (i.e. of improved group performance in lines carrying the minor allele at SNP 15'294'955), we performed a stratified sampling of genotypes for plants of different sizes carrying either allele and grew these in stands of increasing planting density. Cooperative plant traits typically improve group performance especially at high planting densities

[15,28,29]. Genotypes carrying the cooperation-associated allele at SNP 15'294'955
indeed exhibited superior productivity at the highest sowing density (+15% biomass,
average across all genotypes; **Fig. 3 A,B**; ANOVA $F_{1,10.6}$=7.5 , P=0.02), despite
slightly lower individual performance across mixtures in the competition experiment
(- 4% biomass in mixtures, **Fig. 3B**). As anticipated, group performance gains were
more pronounced at higher densities relative to those at lower densities (**Fig. 3A**;
ANOVA $F_{1,14.9}$ = 7.0, P = 0.019 for allele × ground area per individual). These results
demonstrate that the molecular method presented here is able to predict group-level
features that cannot be deduced from individual-level properties, and that these allow
improving monoculture stand productivity.

Figure 3: Genotypes carrying the allele for cooperation exhibit superior
monoculture performances at high-density. **(A)** Changes in monoculture biomass of
genotypes carrying either the cooperation-associated allele (C-allele, red) or the alternative
allele (A-allele, blue) across a realized planting density gradient. Lines show linear
regression estimates ± s.e. Arrowheads show-per plant areas at the sown target densities,
which were not always realized due to seedling mortality. **(B)** Comparison of genotype's
mean individual shoot biomass in mixtures versus monoculture biomass at densities of 25

plants per pot (2.56 cm^2 per plant). Horizontal lines: mean values across all genotypes

carrying either allele. Red and blue: cooperation-associated allele (C-allele) and alternative

allele (A-allele) at SNP Chr 3 15'294'955, respectively. Note the different scales of the left

and the right y-axes.

Reduced root allocation is associated with enhanced cooperation

We next explored the relationship between the allele for cooperation and

phenotypic traits to identify potential mechanism underlying enhanced cooperation.

We measured two traits that characterize growth and resource-acquisition strategies

of the genotypes in our panel. Specifically, we measured rosette diameter because

rosette lateral size affects light harvesting, and monoculture root-to-shoot ratio as

indicator of relative investment into below-ground nutrient aquistion (Methods). We

further included two publicly available phenotypic traits into our analysis [30],

namely flowering time in the field and vegetative growth rate. Genotypes carrying

the allele for cooperation had significantly lower root-to-shoot ratios (ANOVA

$F_{1,95}$=5.13, P=0.026; effect size: 19% lower root/shoot ratio), and these were

significantly negatively associated with their G-I trade-off value (ANOVA

$F_{1,95}$=18.4, P<0.001; **Fig. 4A and B**). None of the other traits examined showed such

an association (**Fig. 4 A** and Supplementary **Fig. S3**). We confirmed that this pattern

of lower root-to-shoot ratio in cooperative genotypes holds across environments by

conducting an independent experiment, where we explored this effect in both

monocultures and in isolated individual plants, and in a different soil type (**Fig. 4C**,

and Supplementary **Fig. S3**). Overall, our findings indicate that reduced relative root

growth is part of a genetically fixed strategy associated with enhanced cooperation.

Figure 4: Altered allocation to roots but not growth or life history is associated
with increased levels of cooperation. (A) Association of allelic variation at SNP
Chr3:15'294'955 with variation in traits related to different plant strategies. **(B)** and **(C)**
Relationship between the G-I performance trade-off and plant root-to-shoot ratio in
monocultures of the competition experiment shown in Fig 1 (B) or monocultures of an
independent experiment (C; see also Methods and Suppl. Fig. S3). Bars and regression lines
show means ± s.e.; * ANOVA P < 0.05; n.s.: not significant.

The cooperative allele is geographically widespread and associated with
pathogen resistance

Evolutionary game theory predicts that an allele which promotes cooperation will
be selected against in a natural plant population, except under special circumstances
[31]. We were thus surprised that the allele for cooperation that we identified is

found over a wide geographic range and at remarkably high frequency (**Fig. 5A**;
minor allele frequency 18%). Genes often have multiple functions, and we therefore
expected that in natural populations, conflicting selective forces acting on such
pleiotropic genes (or on genes in tight linkage) might underpin the persistence of
alleles for cooperation [32]. Examining genes in the identified genomic region, we
found *AtMIN7*, a documented regulator of both growth and defense. The AtMIN7
protein targets pathogen effectors that suppress the plant immune response [33].
Importantly, variation at the *AtMIN7* gene is associated with resistance against
Hyalonperonospora arabidopsidis, an obligate pathogen of *A. thaliana* causing
downy mildew [34]. Analyzing this published data on resistance against different
strains of *H. arabidopsidis,* (incl. Noco2 and Emoy2*)* we found that the cooperation-
associated allele indeed was highly significantly associated with partial or full
resistance against strain Noco2 (**Fig. 5B**, Fisher's exact test; P<0.001). The resistance
level against Noco2 also explained significant amounts of variation in the G-I trade-
off value of our genotypes (ANOVA $F_{2,79}$=3.57, P=0.03, **Fig. 5C**). We therefore refer
to this naturally-occurring genetic variation as *latent* variation for cooperation, since
contributions to pathogen resistance rather than cooperation has likely maintained the
minor allele in *A. thaliana*.

Figure 5: The cooperation-associated allele exhibits a wide geographic distribution and is correlated with increased race-specific pathogen resistance. (A) Occurrence of natural *A. thaliana* accessions carrying the allele for cooperation (red 'C') or the alternative allele (blue 'A') across sampling sites in Europe. (B) Association of Chr 3 SNP 15'294'955 with resistance or susceptibility against strains of *Hyalonperonospora arabidopsidis* (Emoy2 and Noco2), based on published data [34]. Numbers indicate genotype counts. n.s. = no significant difference (C) Association of Noco2 resistance levels with the G-I trade-off. s = susceptible, i+r = intermediately and fully resistant.

Lines carrying the cooperator allele have a competitive advantage in conditions of high disease pressure

Further evidence for the idea that the allele for cooperation is advantageous in environments with pathogens emerged from an additional experiment in which we quantified individual allele's benefits (payoffs) in well-defined competitive interactions. For the experiment, we isolated four different pairs of near-isogenic lines (NILs) from Shahdara×Bayreuth recombinant inbred lines [35], i.e. pairs of nearly identical genotypes in which allelic variation was restricted to the region around the focal SNP (see Methods for details). It is predicted that NILs homozygous for the Shahdara (Sha) allele exhibit a more selfish and competitive, and lines homozygous for the Bayreuth (Bay) allele a more cooperative strategy. To separate effects of Sha and Bay alleles from the general genetic background of the respective NILs, we replicated this design with four different pairs of NILs, i.e. in four different genetic backgrounds. In accordance with classic social dilemmas in evolutionary game theory (e.g. prisoner's dilemma) [36], we assumed the competitive genotypes would receive the highest payoff when growing with a cooperative neighbor (**Fig. 6A**). However, such payoffs are difficult to quantify directly, and therefore we refer to payoffs as the reduction in above-ground biomass of plants competing relative to plants grown individually (e.g. small reduction in competition = high payoff, etc.). We thus ran replicated experiments matching focal plants with neighbors so that all four possible combinations of Sha and Bay alleles were realized. All genotypes were also grown individually without neighbor, and the relative reduction of individual performance in competition was used to quantify the cost of growing with a specific neighbour.

Unexpectedly, the experiment was invaded by a parasite which affected genotypes and allele carriers differentially and influenced competitive outcomes. Over the course of the experiment, some plants showed early leaf blotching and at harvest many showed senesced and wilted leaves (**Fig. 6B** and Supplementary **Fig. S5**). This is a phenotype typical of soil-borne diseases. The symptoms were dependent on genotype, and were mainly seen in NIL-background 33RV113 and individuals carrying the competitor (Sha)-allele, (**Fig. 6C**). At the same time, we detected abundant spores of the obligate plant parasite *Olpidium brassicaea* in roots of all

sampled pots (n = 18; Supplementary **Fig. S5**). We took advantage of this to test the

hypothesis that the allele increasing cooperation also conferred pathogen resistance.

As a proxy for root disease expression, we visually scored root yellowing/browning,

a phenotype caused by *O. brassicae* [37]. We found that this score significantly

depended on genetic background (ordinal logistic regression p-value < 0.001 for NIL

pair) and was always lower in genotypes carrying the cooperative (Bay) allele

(ordinal logistic regression p-value = 0.001; **Fig. 6C**).

As predicted, plants carrying the allele promoting cooperation were competitively

inferior when matched with a competitive neighbor, but only in the two genetic

backgrounds that showed low disease expression (**Fig. 6D**). In the two genetic

backgrounds with high disease expression, the competitive relationship was very

different; most pronounced in the most susceptible genetic background, the genotype

carrying the allele promoting cooperation was competitively superior.

Together, our results suggest that the cooperative allele is associated with

increased resistance against several diseases, which may confer a competitive

advantage under high disease pressure. This effect may be caused by antagonistic

pleiotropy or by different alleles at closely-linked genes. Whether or not such a

trade-off between competition and disease resistance affects selection in nature will

have to be tested. However, it could explain why a cooperative allele is maintained in

a natural population and over such a wide geographic range.

Figure 6: A trade-off between competitive dominance and disease resistance expressed in different near-isogenic lines (A) Expected payoff matrix of interactions between plants ("cooperate" vs. "compete", as determined by carrying Bay vs Sha alleles), with Temptation (T) > Reward (R) > Punishment (P) > Sucker's Payoff (S) (color coded). **(B)** Experimental outline: benefits refer to the cost reduction as measured by the average above-ground biomass of genotypes in competition compared to the biomass of the plant grown alone. A strong reduction refers to a high costs and therefore low benefit, a weak reduction to a high benefit. **(C)** Pot containing a pair of near-isogenic plants isolated from the RIL parent 33RV113. The plant carrying the competitive Sha allele exhibits strong signs of leaf wilting (arrows). **(D)** Summary of the competition experiment, separated by genetic background (33RV085, 33RV142, 33RV192, 33RV192), showing disease symptoms (number of plants exhibiting leaf blotching; root browning score) and payoff matrices for all genetic backgrounds and allele combinations. The values in payoff matrix are the average biomass reduction ± standard error of the mean in brackets

Discussion

Here we used specifically-designed competition experiments, combined with genetic association mapping, to identify (1) plant genotypes that perform well when grown in high-density stands, and (2) potential genes and alleles that underlie these cooperative growth strategies. Interestingly, in *A.thalina* we detected a specific allele that is associated with increased growth in high-density stands, representing a strategy we here refer to as "cooperation". The importance of cooperation among individuals for agronomic production has long been recognized. Animal breeding has focused more explicitly on cooperation and social strategies [19,38]. Domesticated animals are often kept at relatively high densities, which can trigger aggressive behavior among individuals, resulting in high levels of stress, injuries, and increased mortality, all of which are detrimental to animal welfare and productivity. A breeding objective thus has been to obtain relatively docile, cooperative animals that limit adverse interactions when kept at high densities [39]. Conceptually similar considerations apply to plant communities. However, in plants, the traits that underlie cooperation are less easily recognized. In general, such traits may be related to resource sharing in the sense that resources remain accessible to the individuals that most efficiently convert these into biomass or yield. In other words, any excessive investment into competition in the presence of neighbors undermines what is, from an agronomic perspective, an efficient use of resources at the group-level [40–46]. In plants, cooperative growth strategies thus may be characterized by a relative insensitivity to the presence of neighbors, or even a reduction in the development of competitive structures. Indeed, improved group functioning was observed in crop experiments where genotypes exhibited more vertical leaf angles [47], where leaf area [48] or branch numbers were reduced [49], or where belowground competition was experimentally prevented [41,46]. Common to all these experiments is the finding that restricting competitive interference between neighbours, either experimentally or by using genotypes with corresponding traits, is beneficial for group yield, especially at high planting densities. In our study, we observed a lower relative investment into root growth in cooperative genotypes, which is in line with this consideration.

Cooperation, though beneficial for group yield, is typically also associated with
individual fitness costs in non-cooperative environments, i.e. under strong
competition. Addressing this group-vs.-individual (G-I) trade-offs thus is key to
identifying and using cooperative genotypes. In breeding, different strategies have
been developed that achieve this goal. First, cooperation can be selected for by
anticipating corresponding ideotypes possessing traits expected to foster cooperative
strategies. This has been very successfully accomplished during the Green
Revolution [6,12,13,50], and lead to the recognition of the relevance of a G-I trade-
off in crop improvement [14,17]. Second, cooperative strategies can be directly
selected for at the group level [51,52]. For example, this is often done in field trials
with maize in which identical hybrid genotypes are planted and evaluated in high-
density rows and subsequently in larger field plots. Such a selection at the group
level also promotes traits that benefit group yield, for example reduced tassel size,
more vertical leaves, and reduced tillering [23,52,53]. Finally, genomic predictions
are increasingly adopted to predict a genotype's merit purely based on its genome,
whereby prediction models are trained on yield variation of high-density stands of
pure varieties. These approaches have arguably contributed to historical and
contemporary yield advances, and implicitly or explicitly include elements that
selected to some extent for cooperative traits [51]. The method we present here –
while motivated by the same broader topic – differs in important respects. First, it
directly assesses the group-vs-individual trade-off rather than group yield. By
exposing genotypes to two 'social environments' that represent different ends of the
cooperation-competition continuum, genotypes expressing cooperative traits can
more easily be distinguished. This contrasts with other methods that either focus only
on groups (e.g. row or plot-level selection) or use an environment with mixed
neighborhoods (e.g. typical setting in quantitative genetic analyses of indirect genetic
effects [19,54,55]). Second, our method systematically decomposes group yield into
two effects: general vigour and cooperation. This separation is critical because alleles
promoting cooperation may be found at low frequency and in genotypes with low
vigour. A prominent example is the recessive rice semi-dwarf allele *sd1*. It was
originally found in the Taiwanese "Dee-Geo-Woo-Gen" variety, which showed only
average yields in the tropics, but was instrumental for boosting tropical rice yields

[9,10,17]. Third, the fact that we use an empirical, heuristic relationship to correct for
general vigour implicitly accounts for non-linear relationships between genetics and
productivity, which is typically difficult to address with other methods.

In combination with genetic mapping, our method provides an "agnostic"
approach to discover latent genetic variation for cooperation. In our proof-of-concept
study, we identified a genetic variant of large effect. This was surprising, since traits
underlying individual growth and yield or environmental adaptations such as drought
tolerance are often highly polygenic [56–58]. On the other hand, there is now
increasing evidence that different plant-plant interactions can be affected by few
genetic variants of large effects [59,60, this study], maybe resembling the pattern
more generally found in plant-parasite-interactions [61]. Regardless of the reason,
the existence of such alleles is important given that many biotechnological methods,
including genome editing, typically rely on the availability of traits that are
influenced by a single or few genes. We therefore propose that biotechnological and
breeding research should extend its focus beyond the performance of individuals,
often grown as single plants in pots on "conveyor-belt systems", towards the
performance of groups and the relevant competitive interactions that drive it.

In this work, we focused – primarily for the sake of simplicity – on a model
population of *Arabidopsis thaliana*. This is a first case study using the proposed
methodology, and a larger-scale systematic search may reveal alleles with
comparable effects in crops or their wild relatives. Here, we found that the allele for
cooperation among plants remained relatively frequent (18%) in natural populations,
likely because it conferred resistance to diseases to the individuals carrying this
allele, and thereby higher fitness under high disease pressure. This raises hopes that
crops and wild plants may also hold hidden cooperative traits that have persisted
despite their disadvantages in competitive situations. Once identified, such latent
variation in cooperation could rapidly be co-opted in marker-assisted breeding
programs or through biotechnology. At a more fundamental level, the finding that
large-effect genetic variants for cooperation are maintained in natural populations
leads to the intriguing thought that social traits could arise as evolutionary
exaptations, i.e. by co-option of an existing trait unrelated to cooperation [62].

Materials and Methods

Plant material

The natural *A. thaliana* accessions used (Supplementary Dataset S1) are a subset of the RegMap population [25] for which a comprehensive list of traits has been collected [30]. For pairs of near-isogenic lines isolated from four Bay-Sha RILs, we confirmed homozygosity for a genetic polymorphism upstream of the focal SNP (primer F: 5'-TGAGAGAGAGCTGATGATGGATG-3'; primer R: 5'-CGCCTTGATTGACACAGATTC-3'; approx. 100 bp deletion in Sha sequence at position 15'333'951) and a genetic polymorphism downstream of the focal SNP by the use of PCR markers (markers primer F: 5'-GCAAGAGGGAGCTAAAGAAACAG-3'; primer R: 5'-GCCCTTATCGCCATGAACTG-3'; approx. 50 bp deletion in Sha sequence at position 14'914'025). These deletions in the Sha sequence were predicted by the Polymorph tool (http://polymorph.weigelworld.org). All eight genomes were also re-sequenced on the DNBseq plattform (BGI, Hongkong) with a minimum of three Gb sequences per genome. The reads were aligned to the *Arabidopsis* Col-0 reference sequence with the use of the bwa software (version 0.7.16a) [63], and read sorting and variant calling was performed using samtools (version 1.5) [64]. Whole-genome reconstruction was performed as described previously [59], following the approach developed by Xie and colleagues. Genome reconstructions were in agreement with the published marker maps of the corresponding RILs ([35] **Supplementary Fig. S5A**). We confirmed genetic differentiation of each of the four NIL-pairs at the locus of interest. Two pairs were otherwise completely isogenic, the two other pairs showed some residual genetic differences on other chromosomes (**Supplementary Fig. S5B**).

Experimental Design

Competition experiment: pairs of individual plants were grown in small pots in a factorial design in which the 97 genotypes of the panel were each grown together with one of ten tester genotypes (Bay-0, C24, Col-0, Cvi-0, Ler-1, Sav-0, Sf-2, Shahdara, St-0, Uk-1). The tester genotypes were a subset of the panel, but tester genotypes not part of the original panel would have worked equally well. Each

genotype was further grown in a monoculture of two individuals. Each genotype
composition was replicated twice, in separate blocks. In the second block, not
enough seeds were available for line LP-2-6; in the second block, we therefore
replaced this accession by Kn-0. We thus effectively had 98 genotypes grown with
the ten tester accessions. Overall, the experiment consisted of 2134 pots with two
plants each. Each tester line was also grown as individual plant, once per block. Pots
containing single plants, and pots in which one plant died at the seedling stage, were
excluded from data analyses.

Density gradient: to test for decreased self-inhibition in plants carrying the
cooperation-associated allel, a plant density gradient was constructed. Six genotypes
that carried the cooperation-associated allele (Bor-4, Est-1, Mt-0, Ra-0, Sav-0, Wa-1)
but varied in average individual performances were paired with seven genotypes
(An-1, Br-0, Can-0, Kondara, Nfa-10, Shahdara, St-0) that carried the alternative
allele but had similar average individual performances. By matching genotypes by
size, we were able to separate the size-dependence of self-inhibition from the allele
effect.

<u>Preparation of plant material and growth conditions</u>

Competition experiment: seeds of all accessions were sown directly onto soil
(four parts Einheitserde ED73, Gebrüder Patzer, Germany; one part quartz sand) in
February 2016. For germination, the pots of size 6×6×5.5 cm from a given block
were randomly placed into trays covered with plastic lids. The two plants per pot
were established 3–4 cm apart by sowing 5-20 seeds per position and, once seeds had
germinated, removing surplus seedlings. Block 1 and 2 were sown on February 17th
and 18th, 2016, respectively. Plants were grown with a photoperiod of 14 hours,
providing additional light when necessary. Day-time and night-time temperatures
were maintained around 20–25°C and 16–20°C, respectively. Trays were randomly
re-arranged within the greenhouse every 3-5 days. After 5-5.5 weeks, pots were
transferred from trays onto three tables with automated watering and randomly re-
arranged every week. Flowering shoots of individual plants were tied to wooden
sticks when they grew taller than approx. 10 cm. All plants were harvested on April
14th (Block 1) and April 15th (Block 2), 2016, i.e. approx. eight weeks after sowing.

Each plant was cut below the rosette and individually dried at 65°C for 4-5 days and

then stored at room temperature until weighing. Roots from a pot were washed on a

metal sieve, and total root mass determined after drying at 65°C for four days.

Flowering time was determined by checking every 2–3 days whether flowering bolts

were present that exceeded 0.5 cm height.

Density gradient: monocultures were sown in pots of 9×9×10 cm (inner pot

diameter ~ 8×8 cm) at densities of either 9, 16 or 25 plants per pot. Soil and growth

conditions were as described above for the competition experiment. Because we

observed some seedling mortality early in the experiment, realized planting density

was determined from photographs taken 27 days after sowing, i.e. at a time when

competition still was very limited. 54 days after sowing, above-ground biomass was

harvested, dried, and weighed.

Independent biomass allocation measurements: For an independent assessment of

root-to-shoot biomass ratios in the studied natural accessions, 80 genotypes that were

used in the main competition experiment were grown for 43 days either as single

plants or as monoculture (consisting of four plants per pot) and in pots of 7×7×8 cm

size on a mixture of one part ED73 and four parts quartz sand. The measurements

were performed as described above.

Competition experiments with NIL-pairs: we used four NIL-pairs derived from

33RV085, 33RV113, 33RV142 and 33RV192. Each pair consisted of one line

carrying the Bay allele and one line carrying the Sha allele. For each pair, we grew

16 monocultures per line and 32 mixtures of the two lines, all consisting of two

plants per pot. We additionally grew each line as single plants per pot, also replicated

16 times. Seeds were directly sown into 6×6×5.5 cm pots filled with a 4:1 parts ED73

soil : quartz sand. Leaf blotching and excessive senescence were noticed mid-way

through the experiment and scored 31 and 50 days after sowing. Scoring was done

blindly, i.e. with no information about pot or genotype identity. Shoot and root

biomass were harvested 57 days after sowing, roots washed, and all biomass dried

and weighed as described above. Root browning scores were determined visually by

categorizing root browing into three ordinary levels (Supplementary **Fig. S6**). Roots

were stained using a 1% cotton blue in 80% lactic acid solution and examined using

a Leica microscope for a visual inspection of potential root pathogens.

Statistical analyses

All statistical analyses were performed using the statistical software R version 3.4.1 (http://r-project.org). The block-adjusted individual performance of genotypes across mixtures were estimated using least square means from a model including just block and genotype. Monoculture biomass per individual (i.e. total average monoculture biomass divided by two) was then fitted as function of linear and quadratic forms of individual biomass, using the R-function "lm". The G-I trade-off value was determined as orthogonal distance by determining the point in the quadratic heuristic that was closest to the respective point by non-linear minimization using the R-function "nlm". The GWAS analyses were performed with easyGWAS (https://easygwas.ethz.ch) [65], using the EMMAX algorithm [66] and using SNPs from the 250k SNP chip (http://bergelson.uchicago.edu/) or the 1001 genomes project (http://1001genomes.org/) [27]. SNPs with a minor allele frequency below 5% were removed.

For the density gradient experiment, productivity was modelled in dependence of the fixed terms area per individual, allele, plus their interaction. The corresponding random terms were accession, and the interaction between accession and area per individual. Individual performance in mixture of the previous experiment was included as a covariate in the model, because it was used in the design of the experiment for stratified sampling of genotypes according to size. The realized densities deviated from sown densities because of a relatively high initial mortality. Therefore, we instead used densities determined from photographs of each pot that were made mid-way through the experiment. Two pots were removed from the analysis because realized densities were much higher than planted densities, probably because they accidentally had not been thinned to the intended densities. In the NIL-pair competition experiment, the payoff for a given combination of strategies was determined as shoot mass of a line grown as individual plant minus its shoot mass under competition, i.e. the payoff quantified the shot biomass reduction induced by to the presence of particular neighbours. The standard error of the payoff σ_{payoff} was calculated by error propagation as $\sqrt{(\sigma^2_{single\ plant} + \sigma^2_{individual\ |\ neighbour})}$.

598 Root browning scores were analyzed using an ordinal logit regression as
599 implemented in the polr-function of the R package MASS, with ordinal root scores
600 as independent variable and community type (single plant or monoculture), genetic
601 background (NIL pair) and allele (Sha or Bay) as explanatory variables. Mixtures
602 were excluded from the analysis of root browning scores.
603

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Author contributions

619 SEW, NDP and PAN designed the research, SEW performed the experiments
620 with help from NDP and SL. SEW and PAN performed the analyses and wrote the
621 manuscript with input from JM, FV, and UG. NDP, JM, FV, and UG also
622 contributed technical resources and data. All authors revised and approved the final
623 version of the manuscript.
624

Data availability

The datasets described and a basic analysis script are available through the Zenodo data repository (DOI:10.5281/zenodo.2659735). More extensive analysis scripts are available from the authors upon request.

Competing interests

The authors declare no competing financial interests.

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Supplemental Material

Supplementary Figure S1: Experimental setup of the competition
experiment. (A)-(C) Photos show the experiment at sowing (**A**), midway through the
experiment (**B**) and at harvest day (**C**).

Supplementary Figure S2. Association tests for variation in (A) average
individual performance across mixtures or (B) average monoculture
performance.

Supplementary Figure S3. Associations of SNP Chr3:15'294'955 with

phenotypic variation in traits related to plant strategies. (A) and **(B)** Shoot-to-

root ratios for genotypes grown in monocultures (A) or as individual plants (B) in an

independent experiment and on sand-rich soil are shown. **(C)** Published data of

genotypic means in flowering time in the field [30] . Bars show mean ± s.e. ** =

ANOVA $p < 0.01$; * = ANOVA $p < 0.05$; n.s. not significant.

Supplementary Figure S4: Decreased self-inhibitiion of genotypes carrying
the cooperation-associated allele along a density gradient Lines represent reaction
norms or genotypes carrying different alleles at Chr 3 SNP 15'294'955; red lines:
cooperator allele carriers; blue lines: competitor allele carriers
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Supplementary Figure S5: A trade-off between competitive dominance and
disease resistance. (A) Genome reconstructions of two near-isogenic lines (NILs)
from the 33RV085 parental RIL. Viterbi paths of each NIL are shown in red (Bay-
allele at Chr3:15'294'955) and blue (Sha-allele), together with published marker data
of the parent (dashed line). **(B)** Genetic differences identified in whole-genome
comparisons between the pairs of near-isogenic lines. All NIL-pairs differ from each
other at Chr3:15'294'955 (light blue: "locus of interest"). **(C)** Earliest sign of shoot-
level disease expression in line 33RV113 carrying the Sha-allele. **(D)** Microscopic
image of dried root samples containing resting spores of the obligate parasite
Olpidium brassicae (arrows). Scale bar = 50 μm. **(E)** Visual scoring scheme of root
browning for washed and dried root samples from the competition experiment.
Scoring was performed fully blinded to the sample ID or genotype.
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836   **Supplementary Dataset S1:** List of *A. thaliana* accessions used in the study,
837   their estimated productivities across mixtures and monocultures, and measured trait
838   values.