

1 ***Determinants of birth asphyxia among newborns in Amhara national regional***
2 ***state referral hospitals, Ethiopia***

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26 **Abstract**

27 **Background:** Globally, every year, 2.5 million infants die within their first month of life.
28 Neonatal asphyxia is the leading specific cause of neonatal mortality in low- and middle-income
29 countries, including Ethiopia. Therefore, the aim of this study was to identify the determinants of
30 birth asphyxia among newborns admitted in Amhara region referral hospitals, Ethiopia.

31 **Methods:** Facility-based unmatched case-control study was employed among 193 cases and 193
32 controls of newborns. Newborns admitted to neonatal intensive care units with admission criteria
33 of birth asphyxia and without birth asphyxia were considered as cases (Apgar score<7) and
34 controls (Apgar score>=7) respectively. Data were collected using a structured questionnaire by
35 systematic random sampling technique with proportional allocation, and entered in to Epi-Info
36 version 7 and exported to SPSS version 20 for statistical analysis. Bivariate and multivariable
37 logistic regression models were fitted to identify determinants of birth asphyxia.

38 **Results:** Newborns with low birth weight (<2.5kg) had 8.94 higher odds of birth asphyxia than
39 those whose weight at birth was >=2.5kg at birth (AOR: 8.94, 95% CI: 4.08, 19.56). Newborns
40 born at health centers were 7.36 times more likely to develop birth asphyxia than those born at
41 hospitals (AOR: 7.36, 95% CI: 2.44, 22.13). Newborns born using instrumental delivery were 3.03
42 times more likely to develop birth asphyxia than those delivered by vaginally (AOR: 3.03, 95%
43 CI: 1.41, 6.49). Newborns from mothers with prolonged labor were 2 times more likely to suffer
44 from birth asphyxia as compared to their counterparts (AOR: 2.00, 95% CI: 1.20, 3.36).

45 **Conclusion:** This study identified prolonged labor, instrumental delivery, delivered at health
46 centers, and low birth weight were identified as determinants of birth asphyxia. Thus, intervention
47 planning towards the identified determinants may be needed to reduce neonatal birth asphyxia.

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49 **Introduction**

50 Birth asphyxia is a condition characterized by an impairment of exchange of the respiratory gases
51 (oxygen and carbon dioxide) resulting in hypoxemia and hypercapnia, accompanied by metabolic
52 acidosis [1]. Birth asphyxia is defined by the World Health Organization as “the failure to initiate
53 and sustain breathing at birth”. Worldwide, birth asphyxia is a serious clinical problem leading to
54 significant mortality and morbidity. Each year approximately 24% of neonatal deaths occurred
55 due to birth asphyxia with an equal number of survivors with serious neurological sequelae,
56 such as cerebral palsy, mental retardation and epilepsy leading to detrimental long term
57 consequences for both child and family [2]. Birth asphyxia leads to the impairment of normal
58 exchange of respiratory gases during the birth process and subsequent adverse effects on fetus [3].
59 International reports indicated that birth asphyxia is the third cause of neonatal deaths (23%) next
60 to infections (36%) and preterm (28%) [4]. In Ethiopia, birth asphyxia contributed 24% of neonatal
61 deaths [5]. The Amhara national regional state health bureau estimated for 2017/18 that its
62 prevalence was 7.8%.

63 Mothers and their newborns are vulnerable to illnesses and deaths during the postnatal period [6].
64 Worldwide, 2.5 million infants die within their first month of life every year, contributing nearly
65 47% of all deaths of children under-five year’s age. Almost all deaths of newborns are in
66 developing countries, with the highest number in South Asia and sub-Saharan Africa [7]. Birth
67 asphyxia is the leading specific cause of neonatal mortality in low and middle-income countries
68 and it is also the main cause of long-term illnesses including mental retardation, cerebral palsy,
69 and other neurodevelopmental disorders [8]. Ethiopia is one of the ten countries with the highest
70 number of neonatal mortality worldwide, with an estimated number of 122,000 newborn deaths
71 per year [9].

72 Studies from abroad indicated that low birth weight, caesarian section [10-12]; multiple births,
73 lack of antenatal care[13]; maternal age, gravidity, mode of delivery [14], and prolonged labor,
74 meconium stained amniotic fluid and fetal distress [12] were the significant causes of birth
75 asphyxia. However, most of the studies were restricted in single institution and based on secondary
76 data or records which may face to data/info incompleteness. Therefore, the aim of this study was
77 to identify significant determinants of birth asphyxia among newborns to formulate intervention
78 mechanisms at local, regional and national level.

79 **Methods**

80 **Study design, period and setting**

81 Facility-based unmatched case-control study was employed to identify the determinants of birth
82 asphyxia among newborns in Amhara national regional state referral hospitals from March 1 to
83 April 30, 2018.

84 The Amhara National Regional State is located in the North Western part of Ethiopia between
85 9°20' and 14°20' North latitude and 36° 20' and 40° 20' East longitude. The Central Statistics
86 Agency's total population projection estimate for the Amhara Region for 2017 is 21,134,988 with
87 a fifty-fifty numerical split between the sexes. Of these 17% were urban residents which are below
88 the national average [15]. According to Ethiopian 2009 Ethiopian Fiscal Year (EFY) Annual
89 Performance Report published by Federal Ministry of Health, Amhara has 68 Hospitals, 841
90 Health Centers and 3,342 Health Posts [16]. Among the sixty eight functional hospitals in the
91 region, Dessie, Felege-Hiwot, University of Gondar, Debebirhan, and Debremaros hospitals are
92 tertiary care (referral) hospitals. Thus, all the five referral hospitals are serving for all population
93 found in the region.

94 **Study participants**

95 All asphyxiated and non-asphyxiated newborns admitted to neonatal intensive care units (NICU)
96 of Referral Hospitals found in Amhara Regional state are study population. Newborns diagnosed
97 as birth asphyxia from NICU were included for cases, while newborns without birth asphyxia were
98 counted for controls. Newborns with no mothers (caregivers) due to death or newborns with loss
99 of mothers and mothers who are sick and unable to respond were excluded from the study.

100 **Sample size and sampling procedures**

101 Sample size was calculated based on unmatched case control formula (Kelsey) with the
102 assumptions of power=80% and 95% CI using Epi Info version 7. From previous case control
103 studies on determinants of birth asphyxia, the major determinants were low birth weight
104 ($p=11.33\%$, $OR=2.40$), gestational age of <37weeks ($p=52\%$, $OR=2.57$), multiple births ($p=6.2\%$,
105 $OR=0.11$), mode of delivery ($p=22.2\%$, $OR=2.94$), and gravidity ($p=33.3\%$, $OR=2.64$) [10, 14,
106 17]. From the alternative sample sizes, the largest sample size (386; 193 cases and 193 controls)
107 was selected. Recently, the five referral hospitals found in Amhara national regional state are
108 evenly distributed. They have their own NICU. Among the total of 2091 predicted number of
109 newborns admitted to NICU irrespective of status of birth asphyxia, 193 newborns with birth
110 asphyxia (cases) and 193 newborns without birth asphyxia (controls) were selected using
111 systematic random sampling technique with proportional allocation. Every 2nd of cases and every
112 8th of controls were included in the study.

113 **Operational definition**

114 Birth Asphyxia: it is the failure to initiate and sustain breathing at birth. Asphyxiated newborn not
115 able to breath after birth and either convulsions/spasms or not able to suckle normally after birth
116 or not able to cry after birth or Appearance Pulse Grimace Activity Respiration (APGAR) Score
117 of < 7 [18].

118 **Data collection procedures and data quality control**

119 The interviewer administered questionnaire was used for data collection. Through trained data
120 collectors, the questionnaire was pretested in 5% of clients for possible modifications prior to data
121 collection. The trained supervisors and the principal investigator supervised the data collection
122 process. The collected data were checked daily for consistency, completeness, clarity and accuracy
123 throughout the data collection process.

124 **Data analysis**

125 Collected data were edited, coded and entered to Epi info version 7 software packages. These were
126 then exported to Statistical Package for Social Sciences (SPSS) version 20 for analysis. First
127 descriptive analysis was presented using frequency tables, figures, and percentages. In the second
128 stage, by using logistic regression, bivariate logistic regression was fitted to screen candidate
129 variables with $p\text{-value} < 0.2$ for the final model. Hosmer and Lemshow goodness of fit test was
130 performed. Finally, multivariable logistic regression model through backward stepwise method
131 was fitted to identify significant determinants of birth asphyxia. Adjusted Odds Ratio with 95%
132 CI and $p\text{-value} < 0.05$ were calculated to identify determinants of birth asphyxia among newborns.

133 **Results**

134 **Socio-demographic and behavioral characteristics**

135 A total of 193 asphyxiated newborn-mother pairs (cases) and 193 non-asphyxiated newborn-
136 mother pairs (controls) were included in the study. Infants' mothers mean (\pm SD) age was
137 26.63(\pm 5.09) years. Among the total study groups, 109(56%) of cases and 105(54%) of controls
138 were in the age group of 25-34 years. Majority of respondents (182 (94%) of cases and 170(88%)
139 of controls) were married. More than half of the respondents; i.e. 103(53%) of cases and 121(63%)

140 of controls were came from urban area. Housewives and farmers constituted 133(69%) of cases
 141 and 101(52%) of controls. Sixty three (33%) of cases and 53(28%) of controls were at elementary
 142 school (1-8 grades). One hundred thirteen (59%) of cases and 95 (49%) of controls had less than
 143 2000 ETB monthly incomes. Most of the cases and controls were come to hospitals from the
 144 nearby areas. All respondents did not have smoking behavior. Majority of cases and controls (374
 145 (97%)) hadn't ever khat chewing behavior. However, 62 (32%) of cases and 65 (34%) of controls
 146 had ever drunk alcohol during the last pregnancy (Table 1).

147 Table 1: Socio-demographic and behavioral characteristics of respondents among newborns of
 148 Amhara Region Referral Hospitals, Ethiopia, 2018

Variables	Controls (%) (n=193)	Cases (%) (n=193)	Total count (%) (n=386)	Chi-square (p- value)
Maternal age (in years)				
15-24	67 (34.7)	63 (32.6)	130 (33.7)	0.198(0.906)
25-34	105 (54.4)	109 (56.5)	214 (55.4)	
35-49	21 (10.9)	21 (10.9)	42 (10.9)	
Marital status				
Unmarried	23 (11.9)	11(5.7)	34 (8.8)	4.644(0.031)
Married	170 (88.1)	182 (94.3)	352 (91.2)	
Place of residence				
Urban	121 (62.7)	103 (53.4)	224 (58)	3.446(0.063)
Rural	72 (37.3)	90 (46.6)	162 (42)	
Maternal occupation				
Laborer & student	28 (14.5)	15 (7.8)	43 (11.1)	12.261(0.016)
Farmer	33 (17.1)	47 (24.4)	80 (20.7)	
Merchant	27 (14.0)	22 (11.4)	49 (12.7)	
Housewife	68 (35.2)	86 (44.6)	154 (39.9)	
Government employed	37 (19.2)	23 (11.9)	60 (15.5)	
Educational status				
Unable to read and write	39 (20.2)	49 (25.4)	88 (22.8)	9.694(0.046)
Able to read and write with informal education**	12 (6.2)	17 (8.8)	29 (7.5)	

Elementary school (1-8 grades)	53 (27.5)	63 (32.6)	116 (30.1)	
High school and Prep(9-12 grades)	42 (21.8)	39 (20.2)	81 (21.0)	
Above 12 grades	47 (24.4)	25 (13.0)	72 (18.7)	
Monthly income (average in ETB)				
<=2000	95 (49.2)	113 (58.5)	208 (53.9)	3.384(0.184)
2001-5000	73 (37.8)	60 (31.1)	133 (34.5)	
>5000	25 (13.0)	20 (10.4)	45 (11.7)	
Distance from the hospital (in KM)				
0-10	108 (56.0)	78 (40.4)	186 (48.2)	12.441(0.002)
11-50	62 (32.1)	70 (36.3)	132 (34.2)	
51-275	23 (11.9)	45 (23.3)	68 (17.6)	
Khat chewing				
No	187 (96.9)	187 (96.9)	374 (96.9)	-*
Yes	6 (3.1)	6 (3.1)	12 (3.1)	
Alcohol drinking				
No	128 (66.3)	131 (67.9)	259 (67.1)	0.106(0.745)
Yes	65 (33.7)	62 (32.1)	127 (32.9)	

149 -*chi-square assumption not fulfilled

150 - **informal education includes education delivered with campaign and at religious institutes

151 **Maternal health related variables**

152 Majorly 173 (90%) of cases and 177 (92%) of controls didn't faced pre-eclampsia /eclampsia,
 153 however, 20 (10%) of cases and 16 (8%) of controls faced the problem. Eight (4%) of cases and
 154 9(5%) of controls have HIV. Twenty two (9%) of cases and 14 (7%) of controls faced bleeding
 155 during their last pregnancy. Forty four (23%) of cases and 32(17%) of controls had iron-deficiency
 156 anemia. One hundred twenty four (64%) of cases and 89 (46%) of controls were referred from
 157 another health facilities (Table 2).

158 Table 2: Maternal health related variables among newborns of Amhara Region Referral

159 Hospitals, Ethiopia, 2018

Variables	Controls (%) (n=193)	Cases (%) (n=193)	Total count (%) (n=386)	Chi-square (p-value)
Pre-eclampsia/eclampsia				
No	177 (91.7)	173 (89.6)	350 (90.7)	0.490(0.484)
Yes	16 (8.3)	20 (10.4)	36 (9.3)	
HIV status				
No	184 (95.3)	185 (95.9)	369 (95.6)	0.062(0.804)
Yes	9 (4.7)	8 (4.1)	17 (4.4)	
Diabetes Mellitus				
No	192 (99.5)	191 (99.0)	383 (99.2)	-*
Yes	1 (0.5)	2 (1.0)	3 (0.8)	
Bleeding in pregnancy (APH)				
No	179 (92.7)	171 (88.6)	350 (90.7)	1.961(0.161)
Yes	14 (7.3)	22 (9.3)	36 (9.3)	
Iron-deficiency anemia				
No	161 (83.4)	149 (77.2)	310 (80.3)	2.359(0.125)
Yes	32 (16.6)	44 (22.8)	76 (19.7)	
Referral status				
No	104 (53.9)	69 (35.8)	173 (44.8)	12.832(0.000)
Yes	89 (46.1)	124 (64.2)	213 (55.2)	

160 -*chi-square assumption not fulfilled

161 **Antepartum and Intra-partum related variables**

162 Among the total respondents, 91(47%) of mothers with cases and 105(54%) of mothers with
163 controls experienced more than one pregnancies, however, 102(53%) of cases and 88(46%) of
164 controls experienced their first pregnancies. Of the study units, 84(44%) of cases and 96(50%) of
165 controls; and 109(57%) of cases and 97(50%) of controls were multiparous and primiparous
166 respectively. Five (2.6%) of the cases and 16(8.3%) of controls had given twins during their last
167 pregnancy. Nearly 189(98%) of cases and 188(97%) of controls had antenatal care (ANC) visits.
168 Of the total respondents, 88(46%) of cases and 67(35%) of controls experience prolonged labor
169 during the last pregnancy. Of the infants' mothers, 45 (23%) of cases and 27 (14%) of controls

170 faced premature rupture of membranes before labor starts. Only 17 (9%) of cases and 12 (6%) of
 171 controls faced prolonged rupture of membranes after 24 hours. Very few number of study subjects
 172 (11 (6%) of cases and 4 (2%) of controls) faced cord prolapse. Twelve (13%) of cases and 7 (4%)
 173 of controls had breech presentation. Of the total subjects, 53(28%) of cases and 61(32%) of
 174 controls were delivered with cesarean section, and 38(20%) of cases and 15(8%) of controls were
 175 delivered with instrumental assisted. Few respondents (30 (16%) of cases and 5 (3%) of controls)
 176 got the delivery service at health centers (Table 3).

177 Table 3: Antepartum and Intra-partum characteristics of respondents among newborns of
 178 Amhara Region Referral Hospitals, Ethiopia, 2018

Variables	Controls (%) (n=193)	Cases (%) (n=193)	Total count (%) (n=386)	Chi-square (p- value)
Gravidity				
Primigravida	88 (45.6)	102 (52.8)	190 (49.2)	2.032(0.154)
Multigravida	105 (54.4)	91 (47.2)	196 (50.8)	
Parity				
Primiparous	97 (50.3)	109 (56.5)	206 (53.4)	1.499(0.221)
Multiparous	96 (49.7)	84 (43.5)	180 (46.6)	
Fetal outcome				
Single	188 (97.4)	177 (91.7)	365 (94.6)	6.093(0.014)
Twins	5 (2.6)	16 (8.3)	21 (5.4)	
Number of ANC visits				
0	5 (2.6)	4 (2.1)	9 (2.3)	7.579(0.023)
1-3	78 (40.4)	105 (54.4)	183 (47.4)	
>=4	110 (57.0)	84 (43.5)	194 (50.3)	
Place of ANC visits				
Private and Non-Governmental Organization's health facility	28 (14.9)	29 (15.3)	57 (15.1)	0.129(0.938)
Public health facility	160 (85.1)	160 (84.7)	320 (84.9)	
Prolonged labor				
No	126 (65.3)	105 (54.4)	231 (59.8)	4.754(0.029)
Yes	67 (34.7)	88 (45.5)	145 (40.2)	

Premature rupture of membranes				
No	166 (86.0)	148 (76.7)	314 (81.3)	5.532(0.019)
Yes	27 (14.0)	45 (23.3)	72 (18.7)	
Prolonged rupture of membranes				
No	181 (93.8)	176 (91.2)	357 (92.5)	0.932(0.334)
Yes	12 (6.2)	17 (8.8)	29 (7.5)	
Cord prolapse				
No	189 (97.9)	182 (94.3)	371 (96.1)	3.399(0.065)
Yes	4 (2.1)	11 (5.7)	15 (3.9)	
Presentation				
Cephalic	186 (94.4)	168 (87.0)	354 (91.7)	11.040(0.001)
Breech	7 (3.6)	25 (13.0)	32 (8.3)	
Mode of delivery				
Vaginally	117 (60.6)	102 (52.8)	219 (56.7)	11.570(0.003)
Cesarean section	61 (31.6)	53 (27.5)	114 (29.5)	
Instrumental	15 (7.8)	38 (19.7)	53 (13.7)	
Place of delivery				
Health center	5 (2.6)	30 (15.5)	35 (9.1)	19.638(0.000)
Hospital	188 (97.4)	163 (84.5)	351 (90.9)	

179

180 Newborn characteristics

181 Of the total newborns, 117(61%) of cases and 104(54%) of controls are males. Among all sexes,
 182 65(35%) of cases and 10(5%) of controls had low birth weight. Both preterm and post-terms
 183 contributed 43(22%) of cases and 17(9%) of controls. One hundred forty six (76%) of cases were
 184 unable to breath after birth, however, only 31(16%) of cases experienced spasm. The majority, 189
 185 (98%) of cases were unable to suckle normally after birth, and 184 (95%) of cases were unable to
 186 cry after birth (Table 4).

187 Table 4: Newborn characteristics among newborns of Amhara Region Referral Hospitals,
 188 Ethiopia, 2018

Variables	Controls (%) (n=193)	Cases (%) (n=193)	Total count (%) (n=386)	Chi-square (p- value)
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Sex of newborn				
Male	104 (53.9)	117 (60.6)	221 (57.3)	1.789(0.181)
Female	89 (46.1)	76 (39.4)	165 (42.7)	
Birth weight				
Low birth weight (<2.5kg)	10 (5.2)	67 (34.7)	77 (19.9)	52.709(0.000)
Normal (>=2.5kg)	183 (94.8)	126 (65.3)	309 (80.1)	
Gestational age (Wks)				
<37 (Preterm)	5 (2.6)	32 (16.6)	37 (9.6)	21.820(0.000)
37-41(Term)	176 (91.2)	150 (77.7)	326 (84.5)	
>41 (Post-term)	12 (6.2)	11 (5.7)	23 (6.0)	
Able to breath after birth				
No	0 (0.0)	146 (75.6)	146 (37.8)	- *
Yes	193 (100)	47 (24.4)	240 (62.2)	
Had convulsions / spasm				
No	193 (100)	162 (83.9)	355 (92.0)	- *
Yes	0 (0.0)	31 (16.1)	31 (8.0)	
Able to suckle normally after birth				
No	0 (0.0)	189 (97.9)	189 (49.0)	- *
Yes	193 (100)	4 (2.1)	197 (51.0)	
Able to cry after birth				
No	0 (0.0)	184 (95.3)	184 (47.7)	- *
Yes	193 (100)	9 (4.7)	202 (52.3)	
APGAR Score				
Severe (0-3)	0 (0.0)	13 (6.7)	13 (3.4)	- *
Mild to moderate (4-6)	0 (0.0)	180 (93.3)	180 (46.6)	
Normal (7-10)	193 (100)	0 (0.0)	193 (50.0)	

189 -*chi-square assumption not fulfilled

190 **Determinants of birth asphyxia**

191 In binary logistic regression analysis, twenty seven variables were entered in the analysis and only
 192 twenty variables were identified as determinants of birth asphyxia (Table 6). The others theme of
 193 variables did not have association to birth asphyxia. Variables that have $p < 0.2$ in the bivariate
 194 analysis and enter to multivariable analysis were; maternal marital status, place of residence,

195 occupation, education, distance from the hospitals, bleeding during pregnancy, iron-deficiency
 196 anemia, referral status, gravidity, multiple births, number of ANC visits, prolonged labor,
 197 premature rupture of membrane, cord prolapse, fetal presentation, mode of delivery, place of
 198 delivery, gestational age, sex of newborn, and birth weight. After adjustment, the determinants that
 199 have $p < 0.05$ at 95% confidence interval are only prolonged labor, mode of delivery, place of
 200 delivery, and birth weight (Table 5).

201 Newborns born from mothers with prolonged labor were 2 times more likely to suffer from birth
 202 asphyxia as compared to their counterparts (AOR: 2.00, 95% CI: 1.20, 3.36). Newborns that were
 203 born using instrumental delivery were 3.03 times more likely to develop birth asphyxia than those
 204 delivered by vaginally (AOR: 3.03, 95% CI: 1.41, 6.49). Newborns that were born at health centers
 205 were 7.36 times more likely to develop birth asphyxia than those born at hospitals (AOR: 7.36,
 206 95% CI: 2.44, 22.13). Newborns with low birth weight (2.5kg) had 8.94 higher odds of birth
 207 asphyxia than those of normal (≥ 2.5 kg) at birth (AOR: 8.94, 95% CI: 4.08, 19.56) (Table 5).

208 Table 5: Determinants of birth asphyxia among newborns of Amhara Region Referral Hospitals,
 209 Ethiopia, 2018

Variables	Contro ls	Cases	Crudes and Adjusted Odds Ratios with 95% Confidence Intervals	
			COR (95% CI)	AOR (95% CI)
Marital status				
Unmarried	23	11	1	1
Married	170	182	2.24(1.06, 4.73)	1.79(0.71, 4.47)
Place of residence				
Urban	121	103	1	1
Rural	72	90	1.47(0.98, 2.21)	0.78(0.36, 1.66)
Maternal occupation				
Laborer & student	28	15	0.86(0.38, 1.95)	1.04(0.39, 2.83)
Farmer	33	47	2.29(1.16, 4.54)*	1.74(0.67, 4.49)
Merchant	27	22	1.31(0.61, 2.82)	0.90(0.33, 2.42)

Housewife	68	86	2.04(1.11, 3.74)*	1.52(0.70, 3.30)
Government employed	37	23	1	1
Educational status				
Unable to read and write	39	49	2.36(1.24, 4.49)*	1.83(0.51, 6.59)
Able to read and write	12	17	2.66(1.10, 6.45)*	2.07(0.51, 8.42)
Elementary school (1-8 grades)	53	63	2.24(1.22, 4.10)*	1.73(0.57, 5.27)
High school and Prep(9-12 grades)	42	39	1.75(0.91, 3.35)	1.78(0.62, 5.14)
Above 12 grades	47	25	1	1
Distance from the hospital (in KM)				
0-10	108	78	1	1
11-50	62	20	1.56(0.99, 2.45)	0.74(0.39, 1.39)
51-275	23	45	2.71(1.52, 4.84)*	1.69(0.78, 3.65)
Bleeding in pregnancy (APH)				
No	179	171	1	1
Yes	14	22	1.65(0.82, 3.32)	1.24(0.51, 3.01)
Iron-deficiency anemia				
No	161	149	1	1
Yes	32	44	1.49(0.90, 2.47)	1.29(0.68, 2.44)
Referral status				
No	104	69	1	1
Yes	89	124	2.10(1.40, 3.16)*	1.72(0.95, 3.11)
Gravidity				
Primigravida	88	102	1	1
Multigravida	105	91	0.75(0.50, 1.12)	0.83(0.48, 1.42)
Fetal outcome				
Single	188	177	1	1
Twins	5	16	3.40(1.22, 9.47)*	1.90(0.51, 7.15)
Number of ANC visits				
0	5	4	1.05(0.27, 4.02)	0.22(0.04, 1.15)
1-3	78	105	1.76(1.17, 2.65)*	1.34(0.81, 2.21)
>=4	110	84	1	1
Prolonged labor				
No	126	105	1	1
Yes	67	88	1.58(1.05, 2.38)*	2.00(1.20, 3.36)**
Premature rupture of membranes				
No	166	148	1	1

Yes	27	45	1.87(1.11, 3.16)*	1.55(0.82, 2.95)
Cord prolapse				
No	189	182	1	1
Yes	4	11	2.86(0.89, 9.13)	3.41(0.86, 13.46)
Presentation				
Cephalic	186	168	1	1
Breech	7	25	3.95(1.67, 9.38)*	2.71(0.96, 7.70)
Mode of delivery				
Spontaneous vaginal delivery (SVD)	117	102	1	1
Cesarean section	61	53	0.99(0.63, 1.57)	0.96(0.55, 1.67)
Instrumental	15	38	2.91(1.51, 5.59)*	3.03(1.41, 6.49)**
Place of delivery				
Health center	5	30	6.92(2.62, 18.25)*	7.36(2.44, 22.13)***
Hospital	188	163	1	1
Sex of newborn				
Male	104	117	1	1
Female	89	76	0.76(0.51, 1.14)	0.79(0.48, 1.30)
Birth weight				
Low birth weight (<2.5kg)	10	67	9.73(4.82, 19.64)*	8.94(4.08, 19.56)***
Normal (>=2.5kg)	183	126	1	1
Gestational age (Wks)				
<37 (Preterm)	5	32	6.98(2.00, 24.32)*	4.02(0.89, 18.13)
37-41(Term)	176	150	0.93(0.40, 2.17)	0.70(0.26, 1.93)
>41 (Post-term)	12	11	1	1

210 * p<0.20; **p<0.01; ***p<0.001

211 Discussion

212 This study identified significant determinants of birth asphyxia in Amhara National Regional State
 213 Referral Hospitals, Ethiopia. Some of intra-partum and newborn-related variables were associated
 214 to birth asphyxia. Prolonged labor, mode of delivery (instrumental), place of delivery (at health
 215 centers), and low birth weight were identified as significant determinants of neonatal birth
 216 asphyxia. This study will be informing health care providers especially at health centers for their

217 appropriate interventions and even it may needs managerial decisions for improving referral
218 systems to make fast to reduce the burden of birth asphyxia occurred at primary health care level.
219 This finding shows that prolonged labor is statistically significant determinant of birth asphyxia.
220 It is in line with a cross-sectional study done in Jimma zone of Ethiopia [19]. Other previous studies
221 have also shown similar results [20, 21]. Women with a prolonged labor had a negative birth
222 experience more often than did women who had a normal labor [22]. According to American
223 Pregnancy Association and Reiter and Walsh, PC, prolonged labor or failure to progress occurs
224 when labor lasts for approximately 20 hours or more if you are a first-time mother, and 14 hours
225 or more if you have previously given birth. A prolonged latent phase happens during the first stage
226 of labor. It can be exhausting and emotionally draining, but rarely leads to complications.
227 Prolonged labor may happen due to slow effacement of the cervix, too large baby, too small
228 birthing canal or woman's pelvis, carrying multiples, incorrect fetal presentation, psychological
229 factors, such as worry, stress, or fear [23, 24].

230 Our study result shows that mode of delivery (in our case, instrumental delivery) determined the
231 occurrence of neonatal birth asphyxia. This in agreement with a case control study done in India
232 [25] and cross-section findings in Ethiopia [26] and Pakistan [27]. A research conducted in
233 England revealed that infants born by instrumental delivery (forceps and vacuum delivery) for
234 presumed fetal compromise had the poorest condition at birth [28]. Infants delivered by
235 instrumental delivery had the worst neonatal effects, suggesting the mode of delivery itself is
236 influential [28]. Instrumental delivery is permitted when spontaneous vaginal delivery is failed. It
237 is, therefore, the practitioners may delay to practice after the rapture of membrane and the newborn
238 may come to asphyxia.

239 The study shows that neonates born at health centers had higher risk of birth asphyxia than those
240 who born at hospitals. In many scholars, place of delivery, in general, has an association with birth
241 asphyxia [14, 29, 30]. In our cases we couldn't get similar studies for comparison, however, there
242 might be different causes of higher asphyxiated cases born in health centers, such as lack of skilled
243 birth attendants in the health centers, and/or delay to refer the cases to hospitals, and/or
244 transportation issues.

245 This finding shows that neonates with low birth weight had higher risk of asphyxia than those with
246 normal birth weight. Other studies in Thailand, Pakistan, and Iran also revealed similar results [10,
247 14, 17]. Low birth weight is mostly indicated as a fetal risk factor. The primary cause of low birth
248 weight is premature birth (being born before 37 weeks gestation), as it is true for our finding, 10%
249 of neonates were preterm. Another causes of low birth weight is intrauterine growth restriction,
250 maternal health issues, early maternal age, and multiple births [31]. Low birth weight neonates
251 should to be given much more attention compared to their counterparts whose birth weight are
252 normal as they are prone to asphyxia [32].

253 This study has strength in that the study was done at region level on five referral hospitals, which
254 may reflect regional burden at hospital levels. There are limitations of the study as it is hospital
255 based study where majority of births were attended by qualified personnel, this does not reflect
256 exact risk factors prevalent in the community, where majority of births are unable to access those
257 referral hospitals. Also, because a person is assigning the number, the Apgar score is subjective
258 that may under or overestimate the magnitude of birth asphyxia.

259 **Conclusions**

260 This study identified determinants of neonatal birth asphyxia in Amhara National Regional State
261 Referral Hospitals, Ethiopia. Prolonged labor/failure to progress, mode of delivery (instrumental),

262 place of delivery (at health centers), and low birth weight were identified as statistically significant
263 determinants of birth asphyxia. Even though most of the identified variables are the common and
264 familiar causes of birth asphyxia, neonates born at health centers were more exposed to birth
265 asphyxia than neonates born in hospitals. This might be due to delay of referral process and lack
266 of skilled professionals in health centers. Consequently, it may indicate the need of operational
267 intervention planning and further researches. Further researches may be recommended to identify
268 why neonatal birth asphyxia is high at health centers than hospitals.

269 **Ethics approval and consent to participate**

270 Ethical clearance was obtained from the ethical review board of School of Public Health, College
271 of Medicine and Health Sciences, Bahir Dar University. Permission was obtained from Amhara
272 public health institute and five referral hospitals. Full explanation of the study was given to
273 respondents and oral consent was obtained from them. Personal identifiers (like respondents'
274 name) were not included in data collection. That is confidentiality of data was maintained
275 anonymously. There was no known risk on participating in this study. Study subjects might not
276 directly benefit from participating in the study. However, information obtained from this study
277 may be used to improve the health of newborns. Respondents were free to decline participation or
278 withdraw from study participation during data collection.

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283 **Authors' contributions**

284 **AD** conceived the concept of the study, designed the study, and prepared the proposal, involved
285 in the data analysis and interpretation. **MA** and **EW** involved in the designing of the study, revised
286 the proposal, involved in the data analysis and interpretation. **GG** assisted and provided technical
287 support on every step of proposal development and data management. All of the authors
288 contributed to the preparation of the manuscript and approved the final version for publication.

289 **Supporting information**

290 S1 Data. This is the data set of the study. (SAV)

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349 ischemic-encephalopathy-hie-often-caused-prolonged-labor/](https://www.abclawcenters.com/practice-areas/prenatal-birth-injuries/fetus-or-newborn-medical-problems/birth-asphyxia-lawyers/birth-asphyxia-hypoxic-ischemic-encephalopathy-hie-often-caused-prolonged-labor/).
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