Inhibitory interneurons distribute widely across the mouse thalamus and form ontogenetic spatial clusters

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11 Abstract

12 The proportion and distribution of local inhibitory neurons (interneurons) in the thalamus 13 varies widely across mammals. This is reflected in the structure of thalamic local circuits, 14 which is more complex in primates compared to smaller-brained mammals like rodents.

An increase in the number of thalamic interneurons could arise from addition of novel interneuron types or from elaboration of a plesiomorphic ontogenetic program, common to all mammals. The former has been proposed for the human brain, with migration of interneurons from the ventral telencephalon into higher order thalamus as one of its unique features (Letinic and Rakic, 2001).

20 Here, we identify a larger than expected complexity and distribution of interneurons across 21 the mouse thalamus. All thalamic interneurons can be traced back to two developmental 22 programs: one specified in the midbrain and the other in the forebrain. Interneurons migrate 23 to functionally distinct thalamic nuclei, where the midbrain-derived cells populate the sensory 24 thalamus, and forebrain-generated interneurons only the higher order regions. The latter 25 interneuron type may be homologous to the one previously considered to be human-specific, 26 while we also observe that markers for the midbrain-born class are abundantly expressed in 27 the primate thalamus. These data therefore point to a shared ontogenetic organization of 28 thalamic interneurons across mammals.

29

30 Introduction

The thalamus is a forebrain structure that develops from the diencephalic prosomere 2 (p2) (Puelles and Rubenstein, 2003; Shi et al., 2017; Wong et al., 2018) and is primarily composed of cortically projecting excitatory thalamocortical (TC) neurons, divided into more than 30 individual nuclei in mammals (Jones, 2007; Clascá, Rubio-Garrido and Jabaudon, 2012; Hunnicutt et al., 2014). The function of the thalamus has been historically described as relay of sensory information to the cortex (Hubel and Wiesel, 1962; van der Loos and Woolsey, 1973; Shatz, 1996; Sherman and Guillery, 2002; Cheong et al., 2013; Piscopo et

al., 2013; Zeater et al., 2015). Taking into account the diversity of input and output features
of thalamocortical circuits (Herkenham, 1980; Guillery, 1995; Rubio-Garrido et al., 2009;
Clascá et al., 2012; Sherman, 2016), more recent work has shown that the thalamus is also
critically involved in cognitive processes allowing for behavioural flexibility (Saalmann and
Kastner, 2011; Groh et al., 2014; Ling, Pratte and Tong, 2015; Sherman, 2016; Bolkan et al.,
2017; Guo et al., 2017; Schmitt et al., 2017; Rikhye, Gilra and Halassa, 2018; Rikhye,
Wimmer and Halassa, 2018).

In contrast to cortical networks, excitatory neurons in the thalamus do not connect with each
other (Jones, 2007; Bickford et al., 2008; Hirsch et al., 2015; Rikhye et al., 2018b). Instead,
local connections and computations within thalamocortical circuits are dominated by the
resident inhibitory, GABA-releasing neurons (interneurons) (Pasik, Pasik and Hamori, 1976;
Montero, 1987; Sherman, 2004; Hirsch et al., 2015).
Interneuron numbers and distribution vary widely across species, suggesting that they are

critically involved in the evolution of thalamocortical structure and function (Arcelli et al., 15 1997; Letinic and Rakic, 2001; Rikhye et al., 2018b). In particular, comparative studies 16 across all amniotes (reptiles, birds and mammals) have described a correlation between the 17 proportion of interneurons and the size and connectivity of the excitatory thalamus (Arcelli et 18 al., 1997; Butler, 2008).

For example, in the reptilian thalamus, which is mostly devoid of descending projections from the cortex, interneurons have only been identified in the retinorecipient regions (Rio et al., 1992; Pritz and Stritzel, 1994; Kenigfest et al., 1995, 1998; Butler, 2008). In birds however, where reciprocal connections between the thalamus and the cortex are more abundant, thalamic interneurons are distributed more widely (Granda and Crossland, 1989; Veenman and Reiner, 1994; Butler, 2008).

25 Similarly among mammals, interneurons are largely restricted to the visual thalamus in 26 smaller-brained marsupials, bats and mice, where they represent only 6% of the total 27 neuronal population (Butler, 2008; Evangelio, García-Amado and Clascá, 2018; Seabrook et 28 al., 2013b). In primates, on the other hand, where higher order (HO) nuclei driven by cortical 29 inputs are expanded relative to sensory relay (first order, FO) regions (Armstrong, 1979; 30 Stephan, Frahm and Baron, 1981; Butler, 2008; Baldwin, Balaram and Kaas, 2017; Halley 31 and Krubitzer, 2019), interneurons are present across the entire thalamus and their 32 proportion increases to around 30% (Braak and Bachmann, 1985; Arcelli et al., 1997).

How could these differences arise as part of species-specific ontogenesis of thalamic interneurons? We have previously shown that in the mouse, interneurons in the FO visual thalamus, the dorsal lateral geniculate nucleus (dLGN), originate in the midbrain from an $En1^+Gata2^+Otx2^+Sox14^+$ lineage (Jager et al., 2016). On the other hand, earlier work in

humans has suggested the DLX1/2-expressing ganglionic eminences (GE) in the 1 2 telencephalon as the source of interneurons for the HO thalamic nuclei - the mediodorsal 3 nucleus and the pulvinar (Rakić and Sidman, 1969; Letinić and Kostović, 1997; Letinic and 4 Rakic, 2001). At the same time, the latter studies were not able to detect any such migration 5 from the GE in the mouse and macaque brain (Letinic and Rakic, 2001). Altogether these 6 findings therefore suggest that innovation in developmental origin underlies the evolution of 7 thalamic interneurons, concomitantly with an expanded cortical input to the thalamus. 8 However, because each study considered different thalamic nuclei, and due to technical 9 limitations, the possibility of evolutionary conservation of thalamic interneuron classes 10 remains.

11

12 Here we hypothesised that in fact all mammals share a conserved developmental 13 organization of thalamic interneurons, which becomes increasingly more elaborated in 14 larger-brained species. This prediction is supported by findings from the cortex 15 demonstrating that its interneuron classes, generated in the subpallium and defined through 16 expression of regulatory programs (i.e. transcription factors), are common to the amniote 17 lineages (Métin et al., 2007; Tasic et al., 2018; Tosches et al., 2018; Arendt et al., 2019). 18 Moreover, a conserved subpallial origin was demonstrated for cortical interneurons in the 19 cyclostome hagfish, and therefore appears to be an ancestral feature of the vertebrate brain 20 (Sugahara et al., 2016, 2017).

21 Using mouse genetics together with *in situ* methods and spatial modeling, we investigated 22 the distribution, transcription factor (TF) expression and ontogeny of thalamic GABAergic 23 interneurons comprehensively across the mouse thalamocortical nuclei. These experiments 24 identify a wider distribution of GABAergic interneurons than previously reported (Arcelli et 25 al., 1997; Seabrook et al., 2013b; Evangelio et al., 2018), encompassing both FO sensory 26 relay and HO thalamic nuclei. We then show that while the largest proportion of thalamic 27 interneurons in the mouse is generated in the $En1^+Sox14^+$ embryonic midbrain, there is an 28 additional class that derives from the $Dlx5^+$ inhibitory progenitor domains in the forebrain, 29 potentially homologous to the one identified in humans. Intriguingly, we also find that 30 interneurons are organized in a spatial pattern according to their ontogeny, such that 31 midbrain-born interneurons are largely found in the sensory relay nuclei, while the forebrain-32 generated interneurons reside exclusively in the HO thalamus. Finally, we examined the 33 evidence for midbrain-generated interneurons in the primate (marmoset) thalamus, and 34 observed an abundant expression of corresponding marker genes.

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- 36

1 Results

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GABAergic cells are widely distributed across the mouse thalamus, with Sox14 expression distinguishing between two spatially clustered classes

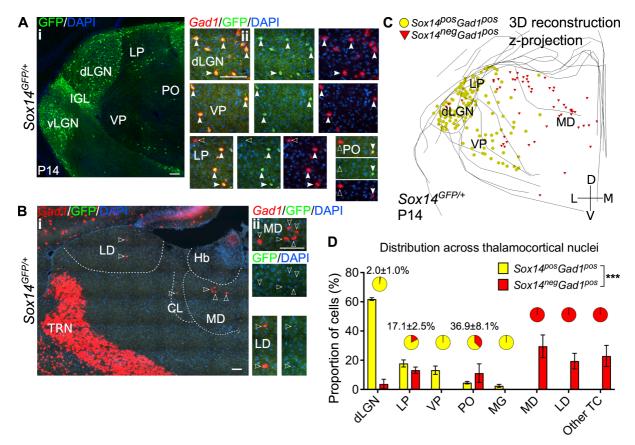
5 To investigate spatial and molecular diversity of interneurons comprehensively across all 6 thalamic (thalamocortical, TC) regions in the mouse, we searched for markers by leveraging 7 publicly available single-cell RNA sequencing data resources, and used mouse genetics 8 together with *in situ* hybridization to anatomically map their expression.

9 In the mouse thalamus, GABAergic interneurons are most abundant in the dLGN (Arcelli et 10 al., 1997; Evangelio et al., 2018). Both the Allen Brain Atlas (© 2015 Allen Institute for Brain 11 Science. Allen Cell Types Database. Available from: celltypes.brain-map.org) and DropViz 12 resources (Available from: dropviz.org; Saunders et al., 2018) identify a transcriptional 13 cluster corresponding to mouse dLGN interneurons, and from this Sox14 as one of 14 transcription factor genes expressed selectively in the interneurons within the nucleus, 15 confirming our previous findings (Jager et al., 2016). Sox14 is expressed upon cell-cycle exit within inhibitory lineages in the diencephalon, midbrain, hindbrain and spinal cord, but not in 16 17 the telencephalon (Delogu et al., 2012; Achim et al., 2013; Prekop et al., 2018; Guo and Li, 18 2019). We focused on a developmentally expressed transcriptional regulator with the 19 assumption that cells of the same class/family would implement the same differentiation 20 program (Deneris and Hobert, 2014; Tosches et al., 2018; Arendt et al., 2019).

We then mapped the spatial distribution of $Sox14^+$ cells within all TC regions using the Sox14^{GFP/+} line (Crone et al., 2008), and compared it to that of the entire GABAergic population, labelled with *in situ* hybridization for *Gad1* (Fig. 1A-C). Experiments were done at postnatal day (P)14, by which time point mouse TC nuclei are considered to display adultlike circuit composition (Bickford et al., 2010; Golding et al., 2014; Seabrook et al., 2013a,b, 2017; Thompson et al., 2016).

In addition to the dLGN, $Sox14^+$ cells distributed across the LP, VP, PO and in very small numbers in the MG complex (Fig. 1A,C,D). In these nuclei all $Sox14^+$ cells had a GABAergic profile and co-expressed *Gad1* (100%, n=3 brains). In the dLGN, VP and MG (i.e. FO sensory relay nuclei) they also represented virtually all GABAergic cells (≥98%, pie charts in Fig. 1D).

Unexpectedly however, 22.1±4.0% of the total GABAergic population in TC regions did not express *Sox14* (Fig. 1B,C; 3Bii), and these cells appeared spatially largely non-overlapping with the *Sox14*⁺ class (Fig. 1C,D). In particular, we observed the largest proportion of *Sox14*⁻ *Gad1*⁺ cells in the MD (29.6±4.5%), LD (19.4±3.1%) and LP (13.2±1.2%), and in smaller numbers in the CL, PO, VAL and VM (Fig. 1B,C,D).



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Figure 1. Diversity and distribution of GABAergic cells in the mouse thalamocortical nuclei. **A.** (i) Representative coronal section of P14 $Sox14^{GFP/+}$ thalamus with $Sox14^+$ cells in the 2 3 dLGN, VP, LP and PO. (ii) $Sox14^+$ cells in TC regions co-express Gad1, but not all Gad1⁺ 4 5 cells co-express Sox14 in the LP and PO. Filled arrows mark $Sox14^+Gad1^+$ and empty 6 arrows $Sox14^{-}Gad1^{+}$ cells. Scale bars, 100 µm. **B.** (i) Representative rostral coronal section 7 of P14 Sox14^{GFP/+} thalamus with Gad1⁺ cells in the MD, CL and LD, and containing no 8 $Sox14^+$ cells. (ii) $Gad1^+$ cells in these nuclei do not co-express Sox14. Scale bars, 100 µm. **C.** 3D reconstruction of a representative P14 $Sox14^{GFP/+}$ thalamus from tracing every tenth 9 10 20µm-thick coronal section, displayed as a z-projection and showing distribution of Sox14⁺Gad1⁺ (yellow) and Sox14⁻Gad1⁺ cells (red). One dot represents one neuron. D. 11 Distribution of Sox14⁺Gad1⁺ and Sox14⁻Gad1⁺ cells across TC nuclei in the Sox14^{GFP/+} 12 13 brains at P14, plotted as proportion of all the cells within each interneuron group (mean±SE; 14 n= 3 brains). Sox14⁺Gad1⁺ and Sox14⁻Gad1⁺ populations have distinct distributions ($p\sim 0$, 15 Chi-squared test). Pie charts show the proportion (mean±SE) of the two interneuron classes 16 within each nucleus.

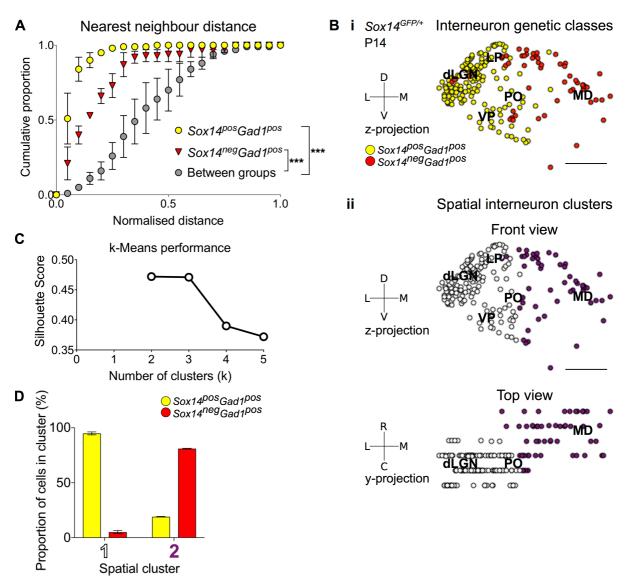
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To quantitatively demonstrate spatial clustering of these two putative thalamic interneuron classes ($Sox14^+Gad1^+$ and $Sox14^-Gad1^+$), we calculated the nearest neighbour distances (NND) from 3D reconstructions of their respective distributions in the $Sox14^{GFP/+}$ thalamus (Fig. 1C; 2A). Indeed, the cumulative distribution of NNDs was significantly shifted to smaller distances within each of the classes than between them (p<1.4×10⁻³⁰, 2-sample Kolmogorov-Smirnov test, n=3 brains; Fig. 2A).

To characterise spatial organization of thalamic GABAergic interneurons in an unbiased way, we then applied machine learning (k-Means clustering) to these same 3D

1 reconstructions of the $Sox14^{GFP/+}$ thalami (Fig. 1C; 2B,C). The data best fit two spatial 2 clusters, as assessed from the silhouette score (Fig. 2Bii,C; see also Materials and 3 Methods). Consistent with the NND analysis, one cluster corresponded to the $Sox14^+$ cells 4 (contains 94.9±1.4% of all $Sox14^+$ cells), and the other to the $Sox14^-$ interneurons (contains 5 81.0±0.3% of all $Sox14^-$ cells; Fig. 2B,D). The two thalamic molecular GABAergic groups 6 therefore occupy their own respective spatial clusters, with the $Sox14^-$ cells located more 7 rostrally and medially compared to the $Sox14^+$ interneurons.







10 Figure 2. Spatial organization of thalamic GABAergic cells. A. Normalised nearest neighbour distance (NND) for Sox14⁺Gad1⁺ and Sox14⁻Gad1⁺ populations and between the 11 two groups from P14 Sox14^{GFP/+} data (Fig. 1), plotted as cumulative proportion of all cells 12 13 within a given set. The NND distribution is significantly shifted to larger distances between groups than within each of the groups (p<1.4×10⁻³⁰, 2-sample Kolmogorov-Smirnov test, n=3 14 brains). B. Representative z-projections of IN distribution amongst TC nuclei, from P14 15 16 Sox14^{GFP/+} data (Fig. 1). One dot represents one neuron and they are colour-coded by (i) 17 their genetic identity or (ii) spatial cluster. For the spatial clusters a y-projection is also

shown. Scale bars, 500µm. C. Performance of unsupervised k-Means algorithm in
identifying thalamic interneuron spatial clusters from the P14 Sox14^{GFP/+} data (n=3 brains,
see also Fig. 1) as measured by the silhouette score, which varies with number of clusters
(k). We choose k=2 as this point has the highest score. D. Proportion of Sox14⁺ and Sox14⁻
GABAergic cells in each spatial cluster, averaged over three brains (mean±SE).

6

7 To independently confirm our findings and control for potential effects of looking at a juvenile age (P14), we also mapped anatomical distribution of all *Gad1*⁺ and *Chrna6*⁺ cells across the 8 9 adult mouse TC nuclei at P56, using the Allen Mouse Brain Atlas (© 2004 Allen Institute for 10 Brain Science. Allen Mouse Brain Atlas. Available from: mouse.brain-map.org; Lein et al., 11 2006) in situ hybridization data (Supp. Fig. 1). Chrna6 has been identified as another marker 12 specific for interneurons, at least in the dLGN (Golding et al., 2014; DropViz; Allen Cell 13 Types Database). The resulting 3D reconstructions, k-Means spatial clustering (Supp. Fig. 14 1A) and distribution plot (Supp. Fig. 1B) were consistent with our observations from the P14 15 Sox14^{GFP/+} thalamus.

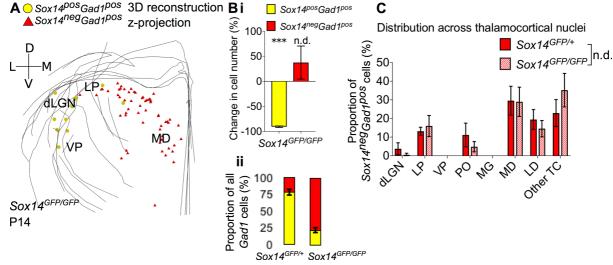
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17 Mouse thalamus therefore exhibits wider interneuron distribution and diversity than has been 18 previously reported, with at least two molecularly and spatially distinct classes. The largest 19 interneuron class, which is distributed across FO and HO sensory nuclei including the dLGN, 20 can be defined as $Sox14^+$. Conversely, the smaller $Sox14^-$ GABAergic population is found 21 exclusively in HO regions that associate with more cognitive functions, such as the MD 22 (Rikhye et al., 2018a; Halassa and Kastner, 2017).

23

24 All Sox14-expressing thalamic interneurons are born in the midbrain

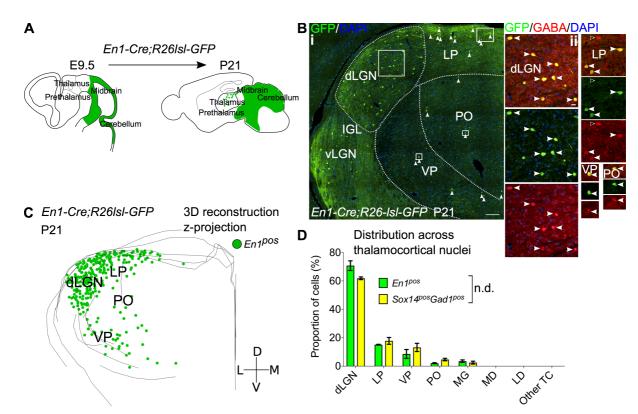
We have previously shown that in the $Sox14^{GFP/GFP}$ (Sox14 knockout, KO) there is a >90% 25 26 reduction in the number of interneurons in the dLGN (Jager et al., 2016). Given the role of 27 Sox14 in specifying subcortical inhibitory classes (Delogu et al., 2012; Achim et al., 2013; 28 Prekop et al., 2018; Guo and Li, 2019), this analysis was extended here to encompass all 29 TC regions. We find a comparable reduction in the number of $Sox14^+$ interneurons overall across the dLGN, LP, VP, PO and MG in the Sox14 KO (90.5 \pm 1.5%, p=2.7×10⁻⁴, two-sample 30 31 two-tailed t-test, n=3 brains/genotype; Fig. 3A,B). Conversely, there was no significant 32 change in the number of Sox14⁻Gad1⁺ cells (p=0.4, two-sample two-tailed t-test; Fig. 3A,B) 33 and in their distribution across TC regions (Fig. 3A,C; p>0.05, Chi-squared test, n=3 34 brains/genotype). These results therefore indicate that the two TC interneuron populations 35 may already be segregated during development and represent two non-overlapping 36 GABAergic lineages.





2 Figure 3. A. 3D reconstruction of a representative P14 Sox14^{GFP/GFP} thalamus from tracing 3 every tenth 20µm-thick coronal section, displayed as a z-projection and showing distribution 4 of Sox14⁺Gad1⁺ (yellow) and Sox14⁻Gad1⁺ cells (red). **B.** (i) Relative change in the number 5 of Sox14⁺Gad1⁺ and Sox14⁻Gad1⁺ cells across TC regions in P14 Sox14^{GFP/GFP} relative to P14 Sox14^{GFP/+} data (mean±SE, n=3 brains/genotype). There is a significant reduction in the 6 $Sox14^+Gad1^+$ population (p=2.7×10⁻⁴, two-sample two-tailed t-test), but no statistically 7 8 significant difference in the size of the Sox14 Gad1⁺ group (p=0.4, two-sample two-tailed t-9 test), (ii) Proportion of $Sox14^+Gad1^+$ cells within the total GABAergic population is decreased 10 in the Sox14^{GFP/GFP} (mean±SE, n=3 brains/genotype). **C.** Distribution of Sox14 Gad1⁺ cells across TC nuclei in the Sox14^{GFP/+} and Sox14^{GFP/GFP} brains at P14 (mean±SE; n= 3) 11 12 brains/genotype). Sox14 Gad1⁺ distribution is unaltered in the Sox14 KO (p>0.05, Chi-13 squared test). 14

15 dLGN interneurons in the mouse derive from the midbrain (Jager et al., 2016). To explore 16 how the molecular and spatial organization of thalamic interneurons is generated during 17 development more conclusively, we fate-mapped midbrain lineages and checked for their 18 presence, distribution and inhibitory profile across the thalamus. We crossed En1-Cre 19 (Kimmel et al., 2000) with a R26/sl-GFP (Sousa et al., 2009) reporter line (Fig. 4A), as the 20 En1 TF gene is expressed in the midbrain and rostral hindbrain progenitors, but not in the 21 forebrain (Sgaier et al., 2007). The analysis was done at P21-30 and, confirming our previous findings, there were GFP⁺ cells (*En1*⁺ lineage) distributed across the dLGN and co-22 23 expressing GABA (Fig. 4B). However, like the $Sox14^+Gad1^+$ neurons, $En1^+$ cells were observed beyond the dLGN - in the LP, VP, PO and MG, where they were also positive for 24 25 GABA (Fig. 4B,C). Plotting their distribution confirmed that it is equivalent to $Sox14^+$ 26 interneurons (p>0.05, Chi-squared test; Fig. 4C,D). Occasional GFP⁺ cells with glia-like morphology were also observed in the thalamus. These cells were GABA and were not 27 28 included in any of the analyses.



1

2 **Figure 4.** Sox14⁺ interneurons in TC regions derive from the midbrain. **A.** Schematic of the 3 fate mapping experiment: crossing En1-Cre with R26/s/-GFP reporter line permanently 4 labels all midbrain born cells with GFP expression. B. (i) Representative coronal section of 5 P21 En1-Cre:R26lsl-GFP thalamus with En1⁺ cells observed in the dLGN, LP, VP and PO 6 (considering TC regions only). For clarity some of the $En1^+$ cells are indicated with white 7 arrows. Scale bar, 100µm. (ii) En1⁺ cells in these regions co-express GABA (filled white 8 arrows). Empty arrows mark GABA single-positive cells. Scale bar, 10µm. C. 3D 9 reconstruction of a representative P21 En1-Cre;R26lsI-GFP thalamus from tracing every 10 sixth 60µm-thick coronal section, displayed as a z-projection and showing distribution of *En1*⁺ cells. **D.** Distribution of $Sox14^+Gad1^+$ and $En1^+$ cells across TC nuclei in $Sox14^{GFP/+}$ and 11 12 En1-Cre;R26IsI-GFP brains, respectively, plotted as proportion of all the cells within each 13 group (mean±SE; n= 3 brains/genotype). The two populations are not differently distributed 14 (p>0.05, Chi-squared test).

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We therefore conclude that the $Sox14^+$ thalamic interneurons derive from the midbrain, and simultaneously that the $Sox14^-$ GABAergic cells do not; the two classes thus represent distinct inhibitory lineages in TC regions, further supporting their definition as two distinct thalamic interneuron classes.

20

21 Midbrain-derived interneurons migrate into the sensory thalamus in the first postnatal

22 week in two streams

23 En1-Cre;R26lsl-GFP line was then used to investigate the timeline and spatial trajectories of

the Sox14⁺ interneuron precursors migrating from the midbrain into the first and higher order

25 sensory TC regions (Fig. 5A). Previously, dLGN interneurons were found to populate this

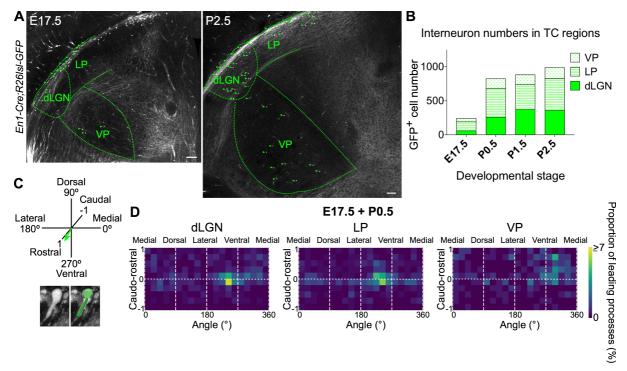
26 nucleus in the first postnatal week (Golding et al., 2014; Jager et al., 2016). We therefore

1 looked at the numbers and migratory morphology of GFP^+ (i.e. $En1^+$) cells in the thalamus at 2 E16.5, E17.5, P0.5, P1.5 and P2.5. We focused on the dLGN, LP and VP, but left out the 3 PO and MG, due to low overall numbers of interneurons in these two regions in the 4 juvenile/adult mouse thalamus (Fig. 1, Supp. Fig. 1).

At E16.5 no GFP⁺ cells were present in the thalamus. From E17.5 to P2.5 their numbers progressively increased in all of the regions analysed (Fig. 5A,B). The number of GFP⁺ cells in the dLGN at P2.5 matched previous independent reports (Golding et al., 2014), validating our counting method. Midbrain-derived interneurons therefore populate the different TC regions following a similar timeline. Interestingly, they appear in two ventrally located nuclei (i.e. dLGN and VP) simultaneously (Fig. 5A,B), implying they use distinct routes to reach them.

To infer their direction of migration, we determined the leading process orientation of 12 13 migrating GFP⁺ cells along all three dimensions (ventro-dorsal, latero-medial, caudo-rostral; 14 Fig. 5C; Jager et al., 2016; Paredes et al., 2016). This was plotted at a population level as 15 frequency distribution using heat maps, for each nucleus individually, for E17.5 and P0.5 (Fig. 5D; Supp. Fig. 2B), as the relative increase in GFP⁺ cell numbers was the greatest 16 17 between these two timepoints (Fig. 5B). Moreover, there was a progressive decrease across developmental stages in the proportion of GFP⁺ cells for which migratory morphology could 18 19 be identified (Supp. Fig. 2A). 20 Heat maps indicate that at a population level (integrated across dimensions), GFP⁺ cells

21 migrate into the dLGN, LP and VP in a caudo-rostral and dorso-ventral direction (Fig. 5D), 22 consistent with the position of the thalamus in the brain relative to their midbrain origin. However, GFP⁺ precursors in the dLGN and LP have a dominant medio-lateral orientation, 23 24 while those in the VP an opposite, latero-medial orientation, as can also be seen from polar 25 histograms (Supp. Fig. 2C). This suggests that midbrain-derived interneuron precursors 26 enter TC regions simultaneously in two distinct streams, one migrating rostro-ventro-laterally 27 to the dLGN and LP, and the other rostro-ventro-medially to the VP, indicating a split 28 between visual (dLGN, LP) and somatosensory (VP) TC nuclei.



1

2 Figure 5. Midbrain-derived interneuron precursors progressively populate the thalamus from 3 E17.5 onwards. A. Representative coronal sections of En1-Cre;R26lsl-GFP thalamus at 4 E17.5 and P2.5. Green arrows mark some of the GFP⁺ cells. Scale bars, 100µm. **B.** Number 5 of GFP⁺ cells counted in the dLGN, LP and VP from E17.5 to P2.5 (mean, n=3 brains). C. 6 Leading process orientation of GFP⁺ cells was determined along the caudo-rostral, ventro-7 dorsal and latero-medial dimensions. D. Frequency distribution of leading process 8 orientation for GFP⁺ cells in the dLGN, LP and VP at E17.5 and P0.5 combined, represented 9 in heat maps (n=3 brains/developmental stage).

10

11 Sox14-negative thalamic interneurons populating higher order nuclei are born in the

12 forebrain

13 Having excluded the midbrain, we aimed to identify the origin of the $Sox14^{-}$ interneuron class 14 in the mouse HO TC regions. To molecularly define it, we made use of DropViz data (Available from: dropviz.org; Saunders et al., 2018) and observed that within inhibitory 15 16 clusters from the thalamus and surrounding regions, Sox14 and Pvalb show largely nonoverlapping expression, where *Pvalb* is a marker for at least 5 out of 11 inhibitory clusters 17 (Pvalb p-value< 9.05e⁻³⁰). It is known that *Pvalb* is expressed by the nearby prethalamic 18 19 structures like the thalamic reticular nucleus (TRN; Clemente-Perez et al., 2017), and by 20 telencephalic interneuron types derived from the ganglionic eminences (Marin and 21 Rubenstein, 2001; Tremblay, Lee and Rudy, 2016; Tasic et al., 2016). 22 We therefore crossed Pv-Cre (Hippenmeyer et al., 2005) with a R26lsl-nuclearGFP (Mo et

- al., 2015) reporter line to label $Pvalb^+$ cells, and assessed their distribution and GABAergic profile in TC nuclei at P14 (Supp. Fig. 3A). $Pvalb^+$ cells were present in regions populated by the *Sox14⁻* interneurons, including the MD, LD, LP and PO, and absent from the nuclei populated exclusively by *Sox14⁺* interneurons, such as the dLGN and VP (Supp. Fig. 3Ai).
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At later ages (P56) *Pvalb* is widely expressed in the thalamus, and is observed in highdensity gradients in several nuclei, including the VP (© 2004 Allen Institute for Brain Science. Allen Mouse Brain Atlas. Available from: mouse.brain-map.org; Lein et al., 2006). Importantly however, at P14 93.9% of *Pvalb*⁺ cells in TC regions co-expressed GABA (n=2 brains, Supp. Fig. 3Aii,B). Therefore, we define the *Sox14*⁻ GABAergic cells as *Pvalb*⁺, and restricted our analyses to P14.

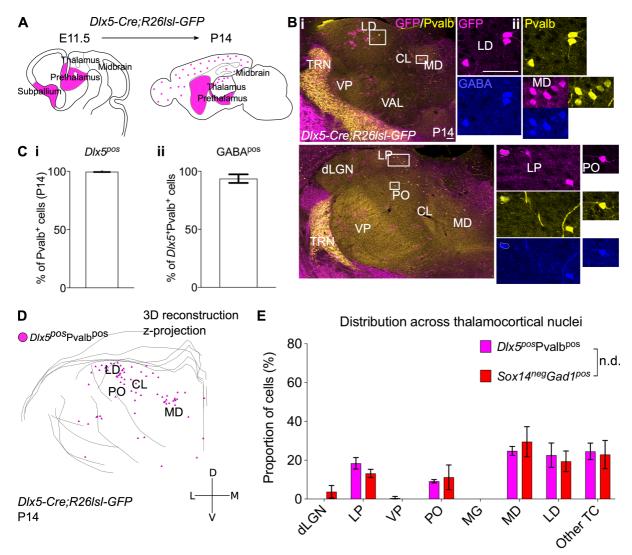
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8 Previous reports suggested the $DLX1/2/5^+$ ganglionic eminences (GE) as a source of 9 thalamic interneurons in humans (Rakić and Sidman, 1969; Letinić and Kostović, 1997; 10 Letinic and Rakic, 2001). We investigated this possibility directly and fate-mapped the 11 progeny from forebrain inhibitory progenitor domains in the mouse thalamus, by crossing 12 Dlx5-Cre (Monory et al., 2006) to R26lsl-GFP line (Fig. 6A).

At P14 all TC Pvalb⁺ cells are a *Dlx5* lineage (GFP⁺; 100%, n=3 brains; Fig. 6B,Ci) and
majority of them co-expressed GABA (93.6±3.7%; Fig. 6Cii), in line with observations from
the *Pv-Cre;R26lsl-nGFP* line (Supp. Fig. 3Aii,B).

We also observed Pvalb⁻*Dlx5*⁺ cells in the thalamus, the majority of which had a glia-like morphology and did not express GABA (Supp. Fig. 3C). Occasional Pvalb⁻GABA⁻*Dlx5*⁺ cells with neuronal-like morphology were also seen (Supp. Fig. 3C,D), suggesting leaky Cre activity in some cases. That all Pvalb⁺GABA⁺ cells in TC nuclei are labelled with GFP argues against this being an artefact of leaky reporting. Pvalb⁻GABA⁻*Dlx5*⁺ cells were not considered in any of the analyses.
Finally, we mapped the distribution of Pvalb⁺*Dlx5*⁺ cells across TC regions (Fig. 6D,E) and

observed that it matches the $Sox14^{-}Gad1^{+}$ cells (Fig. 6E; p>0.05, Chi-squared test). Altogether, we therefore conclude that the $Sox14^{-}Pvalb^{+}$ thalamic interneurons originate from $Dlx5^{+}$ inhibitory progenitors domains located in the forebrain, emphasizing their distinct lineage compared to the larger, midbrain-born $Sox14^{+}$ thalamic interneuron class.



1

2 **Figure 6.** Sox14 Pvalb⁺ interneurons in TC regions derive from the rostral forebrain. **A.** 3 Schematic of the fate mapping experiment: crossing *DIx5-Cre* with *R26IsI-GFP* reporter line 4 permanently labels all ventral telencephalic and prethalamic-born cells with GFP expression. 5 B. (i) Representative coronal sections of P14 DIx5-Cre;R26IsI-GFP thalamus with 6 *Dlx5*⁺Pvalb⁺ cells present in the MD, LD, CL, VAL, VM, LP and PO (considering TC regions 7 only). Scale bar, 100µm. (ii) Dlx5⁺Pvalb⁺ cells in TC regions co-express GABA. Scale bar, 8 100 μ m. **C.** (i) Proportion of Pvalb⁺ cells in TC regions that are *Dlx5*⁺ at P14 (mean±SE, n=3) 9 brains). (ii) Proportion of *Dlx5*⁺Pvalb⁺ cells in TC regions co-expressing GABA at P14 10 (mean±SE, n=3 brains). D. 3D reconstruction of a representative P14 Dlx5-Cre;R26lsl-GFP 11 thalamus from tracing every sixth 60µm-thick coronal section, displayed as a z-projection 12 and showing distribution of $Dlx5^+Pvalb^+$ cells. **E.** Distribution of $Dlx5^+Pvalb^+$ and $Sox14^-$ 13 Gad1⁺ cells across TC nuclei in P14 Dlx5-Cre;R26lsl-GFP and Sox14^{GFP/+} brains, 14 respectively, plotted as proportion of all the cells within each group (mean±SE, n= 3 15 brains/genotype). The two populations are not differently distributed (p>0.05, Chi-squared 16 test).

17

The presence of a *Dlx5*⁺ inhibitory lineage in the mouse thalamus is therefore consistent with the proposed ontogeny for human thalamic interneurons (Rakić and Sidman, 1969; Letinić and Kostović, 1997; Letinic and Rakic, 2001). Correspondingly, we investigated if the midbrain-derived interneurons identified in mouse could also be found in the primate

thalamus. We used the Marmoset Gene Atlas to examine the expression of SOX14 and OTX2, known markers for the mouse dLGN interneurons (Golding et al., 2014; Jager et al., 2016; DropViz), in the early postnatal marmoset thalamus. These were then compared to the GAD1 signal (all ISH data available from: <u>https://gene-atlas.brainminds.riken.jp;</u> Shimogori et al., 2018).

6 This shows that both $SOX14^+$ and $OTX2^+$ cells are present across all marmoset 7 thalamocortical nuclei (Supp. Fig. 4A), with a similar distribution and density to the $GAD1^+$ 8 neurons (Supp. Fig. 4B), seemingly accounting for the majority of GABAergic cells in the 9 marmoset dorsal thalamus. On the other hand, forebrain-derived prethalamic inhibitory 10 structures like the reticular nucleus are negative for the two markers (Supp. Fig. 4A).

11

12 **Discussion**

Our study reveals a previously unappreciated complexity in the GABAergic interneuron
 population distributed across the mouse thalamocortical nuclei.

In particular, we describe two broad thalamic interneuron classes, defined by (1) expression of transcription factors that are part of distinct regulatory programmes of GABAergic specification (*Sox14*⁺ vs *Dlx5*⁺; Eisenstat et al., 1999; Marin and Rubenstein, 2001; Stühmer et al., 2002; Achim et al., 2013, 2014; Mayer et al., 2018; Mi et al., 2018; Arendt et al., 2019), and (2) their origin in the midbrain and forebrain, respectively.

20 As expected, interneuron numbers and density were largest in the dLGN. We then show that 21 one of the markers for the dLGN interneurons, the Sox14 transcriptional regulator, labels 22 GABAergic cells found scattered across all first and higher order sensory nuclei (dLGN, LP, 23 VP, PO and MG; Guillery and Sherman, 2002; Wang, Eisenback and Bickford, 2002; 24 Pouchelon et al., 2014; Roth et al., 2015; Frangeul et al., 2016). Using fate-mapping, we 25 demonstrate that in all of these regions $Sox14^+$ interneurons derive from $En1^+$ midbrain and 26 migrate into the thalamus late embryonically. This is in contrast to the broadly spatially 27 separated Sox14⁻ interneurons, representing around 20% of the total thalamic interneuron 28 population. The Sox14⁻ class is found exclusively in HO thalamocortical nuclei (e.g. the MD, 29 LD, LP and PO; Sherman, 2016; Halassa and Kastner, 2017), can be defined as Pvalb⁺ and 30 originates from $Dlx5^+$ inhibitory progenitor domains in the forebrain.

31

These results reconcile discrepant findings on the origin of thalamic interneurons. For example, ganglionic eminence-derived $DLX1/2^+$ interneurons were shown to migrate into human MD and pulvinar (Rakić and Sidman, 1969; Letinić and Kostović, 1997; Letinic and Rakic, 2001), as opposed to the midbrain origin that we previously identified for the mouse dLGN interneurons (Jager et al., 2016). Our study suggests these different observations could be the result of spatial segregation of ontogenetic interneuron classes, which may in
 fact be conserved across mammals.

Supporting this conclusion, gene expression evidence from the marmoset indicates that 3 4 midbrain-generated interneurons are also present in the primate thalamus. Similarly, Jones 5 (2002) and Hayes et al. (2003) previously described late appearance of interneurons in the 6 ferret and macaque thalamus, progressively from caudal towards rostral nuclei. It can also 7 be seen from the BrainSpan Atlas of the Developing Human Brain (© 2010 Allen Institute for 8 Brain Science. BrainSpan Atlas of the Developing Human Brain. Available from: 9 www.brainspan.org; Miller et al., 2014) that both GAD1 and SOX14 expression increase in 10 the dorsal thalamus in the mid-prenatal period (from postconception week 16), which would 11 be consistent with a migration of midbrain-born interneurons into these regions.

12

13 Interestingly, grafting experiments using chick and quail embryos demonstrated a potential 14 for midbrain cells to populate retino-recipient nuclei in the chick diencephalon (Martinez and 15 Alvarado-Mallart, 1989). The grafted midbrain cells were observed migrating tangentially at 16 the surface of the diencephalon and seemingly through the host optic tract before invading 17 the regions targeted by the retinal projections (Martinez and Alvarado-Mallart, 1989). The 18 neurotransmitter identity of these migrating cells is unknown, but their midbrain origin and 19 distribution across the thalamus resemble the mouse $Sox14^+$ interneurons, suggesting that 20 in birds too, interneurons in the visual thalamus are a midbrain lineage. Relatedly, lineage 21 tracing in chick, using a retroviral library, indicated that clonally related siblings can populate 22 both the diencephalon and mesencephalon (Golden and Cepko, 1996).

In the future, it would be important to conclusively address how thalamic interneurons
evolved by comparing their diversity and origin comprehensively across amniotes, as was
recently done for cortical interneurons (Tosches et al., 2018; Tasic et al., 2016, 2018).

26

Finally, it is intriguing that in the mouse spatial organization of interneuron classes appears to correlate with anatomical and functional organization in the thalamus, which sees a split between sensory relay and higher order processing (Guillery and Sherman, 2002; Sherman, 2016; Halassa and Kastner, 2017). Here we provide genetic definitions and origin for the two broad thalamic interneuron classes, which can be used to investigate the functional significance of local inhibition in TC computations underlying both sensory perception and cognition.

- 34
- 35
- 36

1 Materials and Methods

23

Animals

| Species | Designation | Source or reference | Identifiers | Additional information |
|--------------|---|---|---|--|
| Mus musculus | Sox14 ^{tm1Tmj} (Sox14eGFP) | Crone et al., 2008 | MGI ID: 3836003 | Maintained in the C57BL/6J (Charles River Laboratories) background |
| Mus musculus | En1-Cre | Kimmel et al., 2000; The Jackson Laboratory | Stock No: 007916 MGI ID: 2446434 | C57BL/6J background |
| Mus musculus | Dlx5/6-Cre | Monory et al., 2006; The Jackson Laboratory | Stock No: 008199; MGI ID:3758328 | C57BL/6J background |
| Mus musculus | B6 PV ^{cre} | Hippenmeyer et al., 2005; The Jackson Laboratory | Stock No: 017320; MGI ID:3590684 | C57BL/6J background |
| Mus musculus | RCE:loxP | Sousa et al., 2009; The Jackson Laboratory | MMRRC Stock No: 32037-JAX MGI:4412373 | C57BL/6J background |
| Mus musculus | Gt(ROSA)26Sortm5(CAG- Sun1/sfGFP)Nat | Mo et al., 2015; The Jackson Laboratory | Stock No: 021039; MGI ID: 5443817 | C57BL/6J background |

4 Table 1: Mouse strains used in the study.

5

6 The mice were housed in the animal facilities at King's College London under standard 7 conditions on a 12h:12h dark/light cycle, with unrestricted access to water and food. Housing 8 and experimental procedures were approved by the King's College London Ethical 9 Committee and conformed to the regulations of the UK Home Office personal and project 10 licences under the UK Animals (Scientific Procedures) 1986 Act. Both female and male mice 11 were used in a randomised way across experiments. The morning when the vaginal plug 12 was observed was designated as embryonic day (E) 0.5 and the day of birth as postnatal 13 day (P) 0.5.

14

15 Immunohistochemistry and *in situ* hybridization

Mice were transcardially perfused with 4% PFA and the brains dissected and postfixed in PFA at 4°C overnight, then washed in PBS for at least 24 hours at 4°C. For *in situ* hybridization (ISH), brains were stored in PFA for 5 days, to minimise RNA degradation, and all the subsequent solutions were treated with diethyl pyrocarbonate (DEPC; AppliChem). The brains were cryoprotected in a sucrose gradient (10–20– 30%), frozen on dry ice and cryosectioned as 20µm coronal sections collected on Superfrost Ultra Plus slides (Thermo

- 1 Scientific) for ISH, or as 60µm free-floating coronal sections for IHC.
- 2 Immunohistochemistry

| Antibody | Dilution | Incubation time | Source |
|-----------------------------|----------|-----------------|----------------------|
| Rabbit anti-GABA | 1:2000 | 2X ON, 4°C | Sigma, A2052 |
| Chicken anti-Gfp | 1:5000 | 2X ON, 4°C | Abcam, Ab13970 |
| Mouse anti-Parvalbumin | 1:2000 | 1X ON, 4°C | Sigma-Aldrich, P3088 |
| Goat anti-chicken Alexa-488 | 1:500 | 2h, RT | Invitrogen, A11039 |
| Goat anti-rabbit Alexa-568 | 1:500 | 2h, RT | Invitrogen, A11036 |
| Goat anti-rabbit Alexa-647 | 1:500 | 2h, RT | Invitrogen, A21245 |
| Goat anti-mouse Alexa-568 | 1:500 | 2h, RT | Invitrogen, A11004 |
| Goat anti-mouse Alexa-635 | 1:500 | 2h, RT | Invitrogen, A31575 |

3 Table 2: Antibodies

4 Brain sections were washed in PBS three times and blocked in 2-7% normal goat serum 5 (NGS) solution (in 1X PBS, 0.1-0.3% Triton-X100) for 2 hours at room temperature (RT). 6 Primary antibodies (Table 2) were diluted in blocking solution and incubated with the 7 sections (as stated in the table). This was followed by three 30min PBS washes, and 8 incubation in secondary antibodies (Table 2) diluted 1:500 in blocking solution, for 2 hours at 9 RT. After two 30min PBS washes, the sections were incubated in DAPI for 30 min (1:40000 10 dilution in PBS; Life Technologies), and mounted using ProLong Gold mounting media 11 (Invitrogen).

12 In situ hybridization

13 Gad1 antisense RNA probe was transcribed in vitro from full-length cDNA template (IMAGE 14 ID: 5358787). The probe was diluted to a final concentration of 800ng/ml in hybridization 15 buffer (50% formamide, 10% dextran sulphate, 1mg/ml rRNA, 1X Denhardt's solution, 0.2M 16 NaCl, 10mM Tris HCl, 5mM NaH₂PO₄.2H₂O, 1mM Tris base, 50mM EDTA) and applied 17 onto the slides, which were incubated in a humidified chamber at 65°C overnight. The slides 18 were then washed three times for 30min in wash buffer (50% formamide, 1X SSC, 0.1% 19 Tween) at 65°C, two times for 30min in MABT buffer (100mM maleic acid, 150mM NaCl, 20 0.1% Tween-20) at RT, and blocked for 2h at RT (2% Boehringer Blocking Reagent 21 (Roche), 20% inactivated sheep serum in MABT). Sheep a-DIG alkaline phosphatase 22 conjugated antibody (Roche, 11093274910) was diluted 1:2000 in the blocking solution and 23 incubated with the slides overnight at 4°C. This was followed by five 20min washes in MABT 24 and two 20min washes in the AP buffer (0.1M Tris-HCl pH8.2, 0.1%-Tween-20). Fast red 25 TR/Naphthol AS-MX tablets (Sigma) were dissolved in the AP buffer and applied onto the 26 slides for colour reaction for 3–6 hours at RT in the dark. The slides were then washed three times for 20min in PBS before proceeding with IHC for GFP as described above. Sox14^{GFP/+} 27 and Sox14^{GFP/GFP} sections were always processed in parallel. 28

- 29
- 30

| Transgenic line | Age | Cells annotated/counted | Number of brains | Sampling | Section thickness (µm) |
|---------------------------|--------|--|---------------------|--|------------------------------|
| Sox14 ^{GFP/+} | P14 | GFP^{+} and $\mathit{Gad1}^{+}$ | 3 | Every 10 th coronal section | 20 |
| Sox14 ^{GFP/GFP} | P14 | GFP^{+} and $\mathit{Gad1}^{+}$ | 3 | Every 10 th coronal section | 20 |
| En1-Cre;R26lsl- GFP | P21-30 | GFP⁺ | 3 | Every 6 th coronal section | 60 |
| Dlx5/6- Cre;R26lsI-GFP | P14 | GFP [⁺] , Pvalb [⁺] and GABA [⁺] | 3 | Every 6 th coronal section | 60 |
| Pv-Cre;R26lsl- nGFP | P14 | GFP [⁺] , Pvalb [⁺] and GABA [⁺] | 2 | Every 6 th coronal section | 60 |

1 Quantifying distribution of neuronal populations across thalamic nuclei

2 Table 3. Genetic identity of cells counted across TC regions and technical details of 3 corresponding experiments.

4

5 Confocal z-stacks covering the extent of the thalamus across all axes (caudo-rostral, ventro-6 dorsal, latero-medial) were acquired using either Nikon A1R inverted confocal, inverted 7 spinning disk Nikon Ti microscope or Olympus VS120 slide scanner, with 10X (NA 0.30 Plan 8 Fluor DLL) and 20X (NA 0.75 Plan Apo VC or UPLSAPO NA 0.75) objectives. The stacks 9 were then viewed with the Neurolucida software. TC nuclei were identified from the DAPI 10 counterstain, using cytoarchitectonically recognizable structures, such as the dLGN, the 11 habenular complex, the TRN, the anterior pretectum and the fasciculus retroflexus (fr), as 12 landmarks for orientation and reference. The cells of interest (Table 3) were assigned to TC 13 regions by comparing the sections to the Allen Brain Reference Atlas and annotated and 14 counted manually. For each brain, only one hemisphere was analysed (chosen in a 15 randomized way). For experiments using *Gad1*⁺ and *Chrna6*⁺ *in situ* hybridization data from 16 the Allen Mouse Brain Atlas resource (© 2004 Allen Institute for Brain Science. Allen Mouse 17 Brain Atlas. Available from: mouse.brain-map.org; Lein et al., 2006), all images of P56 18 C57BL/6J coronal brain sections containing the thalamus were downloaded for each gene 19 (every 8th 25µm-thick section, sampling every 200µm across the thalamus), and analysed in 20 the same way as described above.

3D reconstructions of cell distributions

22 3D reconstructions of cell (Table 3) distributions across thalamic regions were generated for 23 each brain separately using the Neurolucida software (MBF Bioscience), from the acquired 24 confocal z-stacks or Allen Mouse Brain Atlas in situ hybridization data as described above. 25 For each image the outline of the thalamus and the surrounding structures was manually 26 traced using the 'contour' function and the cells were annotated with the 'marker' function, 27 placed at the centre of the soma. Traced images were then aligned in sequential rostro-28 caudal order, manually for each brain, using tissue landmarks (midline and clearly 29 recognisable structures, e.g. dLGN, TRN, habenula, hippocampus) for reference, and their spacing in the rostro-caudal dimension was preserved according to the sampling used for
 each brain.

3

4 **Nearest Neighbour Distance calculations**

5 Nearest neighbour distance (NND) was determined for the Sox14⁺Gad1⁺ and Sox14⁻Gad1⁺ 6 cells from the 3D reconstructions of their distributions. The cells' coordinates in 3D were 7 generated by Neurolucida and analysed using a custom Python script and the Pandas library (McKinney, 2010) to calculate NNDs separately for each group and between the two 8 groups, for each Sox14^{GFP/+} brain individually. The data was then normalised to the largest 9 10 NND within each data set (each individual group and between groups sets for each brain), 11 averaged across the brains (mean±SE) and plotted as cumulative distribution. Normalization 12 allows us to plot their cumulative distribution as a fraction of the maximum distance, though 13 even before normalization the curves were broadly similar. Statistically significant 14 differences between the distributions were verified using the 2-sample Kolmogorov-Smirnov 15 test, implemented in the SciPy library (Jones et al.).

16

17 Migrational morphology analyses

18 E16.5, E17.5, P0.5, P1.5 (n=3 brains/developmental stage) and P2.5 (n=1) En1-Cre;R26lsl-19 GFP brains were quickly dissected on ice and immersed in 4% PFA for 12 hours before 20 switching to PBS. 300µm-thick coronal sections were cut on a vibratome (Leica VT 1200S). 21 To increase the imaging depth, the sections were cleared following the ScaleSQ protocol 22 (Hama et al., 2015). ScaleS4 buffer was used as a mounting medium (Hama et al., 2015), 23 and spacers were placed on the slides to prevent compressing the sections. Nikon A1R 24 inverted confocal was used to acquire z-stacks that covered the entire extent of the 25 thalamus for each brain, with a 20X objective (NA 0.75 Plan Apo VC). The achieved imaging 26 depth in z ranged from 200-250µm. The stacks were imported into Neurolucida software (MBF Bioscience) to trace the migratory morphology of GFP⁺ cells in the dLGN, LP and VP. 27 28 On average, 2 sections covered the extent of these nuclei in the rostro-caudal dimension 29 and the first time point when GFP^+ cells were observed there was at E17.5. GFP^+ cells were 30 not traced in the PO and MG due to their low numbers in these nuclei in the juvenile and 31 adult brains, and the ambiguity in delineating these regions anatomically in the embryonic 32 brains. We did not observe GFP⁺ cells with neuronal morphology in any other TC regions 33 (i.e. outside the FO and HO sensory thalamus) for all ages analysed. In the analysed regions (dLGN, LP, VP), all GFP⁺ somas were annotated using the semi-automated 'Soma' 34 35 function. The leading processes were traced manually with the 'Tree' function, starting in the 36 middle of the soma and until each process could be unequivocally identified or until the point of bifurcation, for all GFP⁺ cells with a clearly visible and identifiable leading process (44% of 37

1 all GFP⁺ cells at E17.5, 30% at P0.5, 26% at P1.5, 14% at P2.5). The 3D coordinates for 2 each leading process were then exported into Excel, and their orientation was expressed in 3 the brain's coordinate system (x=L-M, y=V-M, z=C-R), as a vector joining the start and end 4 point of the process, using a custom Python script and the Pandas (McKinney, 2010) and 5 Numpy (Walt, Colbert, Varoquaux, 2011) libraries. Each vector was defined by its orientation 6 in spherical coordinates (polar and azimuthal angle), and overall length. Population level 7 orientation data for the dLGN, LP and VP at E17.5 and P0 was plotted as heat-maps, by 8 binning cells according to their spherical coordinates. The bins were then integrated along 9 each axis to reveal a dominant orientation (e.g. for the dLGN, 66% and 69% of cells oriented 10 dorso-ventrally and caudo-rostrally, respectively). Polar histograms of leading process 11 orientation in the dorsal-ventral-lateral-medial plane were also produced.

12

13 Spatial clustering analysis

14 Unsupervised machine learning methods were used to investigate spatial organization of 15 $Sox14^+Gad1^+$ and $Sox14^-Gad1^+$ cells. The 3D models of P14 $Sox14^{GFP/+}$ thalamus generated 16 with Neurolucida for NND analysis were again used to obtain the coordinates of all thalamic 17 interneurons.

18 These data were analysed separately for each brain (n=3) using a custom Python script, and

19 partitioned into clusters using the k-Means algorithm implemented in the library Scikit-Learn

20 (Buitinck et al., 2013). The algorithm takes as input the expected number of clusters *k*.

Multiple values of *k* were tested, and evaluated using the silhouette coefficient metric of clustering performance (Rousseeuw, 1987), also implemented in Scikit-Learn. The silhouette coefficient is equal to the average ratio of distances between points within and between each cluster. More positive scores indicate coherent, well-separated clusters, whereas scores close to zero indicate overlapping clusters. The score was highest (0.472±0.012) for k=2, and the average fraction of all *Sox14*⁺ and *Sox14*⁻ cells in each of the resulting clusters

27 was computed across all brains.

We also performed k-Means clustering on the 3D distribution of $Gad1^+$ cells obtained from *in situ* hybridisation data from the Allen Mouse Brain Atlas. The silhouette score was again highest (0.512) for k=2, and the resulting clusters have a spatial definition similar to those from the P14 *Sox14*^{*GFP/+*} thalamus.

32

33 Statistics

34 Comparison of distributions

The Chi-squared test was used to test for significant differences in the thalamus-wide distribution of specific cell classes. This thalamus-wide comparison compensates for

- 1 categorical errors arising from a degree of uncertainty in nuclear boundaries, as a result of
- 2 variation in the sectioning plane and other factors.
- 3 For each distribution, average relative cell numbers were computed in Excel. A custom
- 4 python script was used to compute the Chi-squared statistic, and the corresponding p-value
- 5 was computed using the Chi-squared cumulative density function implemented in SciPy
- 6 (Jones et al.).
- 7 Change in interneuron numbers in the Sox14 knockout
- 8 Unpaired two-sample two-tailed t-test was used, comparing the *Sox14* knockout to 9 $Sox14^{GFP/+}$ for each interneuron class separately (n=3 brains/genotype). Total interneuron 10 numbers across all TC nuclei were compared and sampling was consistent between 11 genotypes (each 10th thalamic section was analysed for each brain).
- 12 Other statistical analyses used in the study are described in the corresponding sections
- 13 (Nearest Neighbour Distance calculations and Spatial clustering analysis).
- 14

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- 19

20 Author Contributions

- 21 Conceptualization, P.J. and A.D.; Investigation and analysis, P.J., P.C. and X.D.; Resources,
- 22 T.S. and A.D.; Writing, P.J. and A.D.
- 23

24 Competing Interests

25 The authors declare no competing interests.

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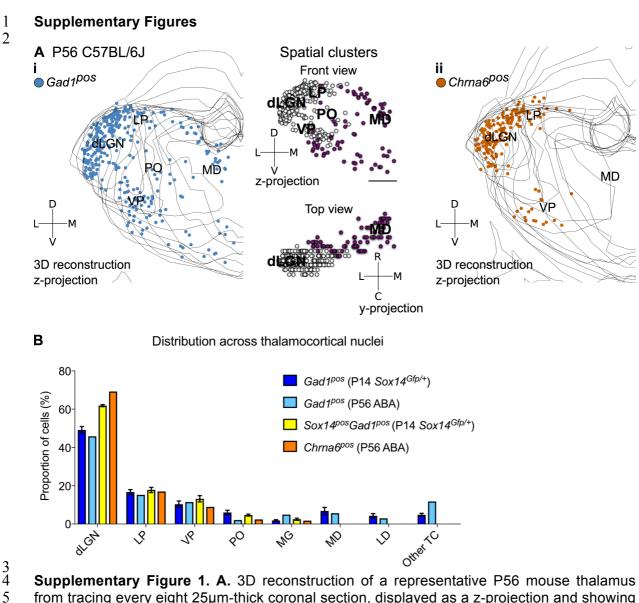
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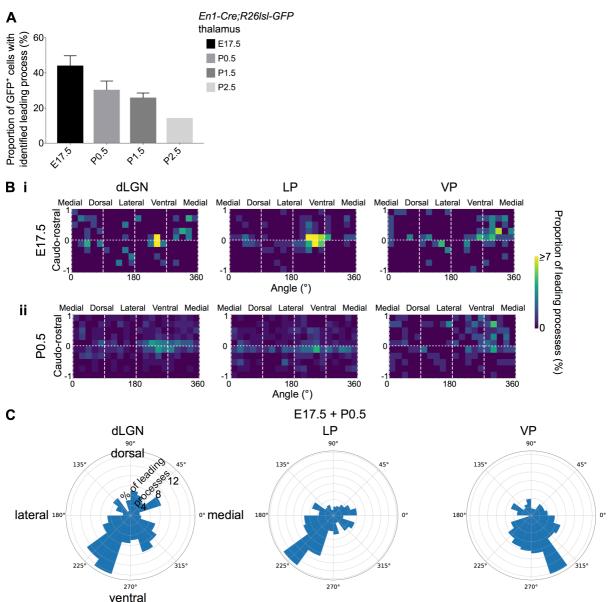
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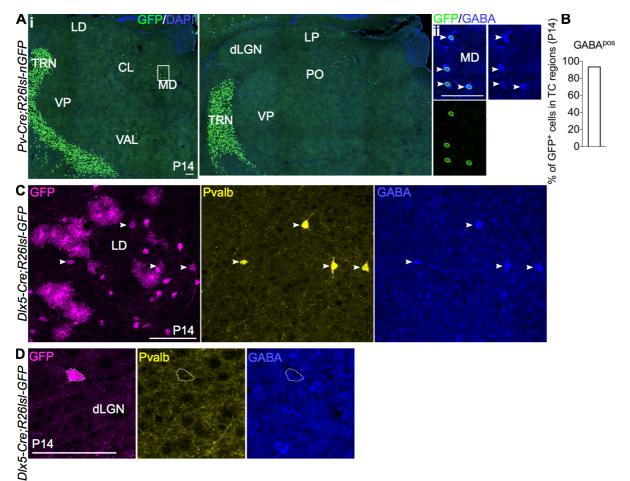
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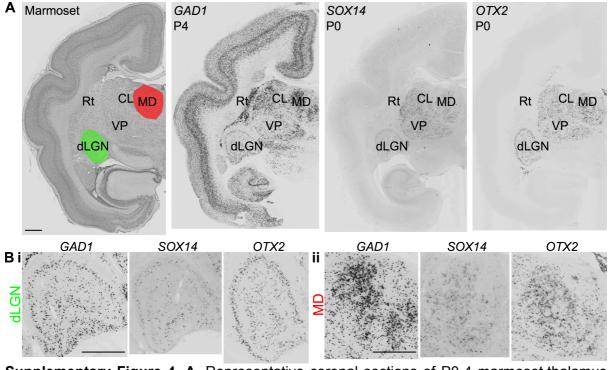
5 6 from tracing every eight 25µm-thick coronal section, displayed as a z-projection and showing distribution of (i) Gad1⁺ and (ii) Chrna6⁺ cells. In (i), k-Means clustering was applied to the 7 data using k=2 (highest silhouette score, 0.512); the resulting spatial clusters are shown as a 8 z- and y-projection and colour-coded. One dot represents one neuron. ISH data was 9 downloaded from the Allen Mouse Brain Atlas (© 2004 Allen Institute for Brain Science. 10 Allen Mouse Brain Atlas. Available from: mouse.brain-map.org; Lein et al., 2006). B. Distribution of $Gad1^+$ and $Chrna6^+$ cells across TC nuclei (n=1 brain/marker) is compared to all $Gad1^+$ and $Sox14^+Gad1^+$ cells from P14 $Sox14^{GFP/+}$ thalamus (n=3 brains; see also Fig. 11 12 13 1). 14



Supplementary Figure 2. A. Proportion of GFP⁺ cells in the dLGN, LP and VP combined, for which a leading process could be identified, in E17.5-P2.5 *En1-Cre;R26lsl-GFP* brains (mean±SE, n=3 brains/developmental stage, apart from P2.5 where n=1 brain). **B.** Frequency distribution of leading process orientation for GFP⁺ cells in the dLGN, LP and VP at (i) E17.5 and (ii) P0.5 separately, represented in heat maps (n=3 brains/developmental stage). **C.** Polar histograms of leading process orientation in the latero-medial and ventrodorsal plane for GFP⁺ cells in the dLGN, LP and VP at E17.5 and P0 combined (n=3 brains/developmental stage).



Supplementary Figure 3. A. (i) Representative coronal sections of P14 Pv-Cre;R26lsl*nGFP* thalamus with GFP⁺ cells present in the MD, LD, CL, VAL, LP and PO (considering TC regions only). Scale bar, 100µm. (ii) GFP⁺ cells in TC regions express GABA at P14. Scale bar, 100µm. **B.** Proportion of GFP⁺ cells in TC regions co-expressing GABA at P14 (mean, n=2 brains). **C.** Clusters of Pvalb⁻GABA⁻ $Dlx5^+$ glia-like cells are observed across TC regions in the *Dlx5-Cre;R26lsl-GFP* line at P14, as shown for the LD. White arrows mark 8 Pvalb⁺GABA⁺ $Dlx5^+$ cells. Scale bar, 100µm. **D.** Pvalb⁻ $Dlx5^+$ cells with neuronal morphology 9 do not express GABA. Scale bar, 100µm.



Supplementary Figure 4. A. Representative coronal sections of P0-4 marmoset thalamus showing GAD1, SOX14 and OTX2 expression. Scale bar, 1000µm. B. Expression of GAD1, SOX14 and OTX2 in the marmoset (i) dLGN and (ii) MD. Scale bar, 1000µm. Source of ISH: the Marmoset Gene Atlas (Available from: https://gene-atlas.brainminds.riken.jp).